

## PCT LEGAL TEXT INDEX

(including references to the Articles, Rules, Administrative Instructions,  
Forms and various PCT Guidelines)

(applicable to legal texts in force as of July 1, 2015)

Acronym/Term	Meaning
AI Sec. ....	Section of the Administrative Instructions under the PCT
AI Annex ....	Annex to the Administrative Instructions under the PCT
AI Part 8 ....	Part 8 of the Administrative Instructions
AIs ....	Administrative Instructions under the PCT
Annex ....	Annex to the International Phase of the <i>PCT Applicant's Guide</i>
Art. ....	Article of the Patent Cooperation Treaty
Chapter ....	Chapter of the Patent Cooperation Treaty
CRep ....	Common representative
Dem. ....	Demand (Form IPEA/401)
DG ....	Director General
DO ....	Designated Office
E-filing ....	Electronic filing
EO ....	Elected Office
Fees ....	Schedule of Fees
Gaz. ....	Official Notices ( <i>PCT Gazette</i> )
Guide ....	<i>PCT Applicant's Guide</i>
IA ....	International application
IB ....	International Bureau
IFD ....	International filing date
IGO ....	Intergovernmental organization
International Phase ....	International Phase of the <i>PCT Applicant's Guide</i>
IPE ....	International preliminary examination
IPEA ....	International Preliminary Examining Authority
IPRP (Ch.I) ....	International Preliminary Report on Patentability prepared by the ISA
IPRP (Ch.II) ....	International Preliminary Report on Patentability prepared by the IPEA
IS ....	International search
ISA ....	International Searching Authority
ISPEG ....	PCT International Search and Preliminary Examination Guidelines
ISR ....	International search report
NGO ....	Non-governmental organization
Paris ....	Paris Convention for the Protection of Industrial Property
PCT ....	Patent Cooperation Treaty
PCT-SAFE ....	PCT electronic filing software
Preamble ....	Preamble of the PCT
R. ....	Rule of the Regulations under the PCT
RC ....	Record copy
Reg. ....	Regulations under the PCT
Req. ....	Request (Form RO/101)
RO ....	Receiving Office
ROG ....	PCT Receiving Office Guidelines
SC ....	Search copy
SISA ....	International Searching Authority specified for supplementary search
SISR ....	Supplementary international search report
SL ....	Sequence listing
Stand. ....	WIPO Standard
Treaty ....	Patent Cooperation Treaty
WO-ISA ....	Written Opinion of the ISA

**LIST OF SUBJECTS IN PCT LEGAL TEXT INDEX**

<p><b>-A-</b></p> <p>ABBREVIATED EXPRESSIONS            ABSENCE            ABSTAIN/ABSTENTION            ABSTRACT (PART OF IA)            ABSTRACT (PART OF MINIMUM DOCUMENTATION)            ACCESS            ACCESSION            ACCOUNTS OF UNION            ADDITION OF SHEETS TO IA            ADDITIONAL FEE            ADDITIONAL MATTER            ADDRESS(ES)            ADMINISTRATIVE INSTRUCTIONS (AIS)            ADMINISTRATIVE PROVISIONS OF THE PCT            ADVANCE(S)            AGENT            AGREEMENT            AIRMAIL            AMENDMENT(S) (TO IA)            AMINO ACID SEQUENCE LISTINGS            ANIMAL VARIETIES            ANNEXES (TO IPRP (Ch.II))            ANNOTATIONS            APPENDICES            APPLICANT(S)            APPLICATION (FOR INVENTION)            APPLICATION OF TREATY AND REGULATIONS            APPOINTMENT            ARTICLE            ASSEMBLY (OF UNION/PCT CONTRACTING STATES)            ASSIGNMENT            ATTORNEY            AUDITING            AUTHENTIC TEXT            AUTHORITY, INTERNATIONAL            AUTHORIZED OFFICER            AVAILABILITY</p> <p><b>-B-</b></p> <p>BECOMING BOUND BY TREATY            BECOMING PARTY TO THE TREATY            BEST MODE            BIOLOGICAL            BUDGET OF UNION            BUSINESS</p> <p><b>-C-</b></p> <p>CALENDAR            CALCULATION            CANCELLATION            CEASING OF EFFECT            CERTIFICATE OF ADDITION            CERTIFIED COPIES            CHANGES            CHAPTER            CHARACTERS            CHECKING            CHECK LIST            CHEMICAL            CHOICE            CITATIONS            CLAIMING PRIORITY            CLAIMS            CLASSIFICATION            COMBINATION            COMMENTS            COMMERCIAL ESTABLISHMENT            COMMITTEE(S)            COMMON AGENT            COMMON REPRESENTATIVE            COMMUNICATION            COMPETENT/COMPETENCY OF—            COMPUTATION            COMPUTER            CONFIDENTIAL NATURE            CONFIRMATION</p>	<p>CONTENTS            CONTINUATION/CONTINUATION-IN-PART            CONTRACTING STATES            CONTRIBUTION(S)            COOPERATION            COORDINATION COMMITTEE            COPY/COPIES            CORRECTION(S)            CORRESPONDENCE            COST(S)            COUNTRY            COUNTRY CODE            CRITERIA            CURRENCY</p> <p><b>-D-</b></p> <p>DATE(S) (USING GREGORIAN CALENDAR)            DAY            DEADLINES (APPLICABLE TO APPLICANTS)            DECISION            DECLARATION(S)            DEFECTS            DEFICIT            DEFINITION(S)            DELAY(S)            DELEGATE            DELEGATION(S)            DELETION            DEMAND (FOR INTERNATIONAL PRELIMINARY              EXAMINATION (IPE))            DENOUNCE/DENUNCIATION            DEPENDENT CLAIMS            DEPOSIT            DESCRIPTION            DESIGN            DESIGNATED OFFICE(S)            DESIGNATED STATE(S)            DESIGNATION(S) (OF STATES)            DEVELOPING COUNTRIES            DIAGNOSTIC METHODS            DIAGRAMS            DIGITAL LIBRARY            DIRECTOR GENERAL (OF WIPO)            DISCLOSURES            DISPARAGING COMMENTS/STATEMENTS            DISPUTE(S)            DOCKET REFERENCE            DOCUMENTATION            DOCUMENTS            DRAWING(S)</p> <p><b>-E-</b></p> <p>EARLIER APPLICATION            EARLIER SEARCH            EARLY NATIONAL PROCESSING            EARLY PUBLICATION            EFFECT(S)            EFFECTIVE DATE            ELECTED OFFICE(S)            ELECTION(S)            ELECTRONIC            ELEMENTS            ENTRY INTO FORCE            ERRORS            EXAMINATION            EXAMINING AUTHORITY            EXCUSE            EXECUTIVE COMMITTEE            EXHIBITION(S)            EXPENSE(S)            EXPIRATION            EXPLANATIONS            EXPRESSIONS            EXTENSION            EX OFFICIO</p> <p><b>-F-</b></p> <p>FACSIMILE</p>
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<p>FEE(S) FILE FILE REFERENCE FILING DATE FINAL PROVISIONS FINANCES (OF UNION OF PCT STATES) FINANCIAL FLOW SHEETS FORM(S) FORMAT FORMULA(E) FRONT PAGE OF INTERNATIONAL PUBLICATION</p> <p><b>-G-</b> GAMES GAZETTE, PCT GENERAL POWER OF ATTORNEY GRADUAL APPLICATION GUIDELINES</p> <p><b>-H-</b> HANDLING FEE HEADING(S) HOME COPY (OF IA)</p> <p><b>-I-</b> IDENTIFICATION ILLUSTRATION(S) INDICATIONS INDUSTRIAL APPLICABILITY INDUSTRIAL ESTABLISHMENT INFORMATION INFORMATION SERVICES INSTRUCTIONS, ADMINISTRATIVE INSTRUMENTS OF ACCESSION OR RATIFICATION INTELLECTUAL PROPERTY INTERGOVERNMENTAL ORGANIZATION(S) INTERNATIONAL AUTHORITY INTERNATIONAL APPLICATION (IA) INTERNATIONAL BUREAU (IB) INTERNATIONAL COURT OF JUSTICE INTERNATIONAL FILING DATE INTERNATIONAL FILING FEE INTERNATIONAL PATENT CLASSIFICATION (IPC) INTERNATIONAL PATENT COOPERATION UNION INTERNATIONAL PRELIMINARY EXAMINATION (IPE) INTERNATIONAL PRELIMINARY EXAMINING   AUTHORITY (IPEA) INTERNATIONAL PRELIMINARY REPORT ON   PATENTABILITY (IPRP) CHAPTER I (Ch.I) INTERNATIONAL PRELIMINARY REPORT ON   PATENTABILITY (IPRP) CHAPTER II (Ch.II) INTERNATIONAL PUBLICATION INTERNATIONAL SEARCH INTERNATIONAL SEARCH FEE INTERNATIONAL SEARCH REPORT (ISR) INTERNATIONAL SEARCHING AUTHORITY (ISA) INTERNATIONAL SEARCHING AUTHORITY SPECIFIED FOR   SUPPLEMENTARY SEARCH (SISA) INTERNATIONAL-TYPE SEARCH INTERPRETATION INTERRUPTION OF MAIL SERVICE INVENTION, TITLE INVENTION, UNITY OF INVENTIVE STEP INVENTOR(S) INVENTOR'S CERTIFICATE (OF ADDITION) INVITATION IRREGULARITIES</p> <p><b>-K-</b> KEEPING OF RECORDS AND FILES KIND(S) OF PROTECTION</p> <p><b>-L-</b> LACK OF UNITY OF INVENTION</p>	<p>LANGUAGE(S) LATER ELECTION(S) LATER INDICATION LATER SUBMITTED SHEETS, RO PROCEDURE LEGAL ENTITY LETTER(S) LISTINGS, SEQUENCE LOSS</p> <p><b>-M-</b> MAIL MAINTAINING OF EFFECT MAIN INVENTION MAJORITY MARGIN(S) MARKING, SHEETS OF IA MATHEMATICAL MEASURES MENTAL ACTS MICROBIOLOGICAL INVENTIONS MICROORGANISMS MINIMUM DOCUMENTATION MISSING MISTAKES MODELS MODIFICATION MONTH(S) MORALITY MULTIPLE DEPENDENT CLAIM</p> <p><b>-N-</b> NAME NATIONAL APPLICATION NATIONAL EXAMINATION NATIONAL FEE NATIONAL LAW NATIONAL OFFICE NATIONAL PATENT NATIONAL PHASE NATIONAL PROCESSING NATIONAL REQUIREMENTS NATIONAL SECURITY NATIONALITY NATIONAL(S) NEW MATTER NOMENCLATURE NON-OBVIOUS(NESS) NON-WRITTEN DISCLOSURE NON-PREJUDICIAL DISCLOSURE NOTE(S) NOTICE(S) NOTIFICATION(S) NOVELTY NUCLEOTIDE AND/OR AMINO ACID SEQUENCE LISTINGS NUMBER NUMBERING</p> <p><b>-O-</b> OBSERVATIONS OBSERVERS OBVIOUS MISTAKES IN DOCUMENTS OBVIOUSNESS/NON-OBVIOUSNESS OFFICE OFFICIAL OPINION OPPORTUNITY ORAL COMMUNICATION ORGANIZATION</p> <p><b>-P-</b> PARENT PARIS CONVENTION PATENT PATENT COOPERATION TREATY (PCT) PATENT INFORMATION SERVICES PATENT OF ADDITION</p>
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PATENTABILITY  
PCT-SAFE  
PERIODS OF TIME  
PETITION  
PHYSICAL REQUIREMENTS  
PLANT VARIETY (IES)  
POWER OF ATTORNEY  
PRACTICE BEFORE INTERNATIONAL AUTHORITIES  
PRELIMINARY EXAMINATION (INTERNATIONAL)  
PRELIMINARY EXAMINING AUTHORITY  
PRESCRIBED  
PRIOR ART  
PRIORITY APPLICATION  
PRIORITY CLAIM  
PRIORITY DATE  
PRIORITY DOCUMENT  
PRIORITY PERIOD  
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AFTER FINDING LACK OF UNITY OF INVENTION)  
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PUBLICATION  
PURPORTED

**-Q-**

QUALITY FRAMEWORK  
QUORUM

**-R-**

RATIFY/RATIFICATION  
RECEIPT (OF IA BY RO)  
RECEIVING OFFICE (RO)  
RECORD(S)  
RECORD COPY  
RECORDING OF CHANGES  
RECTIFICATION  
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REGIONAL  
REGISTRATION  
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RENUMBERING, SHEETS OF IA  
RENUNCIATION (OF APPOINTMENT)  
REPLACEMENT  
REPLACEMENT SHEETS  
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REPRESENTATION/REPRESENTATIVE  
REPRODUCTION(S)  
REPUBLICATION  
REQUEST  
REQUIREMENT(S)  
RESERVATIONS (TO TREATY)  
RESIDENCE  
RESIDENT(S)  
RESPONSE  
RESTORATION  
RESTRICTION  
REVIEW BY DO  
REVISION  
REVOCAION (OF APPOINTMENT)  
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RULES OF PROCEDURE

**-S-**

SCHEDULE OF FEES  
SCIENTIFIC THEORIES

SEAL  
SEARCH  
SEARCH COPY  
SEARCH FEE  
SEARCH REPORT  
SEARCHING AUTHORITY  
SECRETARIAT  
SECURITY  
SEQUENCE LISTINGS  
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SIGNS (TERMINOLOGY)  
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SPECIALIZED  
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TOP-UP SEARCH  
TRANSLATION  
TRANSLITERATION  
TRANSMITTAL  
TREATMENT  
TREATY  
TRUE COPY  
TWO-LETTER CODES  
TYPING

**-U-**

UNANIMITY  
UNION  
UNITY OF INVENTION  
UTILITY CERTIFICATE  
UTILITY MODEL

**-V-**

VOTE

**-W-**

WAIVER  
WEIGHTS  
"WO"  
WITHDRAWAL  
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INTERNATIONAL SEARCH REPORT (ISR)  
MINIMUM DOCUMENTATION  
NOVELTY

**PRIORITY APPLICATION**

DO/EO may require proof of applicants entitlement to claim priority where applicant is not applicant of priority application or where applicant's name has changed since filing of priority application ..... R. 51bis.1(a)(iii)  
filing date of priority application as relevant date for prior art for IPE ..... Art. 33(2), (3), R. 64.1(b)(ii), 64.1(b)(iii), 64.3  
indications as to priority application in  
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**PRIORITY CLAIM**

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RO ..... Art. 8, R. 4.10, ROG 166-177  
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IB ..... R. 4.10, 26bis.1, 26bis.2(a), Form IB/316  
RO ..... R. 4.10, 26bis.1, 26bis.2(a), Form RO/110, ROG 167  
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as to applicant's entitlement, as at IFD, to claim priority of earlier application ..... Art. 27, R. 4.1(c)(iii), 4.17(iii), 51bis.1(a)(iii), AI Sec. 213  
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indications to be made in priority claim ..... R. 4.10(a)  
priority claim considered not to have been made by  
IB ..... R. 26bis.1, 26bis.2, AI Sec. 409, Form IB/318  
not if priority application number is missing or indication in priority claim is not same as in priority document ..... R. 26bis.2(c)  
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publication of priority claim in

<b>Subject</b>	<b>Paragraph</b>
Gaz. ....	R. 86.1(i), AI Annex D (item 3)
international publication .....	R. 48.2(a)
rectification in national phase of priority claim erroneously considered void.....	R. 82ter.1(a)
restoration of right of priority	
by DO.....	R. 49ter.2
criterion (“due care” or “unintentionality”) applied .....	R. 49ter.2(a)
by RO.....	R. 4.1(c)(v), 26bis.3, Forms RO/110, RO/118, RO/158, RO/159, ROG 166A-166I
criterion (“due care” or “unintentionality”) applied .....	R. 26bis.3(a)
refusal to restore.....	R. 26bis.3(g), Form RO/158, ROG 166B
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EO	
translation and time limit to furnish.....	Art. 39, R. 76.4
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notification concerning submission or transmittal of priority document .....	AI Sec. 411, Form IB/304
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generally.....	R. 66.7, ISPEG 6.17
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RO	
notification of date of receipt of priority document or of priority application number .....	AI Sec. 323(a), (b), (c), Form RO/135
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<b>Subject</b>	<b>Paragraph</b>
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Executive Committee ensures due execution of program of the union .....	Art. 54(6)(a)(v)
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## **PROTEST (AGAINST PAYMENT OF ADDITIONAL FEES AFTER FINDING LACK OF UNITY OF INVENTION)**

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transmittal by IB to DO/EO, upon request of applicant, texts of protest (against payment of additional fees after finding lack of unity of invention) and decision thereon .....	R. 40.2 (c), AI Sec. 403, Forms ISA/212, IPEA/420
protest (against payment of additional fees after finding lack of unity of invention) before IPEA .....	R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
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notification of decision on protest (against payment of additional fees after finding lack of unity of invention) .....	R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
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IB, copy of protest (against payment of additional fees after finding lack of unity of invention) and decision thereon .....	R. 68.3(c), AI Sec. 603
EOs, upon request of applicant, of texts of protest (against payment of additional fees after finding lack of unity of invention) and decision thereon .....	R. 68.3(c), AI Sec. 603
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**Subject** **Paragraph**

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time limit (treated as amendment under Art. 34)..... AI Sec. 602(c)  
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**-Q-**

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**-R-**

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**Subject** **Paragraph**

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ISA ..... R. 43.6bis, 91.1(b)(ii), (b)(iv), AI Sec. 511, Forms ISA/216, ISA/217, ISPEG 8.07-8.08  
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by IPEA for handling fee and IPE fee ..... AI Sec. 613

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Dem. considered under R. 54.4 not to have been submitted.....	R. 57.4(ii)
Dem. withdrawn before transmittal to IB.....	R. 57.4(i)
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RO where	
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IA not treated as such due to prescriptions concerning national security.....	R. 15.4(iii), Form RO/119, ROG 268
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by non-competent RO (R. 19.4).....	ROG 276
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RO where	
IA (considered) withdrawn before transmittal of SC to ISA.....	R. 16.2(ii), AI Sec. 326(c), Form RO/119, ROG 269
IA not treated as such due to prescriptions concerning national security.....	R. 16.2(iii), AI Sec. 322, Form RO/119, ROG 269
no IFD accorded.....	R. 16.2(i), Form RO/119, ROG 269

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agent.....	Art. 4(1)(v), R. 4.7(b)
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Regulations under the PCT provide for establishment of A.I by DG.....	Art. 58(4)

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See REFUND

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Art. 22.....	R. 49.6, Guide National Phase, National Chapters



<b>Subject</b>	<b>Paragraph</b>
Art. 39 .....	R. 76.5, Guide National Phase, National Chapters

## **REJECTION**

DO must give applicant opportunity to correct before rejection of IA .....	Art. 26
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## **RENUMBERING, SHEETS OF IA**

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CORRECTION(S)	
DELETION	
PHYSICAL REQUIREMENTS	
SHEETS	

## **RENUNCIATION (OF APPOINTMENT)**

generally (of appointment by agent or CRep).....	R. 90.6(d)
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or addition of declaration(s) relating to national requirements as replacement sheets ..	Art. 27, R. 4.17, 26ter.1, AI Sec. 216
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SL sheets .....	R. 13ter.1(f), AI Sec. 513(a)
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**Subject****Paragraph**

replacement sheets submitted together with request  
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See ADDITION OF SHEETS TO IA

CORRECTION(S)  
DEFECTS  
DELETION  
NUMBERING  
SHEETS

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INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)  
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RO..... AI Sec. 327, Form RO/146  
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than determined in AI Sec. 102(h) ..... R. 3.4, AI Sec. 102(i)  
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applicant..... R. 92*bis*.1(a), AI Sec. 422*bis*, ROG 309-313  
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**Subject****Paragraph**

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**RESIDENT(S)**

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**Subject** **Paragraph**

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**“WO”**

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calendar year(s)

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