

C. PCT 1574

The Director General of the World Intellectual Property Organization (WIPO) presents his compliments to the Minister for Foreign Affairs and has the honor to invite Her/His Excellency's Government to comment on the draft revised lists of States meeting the criteria set out in items 5(a) and (b) of the Schedule of Fees annexed to the Regulations under the Patent Cooperation Treaty (PCT).

Items 5(a) and (b) of the Schedule of Fees provide for reductions of certain fees payable under the PCT to applicants who are nationals of and resident in a State included in lists established for the purpose. The lists are updated every five years in accordance with Directives of the Assembly, set out in Annex II to document PCT/A/46/3. The Directives require the Director General to prepare draft revised lists based on the criteria according to the latest figures available two weeks before the opening of the 2019 session of the PCT Assembly and to make those lists available to the PCT Contracting States and States entitled to observer status in the Assembly for comment before the end of that session of the Assembly.

- ./. Annex I contains the draft revised lists under items 5(a) and (b) of the Schedule of Fees as they would stand with effect from July 1, 2020. Annex II contains tables showing the figures on which the lists are based.

Her/His Excellency's Government is invited to communicate any comments on the draft lists to the International Bureau of WIPO, before the end of the PCT Assembly on October 9, 2019, by email to: pct.wg@wipo.int.



September 27, 2019

Enclosures: Annex I: Draft revised lists of States according to items 5(a) and (b) of the PCT Schedule of Fees
Annex II: Explanation of basis of lists

DRAFT REVISED LISTS OF STATES ACCORDING TO
ITEMS 5(a) AND (b) OF THE PCT SCHEDULE OF FEES

The following draft lists have been prepared by the Director General in accordance with the Directives of the PCT Assembly set out in Annex II to document PCT/A/46/3, with a view to the establishment of new lists for the purposes of PCT fee reductions in accordance with items 5(a) and (b) of the Schedule of Fees, with effect from July 1, 2020.

(1) Natural persons national of and resident in the following States will be eligible for the reduction set out in item 5(a) of the PCT Schedule of Fees.

(a) States which are PCT Contracting States

AG	Antigua and Barbuda	HN	Honduras
AL	Albania	HR	Croatia
AM	Armenia	HU	Hungary
AO	Angola	ID	Indonesia
AZ	Azerbaijan	IN	India
BA	Bosnia and Herzegovina	IR	Iran (Islamic Republic of)
BB	Barbados	JO	Jordan
BF	Burkina Faso	KE	Kenya
BG	Bulgaria	KG	Kyrgyzstan
BH	Bahrain	KH	Cambodia
BJ	Benin	KM	Comoros
BR	Brazil	KN	Saint Kitts and Nevis
BW	Botswana	KP	Democratic People's Republic of Korea
BY	Belarus	KZ	Kazakhstan
BZ	Belize	LA	Lao People's Democratic Republic
CF	Central African Republic	LC	Saint Lucia
CG	Congo	LK	Sri Lanka
CI	Côte d'Ivoire	LR	Liberia
CL	Chile	LS	Lesotho
CM	Cameroon	LT	Lithuania
CN	China	LV	Latvia
CO	Colombia	LY	Libya
CR	Costa Rica	MA	Morocco
CU	Cuba	MD	Republic of Moldova
CZ	Czech Republic	ME	Montenegro
DJ	Djibouti	MG	Madagascar
DM	Dominica	MK	North Macedonia
DO	Dominican Republic	ML	Mali
DZ	Algeria	MN	Mongolia
EC	Ecuador	MR	Mauritania
EE	Estonia	MT	Malta
EG	Egypt	MW	Malawi
GA	Gabon	MX	Mexico
GD	Grenada	MY	Malaysia
GE	Georgia	MZ	Mozambique
GH	Ghana	NA	Namibia
GM	Gambia	NE	Niger
GN	Guinea	NG	Nigeria
GQ	Equatorial Guinea	NI	Nicaragua
GR	Greece	OM	Oman
GT	Guatemala	PA	Panama
GW	Guinea-Bissau		

PE	Peru	SZ	Eswatini
PG	Papua New Guinea	TD	Chad
PH	Philippines	TG	Togo
PL	Poland	TH	Thailand
PT	Portugal	TJ	Tajikistan
RO	Romania	TM	Turkmenistan
RS	Serbia	TN	Tunisia
RU	Russian Federation	TR	Turkey
RW	Rwanda	TT	Trinidad and Tobago
SA	Saudi Arabia	TZ	United Republic of Tanzania
SC	Seychelles	UA	Ukraine
SD	Sudan	UG	Uganda
SI	Slovenia	UZ	Uzbekistan
SK	Slovakia	VC	Saint Vincent and the Grenadines
SL	Sierra Leone	VN	Viet Nam
SN	Senegal	ZA	South Africa
ST	Sao Tome and Principe	ZM	Zambia
SV	El Salvador	ZW	Zimbabwe
SY	Syrian Arab Republic		

(b) States which are not PCT Contracting States

AF	Afghanistan	MU	Mauritius
AR	Argentina	MV	Maldives
BD	Bangladesh	NP	Nepal
BI	Burundi	NR	Nauru
BO	Bolivia (Plurinational State of)	PK	Pakistan
BT	Bhutan	PW	Palau
CD	Democratic Republic of the Congo	PY	Paraguay
CV	Cabo Verde	SB	Solomon Islands
ER	Eritrea	SO	Somalia
ET	Ethiopia	SR	Suriname
FJ	Fiji	SS	South Sudan
FM	Micronesia (Federated States of)	TL	Timor-Leste
GY	Guyana	TO	Tonga
HT	Haiti	TV	Tuvalu
IQ	Iraq	UY	Uruguay
JM	Jamaica	VE	Venezuela (Bolivarian Republic of)
KI	Kiribati	VU	Vanuatu
LB	Lebanon	WS	Samoa
MH	Marshall Islands	YE	Yemen
MM	Myanmar		

(2) Applicants, whether a natural person or not, national of and resident in the following States will be eligible for the reduction set out in item 5(b) of the PCT Schedule of Fees.

(a) States which are PCT Contracting States

AO	Angola	MR	Mauritania
BF	Burkina Faso	MW	Malawi
BJ	Benin	MZ	Mozambique
CF	Central African Republic	NE	Niger
DJ	Djibouti	RW	Rwanda
GM	Gambia	SD	Sudan
GN	Guinea	SL	Sierra Leone
GW	Guinea-Bissau	SN	Senegal
KH	Cambodia	ST	Sao Tome and Principe
KM	Comoros	TD	Chad
LA	Lao People's Democratic Republic	TG	Togo
LR	Liberia	TZ	United Republic of Tanzania
LS	Lesotho	UG	Uganda
MG	Madagascar	ZM	Zambia
ML	Mali		

(b) States which are not PCT Contracting States

AF	Afghanistan	MM	Myanmar
BD	Bangladesh	NP	Nepal
BI	Burundi	SB	Solomon Islands
BT	Bhutan	SO	Somalia
CD	Democratic Republic of the Congo	SS	South Sudan
ER	Eritrea	TL	Timor-Leste
ET	Ethiopia	TV	Tuvalu
HT	Haiti	VU	Vanuatu
KI	Kiribati	YE	Yemen

[End of Annex I]

EXPLANATION OF BASIS OF LISTS

The following table shows the information on which the eligibility for inclusion in the lists for reductions under items 5(a) and 5(b) of the Schedule of Fees are based.

In this table, the “eligibility” columns show “Y” (“Yes”) if the State meets the criteria for inclusion in the relevant list when it is established or “N” (“No”) if a State in the current list will cease to meet the criteria for inclusion in the relevant new list when it is established. The “Pop.” column shows the average population in the period 2013 to 2017 according to the United Nations statistics. The GDP column shows the average per capita gross domestic product values for the period 2008 to 2017 at constant 2004 United States dollar values. The applications by natural persons are based on the international applications filed in the period 2014 to 2018, though the “per million” figure is calculated using the 2013 to 2017 population figures since the 2018 figures are not available.

The latest United Nations figures are the same as those that were provisionally provided to the PCT Working Group in document PCT/WG/12/11. The WIPO figures now contain more complete information on applications filed in 2018, resulting in small changes to the columns concerning applications by natural persons. However, this does not change the outcome of which States are included in the lists compared to those discussed based on the Working Group document.

To be eligible for the reduction under item 5(a), a State must have a GDP less than 25,000 United States dollars and either the average total applications by natural persons must be less than 50 or else the applications per million persons must be less than 10.

ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons		
	5(a)	5(b)			Total	Per million	
A. States currently listed for the reduction in item 5(a), but not 5(b)							
AG Antigua and Barbuda	Y		0.1	13,432.0	0.0	0.0	
AL Albania	Y		2.9	4,453.6	2.6	0.9	
AM Armenia	Y		2.9	4,079.0	4.6	1.6	
AR Argentina	Y		43.4	10,877.6	16.0	0.4	
AZ Azerbaijan	Y		9.6	6,064.2	4.4	0.5	
BA Bosnia and Herzegovina	Y		3.5	5,151.3	4.0	1.1	
BB Barbados	Y		0.3	16,479.9	0.2	0.7	
BG Bulgaria	Y		7.2	7,619.7	21.0	2.9	
BH Bahrain	Y		1.4	22,554.4	1.2	0.9	
BO Bolivia (Plurinational State of)	Y		10.7	2,292.1	0.2	0.0	
BR Brazil	Y		205.9	11,763.0	218.2	1.1	
BS Bahamas	N		0.4	28,567.1	0.0	0.0	
BW Botswana	Y		2.2	7,273.8	0.0	0.0	
BY Belarus	Y		9.5	6,521.2	12.8	1.4	
BZ Belize	Y		0.4	4,567.3	0.2	0.6	
CG Congo	Y		5.0	3,061.5	0.4	0.1	
CI Côte d'Ivoire	Y		23.1	1,396.9	1.8	0.1	
CL Chile	Y		17.8	14,590.9	44.4	2.5	
CM Cameroon	Y		22.8	1,452.7	2.0	0.1	
CN China	Y		1,396.6	5,691.5	3,900.6	2.8	

ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons	
	5(a)	5(b)			Total	Per million
CO Colombia	Y		48.2	7,184.1	30.6	0.6
CR Costa Rica	Y		4.8	9,204.9	3.0	0.6
CU Cuba	Y		11.5	6,309.9	1.0	0.1
CV Cabo Verde	Y		0.5	3,553.4	0.0	0.0
CY Cyprus	N		0.9	30,905.7	3.0	3.5
CZ Czech Republic	Y		10.6	21,428.0	28.0	2.6
DM Dominica	Y		0.1	7,109.5	0.0	0.0
DO Dominican Republic	Y		10.5	6,132.2	4.6	0.4
DZ Algeria	Y		39.9	4,810.1	7.8	0.2
EC Ecuador	Y		16.1	5,281.5	3.0	0.2
EE Estonia	Y		1.3	17,488.8	3.8	2.9
EG Egypt	Y		93.7	2,681.6	47.2	0.5
FJ Fiji	Y		0.9	4,085.5	0.0	0.0
FM Micronesia (Federated States of)	Y		0.1	2,959.8	0.0	0.0
GA Gabon	Y		1.9	9,594.6	0.0	0.0
GD Grenada	Y		0.1	8,259.5	0.0	0.0
GE Georgia	Y		4.0	3,432.1	6.8	1.7
GH Ghana	Y		27.6	2,095.0	1.2	0.0
GR Greece	Y		11.2	24,898.2	50.2	4.5
GT Guatemala	Y		16.3	3,087.9	1.2	0.1
GY Guyana	Y		0.8	3,506.2	0.2	0.3
HN Honduras	Y		9.0	2,129.1	0.2	0.0
HR Croatia	Y		4.2	14,763.8	18.2	4.3
HU Hungary	Y		9.8	14,680.4	65.0	6.6
ID Indonesia	Y		258.1	3,642.7	7.4	0.0
IN India	Y		1,309.0	1,613.5	437.0	0.3
IQ Iraq	Y		36.1	4,883.2	1.4	0.0
IR Iran (Islamic Republic of)	Y		79.3	6,695.9	49.2	0.6
JM Jamaica	Y		2.9	4,998.1	0.8	0.3
JO Jordan	Y		9.1	3,651.5	8.0	0.9
KE Kenya	Y		47.3	1,083.4	3.6	0.1
KG Kyrgyzstan	Y		5.9	1,015.8	0.2	0.0
KN Saint Kitts and Nevis	Y		0.1	15,314.3	0.2	3.7
KP Democratic People's Republic of Korea	Y		25.1	603.5	3.0	0.1
KZ Kazakhstan	Y		17.7	10,349.5	17.4	1.0
LB Lebanon	Y		5.8	8,178.8	3.4	0.6
LC Saint Lucia	Y		0.2	8,571.4	0.0	0.0
LK Sri Lanka	Y		20.7	3,418.5	8.8	0.4
LT Lithuania	Y		2.9	14,577.5	2.6	0.9
LV Latvia	Y		2.0	13,804.6	8.0	4.0
LY Libya	Y		6.3	7,109.4	1.0	0.2

ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons	
	5(a)	5(b)			Total	Per million
MA Morocco	Y		34.8	3,240.3	13.2	0.4
MD Republic of Moldova	Y		4.1	1,675.1	5.6	1.4
ME Montenegro	Y		0.6	7,288.7	0.4	0.6
MH Marshall Islands	Y		0.1	3,396.1	0.0	0.0
MK North Macedonia	Y		2.1	5,010.8	1.8	0.9
MN Mongolia	Y		3.0	3,520.8	0.4	0.1
MT Malta	Y		0.4	24,602.3	1.6	3.7
MU Mauritius	Y		1.3	9,177.2	0.8	0.6
MV Maldives	Y		0.4	8,157.0	0.0	0.0
MX Mexico	Y		125.9	9,848.9	135.0	1.1
MY Malaysia	Y		30.7	10,391.8	38.0	1.2
NA Namibia	Y		2.4	5,820.6	0.4	0.2
NG Nigeria	Y		181.3	2,503.3	5.8	0.0
NI Nicaragua	Y		6.1	1,804.3	0.4	0.1
NR Nauru	Y		0.0	10,914.0	0.0	0.0
OM Oman	Y		4.2	18,710.2	1.6	0.4
PA Panama	Y		4.0	10,018.2	0.8	0.2
PE Peru	Y		31.4	5,753.9	15.8	0.5
PG Papua New Guinea	Y		7.9	2,185.5	0.0	0.0
PH Philippines	Y		101.7	2,486.9	17.0	0.2
PK Pakistan	Y		189.4	1,128.3	1.8	0.0
PL Poland	Y		38.3	14,185.6	63.8	1.7
PT Portugal	Y		10.4	22,763.8	23.2	2.2
PW Palau	Y		0.0	10,153.4	0.0	0.0
PY Paraguay	Y		6.6	3,655.3	0.0	0.0
RO Romania	Y		19.9	9,501.0	23.8	1.2
RS Serbia	Y		7.1	5,865.9	11.6	1.6
RU Russian Federation	Y		143.8	11,871.3	502.4	3.5
SA Saudi Arabia	Y		31.5	21,487.1	23.8	0.8
SC Seychelles	Y		0.1	12,494.1	0.2	2.1
SI Slovenia	Y		2.1	24,845.9	19.6	9.5
SK Slovakia	Y		5.4	18,467.2	18.6	3.4
SR Suriname	Y		0.6	8,819.6	0.2	0.4
SV El Salvador	Y		6.3	3,274.3	1.0	0.2
SY Syrian Arab Republic	Y		18.9	2,232.4	4.2	0.2
SZ Eswatini	Y		1.3	3,991.6	0.6	0.5
TH Thailand	Y		68.6	5,656.6	35.0	0.5
TJ Tajikistan	Y		8.5	847.7	0.0	0.0
TM Turkmenistan	Y		5.6	5,886.5	0.0	0.0
TN Tunisia	Y		11.3	4,331.3	5.0	0.4
TO Tonga	Y		0.1	3,822.4	0.2	1.9
TR Turkey	Y		78.3	12,982.0	217.8	2.8

ST.3 code, State		Eligibility		Pop.	GDP	Applications by natural persons	
		5(a)	5(b)			Total	Per million
TT	Trinidad and Tobago	Y		1.4	17,136.3	1.8	1.3
UA	Ukraine	Y		44.7	3,165.6	115.0	2.6
UY	Uruguay	Y		3.4	13,468.9	2.2	0.6
UZ	Uzbekistan	Y		31.0	1,700.7	3.2	0.1
VC	Saint Vincent and the Grenadines	Y		0.1	6,751.8	0.0	0.0
VE	Venezuela (Bolivarian Republic of)	Y		31.2	13,695.8	2.6	0.1
VN	Viet Nam	Y		93.5	1,551.9	11.0	0.1
ZA	South Africa	Y		55.3	7,784.0	119.4	2.2
ZW	Zimbabwe	Y		15.8	853.6	1.6	0.1
B. States currently listed for the reduction in item 5(b) (least developed countries)							
AF	Afghanistan	Y	Y	33.7	626.4	0.0	0.0
AO	Angola	Y	Y	27.9	3,804.0	1.2	0.0
BD	Bangladesh	Y	Y	161.2	905.5	0.6	0.0
BF	Burkina Faso	Y	Y	18.1	643.0	0.2	0.0
BI	Burundi	Y	Y	10.2	244.8	0.4	0.0
BJ	Benin	Y	Y	10.6	846.4	0.0	0.0
BT	Bhutan	Y	Y	0.8	2,512.8	0.0	0.0
CD	Democratic Republic of the Congo	Y	Y	76.3	385.5	0.0	0.0
CF	Central African Republic	Y	Y	4.6	404.2	0.4	0.1
DJ	Djibouti	Y	Y	0.9	1,405.4	0.0	0.0
ER	Eritrea	Y	Y	4.9	553.8	0.0	0.0
ET	Ethiopia	Y	Y	99.9	385.3	0.8	0.0
GM	Gambia	Y	Y	2.0	846.1	0.0	0.0
GN	Guinea	Y	Y	12.1	721.9	0.2	0.0
GQ	Equatorial Guinea	Y	N	1.2	17,070.2	0.0	0.0
GW	Guinea-Bissau	Y	Y	1.8	584.3	0.0	0.0
HT	Haiti	Y	Y	10.7	751.8	0.0	0.0
KH	Cambodia	Y	Y	15.5	956.1	0.2	0.0
KI	Kiribati	Y	Y	0.1	1,670.1	0.0	0.0
KM	Comoros	Y	Y	0.8	1,494.4	0.0	0.0
LA	Lao People's Democratic Republic	Y	Y	6.7	1,450.2	0.0	0.0
LR	Liberia	Y	Y	4.5	382.4	0.0	0.0
LS	Lesotho	Y	Y	2.2	1,311.9	0.0	0.0
MG	Madagascar	Y	Y	24.3	509.7	0.6	0.0
ML	Mali	Y	Y	17.5	845.6	0.0	0.0
MM	Myanmar	Y	Y	52.4	1,009.8	0.0	0.0
MR	Mauritania	Y	Y	4.2	1,315.8	0.0	0.0
MW	Malawi	Y	Y	17.6	484.2	0.6	0.0

ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons	
	5(a)	5(b)			Total	Per million
MZ Mozambique	Y	Y	28.0	482.9	0.2	0.0
NE Niger	Y	Y	19.9	384.9	0.2	0.0
NP Nepal	Y	Y	28.7	678.1	0.4	0.0
RW Rwanda	Y	Y	11.6	667.0	0.2	0.0
SB Solomon Islands	Y	Y	0.6	1,467.3	0.0	0.0
SD Sudan	Y	Y	38.7	1,565.2	2.6	0.1
SL Sierra Leone	Y	Y	7.2	473.0	0.0	0.0
SN Senegal	Y	Y	15.0	1,387.4	1.6	0.1
SO Somalia	Y	Y	13.9	94.5	0.2	0.0
SS South Sudan	Y	Y	11.9	1,240.3	0.0	0.0
ST Sao Tome and Principe	Y	Y	0.2	1,244.2	0.0	0.0
TD Chad	Y	Y	14.0	942.5	0.0	0.0
TG Togo	Y	Y	7.4	601.4	0.4	0.1
TL Timor-Leste	Y	Y	1.2	3,560.3	0.0	0.0
TV Tuvalu	Y	Y	0.0	3,414.4	0.0	0.0
TZ United Republic of Tanzania	Y	Y	52.5	797.8	0.6	0.0
UG Uganda	Y	Y	40.2	620.0	0.4	0.0
VU Vanuatu	Y	Y	0.3	3,058.8	0.0	0.0
WS Samoa	Y	N	0.2	3,885.8	0.0	0.0
YE Yemen	Y	Y	26.9	1,020.5	1.2	0.0
ZM Zambia	Y	Y	16.1	1,598.5	0.2	0.0
C. States not currently listed for either fee reduction						
AD Andorra			0.1	42,937.1	0.6	7.7
AE United Arab Emirates			9.2	40,616.2	5.6	0.6
AT Austria			8.7	49,814.5	154.6	17.8
AU Australia			23.8	63,667.9	281.2	11.8
BE Belgium			11.3	46,752.7	39.8	3.5
BN Brunei Darussalam			0.4	35,705.1	0.2	0.5
CA Canada			35.9	51,234.3	377.8	10.5
CH Switzerland			8.3	78,907.5	104.2	12.5
DE Germany			81.7	46,174.5	730.0	8.9
DK Denmark			5.7	62,208.5	32.8	5.8
ES Spain			46.5	32,060.8	311.0	6.7
FI Finland			5.5	48,467.1	50.4	9.2
FR France			66.7	43,263.0	316.0	4.7
GB United Kingdom			65.4	42,178.1	367.6	5.6
IE Ireland			4.7	58,710.7	43.8	9.3
IL Israel			8.1	34,707.2	259.0	32.1
IS Iceland			0.3	48,533.2	2.4	7.3
IT Italy			59.5	36,848.0	480.2	8.1

ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons	
	5(a)	5(b)			Total	Per million
JP Japan			127.9	47,680.1	464.0	3.6
KR Republic of Korea			50.6	24,600.4	1,704.4	33.7
KW Kuwait			3.9	40,291.4	1.6	0.4
LI Liechtenstein			0.0	162,685.7	0.6	16.2
LU Luxembourg			0.6	110,656.3	4.4	7.8
MC Monaco			0.0	175,258.4	0.6	15.8
NL Netherlands			16.9	54,037.1	81.8	4.8
NO Norway			5.2	93,317.2	40.4	7.8
NZ New Zealand			4.6	36,924.8	54.6	11.8
QA Qatar			2.5	71,584.7	2.0	0.8
SE Sweden			9.8	56,053.1	97.6	10.0
SG Singapore			5.5	51,541.0	39.8	7.2
SM San Marino			0.0	63,830.3	0.6	18.2
US United States of America			320.0	52,734.2	3,237.2	10.1

[End of Annex II and of Circular]