



WIPO | PCT

The International
Patent System

■ PCT Experience in Implementing WIPO Standard ST.26

CWS/11

December 4 to 8, 2023

Implementation in the PCT

- Amended Rules and Modified Administrative Instructions previously discussed
 - Entirely new Annex C
 - Reference to WIPO Standard ST.26 for substance
 - Details of international phase procedures
- Updates to the PCT Guidelines for Offices, following consultation with PCT CS Offices and Authorities
 - Receiving Office Guidelines
 - Including guidance to ROs on how to handle ST.25 submissions after the ST.26 effective date
 - International Search and Preliminary Examination Guidelines

Implementation in the PCT (cont'd)

- Updates to the PCT Applicant's Guide
 - International and national chapters, and Annex C on language(s)
ROs accept for the language-dependent free text
- Some implementation by national Offices as RO/ISA/IPEA/DO/EO
- PCT user trainings
- This report covers ePCT, RO/IB and IB activities

Implementation in ePCT

- Allowed for upload of ST.26 files for applications filed 1 July 2022 or later
 - ST.25 still required for SFDs on earlier applications
- Simple validations – DTD check only
- Increased permitted upload size (50MB allowed compared to previous 20MB)

Processing within IB

- IB does not do substantive examination – very limited checks done
 - RO/IB – DTD validation, feature table check (see later)
 - IB – Receive documents from RO/ISA/IPEA; apply document type; publish
 - More detailed checks and assistance only on request from national Offices
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- PATENTSCOPE
 - Listings published as XML and rendered HTML views

Sequence Listing

1 Sequence Listing Information

1-1	File Name	[REDACTED]
1-2	DTD Version	V1_3
1-3	Software Name	WIPO Sequence
1-4	Software Version	2.1.1
1-5	Production Date	2023-05-10

2 General Information

2-1	Current application: IP Office	AU
2-2	Current application: Application number	
2-3	Current application: Filing date	
2-4	Current application: Applicant file reference	[REDACTED]
2-5	Earliest priority application: IP Office	AU
2-6	Earliest priority application: Application number	[REDACTED]
2-7	Earliest priority application: Filing date	[REDACTED]
2-8en	Applicant name	[REDACTED]
2-8en	Applicant name: Name Latin	[REDACTED]
2-9	Inventor name	[REDACTED]
2-9en	Inventor name: Name Latin	[REDACTED]
2-10en	Invention title	[REDACTED]
2-11	Sequence Total Quantity	109

3-1 Sequences

3-1-1	Sequence Number [ID]	1
3-1-2	Molecule Type	AA
3-1-3	Length	743
3-1-4-1	Features Location/Qualifiers	REGION 1..743 note=Synthetic
3-1-4-2	Features Location/Qualifiers	source 1..743 mol_type=protein organism=synthetic construct

3-1-5 Residues

MAADGYLPDW LEDNLSEGIR EWWDLKP GAP KPKANQQKQD DGRGLVLPGY KYLGFPNGLD	60
RGEPVNAADA AALEHDKAYD QQLKAGDNPY LRYNHADAEF QERLQEDTSP GGNLGRAVFP	120
AKRRVLEPFG LVEEGAKTAP GKRRPVEQSP QEPDSSSGIG KTGQQPAKKR LNFGQTGDSE	180
SVPDPQPLGE PPAATPAAVGF TTMASGGGAP MADNNEGADG VGNASGNWHC DSTWLGDRVI	240
TTSTRTWALP TYNNHLYKQI SSASTGASND NHYPGYSTPW GYFDENRFHC HFSPRDWQRL	300
INNNWGFPRK RLNFKLFNIQ VKEVTTNDGV TTIANNLTST VQVPSDSEYQ LPYVLGSAHQ	360
GCLPPFPADV FMIPQYGYLT LNNGSQAVGR SSFYCLEYFP SQMLRTGNFN TFSYTFEDVP	420

Issues

- Initial problems with WIPO Sequence
 - Automated check for missing feature tables quickly added
 - Problem now very rare, but some applicants still using old version of WIPO Sequence containing the relevant bug
- Otherwise, few problems
 - More Rule 13*ter* listings than expected
 - Confusion from many document types to cover specific reasons for providing listings (corrections, rectifications at different stages, amendment, incorporation by reference, translation for different purposes)
- WIPO DAS limitation for priority documents containing sequence listings

Next Steps

- No current proposals for changes to processing
 - Strongly encourage update to latest version of WIPO Sequence
 - Listen to user feedback
 - Consider possible simplifications
 - Consider adding full validations depending on performance improvements in WIPO Sequence Validator