

PCT LEGAL TEXT INDEX

(including references to the Articles, Rules, Administrative Instructions,
Forms and various PCT Guidelines)

(applicable to legal texts in force as of July 1, 2019)

Acronym/Term	Meaning
AI Sec.	Section of the Administrative Instructions under the PCT
AI Annex	Annex to the Administrative Instructions under the PCT
AI Part 8	Part 8 of the Administrative Instructions
AIs	Administrative Instructions under the PCT
Annex	Annex to the International Phase of the <i>PCT Applicant's Guide</i>
Art.	Article of the Patent Cooperation Treaty
Chapter	Chapter of the Patent Cooperation Treaty
CRep.	Common representative
Dem.	Demand (Form IPEA/401)
DG	Director General
DO	Designated Office
E-filing	Electronic filing
EO	Elected Office
Fees	Schedule of Fees
Gaz.	Official Notices (<i>PCT Gazette</i>)
Guide	<i>PCT Applicant's Guide</i>
IA	International application
IB	International Bureau
IFD	International filing date
IGO	Intergovernmental organization
International Phase	International Phase of the <i>PCT Applicant's Guide</i>
IPE	International preliminary examination
IPEA	International Preliminary Examining Authority
IPRP (Ch.I)	International Preliminary Report on Patentability prepared by the ISA
IPRP (Ch.II)	International Preliminary Report on Patentability prepared by the IPEA
IS	International search
ISA	International Searching Authority
ISPEG	PCT International Search and Preliminary Examination Guidelines
ISR	International search report
NGO	Non-governmental organization
Paris	Paris Convention for the Protection of Industrial Property
PCT	Patent Cooperation Treaty
PCT-SAFE	PCT electronic filing software
Preamble	Preamble of the PCT
R.	Rule of the Regulations under the PCT
RC	Record copy
Reg.	Regulations under the PCT
Req.	Request (Form RO/101)
RO	Receiving Office
ROG	PCT Receiving Office Guidelines
SC	Search copy
SISA	International Searching Authority specified for supplementary search
SISR	Supplementary international search report
SL	Sequence listing
Stand.	WIPO Standard
Treaty	Patent Cooperation Treaty
WO-ISA	Written Opinion of the ISA

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PRIORITY APPLICATION	SEQUENCE LISTINGS
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PRIORITY DATE	SEVERAL
PRIORITY DOCUMENT	SHEETS
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RECORD COPY	TECHNICAL COOPERATION, COMMITTEE FOR
RECORDING OF CHANGES	TECHNICAL SERVICES
RECTIFICATION	TELEGRAPH
REFUND	TELEPHONE
REGIONAL	TELEPRINTER
REGISTRATION	TELESCOPING
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See PATENT COOPERATION TREATY (PCT)
REGIONAL

TRUE COPY

See RECORD COPY

TWO-LETTER CODES

for indication of States, territories and IGOs

AI Sec. 115, Stand.3, AI Annex D(3.3), Annex K

TYPING

typing of text matter in IA as physical requirement

Art. 3(4)(ii), R. 11.9(a), (c), (e), ROG 141

See PHYSICAL REQUIREMENTS

-U-

UNANIMITY

unanimity of Contracting States required for decisions modifying certain time limits

Art. 47(2)(b)

Subject**Paragraph**

- inclusion of R. in one or other category referred
to Art. 58(3)(a) Art. 58(3)(c)
- R. which may be amended only by unanimity
decision are specified in Reg. Art. 58(3)(a)(i), R. 88.1

UNION

- defined..... Art. 2(xvi)
- establishment of union Art. 1(1)

UNITY OF INVENTION

- generally R. 13, AI Sec. 206, AI Annex B, ISPEG 10.01-10.88
- Markush practice R. 13.2, AI Sec. 206, AI Annex B(d), (f), ISPEG 10.17, 10.38-10.45
- posterior finding of unity of invention R. 13.2, AI Sec. 206, AI Annex B(c)(ii), ISPEG 10.03
- unity of invention before IPEA..... Art. 3(4)(iii), R. 13, AI Sec. 206, AI Annex B, ISPEG 10.01-10.88
- lack of unity of invention found by IPEA..... Art. 34(3), R. 68
- insufficient restriction of claims..... Art. 34(3)(c), R. 68.4
- invitation to restrict or pay additional fees Art. 34(3)(a), R. 68.2, Form IPEA/405
- no invitation to restrict or pay additional fees R. 68.1
- notification of decision on protest R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
- refund of additional fee R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
- unity of invention before ISA Art. 3(4)(iii), R. 13, AI Sec. 206, AI Annex B, ISPEG 10.01-10.88
- lack of unity of invention found by ISA Art. 17(3)(a), R. 40
- invitation to pay additional fees Art. 17(3)(a), R. 40.1, Form ISA/206
- notification of decision on protest R. 40.2(c), (e), AI Sec. 502, Form ISA/212
- notification regarding review of justification for
invitation to pay additional fees R. 40.2(e)
- refund of additional fee Art. 17(3), R. 40.2(c), Form ISA/212
- unity of invention before SISA
- lack of unity of invention found by SISA..... R. 45bis.6(a)
- notification regarding review of opinion R. 45bis.6(d), Form SISA/503
- request for review of the opinion..... R. 45bis.6(c), ISPEG 10.87-10.88
- transmittal of request to review and decision to DOs .. R. 45bis.6(e), Form SISA/503
- where utility model is sought national law may be
applied in respect of unity of invention Art. 43, 44, R. 13.5

See DEPENDENT CLAIMS

FEE(S)

INTERNATIONAL PRELIMINARY EXAMINATION

PROTEST

REFUND

UTILITY CERTIFICATE

- IA for purposes of utility certificate Art. 43
- prescribed indications in Req. R. 4.11(a)(i)
- utility certificate(s) part of minimum documentation R. 34.1(d)

UTILITY MODEL

- if utility model is sought national law may be applied in respect of
- claims R. 6.5, 78.3
- unity of invention R. 13.5, 78.3
- indications to be made in Req. Art. 43, R. 4.9(a)(ii)
- if utility model is sought in addition to other kind
of protection Art. 44, R. 49bis.1

-V-**VOTE**

- vote by correspondence R. 81.3(a), (b)
- in case of absence of quorum in Assembly..... Art. 53(5)(b), R. 85.1
- vote in Assembly Art. 53(3), (4)
- Contracting State which may not exercise right
to vote due to delay in paying contribution Art. 57(5)(e)
- participation of DG without right to vote Art. 55(6), (7)(c)
- to amend Regulations

Subject	Paragraph
requirement of absence of opposition.....	R. 88.3
requirement of unanimity.....	R. 88.1
vote in Executive Committee.....	Art. 54(8)
participation of DG without right to vote	Art. 55(6)

-W-

WAIVER

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“WO”

See INTERNATIONAL PUBLICATION

WITHDRAWAL

signature required on notice of withdrawal	R. 90.bis.5
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effects of withdrawal before time limit under Art. 22..	Art. 37(4)(b)
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notified by agent.....	R. 90.5(d), ROG 117C
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RO.....	AI Sec. 326, Form RO/136
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notified by agent	R. 90.5(d), ROG 117C
notified to applicant by	
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RO.....	AI Sec. 326, Form RO/136
withdrawal of priority claim.....	R. 90bis.3, AI Sec. 326, Form IB/372, ROG 314-324
notified by agent	R. 90.5(d), ROG 117C
notified to applicant by	
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See REFUND

WITHDRAWN (CONSIDERED)

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IB for RC not received within time limit	Art. 12(3), R. 22.3, 24.2(c)
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by DO	Art. 25(1)(a), R. 51
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Subject**Paragraph**

later finding of non-compliance with requirements for according IFD	Art. 11(1), 14(4), R. 29.1(a), Form RO/143, ROG 52-54
notification by IB to DO(s)	Art. 14(1), (3), (4), R. 29.1, Form IB/325
review of determination of RO by DO	Art. 25(1)(a), R. 51
unless notified about IA withdrawn (considered), ISA is to proceed with IS if notified by RO about invitation concerning missing or defective	
abstract.....	R. 38.1
title.....	R. 37.1
protest withdrawn (considered) for failure to pay protest fee by	
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WRITTEN DISCLOSURE(S)

See DISCLOSURES

WRITTEN OPINION

by IPEA

generally.....	Art. 34(2)(c), R. 66.2, Form IPEA/408, ISPEG 17.06-17.07
indication that requirement of lack of unity of invention is not fulfilled	R. 68.1
invitation to submit reply/amendments to written opinion	R. 66.2(c), Form IPEA/408
statement of reasons for written opinion	R. 66.2(b)
response to written opinion	Art. 34(2)(d), R. 66.3
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by ISA

generally.....	R.43bis.1, Form ISA/237, ISPEG 17.06-17.07 and 17.09
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considered to be written opinion of IPEA.....	R. 43bis.1(c), 66.1bis(a), Form ISA/237
IPEA procedure applies to ISA.....	R. 43bis.1(b), AI Sec. 518
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See INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)

-Y-**YEAR(S)**

calendar year(s)

Assembly to meet every second year(s).....	Art. 53(11)(a)
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computation of periods expressed in year(s)..... R. 80.1

financial year(s)

paying of contributions in case of financial year(s)	
closing with deficit.....	Art. 57(5)(a)