

## PCT LEGAL TEXT INDEX

(including references to the Articles, Rules, Administrative Instructions,  
Forms and various PCT Guidelines)

(applicable to legal texts in force as of July 1, 2020)

Acronym/Term	Meaning
AI Sec. ....	Section of the Administrative Instructions under the PCT
AI Annex ....	Annex to the Administrative Instructions under the PCT
AI Part 8 ....	Part 8 of the Administrative Instructions
AIs ....	Administrative Instructions under the PCT
Annex ....	Annex to the International Phase of the <i>PCT Applicant's Guide</i>
Art. ....	Article of the Patent Cooperation Treaty
Chapter ....	Chapter of the Patent Cooperation Treaty
CRep. ....	Common representative
Dem. ....	Demand (Form IPEA/401)
DG ....	Director General
DO ....	Designated Office
E-filing ....	Electronic filing
EO ....	Elected Office
Fees ....	Schedule of Fees
Gaz. ....	Official Notices ( <i>PCT Gazette</i> )
Guide ....	<i>PCT Applicant's Guide</i>
IA ....	International application
IB ....	International Bureau
IFD ....	International filing date
IGO ....	Intergovernmental organization
International Phase ....	International Phase of the <i>PCT Applicant's Guide</i>
IPE ....	International preliminary examination
IPEA ....	International Preliminary Examining Authority
IPRP (Ch.I) ....	International Preliminary Report on Patentability prepared by the ISA
IPRP (Ch.II) ....	International Preliminary Report on Patentability prepared by the IPEA
IS ....	International search
ISA ....	International Searching Authority
ISPEG ....	PCT International Search and Preliminary Examination Guidelines
ISR ....	International search report
NGO ....	Non-governmental organization
Paris ....	Paris Convention for the Protection of Industrial Property
PCT ....	Patent Cooperation Treaty
PCT-SAFE ....	PCT electronic filing software
Preamble ....	Preamble of the PCT
R. ....	Rule of the Regulations under the PCT
RC ....	Record copy
Reg. ....	Regulations under the PCT
Req. ....	Request (Form RO/101)
RO ....	Receiving Office
ROG ....	PCT Receiving Office Guidelines
SC ....	Search copy
SISA ....	International Searching Authority specified for supplementary search
SISR ....	Supplementary international search report
SL ....	Sequence listing
Stand. ....	WIPO Standard
Treaty ....	Patent Cooperation Treaty
WO-ISA ....	Written Opinion of the ISA

**LIST OF SUBJECTS IN PCT LEGAL TEXT INDEX**

- A-**
  - ABBREVIATED EXPRESSIONS
  - ABSENCE
  - ABSTAIN/ABSTENTION
  - ABSTRACT (PART OF IA)
  - ABSTRACT (PART OF MINIMUM DOCUMENTATION)
  - ACCESS
  - ACCESSION
  - ACCOUNTS OF UNION
  - ADDITION OF SHEETS TO IA
  - ADDITIONAL FEE
  - ADDITIONAL MATTER
  - ADDRESS(ES)
  - ADMINISTRATIVE INSTRUCTIONS (AIS)
  - ADMINISTRATIVE PROVISIONS OF THE PCT
  - ADVANCE(S)
  - AGENT
  - AGREEMENT
  - AIRMAIL
  - AMENDMENT(S) (TO IA)
  - AMINO ACID SEQUENCE LISTINGS
  - ANIMAL VARIETIES
  - ANNEXES (TO IPRP (Ch.II))
  - ANNOTATIONS
  - APPENDICES
  - APPLICANT(S)
  - APPLICATION (FOR INVENTION)
  - APPLICATION OF TREATY AND REGULATIONS
  - APPOINTMENT
  - ARTICLE
  - ASSEMBLY (OF UNION/PCT CONTRACTING STATES)
  - ASSIGNMENT
  - ATTORNEY
  - AUDITING
  - AUTHENTIC TEXT
  - AUTHORITY, INTERNATIONAL
  - AUTHORIZED OFFICER
  - AVAILABILITY
- B-**
  - BECOMING BOUND BY TREATY
  - BECOMING PARTY TO THE TREATY
  - BEST MODE
  - BIOLOGICAL
  - BUDGET OF UNION
  - BUSINESS
- C-**
  - CALENDAR
  - CALCULATION
  - CANCELLATION
  - CEASING OF EFFECT
  - CERTIFICATE OF ADDITION
  - CERTIFIED COPIES
  - CHANGES
  - CHAPTER
  - CHARACTERS
  - CHECKING
  - CHECK LIST
  - CHEMICAL
  - CHOICE
  - CITATIONS
  - CLAIMING PRIORITY
  - CLAIMS
  - CLASSIFICATION
  - COMBINATION
  - COMMENTS
  - COMMERCIAL ESTABLISHMENT
  - COMMITTEE(S)
  - COMMON AGENT
  - COMMON REPRESENTATIVE
  - COMMUNICATION
  - COMPETENT/COMPETENCY OF—
  - COMPUTATION
  - COMPUTER
  - CONFIDENTIAL NATURE
  - CONFIRMATION
  - CONTENTS
  - CONTINUATION/CONTINUATION-IN-PART
- CONTRACTING STATES
- CONTRIBUTION(S)
- COOPERATION
- COORDINATION COMMITTEE
- COPY/COPIES
- CORRECTION(S)
- CORRESPONDENCE
- COST(S)
- COUNTRY
- COUNTRY CODE
- CRITERIA
- CURRENCY
- D-**
  - DATE(S) (USING GREGORIAN CALENDAR)
  - DAY
  - DEADLINES (APPLICABLE TO APPLICANTS)
  - DECISION
  - DECLARATION(S)
  - DEFECTS
  - DEFICIT
  - DEFINITION(S)
  - DELAY(S)
  - DELEGATE
  - DELEGATION(S)
  - DELETION
  - DEMAND (FOR INTERNATIONAL PRELIMINARY EXAMINATION (IPE))
  - DENOUNCE/DENUNCIATION
  - DEPENDENT CLAIMS
  - DEPOSIT
  - DESCRIPTION
  - DESIGN
  - DESIGNATED OFFICE(S)
  - DESIGNATED STATE(S)
  - DESIGNATION(S) (OF STATES)
  - DEVELOPING COUNTRIES
  - DIAGNOSTIC METHODS
  - DIAGRAMS
  - DIGITAL LIBRARY
  - DIRECTOR GENERAL (OF WIPO)
  - DISCLOSURES
  - DISPARAGING COMMENTS/STATEMENTS
  - DISPUTE(S)
  - DOCKET REFERENCE
  - DOCUMENTATION
  - DOCUMENTS
  - DRAWING(S)
- E-**
  - EARLIER APPLICATION
  - EARLIER SEARCH
  - EARLY NATIONAL PROCESSING
  - EARLY PUBLICATION
  - EFFECT(S)
  - EFFECTIVE DATE
  - ELECTED OFFICE(S)
  - ELECTION(S)
  - ELECTRONIC
  - ELEMENTS
  - ENTRY INTO FORCE
  - ERRORS
  - EXAMINATION
  - EXAMINING AUTHORITY
  - EXCUSE
  - EXECUTIVE COMMITTEE
  - EXHIBITION(S)
  - EXPENSE(S)
  - EXPIRATION
  - EXPLANATIONS
  - EXPRESSIONS
  - EXTENSION
  - EX OFFICIO
- F-**
  - FACSIMILE
  - FEE(S)
  - FILE
  - FILE REFERENCE
  - FILING DATE

FINAL PROVISIONS  
FINANCES (OF UNION OF PCT STATES)  
FINANCIAL  
FLOW SHEETS  
FORM(S)  
FORMAT  
FORMULA(E)  
FRONT PAGE OF INTERNATIONAL PUBLICATION

**-G-**  
GAMES  
GAZETTE, PCT  
GENERAL POWER OF ATTORNEY  
GRADUAL APPLICATION  
GUIDELINES

**-H-**  
HANDLING FEE  
HEADING(S)  
HOME COPY (OF IA)

**-I-**  
IDENTIFICATION  
ILLUSTRATION(S)  
INDICATIONS  
INDUSTRIAL APPLICABILITY  
INDUSTRIAL ESTABLISHMENT  
INFORMATION  
INFORMATION SERVICES  
INSTRUCTIONS, ADMINISTRATIVE  
INSTRUMENTS OF ACCESSION OR RATIFICATION  
INTELLECTUAL PROPERTY  
INTERGOVERNMENTAL ORGANIZATION(S)  
INTERNATIONAL AUTHORITY  
INTERNATIONAL APPLICATION (IA)  
INTERNATIONAL BUREAU (IB)  
INTERNATIONAL COURT OF JUSTICE  
INTERNATIONAL FILING DATE  
INTERNATIONAL FILING FEE  
INTERNATIONAL PATENT CLASSIFICATION (IPC)  
INTERNATIONAL PATENT COOPERATION UNION  
INTERNATIONAL PRELIMINARY EXAMINATION (IPE)  
INTERNATIONAL PRELIMINARY EXAMINING  
AUTHORITY (IPEA)  
INTERNATIONAL PRELIMINARY REPORT ON  
PATENTABILITY (IPRP) CHAPTER I (Ch.I)  
INTERNATIONAL PRELIMINARY REPORT ON  
PATENTABILITY (IPRP) CHAPTER II (Ch.II)  
INTERNATIONAL PUBLICATION  
INTERNATIONAL SEARCH  
INTERNATIONAL SEARCH FEE  
INTERNATIONAL SEARCH REPORT (ISR)  
INTERNATIONAL SEARCHING AUTHORITY (ISA)  
INTERNATIONAL SEARCHING AUTHORITY SPECIFIED FOR  
SUPPLEMENTARY SEARCH (SISA)  
INTERNATIONAL-TYPE SEARCH  
INTERPRETATION  
INTERRUPTION OF MAIL SERVICE  
INVENTION, TITLE  
INVENTION, UNITY OF  
INVENTIVE STEP  
INVENTOR(S)  
INVENTOR'S CERTIFICATE (OF ADDITION)  
INVITATION  
IRREGULARITIES

**-K-**  
KEEPING OF RECORDS AND FILES  
KIND(S) OF PROTECTION

**-L-**  
LACK OF UNITY OF INVENTION  
LANGUAGE(S)  
LATER ELECTION(S)  
LATER INDICATION  
LATER SUBMITTED SHEETS, RO PROCEDURE  
LEGAL ENTITY  
LETTER(S)  
LISTINGS, SEQUENCE

LOSS

**-M-**  
MAIL  
MAINTAINING OF EFFECT  
MAIN INVENTION  
MAJORITY  
MARGIN(S)  
MARKING, SHEETS OF IA  
MATHEMATICAL  
MEASURES  
MENTAL ACTS  
MICROBIOLOGICAL INVENTIONS  
MICROORGANISMS  
MINIMUM DOCUMENTATION  
MISSING  
MISTAKES  
MODELS  
MODIFICATION  
MONTH(S)  
MORALITY  
MULTIPLE DEPENDENT CLAIM

**-N-**  
NAME  
NATIONAL APPLICATION  
NATIONAL EXAMINATION  
NATIONAL FEE  
NATIONAL LAW  
NATIONAL OFFICE  
NATIONAL PATENT  
NATIONAL PHASE  
NATIONAL PROCESSING  
NATIONAL REQUIREMENTS  
NATIONAL SECURITY  
NATIONALITY  
NATIONAL(S)  
NEW MATTER  
NOMENCLATURE  
NON-OBVIOUS(NESS)  
NON-WRITTEN DISCLOSURE  
NON-PREJUDICIAL DISCLOSURE  
NOTE(S)  
NOTICE(S)  
NOTIFICATION(S)  
NOVELTY  
NUCLEOTIDE AND/OR AMINO ACID SEQUENCE LISTINGS  
NUMBER  
NUMBERING

**-O-**  
OBSERVATIONS  
OBSERVERS  
OBVIOUS MISTAKES IN DOCUMENTS  
OBVIOUSNESS/NON-OBVIOUSNESS  
OFFICE  
OFFICIAL  
OPINION  
OPPORTUNITY  
ORAL COMMUNICATION  
ORGANIZATION  
OUTAGES (AFFECTING OFFICES)

**-P-**  
PARENT  
PARIS CONVENTION  
PATENT  
PATENT COOPERATION TREATY (PCT)  
PATENT INFORMATION SERVICES  
PATENT OF ADDITION  
PATENTABILITY  
PCT-SAFE  
PERIODS OF TIME  
PETITION  
PHYSICAL REQUIREMENTS  
PLANT VARIETY (IES)  
POWER OF ATTORNEY  
PRACTICE BEFORE INTERNATIONAL AUTHORITIES

PRELIMINARY EXAMINATION (INTERNATIONAL)	SEARCH REPORT
PRELIMINARY EXAMINING AUTHORITY	SEARCHING AUTHORITY
PRESCRIBED	SECRETARIAT
PRIOR ART	SECURITY
PRIORITY APPLICATION	SEQUENCE LISTINGS
PRIORITY CLAIM	SERIAL NUMBER (OF INTERNATIONAL APPLICATION)
PRIORITY DATE	SEVERAL
PRIORITY DOCUMENT	SHEETS
PRIORITY PERIOD	SIGNATURE(S)
PROCEDURE(S)	SIGNS (TERMINOLOGY)
PROCESSING	SPECIAL
PROGRAM OF THE UNION	SPECIALIZED
PROTECTION AVAILABLE UNDER PCT	STATEMENT
PROTEST (AGAINST PAYMENT OF ADDITIONAL FEES AFTER FINDING LACK OF UNITY OF INVENTION)	STOCKHOLM ACT (OF THE PARIS CONVENTION)
PUBLIC ORDER	STORAGE OF RECORDS
PUBLICATION	SUBJECT MATTER
PURPORTED	SUBSTITUTION, OF SHEETS OF IA
	SUCCESSOR
<b>-Q-</b>	SUPPLEMENT
QUALITY FRAMEWORK	SUPPLEMENTARY INTERNATIONAL SEARCH REPORT (SISR)
QUORUM	SURCHARGE
<b>-R-</b>	SURGERY
RATIFY/RATIFICATION	
RECEIPT (OF IA BY RO)	<b>-T-</b>
RECEIVING OFFICE (RO)	TABLES
RECORD(S)	TECHNICAL ASSISTANCE
RECORD COPY	TECHNICAL COOPERATION, COMMITTEE FOR
RECORDING OF CHANGES	TECHNICAL SERVICES
RECTIFICATION	TELEGRAPH
REFUND	TELEPHONE
REGIONAL	TELEPRINTER
REGISTRATION	TELESCOPING
REGULAR NATIONAL APPLICATION	TEMPERATURES
REGULATIONS UNDER THE PCT	TERMINOLOGY AND SIGNS
REIMBURSEMENT	TERRITORIES, INDICATIONS OF
REINSTATEMENT	THERAPY
REJECTION	TIME LIMIT(S)
RENUMBERING, SHEETS OF IA	TITLE (OF INVENTION IN IA)
RENUNCIATION (OF APPOINTMENT)	TOP-UP SEARCH
REPLACEMENT	TRANSLATION
REPLACEMENT SHEETS	TRANSLITERATION
REPORT	TRANSMITTAL
REPRESENTATION/REPRESENTATIVE	TREATMENT
REPRODUCTION(S)	TREATY
REPUBLICATION	TRUE COPY
REQUEST	TWO-LETTER CODES
REQUIREMENT(S)	TYPING
RESERVATIONS (TO TREATY)	<b>-U-</b>
RESIDENCE	UNANIMITY
RESIDENT(S)	UNION
RESPONSE	UNITY OF INVENTION
RESTORATION	UTILITY CERTIFICATE
RESTRICTION	UTILITY MODEL
REVIEW BY DO	
REVISION	<b>-V-</b>
REVOCATION (OF APPOINTMENT)	VOTE
RIGHT TO FILE	<b>-W-</b>
RIGHT TO PRACTICE	WAIVER
RULES OF PROCEDURE	WEIGHTS
	"WO"
<b>-S-</b>	WITHDRAWAL
SCHEDULE OF FEES	WITHDRAWN (CONSIDERED)
SCIENTIFIC THEORIES	WRITTEN DISCLOSURE(S)
SEAL	WRITTEN OPINION
SEARCH	
SEARCH COPY	<b>-Y-</b>
SEARCH FEE	YEAR(S)



**-A-****ABBREVIATED EXPRESSIONS**

meaning of certain abbreviated expressions.....Art. 2, R. 1.1, AI Sec. 101, 701, ISPEG 1.06-1.08, ROG 4, 6-9

See TWO-LETTER CODES

**ABSENCE**

absence of abstract

before

RO.....Art.3(2), 14(1)(a)(iv), (1)(b), 26.1, 38.1, Form RO/106, ISPEG 16.33, ROG 147

ISA.....Art.3(2), 3(3), R. 38.2, Form ISA/210, ISPEG 16.39

absence of claims .....Art. 11(1)(iii)(e), (2)(a), R. 20.3(a), ROG 42, 45-54, Form RO/103

absence of payment of fees.....Art. 3(4)(iv), 4(2), 14(3)

absence of specification of allocation of fees by

applicant.....Art. 3(4)(iv), 4(2), AI Sec. 321

search fee .....Art. 3(4)(iv), R. 16*bis*.1(c)(i), AI Sec. 321(b)(iii)

absence of quorum in Assembly (voting).....Art. 53(5)(b), R. 85

meetings of PCT Assembly in absence of exceptional

circumstances.....Art. 53(11)(a)

requirement of absence of opposition by certain states to

amendment of certain Reg.....R. 88.3

**ABSTAIN/ABSTENTION**

abstain/abstention in Assembly not considered votes .....Art. 53(6)(b)

abstain/abstention in Executive Committee not

considered votes.....Art. 54(8)(d)

abstain/abstention may be expressed in voting by

correspondence .....R. 81.3(d), 85

**ABSTRACT (PART OF IA)**

abstract (part of IA) as element of IA .....Art. 3(2) R. 8

commencing on separate sheet .....R. 11.4, AI Sec. 207

before RO .....Art. 3(2), 14(1)(a)(iv), (b), R. 11, 26.1, 26.2, 26.4, 26.5, AI Sec. 207(a), Form RO/106, ROG 147, 153

before ISA and IPEA .....Art. 3(2), (3), R. 8, 38, 44.2, ISPEG 15.38, 16. 33-16.43

modification of abstract (part of IA) as previously established by ISA

(based on applicant's comments).....R. 38.3, AI Sec. 515, Form ISA/205, ISPEG 16.46 – 16.47

failure by applicant to suggest a figure to be

published with abstract (part of IA) .....R. 8.2

indications in ISR concerning

abstract (part of IA) .....R. 44.2

electronic copy of abstract (part of IA) (PCT-SAFE) .....R. 89*ter*

language/translation of abstract (part of IA).....R. 38.2, 48.3(c)

later receipt of abstract (part of IA) .....ROG 207

missing or defective abstract (part of IA) before

RO .....Art.3(2), 14(1)(a)(iv), (b), R. 26.1, 38.1, Form RO/106, ISPEG 16.39, ROG 147

ISA .....Art.3(2), (3), R. 38.2, Form ISA/210, ISPEG 16.40

publication of abstract (part of IA) .....R. 8.2, 48.2(b)(iii), 86.1(i)

figure of drawings to be published with

abstract (part of IA) .....R. 3.3(a)(iii), 8.2, ISPEG 16.48 – 16.51

**ABSTRACT (PART OF MINIMUM DOCUMENTATION)**

generally .....Art. 15(4), R. 34.1(e)

**ACCESS**

generally .....Art. 30, 38

definition .....Art. 30(4)

access to electronic records.....AI Sec. 710(a)(vii), 711(b), 712

access to file held by

DO.....Art. 30(2), R. 94.2*bis*

for purposes of judicial Authorities.....Art. 30(2)(c)

EO .....Art. 30(2)(a), R. 94.3, ISPEG 2.16

Subject	Reference
<b>IB</b>	
by applicant .....	Art. 30(1)(a), R. 94.1(a)
notification concerning copies of documents in the file for IAs filed	
before 1 July 1998.....	R. 94.1 , Form IB/360
after 1 July 1998 .....	R. 94.1 , Form IB/366
by third party .....	Art. 30(1)(b), R. 61.4, 94.1(a), ISPEG 2.16
IPEA.....	Art. 38(1), R. 94.2, ISPEG 3.26
invitation to pay for requested copies of documents in the file .....	R. 94.1, Form IPEA/422
ISA .....	R. 94.1 <i>ter</i>
RO .....	Art. 30(2), (3), R. 94.1 <i>bis</i> , ROG 333B
SISA.....	ISPEG 15.88C

#### ACCESSION

accession to PCT.....	Art. 62(1)(ii)
instrument of accession deposited with DG .....	Art. 62(2)
required number for entry into force of PCT .....	Art. 63(1)(a)
Contracting States bound three months after deposit of instrument of accession .....	Art. 63(1)(a), (2)
notifications by DG of deposits of instruments of accession .....	Art. 62, 69(ii)
reservations may be made at time of accession.....	Art. 64

#### ACCOUNTS OF UNION

accounts of union approved by Assembly .....	Art. 53(2)(a)(vi)
audit reports on accounts of union submitted to Assembly .....	Art. 54(6)(a)(iv)
auditing of accounts of union.....	Art. 57(9)

See FINANCES (OF UNION OF PCT STATES)

#### ADDITION OF SHEETS TO IA

before IB.....	R. 26.4, AI Sec. 413, 413 <i>bis</i>
amendments of claims under Art. 19	
addition of sheets to IA .....	Art. 19, R. 46.5, AI Sec. 205, 417
notice of correction or addition of declaration referred to in R. 26 <i>ter</i> .1 as replacement or addition of sheets to IA .....	Art. 27, R. 4.17, 26 <i>ter</i> .1, AI Sec. 216
(re)numbering of sheets for international publication due to.....	Art. 3(4)(ii), 14(1)(a)(v), R. 11.7, AI Sec. 207, 410
before IPEA	
amendments in form of addition of sheets to IA .....	R. 66.8
amendment of claims under Art. 34(2)(b) .....	AI Sec. 205
before RO	
later filed sheets.....	R. 20.5(b)-(e), 20.5 <i>bis</i> .(b)-(e), AI Sec. 308 <i>bis</i> , 309, 310, 310 <i>bis</i> , Form RO/126, RO/129, ROG 193-206
later receipt of abstract.....	ROG 207
later filed drawings.....	Art. 14(2), R. 20.5(a), 20.5 <i>bis</i> .(a), ROG 193-206
notice of correction or addition of declaration referred to in R. 26 <i>ter</i> .1 as replacement or addition of sheets to IA .....	Art. 27, R. 4.17, 26 <i>ter</i> .1, AI Sec. 216
replacement sheets.....	R. 11.14, 26.4, AI Sec. 325, ROG 208-221
(re)numbering of sheets of IA or translation .....	Art. 3(4)(ii), 14(1)(a)(v), R. 11.7, AI Sec. 207, 311

See CORRECTION(S)

DRAWING(S)

REPLACEMENT SHEETS

SHEETS

#### ADDITIONAL FEE

ISA may invite applicant to pay additional fee if it considers that unity of invention is lacking.....	Art. 17(3)(a), R. 40.1, ISA/206
additional fee(s) payable to ISA .....	R. 40.2 (a), (b) (c), (e)
notification regarding review of justification for invitation to pay additional fee.....	R. 40.2(e)
time limit to pay additional fee to ISA .....	Art. 17(3)(a), R. 40.1, Form ISA/206

**Subject****Reference**

ISA may invite applicant to pay additional fees  
in case of missing parts  
or correct elements and parts  
included in the international application  
or considered to have been contained  
in the international application .....R. 40*bis*.1, ISA/208  
additional fee(s) payable to ISA .....R. 40*bis*.1  
time limit to pay additional fee to ISA .....R. 40*bis*.1, Form ISA/208  
IPEA may invite applicant to restrict claims or pay  
additional fee if it considers that unity of invention  
is lacking .....Art. 34(3)(a), R. 68.2  
additional fee(s) payable to IPEA.....R. 68.3 (a), (b), (c), (e)  
invitation to restrict or pay additional fee .....Art. 34(3)(a), R. 68.2, Form IPEA/405  
See PROTEST (AGAINST PAYMENT OF ADDITIONAL FEES AFTER FINDING LACK OF UNITY OF INVENTION)  
SPECIAL

**ADDITIONAL MATTER**

AIs may permit inclusion of additional matter in  
ISR .....R. 43.9  
Req. ....R. 4.19  
See CORRECTION(S)  
DELETION  
NEW MATTER

**ADDRESS(ES)**

address(es) in designated State for purpose of receiving  
notifications .....Art. 27(7)  
indication of address(es) in  
Dem.....Art. 31(3), R. 4.4, 4.5, 4.7, 4.16, 53.4, 53.5  
IA .....Art. 4(1)(iii), (v), R. 4(1)(iii), 4.4, 4.5, 4.7, 4.16, ROG 79-81, 85, 118  
of applicants, inventors and agents .....R. 4.4, 4.5, 4.6, 4.7(a), 51*bis*.1(a)(vii), ROG 72-74  
special address(es) for correspondence .....R. 4.4(d), ROG 118  
separate power of attorney.....R. 90.4(c), ROG 119, ISPEG 22.22, 22.29-22.31  
recording of changes in address(es).....R. 92*bis*.1(a), AI Sec. 422, 422*bis*  
See CORRESPONDENCE

**ADMINISTRATIVE INSTRUCTIONS (AIs)**

administrative instructions (AIs) contain guidelines  
for explanations contained in IPRP (Ch.I, Ch.II).....R. 43*bis*.1(b), R. 70.8, AI Sec. 604  
administrative instructions (AIs) fix  
amount of special fee(s) payable to IB for publication  
of priority claim added or corrected.....R. 26*bis*.2(e), AI Sec. 113(c)  
of refused request for rectification of obvious  
mistake .....R. 91.3(d), AI Sec. 113(b)  
under Art. 21(2)(b) and 64(3)(c)(i) .....R. 48.4(a), AI Sec. 113(a)  
maximum number of characters in applicant's  
file reference .....R. 11.6(f), AI Sec. 109(a)  
administrative instructions (AIs) may permit  
inclusion of additional matter in  
Req.....R. 4.17(a)  
ISR .....R. 43.9  
administrative instructions (AIs) may provide  
details concerning Gaz. other than in  
R. 86.1 to 86.5 .....R. 86.6  
for sign for Art. 35(2) statement in  
IPRP (Ch.I, Ch.II).....R. 43*bis*.1(b), R. 70.6(a)  
administrative instructions (AIs) (may) specify  
circumstances in which question of residence or  
nationality is to be referred by  
IB as RO to national Office concerned .....R. 18.1(c)  
IPEA to RO (or national Office where IA is  
filed with IB as RO) .....R. 54.1(b)  
data from front page of international publication  
to be included in Gaz.....R. 86.1(i), AI Annex D  
place on each sheet of IA for marking date or  
number and other details .....AI Sec. 308, 313, 314, 318, 325, 401, 402

**Subject****Reference**

administrative instructions (AIs) prescribe/govern/regulate	
allocation of moneys received by RO in certain cases..AI Sec. 321	
declarations relating to National Requirements .....	R 4.17, AI Sec. 211-215
data for inclusion on front page of international publication.....	R. 48.2(b)(i), AI Sec. 406(c)
information to be published	
concerning a priority claim considered not have been made, or considered void .....	R. 26bis 2(d), AI Sec. 302
in Gaz. under R. 86.1(v).....	AI Annex E
method of	
numbering in case of amendment of claims.....	R. 6.1(c), AI Sec. 205
identifying any cited document in ISR .....	R. 43.5(b), AI Sec. 503, Stand. ST.14
particulars of	
form of international publication and method of reproduction .....	R. 48.1, AI Sec. 406
printed Req. form and of Req. presented as computer print-out .....	R. 3.4, AI Sec. 102(a)(i)-(c), (h), AI Annex A (Part I), Form RO/101
printed Dem. form and of Dem. presented as computer print-out .....	R. 53.1(a), AI Sec. 102(a)(i)-(c), (h), AI Annex A (Part IV), Form IPEA/401
physical requirements as to form of	
ISR .....	R. 43.10, AI Sec. 102(a)(iii), AI Annex A (Part II), Form ISA/210
IPRP (Ch.I) .....	R. 44bis.1
IPRP (Ch.II).....	R. 70.15, AI Sec. 102(a)(v), AI Annex A (Part IV), Form IPEA/409
signature concerning declaration of inventorship .....	R. 4.17(iv), AI Sec. 214(c)
standard for presentation of SLs in IAs.....	R. 5.2, 12.1(d), 13ter, AI Annex C
administrative instructions (AIs) suggest appropriate heading for each part of description .....	R. 5.1(c), AI Sec. 204
establishment of administrative instructions (AIs)	
by DG on basis of Reg.....	Art. 58(4)
after consultation with ROs, ISAs and IPEAs.....	R. 89.2(a)
modification of administrative instructions (AIs) .....	R. 89.2(b),(c), 89.3
after consultation with interested Offices or Authorities.....	R. 89.2(b)
publication and entry into force .....	R. 89.3
scope and contents of administrative instructions (AIs) ..	R. 89.1

**ADMINISTRATIVE PROVISIONS OF THE PCT**

Assembly.....	Art. 53
Committee for Technical Cooperation .....	Art. 56
Executive Committee.....	Art. 54
Finances.....	Art. 57
International Bureau.....	Art. 55
Reg. ....	Art. 58

**ADVANCE(S)**

financial advance(s) to organization.....	Art. 57(8) (a), (b)
See FINANCES (OF UNION OF PCT STATES)	

**AGENT**

generally .....	R. 2.1, 2.2, 90.1(a), ROG 117 to 121
agent included in term applicant.....	R. 2.1
appointment	
effect of revoking any earlier.....	R. 90.6 (a), (b)
general power appointing agent.....	R. 90.5
manner of .....	R. 90.4(a)
change of agent, recording by IB.....	R. 92bis.1(a)(ii), AI Sec. 328, 425, 512, 608, Form RO/123, IB/306
correspondence for applicant addressed to agent .....	AI Sec. 108(c)(i)
effects of acts by or in relation to agent.....	R. 90.3
indications required in	
Dem.....	R. 53.2(a)(ii), 53.5
Req. ....	R. 4.4, 4.8
may indicate address for correspondence .....	R. 4.4(d)
national law requirements .....	Art. 27(2)(ii), 27(7), R. 51bis.1(b)

<b>Subject</b>	<b>Reference</b>
power appointing agent in check list .....	R. 3.3(a)(ii)
See RENUNCIATION (OF APPOINTMENT) REVOCATION (OF APPOINTMENT)	
<b>AGREEMENT</b>	
agreement between IPEA and IB .....	Art. 32 (2), (3), 34(1), R. 59.1(a)
agreement between ISA and IB .....	Art. 16(3)(b), 17(1), R. 35.2(a)
<b>AIRMAIL</b>	
use of airmail	
evidence as to date of mailing by interested party .....	R. 82.1(a)
by national Offices and IGOs .....	R. 92.3
<b>AMENDMENT(S) (TO IA)</b>	
amendment(s) (to IA) before	
DOs (description, claims, drawings) .....	Art. 28, R. 52
EOs (description, claims, drawings).....	Art. 41, R. 78
IB (claims) .....	Art. 19, R. 46
communication of amendment(s) (to IA) under Art. 19 to DOs .....	Art. 20(2), R. 47.1(b), 47.2
form of amendment(s) (to IA) under Art. 19 .....	Art. 19, R. 46.5
notification concerning filing of amendment(s) (to IA) to applicant .....	AI Sec. 417, Form IB/346
IPEA .....	R. 62, AI Sec. 417, Form IB/337
processing of Art. 19 amendment(s) (to IA) by IB...AI Sec. 417	
publication of Art. 19 amendment(s) (to IA) .....	R. 48.2(f)
IPEA (description, claims, drawings).....	Art. 34, R. 66.1(b), 66.4, 66.5, AI Sec. 802(b), ISPEG 20.02-20.08
amendment(s) (to IA) in response to written opinion .....	Art. 34(2)(b), R. 66.4-66.5, ISPEG 17.06
amendment(s) (to IA) not taken into account .....	R. 66.4bis, Form IPEA/432, ISPEG 20.05
appraisal of amendment(s) (to IA) by IPEA .....	ISPEG 20.09
communication regarding amendment(s) (to IA) not taken into account .....	R. 66.4bis, Form IPEA/432, ISPEG 20.05
form of amendment(s) (to IA).....	R. 66.8, AI Sec. 602
general considerations for IPEA .....	R. 11.12, 11.14, 66.4bis, 66.5, 66.8, , 92.1(a), 92.2(a), (b), ISPEG 20.04-20.08
invitation to submit amendment(s) (to IA) .....	R. 60.1(g), Form IPEA/431
language of amendment(s) (to IA) .....	R. 12.2,
letter accompanying amendment(s) (to IA), contents.....	R. 66.8
amendment(s) (to IA) going beyond disclosure as filed ...Art. 19(3), 28(2), 41(2), R. 66.2(a)(iv), 70.2(c)	
purported amendment(s) (to IA) under Art. 19 received by IPEA after time limit (considered as amendment under Art. 34).....	AI Sec. 602(c)
transmittal of amendment(s) (to IA) under Art. 19 if erroneously filed at RO .....	ROG 325(v)
See TIME LIMIT(S)	
<b>AMINO ACID SEQUENCE LISTINGS</b>	
See SEQUENCE LISTINGS	
<b>ANIMAL VARIETIES</b>	
animal varieties as subject matter not required to be examined.....	Art. 34(4)(a)(i), R. 67.1(ii), (iv)
searched .....	Art. 17(2)(a)(i), R. 39.1(ii), (iv)
<b>ANNEXES TO IPRP (Ch.II)</b>	
generally .....	Art. 36, R. 70, 71, 74
amendments as annexes to IPRP (Ch.II) .....	R. 70.16
corrections of other parts of IA as annexes to IPRP (Ch.II).....	R. 70.16
language of annexes to IPRP (Ch.II) .....	R. 70.17, ISPEG 17.52
protest and decision thereon as annexes to IPRP (Ch.II) ..R. 68.3(c)	
translation of annexes to IPRP (Ch.II).....	Art. 36(2), R. 74
See AMENDMENT(S)	

**Subject** **Reference**

**ANNOTATIONS**

manner of marking necessary annotations in check list....R. 3.3(b), AI Sec. 313, ROG 150-152

**APPENDICES**

no provision for appendices to IAs in PCT .....ROG 148

**APPLICANT(S)**

applicant(s) not qualified because not inventor.....Art. 27(3)  
declaration(s) in Req.  
as to applicant's entitlement, as at IFD, to apply  
for and be granted a patent.....Art. 27, R. 4.1(c)(iii), 4.17(ii), 51bis.1(a)(ii), AI Sec. 212  
as to applicant's entitlement, as at IFD, to claim  
priority of earlier application.....Art. 27, R. 4.1(c)(iii), 4.17(iii), 51bis.1(a)(iii), AI Sec. 213  
correction or addition of applicant(s).....Art. 27, R. 4.17, 26ter.1  
as replacement or additional sheet .....AI Sec. 216  
evidence may be required in national phase.....Art. 27(6), R. 51bis.1(a)(v)  
different applicant(s) for different  
designated/elected states.....Art. 9(3)  
identification of IA with 2 or more applicant(s).....AI Sec. 105  
relationship of inventor to applicant(s).....R. 4.6(b)  
right of applicant(s) to transmit copy of IA to DO.....Art. 13(2), R. 31  
where applicant(s) is a legal entity .....Art. 27(2)(i)

See ADDRESS(ES)

- DECLARATION(S)
- NAME
- NATIONALITY
- RECORDING OF CHANGES
- RESIDENCE
- RIGHT TO FILE
- SEVERAL
- SIGNATURE(S)

**APPLICATION (FOR INVENTION)**

definition .....Art. 2(i), (viii)  
application (for invention) for protection of invention  
as subject of Treaty .....Art. 1(1)  
application (for invention) may be filed as IA .....Art. 3(1)  
international application (for invention).....Art. 2(vii)  
national application (for invention) .....Art. 2(vi)  
regional application (for invention).....Art. 2(v)

See INTERNATIONAL APPLICATION

- NATIONAL APPLICATION
- REGIONAL

**APPLICATION OF TREATY AND REGULATIONS**

disputes between Contracting States concerning  
application of Treaty and Regulations.....Art. 59  
gradual application of Treaty and Regulations.....Art. 65

**APPOINTMENT**

appointment of agent.....R. 90.1, 90.4, ROG 117-119  
appointment of common representative .....R. 90.2, 90.4, ROG 117-119  
appointment of IPEA (by Assembly) .....Art. 16(3), 32(2), R. 59  
appointment of ISA (by Assembly).....Art. 16(3), R. 35  
renunciation of appointment .....R. 90.6, ROG 119, 120  
revocation of appointment .....R. 90.6(a)-(c), (e), ROG 119, 120

See AGENT

- ATTORNEY
- POWER OF ATTORNEY
- RENUNCIATION (OF APPOINTMENT)
- REVOCAION (OF APPOINTMENT)

Subject	Reference
<b>ARTICLE</b>	
meaning of article in Reg .....	R. 1.1(b)
<b>ASSEMBLY (OF UNION/PCT CONTRACTING STATES)</b>	
generally .....	Art. 53
definition of assembly (of Union/PCT Contracting States) .....	Art. 2(xvii)
advice and recommendations of Committee for Technical Cooperation may be addressed to DG or through him to other organs, ROs, ISAs or IPEAs .....	Art. 56(5)
AIs established by DG under control of assembly (of Union/PCT Contracting States) .....	Art. 58(4), R. 89.2(c)
assembly (of Union/PCT Contracting States) adopts	
budget of Union .....	Art. 53(2)(a)(vi)
financial Regulations of Union .....	Art. 53(2)(a)(vii)
measures for gradual application of Treaty and Reg. ...	Art. 65
assembly (of Union/PCT Contracting States) amends	
certain provisions of Treaty .....	Art. 61(2)(a)
Reg. ....	Art. 58(2)(a), R. 88
assembly (of Union/PCT Contracting States) appoints	
ISAs .....	Art. 16(3)(a), (e)
IPEAs .....	Art. 32(3), 16(3)
assembly (of Union/PCT Contracting States) approves	
final accounts of Union .....	Art. 53(2)(a)(vi)
reports and activities of DG .....	Art. 53(2)(a)(iv)
reports and activities of Executive Committee .....	Art. 53(2)(a)(v)
assembly (of Union/PCT Contracting States) arranges to increase working capital fund or reimburse part of it in certain circumstances .....	Art. 57(7)(a)
assembly (of Union/PCT Contracting States) designates Contracting States or external auditors to audit accounts of Union .....	Art. 57(9)
assembly (of Union/PCT Contracting States) determines/decides on	
availability and implementation of patent information services .....	Art. 50(4), (6)
composition of Committee for Technical Cooperation and appoints its members .....	Art. 56(2)(b)
admission as observers in assembly (of Union/PCT Contracting States) .....	Art. 53(2)(a)(ix), 53(8)
amount of contribution of each Contracting State to cover deficit .....	Art. 57(5)(b)
modification of time limits fixed in Treaty .....	Art. 47(2), R. 81.2
program of Union .....	Art. 53(2)(a)(vi)
whether to carry forward deficit .....	Art. 57(5)(c)
on reimbursement of contributions .....	Art. 57(5)(d)
assembly (of Union/PCT Contracting States) establishes	
committees and working groups .....	Art. 53(2)(a)(viii)
Committee for Technical Assistance .....	Art. 51(1)
Committee for Technical Cooperation .....	Art. 56(1)
details concerning implementation of provisions relating to technical assistance .....	Art. 51(5)
details of R. governing (re)election of members of Executive Committee .....	Art. 54(5)(c)
Executive Committee .....	Art. 53(9), 54 (1), (4), (5)(c)
assembly (of Union/PCT Contracting States) gives instructions to	
DG .....	Art. 53(2)(a)(iv)
Executive Committee .....	Art. 53(2)(a)(v)
assembly (of Union/PCT Contracting States) languages for official texts of Treaty other than languages referred to in Art. 67(1)(b) .....	Art. 67(1)(b)
assembly (of Union/PCT Contracting States) may	
decide to allow applicants from non- Contracting States party to Paris to file IA .....	Art. 9(2)
decide to allow persons entitled to file IAs to file Dem. even if they are residents or nationals of non-Contracting States or not bound by Chapter II .....	Art. 31(2)(b), R. 59.2
invite DG to modify AIs .....	R. 89.2(c)

Subject	Reference
order publication of Gaz. in languages other than English or French .....	R. 86.2(a), (b)
require publications other than Gaz. to be published by IB.....	Art. 55(4)
assembly (of Union/PCT Contracting States) recommends methods of providing financing of patent information services.....	Art. 50(7)
assembly (of Union/PCT Contracting States) specifies IPEAs or Authorities competent for IPE where it decides to allow persons referred to in Art. 31(2)(b) to file Dem.s.....	Art. 32(2), R. 59.2
composition of assembly (of Union/PCT Contracting States) .....	Art. 53(1), 57(8)(a)
decisions of assembly (of Union/PCT Contracting States) (procedure) abstentions .....	Art. 53(6)(b)
after having heard advice of Coordination Committee in respect of matters of interest also to other Unions .....	Art. 53(2)(b)
quorum .....	Art. 53(5), R. 85
voting .....	Art. 53(3), (4), (6)(a), 61(2)(b), R. 85
decisions of assembly (of Union/PCT Contracting States) govern details of procedure of Committee for Technical Cooperation .....	Art. 56(8)
draft agenda of assembly (of Union/PCT Contracting States) prepared by Executive Committee .....	Art. 54(6)(a)(i)
mandate of assembly (of Union/ PCT Contracting States) .....	Art. 53(2)(a)
observers in assembly (of Union/ PCT Contracting States) .....	Art. 53(2)(a)(ix), (8)
representation of Contracting States in assembly (of Union/PCT Contracting States) .....	Art. 53(1)(b),(3)
rules of procedure of assembly (of Union/PCT Contracting States) .....	Art. 53(12)
sessions of assembly (of Union/ PCT Contracting States) .....	Art. 53(11)
submission to assembly (of Union/PCT Contracting States) by Executive Committee of proposals in respect of draft program and biennial budget of Union prepared by DG .....	Art. 54(6)(a)(ii)
periodical reports of DG and yearly audit reports on accounts .....	Art. 54(6)(a)(iv)

See REVISION

#### ASSIGNMENT

assignment of IA, possible requirement to furnish to a  
national Office .....

R. 51bis.1(a)(ii)

#### ATTORNEY

right of attorney to practice.....

Art. 49, R. 83

See AGENT

#### AUDITING

auditing of accounts of Union.....

Art. 57(9)

Executive Committee submits to Assembly yearly  
audit reports on accounts .....

Art. 54(6)(a)(iv)

#### AUTHENTIC TEXT

authentic text of Treaty .....

Art. 67(1)(a)

#### AUTHORITY, INTERNATIONAL

common quality framework for international search  
and preliminary examination .....

ISPEG 21.01-21.28

right to practice before authority, international .....

Art. 49, R. 2.2, 83

See INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

INTERNATIONAL SEARCHING AUTHORITY



Subject	Reference
<b>AUTHORIZED OFFICER</b>	
definition of authorized officer for purposes of	
IPE.....	R. 70.14, AI Sec. 612, ISPEG 17.51
IS .....	R. 43.8, AI Sec. 514, ISPEG 16.84

#### AVAILABILITY

availability of copies	
cited in the international search report .....	Art. 20(3), R. 44.3, R. 94.1 <i>ter</i>
cited in the supplementary international search report .....	Art. 20(3), R. 45 <i>bis</i> .7(c), R. 94.1 <i>ter</i>
cited in the international preliminary examination report (not cited in the international search report) .....	Art. 36(4), R. 71, R. 94.1(c)
of any document on request of applicant.....	R. 94.1
of IA to DOs .....	Art. 13, R. 31
of priority document to DOs.....	R. 17.2
of translations of IA .....	R. 95
availability of publications free of charge .....	R. 87, AI Sec. 435

See ACCESS

PRIORITY DOCUMENT

PUBLICATION

TRANSLATION

### -B-

#### BECOMING BOUND BY TREATY

becoming bound by Treaty for States not party to Treaty upon entry into force .....	Art. 63(2)
See ENTRY INTO FORCE	

#### BECOMING PARTY TO THE TREATY

generally .....	Art. 62
-----------------	---------

#### BEST MODE

best mode for carrying out invention (in description).....	R. 5.1(a)(v), ISPEG 4.10
--	--------------------------

#### BIOLOGICAL

biological processes as subject matter not required to be	
examined .....	Art. 34(4)(a)(i), R. 67.1(ii), ISPEG 9.06
searched .....	Art. 17(2)(a)(i), R. 39.1(ii), ISPEG 9.06
national requirements: notification and publication .....	R. 13 <i>bis</i> .7, AI Annex L
references to deposited microorganisms or other biological material .....	
additional matter indicated in references to biological .....	13 <i>bis</i> .3(a)(iv), AI Annex L
before RO	
generally .....	R. 13 <i>bis</i> .2, ROG 228-234
as part of description .....	AI Sec. 207, ROG 229-231
indications by applicant relating to deposited microorganism or other biological.....	R. 13 <i>bis</i> , Form RO/134
language of indications relating to biological .....	Art. 11(1)(ii), R.12.1 <i>ter</i> , Form RO/103
on separate sheet.....	AI Sec. 209, ROG 229-231, 233
contents .....	R. 13 <i>bis</i> .3(a)
deposits with other than those notified.....	R. 13 <i>bis</i> .5(c)
failure to include .....	R. 13 <i>bis</i> .3(b)
furnishing samples of.....	R. 13 <i>bis</i> .6
time limit for furnishing indications .....	R. 13 <i>bis</i> .4
See SEQUENCE LISTINGS	

#### BUDGET OF UNION

See FINANCES (OF UNION OF PCT STATES)

#### BUSINESS

schemes, rules or methods of doing business as  
subject matter not required to be

Subject	Reference
examined.....	Art. 34(4)(a)(i), R. 67.1(iii), ISPEG 9.07
searched .....	Art. 17(2)(a)(i), R. 39.1(iii), ISPEG 9.07

**-C-**

**CALENDAR**

Assembly meets every second calendar year .....	Art. 53(11)(a)
calendar for expressing dates (based on Christian era/Gregorian calendar) .....	R. 79
notification of IB by DO/EO of number of IAs in respect of which during preceding calendar year national phase has not been entered .....	AI Sec. 112 (a), (b)
See DATE(S)	

**CALCULATION**

calculation of international filing fee.....	R. 15.1, 15.2, Fees
calculation of late payment fee .....	R. 16 <i>bis</i> .2
See TIME LIMIT(S)	

**CANCELLATION**

cancellation by IB of designations or elections .....	AI Sec. 423, Form IB/335
cancellation by IPEA of certain elections.....	AI Sec. 606, Form IPEA/425
cancellation by RO of designations of non-Contracting States.....	AI Sec. 318, ROG 103
See CORRECTION(S)	

**CEASING OF EFFECT**

See EFFECT(S)

**CERTIFICATE OF ADDITION**

application for certificate of addition .....	Art. 2(i)
application for certificate of addition shall identify parent application or parent grant.....	R. 4.11(a), 49 <i>bis</i> .1(c)
certificate of addition as alternative to patent.....	Art. 43
international search extended to certificate of addition.....	R. 43.6
references to patent construed as references to certificate of addition.....	Art. 2(ii)

**CERTIFIED COPIES**

certified copies of earlier application(s) the priority of which is claimed	
to be submitted by applicant to IB.....	R. 17.1(a)
to be prepared by RO and transmitted to IB.....	R. 17.1(b), 17.1(b- <i>bis</i> )
certified copies of IA prepared by RO, generally.....	R. 17.1(b), 17.1(b- <i>bis</i> ), 21.2, 22.1(d), ROG 334
notification relating to requested documents .....	R. 17.1(b), 17.1(b- <i>bis</i> ), AI Sec. 323(b), (e), Form RO/128
notification of transmittal of requested documents.....	R. 21.2, 22.1(d), Form RO/122
certified copies of Treaty and Reg.....	Art. 68(2)
certified copies of any amendment to Treaty and Reg.....	Art. 68(2), (4)
See PRIORITY DOCUMENT	

**CHANGES**

See RECORDING OF CHANGES

**CHAPTER**

meaning of "chapter" in Reg. ....	R. 1.1(b)
-----------------------------------	-----------

**CHARACTERS**

certain Chinese/Japanese characters written by hand or drawn.....	R. 11.9(b), (d)
name, address or country written in characters other than those of Latin alphabet .....	R. 4.16(a), (b)
number of characters allowed in applicant's file reference .....	R. 11.6(f), AI Sec. 109(a), 327(c)

**Subject** **Reference**

texts in Chinese/Japanese exempt from type spacing  
and characters size rules .....R. 11.9(e)  
See TRANSLITERATION

**CHECKING**

checking of Dem. by

IB.....R. 60.1(e)  
IPEA.....R. 53, 54, 60, ISPEG 22.24, Form IPEA/404

checking of IA by

IB  
calling certain facts to attention of RO .....Art. 14(4), R. 29.3  
note on certain defects .....Art. 14(1)(a)(i), (ii), (v), R. 28.1(a)  
RO .....Art. 10  
Art. 11(1) check; requirements for IFD .....Art. 11(1), ROG 35-44  
Art. 14 check and other formal requirements .....Art. 14, ROG 72-165  
as to national security matters .....Art. 27(8), R. 22.1(a), AI Sec. 330, Form RO/147, ROG 32-34, 286, 287, 295  
for compliance with prescribed language  
(elements other than description and claims) .....Art. 3(4)(i), R. 12.1, 12.3, 26.3*ter*, ROG 55-71

checking of request for SIS by IB.....R. 45*bis*.4(a)

See BIOLOGICAL

DISPARAGING COMMENTS/STATEMENTS

DRAWING(S)

EXPRESSIONS NOT TO BE USED

FEE(S)

NATIONAL SECURITY

PRIORITY CLAIM

PRIORITY DOCUMENT

SEQUENCE LISTINGS

**CHECK LIST**

check list in the Req. ....R. 3.3  
indications as to deposited biological material referred  
to in check list.....AI Sec. 209(a)  
marking of necessary annotations in check list by RO .....AI Sec. 313(a), (b), ROG 149  
number of copies of documents referred to  
in check list .....R. 11.1(a), (b)

**CHEMICAL**

chemical formulae

manner of indicating .....Art. 14(1)(a)(v), R. 10.1(d)

may be

contained in text matter .....R. 11.10(b)

presented sideways on sheets .....R. 11.10(d)

written by hand or drawn .....R. 11.9(b)

most characteristic chemical formulae to appear

in abstract .....Art. 3(3), R. 8(a)(ii)

See CLAIMS

UNITY OF INVENTION

**CHOICE**

appointment of agent or common representative by each

applicant signing at his choice, Req., Dem. or  
separate power of attorney.....R. 90.4(a)

choice of ISA where several ISAs are competent .....R. 35.3(b)

indication of choice of ISA in Req. ....R. 4.1(b)(iv), 4.14*bis*

choice of certain kinds of protection .....Art. 43, R. 4.9(a)(ii), 49*bis*.1

choice of manner of appointing agent or CRep.....R. 90.4(a)

choice of SISA .....R. 45*bis*.9

See KIND(S) OF PROTECTION

**CITATIONS**

citations in IPRP (Ch.I)

IPEA procedure applies to ISA .....R. 43*bis*.1(b)

citations in IPRP (Ch.II) .....Art. 35(2), R. 70.6-70.8, ISPEG 17.42-17.48

Subject	Reference
citations to accompany statement under Art. 35(2) .....	Art. 35(2), R. 70.6, 70.7, AI Sec. 611
consideration during IPE of	
additional documents.....	Art. 33(6), ISPEG 17.68
certain documents cited in ISR .....	Art. 33(6), ISPEG 17.67
copies of cited documents (request/transmittal).....	Art. 20(3), 36(4), R. 71.2, Form IPEA/417
invitation to pay for requested copies of	
cited documents.....	Art. 20(3), 36(4), R. 71.2(b), Form IPEA/421
method of identifying cited documents .....	AI Sec. 611
citations in ISR, generally.....	Art. 33(6), R. 43.5
copies of cited documents (request/transmittal).....	Art. 20(3), R. 44.3, Form ISA/211, ISPEG 2.19
invitation to pay for requested copies of cited	
documents .....	R. 44.3(b), Form ISA/221
documents to be cited in ISR.....	Art. 15(2), R. 33.1(c), AI Sec. 507(b), ISPEG 11.01, 16.64
evaluation of cited documents in ISR.....	ISPEG 15.63-15.72
indication of citations of particular relevance in ISR....	AI Sec. 505
manner of indicating certain special categories of	
documents cited in ISR .....	AI Sec. 507, ISPEG 16.63-16.75
manner of indicating claims to which cited	
documents are relevant.....	AI Sec. 508
method of identifying documents cited in ISR.....	R. 43.5(b), AI Sec. 503, ISPEG 16.78-16.78C
statement referred to in Art. 19(1) may not contain	
disparaging comments on relevance of	
ISR citations .....	R. 46.4(b)

## CLAIMING PRIORITY

See PRIORITY CLAIM

## CLAIMS

generally.....	Art. 3(2), 6, R. 6, ISPEG 5.01-5.58
absence of claims .....	Art. 11(1)(iii)(e), 11(2)(a), R. 20.3(a), ROG 42, 45-54
claims as element of IA.....	Art. 3(2), 6, R. 3(3)
commencing on separate sheet .....	R. 11.4, AI Sec. 207
claims before DO	
amendment.....	Art. 28, R. 52
where utility model is sought national law may be	
applied in respect of claims.....	Art. 43, 44, R. 6.5
claims before EO	
amendment.....	Art. 41, R. 78
where utility model is sought national law may be	
applied in respect of claims.....	Art. 43, 44, R. 6.5
claims before IB (amendment) .....	Art. 19, R. 46
notification concerning amendments of the	
claims to applicant .....	AI Sec. 417(a), Form IB/346
notification concerning amendments of the	
claims to IPEA .....	R. 62, AI Sec. 417(d), Form IB/337
claims before IPEA .....	Art. 34(2)(b), R. 66.1, 66.3, 66.4(b), 66.5, 66.8, 70.2, 70.16
as basis of IPE.....	R. 66.1
clarity and interpretation of claims.....	Art. 6, R. 6.2, ISPEG 5.20-5.41
conciseness, number of claims .....	R. 6.1(a), ISPEG 5.42.
form and content of claims .....	R. 6.3, 11.10 (a)-(c), AI Sec. 205, ISPEG 5.04-5.11
categories .....	R. 13, AI Sec. 206, AI Annex B, ISPEG 5.12-5.14
support in description .....	Art. 6, 34(4)(a)(ii), R. 66.2(a)(i), ISPEG 5.43-5.44
transmitted to IPEA .....	R. 62.2(a)
unclear claims .....	Art. 34(4)(a)(ii), R. 66.2(a)(i)
unsearched, need not be subject of examination .....	R. 66.1(e), 66.2(a)(vi)
claims before ISA	
as basis of IS .....	Art. 15(3), R. 33.3
manner of indicating claims to which documents	
cited in ISR are relevant .....	AI Sec. 508
“unsearchable” claims .....	Art. 17(2)(a)(ii)
claims before RO .....	Art. 11(1)(iii)(e), (2)(a), R. 20.3(a)
as missing parts.....	R. 20.5(a)
as erroneously filed elements and parts.....	R. 20.5bis
numbering and identification upon amendment .....	R. 6.1, AI Sec. 205
special fee(s) payable to	

Subject	Reference
DO where certain claims not searched .....	Art. 17(3)(b)
EO where certain claims not examined .....	Art. 34(3)(b), (c)
See AMENDMENTS	
DEPENDENT CLAIMS	
UNITY OF INVENTION	
<b>CLASSIFICATION</b>	
classification of IAs, definition .....	R. 43.3, ISPEG 7.01
classification of IAs, minimum requirements .....	ISPEG 15.39
earlier classification	
reference to .....	R. 41.2, ROG 116C, 116E, ISPEG 15.17C
transmittal of document(s) relating to .....	R. 23bis
See INTERNATIONAL PATENT CLASSIFICATION (IPC)	
<b>COMBINATION</b>	
combination of different categories of claims .....	AI Annex B
combination of documents cited in ISR .....	AI Sec. 505
combination of documents or parts thereof in relation to inventive step during IPE .....	R. 65
technical features which in combination are part of the prior art .....	R. 6.3(b)
<b>COMMENTS</b>	
comments by applicant on	
abstract established by ISA .....	R. 38.3
translation of written opinion of ISA for IPEA prepared by IB .....	R. 62bis.1(c)
translation of IPRP (Ch.I) prepared by IB .....	R. 44bis.4
translation of IPRP (Ch.II) prepared by IB .....	R. 72.3
informal comments by applicant on WO-ISA .....	ISPEG 2.15(a)
informal comments on Earlier Search Results .....	ROG 116F
missing indications or missing informal comments .....	ROG 116G
rectification under R. 91.1 .....	R. 91.3(d)
statement referred to in Article 19(1) shall contain	
no disparaging comments on ISR or relevance of citations .....	R. 46.4(b)
<b>COMMERCIAL ESTABLISHMENT</b>	
real and effective commercial establishment is considered residence .....	R. 18(1)(b)
<b>COMMITTEE(S)</b>	
Assembly establishes committee(s) and working groups .....	Art. 53(2)(a)(viii)
committee(s) for Technical Assistance .....	Art. 51(1), (2), (3)(a), (5)
committee(s) for Technical Cooperation .....	Art. 56
advises Assembly concerning ISAs .....	Art. 16(3)(e)
Coordination committee(s)	
advises Assembly .....	Art. 53(2)(b), 54(7)(a)
advises Executive committee(s) .....	Art. 54(6)(b), 57(7)(c)
may address advice and recommendations through DG to Executive committee(s) .....	Art. 56(5), (6)(a)
DG participates in meetings of committee(s) and is <i>ex officio</i> secretary of committee(s) .....	Art. 55(6)
Executive committee(s) .....	Art. 54
established by Assembly .....	Art. 54(1), 53(9)
Assembly reviews and approves reports and activities of, gives instructions to .....	Art. 53(2)(a)(v)
may express its views on advice, recommendations or other activity of Technical Cooperation committee(s) transmitted to Assembly .....	Art. 56(6)(b)
See ASSEMBLY	

Subject	Reference
<b>COMMON AGENT</b>	
common agent of several applicants, defined.....	R. 2.2, 90.1, 90.2(a)
correspondence for applicant addressed to common agent.....	AI Sec. 108(c)
manner of appointment .....	R. 90.4(a)
See AGENT	
SEVERAL	
<b>COMMON REPRESENTATIVE</b>	
appointed common representative	
defined .....	R. 2.2 <i>bis</i> .,90.2(a)
appointment has effect of revoking any earlier appointment of common representative .....	R. 90.6(c)
before RO, checked by RO.....	ROG 117-121
change of common representative, recording by IB .....	R. 92 <i>bis</i> .1(a)(ii)
effects of acts by or in relation to common representative .....	R. 90.3(c)
general power appointing common representative .....	R. 90.5
manner of appointment .....	R. 90.4(a)
notification concerning representation sent by	
IB to RO, ISA, IPEA, SISA and applicant .....	AI Sec. 425, Form IB/319
IPEA to IB.....	AI Sec. 608
ISA to IB .....	AI Sec. 512
RO to IB and ISA.....	R. 90, AI Sec. 328, Form RO/123
notification of defective power of attorney or defective revocation of power of attorney .....	R. 90.4(c), 90.6(e)
by IB .....	Form IB/320
by RO.....	Form RO/124
power appointing common representative in check list .....	R. 3.3(a)(ii)
renunciation by common representative.....	R. 90.6(d)
required indications in	
Dem. ....	R. 53.2(a)(ii), 53.5
Req.....	R. 4.4, 4.8
common representative may indicate address for correspondence.....	R. 4.4(d)
considered common representative where no appointed common representative .....	R. 90.2(b)
change of common representative .....	AI Sec. 106
withdrawal requires signature of all applicants.....	R. 90 <i>bis</i> .5
correspondence for applicant addressed to common representative.....	AI Sec. 108(c)(i)
expression common representative refers to applicant appointed as, or considered to be, the common representative .....	R. 2.2 <i>bis</i>
revocation of common representative.....	R. 90.6(a), (c)
<b>COMMUNICATION</b>	
communication(s) (forms) where no specific form is applicable .....	Forms RO/132, IB/345, ISA/224, IPEA/424
communication of documents on request .....	R. 93 <i>bis</i> .1(a)
via digital library.....	R. 93 <i>bis</i> .1(b)
communication of IA by IB to DOs, of ISR, or any Art. 17(2)(a) determination and indication that no search will be established.....	Art. 20, R. 47, Form IB/349
communication of IPRP (Ch.I) by IB to DOs .....	R. 44 <i>bis</i> .2
communication of IPRP (Ch.II) by IB to EOs .....	Art. 36(3)(a), R. 73.2
communication to DO of translation of ISR or declaration .....	Article 20(1)(b), R. 47.1
electronic communication(s).....	AI Sec. 709
See AMENDMENT(S)	
INTERNATIONAL PRELIMINARY EXAMINATION	
RIGHT TO PRACTICE	
TIME LIMIT(S)	
TRANSMITTAL	
WRITTEN OPINION	

Subject	Reference
<b>COMPETENT/COMPETENCY OF—</b>	
competent/competency of IPEA .....	Art. 31(6)(a), 32(2), R. 59
invitation by	
IB to indicate competent IPEA .....	R. 59.3(c)(ii), AI Sec. 432, Form IB/367
IPEA to indicate competent IPEA.....	R. 59.3(f), AI Sec. 601(b), Form IPEA/442
ISA to indicate competent IPEA .....	R. 59.3(f), AI Sec. 516, Form ISA/235
competent/competency of ISA.....	Art. 16(2), R. 35
competent/competency of RO .....	Art. 10, 11(1)(i), R. 19
competent/competency of SISA .....	R. 45 <i>bis</i> .9
See INTERNATIONAL BUREAU	
<b>COMPUTATION</b>	
computation of time limits .....	Art. 47(1), R. 80, 90 <i>bis</i> .3(d), (e)
computed from priority date where priority claim was	
added or corrected .....	R. 26 <i>bis</i> .1(c), 4.10
withdrawn .....	R. 90 <i>bis</i> .3(d), (e)
fixed by DOs/EOs for national phase.....	R. 50.1, 77.1
See TIME LIMITS	
<b>COMPUTER</b>	
computer generated forms .....	R. 3.1, 4, AI Sec. 102(b)(i)
Dem. presented as computer print-out .....	R. 53.1(a), AI Sec. 102(a)(i), (c), (h), (i), Form IPEA/401
Req. presented as computer print-out.....	R. 3.4, AI Sec. 102(a)(i), (c), (h), (i), Form RO/101
computer programs as subject matter not required to be	
examined.....	Art. 34(4)(a)(i), R. 67.1(vi)
searched .....	Art. 17(2)(a)(i), R. 39.1(vi)
See ELECTRONIC	
SEQUENCE LISTINGS	
<b>CONFIDENTIAL NATURE</b>	
confidential nature of IA.....	Art. 30
does not apply for purposes of judicial authorities .....	Art. 30(2)(c)
confidential nature of IPE .....	Art. 38
See ACCESS	
<b>CONFIRMATION</b>	
confirmation copy of facsimile transmission.....	R. 92.4, AI Sec. 331, ROG 37, 38
confirmation of receipt of purported IA .....	AI Sec. 301, Form RO/125, ROG 36
filed electronically .....	AI Sec. 704(a)
invitation to furnish original of document transmitted	
by telegraph, teleprinter, facsimile machine, etc. ....	R. 92.4(d), (e), (f), Form RO/141
national law may require, when national phase has	
started, confirmation of IA by signature of applicant ...	Art. 27(2)
<b>CONTENTS</b>	
contents of Gaz. ....	R. 86.1
contents of international publication .....	R. 48.2, AI Sec. 406
contents of translation furnished under	
Art. 22 .....	R. 49.5
Art. 39(1) .....	R. 74
Form or contents of	
Dem.....	R. 53
IA .....	Art. 27 (1), (4), R. 3-13 <i>ter</i>
abstract.....	R. 8.1, 38.3
description .....	R. 5
Req.....	R. 4
references to biological material .....	R. 13 <i>bis</i> .3
written opinion referring to defect in .....	R. 66.2(a)(iii)
EO may not require applicant to furnish information	
on contents of papers connected with examination relating	
to same IA in any other EO .....	Art. 42

Subject	Reference
non-compliance with certain physical requirements may be authorized if authenticity of contents is not in question .....	R. 11.12
references in ISR relating to contents of certain written disclosures .....	R. 33.1(b)
<b>CONTINUATION/CONTINUATION-IN-PART</b>	
correction or addition of .....	R. 26 <i>quater</i> , AI Sec. 317 <i>bis</i> , 419 <i>bis</i>
indications to be made in Req. ....	R. 4.11(a)(ii), ROG 108, 116
<b>CONTRACTING STATES</b>	
definition of Contracting States .....	Art. 1(1), 62
<b>CONTRIBUTION(S)</b>	
contribution(s) of Member States to budget of Union .....	Art. 57(1)(b), (5)(a)-(e)
“special technical feature” defines contribution(s) which each claimed invention, considered as a whole, makes over prior art .....	R. 13.2
See UNITY OF INVENTION	
<b>COOPERATION</b>	
cooperation as objective of Treaty .....	Preamble, Art. 1(1)
<b>COORDINATION COMMITTEE</b>	
See COMMITTEE(S)	
<b>COPY/COPIES</b>	
See ACCESS	
AMENDMENT(S)	
CERTIFIED COPIES	
COMMUNICATION	
HOME COPY	
NATIONAL PROCESSING	
RECORD COPY	
SEARCH COPY	
TRANSMITTAL	
<b>CORRECTION(S)</b>	
correction(s) before DO	
opportunity of correction(s) .....	Art. 26
correction(s) before IB	
of declaration(s) .....	Art. 27, R. 4.17, 26 <i>ter</i> .1
of IA	
transfer of correction(s) to RC .....	AI Sec. 413
of indications under Rule 4.11 .....	R. 26 <i>quater</i> , AI Sec. 419 <i>bis</i> , Form IB/324
of priority claim	
upon invitation .....	R. 26 <i>bis</i> .1, 26 <i>bis</i> .2, AI Sec. 402, Form IB/316, IB/318, ROG 170
on applicant’s initiative .....	Form IB/318, ROG 173-177
correction(s) before ISA	
correction(s) concerning expressions not to be used in IA .....	R. 9, AI Sec. 217
correction(s) before RO	
of declaration(s) .....	Art. 27, R. 4.17, 26 <i>ter</i> .1, AI Sec. 317, ROG 192F
of IA	
invitation to correct purported IA .....	Art. 11(2)(a), R. 20.3(a), Form RO/103, ROG 45-47
negative determination .....	R. 20.4, AI Sec.308(d), ROG 50
invitation to correct IA .....	R. 20.5(a), 20.5 <i>bis</i> .(a), Form RO/107
invitation to correct defects in IA .....	Art. 3(4)(i), 14(1), R. 26, Form RO/106, ROG 153-160
manner of correcting .....	R. 26.4, AI Sec. 325, ROG 156-158
SL in electronic form .....	AI Annex C
of indications concerning applicant’s residence	
or nationality .....	AI Sec. 329
of priority claim	



Subject	Reference
upon invitation .....	R. 26bis.1, 26bis.2, AI Sec. 314, Forms RO/110, RO/111, ROG 170
on applicant's initiative .....	Form RO/111, ROG 173-177
correction(s) by IB	
<i>ex officio</i> correction(s) of Req. ....	AI Sec. 416, ROG 161-165
renumbering of sheets of IA for international publication due to missing sheets or drawings .....	AI Sec. 410
correction(s) by RO	
generally.....	AI Sec. 327, ROG 161-165
annotations in check list .....	AI Sec. 313(b)
cancellation of designations of non-Contracting States.....	AI Sec. 318
correction(s) of indication of dates by RO or IB.....	AI Sec. 110
deletion of additional matter in Req. ....	R. 4.19, AI Sec. 303
<i>ex officio</i> correction(s) of Req. ....	AI Sec. 327, Form RO/146
renumbering in case of deletion, addition or substitution of sheet(s) of IA or translation.....	AI Sec. 311
See AMENDMENT(S)	
DEFECTS	
EXPRESSIONS	
RECTIFICATION	

## CORRESPONDENCE

correspondence in electronic form.....	AI Sec. 704(h), 709, 710(a)(ii)
correspondence intended for applicant	
if negative finding is made under R. 20.4, or declaration under Art. 14(4), IA number to be used without letters "PCT" in correspondence relating to purported IA .....	AI Sec. 308(d)
if no IFD accorded following invitation to correct, RO keeps correspondence relating to purported IA .....	R. 20.4(iii)
indication of	
dates in correspondence from International Authorities .....	AI Sec. 110
file reference in correspondence from International Authorities to applicant.....	AI Sec. 109(b)
indications in correspondence to identify IA with two or more applicants .....	AI Sec. 105, ROG 26
language of correspondence from IB to applicant or national Offices .....	R. 92.2(e)
mailing of correspondence from national Offices or IGOs constituting event from which time limit begins to run.....	R. 92.3, ROG 27
where agent(s) is (are) appointed .....	AI Sec. 108 (b), (c)(ii), (d), ROG 23, 24
where no agent is appointed .....	ROG 24, AI Sec. 108(c)(i)
where sub-agent(s) is (are) appointed .....	ROG 23
correspondence submitted by applicant	
defects with regard to .....	R. 92.1(b), 92.4(g)(ii), ROG 20
notified to applicant.....	R. 92.1(b), 92.4(g)(ii), Forms RO/131, IB/344, ISA/223, IPEA/423
if negative finding is made under R. 20.4, or declaration under Art. 14(4), IA number to be used without letters "PCT" in correspondence relating to purported IA .....	AI Sec. 307(b)
indications in correspondence to identify IA with two or more applicants .....	AI Sec. 105, ROG 26
language of correspondence submitted by applicant to	
IB .....	R. 92.2(d), AI Sec. 104(b), (c)
ISA/IPEA.....	R. 92.2(a), (b)
RO.....	AI Sec. 104(a), ROG 21
need for letter and for signature.....	R. 92.1(a), ROG 20
notification concerning paper being disregarded or document considered as not having been submitted .....	R. 92.1(b), last sentence, 92.4(g)(ii), Forms RO/149, IB/358
omission to correct defects .....	R. 92.1(b), last sentence, 92.4(g)(ii), ROG 20
transmittal by RO to IB and/or ISA.....	ROG 22, 283-294
voting by correspondence	

**Subject****Reference**

decision by Assembly to modify time limit(s) fixed  
in Treaty may be made through voting  
by correspondence .....Art. 47(2)(b), R. 81.3  
in case of absence of quorum in Assembly .....Art. 53.5(b), R. 85.1

**COST(S)**

information services furnished at/below cost(s).....Art. 50(5)(a), (b)  
payment to IB of cost(s) for furnishing  
to applicant or third parties copies of  
documents in the file .....R. 94  
priority documents.....R. 17.2(c)  
translations of IA .....R. 95.2(b)  
to DO copy of IA .....Art. 13(2)(b), R. 31.1(b)  
payment to IPEA of  
cost(s) of preparing and mailing copies of cited  
documents.....R. 71.2(b)  
payment to ISA  
cost(s) of preparing and mailing copies of cited  
documents .....R. 44.3(b)  
See FEE(S)  
REFUND

**COUNTRY**

conditions for entry into force of Treaty relating to States  
the nationals or residents of which have filed  
minimum number of applications in  
foreign country .....Art. 63(1)(a)(ii), (iii)  
country party to Paris  
Assembly may allow filing of IAs by residents and  
nationals of Contracting States which are not.....Art. 9(2)  
claiming priority of earlier application filed in .....Art. 8(1)  
no provision of Treaty shall be interpreted as  
diminishing rights of nationals or residents of.....Art. 1(2)  
developing country  
election of members of  
Technical Assistance Committee with due  
regard to representation of .....Art. 51(2)(a), (b)  
Technical Cooperation Committee with due  
regard to equitable representation of .....Art. 56(2)(a)  
furnishing of information services below cost to .....Art. 50(5)(a)  
operation of information services facilitating  
acquisition of technical knowledge by .....Art. 50(3)  
technical assistance by Technical Assistance  
Committee to .....Art. 51(3)(a)  
minimum documentation comprises certain applications  
published and patents issued in certain country .....R. 34.1(b)(i), (c)(iii), (vi)  
name of any country written in characters other than Latin  
alphabet, to be indicated also in English .....R. 4.16(b)  
reference to earlier search by identifying application  
indicating *inter alia* country .....R. 4.12(i)  
See COMMITTEE(S)  
DEVELOPING COUNTRIES  
PARIS CONVENTION

**COUNTRY CODE**

See TWO-LETTER CODES

**CRITERIA**

criteria for purposes of IPE .....Art. 33(1)-(5)  
explanations in IPRP (Ch.II) relating to criteria .....Art. 35(2), R.70.8, AI Sec. 604(a)  
industrial applicability .....Art. 33 (1), (4), (5)  
inventive step .....Art. 33 (1), (3), (5), R. 64, 65  
novelty .....Art. 33 (1), (2), (5), R. 64  
statement in IPRP (Ch.II) relating to criteria .....Art. 35(2), R. 70.6(b)  
IPEA procedure applies to ISA.....R. 43bis.1(b)

Subject	Reference
national law criteria in respect of substantive conditions of patentability .....	Art. 27(5), 33(5)
use of criteria for delivery services satisfying certain criteria .....	R. 82.1(d), (e)
See UNITY OF INVENTION	

#### CURRENCY

currency for payment of handling fee.....	R. 57.2(b), (c), (d), 96.1, Fees
currency for payment of international filing fee.....	R. 15.2(b), (c), (d), 96.1, Fees, ROG 241, 242
currency for payment of preliminary examination fee.....	R. 58.1(c), Annex E
currency for payment of search fee .....	R. 16.1(b), (c), (d), (e), Annex D, ROG 240
currency for transmittal fee.....	ROG 237, Annex C
See FEES	

### -D-

#### DATE(S) (USING GREGORIAN CALENDAR)

expressing date(s) (using Gregorian calendar).....	R. 79.1
format of date(s) (using Gregorian calendar), in IA.....	AI Sec. 110
See INTERNATIONAL FILING DATE	
PRIORITY DATE	

#### DAY

calculation of periods expressed in days .....	R. 80.3
end of working day .....	R. 80.7
non-working day or official holiday .....	R. 80.5

#### DEADLINES (APPLICABLE TO APPLICANTS)

See TIME LIMITS

#### DECISION

decision not to issue declaration under Art. 14(4) that IA is considered withdrawn.....	Art. 14(4), R. 29.4, 30, AI Sec. 312, Form RO/127, ROG 52, 53
See WITHDRAWN (CONSIDERED)	

#### DECLARATION(S)

declaration(s) claiming priority .....	Art. 8(1), R. 4.10
declaration(s) in Req. relating to national requirements.....	R. 4.1(c)(iii), 4.17, AI Sec. 211-216
as to applicant's entitlement, as at IFD, to apply for and be granted a patent .....	Art. 27, R. 4.17(ii), 51bis.1(a)(ii), AI Sec. 212
as to applicant's entitlement, as at IFD, to claim priority of earlier application.....	Art. 27, R. 4.17(iii), 51bis.1(a)(iii), AI Sec. 213
as to identity of inventor.....	Art. 27, R. 4.17(i), 51bis.1(a)(i), AI Sec. 211
as to non-prejudicial disclosures or exceptions to lack of novelty .....	Art. 27, R. 4.17(v), 51bis.1(a)(v), AI Sec. 215
correction or addition of declaration(s).....	Art. 27, R. 4.17, 26ter.1
notice of correction or addition .....	Art. 27, R. 4.17, 26ter.1,
as replacement or additional sheet .....	AI Sec. 216
processing by	
IB .....	Art. 27, R. 4.17, 26ter, AI Sec. 419
invitation to correct declaration(s) .....	R. 4.17, 26ter.2(a), AI Sec. 419(c), Form IB/370
notification relating to declaration(s) .....	R. 26ter.2(b), 48.2(a)(x), AI Sec. 419, Form IB/371
RO .....	ROG 160, 192A-F
invitation to correct declaration(s).....	R. 4.17, 26ter.2(a), AI Sec. 419(c), Form RO/156, ROG 192D
no <i>ex officio</i> corrections/deletions .....	AI Sec. 303(b), 327(d), ROG 162
transmittal to IB .....	R. 4.17, 26ter, AI Sec. 419, ROG 192F
of inventorship .....	Art. 27, R. 4.17(iv), 51bis.1(a)(iv), AI Sec. 214
publication of declaration(s) and any correction thereof.....	Art. 27, R. 4.17(v), 26ter.1, 48.2(a)(x)
declaration(s) of inventorship for the purposes of the designation of the United States of America .....	R. 4.17(iv), 51bis.1(a)(iv), Form RO/101 (Box No. VIII(iv))
declaration(s) of non-establishment of ISR .....	Art. 17(2)(a), R. 39, Form ISA/203, ISPEG 9.03, 9.40, 15.33, 16.19-16.21
See WITHDRAWN (CONSIDERED)	

Subject	Reference
<b>DEFECTS</b>	
defects in abstract before	
RO .....	Art.3(2), 14(1)(a)(iv), 14(1)(b), R. 26.1, 38, Form RO/106, ROG/147
ISA .....	Art.3(2), (3), R. 38, Form ISA/210, ISPEG 16.39-16.47
defects in correspondence submitted by applicant	
notification by	
IB .....	R. 92.1(b), 92.4(g)(ii), Form IB/344
ISA .....	R. 92.1(b), 92.4(g)(ii), Form ISA/223
RO .....	R. 92.1(b), 92.4(g)(ii), Form RO/131
defects in Dem. ....	Art. 31(3)
before IB	
notification of certain defects in Dem. ....	Art. 31(3), R. 60.1(e), Form IB/336
before IPEA	
invitation to correct certain .....	Art. 31(3), R. 60.1, Form IPEA/404, ISPEG 22.37-22.41
defects in drawings	
invitation by RO to correct .....	Art. 3(4)(i), (ii), 14(1), R. 26, Form RO/106
manner of correcting .....	R. 26.4, AI Sec. 325
DO may invite correction in case of non-compliance with physical requirements .....	R. 49.5(g)
defects in IA	
before IB	
certain defects noted by IB .....	Art. 3(4)(ii), 14(1)(a)(i), (ii), (v), (b), R. 28.1, Form IB/313
certain facts called to attention of RO .....	Art. 14(4), R. 29.3
before ISA	
certain facts called to attention of RO .....	Art. 14(4), R. 29.3
before RO .....	Art. 14(1)(b), R. 26, AI Sec. 316, ROG 153-165
invitation to correct .....	Art. 3(4)(i), 14(1), R. 26, Form RO/106
defects in indication of applicant's residence or nationality where right to file is affected .....	Art. 14(1)(a)(ii), R. 4.5, AI Sec. 329
defects in power of attorney .....	R. 90.4(c)
defects in request for SIS .....	R. 45bis.4(b)
defects in title	
before ISA .....	Art. 3(2), 4(1)(iv), R. 4.3, 5.1(a), 37, 44.2, ISPEG 16.35-16.38
before RO .....	Art. 3(2), 4(1)(iv), 14(1)(iii), R. 4.1(a)(ii), 5.1(a), ROG 77
See CORRECTION(S)	
DRAWING(S)	
WITHDRAWN (CONSIDERED)	

#### DEFICIT

closing of financial year with deficit .....	Art. 57(5)(a), (c)
See FINANCES (OF UNION OF PCT STATES)	

#### DEFINITION(S)

See ABBREVIATED EXPRESSIONS

#### DELAY(S)

delay(s) in meeting time limits .....	Art. 48, R. 82, 82bis, 82quater, Guide National Phase, National Chapters
any delay in meeting any time limit	
excuse for reasons admitted under national law .....	Art. 48(2), R. 82bis, 82quater.2, Guide National Phase, National Chapters
any time limit fixed in Treaty or Reg. ....	Art. 48(1)
due to	
delay or loss in mail .....	Art. 48(1), R. 82.1 (a)-(c), ROG 28
delivery service, use of .....	Art. 48(1), R. 82.1 (d), (e), ROG 29
interruption in mail service .....	Art. 48(1), ROG 30
unavailability of electronic means of communication at the office .....	R. 82quater.2, AI Sec. 111
war, revolution, civil disorder, strike, natural calamity, a general unavailability of electronic communications services or other like reason .....	R. 82quater.1, AI Sec. 111

Subject	Reference
time limit to perform acts referred to in	
Art. 22 .....	R. 49.6, Guide National Phase, National Chapters
Art. 39 .....	R. 76.5, Guide National Phase, National Chapters
delay(s) in payment of contributions by	
Contracting State .....	Art. 57(5)(e)
delay(s) in transmittal of RC for national security reasons .....	AI Sec. 330, Form RO/147, ROG 33, 286
delay(s) in transmittal of SC .....	R. 23(1)(a), AI Sec. 306, Form RO/102, ROG 292, 293
delay(s) of national processing (Chapter I) .....	Art. 23(1)
delay(s) of national processing (Chapter II) .....	Art. 40(1)
does not prevent publication by or through national Office .....	Art. 64(2)(a)(ii)
See TIME LIMIT(S)	
<b>DELEGATE</b>	
representation and votes of Contracting States	
in Assembly .....	Art. 53(1)(b), (3)
in Executive Committee .....	Art. 54(2)(b), (8)(e)
See DELEGATION(S)	
<b>DELEGATION(S)</b>	
delegation(s) by Contracting State of RO duties to national Office of or acting for another Contracting State or IGO .....	R. 19.3(a)
expenses of delegation(s) .....	R. 84
<b>DELETION</b>	
correction of Req., involving deletion of matter, by	
IB .....	AI Sec. 416(b)
RO .....	AI Sec. 327
not in respect of declarations referred to in	
R. 4.17 .....	AI Sec. 327(d), ROG 162
<i>ex officio</i> deletion of additional matter in Req. ....	R. 4.19, AI Sec. 303
form of amendments before IPEA where amendments consist of deletion of passages .....	R. 66.8(b)
renumbering of sheet(s) of IA	
by IB for international publication due to missing sheets or drawings .....	AI Sec. 410
by RO in case of deletion of sheet(s) of IA or translation .....	AI Sec. 311
See CORRECTION(S)	
<b>SHEETS</b>	
<b>DEMAND (FOR INTERNATIONAL PRELIMINARY EXAMINATION (IPE))</b>	
generally .....	Art. 31
certain formal requirements .....	R. 53.1, 53.2(a)(i)-(iv), 53.2(b), 53.3-53.8, 55.1
notification by IB of defects .....	R. 60.1(e), Form IB/336
invitation by IPEA to correct defects .....	R. 60.1, Form IPEA/404
demand (for international preliminary examination (IPE))	
considered not to have been submitted for lack of compliance .....	R. 61.1(b), second sentence, Form IPEA/407
contents (mandatory) of demand (for international preliminary examination (IPE)) .....	R. 53.2(a)(i)-(iv), 53.2(b), 53.3-53.8
demand (for international preliminary examination (IPE)) considered not to have been submitted or made	
by IPEA .....	R. 60.1(c), AI Sec. 418, Form IB/350
lack of payment of fees .....	R. 58bis.1(b), 61.1(b), second sentence, Form IPEA/407
no right to file .....	R. 54.4, 61.1(b), second sentence, Form IPEA/407
translation not furnished .....	R. 55.2(d), 61.1(b), second sentence, Form IPEA/407
lack of compliance with certain formal requirements .....	R. 61.1(b), second sentence, Form IPEA/407
fees associated with demand (for international preliminary examination (IPE))	
currency for payment .....	R. 57.2(b), (c), (d), 96.1, Fees
equivalent amounts in currencies other than Swiss Francs .....	R. 57(c), (e)

## Subject

## Reference

Dem. considered not to have been submitted for lack of payment of fees .....	R. 58bis.1(b), 61.1(b), second sentence, Form IPEA/407
handling demand (for international preliminary examination (IPE)) .....	R. 57
notification concerning payment .....	R. 57, 58, AI Sec. 615, Form IPEA/403
invitation to pay prescribed fees together with late payment fee .....	R. 58bis, Form IPEA/440
preliminary examination demand (for international preliminary examination (IPE)).....	R. 58
currency for payment.....	R. 58.1(c), Annex E
invitation to pay prescribed fees together with late payment fee .....	R. 58bis, Form IPEA/440
notification concerning payment.....	R. 57, 58, AI Sec. 615, Form IPEA/403
form of demand (for international preliminary examination (IPE))	
for use by applicant.....	R. 53.1(a), AI Sec. 102(a)(i)-(c), Form IPEA/401
formats for presentation of demand (for international preliminary examination (IPE)) as computer print-out other than determined in AI Sec. 102 (h), determined by DG .....	R. 53.1(a), AI Sec. 102(i)
presented as computer print-out .....	R. 53.1(a), AI Sec. 102(a)(i)-(c), (h)
language/translation of demand (for international preliminary examination (IPE)).....	R. 55.1
demand (for international preliminary examination (IPE)) considered not to have been submitted where translation not furnished.....	R. 55.2(d), 61.1(b), second sentence, Form IPEA/407
notification by IB of defects .....	R. 60.1(e), Form IB/336
publication of notice after international publication of the international application .....	R. 61.4, AI Sec. 431
receipt of Dem. by competent IPEA.....	R. 59.3(e), 61.1(b), first sentence, AI Sec. 601(a), Form IPEA/402
recording of changes in demand (for international preliminary examination (IPE)).....	R. 92bis.1(a), (b)(ii)
right to file demand (for international preliminary examination (IPE)).....	R. 54.4
demand (for international preliminary examination (IPE)) considered not to have been submitted for lack of right to file.....	R. 54.4, 61.1(b), second sentence, Form IPEA/407
evidence of right to file.....	AI Sec. 614
statement concerning amendments in demand (for international preliminary examination (IPE)) .....	R. 53.2(v), 53.9
time limit for filing demand (for international preliminary examination (IPE)) in order to postpone time limit for national phase entry .....	Art. 39(1)(a)
notification to applicant of submission of demand (for international preliminary examination (IPE)) after expiration of 19 months from priority date .....	AI Sec. 601
time limit for making demand (for international preliminary examination (IPE)).....	R. 54bis.1
transmittal of demand (for international preliminary examination (IPE))	
demand (for international preliminary examination (IPE)) considered not to have been submitted (where applicant has not indicated competent IPEA for transmittal) by	
IB .....	R. 59.3(d), Form IB/369
IPEA (non competent).....	R. 59.3(d), (f), Form IPEA/444
ISA.....	R. 59.3 (d), (f), Form ISA/236
RO.....	R. 59.3(d), (f), Form RO/155
invitation to indicate competent IPEA for transmittal by	
IB .....	R. 59.3(c)(ii), AI Sec. 432, Form IB/367
IPEA .....	R. 59.3(f), AI Sec. 601(b), Form IPEA/442
ISA.....	R. 59.3(f), AI Sec. 516, Form ISA/235
RO.....	R. 59.3(f), AI Sec. 304(a), (b), 323(b), Form RO/154, ROG 328-332
or copy of demand (for international preliminary examination (IPE)) by IPEA to IB .....	R. 61.1
where not filed with competent IPEA, by	
IB to competent IPEA .....	R. 59.3(c)(i), (d), AI Sec. 432, Form IB/368
IPEA to IB or competent IPEA .....	R. 59.3(a), (f), AI Sec. 601(b), Form IPEA/436
ISA to IB or competent IPEA .....	R. 59.3(a), (f), AI Sec. 516, Form ISA/234
RO to IB or competent IPEA .....	R. 59.3(a), (f), AI Sec. 334, Form RO/153, ROG 328-332

Subject	Reference
withdrawal of demand (for international preliminary examination (IPE)) .....	Art. 90 <i>bis</i> .4
notification of withdrawal of demand (for international preliminary examination (IPE)) or elections .....	R. 90 <i>bis</i> .1, 90 <i>bis</i> .4, AI Sec. 415 (b), (e), Form IB/339
See ELECTION(S)	
TRANSMITTAL	
<b>DENOUNCE/DENUNCIATION</b>	
denounce/denunciation of obligation to grant advances ...	Art. 57(8), (b)
denounce/denunciation of Treaty .....	Art. 66(1), (2)
<b>DEPENDENT CLAIMS</b>	
dependent claims allowable .....	Art. 6, R. 6.4, 13.4, AI Sec. 206, AI Annex B(c), ISPEG 5.15-5.19
definition .....	R. 6.4(a)
possible exclusion of multiple dependent claims from IPE	
not allowed under national law .....	Art. 34(4)(b), R. 6.4(a), 66.2(a)(i), ISPEG 9.41
unsearched claims need not be subject of IPE.....	R. 66.1(e), 66.2(a)(vi)
IS.....	Art. 17(2)(b), R. 6.4(a), ISPEG 9.41, 9.41A, 9.41B
See CLAIMS	
UNITY OF INVENTION	
<b>DEPOSIT</b>	
deposit of instruments of ratification or accession .....	Art. 62(1)(i), (ii)
deposit of Treaty with DG .....	Art. 68
See BIOLOGICAL	
<b>DESCRIPTION</b>	
generally, requirements for description .....	Art. 5, R. 5
disclosure of SL in description .....	R. 5.2, 13 <i>ter</i>
headings for parts of description .....	R. 5.1(c), AI Sec. 204
amendment of description.....	Art. 34(2)(b)
before DO.....	R. 52
before EO .....	Art. 41, R. 78
before IPEA.....	Art. 5, 34, R. 5.1, 6.3(b)(i), 9.1(iii), ISPEG 4.02- 4.27
background art .....	Art. 34(2)(b), R. 5.1(a)(ii), 6.3(b)(i), ISPEG 4.05
best mode for carrying out the invention.....	R. 5.1(a)(v), ISPEG 4.10
brief description of drawings.....	R. 5.1(a)(iv), ISPEG 4.08-4.09
disclosure of invention.....	R. 5.1(a)(iii), 9.1(iii), ISPEG 4.06-4.07
industrial applicability .....	Art. 33(1), (4), R. 5.1(a)(vi), (b), 10.2, AI Sec. 204, ISPEG 4.14
technical field.....	R. 5.1(a)(i), ISPEG 4.04
before RO (physical requirements).....	ROG 132-146
description as element of IA commencing on separate sheet .....	Art. 3(2), 5, R. 11.4, AI Sec. 207
description as requirement for international filing date ....	Art. 11(1)(iii)(d)
<b>DESIGN</b>	
design not mentioned as subject matter for IA .....	Art. 2(i)
<b>DESIGNATED OFFICE(S)</b>	
definition .....	Art. 2(xiii)
applicability to DOs of provisions re. e-filing.....	AI Sec. 713
drawings, designated office(s) may require applicant to file within time limit .....	Art. 7(2)(ii)
maintaining effect of IA by designated office(s).....	Art. 24(2), AI Sec. 112(d)
notification by designated office(s) to IB of No. of IAs that did not enter national phase timely.....	AI Sec. 112
opportunity to correct IA before designated office(s).....	Art. 26
review by designated office(s).....	Art. 25, R. 51, AI Sec. 112(c)
sending copies of documents cited in ISR to designated office(s).....	Art. 20(3)
time limit .....	R. 44.3

Subject	Reference
waiver of Art. 20 communication requirement by designated office(s) .....	Art. 20(1)(a)
See AMENDMENT(S)	
DRAWING(S)	
COMMUNICATION	
RECTIFICATION	
REVIEW BY DO	
TRANSMITTAL	
WAIVER	
<b>DESIGNATED STATE(S)</b>	
different applicants for different designated state(s) .....	R. 4.5(d), AI Sec. 203
possible loss of effect of IA in designated state(s) .....	Art. 24
<b>DESIGNATION(S) (OF STATES)</b>	
generally (purpose) .....	Art. 4(3), R. 4.9, ROG 100-103
automatic designation(s) (of states) .....	R. 4.9(a)(i)
cancellation <i>ex officio</i> of designation(s) (of states)	
by IB of designations or elections .....	AI Sec. 423, Form IB/335
by RO of designations of non-Contracting States .....	AI Sec. 318, Form RO/146, ROG 103
designation(s) (of states) as required element for IFD .....	Art. 11(1)(iii)(b)
designation(s) (of states) as required element in Req. ....	Art. 4(1)(ii)
designation(s) (of states) subject to payment of fees .....	Art. 4(2)
designation(s) (of states) under R. 32, notification of designation(s) (of states) .....	AI Sec. 430
notification by IB to IPEA designation(s) (of states) where IA or designation(s) (of states) of all elected States are considered withdrawn .....	AI Sec. 414
withdrawal/ considered withdrawn	
consequences of withdrawn designation(s) (of states) or designation(s) (of states) considered withdrawn .....	Art. 24, R. 29(d)
notification of withdrawal of IA or designations .....	R. 90bis.1, 90bis.2, AI Sec. 415(a), (c), Form IB/307
See KINDS OF PROTECTION	
<b>DEVELOPING COUNTRIES</b>	
economic development of developing countries as one of aims of Treaty .....	Preamble
information services to developing countries	
furnished below cost to Governments of developing countries .....	Art. 50(5)(a)
operated in a way facilitating acquisition of technical knowledge and technology by developing countries .....	Art. 50(3)
representation of developing countries	
in Committee for Technical Assistance (election with due regard to developing countries) .....	Art. 51(2)(a)
in Committee for Technical Cooperation (appointment with due regard to equitable developing countries) .....	Art. 56(2)(a)
technical assistance to developing countries	
is task of Committee for Technical Assistance .....	Art. 51(3)(a)
representatives of IGOs concerned with technical assistance to developing countries participate in work of Committee for Technical Assistance .....	Art. 51(2)(b)
<b>DIAGNOSTIC METHODS</b>	
diagnostic methods as subject matter not required to be searched .....	Art. 17(2)(a)(i), R. 39.1(iv), ISPEG 9.08-9.10
examined .....	Art. 34(4)(a)(i), R. 67.1(iv), ISPEG 9.08-9.10
<b>DIAGRAMS</b>	
diagrams considered as drawings .....	R. 7.1, 11.11(a)



Subject	Reference
<b>DIGITAL LIBRARY</b>	
availability of priority document from digital library .....	R. 17.1( <i>b-bis</i> ), (d), 66.7(a), 93bis.1(b), AI sec. 715
request that priority document be obtained from a digital library.....	AI sec. 716
<b>DIRECTOR GENERAL (OF WIPO)</b>	
definition .....	Art. 2(xx)
Director General (of WIPO) is chief executive of Union and represents Union .....	Art. 55(3)
advice and recommendations of Committee for Technical Cooperation addressed to Director General (of WIPO) or through Director General (of WIPO) to other organs or ISAs or IPEAs .....	Art. 56(5), (6)(a)
<b>AIIs</b>	
established by Director General (of WIPO) on basis of Reg. under control of Assembly .....	Art. 58(4)
drawn up and promulgated by Director General (of WIPO) after consultation with ROs, ISAs and IPEAs.....	R. 89.2(a)
modification by Director General (of WIPO) after consultation with interested Offices or Authorities.....	R. 89.2(b)
modification by Director General (of WIPO) upon invitation by Assembly .....	R. 89.2(c)
convocation by Director General (of WIPO) of sessions of Assembly .....	Art. 53(11)
Executive Committee.....	Art. 54(7)(a), (b)
depository functions of Director General (of WIPO).....	Art. 69
instruments of ratification or accession deposited with Director General (of WIPO) .....	Art. 62(2)
equivalent amounts established by Director General (of WIPO) of international filing fee in currencies other than Swiss Francs .....	R. 15.2(b), (d)
handling fee in currencies other than Swiss Francs .....	R. 57(c), (e)
search fee in receiving Office currency.....	R. 16.1(b), (d)
extension of effects of IA to successor State if declaration of continuation deposited with Director General (of WIPO).....	R. 32.1(a)
when declaration of extension notified by Director General (of WIPO).....	R. 32.1(a)
form and particulars of front page of international publication decided by Director General (of WIPO) ....	AI Sec. 406(c)
formats for presentation of Req. and Dem. as computer print-outs, other than determined in AI Sec. 102 (h), determined by Director General (of WIPO).....	AI Sec. 102(i)
Gaz. (determinations by Director General (of WIPO) in relation to) frequency of publication.....	R. 86.3, AI Sec. 407(b)
instructions by Assembly to Director General (of WIPO) concerning matters within competence of Union.....	Art. 53(2)(a)(iv)
<b>program of Union</b>	
draft program and biennial budget of Union prepared by Director General (of WIPO) (Executive Committee submits proposals to Assembly in respect of).....	Art. 54(6)(a)(ii)
execution of program of Union by Director General (of WIPO) in accordance with decisions of Assembly, ensured by Executive Committee.....	Art. 54(6)(a)(v)
<b>proposals for amendment of certain Articles</b>	
communicated by Director General (of WIPO) to Contracting States.....	Art. 61(1)(b)
may be initiated by Director General (of WIPO).....	Art. 61(1)(a)
<b>proposals of modification of time limits fixed in Treaty</b>	
by Director General (of WIPO) or presented to Director General (of WIPO).....	R. 81.1
sent by Director General (of WIPO) to Contracting States in advance of session of Assembly.....	R. 81.2(a)
sent by Director General (of WIPO) to Contracting States for voting by correspondence .....	R. 81.3

Subject	Reference
reports	
periodical reports of Director General (of WIPO) and yearly audit reports on accounts submitted by Executive Committee to Assembly .....	Art. 54(6)(a)(iv)
reports and activities of Director General (of WIPO) reviewed and approved by Assembly .....	Art. 53(2)(a)(iv)
participation of Director General (of WIPO)	
in discussions at revision conferences .....	Art. 55(7)(c)
in meetings of Assembly and any Committee or working group; is <i>ex officio</i> secretary .....	Art. 55(6)
invitation by Director General (of WIPO) of	
representatives of interested organizations to participate in discussions of Committee for Technical Cooperation .....	Art. 56(2)(d)
representatives of IGOs concerned with technical assistance to developing countries to participate in work of Committee for Technical Assistance .....	Art. 51(2)(b)
notifications by Director General (of WIPO) to Contracting States of Paris .....	Art. 69
notifications to Director General (of WIPO) by Contracting States relating	
denunciation .....	Art. 66
reservations .....	Art. 65 (4)(c), (6)
Treaty	
official texts of Treaty established by Director General (of WIPO) .....	Art. 67
original of Treaty deposited with Director General (of WIPO) .....	Art. 68(1)

## DISCLOSURES

disclosures of invention and prior art for	
IPE .....	Art. 33(2), (3), R. 64.1, 64.2, 64.3, 70.9, 70.10, ISPEG 11.01
IS .....	Art. 15(2), R. 33.1, AI Sec. 507(a), ISPEG 15.01-15.04
national law applicable by DO may require evidence concerning non-prejudicial disclosures or exceptions to lack of novelty, such as certain disclosure(s) .....	Art. 27, R. 51 <i>bis</i> .1(a)(v)
declaration in Req. ....	R. 4.1(c)(iii), 51 <i>bis</i> .1(a)(v), AI Sec. 215
information relating to non-prejudicial disclosures or exceptions to lack of novelty, such as certain disclosure(s) to be published in Gaz. ....	R. 86.1(i), AI Annex D (items 6, 8)
See NON-PREJUDICIAL DISCLOSURE	

## DISPARAGING COMMENTS/STATEMENTS

IA may not contain disparaging comments/statements .....	Art. 21(6), R. 9.1(iii), 9.3
statement referred to in Art. 19(1) may not contain disparaging comments on relevance of ISR disparaging comments/statements .....	R. 46.4(b)
See AMENDMENT(S)	
EXPRESSIONS	

## DISPUTE(S)

disputes between Contracting States concerning interpretation or application of Treaty or Reg. ....	Art. 59
States may declare themselves not bound by Art. 59 concerning disputes .....	Art. 64(5)

## DOCKET REFERENCE

See FILE REFERENCE

## DOCUMENTATION

aim of Committee for Technical Cooperation is to secure maximum degree of uniformity in documentation of ISAs and IPEAs .....	Art. 56(3)(ii)
international search documentation .....	R. 34, ISPEG 15.43-15.51
See MINIMUM DOCUMENTATION	

**Subject** **Reference**

**DOCUMENTS**

- See ACCESS
- CHECK LIST
- CITATIONS
- CORRESPONDENCE
- PRIORITY DOCUMENT
- TRANSMITTAL

**DRAWING(S)**

- generally .....Art. 3(2), 7, R. 7
- before DO
  - applicant may be
    - invited to correct drawing(s) in case of non-compliance with physical requirements.....R. 49.5(g)
    - required to file drawing(s) which are not necessary for understanding of invention.....Art. 7(2)(ii), R. 7.2
- before IB
  - (re)numbering of sheets of IA in case of missing drawing(s) .....AI Sec. 410
  - transferring corrections to RC .....AI Sec. 413
- before IPEA.....ISPEG 4.28
- before RO
  - erroneously filed .....R. 20.5bis.(a), AI Sec. 308bis, 309, 310, 310bis, ROG 193-206
  - missing or later filed drawing(s).....Art. 14(2), R. 20.5(a), AI Sec. 308bis, 309, 310, ROG 193-203
    - notification of non-inclusion .....Art. 14(2), Form RO/107
    - notification concerning later submitted drawing(s).....AI Sec. 309(b), (c), 310(c), (d), Form RO/126
  - physical requirements .....R. 11.10, 11.11, 11.13, ROG 146, Guide 5.128-5.163
    - invitation to correct defects .....Art. 3(4)(i), (ii), 14(1), R. 26, Form RO/106
    - manner of correcting .....R. 26.4, AI Sec. 325
    - special requirements for drawing(s).....R. 11.13
  - drawing(s) as element of IA.....Art. 3(2), 7, R. 3(2)
    - commencing on separate sheet .....R. 11.4, AI Sec. 207
  - figure of drawing(s) to be published with abstract .....R. 3.3(a)(iii), 8.2, ISPEG 15.38
  - flow sheets and diagrams considered drawing(s) .....R. 7.1
  - text matter in drawing(s) .....R. 11.11
- See ADDITION OF SHEETS TO IA
- AMENDMENT(S)
- SHEETS

**-E-**

**EARLIER APPLICATION**

- See PRIORITY CLAIM

**EARLIER SEARCH**

- copy of results of earlier search to be furnished to RO.....R. 12bis
- processing by ISA of request to use earlier search.....R. 12bis.1(b), (d), (e), (f), 41
- reference to earlier search .....R. 4.12(i), 41, ROG 116A-116H, ISPEG 15.17A-C, 16.62
- request that RO prepare and transmit earlier search results to ISA.....R. 12bis.1(c), AI Sec. 337
- request to take into account results of earlier search.....R. 4.12, 41, ISPEG 15.15, 15.16
- statement concerning earlier search to be furnished to RO.....R. 4.12(ii)
- translation of earlier search into language accepted by the ISA .....R. 12bis.1(b)-(f)

**EARLY NATIONAL PROCESSING**

- early national processing by DO.....Art. 23(2), R. 47(4)
- early national processing by EO .....Art. 40(2), R. 61.2(d)
- See COMMUNICATION
- TRANSMITTAL

**Subject** **Reference**

**EARLY PUBLICATION**

- generally .....Art. 21(2)(b), R. 48.4
- early publication where only State is designated which has declared that international publication is not required .....Art. 64(3)(a)(i)
- special publication fee payable for early publication if ISR not yet available for publication together with IA .....R. 48.4, AI Sec. 113(a)
- where early publication has been requested, DO may consider indications in references relating to biological material not furnished before completion of technical preparations for international publication as not having been furnished in time .....R. 13bis.4(c)

**EFFECT(S)**

- effect(s) of acts by agent or CRep .....R. 90.3
- effect(s) of IA as of IFD .....Art. 11(3)
- effect(s) of international publication .....Art. 29
- effect(s) of priority claim .....Art. 8(2)(a)
- effect(s) of restoration of right of priority by RO.....R. 49ter.1
- loss of effect(s) IA in designated States
  - where acts referred to in Art. 22 have not been performed within time limit .....Art. 24(1)
  - notification of IB by DO of number of IAs the effect(s) of which have ceased under Art. 24(1)(iii) .....AI Sec. 112(a)(ii)
- elected States
  - where acts referred to in Art. 39(1)(a) have not been performed within time limit .....Art. 39(2)
  - notification of IB by EO of number of IAs the effect(s) of which have ceased under Art. 39(2) .....AI Sec. 112(b)(ii)
- maintaining of effect(s) by
  - DO .....Art. 24(2), AI Sec. 112
  - EO
    - where requirements provided for in Art. 39(1)(a) have not been complied with .....Art. 39(3), AI Sec. 112
    - notification of IB by DO/EO of maintaining of effect(s) under Art. 24(2) or Art. 39(3) .....AI Sec. 112(d)

See REVIEW BY DO

**EFFECTIVE DATE**

- effective date of amendments to Treaty .....Art. 61(3)
- effective date of any change can be determined by Contracting State .....R. 49.1(c), 76.5(iii)
- effective date of denunciation of Treaty .....Art. 66
- effective date of international application where all elements or parts not filed simultaneously .....R. 20.3(b), 20.5(b)-(d), 20.5bis.(b)-(d)
- effective date of reservation .....Art. 64(6)
- effective date of Treaty .....Art. 63

See GRADUAL APPLICATION

**ELECTED OFFICE(S)**

- definition .....Art. 2 (xiv)
- notification of IB by elected office(s) of number of IA not having entered national phase .....AI Sec. 112

See ELECTION(S)

**ELECTION(S)**

- automatic election(s) .....R. 53.7
- cancellation (*ex officio*) of election(s) by
  - IB (non-Contracting State(s)) .....AI Sec. 423, Form IB/335
  - IPEA (State(s) not bound by Chapter II) .....AI Sec. 606, Form IPEA/425
- election(s) considered withdrawn where IA or designations of all elected States are considered withdrawn (notified by IB to IPEA) .....Art. 14(1), (3), (4), AI Sec. 414
- election(s) notified by IB to EOs .....Art. 31(7), R. 61.2, Form IB/331
  - information by IB to applicant concerning EOs notified of their election .....Art. 31(7), R. 61.3, Form IB/332

Subject	Reference
withdrawal of election(s) .....	Art. 37, 90bis.4
notified by IB to applicant .....	R. 90bis.1, 90bis.4, AI Sec. 415 (b), (e), Form IB/339
See DEMAND	
LATER ELECTION(S)	
<b>ELECTRONIC</b>	
electronic copies of documents filed on paper for	
national Offices and IGOs .....	R. 89ter
of Req. and abstract (PCT-SAFE) .....	R. 89ter, AI Sec. 102(i)
electronic database used for IS may be indicated in ISR ..	R. 43.6(c)
electronic filing, processing and transmission of IAs	
and other documents .....	R. 89bis.1, 93bis.1(b), AI Sec. 701-713
acceptance by RO	
decision to accept in particular cases .....	AI Sec. 703(d),(e)
notification of IB and publication in Gaz. of .....	R. 89bis.1(d), AI Sec. 703(a)
RO requirements and practices .....	AI Sec. 710
refusal to accept .....	R. 89bis.1(d), AI Sec. 703(e), 708(b)(i)
copies (HC, RC, SC) of IA in electronic form .....	R. 89bis.1(c), AI Sec. 705, 708(b)(v)
correspondence .....	AI Sec. 704(h), 709, 710(a)(ii)
documents in pre-conversion format .....	AI Sec. 706, 710(a)(iv)
IFD .....	R. 89bis.1(c), AI Sec. 704(c), 708(b)(iii)-(v)
international filing fee where IA filed in electronic	
form .....	AI Sec. 707
legibility, virus infection etc. ....	AI Sec. 708
physical requirements .....	R. 89bis.1(c), AI Sec. 703(b)
defects .....	AI Sec. 703(e)
receipt of IA .....	R. 89bis.1(c)
conditions, rules and procedures .....	AI Sec. 710(a)(ii)
confirmation of .....	AI Sec. 704(a)
signature .....	R. 89bis.1(c), AI Sec. 701(iv), 703(c), 710(a)(i), AI Annex F 3.3
defects .....	AI Sec. 704(d)
type of signature not specified by RO .....	AI Sec. 704(g)
standard for electronic filing and processing of IAs ....	AI Sec. 701(v), 703(b)(c), 710(a)(i), 713(b), AI Annex F
systems of RO not available .....	AI Sec. 709(c), 710(a)(v)
transmission not successful .....	AI Sec. 709(b)
transmittal to IB by RO under R. 19.4 .....	AI Sec. 704(f)
electronic minimum documentation for IS on	
electronic media .....	R. 36.1(ii)
electronic records	
access to .....	R. 94.1, AI Sec. 710(a)(vii), 711(b), 712
management .....	R. 93, AI Sec. 711, AI Annex F 8
electronic SL part of IA .....	AI Annex C
electronic transmission of notices between national	
Offices and IGOs .....	R. 89bis.3
SL in electronic form .....	R. 13ter, AI Sec. 208, 610, AI Annex C
in check list .....	R. 3.3(a)(ii)
for international authorities .....	R. 13ter.1, 13ter.2
furnished to RO, transmitted to ISA .....	R. 23.1(c)
See PCT-SAFE	
SEQUENCE LISTINGS	
<b>ELEMENTS</b>	
elements of IA .....	Art. 3(2)
arrangement/order of .....	AI Sec. 207
commencing on separate sheet .....	R. 11.4
elements required for IFD .....	Art. 11(1)(iii)
<b>ENTRY INTO FORCE</b>	
date of entry into force is notified by Director General ....	Art. 69(iii)
entry into force of amendment of certain provisions	
of Treaty .....	Art. 61(3)
entry into force of Treaty .....	Art. 63

Subject	Reference
<b>ERRORS</b>	
See CORRECTION(S)	
MISTAKES	
RECTIFICATION	
<b>EXAMINATION</b>	
See INTERNATIONAL PRELIMINARY EXAMINATION	
NATIONAL EXAMINATION	
<b>EXAMINING AUTHORITY</b>	
See INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY	
<b>EXCUSE</b>	
See DELAY(S)	
<b>EXECUTIVE COMMITTEE</b>	
See COMMITTEE(S)	
<b>EXHIBITION(S)</b>	
exhibition(s) of invention and prior art for	
IS .....	R. 33.1(b), AI Sec. 507(a)
IPE.....	R. 64.2
information relating to non-prejudicial disclosure at exhibition(s) to be published in Gaz.....	R. 86.1(i), AI Annex D (items 6, 8)
national law applicable by DO may require evidence concerning non-prejudicial disclosures or exceptions to lack of novelty, such as disclosures at certain.....	Art. 27, R. 51 <i>bis</i> .1(a)(v)
declaration in Req. as to non-prejudicial disclosure on exhibition(s) .....	R. 4.1(c)(iii), 51 <i>bis</i> .1(a)(v), AI Sec. 215
<b>EXPENSE(S)</b>	
expense(s) of delegations.....	R. 84
<b>EXPIRATION</b>	
See TIME LIMIT(S)	
<b>EXPLANATIONS</b>	
explanation(s) in IPRP (Ch.II) as to statement.....	Art. 35(2), R. 70.8, AI Sec. 604, ISPEG 17.40
IPEA procedure applies to ISA.....	R. 43 <i>bis</i> .1(b)
ISR not to contain explanation(s) .....	R. 43.9, ISPEG 16.05
statement in IPRP (Ch.II) to be accompanied by explanation(s) .....	Art. 35(2), R. 70.6(a), AI Sec. 604, ISPEG 17.40
See INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)	
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)	
<b>EXPRESSIONS</b>	
abbreviated expressions .....	R. 1, AI Sec. 101
expressions not to be used in IA	
before IB .....	R. 9, AI Sec. 413(b)
for international publication .....	Art. 21(6), R. 9
before IPEA .....	R. 9, ISPEG 4.29-4.32
before ISA.....	R. 9, AI Sec. 501, ISPEG 4.29-4.32
before RO.....	R. 9, Form RO/112, ROG 333
See DISPARAGING COMMENTS/STATEMENTS	
NEW MATTER	
<b>EXTENSION</b>	
extension of appointment	
of ISA.....	Art. 16(3)(e)
of IPEA .....	Art. 16(3)(e), 32(3)
See FEE(S)	
SUCCESSOR	
TIME LIMIT(S)	

**Subject** **Reference**

**EX OFFICIO**

ex officio cancellation of designations by RO  
(non-Contracting State(s)) .....AI Sec. 318, ROG 163

ex officio cancellation of elections by

IB (non-Contracting State(s)) .....AI Sec. 423, Form IB/335

IPEA (State(s) not bound by Chapter II).....AI Sec. 606, Form IPEA/425

ex officio seat in Assembly for State on the territory of which  
headquarters are, if such State grants advances .....Art. 57(8)(a)

See CORRECTION(S)

**-F-**

**FACSIMILE**

facsimile numbers of Offices and international  
Authorities .....Guide, Annexes B1, B2

indication of facsimile number of applicant, agent or CRep, in

Dem.....R. 4.4(c), 53.4, 53.5

Req.....R. 4.4(c)

use of facsimile to transmit documents or  
correspondence .....R. 92.4, ROG 15-18

notification regarding

attempted transmission of documents by  
facsimile.....R. 92.4(c), Forms RO/140, IB/356, ISA/229, IPEA/433

receipt of documents by facsimile.....R. 92.4(h), Forms RO/142, ISA/231, IPEA/435

invitation to furnish original of document  
transmitted by facsimile .....R. 92.4(d), (e), (f), Forms RO/141, IB/357, ISA/230, IPEA/434

signature appearing on a document transmitted by  
facsimile.....R. 92.4(b), Fee(s)

**FEE(S)**

fee(s) payable to DO

for restoration of right of priority .....R. 49ter.2 (b)(iii), 49ter.2(d)

national fee(s) .....Art. 22(1)

review by DO conditional on payment of.....Art. 25(2)(a), R. 51.3

effects of withdrawal of Dem. or elections before  
time limit under Art. 22 may be conditional on ...Art. 37(4)(b)

special fee(s) where certain claims not searched .....Art. 17(3)(b)

fee(s) payable to EO

national fee(s) .....Art. 39(1)(a), R. 49.1(a)(ii)

special fee(s) where certain claims not examined.....Art. 34(3)(b), (c)

fee(s) payable to IB

special fee(s) for

copy to applicant or third party of

document in the file (other than RC,  
published IA or priority document) .....R. 94.1, Annex B2

priority document .....R. 17.2(c), 94.1, Annex B2

RC.....R. 94.1, Annex B2

copy of IA to DO on request of applicant .....R. 31.1(b), Annex B2

early publication under Art. 21(2)(b) and  
64(3)(c)(i) .....R. 48.4(a), AI Sec. 113(a), Annex B2

publication of

applicant's wish to add or correct priority  
claim.....R. 26bis.2(e), AI Sec. 113(c), Annex B2

refused request for rectification of obvious  
mistake .....R. 91.3(d), AI Sec. 113(b), Annex B2

supplementary search fee .....R. 45bis.3

amount.....R. 45bis.3

collected by the IB .....R. 45bis.3(b)

late payment .....R. 45bis.4(b)-(d)

notification concerning payment.....R. 45bis.4(b)

refund .....R. 45bis.3(d), (e), Form/SISA/508

time limit for payment.....R. 45bis.3(c)

supplementary search handling fee .....R. 45bis.2

amount.....R. 45bis.2(a), Fees, R. 96.1

currency of .....R. 45bis.2(b)

Subject	Reference
notification concerning payment.....	R. 45bis.4(b)
refund .....	R. 45bis.2(d)
time limit for payment.....	R. 45bis.2(c)
fee(s) payable to IPEA	
additional fee(s) where lack of unity of invention	
is found by IPEA .....	Art. 34(3)(a), R. 68.2, 68.3(a), (b)
invitation to restrict or pay additional fees.....	Art. 34(3)(a), R. 68.2, Form IPEA/405
refund of additional fee .....	R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
for requested copies of	
cited documents .....	R. 71.2(b), Form IPEA/421
documents in the file .....	R. 94.1, Form IPEA/422
handling fee(s) .....	R. 57
amount .....	R. 57.2(a), R. 96.1, Fees
currency for payment.....	R. 57.2(b), (c), (d), 96.1, Fees
equivalent amounts in currencies other than	
Swiss Francs .....	R. 57(c), (e)
notification concerning payment.....	R. 57, 58, AI Sec. 615, Form IPEA/403
invitation to pay prescribed fees together with late	
payment fee .....	R. 58bis, Form IPEA/440
preliminary examination fee(s).....	R. 58
currency for payment.....	R. 58.1(c), Annex E
invitation to pay prescribed fees together with late	
payment fee .....	R. 58bis, Form IPEA/440
notification concerning payment.....	R. 57, 58, AI Sec. 615, Form IPEA/403
protest fee(s) .....	R. 68.3(e)
invitation to pay protest fee .....	R. 68.3(e), Form IPEA/437
refund of protest fee .....	R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
fee(s) payable to ISA	
additional fees in case of missing parts or correct	
elements and parts included in the international	
application or considered to have been contained	
in the international application.....	R. 40bis.1
invitation to pay additional fees .....	R. 40bis.1, Form ISA/208
additional fee(s) per invention where lack of unity	
is found by ISA.....	Art. 17(3), R. 40
invitation to pay additional fees .....	Art. 17(3)(a), R. 40.1, Form ISA/206
notification regarding review of justification for	
invitation to pay additional fees.....	R. 40.2(e)
refund of additional fee .....	Art. 17(3), R. 40.2(c), Form ISA/212
for requested copies of cited documents .....	R. 44.3(b), Form ISA/221
protest fee(s) .....	R. 40.2(c), (e), AI Sec. 502, Form ISA/212
fee(s) payable to RO	
for late furnishing of translation of IA for	
international publication.....	R. 12.4(c), (e), Form RO/157
IS .....	R. 12.3(c), (e), Form RO/150
for preparation of copies.....	R. 21.1(c), AI Sec. 305bis.(a), Form RO/122
for restoration of right of priority .....	R. 26bis.3(d)
for transmittal of purported IA to IB as RO	
invitation to authorize transmittal of purported IA	
to the IB as RO and to pay fee .....	R. 19.4(a)(iii), AI Sec. 333, Form RO/152
notification of transmittal of purported IA to	
the IB as RO and invitation to pay fee.....	R. 19.4(a)(i), (ii), AI Sec. 333, Form RO/151
payment of prescribed fee(s) .....	R. 14, 15, 16, AI Sec. 304(a), (b), 323(b), Form RO/102
invitation to pay fees before due date .....	R. 14, 15, 16, AI Sec. 304(a), (b), 323(b), Form RO/102
invitation to pay prescribed fees together with	
late payment fee .....	R. 16bis, Form RO/133
lack of payment of fee(s).....	Art. 3(4)(iv), 14(3)(a), R. 27(a)
payment online if accepted (conditions, rules and	
procedures in notification of RO to IB) .....	AI Sec. 710(a)(ii)
prescribed fee(s)	
international filing fee .....	Art. 3(4)(iv), R. 15.1, 27.1(a), ROG 241
allocation of moneys received .....	AI Sec. 321
amounts .....	R. 15.2(a), 96.1, Fees, ROG 241
currency .....	R. 15.2 (b), (c), (d), 96.1, Fees, ROG 241



Subject	Reference
equivalent amounts in currencies other than Swiss Francs.....	R. 15.2(b), (d)
IAs filed in electronic form.....	AI Sec. 707
relating to international fee(s) for applicants from certain countries.....	R. 15.2, 96, Fees
relating to PCT-SAFE.....	R. 15.2, 96, Fees
refund by RO.....	R. 15.3, R. 15.4, 16.2, AI Sec. 326(c), Form RO/119
time limit for payment.....	Art. 3(4)(iv), 14(1)(b), R. 26.2, AI Sec. 304
search fee(s) (for benefit of ISA).....	R. 16
currency for payment of search fee.....	R. 16.1(b), (c), (d), (e), Annex D, ROG 240
equivalent amounts in RO currency.....	R. 16.1(d)
refund of by RO.....	R. 6.2, 16.2, AI Sec. 326(c), Form RO119
transmittal of SC delayed until payment of.....	R. 23.1 (a), (b)
transmittal fee(s).....	R. 14
allocation of moneys received.....	AI Sec. 321
currency.....	ROG 237, Annex C
reductions 90% for certain persons.....	Schedule of fee(s) annexed to the Regulations, ROG 247
electronic.....	Schedule of fee(s) annexed to the Regulations, AI Sec. 102 <i>bis</i> , AI Sec. 707
Schedule of fee(s).....	R. 96
published in Gaz. ....	R. 86.1(ii)
special fee payable to DO where certain claims not searched.....	Art. 17(3)(b)
EO where certain claims not examined.....	Art. 34(3)(b), (c)
IB for publication of information concerning applicant's wish to add or correct priority claim.....	R. 26 <i>bis</i> .2(e), AI Sec. 113(a), (c)
refused request for authorization of obvious mistake.....	R. 91.3(d), AI Sec. 113(b)
transfer of.....	R. 15.2, 16.1(d), 57.2, 96.2, AI Sec. 114, AI Annex G
See REFUND	

## FILE

confidentiality of file.....	Art. 38
file for IPE where IPEA is part of same Office or IGO as ISA.....	AI Sec. 605
See ACCESS	

## FILE REFERENCE

file reference on IA Sheets.....	R. 11.6(f), AI Sec. 109, 327, ROG 76
file reference placed in margin of IA.....	AI Sec. 109, ROG 143

## FILING DATE

See INTERNATIONAL FILING DATE	
-------------------------------	--

## FINAL PROVISIONS

becoming Party to Treaty.....	Art. 62
denunciation.....	Art. 66
depository Functions.....	Art. 68
entry into Force of the Treaty.....	Art. 63
gradual Application.....	Art. 65
languages.....	Art. 67
notifications.....	Art. 69
reservations.....	Art. 64
signature.....	Art. 67

## FINANCES (OF UNION OF PCT STATES)

generally.....	Art. 57
budget of Union adopted by Assembly.....	Art. 53(2)(a)(vi), 53(10)

## FINANCIAL

financial obligations (of Contracting States), increase of financial obligations.....	Art. 61(3)(b)
--	---------------

<b>Subject</b>	<b>Reference</b>
financial regulations of the Union .....	Art. 53(2)(a)(vii)
<b>FLOW SHEETS</b>	
flow sheets considered as drawings .....	R. 7.1
<b>FORCE MAJEURE</b>	
See DELAY	
TIME LIMIT	
<b>FORM(S)</b>	
computer generated form(s) .....	R. 3.1, 4, AI Sec. 102(h),(i)
languages of form(s) used by International Authorities .....	AI Sec. 103
prescribed particulars of	
Dem. form(s) .....	R. 53.1(a), AI Sec. 102(a)(i)-(c), (h), (i), AI Annex A (Part IV), Form IPEA/401
Req. form(s) .....	R. 3.4, AI Sec. 102(a)(i)-(c), (h), (i), AI Annex A (Part I), Form RO/101
use of	
national form(s) (not for acts under Art. 22) .....	R. 49.4
PCT form(s) .....	AI Sec. 102, AI Annex A
<b>FORMAT</b>	
copy in electronic form .....	R. 89 <sup>ter</sup> .1
format for computer generated forms .....	R. 3.1, 4, AI Sec. 102(h)(i), (i)-(k)
particulars of	
Dem. presented as computer print-out .....	R. 53.1(a), AI Sec. 102(a)(i)-(c), (h), AI Annex A, Part IV, Form IPEA/401
Req. presented as computer print-out .....	R. 3.4, AI Sec. 102(a)(i)-(c), (h), AI Annex A (Part I), Form RO/101
See COMPUTER	
PCT-SAFE	
SEQUENCE LISTINGS	
<b>FORMULA(E)</b>	
See CHEMICAL	
MATHEMATICAL	
<b>FRONT PAGE OF INTERNATIONAL PUBLICATION</b>	
generally .....	R. 48.2, 86.1(i), AI Sec. 406(c), 408(b), (c), 705 <sup>bis</sup> (e), AI Annex D
<b>-G-</b>	
<b>GAMES</b>	
games as subject matter not required to be	
examined .....	Art. 34(4)(a)(i), R. 67.1(iii), ISPEG 9.07
searched .....	Art. 17(2)(a)(i), R. 39.1(iii), ISPEG 9.07
<b>GAZETTE, PCT</b>	
See INTERNATIONAL PUBLICATION	
<b>GENERAL POWER OF ATTORNEY</b>	
See POWER OF ATTORNEY	
<b>GRADUAL APPLICATION</b>	
gradual application of the Treaty .....	Art. 65(1)
<b>GUIDELINES</b>	
See CITATIONS	
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)	
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)	

**-H-**

**HANDLING FEE**

See FEE(S)

**HEADING(S)**

heading(s) of parts of description .....R. 5.1(c), AI Sec. 204  
statement referred to in Art. 19(1) to be identified  
as such by heading(s).....Art. 19(1), R. 46.4(a)

**HOME COPY (OF IA)**

keeping of records by RO .....R. 93.1, 93.4  
preparation and identification of home copy (of IA)  
by RO .....Art. 12(1), R. 11.1, 21.1, AI Sec. 305, ROG 283, 284  
IA filed electronically .....R. 89*bis*.1(c), AI Sec. 705(a)-(c), 708(b)(v)

**-I-**

**IDENTIFICATION**

identification of copies of IA .....Art. 12(1), R. 11.1, 21.1, AI Sec. 305, ROG 283, 284  
filed electronically .....R. 89*bis*.1(c), AI Sec. 705(a)-(c), 708(b)(v)  
identification of copies of translation of IA.....AI Sec. 436  
identification of IA having two or more applicants.....AI Sec. 105  
identification of International Authorities,  
DOs and EOs .....AI Sec. 107  
See FILE REFERENCE  
HOME COPY  
RECORD COPY  
SEARCH COPY

**ILLUSTRATION(S)**

illustration(s) as prior art for  
IS .....R. 33.1(a)  
IPE.....R. 64.1(a)  
illustration(s) by drawings in IA.....Art. 7(2)  
See DRAWING(S)

**INDICATIONS**

See TWO-LETTER CODES

**INDUSTRIAL APPLICABILITY**

indications in description relating to industrial  
applicability .....R. 5.1(a)(vi)  
IPRP (Ch.I).....R. 43*bis*.1(a)(i), 44*bis*.1  
IPEA procedure applies to ISA .....R. 43*bis*.1(b)  
IPRP (Ch.II)  
opinion as to industrial applicability is objective  
of IPE.....Art. 33(1), ISPEG 19.02  
definition.....Art. 33(4)  
procedure where no meaningful opinion can be  
formed on industrial applicability.....Art. 34(4)(a)(ii), R. 66.2(a)(ii)  
required statement in IPRP (Ch.II) relating  
to industrial applicability in relation to  
each claim.....Art. 35(2), R. 70.6(b)  
guidelines for explanations concerning  
statement .....R. 70.8, AI Sec. 604

See CITATIONS

INTERNATIONAL PRELIMINARY EXAMINATION

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)

INTERNATIONAL SEARCH

INTERNATIONAL SEARCH REPORT (ISR)

PRIOR ART

**Subject** **Reference**

**INDUSTRIAL ESTABLISHMENT**

possession of real or effective industrial establishment  
considered residence . . . . . R. 18.1(b)

**INFORMATION**

information about notices concerning the  
communication of IAs .....R. 47.1(c)  
information concerning EOs notified of their election.....R. 61.3, Form IB/332  
information in international publication .....Art. 21, R. 48.2  
information to be published in  
Gaz. ....Art. 55(4), R. 86.1  
from DOs/EOs on question whether requirements  
provided for in Art. 22 or 39 have been complied  
with in respect of IAs (to be published in Gaz.)...Art. 55(4), R. 86.1(iv), AI Sec. 112 (a), (b)  
included from front page of international  
publication.....R. 86.1(i), AI Annex D  
to be published in Gaz. under R. 86.1(v) .....R. 86.1(v), AI Annex E

**INFORMATION SERVICES**

generally .....Art. 50

**INSTRUCTIONS, ADMINISTRATIVE**

See ADMINISTRATIVE INSTRUCTIONS

**INSTRUMENTS OF ACCESSION OR RATIFICATION**

instruments of accession or ratification deposited  
with DG.....Art. 62(2)  
instruments of accession or ratification entry into force  
of Treaty  
three months after eight States have deposited  
instruments of accession or ratification .....Art. 63(1)(a)  
for States depositing instruments of accession or  
ratification and becoming bound after  
entry into force of Treaty under Art. 63(1) .....Art. 63 (2)  
notifications of deposits of instruments of accession or  
ratification by DG to States party to Paris.....Art. 69(ii)  
reservations made at time of depositing  
instruments of accession or ratification.....Art. 64(6)(a)

**INTELLECTUAL PROPERTY**

intellectual property protected under PCT.....Art. 2(i)  
See KIND(S) OF PROTECTION

**INTERGOVERNMENTAL ORGANIZATION(S)**

See INTERNATIONAL BUREAU  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY  
INTERNATIONAL SEARCHING AUTHORITY

**INTERNATIONAL AUTHORITY**

See INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY  
INTERNATIONAL SEARCHING AUTHORITY

**INTERNATIONAL APPLICATION (IA)**

generally .....Art. 3  
defined.....Art. 2(i),(vii), (viii)  
elements of international application (IA).....Art. 3(2)  
arrangement/order of elements .....AI Sec. 207, ROG 139-140  
incorporation by reference of elements and parts R. 4.18, 20.6, AI Sec. 305*ter*, 411*bis*, Form RO/114, ROG 49-51, 204-205G  
identification of international application (IA)  
with 2 or more applicants .....AI Sec. 105  
international application (IA) equivalent to regular  
national filing .....Art. 11(4)  
international application (IA) treated as continuation  
or continuation-in-part .....R. 4.11(a)(ii), 49*bis*.1(c)  
missing parts .....R. 20.5  
erroneously filed elements and parts .....R.20.5*bis*

**Subject****Reference**

numbering of international application (IA).....R. 20.1(a), AI Sec. 307, ROG 35

See ACCESS

CLAIMS

DESCRIPTION

DRAWING(S)

INTERNATIONAL FILING DATE

PURPORTED

REQUEST

**INTERNATIONAL BUREAU (IB)**

generally .....Art. 55

definition .....Art. 2(xix)

directions given by Assembly to International Bureau (IB)  
concerning preparations for revisions conferences .....Art. 53(2)(a)(iii)

International Bureau (IB) as RO .....R. 18.1(c), 19.1, 83.1*bis*

See AMENDMENT(S)

DEFECTS

EFFECT(S)

INFORMATION SERVICES

RECTIFICATION

**INTERNATIONAL COURT OF JUSTICE**

disputes between Contracting States concerning  
interpretation or application of Treaty before  
International Court of Justice .....Art. 59

See DISPUTE(S)

**INTERNATIONAL FILING DATE**

correction of international filing date .....R. 20.5(c), 20.5*bis*(c), AI Sec. 310*bis*

date of receipt accorded by RO as international filing date,  
requirements.....Art. 11(1), R. 20, ROG 35-54

date of receipt accorded as international filing date on  
the basis of an incorporation by reference.....R. 20.6, 82*ter*.1(b)

date of receipt of drawings referred to in IA but  
received later .....Art. 14(2), R. 20.5, 20.5*bis*, 20.6

date of receipt of required correction as international  
filing date .....Art. 11(2)(b), R. 20.3(b)

effect of IA as of international filing date .....Art. 11(3), R. 20.2, AI Sec. 324

e-filing .....R. 89*bis*.1(c), AI Sec. 704(c), 708(b)(iii)-(v)

later finding of non-compliance with requirements for  
accorded international filing date .....Art. 11(1), 14(4)

certain facts called to attention of RO by IB or ISA .....Art. 14(4), R. 29.3

notification of facts which should have  
precluded the according of international  
filing date.....R. 29.3, Forms IB/321, ISA/209

notification of applicant

of decision not to issue declaration .....Art. 14(4), R. 29.4(a), AI Sec. 312, Form RO/127, ROG 52, 53

of intent to issue declaration that IA is considered  
withdrawn .....Art. 14(4), R. 29.4(b), Form RO/115, ROG 53

that IA considered to be withdrawn.....Art. 14(4), R. 29.1(a), Form RO/143, ROG 52-54

time limit to issue declaration.....Art. 14(4), R. 30

rectification in national phase of errors concerning  
international filing date.....R. 82*ter*.1

review by DO of refusal by RO to accord  
international filing date.....Art. 25(1)(a), R. 51

**INTERNATIONAL FILING FEE**

See FEE(S)

**INTERNATIONAL PATENT CLASSIFICATION (IPC)**

referred to in

IPRP (Ch.I) .....R. 43*bis*.1(b), R. 70.5(a)

IPRP (Ch.II).....R. 70.5, ISPEG 17.11

ISR .....R. 43.3, AI Sec. 504, ISPEG 16.52

amended classification in case of later  
published ISR .....ISPEG 7.05

Subject	Reference
classification of IAs excluded from international search .....	ISPEG 7.08
classification where scope is obscure.....	ISPEG 7.06
lack of unity of invention .....	ISPEG 7.07
published in PCT Gaz. ....	AI Annex D (2.2)
See UNITY OF INVENTION	

#### INTERNATIONAL PATENT COOPERATION UNION

establishment.....	Art. 1(1)
meaning for purpose of Treaty and Reg. ....	Art. 2(xvi)

#### INTERNATIONAL PRELIMINARY EXAMINATION (IPE)

generally .....	Art. 31(6), 32, 33, 34(2)(c), 35, ISPEG 3.01-3.29
confidential nature of international preliminary examination (IPE).....	Art. 38
copy, translation and fee for international preliminary examination (IPE), furnished to EO .....	Art. 39
delay to enter national phase.....	Art. 39(1)(a)
documents, etc. for IPEA .....	R. 69.1(a), ISPEG 3.13-3.15
file to be used for international preliminary examination (IPE) (when identical ISA/IPEA).....	AI Sec. 605
informal communication with applicant.....	R. 66.6, ISPEG 19.31-19.36
note on informal communication with applicant.....	R. 66.6, Form IPEA/428
notification concerning informal communication with applicant .....	R. 66.6, Form IPEA/429
requirements for international preliminary examination (IPE), generally .....	Art. 33(1)-(5), R. 5.1(a)(iii), ISPEG 19.01-19.05
defects, meaningful opinion not possible .....	Art. 34(4)(a)(ii), (b), ISPEG 9.01
documents to be cited .....	Art. 35(2), R. 70.7, ISPEG 17.40-17.42
exclusions from international preliminary examination (IPE).....	Art. 34(4)(a)(i), (b), R. 13ter.1(d), 13ter.2, 67, ISPEG 9.02-9.15, 17.58
industrial applicability .....	Art. 5, 33(4), 34(4)(a)(ii), 35(3)(a), ISPEG 14.01-14.06
inventive step .....	Art. 33(3), R. 6.4(b), 65, ISPEG 13.01-13.19
novelty, prior art .....	Art. 33(2), R. 33.1, 64, 70.10, ISPEG 11.01-11.26, 12.01-12.10
start of, and time limit for, international preliminary examination (IPE).....	Art. 31(2)-(4), R. 60.2, 69, ISPEG 19.06-19.10
steps in the procedure before IPEA .....	Art. 31(7), 36(3)(a), R. 61.2, 66.1, ISPEG 3.01-3.29
“telescoped” procedure (overlapping IS and IPE).....	R. 69.1(b), (b-bis), ISPEG 19.12

See CLAIMS

DEMAND

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)

UNITY OF INVENTION

#### INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY (IPEA)

generally .....	Art. 32
agreement between IPEA and IB.....	Art. 32(2), (3), 34(1), R. 59(1)(a)
appointment by Assembly .....	Art. 16(3), 32(2), R. 59
minimum requirements for International Preliminary Examining Authority (IPEA) .....	R. 63
cancellation of elections by International Preliminary Examining Authority (IPEA) .....	AI Sec. 606
common quality framework for international search and preliminary examination .....	ISPEG 21.01-21.28
communication	
inter-authority .....	ISPEG 21.18-21.19
with IB, DO, EO .....	ISPEG 21.21
reporting.....	ISPEG 21.31-32
competent International Preliminary Examining Authority (IPEA) .....	R. 59
invitation to indicate International Preliminary Examining Authority (IPEA) where several are competent	
by IB .....	R. 59.3(c)(ii), AI Sec. 432, Form IB/367
by IPEA .....	R. 59.3(f), AI Sec. 601(b), Form IPEA/442

Subject	Reference
by ISA.....	R. 59.3(f), AI Sec. 516, Form ISA/235
by RO.....	R. 59.3(f), Form RO/154, ROG 328-332
copy of IA, ISR and SISR sent to International Preliminary Examining Authority (IPEA) by IB .....	AI Sec. 420
procedure before .....	Art. 34, R. 66
records kept by International Preliminary Examining Authority (IPEA) .....	R. 93.3, 93.4
See ACCESS	
AUTHORIZED OFFICER	
DEMAND	
INTERNATIONAL PRELIMINARY EXAMINATION	

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (IPRP) (Ch.I)

communication to DOs of international preliminary report on patentability (IPRP) (Ch.I) by IB .....	R. 44bis.2
confidential nature of international preliminary report on patentability (IPRP) (Ch.I) .....	ISPEG 2.16
contents .....	R. 43bis.1, 44bis, Form ISA/237, ISPEG 17.09
IPEA procedure applies to ISA.....	R. 43bis.1(b)
issuance of international preliminary report on patentability (IPRP) (Ch.I) by the IB on behalf of the ISA.....	R. 44bis.1, Form IB/373, ISPEG 2.18
time limit for establishment .....	Art. 18(1), R. 42.1, 43bis.1(a), 44bis, ISPEG 15.09
translation of international preliminary report on patentability (IPRP) (Ch.I) by IB .....	R. 44bis.3, ISPEG 2.18
transmittal by IB of copies of translation of IPRP (Ch.I) to DOs and applicant.....	R. 44bis.3(c), Form IB/338
transmittal of ISR, written opinion or Art. 17(2) declaration to applicant and IB by ISA .....	R. 44.1, Form ISA/220, ISPEG 2.14
See ACCESS	
CITATIONS	
CLASSIFICATION	
COMMUNICATION	
TRANSMITTAL	
UNITY OF INVENTION	
WITHDRAWAL	
WRITTEN OPINION	

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (IPRP) (Ch.II)

communication to EOs of international preliminary report on patentability (IPRP) (Ch.II), translation thereof and annexes by IB.....	Art. 36(3)(a), R. 73.2, ISPEG 3.27
copies of cited documents.....	Art. 20(3), 36(4), R. 71.2, Form IPEA/417, ISPEG 3.30
establishment, generally.....	Art. 35, 36, R. 70, Form IPEA/409
basis of international preliminary report on patentability (IPRP) (Ch.II).....	Art. 34(3), (4), R. 66.1(e), 67, 68.1, 68.3(c), 70.2, 70.11, 70.13, 70.16, AI Sec. 603, 616, ISPEG 17.13-17.14
certification .....	R. 70.3, 70.4, 70.14, AI Sec. 612, ISPEG 17.49
defects in IA or lack of clarity .....	R. 70.12, ISPEG 17.47
non-written disclosures and certain published documents.....	R. 70.2(b), 70.7(b), 70.9, 70.10, AI Sec. 507(a), (b), ISPEG 17.43-17.46
language of IPRP (Ch.II) and annexes .....	R. 48.3(a), (b), 70.17(a), ISPEG 17.50
statement concerning criteria of novelty, inventive step and industrial applicability.....	Art. 35(2), R. 70.6, ISPEG 17.40
citations supporting statement .....	Art. 35(2), R. 70.7, ISPEG 17.40-17.42
method of identification of documents cited in international preliminary report on patentability (IPRP) (Ch.II).....	AI Sec. 503, 611
explanations in IPRP (Ch.II) as to statement .....	Art. 35(2), R. 70.8, AI Sec. 604, ISPEG 17.40
time limit for establishment .....	Art. 35(1), R. 69.2, ISPEG 3.23, 19.08-19.09
consideration of amendments, arguments and rectifications of obvious mistakes .....	R. 66.4bis, ISPEG 20.05
translation of	
annexes to international preliminary report on patentability (IPRP) (Ch.II) (by applicant).....	Art. 36(2), R. 74
international preliminary report on patentability (IPRP) (Ch.II) (by IB) .....	Art. 36(2), R. 72, ISPEG 3.28

**Subject****Reference**

transmittal of

international preliminary report on patentability (IPRP) (Ch.II) and annexes

by IB (together with IB's translation of IPRP  
(Ch.II) to applicant and EOs) .....Art. 36(1)-(3), R. 72.2, Form IB/338

by IPEA to applicant and IB .....Art. 36(1), R. 71.1, Form IPEA/416, ISPEG 22.59

(applicant's) translation of annexes to EOs by

applicant .....Art. 36(2), (3)(b), R. 74

See ACCESS

CITATIONS

CLASSIFICATION

COMMUNICATION

TRANSMITTAL

UNITY OF INVENTION

WITHDRAWAL

**INTERNATIONAL PUBLICATION**

communication of publications and Gaz. free of

charge to ISAs, IPEAs and national Offices .....R. 87.1, AI Sec. 435

completion of technical preparation for international publication

amendments under Art. 19 received before .....Art. 19(1), R. 46.1

correction or addition of declaration received before ...R. 26ter.1

request by applicant to omit information before .....R. 48.2(l)

contents .....R. 48.2

effects of international publication .....Art. 29

exclusions from international publication

certain expressions, drawings or disparaging statements

excluded from international publication .....Art. 21(6)

international publication not required under national law

(exception to Art. 21) .....Art. 64(3)

requested by applicant .....R. 48.2(l)

withdrawn before international publication.....Art. 21(5)

form and means .....Art. 21(4), R. 48.1, AI Sec. 406(b), (c)

Gaz. ....Art. 21, 29, 55(4), R. 86, AI Sec. 407, AI Annexes D, E

form

electronic .....Art. 21(4), R. 86.2(c), AI Sec. 407

contents

information from front page of international

publication included in Gaz. ....R. 48, 86.1(i), AI Sec. 407(c), Annex D

other information in Gaz. prescribed by AIs.....R. 86.1(v), AI Sec. 407(d), Annex E

languages/translation .....Art. 21(4), R. 86.2(a), (b)

means of publication.....R. 86.2(c), AI Sec. 407

information concerning the priority claim.....R. 26bis.2(e), AI Sec.113(c)

rectifications received after .....R. 48.2(i), 48.2(k), 91, AI Sec.113(b)

withdrawals (notice of) received before .....Article 21(5), R. 90bis.1(c), 90bis.2(e)

international publication in Gaz. of notice of submission

of Dem. ....Art. 31(4)(a), R. 61.4, AI Sec. 431

international publication number .....AI Sec. 404

international publication of ISR or declaration

referred to in Art. 17(2)(a) .....Art. 21(3)

languages of international publication.....Art. 21(4), R. 48.3

omission of information from publication.....R.48.2(l)-(n), 94.1(e)

processing of requests by IB.....AI Sec. 218

request by applicant .....R. 48.2(l), ROG 166N

numbering of sheets for purposes of

international publication .....AI Sec. 410

physical requirements complied with to extent

necessary for purposes of reasonably uniform

international publication .....Art. 14(1)(a)(v), 14(1)(b), R. 11, 26.3(a)(i), 26.3bis, AI Sec. 704(e)

restoration of right of priority .....R. 48.2(j)

SL in electronic form .....Art. 21, AI Annex C

time limit for international publication.....Art. 21(2)(a)

computation of, where priority claim was

added or corrected, causing change in priority

date .....R. 26bis.1(c)

withdrawn .....R. 90bis.3(d), (e)



**Subject****Reference**

translation of IA by applicant for - .....R. 12.4, AI Sec. 305*bis*

See DISPARAGING COMMENTS/STATEMENTS

EARLY PUBLICATION

EXPRESSIONS

REPUBLICATION

**INTERNATIONAL SEARCH**

generally .....Art. 15

date of reference; filing date and priority date .....R. 33.1(a), AI Sec. 507(d)-(f), ISPEG 15.01

exclusion from international search

no meaningful search possible.....Art. 17(2), ISPEG 9.26-9.30, 15.29-15.32

of certain claims.....Art. 17(2)(b), R. 6.4(a), ISPEG 15.22

subject matter excluded from international search.....Art. 17(2)(a)(i), (b), R. 39, ISPEG 9.02-9.15, 15.62

fields to be covered by international search .....R. 33.2

international search documentation, file contents .....R. 34.1(d), R. 43.6(b), (c), ISPEG 15.39-15.47

international search documentation, generally .....Art. 15(4), R. 34, 36.1(ii), ISPEG 15.39-15.47

objective of.....Art. 15(2), ISPEG 15.01-15.04

orientation and subject of international search .....Art. 15(3), 17(3)(a), (2)(a)(i), R. 33.3(a), (b), (d), 39, ISPEG 15.17-15.24

procedure after searching .....R. 43.5(c), (e), AI Sec. 505, 507(g), ISPEG 15.68-15.70

procedure prior to searching .....R. 8.2, 9.1, 9.2, 91.1, 37.2, 38, AI Sec. 501, 511(a)(v), ISPEG 15.33

scope of international search.....R. 33.2(a)-(c), 34, ISPEG 15.14-15.16

strategy .....Art. 17(2)(a), ISPEG 15.33-15.38

taking into account results of earlier search .....R. 41

“telescoped” procedure (overlapping IS and IPE).....R. 69.1(b), (b-bis), ISPEG 19.12

time limit for accomplishing international search .....R. 42, ISPEG 16.03

translation by applicant for international search .....R. 12.3, 48.3(b)

types of searches .....Art. 15(5), 16(1), ISPEG 2.20, 16.06

See EARLIER SEARCH

INTERNATIONAL SEARCH REPORT (ISR)

INTERNATIONAL PATENT CLASSIFICATION (IPC)

MINIMUM DOCUMENTATION

NOVELTY

SEQUENCE LISTINGS

PRIOR ART

UNITY OF INVENTION

**INTERNATIONAL SEARCH FEE**

See FEE(S)

**INTERNATIONAL SEARCH REPORT (ISR)**

generally .....Art. 18, R. 43, 44, Form ISA/210, ISPEG 16.01-16.04

abstract .....Art. 3(2), (3), R. 8, 38, 44.2, ISPEG 16.39-16.47

authentication and dates.....Art. 19, R. 43.1, 43.2, 43.8, 46.1, AI Sec. 514, ISPEG 16.84

authorized officer, responsible for

international search report (ISR) .....R. 43.8, AI Sec. 514, ISPEG 16.84

claims relevant to cited documents, indication of .....AI Sec. 508

communicated by IB to DO .....Art. 20, R. 47

copy of IA sent by IB for ISA.....AI Sec. 420

copies of references cited in international search

report (ISR) .....Art. 20(3), R. 44.3, ISPEG 16.86-16.87

declaration of non-establishment of ISR .....Art. 17(2)(a), 39, Form ISA/203, ISPEG 9.40

evaluates subject matter of IA .....Art. 17(2)

fields searched.....R. 43.6, ISPEG 15.43-15.51

form of international search report (ISR) .....AI Sec. 507(g), Form ISA/210, ISPEG 16.08

identification of documents cited in international search

report (ISR) .....AI Sec. 503

indication of

citations of particular relevance in .....AI Sec. 505

claims to which documents are relevant.....AI Sec. 508

international search report (ISR) on certain claims only...Art. 17(2)(b), R. 6.4(a), ISPEG 9.17, 9.41

language of international search report (ISR).....Art. 18, R. 43.4, ISPEG 16.11

Subject	Reference
manner of indicating special categories of documents cited in, indications of (O,E,A,P,T,L).....	AI Sec. 507, ISPEG 16.65
no additional matter to be contained in international search report (ISR) .....	R. 43.9, ISPEG 16.07
obvious mistakes (consideration of rectifications).....	R. 43.6 <i>bis</i>
opportunity to amend claims after receipt of.....	Art. 19
subject matter classification symbols indicated .....	AI Sec. 504
subject matter not required to be searched .....	R. 39
subject matter restriction.....	ISPEG 16.28-16.32
time limit for establishing .....	Art. 17(2), 18(1), R. 42.1, ISPEG 16.05
title.....	R. 4.3, 37, 44.2, ISPEG 16.35-16.38
transmittal of, from ISA to IB.....	R. 44
translation of international search report (ISR), by or under responsibility of IB.....	Art. 18(3), R. 45
types of international search report (ISR).....	Art. 15(5), 16(1), ISPEG 2.22
See DATE(S)	
CITATIONS	
CLASSIFICATION	
UNITY OF INVENTION	
<b>INTERNATIONAL SEARCHING AUTHORITY (ISA)</b>	
generally .....	Art. 16
defined.....	Art. 16(1), (3)(a)
agreement between international searching authority (ISA) and IB.....	Art. 16(3)(b), 17(1), R. 35.2(a)
appointment by Assembly .....	Art. 16(3), R. 35
minimum requirements.....	Art. 16(3)(c), R. 36
common quality framework for international search and preliminary examination .....	ISPEG 21.01-21.28
communication	
inter-authority .....	ISPEG 21.13-21.14
with IB, DO, EO .....	ISPEG 21.16
reporting.....	ISPEG 21.26-27
competent international searching authority (ISA) (specified by RO).....	Art. 16, R. 35
several competent .....	Art. 16(2), (3), R. 35.2, ROG 114
indication of applicant's choice in Req. ....	R. 4.1(b)(iv), 4.14 <i>bis</i> , 35.3(b), ROG 115-115A
where IA is filed with IB as RO .....	R. 35.3(b)
procedure before international searching authority (ISA).....	Art. 17
receipt of SC by international searching authority (ISA).....	Art. 12(1), R. 25.1, Form ISA/202
records, keeping by international searching authority (ISA).....	R. 93.3, 93.4
See INTERNATIONAL SEARCH	
SEARCH COPY	
<b>INTERNATIONAL-TYPE SEARCH</b>	
generally .....	Art. 15(5), 65(1), R. 4.12, AI Annex E(4)
international-type search report .....	Art. 15(5), Form ISA/201, ISPEG 2.22
<b>INTERPRETATION</b>	
disputes concerning interpretation of Treaty or Reg. may be brought before International Court of Justice .....	Art. 59
interpretation of certain words.....	R. 2
<b>INTERRUPTION OF MAIL SERVICE</b>	
See MAIL	
<b>INVENTION, TITLE</b>	
See TITLE	
<b>INVENTION, UNITY OF</b>	
See UNITY OF INVENTION	

Subject	Reference
<b>INVENTIVE STEP</b>	
inventive step considered by ISA .....	R. 43bis.1(a)(i), ISPEG 13.01-13.19
citation of documents in ISR of particular relevance to inventive step .....	R. 43.5(c), AI Sec. 505
IPEA procedure applies to ISA .....	R. 43bis.1(b)
inventive step for IPE purposes .....	Art. 33(1), ISPEG 13.01-13.19
definition .....	Art. 33(3), R. 65
procedure where no meaningful opinion can be formed on inventive step .....	Art. 34(4)(a)(ii), R. 66.2(a)(ii)
required statement in IPRP (Ch.II) relating to inventive step in relation to each claim .....	Art. 35(2), R. 70.6(b)
guidelines for explanations concerning statement .....	R. 70.8, AI Sec. 604
See CITATIONS	
INTERNATIONAL PRELIMINARY EXAMINATION	
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)	
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)	
INTERNATIONAL SEARCH	
INTERNATIONAL SEARCH REPORT (ISR)	
PRIOR ART	
<b>INVENTOR(S)</b>	
applicant(s) not qualified because not inventor(s) .....	Art. 27(3)
declaration(s) in Req.	
as to identity of inventor(s) .....	Art. 27, R. 4.1(c)(iii), 4.17(i), 51bis.1(a)(i), AI Sec. 211
correction or addition of .....	Art. 27, R. 4.17, 26ter.1
as replacement or additional sheet .....	AI Sec. 216
evidence may be required in national phase .....	Art. 27, R. 51bis.1(a)(i), (iv)
of inventorship .....	Art. 27, R. 4.1(c)(iii), 4.17(iv), 51bis.1(a)(iv), AI Sec. 214
name of inventor(s) and other data relating to inventor(s) as	
optional content of Req. ....	Art. 4(4), R. 4.1(c)(i), 4.4, 4.6(b), (c)
mandatory content of Req. ....	Art. 4(1)(v), R. 4.1(a)(iv), 4.4, 4.6
recording of changes concerning inventor(s) .....	R. 92bis
See DECLARATION(S)	
<b>INVENTOR'S CERTIFICATE (OF ADDITION)</b>	
IA for purposes of inventor's certificate (of addition) .....	Art. 43
indications to be made in Req. ....	R. 4.11(a)(i)
<b>INVITATION</b>	
invitation by RO to	
authorize transmittal of purported IA to the IB as RO and to pay fee .....	R. 19.4(a)(iii), AI Sec. 333, Form RO/152
correct	
defects in IA .....	Art. 3(4)(i), 14(1), R. 26, Form RO/106
priority claim .....	R. 4.10, 26bis.1, 26bis.2(a), Form RO/110
purported IA .....	Art. 11(2)(a), R. 20.3(a), 20.6, 20.7, Form RO/103
IA .....	R. 20.5(a), 20.5bis(a), Form RO/107
furnish	
original of document. ....	R. 92.4(d), (e), (f), Form RO/141
translation of IA and to pay , where applicable, late furnishing fee .....	R. 12.3(c), (e), Form RO/150
pay	
fee for preparation of copies .....	R. 21.1(c), 21.2, AI Sec. 305bis.(a), Form RO/122
prescribed fees together with late payment fee .....	R. 16bis, Form RO/133
request rectification .....	R. 91.1(h), 91.2, Form RO/108
invitation by IB to	
correct defects .....	R. 45bis.4(a), (d)
correct priority claim .....	R. 4.10, 26bis.1, 26bis.2(a), Form IB/316
furnish original of document transmitted by telegraph, teleprinter, facsimile machine, etc. ....	R. 92.4(d), (e), (f), Form IB/357
indicate competent IPEA .....	R. 59.3(c)(ii), AI Sec. 432, Form IB/367
request rectification .....	R. 91.1(h), 91.2, Form IB/314

**Subject****Reference**

invitation by IPEA to	
correct defects in	
correspondence submitted by the applicant .....	R. 92.1(b), 92.4(g)(ii), Form IPEA/423
Dem. ....	R. 60.1, Form IPEA/404
furnish	
original of document transmitted by telegraph, teleprinter, facsimile machine, etc. ....	R. 92.4(d), (e), (f), Form IPEA/434
SL complying with standard .....	R. 13 <i>ter</i> .2, AI Sec. 208, AI Annex C, Form IPEA/441
translation of priority document .....	R. 66.7(b), Form IPEA/414
indicate competent IPEA .....	R. 59.3(f), AI Sec. 601(b), Form IPEA/442
pay	
for requested copies of cited documents .....	R. 71.2(b), Form IPEA/421
for requested copies of documents in the file .....	R. 94.1, Form IPEA/422
prescribed fees together with late payment fee .....	R. 58 <i>bis</i> , Form IPEA/440
protest fee .....	R. 68.3(e), Form IPEA/405
request rectification .....	R. 91.1(h), 91.2, Form IPEA/411
restrict or pay additional fees .....	Art. 34(3)(a), R. 68.2, Form IPEA/405
submit amendments .....	R. 60.1(g), Form IPEA/431
furnish translation for purposes of IPEA.....	R. 55.2, 55.3, , Form IPEA/443
invitation by ISA to	
furnish	
original of document transmitted by telegraph, teleprinter, facsimile machine, etc. ....	R. 92.4(d), (e), (f), Form ISA/230
SL complying with standard .....	R. 13 <i>ter</i> .1, AI Sec. 208, AI Annex C, Form ISA/225
indicate competent IPEA .....	R. 59.3(f), AI Sec. 516, Form ISA/235
pay	
additional fees.....	Art. 17(3)(a), R. 40.1, 40.2(e), R. 45 <i>bis</i> .4(e)(vi), Form ISA/206, ISA/208
for requested copies of cited documents .....	R. 44.3(b), Form ISA/221
request rectification .....	R. 91.1(h), 91.2, Form ISA/216
invitation by ISA relating to free text in main part of description .....	R. 5.2(b), 13 <i>ter</i> .1(f), AI Sec. 204, AI Annex C, Form ISA/233
invitation by SISA to	
furnish SL .....	R. 13 <i>ter</i> .1(a)-(d), 45 <i>bis</i> .5(c), AI Sec. 208, AI Annex C, Form SISA/504
pay	
for requested copies of cited documents .....	R. 44.3(b), 45 <i>bis</i> .7(c), Form SISA/510

**IRREGULARITIES**

See DEFECTS

DELAY(S)

**-K-****KEEPING OF RECORDS AND FILES**

keeping of records and files by IB .....	R. 93.2
keeping of records and files by ISA and IPEA.....	R. 93.3
keeping of records and files by RO .....	R. 93.1
reproductions.....	R. 93.4

**KIND(S) OF PROTECTION**

meaning of designation in absence of specification of kind of protection other than patent .....	Art. 4(3)
seeking certain kinds of protection .....	Art. 43, R. 49 <i>bis</i> .1(a)
indications to be made in Req. ....	R. 4.9(a)(ii)
seeking two kinds of protection .....	Art. 44, R. 49 <i>bis</i> .1(b)
indications to be made in Req. ....	R. 4.9(a)(ii)
time of furnishing indications .....	R. 49 <i>bis</i> .2

See DESIGNATION(S) (OF STATES)

REGIONAL

UTILITY MODEL

**-L-****LACK OF UNITY OF INVENTION**

See UNITY OF INVENTION

**LANGUAGE(S)**

language(s) of correspondence

submitted by applicant to

IB .....R. 92.2(c), AI Sec. 104(b)

ISA/IPEA.....R. 92.2(a), (b)

RO.....AI Sec. 104(a), ROG 21

from IB to applicant or national Offices .....R. 92.2(e)

language(s) of Dem. ....R. 55.1

notification by IB of defects .....R. 60.1(e), Form IB/336

Dem. considered not to have been submitted

where translation not furnished.....R. 55.2(d), 61.1(b), second sentence, Form IPEA/407

language(s) of forms used by International Authorities....AI Sec. 103

language(s) of IA .....Art. 3(4)(i), R. 12

changes in IA .....R. 12.2

filing of IA .....R. 12.1(a), (b), ROG 55-65

description and claims (requirement for IFD) .....Art. 11(1)(ii), R. 12.1(a)(b), ROG 41

invitation to correct purported IA .....Art. 11(2)(a), R. 12.1*bis*, 20.3(a), AI Sec. 305*ter*, Form RO/103, ROG 45-47invitation to correct IA .....R. 12.1*bis*, 20.5(a), 20.5*bis*(a), AI Sec. 305*ter*, Form RO/107 ROG 195, 205A

notification of facts which should have

precluded according of IFD .....R. 29.3, Form IB/321

later submitted elements or parts of IA .....R. 12.1*bis*

request.....R. 12.1(c), ROG 59

text matter in SL part of description .....R. 12.1(d), AI Annex C

invitation to correct language(s) of request,

text matter in drawings, abstract .....Art. 3(4)(i), 14(1), R. 26.1, 26.3*ter*, 28.1, Forms IB/313, RO/106, ROG 59-65

notification of IB by RO as to accepted language(s) ...R. 12.1, 12.4, AI Sec. 332

publication of notifications of language(s) accepted

by ROs for IS and international publication .....AI Sec. 405

IS .....ROG 66-68, Guide, International Phase, Annex D

language(s) of international publication .....Art. 21(4), R. 48.3

of Gaz.....Art. 21(4), R. 86.2(a), (b)

language(s) of IPRP (Ch.II) and annexes .....Art. 35, R. 48.3(a), (b), 70.17(a), ISPEG 17.52

language(s) of ISR .....Art. 18, R. 43.4, ISPEG 16.11

language(s) of PCT .....Art. 67(1)(a), (b)

See EXPRESSIONS

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)

INTERNATIONAL SEARCH REPORT (ISR)

TRANSLATION

**LATER ELECTION(S)**

generally .....Art. 31(4)

later election(s) to be submitted to IB.....Art. 31(6)(b)

**LATER INDICATION**

later indication of priority application number

by RO to IB.....R. 26*bis*.1, AI Sec. 314, 319**LATER SUBMITTED SHEETS, RO PROCEDURE**

See ADDITION OF SHEETS TO IA

DRAWING(S)

SHEETS

**LEGAL ENTITY**

legal entity as applicant.....Art. 27(2)(i)

legal entity as national of Contracting State.....R. 18.1(b)(ii)

**Subject** **Reference**

**LETTER(S)**

- letter(s) drawing attention to differences between replaced and replacement sheets
  - containing amendments before IPEA.....R. 66.8
  - correcting formal defects before RO.....R. 26.4, 46.5
- See CORRESPONDENCE

**LISTINGS, SEQUENCE**

- See SEQUENCE LISTINGS

**LOSS**

- loss in mail .....Art. 48(1), R. 82.1
- possible loss of effect of IA in
  - Designated States.....Art. 24(2), AI Sec. 112
  - Elected States.....Art. 39(3), AI Sec. 112
- See EFFECTS

**-M-**

**MAIL**

- irregularities in mail service .....R. 82

**MAINTAINING OF EFFECT**

- maintaining of effect of IA in
  - Designated States.....Art. 24(2), AI Sec. 112
  - Elected States.....Art. 39(3), AI Sec. 112
- See EFFECTS

**MAIN INVENTION**

- IS on main invention only.....Art. 17(3)(a), R. 43.7
- IPE on main invention only .....Art. 34(3)(c), R. 68.5, 70.13
  - definition for purposes of IPE .....R. 68.5

**MAJORITY**

- decisions in Executive Committee by simple majority of votes cast .....Art. 54(8)(c)
- majority decisions in Assembly in absence of quorum including voting by correspondence.....Art. 53(5)(b), R. 85.1

**MARGIN(S)**

- file reference in margin(s).....AI Sec. 109, ROG 143
- line numbering to appear in right half of left margin(s)....R. 11.8(b)
- margin(s) of IA generally .....Art. 3(4)(ii), R. 11.6-11.8, ROG 142-143
- markings to be made by RO in margin(s) of Req. where
  - additional matter deleted in Req. ....R. 4.17(b), AI Sec. 303
  - check list completed by RO.....R. 3.3(b), AI Sec. 313(b)
  - defects under R. 26.4 corrected or obvious
    - mistake under R. 91.1 rectified .....AI Sec. 325(a)(ii)
  - designations of non-Contracting States cancelled.....AI Sec. 318
  - priority claim corrected or added .....R. 26*bis*, AI Sec. 314(a)
  - priority claim considered not to have been made.....R. 26*bis*.2(b), AI Sec. 302
- sheet numbering not to be placed in margin(s) .....R. 11.7(b)
- size of margin(s) in IA .....R. 11.6

**MARKING, SHEETS OF IA**

- See SHEET(S)

**MATHEMATICAL**

- mathematical formulae
  - manner of indicating .....R. 10.1(d)
  - may be contained in text matter.....R. 11.10(b)
  - may be presented sideways on sheets.....R. 11.10(d)

<b>Subject</b>	<b>Reference</b>
may be written by hand or drawn .....	R. 11.9(b)
mathematical theories as subject matter not required to be examined .....	Art. 34(4)(a)(i), R. 67.1(i)
searched .....	Art. 17(2)(a)(i), R. 39.1(i)
 <b>MEASURES</b>	
manner of expressing measures .....	R. 10.1(a)
 <b>MENTAL ACTS</b>	
mental acts as subject matter not required to be examined .....	Art. 34(4)(a)(i), R. 67.(iii)
searched .....	Art. 17(2)(a)(i), R. 39.1(iii)
 <b>MICROBIOLOGICAL INVENTIONS</b>	
See BIOLOGICAL MATERIAL	
 <b>MICROORGANISMS</b>	
See BIOLOGICAL MATERIAL	
 <b>MINIMUM DOCUMENTATION</b>	
definition .....	R. 34
information to be published in Gaz. includes list of non-patent literature agreed upon by ISAs for inclusion in minimum documentation .....	R. 86.1(v), AI Annex E(2)
ISA shall consult minimum documentation .....	Art. 15(4), R. 34, ISPEG 15.43
kinds of documents, States, periods and languages identified in ISR though not included in minimum documentation .....	R. 43.6(b), ISPEG 15.44
minimum requirements as to minimum documentation for ISA .....	Art. 16(3)(c)
access to or possession of minimum documentation ...	R. 36.1(ii)
staff with language facilities to understand at least those languages in which minimum documentation is written or translated .....	R. 36.1(iii)
minimum requirements as to minimum documentation for IPEA .....	Art. 16(3)(c), 32(3)
ready disposal of minimum documentation .....	R. 63.1(ii)
staff with language facilities to understand at least those languages in which minimum documentation is written or translated .....	R. 63.1(iii)
See DOCUMENTATION	
 <b>MISSING</b>	
See ABSENCE	
ABSTRACT (PART OF AN IA)	
DRAWING(S)	
TITLE	
INFORMAL COMMENTS	
 <b>MISTAKES</b>	
See OBVIOUS MISTAKES IN DOCUMENTS	
RECTIFICATION	
 <b>MODELS</b>	
See UTILITY MODEL	
 <b>MODIFICATION</b>	
modification of AIs .....	R. 89.2(b)
published in Gaz. ....	R. 89.3(a)
modification of Reg. ....	R. 88
See AMENDMENT(S)	
CORRECTION(S)	
RECORDING OF CHANGES	

**Subject** **Reference**

**MONTH(S)**

See TIME LIMIT(S)

**MORALITY**

IA may not contain expressions or drawings  
contrary to morality .....R. 9.1(i)

if IA contains expressions or drawings contrary  
to morality, IB may omit them from its publications....Art. 21(6)

**MULTIPLE DEPENDENT CLAIM**

See DEPENDENT CLAIMS

**-N-**

**NAME**

prescribed indications concerning name in

Dem. ....Art. 31(3), R. 4(1)(iii), 4.4-4.7, 4.16, 53.4, 53.5

IA of .....Art. 4(1)(iii), (v), R. 4(1)(iii), 4.4, 4.16, ROG 79-80, 88-90, 118

agents .....R. 4.7

applicants .....R. 4.5(a)

inventors .....R. 4.6

separate power of attorney .....R. 90.4(c), ROG 119

recording of changes in name(s) .....R. 92*bis*.1(a), AI Sec. 422

**NATIONAL APPLICATION**

definition .....Art. 2(vi)

**NATIONAL EXAMINATION**

delay of national examination .....Art. 40

results of national examination .....Art. 42

**NATIONAL FEE**

See FEE(S)

**NATIONAL LAW**

definition .....Art. 2(x)

access to file held by EO depending on national law .....R. 94.3

biological material and national law .....R. 13*bis*.2, 13*bis*.3(b), 13*bis*.4(b), 13*bis*.6, 13*bis*.7

certain national law requirements allowed in respect of

form and contents generally .....Art. 27(1)-(4), R. 51*bis*.1

declarations .....Art. 27(1)-(4), R. 4.1(c)(iii), 4.17, 51*bis*.1(a), AI Sec. 211-216

certain circumstances in which documents or  
evidence may not be required .....R. 51*bis*.2

opportunity to comply with national law  
requirements .....R. 51*bis*.3

references/indications as to biological material  
(if notified to and published by IB) .....R.13*bis*.3(a)(iv), 13*bis*.4(b)

incompatibility with national law of certain regulations...R. 4.9(b), 20.1(d), 20.8(a), (a-*bis*), (b), (b-*bis*), 26.3*ter*(b), (d), 26*bis*.3(j), 49.5(l), 49.6(f),  
49*ter*.1(g),49*ter*.2(h), 51*bis*.3(c), 66.1*bis*(b), AI Sec. 703(f)

indications in Req. relating to national law concerning

addresses .....R. 4.4(c)

different inventors for different designated  
States .....R. 4.6(c)

inventor (mandatory/optional indications) .....Art. 4(1)(v), R. 4.1(a)(iv), (c)(i)

manner of claiming and national law .....R. 6.3(c), 6.4(a), 6.5

national law of DO/EO applicable in respect of

amendments before

DOs .....Art. 28, R. 52.1

EOs .....Art. 41, R. 78.1(b)

claims if utility model is sought .....R. 6.5

furnishing of evidence by applicant .....Art. 27(6)

indication in description of (best) mode for  
carrying out invention .....R. 5.1(a)(v)

language(s) for translation for national phase .....R. 49.2



Subject	Reference
representation of applicant.....	Art. 27(7)
substantive conditions of patentability, generally.....	Art. 27(4)-(7)
unity of invention if utility model is sought.....	R. 13.5
withdrawal of Dem./election not to be considered	
withdrawal of IA .....	Art. 37(4)(b), R. 90bis.7(a)
national law of office acting as IPEA applicable in respect of	
multiple dependent claims to be drafted in manner	
different from that provided in Reg.....	Art. 34(4)(b), R. 66.1
national law requiring that national applications be filed by	
inventor .....	Art. 27(3)
EO may not require applicant to furnish information	
on national law of papers connected with examination	
relating to same IA in any other EO.....	Art. 42
one of applicants/inventors unavailable to sign	
Dem. electing such State .....	R. 53.8
IA .....	R. 4.15
notice of withdrawal .....	R. 50bis.5(b)
publication by IB of information on national law requirements	
applicants .....	R. 18.4
“seal” meaning “signature” under national law.....	R. 2.3
time limit, excuse of delay in meeting for reasons	
admitted under national law .....	Art. 48(2)(a)
“time limit” in Art. 48(2) comprises reference to	
time limit applicable by RO under its	
national law .....	R. 82bis.1(ii)
time limit in national law applicable by DO or EO	
for performance of acts by applicants.....	R. 82bis.1(iii)
See DECLARATION(S)	
NATIONAL SECURITY	

## NATIONAL OFFICE

definition .....	Art. 2(xii)
computation of time limits	
date of documents/letters issued by national office .....	R. 80.6
expiration of period on working day on	
which national office closes for business.....	R. 80.7(a)
expiration on non-working day or official holiday	
of period during which document/fee must	
reach national office .....	R. 80.5
correspondence	
attempted transmission by telegraph, teleprinter,	
facsimile machine, etc. to national office .....	R. 92.4(c)
confirmation copy to national office .....	R. 92.4(d)-(f)
language of correspondence from IB to	
national office .....	R. 92.1
mailings from national office .....	R. 92.3
national office not obliged to receive document by	
telegraph, teleprinter, facsimile etc. ....	R. 92.4(h)
dates, expressing by national offices .....	R. 79
duty of national office to notify IB of yearly DO/EO	
national phase statistics .....	AI Sec. 112
electronic transmittal between national Offices .....	R. 89bis.3
no obligation of national office to receive or process	
IAs in electronic form.....	R. 89bis1(d)
EO may require that IPRP (Ch.I, Ch.II) established in	
language other than (one of) official language(s)	
of national office be translated into English.....	R. 72.1
irregularities in mail service	
application by national office to delivery service of	
provisions relating to mail.....	R. 82 (d), (e)
proof to national office of delay or loss in mail .....	R. 82.1(b)
minimum documentation consisting of certain patents	
issued or published by certain national office.....	Art. 15(4), R. 34.1(b)
minimum requirements for national office as	
ISA .....	Art. 16(3)(c), R. 36.1
IPEA.....	Art. 32(3), R. 63.1

Subject	Reference
nationality/residence of applicant is determined, if IA filed with IB as RO, by national office concerned, for purposes of right to file IAR. 18.1(c) Dem.....	R. 54.1(b)
national law of national office acting as IPEA not allowing multiple dependent claims to be drafted in manner different from that provided in Reg.....	Art. 34(4)(b), R. 66.1
national office as competent RO.....	R. 19(a)(i), (ii), (b), (c), 19.2(i)
publication of fact of delegation of duties of RO.....	R. 19.3(a)
notification by national office of national publication where IA has not been published under Art. 21(2) .....	Art. 64(3)(c)(ii), R. 48.6
Req. may contain	
request to national office to prepare and transmit priority document .....	R. 4.1(c)(ii)
number or other indication under which	
agent is registered with national office .....	R. 4.7(b)
applicant is registered with national office .....	R. 4.5(e)
Req. shall contain request to take into account results of earlier search made by national office or ISA .....	R. 4.12
right to practice .....	Art. 49
before	
IB as RO depends on right to practice before national office .....	Art. 49, 83.1bis(a)
information by national office as to right to practice .....	Art. 49, R. 83.1bis(a)
national office as requirement to be appointed as	
agent .....	R. 90.1(a)-(c)
sub-agent .....	R. 90.1(d)
specification of national office as IPEA by Assembly where IA is filed by applicant not entitled to make Dem. ....	Art. 31(2)(b), R. 59.2
start of IPE if competent IPEA is part of same national office as competent ISA (“telescoped procedure”).....	R. 69.1(b), (b-bis), ISPEG 19.12
translation of IA need not be furnished to IPEA if part of same national office as ISA .....	R. 55.2(b)
transmittal of	
copy of IA by IB to national office as DO .....	Art. 13(1), R. 31.1
IA by national office to IB as RO.....	R. 19.4(b)
IA filed with national office which is not competent RO.....	R. 19.4(a)(i)
IA not filed in language accepted by national office.....	R. 19.4(a)(ii)
See WAIVER	
<b>NATIONAL PATENT</b>	
definition .....	Art. 2(iii)
See MINIMUM DOCUMENTATION	
<b>NATIONAL PHASE</b>	
See NATIONAL PROCESSING	
<b>NATIONAL PROCESSING</b>	
delaying of national processing .....	Art. 23, 40
requirements for national processing.....	Art. 22, 39, R. 49, 51bis, 76
results of national processing in different countries.....	Art. 42
SL on paper and statement requested by DO .....	AI Annex C
See AMENDMENT(S)	
DESIGNATED OFFICES	
KIND(S) OF PROTECTION	
NATIONAL REQUIREMENTS	
<b>NATIONAL REQUIREMENTS</b>	
See DECLARATION(S)	
NATIONAL LAW	

Subject	Reference
<b>NATIONAL SECURITY</b>	
any Contracting State may apply measures deemed necessary for the preservation of its national security .....	Art. 27(8)
review of IA by RO for national security .....	Art. 27(8), R. 22.1(a), ROG 32-34, 286, 287
transmittal of RC to IB prevented or delayed by national security prescriptions .....	Art. 27(8), R. 22.1(a), AI Sec. 330, ROG 33, 286, 287, 295
notification concerning failure to forward RC and SC for national security reasons .....	R. 15.6(iii), 16.2(iii), 22.1(a), AI Sec. 330, Form RO/147
transmittal of purported IA to IB as RO prevented or delayed by national security prescriptions .....	R. 19.4(b), AI Sec. 330
refund by RO if IA not treated as such due to prescriptions concerning national security of international filing fee .....	R. 15.4(iii), Form RO/119, ROG 268
of search fee .....	R. 16.2(iii), AI Sec. 322, Form RO/119, ROG 269, 270
refusal by RO to certify IA where not treated as such for national security reasons .....	R. 22.1(c)(ii)

<b>NATIONALITY</b>	
concept of nationality in case of several applicants .....	Art. 9(3), R. 18.3
defects in indication of applicant's nationality where right to file is affected .....	Art. 14(1)(a)(ii), R. 4.5(b), AI Sec. 329
nationality as requirement for right to file	
Dem. ....	Art. 31(2), R. 54.1, 54.2
IA .....	Art. 9(1), 11(1)(i), R. 18, ROG 82-86
indications to be made in	
Dem. ....	Art. 31.3, R. 4.5(b), 53.5
defects before	
IB .....	Art. 31(3), R. 60.1(e), Form IB/336
IPEA .....	Art. 31(3), R. 60.1, Form IPEA/404, ISPEG 22.37
Req. ....	Art. 4(1)(iii), R. 4.5(b)
defects in indications before RO .....	Art. 14(1)(b), R. 26
invitation to correct .....	Art. 3(4)(i), 14(1), R. 26, Form RO/106
recording of changes to nationality by IB .....	R. 92 <i>bis</i> .1

<b>NATIONAL(S)</b>	
national(s) of Contracting State may file IA .....	Art. 9(1)
national(s) of country party to Paris not being Contracting State and filing IAs .....	Art. 9(2)

<b>NEW MATTER</b>	
new matter in references to biological material .....	R. 13 <i>bis</i> .3(a)(iv)
new matter in Req., <i>ex officio</i> deletion of .....	R. 4.17(a), (b), AI Sec. 303, ROG 163
new matter not to be contained in amendments under	
Art. 19 .....	Art. 19(2), (3)
Art. 34 .....	Art. 34(2)(b), R. 70.2(c), ISPEG 20.03, 20.10-20.19
new matter not to be contained in ISR .....	R. 43.9, ISPEG 16.07

<b>NOMENCLATURE</b>	
See TERMINOLOGY AND SIGNS	

<b>NON-OBVIOUS(NESS)</b>	
See INVENTIVE STEP	

<b>NON-WRITTEN DISCLOSURE</b>	
See DISCLOSURES	

<b>NON-PREJUDICIAL DISCLOSURE</b>	
declaration(s) in Req.	
as to non-prejudicial disclosures or exceptions to lack of novelty .....	Art. 27, R. 4.1(c)(iii), 4.17(v), 51 <i>bis</i> .1(a)(v), AI Sec. 215
correction or addition of non-prejudicial disclosure .....	Art. 27, R. 4.17, 26 <i>ter</i> .1
as replacement or additional sheet .....	AI Sec. 216
evidence concerning non-prejudicial disclosure may be required in national phase .....	Art. 27(6), R. 51 <i>bis</i> .1(a)(v)

**Subject** **Reference**

**NOTE(S)**

note on informal communication with the applicant.....R. 66.6, Form IPEA/428

**NOTICE(S)**

copy of notice(s) referred to in R. 20.4(i) to be attached to applicant's request to IB to send copies of documents in the file for review by DOs .....Art. 25(1), R. 51.2

failure to furnish indications concerning inventor in separate notice(s) is without consequence in any designated State which does not require such indications .....Art. 4(4)

general power of attorney on separate notice(s).....R. 90.5(a)

notice(s) informing applicant of communication of IA to DOs .....R. 47.1(c), Form IB/308

publication in Gaz. of

notice(s) concerning notification under R. 29.1(a)(ii) which reached IB when publication could no longer be prevented .....R. 48.6(a)

notice(s) of withdrawal which reached IB when publication could no longer be prevented .....R. 48.6(c)

start of IPE

when IPEA is in possession of notice of declaration that no ISR will be established.....Art. 17(2)(a), R. 69.1(a)(iii)

where statement concerning amendments contains indication that start is to be postponed, IPEA is not to start before receipt of notice(s) from applicant that he does not wish to make amendments under Art. 19 .....R. 69.1(d)(ii)

**NOTIFICATION(S)**

certain notification(s) by DG to States party to Paris.....Art. 69

notification(s) by DOs/EOs to IB concerning IAs not having entered national phase .....AI Sec. 112

to applicant from ISA that WO-ISA will be the same for IPEA.....R. 43bis.1(c), Form ISA/237

to IB from IPEA that it will produce written opinion separate from ISA .....R. 66.1bis(b), Form IPEA/408

**NOVELTY**

evidence concerning exceptions to lack of novelty may be required in national phase.....R. 51bis.1(a)(v)

novelty considered by ISA.....R. 43bis.1(a)(i), ISPEG 12.01-12.10

citation of documents in ISR of particular relevance to novelty.....R. 43.5(c), AI Sec. 505

IPEA procedure applies to ISA .....R. 43bis.1(b)

novelty for IPE purposes.....Art. 33(1), (2), ISPEG 12.01-12.10

procedure where no meaningful opinion can be formed on novelty .....Art. 34(4)(a)(ii), R. 66.2(a)(ii)

required statement in IPRP (Ch.II) relating to novelty in relation to each claim .....Art. 35(2), R. 70.6(b)

guidelines for explanations concerning statement .....R. 70.8, AI Sec. 604

statement in Req. concerning non-prejudicial disclosure or exceptions to lack of novelty .....R. 4.17 (v), AI Sec. 215, Form RO/101, ROG 113, 192A(v)

See CITATIONS

INTERNATIONAL PRELIMINARY EXAMINATION

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)

INTERNATIONAL SEARCH

INTERNATIONAL SEARCH REPORT (ISR)

PRIOR ART

**NUCLEOTIDE AND/OR AMINO ACID SEQUENCE LISTINGS**

See SEQUENCE LISTINGS

**NUMBER**

number and numbering of Claims .....R. 6.1

number of copies of IA to be filed .....Art. 12(1), R. 11.1, 21, AI Sec. 305

number of IA (International Application Number) .....R. 20.2(c), AI Sec. 307

marking on sheets of IA and translation thereof .....AI Sec. 308

Subject	Reference
<b>NUMBERING</b>	
numbering of	
claims .....	R. 6.1
on amendments.....	AI Sec. 205
IAs.....	AI Sec. 307
lines.....	R. 11.8
sheets .....	R. 11.7, AI Sec. 207, ROG 139-140
for international publication.....	AI Sec. 410
of drawings.....	AI Sec. 207(b)(iii), ROG 139-140
of SLs .....	AI Sec. 207(b)(iv), ROG 139-140, 225
renumbering in case of deletion, substitution or addition .....	AI Sec. 311

-O-

<b>OBSERVATIONS</b>	
Third party observations .....	AI Section 801-805
On the translation .....	R 44bis.4, 62bis.1(c), 72.3
See also	COMMENTS

<b>OBSERVERS</b>	
observers at revision conferences	
IGOs appointed as ISAs or IPEAs.....	Art. 60(3)
observers to meetings of Assembly .....	Art. 53(2)(a)(ix)
IGOs appointed as ISAs or IPEAs.....	Art. 53(8)
observers to meetings of Executive Committee	
Contracting States not members of Committee and IGOs appointed as ISAs or IPEAs .....	Art. 54(9)

<b>OBVIOUS MISTAKES IN DOCUMENTS</b>	
See	RECTIFICATION

<b>OBVIOUSNESS/NON-OBVIOUSNESS</b>	
See	INVENTIVE STEP

<b>OFFICE</b>	
See	DESIGNATED OFFICE
ELECTED OFFICE	
NATIONAL OFFICE	
RECEIVING OFFICE	

<b>OFFICIAL</b>	
official text of Treaty established by DG in certain languages .....	Art. 67(1)(b)

<b>OPINION</b>	
See	WRITTEN OPINION

<b>OPPORTUNITY</b>	
opportunity to correct IA before DO .....	Art. 26

<b>ORAL COMMUNICATION</b>	
See	INTERNATIONAL PRELIMINARY EXAMINATION

<b>ORGANIZATION</b>	
definition .....	Art. 2(xviii)

<b>OUTAGES (AFFECTING OFFICES)</b>	
safeguards in case of .....	R. 82 <sup>quater</sup> , AI Section 111(b)-(e)

**PARENT**

parent application or grant .....R. 4.1

**PARIS CONVENTION**

claiming priority under Paris Convention .....Art. 8(1), (2)(a)

IA equivalent to regular national filing within  
meaning of Paris Convention .....Art. 11 (3), (4)

no provision of Treaty to be interpreted as  
diminishing rights under Paris Convention .....Art. 1(2)

Paris Convention applicable to PCT.....Art. 62(3)

**PATENT**

declaration(s) in Req.

as to applicant's entitlement, as at IFD, to apply  
for and be granted a patent .....Art. 27, R. 4.1(c)(iii), 4.17(ii), 51bis.1(a)(ii), AI Sec. 212

correction or addition.....Art. 27, R. 4.17, 26ter.1

as replacement or additional sheet .....AI Sec. 216

evidence may be required in national phase.....Art. 27(6), R. 51bis.1(a)(ii)

definition .....Art. 2(ii), (ix)

national patent .....Art. 2(iii)

patent as purpose of designation.....Art. 4(3)

regional patent.....Art. 2(iv)

regional patent treaties .....Art. 45

See DECLARATION(S)

**PATENT COOPERATION TREATY (PCT)**

administrative provisions .....Art. 53 to 58

amendment of Certain Provisions.....Art. 61

Assembly of Contracting States.....Art. 53

becoming a Party to the Patent Cooperation  
Treaty (PCT).....Art. 62

Committee for Technical Cooperation .....Art. 56

denunciation .....Art. 66

depository Functions .....Art. 68

disputes.....Art. 59

entry into Force of Patent Cooperation Treaty (PCT).....Art. 63

executive Committee of Assembly .....Art. 54

final Provisions (becoming party to, entry into force  
reservations, gradual application, denunciation,  
signature and languages, depository functions,  
notifications).....Art. 62

finances .....Art. 57

gradual Application of Patent Cooperation  
Treaty (PCT) in a State .....Art. 65

International Bureau (IB).....Art. 55

notifications to States/Governments re Patent  
Cooperation Treaty (PCT) Issues .....Art. 69

reservations by any State re Application of Patent  
Cooperation Treaty (PCT) provisions .....Art. 64

revision of Patent Cooperation Treaty (PCT).....Art. 60

**PATENT INFORMATION SERVICES**

See INFORMATION SERVICES

**PATENT OF ADDITION**

See CERTIFICATE OF ADDITION

**PATENTABILITY**

substantive conditions of patentability are matter for  
national law .....Art. 27(5)

Subject	Reference
<b>PCT-SAFE</b>	
Req. in PCT-SAFE format together with PCT-SAFE diskette .....	R. 89 <sup>ter</sup> , AI Sec. 102(i)
fee reduction relating to .....	Fees
transmittal to IB .....	ROG 294
<b>PERIODS OF TIME</b>	
See TIME LIMIT(S)	
<b>PETITION</b>	
petition as required element in Req. and Dem. ....	Art. 4(1)(i), R. 4.1(a)(i), 4.2, 53.2(a)(i), 53.3
<b>PHYSICAL REQUIREMENTS</b>	
before DO	
DO may invite correction of drawings in case of non-compliance with physical requirements .....	R. 49.5(g)
before IB	
defects noted by IB .....	Art. 3(4)(ii), 14(1)(a)(v), (b), R. 11, 28.1, Form IB/313
before RO	
checking .....	Art. 3(4)(ii), 14(1)(a)(v), R. 11, 26, ROG 132-146
invitation to correct .....	Art. 3(4)(ii), 14(1)(a)(v), 14(1)(b), R. 11, 26.1-26.3 <sup>bis</sup> , 26.5, Form RO/106
not required where physical requirements are complied with to extent necessary for purposes of reasonably uniform international publication .....	R. 11, 26.3(a)(i), 26.3 <sup>bis</sup>
required compliance of IA with prescribed physical requirements .....	Art. 3(4)(ii), 14(1)(a)(v), 14(1)(b), R. 11, 26, ROG 132-146
See ABSTRACT (PART OF AN IA)	
CORRECTION(S)	
DEFECTS	
DRAWING(S)	
<b>PLANT VARIETY(IES)</b>	
plant variety(ies) as subject matter not required to be	
examined .....	Art. 34(4)(a)(i), R. 67.1(ii)
searched .....	Art. 17(2)(a)(i), R. 39.1(ii)
<b>POWER OF ATTORNEY</b>	
general power of attorney .....	R. 90.5
required, copy of .....	R. 3.3(a)(ii), 90.5(a)(ii), (c), (d), ROG 117A-C
by RO .....	AI Sec. 336(c)
by IPEA .....	AI Sec. 617(c)
by ISA .....	AI Sec. 517(c)
manner of appointment .....	R. 90.4
notification concerning representation	
by IB to RO, ISA, IPEA, SISA and applicant .....	AI Sec. 425, Form IB/319
by ISA to IB .....	AI Sec. 512
by IPEA to IB .....	AI Sec. 608
by RO to IB and ISA .....	R. 90, AI Sec. 328, Form RO/123, ROG 117B, 119, 312
processing by RO .....	R. 90, AI Sec. 328, Forms RO/123, RO/124, ROG 119, 120, 125, 312
requirements for valid power of attorney .....	R. 90.1, 90.5, ROG 124, 125
notification of defective power of attorney or defective revocation of power of attorney .....	R. 90.4(c), 90.6(e)
by IB .....	Form IB/320
by RO .....	Form RO/124, ROG 125
renunciation by power of attorney .....	R. 90.6(d)
revocation of power of attorney .....	R. 90.6(a), (b)
separate document filed with IA .....	R. 3.3(a)(ii), 90.4, AI Sec. 313
waiver of power of attorney document .....	R. 90.4(d), AI Sec. 336, 433, 517, 617, ROG 117B
See AGENT	
CHECK LIST	
<b>PRACTICE BEFORE INTERNATIONAL AUTHORITIES</b>	
See RIGHT TO PRACTICE	

**Subject****Reference****PRELIMINARY EXAMINATION (INTERNATIONAL)**

See INTERNATIONAL PRELIMINARY EXAMINATION

**PRELIMINARY EXAMINING AUTHORITY**

See INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PRESCRIBED**

language of IA ..... Art. 3(4)(ii), R. 12, ROG 55-71  
 physical requirements ..... Art. 4(4)(ii), R. 11, ROG 132-146  
 See DEFECTS  
 FEES

**PRIOR ART**

prior art for IPE purposes ..... Art. 33 (2), (3), R. 64, 70.9, 70.10, ISPEG 11.01-11.26  
 prior art for IS purposes ..... Art. 15(2), R. 33.1, ISPEG 11.01-11.26

See CITATIONS

INTERNATIONAL SEARCH  
 INTERNATIONAL SEARCH REPORT (ISR)  
 MINIMUM DOCUMENTATION  
 NOVELTY

**PRIORITY APPLICATION**

DO/EO may require proof of applicants entitlement to claim priority where applicant is not applicant of priority application or where applicant's name has changed since filing of priority application ..... R. 51*bis*.1(a)(iii)  
 filing date of priority application as relevant date for prior art for IPE ..... Art. 33(2), (3), R. 64.1(b)(ii), 64.1(b)(iii), 64.3  
 indications as to priority application in  
 notification of IA number and IFD ..... R. 20.2(c), AI Sec. 324, Form RO/105  
 notification of receipt of RC ..... R. 24.2(a)(iii), Form IB/301  
 on ISR ..... R. 43.2  
 late submission to IB of priority application number ..... AI Sec. 408(b), (c), Form IB/305  
 receipt by RO of priority application number ..... AI Sec. 323(a), (b), (c), Form RO/135  
 See PRIORITY CLAIM

**PRIORITY CLAIM**

generally ..... Art. 8, R. 4.1(b)(i), 4.10, 17, ROG 166  
 before  
 IPEA ..... ISPEG 6.01-6.17  
 RO ..... Art. 8, R. 4.10, ROG 166-177  
 correction of priority claim  
*ex officio* correction ..... ROG 168  
 invitation to correct priority claim by  
 IB ..... R. 4.10, 26*bis*.1, 26*bis*.2(a), Form IB/316  
 RO ..... R. 4.10, 26*bis*.1, 26*bis*.2(a), Form RO/110, ROG 167  
 may cause recomputation of time limits ..... R. 26*bis*.1(c)  
 time limit for priority claim ..... R. 26*bis*.1, ROG 169  
 correction or addition of priority claim  
 before IB ..... R. 26*bis*.1, 26*bis*.2, AI Sec. 402, Form IB/318  
 before RO ..... R. 26*bis*.1, 26*bis*.2, AI Sec. 314, Form RO/111, ROG 170  
 may cause recomputation of time limits ..... R. 26*bis*.1(c)  
 on applicant's initiative ..... ROG 173-177  
 made after the expiration of the time limit under Rule 26*bis*.1 ..... ROG 176-177, RO/111  
 declaration(s) in Req.  
 as to applicant's entitlement, as at IFD, to claim priority of earlier application ..... Art. 27, R. 4.1(c)(iii), 4.17(iii), 51*bis*.1(a)(iii), AI Sec. 213  
 correction or addition of ..... Art. 27, R. 4.17, 26*ter*.1  
 as replacement or additional sheet ..... AI Sec. 216  
 evidence concerning entitlement to claim priority of earlier application may be required in national phase ..... Art. 27(6), R. 51*bis*.1(a)(iii)  
 indications to be made in priority claim ..... R. 4.10(a)



Subject	Reference
priority claim considered not to have been made by	
IB.....	R. 26bis.1, 26bis.2, AI Sec. 409, Form IB/318
not if priority application number is missing or indication in priority claim is not same as in priority document .....	R. 26bis.2(c)
publication of information concerning priority claim .....	R. 26bis.2(d), (e)
RO.....	R. 26bis.1, 26bis.2, AI Sec. 302, Form RO/111, ROG 171, 172
priority claim before IPEA .....	R. 64.1(b)(ii), 64.1(b)(iii), 66.7(b), 70.2(b), 70.10
publication of priority claim in	
Gaz. ....	R. 86.1(i), AI Annex D (item 3)
international publication .....	R. 48.2(a)
rectification in national phase of priority claim erroneously considered void.....	R. 82ter.1(a)
restoration of right of priority	
by DO.....	R. 49ter.2
criterion (“due care” or “unintentionality”) applied.....	R. 49ter.2(a)
by RO.....	R. 4.1(c)(v), 26bis.3, Forms RO/110, RO/118, RO/158, RO/159, ROG 166A-166T
criterion (“due care” or “unintentionality”) applied.....	R. 26bis.3(a)
refusal to restore.....	R. 26bis.3(g), Form RO/158, ROG 166R
withdrawal of priority claim .....	R. 90bis.3
notification of withdrawal .....	R. 90bis.3, AI Sec. 415 (a), (b), (c), Form IB/317
See DECLARATION(S)	

#### PRIORITY DATE

availability of IA to DOs upon request after one year from priority date .....	Art. 13(1)
before IPEA.....	ISPEG 6.01-6.17
change of priority date	
effect of change of priority date .....	R. 26bis.1(c), ROG 192
notification of correction of priority date by	
IB .....	AI Sec. 402, Form IB/318
RO.....	AI Sec. 314, Form RO/111
meaning of priority date for purposes of computing time limits .....	Art. 2(xi)

#### PRIORITY DOCUMENT

applicant must submit priority document to IB or RO.....	R. 17.1(a), ROG 179
priority document before	
DO	
opportunity to furnish priority document.....	R. 17.1(c), 17.2
translation of priority document .....	R. 51bis.1(e)
EO	
translation and time limit to furnish .....	Art. 39, R. 76.4
IB	
furnishing of copies of priority document (by IB)....	R. 17.2(c), 66.7(a), Form IB/353
invitation by IB to applicant to furnish copy of priority document where requested by IPEA.....	AI Sec. 421
notification concerning submission or transmittal of priority document .....	AI Sec. 411, Form IB/304
transmittal to	
DOs.....	R. 17.2
IPEA .....	R. 66.7
IPEA	
generally .....	R. 66.7, ISPEG 6.17
invitation by IPEA to furnish translation of priority document .....	R. 66.7(b), Form IPEA/414

Subject	Reference
RO	
notification of date of receipt of priority document or of priority application number .....	AI Sec. 323(a), (b), (c), Form RO/135
preparation by RO and transmittal to IB .....	R. 17.1(b), AI Sec. 323
transmittal of copies .....	R. 17.2, Form IB/351, ROG 179
notification that copy will not be furnished .....	R. 17.2(c), Form IB/354
See DIGITAL LIBRARY	

#### PRIORITY PERIOD

definition of priority period .....	R. 2.4
-------------------------------------	--------

#### PROCEDURE(S)

before	
DO .....	Art. 22-29, R. 49-52
EO .....	Art. 36-42, R. 74-78
IB .....	Art. 19-21, R. 24, 26 <i>bis</i> , 28, 45-48
IPEA .....	Art. 31-35, R. 53-71
ISA .....	Art. 15-18, R. 25, 33-44
RO .....	Art. 10-12, 14, R. 20-23, 26-27, 29-31
SISA .....	R. 45 <i>bis</i>
“telescoped” procedure(s) (overlapping IS and IPE) .....	R. 69.1(b), (b- <i>bis</i> ), ISPEG 19.12

#### PROCESSING

See AMENDMENT(S)

DEMAND

INTERNATIONAL APPLICATION

LATER ELECTION(S)

PROCEDURE(S)

#### PROGRAM OF THE UNION

program of the union determined by Assembly .....	Art. 53(2)(a)(vi)
draft agenda of Assembly prepared by Executive Committee .....	Art. 54(6)(a)(i)
Executive Committee ensures due execution of program of the union .....	Art. 54(6)(a)(v)
until establishment of Executive Committee, annual programs prepared by DG and approved by Assembly .....	Art. 53(10)

#### PROTECTION AVAILABLE UNDER PCT

See KIND(S) OF PROTECTION

REGIONAL

UTILITY MODEL

#### PROTEST (AGAINST PAYMENT OF ADDITIONAL FEES AFTER FINDING LACK OF UNITY OF INVENTION)

protest (against payment of additional fees after finding lack of unity of invention) transmittal by IB to DO/EO, upon request of applicant, texts of protest (against payment of additional fees after finding lack of unity of invention) and decision thereon .....	R. 40.2 (c), 68.3(c), AI Sec. 403, Forms ISA/212, IPEA/420
protest (against payment of additional fees after finding lack of unity of invention) before IPEA .....	R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
invitation to pay protest (against payment of additional fees after finding lack of unity of invention) fee .....	R. 68.3(e)
notification of decision on protest (against payment of additional fees after finding lack of unity of invention) .....	R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
transmittal by IPEA to applicant of decision on protest (against payment of additional fees after finding lack of unity of invention) .....	R. 68.3(c), AI Sec. 603
IB, copy of protest (against payment of additional fees after finding lack of unity of invention) and decision thereon .....	R. 68.3(c), AI Sec. 603
EOs, upon request of applicant, of texts of protest (against payment of additional fees after finding lack of unity of invention) and decision thereon .....	R. 68.3(c), AI Sec. 603

<b>Subject</b>	<b>Reference</b>
protest (against payment of additional fees after finding lack of unity of invention) before ISA .....	R. 40.2(c), (e), AI Sec. 502, Form ISA/212
notification regarding review of justification for invitation to pay additional fee.....	R. 40.2(e)
notification of decision on protest (against payment of additional fees after finding lack of unity of invention).....	R. 40.2(c), (e), AI Sec. 502, Form ISA/212
transmittal by ISA to IB of applicant's request to forward texts of protest (against payment of additional fees after finding lack of unity of invention) and decision thereon to DOs/EOs .....	R. 40.2 (c), AI Sec. 502, second sentence, Forms ISA/212, IPEA/420

#### **PUBLIC ORDER**

expressions or drawings contrary to public order in IA (may be omitted from publication).....	Art. 21(6), R. 9.1(ii)
---	------------------------

#### **PUBLICATION**

communication of PCT publications free of charge to ISAs, IPEAs and national Offices .....	R. 87, AI Sec. 435
--	--------------------

See GAZETTE

#### **INTERNATIONAL PUBLICATION**

#### **PURPORTED**

purported amendment under Art. 19 received by IPEA after time limit (considered as amendment under Art. 34) .....	AI Sec. 602(c)
purported IA	
before RO	
invitation to correct .....	Art. 11(2)(a), R. 20.3(a), Form RO/103
failure to correct and notification that purported IA is not and will not be treated as IA.....	R. 20.4(i), Form RO/104
later submitted sheets relating to.....	AI Sec. 308 <i>bis</i> , 309, 310, ROG 197-203
marking of papers of.....	AI Sec. 308, ROG 35-36
missing drawings relating to .....	AI Sec. 308 <i>bis</i> , 309, 310, ROG 193-203
numbering of papers of .....	AI Sec. 307
receipt by RO of papers of .....	AI Sec. 301, Form RO/125, ROG 35-38
RO keeps papers constituting.....	R. 20.4(iii), 93.1
before IB	
request by applicant to IB to send copy of file to purported DO(s) for review under Art. 25.....	Art. 25(1), R. 51.2

See ADDITION OF SHEETS TO IA

#### **DRAWINGS**

#### **SHEETS**

### **-Q-**

#### **QUALITY**

quality framework for international search and examination.....	R.36.1(iv), 63.1(iv), ISPEG 21.01-21.19
---	---

#### **QUORUM**

quorum in Assembly .....	Art. 53(5)(a)
absence of .....	Art. 53(5)(b), R. 85
quorum in Executive Committee .....	Art. 54(8)(b)

### **-R-**

#### **RATIFY/RATIFICATION**

instrument of ratify/ratification	
DG to notify Governments of all Paris States of deposit of .....	Art. 69(ii)
reservations may be made at time of deposit of .....	Art. 64(6)(a)
to be deposited with DG .....	Art. 62(2)
Treaty, entry into force after deposit of.....	Art. 63(1)(a), 63(2)

Subject	Reference
<b>RECEIPT (OF IA BY RO)</b>	
generally .....	R. 20
electronic receipt (of IA by RO) .....	AI Sec. 704(a)
irregularities .....	AI Sec. 708(a)
notification and publication of RO requirements .....	AI Sec. 710(a)(ii)
notification of receipt (of IA by RO) of	
IA .....	AI Sec. 324, Form RO/105, ROG 44
purported IA .....	AI Sec. 301, Forms RO/125, RO/199 (RO/IB), ROG 36
RC .....	R. 24.2(a), Form IB/301
receipt (of IA by RO) on different days .....	R. 20.5, 20.5bis
See FACSIMILE	
<b>RECEIPT (OF COPY OF IA BY SISA)</b>	
notification of receipt (of copy of IA by SISA) .....	AI Sec. 519, Form SISA/506
<b>RECEIVING OFFICE (RO)</b>	
generally .....	Art. 10
definition .....	Art. 2(xv)
competent receiving office (RO) .....	Art. 10, R. 19
IFD accorded by receiving office (RO) .....	Art. 11(1)
rectification in national phase of errors made by receiving office (RO) .....	R. 82ter
See FEE(S)	
<b>RECORD(S)</b>	
keeping of record(s) and files .....	R. 93
electronic record(s) .....	AI Sec. 711, Annex F 8
<b>RECORD COPY</b>	
correction of Req. in record copy by IB .....	AI Sec. 416
facsimile constitutes record copy .....	AI Sec. 331, ROG 38
keeping of records by IB, including record copy .....	R. 93.2(a), 93.4
marking sheets of record copy by IB .....	AI Sec. 401, ROG 35, 36, 38
preparation and identification of record copy by RO .....	Art. 12(1), R. 11.1, 21.1, AI Sec. 305, ROG 283, 284
IA filed in electronic form .....	R. 89bis.1(c), AI Sec. 705(a)-(c), 708(b)(v)
PCT-SAFE filings .....	ROG 284A
receipt of record copy by IB .....	R. 24
notification of receipt .....	R. 24.2(a), Form IB/301
record copy as true copy of IA .....	Art. 12(2)
transmittal of record copy to IB by RO .....	Art. 12(1), R. 22, ROG 285, 287
delay in/failure of transmittal for national security reasons .....	R. 15.4(iii), 16.2(iii), 22.1(a), AI Sec. 330, Form RO/147, ROG 32-34, 286
time limit for transmittal .....	R. 22.1(a), ROG 286
<b>RECORDING OF CHANGES</b>	
generally .....	R. 92bis.1
recording of changes of common representative .....	AI Sec. 106
notification concerning representation sent by	
IB to RO, ISA, IPEA, SISA and applicant .....	AI Sec. 425, Form IB/319
IPEA to IB .....	AI Sec. 608
ISA to IB .....	AI Sec. 512
RO to IB and ISA .....	R. 90, AI Sec. 328, Form RO/123
notification by IB of recording of changes .....	R. 92bis.1, Form IB/306
request for recording of changes by	
applicant .....	R. 92bis.1(a), AI Sec. 422, 422bis, ROG 309-311
RO .....	R. 92bis.1(a), AI Sec. 422, 422bis, Form RO/113, ROG 309A-309B, 312
time limit for recording of changes .....	R. 92bis.1(b)
<b>RECTIFICATION</b>	
rectification of obvious mistakes	
generally .....	R. 91, ISPEG 8.01-8.07
authorization and effect of rectifications .....	R. 91.3, ISPEG 8.18-8.23

Subject	Reference
before	
IB .....	R. 91.1(b)(iv), AI Sec. 413, 413 <i>bis</i> , Forms IB/314, IB/315
IPEA .....	R. 66.1(d- <i>bis</i> ), 66.4 <i>bis</i> , 66.5, 91.1(b)(iii), AI Sec. 607, Forms IPEA/411, 412, ISPEG 8.17
ISA .....	R. 43.6 <i>bis</i> , 91.1(b)(ii), (b)(iv), AI Sec. 511, Forms ISA/216, ISA/217, ISPEG 8.15
RO .....	R. 91.1(b)(i), (b)(iv), AI Sec. 325, Forms RO/108, RO/109, ROG 302-305
invitation to request rectification .....	R. 91.1(h), 91.2, Forms RO/108, IB/314, ISA/216, IPEA/411, ISPEG 8.13
notification of decision concerning request for rectification .....	R. 91.3, Forms RO/109, IB/315, ISA/217, IPEA/412
rectification in national phase of errors made by RO and IB	
incorrect IFD .....	R. 82 <i>ter</i> .1
priority claim erroneously considered void .....	R. 82 <i>ter</i> .1(a)

## REDUCTION OF FEES

See FEE(S), FEE REDUCTION

## REFUND

refund invitation to submit request for refund

by IPEA for handling fee and IPE fee .....	AI Sec. 613
by ISA for search fee if IA (considered) withdrawn .....	AI Sec. 510(c)
by RO for search fee .....	AI Sec. 322, ROG 270

refund of handling fee where

Dem. considered under R. 54.4 not to have been submitted .....	R. 57.4(ii)
Dem. withdrawn before transmittal to IB .....	R. 57.4(i)

refund of international filing fee by

RO where

IA (considered) withdrawn before transmittal of RC to IB .....	R. 15.4(ii), Form RO/119, ROG 268
IA not treated as such due to prescriptions concerning national security .....	R. 15.4(iii), Form RO/119, ROG 268
no IFD accorded .....	R. 15.4(i), Form RO/119, ROG 268
non-competent RO (R. 19.4) .....	ROG 276

refund of IPE fee .....

refund of search fee by

ISA where

IA withdrawn or considered withdrawn .....	AI Sec. 510, Form ISA/213
ISR could (partly) be based on results of IS effected on priority application .....	R. 16.3, Form ISA/213
search is (partly) based on earlier search .....	Form ISA/213

non-competent RO .....

RO where

IA (considered) withdrawn before transmittal of SC to ISA .....	R. 16.2(ii), AI Sec. 326(c), Form RO/119, ROG 269
IA not treated as such due to prescriptions concerning national security .....	R. 16.2(iii), AI Sec. 322, Form RO/119, ROG 269
no IFD accorded .....	R. 16.2(i), Form RO/119, ROG 269

## REGIONAL

regional application, definition .....

regional patent, definition .....

indication in Req. of designation for regional .....

regional patent treaty/treaties

protection via PCT .....

See DESIGNATION(S) (OF STATES)

## REGISTRATION

indication in Req. of registration number of

agent .....

applicant .....

registration of Treaty by United Nations .....

**Subject** **Reference**

**REGULAR NATIONAL APPLICATION**

IA has effect of regular national application in each DO  
as of IFD .....Art. 11 (3), (4)  
See EFFECT(S)

**REGULATIONS UNDER THE PCT**

generally .....Art. 58  
amendment of Reg. (by Assembly) .....Art. 58(2), (3), R. 88  
provisions of Treaty prevail over Regulations under the  
PCT in case of conflict .....Art. 58(5)  
Regulations under the PCT provide for establishment  
of A.I by DG .....Art. 58(4)

**REIMBURSEMENT**

See REFUND

**REINSTATEMENT**

reinstatement after failure to meet time limits  
generally .....Art. 48, R. 82, 82*bis*, Guide National Phase, National Chapters  
time limit to perform acts referred to in  
Art. 22 .....R. 49.6, Guide National Phase, National Chapters  
Art. 39 .....R. 76.5, Guide National Phase, National Chapters  
not compatible with national law .....R. 49.6(f)

**REJECTION**

DO must give applicant opportunity to correct  
before rejection of IA .....Art. 26

**RENUMBERING, SHEETS OF IA**

See ADDITION OF SHEETS TO IA  
CORRECTION(S)  
DELETION  
PHYSICAL REQUIREMENTS  
SHEETS

**RENUNCIATION (OF APPOINTMENT)**

generally (of appointment by agent or CRep) .....R. 90.6(d)  
manner of renunciation .....R. 90.4 (b), (c), 90.6(e)  
notification concerning renunciation and request to  
record change sent by  
IB to RO, ISA, IPEA, SISA and applicant .....AI Sec. 425, Form IB/319  
ISA to IB .....AI Sec. 512  
IPEA to IB .....AI Sec. 608  
RO to IB and ISA .....R. 90, AI Sec. 328, Form RO/123

**REPLACEMENT**

replacement copy of IA filed electronically .....AI Sec. 708(b)(iv), (v)  
See REPLACEMENT SHEETS

**REPLACEMENT SHEETS**

generally .....R.11.14  
before  
IB  
amendments of claims under Art. 19 in  
form of replacement sheets .....R. 46.5, AI Sec. 205  
correction  
of defects under Art. 14(1) .....Art. 14(1), R. 26.4, AI Sec. 413(a), 413(b-*bis*)  
of defects under Art. 3(4)(i) .....Art. 3(4)(i), R. 26.3*ter*  
of expressions, etc. not to be used .....R. 9.2, AI Sec. 413(b)  
or addition of declaration(s) relating to  
national requirements as replacement sheets ...Art. 27, R. 4.17, 26*ter*.1, AI Sec. 216  
rectification of obvious mistake as  
replacement sheets .....R. 91.1, AI Sec. 413(b), 413*bis*

**Subject****Reference**

(re)numbering of sheets for international  
publication .....R. 11.7, AI Sec. 207, 410

**IPEA**

amendments in form of replacement sheets .....R. 66.8  
amendment of claims under Art. 34(2)(b) .....AI Sec. 205  
replacement sheets annexed to IPRP (Ch.II) .....R. 70.16

**ISA**

SL sheets .....R. 13<sup>ter</sup>.1(f), AI Sec. 513(a)

**RO**

## correction

of defects under Art. 14(1) .....Art. 14(1), R. 26.4, AI Sec. 325, ROG 157, 208, 209, 214-216, 225, 231  
of defects under Art. 3(4)(i) .....Art. 3(4)(i), R. 26.3<sup>ter</sup>, ROG 210  
of expressions, etc. not to be used .....R. 9.2, AI Sec. 325(c), ROG 211, 214-216  
or addition of declaration(s) relating to national  
requirements as replacement sheets  
(erroneously to RO) .....Art. 27, R. 4.17, 26<sup>ter</sup>.1, AI Sec. 216, 317

## rectification of obvious mistake as

replacement sheets .....R. 91.1, AI Sec. 325, ROG 213-221

## (re)numbering of sheets in case of deletion or

addition of sheets by .....R. 11.7, AI Sec. 207, 311

## replacement sheets containing correction under

R. 26 and rectification under R. 91.1 .....ROG 217-221

## replacement sheets submitted together with request

for recording of changes .....ROG 212

See ADDITION OF SHEETS TO IA

## CORRECTION(S)

## DEFECTS

## DELETION

## NUMBERING

## SHEETS

**REPORT**

See INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)

INTERNATIONAL SEARCH REPORT (ISR)

**REPRESENTATION/REPRESENTATIVE**

See AGENT

ATTORNEY

COMMON REPRESENTATIVE

RENUNCIATION (OF APPOINTMENT)

REVOCATION (OF APPOINTMENT)

**REPRODUCTION(S)**

defined for purposes of keeping records and files .....R. 93.4

**REPUBLICATION**

republishing amended claims .....R. 48.2(h)

republishing of ISR .....R. 48.2(g)

republished IAs in minimum documentation .....R. 34.1(d)

**REQUEST**

request as element of IA .....Art. 3(2), 4

commencing on separate sheet .....Art. 3(2), R. 11.4, AI Sec. 207, Form RO/101

contents of request .....Art. 4, R. 4

*ex officio* correction of request by

IB .....AI Sec. 416

RO .....AI Sec. 327, Form RO/146

deletion of additional matter in request .....R. 4.19, AI Sec. 303

language .....R. 12.1(c), ROG 59

invitation to correct .....Art. 3(4)(i), 14(1), R. 26.1, 28.1, Forms IB/313, RO/106, ROG 59-65

presented as computer print-out in format other

than determined in AI Sec. 102(h) .....R. 3.4, AI Sec. 102(i)

in PCT-SAFE format .....R. 89<sup>ter</sup>, AI Sec. 102(i)

Subject	Reference
request for restoration of right of priority .....	R. 4.1(c)(v)
request for extension of effects of IA to successor State .....	R. 32.1, AI Sec. 430
request for information as to right to practice from ISA .....	Art. 49, R. 83.2, Form ISA/214
IPEA.....	Art. 49, R. 83.2, Form IPEA/410
request for production of proof of right to practice (from IB).....	Art. 49, R. 83.1, Form IB/323
request for recording of a change by applicant.....	R. 92 <i>bis</i> .1(a), AI Sec. 422, 422 <i>bis</i> , ROG 309-311
RO .....	R. 92 <i>bis</i> .1(a), AI Sec. 422, 422 <i>bis</i> , Form RO/113, ROG 309A-309B, 312
request of IPEA to ISA to furnish copy of sequence listing.....	R. 13 <i>ter</i> .2
statement of incorporation by reference .....	R. 4.1(c)(iv), 4.18
See CORRECTION(S) DEFECTS DESIGNATION(S) (OF STATES)	
<b>REQUIREMENT(S)</b>	
minimum requirement(s) for appointment as IPEA.....	Art. 32(3), R. 63.1
ISA .....	Art. 16(3)(c), R. 36.1
national requirement(s) .....	Art. 27, R. 51 <i>bis</i>
RO requirement(s) for e-filing to be notified to IB .....	AI Sec. 703, 710
See MINIMUM DOCUMENTATION	
<b>RESERVATIONS (TO TREATY)</b>	
generally .....	Art. 64
<b>RESIDENCE</b>	
concept of residence in case of several applicants .....	Art. 9(3), R. 18.3
indications to be made in Dem. ....	Art. 31.3, R. 4.5(c), 53.5
defects before IB .....	Art. 31(3), R. 60.1(e), Form IB/336
IPEA .....	Art. 31(3), R. 60.1, Form IPEA/404, ISPEG 22.24-22.26
Req. ....	Art. 4(1)(iii), R. 4.5(c)
defects in indications before RO .....	Art. 14(1)(b), R. 26, AI Sec. 316, ROG 153-165
invitation to correct .....	Art. 3(4)(i), 14(1), R. 26, Form RO/106
defects in indication of applicant's residence where right to file is affected .....	Art. 14(1)(a)(ii), R. 4.5, AI Sec. 329
recording of changes to residence by IB .....	R. 92 <i>bis</i> .1
residence as requirement for right to file Dem. ....	Art. 31(2), R. 54.1, 54.2
IA .....	Art. 9(1), 11(1)(i), R. 18, ROG 82-86
See CORRECTION(S) DEFECTS	
<b>RESIDENT(S)</b>	
resident(s) of Contracting State may file IA.....	Art. 9(1), R. 18
resident(s) of country party to Paris not Contracting State may be allowed by Assembly to file IAs .....	Art. 9(2)
See RESIDENCE	
<b>RESPONSE</b>	
formal response to IPEA.....	R. 66.3
See INVITATION WRITTEN OPINION	
<b>RESTORATION</b>	
restoration of the right of priority by DO.....	R. 49 <i>ter</i> .2



Subject	Reference
criterion (“due care” or “unintentionality”) applied .....	R. 49ter.2(a)
by RO .....	R. 4.1(c)(v), 26bis.3, Forms RO/158, RO/159, ROG 166A-166T
criterion (“due care” or “unintentionality”) applied .....	R. 26bis.3(a)
effect of restoration .....	R. 49ter.1
notification of IB .....	ROG 166T, Form RO/159
processing of related documents .....	ROG 166N-166Q
refusal to restore .....	R. 26bis.3(g), Form RO/158, ROG 166B
transmittal of related documents .....	R. 26bis.3(iv), (h-bis), AI Sec. 315, ROG 166N
see PRIORITY CLAIM	

#### RESTRICTION

restriction of claims.....	Art. 34(3)(b), R. 68.2, 68.4
See UNITY OF INVENTION	

#### REVIEW BY DO

notification of IB by DO/EO that IA will be treated as if error or omission had not occurred.....	Art. 25(2), AI Sec. 112(c)
request by applicant to IB to send copies of documents in the file to DO for review by DO	
copy of notification where IA considered withdrawn.....	Art. 25(1), R. 51.2
time limit to present request to IB .....	Art. 25(1)(c), R. 51.1
review by DO of declaration by RO that	
designation is considered withdrawn .....	Art. 25(1)(a)
IA considered withdrawn.....	Art. 25(1)(a), R. 51
review by DO of finding by IB under Art. 12(3) (RC not received).....	Art. 25 (1)(a), (2)(b), R. 51
review by DO of refusal by RO to accord IFD.....	Art. 25 (1)(a), R. 51
time limit and procedure before DO.....	Art. 25(2), R. 51.3
See DELAY(S)	
EFFECT(S)	
RECTIFICATION	

#### REVISION

revision conference	
certain articles may be amended either by revision conference or amendment under Art. 61 .....	Art. 60(4)
convocation of (decided by Assembly).....	Art. 60(2)
DG takes part in discussions at.....	Art. 55(7)(c)
directions given to IB by Assembly concerning preparation of.....	Art. 53(2)(a)(iii)
preparation of revision conference by IB.....	Art. 55(7)(a), (b)
revision of Treaty	
generally.....	Art. 60
See MODIFICATION	

#### REVOCAION (OF APPOINTMENT)

appointment of agent has effect of revoking any earlier appointment of an agent .....	R. 90.6(b)
appointment of CRep has effect of revoking any earlier appointment of a CRep.....	R. 90.6(c)
manner of revocation .....	R. 90.4 (b), (c), 90.6(e)
notification concerning revocation and request to record change sent	
by IB to RO, ISA, IPEA, SISA and applicant.....	AI Sec. 425, Form IB/319
by ISA to IB.....	AI Sec. 512
by IPEA to IB .....	AI Sec. 608
by RO to IB and ISA .....	R. 90, AI Sec. 328, Form RO/123
notification of defective power of attorney or defective revocation of power of attorney.....	R. 90.4(c), 90.6(e)
by IB.....	Form IB/320
by RO .....	Form RO/124
revocation of agent or CRep .....	R. 90.6(a), (b), (c)
See APPOINTMENT	

Subject	Reference
<b>RIGHT TO FILE</b>	
right to file Dem.....	Art. 31(2), R. 54.2
Dem. considered not to have been made if no applicant has right to file .....	R. 54.4
right to file IA .....	Art. 9, R. 18
as requirement for IFD .....	Art. 9(1), 11(1)(i), R. 18
defects in indication of applicant's residence or nationality where right to file is affected .....	Art. 14(1)(a)(ii), R. 4.5, AI Sec. 329
See AMENDMENT(S)	
NATIONALITY	
REFUND	
RESIDENCE	

<b>RIGHT TO PRACTICE</b>	
generally .....	R. 83
before IB as RO.....	R. 83.1 <i>bis</i>
communication by RO regarding right to practice .....	R. 83.2, Form RO/148
request for information as to right to practice by	
ISA .....	Art. 49, R. 83.2, Form ISA/214
IPEA.....	Art. 49, R. 83.2, Form IPEA/410
request for production of proof of right to practice (by IB).....	Art. 49, R. 83.1, Form IB/323

<b>RULES OF PROCEDURE</b>	
Assembly adopts its rules of procedure .....	Art. 53(12)

-S-

<b>SCHEDULE OF FEES</b>	
generally .....	R. 96
Gaz. contains schedule of fees payable to ROs, IB, ISAs and IPEAs .....	R. 86.1(ii)
See DIRECTOR GENERAL (OF WIPO)	
FEE(S)	
CURRENCY	

<b>SCIENTIFIC THEORIES</b>	
scientific theories as subject matter not required to be	
examined .....	Art. 34(4)(a)(i), R. 67.1(i), ISPEG 9.05
searched .....	Art. 17(2)(a)(i), R. 39.1(i), ISPEG 9.05

<b>SEAL</b>	
seal meaning signature.....	R. 2.3

<b>SEARCH</b>	
See INTERNATIONAL SEARCH, SUPPLEMENTARY INTERNATIONAL SEARCH, TOP-UP SEARCH	

<b>SEARCH COPY</b>	
copy of translation furnished under R. 12.3, together with Req., constitutes search copy .....	R. 23.1(b)
keeping of records by ISA and IPEA .....	R. 93.3, 93.4
preparation and identification of search copy by RO .....	Art. 12(1), R. 11.1, 21.1, AI Sec. 305, ROG 283, 284
IA filed in electronic form .....	R. 89 <i>bis</i> .1(c), AI Sec. 705(d), 708(b)(v)
transmittal by RO to ISA .....	Art. 12(1), R. 23, ROG 288, 291
delay in/failure of transmittal for national security reasons .....	R. 15.4(iii), 16.2(iii), 23.1(a), AI Sec. 306, Form RO/147, ROG 32-34, 286, 292, 295
notification of lack of transmittal notified by IB to RO .....	R. 25.1, AI Sec. 412
notification of receipt by ISA to IB.....	R. 25.1, Form ISA/202
time limit for transmittal.....	R. 23.1(a), ROG 291

Subject	Reference
<b>SEARCH FEE</b>	
See FEE(S)	
<b>SEARCH REPORT</b>	
See INTERNATIONAL SEARCH REPORT (ISR)	
<b>SEARCHING AUTHORITY</b>	
See INTERNATIONAL SEARCHING AUTHORITY	
<b>SECRETARIAT</b>	
See DIRECTOR GENERAL (OF WIPO)	
INTERNATIONAL BUREAU	
<b>SECURITY</b>	
See NATIONAL SECURITY	
<b>SEQUENCE LISTINGS</b>	
sequence listings under R. 13ter generally (electronic form for IS only).....	R. 23.1(c), AI Sec. 208, 313(c), 610, AI Annex C
before	
DO .....	R. 13ter.3, AI Annex C
EO .....	AI Annex C
IB	
arrangement of elements/numbering of sheets .....	AI Sec. 207(a), (b)(iv)
subsequently furnished.....	R. 20.5
IPEA .....	R. 5.2, 13ter.2, AI Sec. 208, AI Annex C, ISPEG 18.17-18.18
invitation to furnish sequence listings complying with standard.....	R. 13ter.2, AI Sec. 208, AI Annex C, Form IPEA/441
request to ISA to furnish copy of sequence listings .....	R. 13ter.2
ISA .....	R. 5.2, R. 13ter.1, AI Sec. 208, AI Annex C, ISPEG 18.17-18.18
electronic medium kept in file .....	AI Sec. 513(b)-(f)
invitation	
to furnish sequence listings complying with standard.....	R. 13ter.1, AI Sec. 208, AI Annex C, Form ISA/225
relating to free text in main part of description .....	R. 5.2(b), 13ter.1(f), AI Sec. 204, AI Annex C, Form ISA/233
notification of requirement to furnish sequence listing in electronic form to the IB.....	AI Sec. 513(f)
processing of sheets	
replacement .....	R. 13ter.1(f), AI Sec. 513(a)
subsequently furnished .....	AI Sec. 513 (b)-(c)(ii)
RO .....	R. 3.3(a)(i), AI Sec. 207, ROG 222-227
arrangement of elements/numbering of sheets .....	AI Sec. 207(a), (b)(iv)
replacement sheets .....	R. 26.4, ROG 225
subsequently furnished sheets.....	R. 20.5, ROG 227
transmittal to ISA .....	R. 23.1(c), AI Sec. 313(c), ROG 222A, 227
SISA	
invitation to furnish SL complying with standard.....	R. 13ter.1(a)-(d), 45bis.c, AI Sec. 208, AI Annex C, Form SISA/504
sequence listings in electronic form (AI Part 8).....	AI Annex C, para. 37-41
sequence listings in page-based format .....	ROG 224-226
sequence listing on paper .....	ROG 242
sequence listings in ST.25 text format.....	ROG 223
standard for the presentation of sequence listings in IAs.....	AI Annex C
written opinion .....	AI Sec. 610
<b>SERIAL NUMBER (OF INTERNATIONAL APPLICATION)</b>	
See NUMBER	
NUMBERING	

**Subject** **Reference**

**SEVERAL**

several applicants

- identification of IA with several applicants .....AI Sec. 105
- name, address, nationality and residence of each applicant to be indicated in
  - Dem. ....R. 4.5(a), 53.4
  - Req. ....R. 4.5(a)
- name and address of each agent to be indicated in
  - Dem. ....R. 4.7, 53.5
  - Req. ....R. 4.7
- inventor to be indicated in Req. ....R. 4.6(a)
- representation of several applicants .....R. 90.2, 90.3 (a), (c), 90.4

several competent IPEAs .....R. 59.1

invitation to indicate competent IPEA by

- IB .....R. 59.3(c)(ii), AI Sec. 432, Form IB/367
- IPEA .....R. 59.3(f), AI Sec. 601(b), Form IPEA/442
- ISA .....R. 59.3(f), AI Sec. 516, Form ISA/235
- RO .....R. 59.3(f), AI Sec. 304(a), (b), 323(b), Form RO/154, ROG 328-332

several competent ISAs .....Art. 16(2), (3), R. 35.2, ROG 114

- indication of applicant's choice in Req. ....R. 4.1(b)(vi), 4.14*bis*, R. 35.3(b), ROG 115
- where IA is filed with IB as RO .....R. 35.3(b)

See DEPENDENT CLAIMS

UNITY OF INVENTION

**SHEETS**

before RO

- later filed .....R. 20.5, 20.5*bis*, AI Sec. 308*bis*, 309, 310, ROG 200-207
- notification concerning later submitted sheets .....AI Sec. 309(b), (c), 310(c), (d), Form RO/126, RO/129
- marking of sheets of IA .....AI Sec. 308, ROG 14, 35
- marking of later submitted sheets of IA .....AI Sec. 308*bis*, ROG 200
- physical requirements .....R. 11, ROG 132-146
- elements of IA on separate sheets .....R. 11.4, AI Sec. 207
- size of sheets .....R. 11.5
- (re)numbering of sheets .....R. 11.7, AI Sec. 207, 311, ROG 139-140, 163, 225

before IB

- (re)numbering of sheets for international publication .....R. 11.7, AI Sec. 207, 410

flow sheets considered drawings .....R. 7.1

number of sheets indicated in check list .....R. 3.3(a)(i), AI Sec. 313

requirements for drawings in respect of sheets .....R. 11.13

See CORRECTION(S)

DEFECTS

DELETION

DRAWING(S)

INTERNATIONAL FILING DATE

NUMBERING

RECEIPT (OF IA BY RO)

REPLACEMENT SHEETS

**SIGNATURE(S)**

copy of general power of attorney need not be signed .....R. 90.5(a)(ii)

definition for PCT purposes may include "seal" .....R. 2.3

signature(s) of

- correspondence .....R. 92, 90.3
- notification concerning paper being disregarded or document considered as not having been submitted .....R. 92.1(b), last sentence, 92.4(g)(ii), Forms RO/149, IB/358
- declaration of inventorship .....R. 4.17(iv), AI Sec. 214(c)
- Dem. ....R. 53.8, 90.3
- missing or defective on Dem. ....R. 60.1
- Dem. considered not to have been submitted for lack of compliance .....R. 61.1(b), second sentence, Form IPEA/407

Subject	Reference
invitation by IPEA to correct defect .....	R. 60.1, Form IPEA/404
notification by IB of defect .....	R. 60.1(e), Form IB/336
missing or defective on Dem. in respect of applicant for certain elected State(s).....	R. 60.1
invitation to correct .....	R. 60.1, Form IPEA/404
procedure for IPEA where signature(s) considered not to have been made.....	R. 60.1, AI Sec. 606bis
electronic signature(s).....	R. 89bis.1(c), AI Sec. 701(iv), 703(c), 704(d), 704(g), 710(a)(i), Annex F 3.3
IA (Req.) .....	R. 4.15, 51bis.1(a)(vi), 90.3, ROG 122-128
missing or defective.....	Art. 14(1)(a)(i), AI Sec. 704(d)(g)
invitation to correct .....	Art. 14(1)(a)(i), R. 26, Form RO/106
procedure before RO .....	AI Sec. 316
unavailability to sign .....	R., 26.2(bis)
notice of withdrawal .....	R. 90bis.5, 90.3
unavailability to sign .....	R. 90bis.5
signature(s) on behalf of legal entity .....	ROG 126-128
signature(s) of Treaty by PCT Contracting States.....	Art. 62
in English and French .....	Art. 67
notification by DG .....	Art. 69

#### Signs (TERMINOLOGY)

See TERMINOLOGY AND SIGNS

#### SPECIAL

certain special categories of documents (manner of indicating in ISR).....	AI Sec. 507
special address for correspondence .....	R. 4.4(d), ROG 25, 81, 118
special fee to	
DO where certain claims not searched .....	Art. 17(3)(b)
EO where certain claims not examined.....	Art. 34(3)(b), (c)
IB for publication of information concerning	
Applicant's wish to add or correct priority claim .....	R. 26bis.2(e), AI Sec. 113(c)
refused request for authorization of obvious mistake .....	R. 91.3(d), AI Sec. 113(b)
special indications for citations of particular relevance in ISR .....	R. 43.5(c), AI Sec. 505(a)
special instance to examine protest.....	R. 40.2(c), (d), (e), 68.3(c),(d), (e)
special publication fee where early publication requested .....	R. 48.4(a), (b), AI Sec. 113(a)
special requirements for drawings .....	Art. 3(4)(ii), R. 11.13
special technical features .....	R. 13.2, AI Annex B
start of national examination (not) on special request and amendments before	
DOs .....	R. 52.1
EOs.....	R. 78.1

#### SPECIALIZED

specialized agencies .....	Art. 51(4)
specialized institutions.....	Art. 50(2)

#### STATEMENT

statement explaining amendments under Art. 19(1).....	Art. 19(1), R. 46.4
statement in Req. concerning non-prejudicial disclosure or exceptions to lack of novelty.....	Form RO/101, A.I Sec. 215, ROG 113, 192A-192E
statement in Req. concerning earlier application .....	R. 4.12(ii), 12bis.1(e)
statement relating to novelty, inventive step and industrial applicability in relation to each claim	
IPRP (Ch.I) .....	R. 43bis.1(a)(i), 44bis.1
IPRP (Ch.II).....	Art. 35(2), R. 70.6(b)

#### STOCKHOLM ACT (OF THE PARIS CONVENTION)

See PARIS CONVENTION

**Subject****Reference****STORAGE OF RECORDS**

See KEEPING OF RECORDS AND FILES

**SUBJECT MATTER**

classification of subject matter of IA .....AI Sec. 504  
subject matter not required to be  
examined .....Art. 34(4)(a)(i), R. 67, ISPEG 9.02-9.15  
searched .....Art. 17(2)(a)(i), R. 39, ISPEG 9.02-9.15

**SUBSTITUTION, OF SHEETS OF IA**

See REPLACEMENT SHEETS  
SHEETS

**SUCCESSOR**

extension of effects of IA to successor State .....R. 32  
notice of extension received by IB after completion  
of technical preparations for publication .....R. 32.1  
publication by IB in cases of extension .....R. 32.1(c), AI Sec. 430  
successor in title .....R. 18.4(c)

**SUPPLEMENT**

supplement to international filing fee .....R. 15.2, 96, Fees  
for SL .....AI Sec. 803

**SUPPLEMENTARY INTERNATIONAL SEARCH (SIS)**

generally .....R. 45*bis*, Form SISA/501, ISPEG 15.76 - 15.97  
basis of SIS .....R. 45*bis*.5(b)  
competent SISA .....R. 45*bis*.1(b)(ii)  
declaration that supplementary search request is  
considered not to have been submitted .....R. 45*bis*.1(e), 45*bis*.4(d), 45*bis*.5(g), Form SISA/507  
fees .....see FEES  
form to request SIS  
generally .....R. 45*bis*.1, Form IB/375  
checking of .....R. 45*bis*.4(a)  
correction of defects .....R. 45*bis*.4(a)  
transmittal by IB to SISA .....R. 45*bis*.4(e), (f)  
withdrawal .....R. 90*bis*.3*bis*, AI Sec. 520  
scope of documentation to be searched .....R. 45*bis*.5(d), (f), (g), (h)  
start of SIS .....R. 45*bis*.5  
time limit for requesting .....R. 45*bis*.1  
translation of IA .....R. 45*bis*.1(b)(iii)  
unity of invention, lack of, during SIS .....see UNITY OF INVENTION  
sequence listings .....see SEQUENCE LISTINGS

**SUPPLEMENTARY INTERNATIONAL SEARCH REPORT (SISA)**

generally .....R. 45*bis*.7  
declaration that no SISR will be established .....R. 45*bis*.7(a), Form SISA/502  
effect of SISR .....R. 45*bis*.8(b), (c)  
transmittal of SISR .....R. 45*bis*.8(a), Form SISA/505

**SURCHARGE**

See FEES

**SUREGRY**

See TREATMENT

**TABLES**

- tables may be
- contained in text matter .....R. 11.10(c)
- presented sideways .....R. 11.10(d)

See PHYSICAL REQUIREMENTS

**TECHNICAL ASSISTANCE**

- generally .....Art. 51

See COMMITTEE(S)

DEVELOPING COUNTRIES

**TECHNICAL COOPERATION, COMMITTEE FOR**

See COMMITTEE(S)

**TECHNICAL SERVICES**

See INFORMATION SERVICES

COMMITTEE(S)

**TELEGRAPH**

- use of telegraph to transmit documents or  
correspondence .....R. 92.4(a)

**TELEPHONE**

- communication by telephone between applicant and  
IPEA.....R. 66.6
- telephone number of applicant, agent or CRep,  
indication in Dem. ....R. 4.4(c), 53.4, R. 53.5
- indication in Req. ....R. 4.4(c)
- telephone numbers of Offices and international  
Authorities .....Annexes B1, B2

**TELEPRINTER**

- recommended indication of any teleprinter address of  
applicant, agent or CRep in Req. ....R. 4.4(c)
- use of teleprinter to transmit documents or  
correspondence .....R. 92.4(a)

**TELESCOPING**

- telescoping procedure (overlapping IS and IPE).....R. 69.1(b), (b-bis), ISPEG 19.12

**TEMPERATURES**

- temperatures to be (also) expressed in degrees Celsius ....R. 10.1(b)

**TERMINOLOGY AND SIGNS**

- generally .....R. 10.1
- consistency .....R. 10.2

**TERRITORIES, INDICATIONS OF**

See TWO-LETTER CODES

**THERAPY**

See TREATMENT

**TIME LIMIT(S)**

- generally .....Art. 47
- computation of time limit(s) .....R. 80, ROG 19
- recomputation due to correction or addition of  
priority claim .....R. 26bis.1(c)
- recomputation due to withdrawal of priority claim.....R. 90bis.3(e)
- delay in meeting time limit(s), excuse of/reinstatement....Art. 48, R. 82, 82bis, 82quater, ROG 30, 30A, 166M(a)-(j), ISPEG 22.52A-D,  
Guide National Phase, National Chapters
- any time limit(s) fixed in Treaty or Reg. ....Art. 48(1)

**Subject**

**Reference**

due to	
interruption in mail service .....	Art. 48(1), ROG 30
delay or loss in mail .....	Art. 48(1), R. 82.1 (a)-(c), ROG 28
force majeure (natural disaster, war, civil disorder, etc.) .....	R. 82 <sup>quater</sup> .1, ROG 166M(j)
unavailability of electronic communication services.....	R. 82 <sup>quater</sup> .1
unavailability of the permitted electronic means of communication at the Office.....	R. 82 <sup>quater</sup> .2
evidence	
that document/letter was mailed 5 days prior to expiration of time limit(s) in case of delay or loss in mail.....	R. 82.1(a), (c)
any time limit(s), excuse for reasons admitted under national law .....	Art. 48(2), R. 82 <sup>bis</sup> , Guide National Phase, National Chapters
time limit(s) to perform acts referred to in	
Art. 22 .....	R. 49.6, Guide National Phase, National Chapters
Art. 39.....	R. 76.5, Guide National Phase, National Chapters
expiration of time limit(s)	
on non-working day or official holiday.....	R. 80.5
translation for IS and payment of late furnishing fee considered received before time limit(s) .....	R. 12.3(d), ROG 71
extensions of time limit(s) for	
correction of	
Art. 14(1) defects before RO.....	R. 26.2, Form RO/138
certain defects before IPEA.....	R. 60.1(a), Form IPEA/427
national phase entry .....	Art. 22(3), 39(1)(a), R. 50, 77.1
for successor State .....	R. 32.2(a)(ii)-(b)
payment of	
handling fee, preliminary examination fee.....	R. 58 <sup>bis</sup> .1
transmittal fee, international filing fee and search fee.....	R. 16 <sup>bis</sup> .1
later fixed time limit(s) for national phase entry .....	Art. 22(3), R. 50, 77
mailing of correspondence from national Offices or IGOs constituting event from which time limit(s) begins to run.....	R. 92.3, ROG 27
meaning of term “Time Limit” in Article 48(2).....	R. 82 <sup>bis</sup>
modification by Contracting States of time limit(s) fixed in PCT.....	R. 81
time limit(s) applicable to applicants before	
DO	
for amendments .....	Art. 28(1), R. 52
for review by DO (Art. 25)	
to pay national fee and furnishing translation .....	Art. 25(2)(a), R. 51.3
to request IB to send copies of documents in the file .....	Art. 25(1)(c), R. 51.1
reinstatement of rights after failure to perform acts referred to in Art. 22 .....	R. 49.6, Guide National Phase, National Chapters
time limit(s) for observations on intended refusal.....	R. 49.6(e)
to adapt IA for utility model to national law concerning unity of invention .....	R. 13.5
to correct	
defects in correspondence (letter and signature) ..	R. 92.1(b)
drawings which do not comply with physical requirements .....	R. 49.5(g)
to furnish	
copy and translation and pay national fee.....	Art. 22, R. 49
drawings which are not necessary for understanding of invention .....	Art. 7.2(ii), R. 7.2
to request restoration of right of priority.....	R. 49 <sup>ter</sup> .2(b)(i)
EO	
correct defects in correspondence (letter and signature).....	R. 92.1(b)
for amendments .....	Art. 41(1), R. 78.1



Subject	Reference
for making a demand.....	R. 54bis.1
reinstatement of rights after failure to perform acts referred to in Art. 39 .....	R. 76.5, Guide National Phase, National Chapters
time limit(s) for observations on intended refusal.....	R. 49.6(e)
to furnish	
copy and translation of IA and pay national fee ...	Art. 39(1), R. 76
translation of	
IPRP (Ch.II) annexes .....	Art. 39(1), R. 74.1
priority document.....	Art. 39, R. 76.4
IB to	
amend claims .....	Art. 19(1), R. 46.1
correct	
correspondence (letter and signature) .....	R. 92.1(a), 92.1(b), 92.4(g)(ii), Form IB/344
or add priority claim.....	R. 4.10, R. 26bis.1, Form IB/316
furnish	
indications relating to biological material which are not included in references in IA as filed.....	R. 13bis.4(a), (c)
original of a document .....	R. 92.4(d), (e), (f), Form IB/357
priority document .....	R. 17.1(a)
record changes .....	R. 92bis.1(b)
request rectification of obvious mistake .....	R. 91.2, Form IB/314
submit priority document .....	R. 17.1(b), 17.1(b-bis)
request that priority document be obtained from a digital library.....	R.17.1(b-bis), AI Sec.716
withdraw	
designation(s) .....	R. 90bis.2(a)
Dem. or elections .....	R. 90bis.4(a)
IA .....	R. 90bis.1(a)
priority claim(s).....	R. 90bis.3(a)
IPEA to	
amend IA .....	Art. 34(2)(b), R. 66.1(b)
correct defects in	
correspondence (letter and signature) .....	R. 92.1(a), 92.1(b), 92.4(g)(ii), Form IPEA/423
Dem. ....	R. 60.1(a), Form IPEA/404
in correspondence submitted by applicant.....	R. 92.1(b), Form IPEA/423
furnish	
original of a document .....	R. 92.4(d), (e), (f), Form IPEA/434
SL complying with standard .....	R. 13ter.2, AI Sec. 208, AI Annex C, Form IPEA/441
translation for the purposes of IPE of	
amendments .....	R. 55.3(b), Form IPEA/443
IA .....	R. 55.2(c), Form IPEA/443
translation of priority document .....	R. 66.7(b), Form IPEA/414
indicate competent IPEA.....	R. 59.3(c)(ii), (f), AI Sec. 601(b), Form IPEA/442
pay	
prescribed fees	
handling fee.....	R. 57.3
preliminary examination fee .....	R. 57.3, 58.1(b)
together with late payment fee.....	R. 58bis.2, Form IPEA/440
protest fee .....	R. 68.3(e), Form IPEA/440
reply to written opinion .....	R. 66.2(d), (e), Form IPEA/427
request rectification of obvious mistake .....	R. 91.2, Form IPEA/411
restrict or pay additional fees .....	Art. 34(3)(a), R. 68.2, Form IPEA/405
submit amendments upon invitation of IPEA .....	R. 60.1(g), Form IPEA/431
withdraw	
designation(s) .....	R. 90bis.2(a)
IAR. 90bis.1(a)	
priority claim(s).....	R. 90bis.3(a)
ISA to	
correct defects in correspondence (letter and signature).....	R. 92.1(a), 92.1(b), 92.4(g)(ii), Form ISA/223
furnish	

Subject	Reference
original of a document .....	R. 92.4(d), (e), (f), Form ISA/230
nucleotide and/or amino acid SL complying with standard .....	R. 13 <sup>ter</sup> .1, AI Sec. 208, Annex C, Form ISA/225
indicate competent IPEA.....	R. 59.3(f), AI Sec. 516, Form ISA/235
pay	
additional fees after finding of lack of unity of invention.....	Art. 17(3)(a), R. 40.1, 40.2(e), Form ISA/206
additional fees in case of missing parts or correct elements and parts included in the international application or considered to have been contained in the international application.....	R.40 <sup>bis</sup> .1, Form ISA/208
for requested copies of cited documents.....	R. 44.3(b), Form ISA/221
request rectification of obvious mistake .....	R. 91.2, Form ISA/216
request supplementary international search .....	R. 45 <sup>bis</sup> .1(a), ISPEG 15.78
RO to	
correct	
certain defects in IA .....	Art. 3(4)(i), (ii), 14(1)(b), R. 26.2, Form RO/106
defects in correspondence (letter and signature) .....	R. 92.1(a), 92.1(b), 92.4(g)(ii), Form RO/131, ROG 20
or add declaration under R. 4.17.....	R. 26 <sup>ter</sup> .1
or add priority claim.....	R. 4.10, 26 <sup>bis</sup> .1(a), Form RO/110, ROG 169, 173
purported IA .....	Art. 11(2), R. 20.3(a), Form RO/103
furnish	
drawings referred to but not included in IA.....	Art. 14(2)
erroneously filed elements and parts .....	R. 20.5 <sup>bis</sup> , 20.7, Form RO/107, ROG 196
missing parts.....	R. 20.5, 20.7, Form RO/107, ROG 196
original of a document .....	R. 92.4(d), (e), (f), Form RO/141
papers completing IA .....	R. 20.3, 20.5, 20.5 <sup>bis</sup> , 20.7, AI Sec. 310, 310 <sup>bis</sup> , 310 <sup>ter</sup> , ROG 46, 196
priority document .....	R. 17.1(a), ROG 179
required translation of	
abstract and/or text matter of drawings .....	R. 26.2, 26.3 <sup>ter</sup> (a), Form RO/106, ROG 57, 63
IA for purposes of	
international publication .....	R. 12.4(a), (c), Form RO/157
IS .....	R. 12.3(a), (c), Form RO/150, ROG 69
Req. ....	R. 26.2, 26.3 <sup>ter</sup> (c), Form RO/106, ROG 57, 60
pay prescribed fees .....	Art. 3(4)(iv), 14(1)(b), R. 26.2
international filing fee.....	R. 15.3, Form RO/102
preparation and transmittal of priority document .....	R. 17.1(b), AI Sec. 323(b)
search fee.....	R. 16.1(f), Form RO/102
transmittal fee.....	R. 14.1(c), AI Sec. 304(a), Form RO/102
together with late furnishing fee	
international filing fee.....	R. 15.3, 16 <sup>bis</sup> .1, Form RO/133
search fee .....	R. 16.1(f), 16 <sup>bis</sup> .1, Form RO/133
transmittal fee.....	R. 14.1(c), 16 <sup>bis</sup> .1, Form RO/133
request	
preparation and transmittal of priority document .....	R.17.1(b), ROG 183
rectification of obvious mistake.....	R. 91.2, Form RO/108
restoration of right of priority .....	R. 26 <sup>bis</sup> .3(e), ROG 166D
submit	
arguments in case of later finding of non-compliance with requirements for IFD .....	R. 29.4(a), Form RO/143
confirmation of incorporation by reference of elements and parts of IA .....	R. 20.6(a), 20.7, ROG 46, 196
priority document .....	R. 17.1(a)
withdraw	
designation(s) .....	R. 90 <sup>bis</sup> .2(a)
IA.....	R. 90 <sup>bis</sup> .1(a)
priority claim(s).....	R. 90 <sup>bis</sup> .3(a)
SISA to	
correct defects.....	R. 45 <sup>bis</sup> .4(a)
furnish	
SL complying with standard .....	R. 13 <sup>ter</sup> .1(a)-(d), 45 <sup>bis</sup> .5(c), AI Sec. 208, AI Annex C, Form SISA/504

Subject	Reference
pay	
late fee .....	R. 45bis.4(b)
supplementary search fee .....	R. 45bis.2(c), R. 45bis.3(c)
time limit(s) applicable to	
IB for	
communication of	
IA to DOs .....	Art. 20(1)(a), R. 47.1(a-bis)
IPRP (Ch.I) to DOs .....	R. 44bis.2
IPRP (Ch.II) to EOs .....	Art. 36(3)(a), R. 73.2
considering IA withdrawn if	
RC not received by IB.....	Art. 12(3), R. 22.3
requirements for according IFD are later found to not to be fulfilled.....	Art. 14(4), R. 30
authorizing rectification of obvious mistake.....	R. 91.3, Form IB/315
IPEA for	
authorizing rectification of obvious mistake.....	R. 91.3, Form IPEA/412
establishing IPRP (Ch.II) .....	Art. 35(1), R. 69.2, ISPEG 19.10-19.12
ISA for	
authorizing rectification of obvious mistake.....	R. 91.3, Form ISA/217
establishing	
ISR.....	R. 42.1
IPRP (Ch.I).....	R. 43bis.1(a), 44bis.1
sending copies of documents cited in ISR at request of DO or applicant .....	Art. 20(3), R. 44.3
RO to	
authorize rectification of obvious mistake .....	R. 91.3, Form RO/109
check	
certain elements in IA .....	R. 26.1
language of abstract and/or text matter in drawings.....	R. 26.1, 26.3ter(a), ROG 62
language of Req.....	R. 26.1, 26.3ter(c), ROG 59
consider IA withdrawn if requirements for according IFD are later found not to be fulfilled .....	Art. 14(4), R. 30
transmit RC and translation to IB.....	Art. 12(3), R. 22.1(a), 22.3, 24.2(c)
time limit(s) for furnishing copy, translation and fee fixed later than provided for in	
Art. 22(3) .....	Art. 22(3), R. 50
Art. 39(1)(a).....	Art. 39(1)(a), R. 77.1
time limit(s) for furnishing samples of biological material .....	R. 13bis.6
See COMPUTATION	
DELAY(S)	
WITHDRAWN (CONSIDERED)	
<b>TITLE (OF INVENTION IN IA)</b>	
before	
ISA .....	Art. 3(2), R. 37, 44.2, ISPEG 16.35-38
indications in ISR .....	R. 44.2, Form ISA/210
IPEA.....	R. 4.3, ISPEG 16.35-38
RO .....	Art. 3(2), 4(1)(iv), 14(1)(iii), R. 4.1(a)(ii), 5.1(a), Form RO/106, ROG 77, 153
title (of invention in IA) required in IA .....	Art. 3(2)
in description.....	Art. 4(1)(iv), R. 5.1(a)
in Req. ....	Art. 4(1)(iv), R. 4.1(a)(ii)
language/translation of title (of invention in IA).....	R. 37.2, 48.3, 86.2
missing or defective title (of invention in IA) before	
ISA .....	Art. 3(2), 4(1)(iv), R. 4.3, 5.1(a), 37, 44.2, ISPEG 16.33
RO .....	Art. 3(2), 4(1)(iv), 14(1)(iii), R. 4.1(a)(ii), 5.1(a), ROG 77
publication of title (of invention in IA) in	
Gaz. ....	R. 86.1(i), AI Annex D, 2.1
international publication .....	R. 48.2(b)(i)

Subject	Reference
<b>TOP-UP SEARCHES</b>	
conducting a top-up search .....	R. 66.1ter ISPEG 3.16, 3.22, 19.13-19.21
<b>TRANSLATION</b>	
communication to DO of translation (ISR or declaration) .....	Article 20(1)(b), R. 47.1
translation of certain words not in Latin alphabet, in Req. ....	R. 4.16
translation of IA	
defective/incorrect translation, consequences before DOs/EOs .....	Art. 46
furnishing to IB (upon request of IB) by DO or EO of translation as furnished by applicant to DO or EO.....	R. 95
for international publication .....	R. 12.4, AI Sec. 305bis
suggested English translation of title of invention ..	AI Sec. 406bis
for international search .....	R. 12.3
furnishing by applicant.....	R. 12.3(a), (b), ROG 66-68
invitation to furnish and to pay, where applicable, late furnishing fee .....	R. 12.3(c), (e), Form RO/150, ROG 69-70
notification of IA considered withdrawn .....	Art. 14(1), R. 12.3(d), 29.1, Form RO/117, ROG 71
transmittal by RO to ISA.....	R. 12.3, 22.1(g), 23.1(b), AI Sec. 305bis(a)(iii), ROG 289
for international type search .....	Art. 15(5)(c)
for IPE	
invitation to furnish translation for IPE .....	R. 55.2, 55.3, , Form IPEA/443
for national processing by applicant to	
DO .....	Art. 22, R. 49.5
EO .....	Art. 39
furnishing by applicant .....	R. 12.4(a), (b)
invitation to furnish and to pay, where applicable, late furnishing fee .....	R. 12.4(c), (e), Form RO/157
notification of IA considered withdrawn.....	Art. 14(1), R. 12.4(d), 29.1, Form RO/117
required by national law as a condition for protection effected by international publication .....	Art. 29
transmittal by RO to IB .....	R. 22.1(h), AI Sec. 305bis(c)
translation of IPRP (Ch.I) by IB .....	R. 44bis.3, 62bis, 72.2bis
transmittal by IB to applicant and DOs .....	R. 44bis.3(c), Form IB/338
translation of IPRP (Ch.II) annexes (by applicant) .....	Art. 36(2), (3)(b), R. 74
translation of IPRP (Ch.II) by IB.....	Art. 36(2), R. 72, ISPEG 3.29
transmittal by IB (together with IPRP (Ch.II) and annexes to applicant and EOs) .....	Art. 36(1)-(3), R. 72.2, Form IB/338
translation of ISR or declaration by IB.....	Art. 18(3), R. 45
translation of priority document	
before DO .....	R. 51bis.1(e)
before EO .....	R. 76
invitation by IPEA to furnish translation of priority document .....	R. 66.7(b), Form IPEA/414
<b>TRANSLITERATION</b>	
transliteration of certain words .....	R. 4.16(a)
<b>TRANSMITTAL</b>	
electronic means of transmittal .....	R. 89bis, AI Sec. 701(iii), 703(b)(ii), 709, 710(a)(i)
electronic transmittal of notices between Offices .....	R. 89bis.3
transmittal of copy of IA as published or republished. ....	Form IB/311
transmittal of copy of IPRP (Ch.I).....	R. 44bis.1(c), Form IB/326
transmittal of copy of results of earlier search .....	R. 12bis.1(a), 23bis, ROG 116E
transmittal of copy of translation of IA to SISA .....	AI Sec. 436
transmittal of copy of written opinion to IPEA. ....	Form IB/337
transmittal of Dem. by	
IB to competent IPEA.....	R. 59.3(c)(i), (d), AI Sec. 432, Form IB/368
invitation to indicate competent IPEA by	
IB .....	R. 59.3(c)(ii), AI Sec. 432, Form IB/367
IPEA .....	R. 59.3(f), AI Sec. 601(b), Form IPEA/442

Subject	Reference
ISA .....	R. 59.3(f), AI Sec. 516, Form ISA/235
RO .....	R. 59.3(f), AI Sec. 304(a), (b), 323(b), Form RO/154, ROG 328-332
IPEA to IB (or copy of Dem.) .....	R. 61.1
IPEA to IB or competent IPEA .....	R. 59.3(a), (f), AI Sec. 601(b), Form IPEA/436
ISA to IB or competent IPEA .....	R. 59.3(a), (f), AI Sec. 516, Form ISA/234
RO to IB or competent IPEA .....	R. 59.3(a), (f), AI Sec. 334, Form RO/153, ROG 328-332
transmittal of documents	
by RO or IB .....	Form RO/118, Form IB/310
by RO of requested documents (certified copy of IA to applicant or IB) .....	R. 21.2, 22.1(d), Form RO/122, ROG 334
by RO to IB, related to request for restoration .....	R. 48.2(b)(viii), ROG 166N
by RO to ISA, related to earlier search .....	R. 23bis.1, R. 23bis.2, ROG 116C, 116E, ISPEG 15.15
by ISA of requested copies of cited documents .....	Art. 20(3), Form ISA/211
by SISA of requested copies of cited documents .....	Art. 20(3), R. 45bis.7(c), Form SISA/509
by applicant to ISA, related to earlier application or search .....	Form ISA/238, ISPEG 15.16
transmittal of IA (prior to communication to DOs under Art. 20)	
by applicant to DO .....	Art. 13(2)(a)
by IB to DO upon request of applicant .....	Art. 13(2)(b), R. 31.1(b), 31.2
by IB to DO upon request of DO .....	Art. 13(1), R. 31.1(a), 31.2
transmittal of IPRP (Ch.II) and annexes	
by IB (together with IB's translation of IPRP (Ch.II)) to applicant and EOs .....	Art. 36(1)-(3), R. 72.2, Form IB/338
by IPEA to applicant and IB .....	Art. 36(1), R. 71.1(a), Form IPEA/416, ISPEG 22.58
transmittal of other documents from the file of the international preliminary examination	
by IB to EOs .....	AI Sec. 420bis, ISPEG 3.28, 22.58B
by IPEA to IB .....	R. 71.1(b), AI Sec. 602bis, ISPEG 3.25A, 22.58A
transmittal of (applicant's) translation of annexes	
by applicant to EOs .....	Art. 36(2), (3)(b), R. 74
transmittal of ISR, IPRP (Ch.I) or Art. 17(2) declaration by ISA to applicant and IB .....	
	R. 44.1, Form ISA/220
transmittal of notice of correction or addition of declarations referred to in R. 26ter	
by RO to IB .....	R. 4.17, 26ter.1, AI Sec. 317, ROG 192F, 325(iv), (vi)
transmittal of a notice of correction or addition of indications under R.4.11 .....	
	R. 4.11, 26quater.1, AI Sec.317bis, ROG 116, 325(vii)
transmittal of priority documents by IB to DOs .....	
	R. 17.2, Form IB/351
transmittal of purported IA by RO to IB as RO (R. 19.4)	
IA in electronic form .....	AI Sec. 704(f)
invitation to authorize transmittal and to pay fee .....	R. 19.4(a)(iii), AI Sec. 333, Form RO/152, ROG 278-281
notification of transmittal and invitation to pay fee .....	R. 19.4(a)(i), (ii), AI Sec. 333, Form RO/151, ROG 274-277
transmittal of protest	
by IB to DO or EO, upon request of applicant, texts of transmittal and decision thereon .....	R. 40.2 (c), AI Sec. 403, Forms ISA/212, IPEA/420
by IPEA to applicant of decision on transmittal .....	R. 68.3(c), AI Sec. 603
by IPEA to IB of copy of protest and decision thereon .....	R. 68.3(c), AI Sec. 603
by IPEA to EOs, upon request of applicant, of texts of protest and decision thereon .....	R. 68.3(c), AI Sec. 603
by ISA to IB of applicant's request to forward texts of transmittal and decision thereon to DOs/EOs .....	R. 40.2 (c), AI Sec. 502, second sentence, Forms ISA/212, IPEA/420
transmittal of RC by RO to IB .....	
	Art. 12(1), R. 22, ROG 285-287
delayed transmittal for national security reasons .....	AI Sec. 330, Form RO/147, ROG 32-34, 286
transmittal of SC by RO to ISA .....	
	Art. 12(1), R. 23, ROG 288-293
delayed transmittal .....	R. 23(1)(a), AI Sec. 306, Form RO/102, ROG 292, 293
transmittal of SLs .....	
	R. 23.1(c)
See COMMUNICATION	
FEE(S)	

Subject	Reference
<b>TREATMENT</b>	
methods for treatment not required to be examined.....	Art. 34(4)(a)(i), R. 67.1(iv), ISPEG 9.08-9.10
searched .....	Art. 17(2)(a)(i), R. 39.1(iv), ISPEG 9.08-9.10
<b>TREATY</b>	
See PATENT COOPERATION TREATY (PCT) REGIONAL	
<b>TRUE COPY</b>	
See RECORD COPY	
<b>TWO-LETTER CODES</b>	
for indication of States, territories and IGOs.....	AI Sec. 115, Stand.3, AI Annex D(3.3), Annex K
<b>TYPING</b>	
typing of text matter in IA as physical requirement.....	Art. 3(4)(ii), R. 11.9(a), (c), (e), ROG 141
See PHYSICAL REQUIREMENTS	

**-U-**

<b>UNANIMITY</b>	
unanimity of Contracting States required for decisions modifying certain time limits .....	Art. 47(2)(b)
inclusion of R. in one or other category referred to Art. 58(3)(a).....	Art. 58(3)(c)
R. which may be amended only by unanimity decision are specified in Reg. ....	Art. 58(3)(a)(i), R. 88.1
<b>UNION</b>	
defined.....	Art. 2(xvi)
establishment of union .....	Art. 1(1)
<b>UNITY OF INVENTION</b>	
generally.....	R. 13, AI Sec. 206, AI Annex B, ISPEG 10.01-10.88
Markush practice.....	R. 13.2, AI Sec. 206, AI Annex B(d), (f), ISPEG 10.17, 10.38-10.45
posterior finding of unity of invention.....	R. 13.2, AI Sec. 206, AI Annex B(c)(ii), ISPEG 10.03
unity of invention before IPEA.....	Art. 3(4)(iii), R. 13, AI Sec. 206, AI Annex B, ISPEG 10.01-10.88
lack of unity of invention found by IPEA .....	Art. 34(3), R. 68
insufficient restriction of claims.....	Art. 34(3)(c), R. 68.4
invitation to restrict or pay additional fees.....	Art. 34(3)(a), R. 68.2, Form IPEA/405
no invitation to restrict or pay additional fees .....	R. 68.1
notification of decision on protest.....	R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
refund of additional fee .....	R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
unity of invention before ISA .....	Art. 3(4)(iii), R. 13, AI Sec. 206, AI Annex B, ISPEG 10.01-10.88
lack of unity of invention found by ISA.....	Art. 17(3)(a), R. 40
invitation to pay additional fees .....	Art. 17(3)(a), R. 40.1, Form ISA/206
notification of decision on protest .....	R. 40.2(c), (e), AI Sec. 502, Form ISA/212
notification regarding review of justification for invitation to pay additional fees.....	R. 40.2(e)
refund of additional fee .....	Art. 17(3), R. 40.2(c), Form ISA/212
unity of invention before SISA	
lack of unity of invention found by SISA .....	R. 45bis.6(a)
notification regarding review of opinion.....	R. 45bis.6(d), Form SISA/503
request for review of the opinion.....	R. 45bis.6(c), ISPEG 10.87-10.88
transmittal of request to review and decision to DOs .....	R. 45bis.6(e), Form SISA/503
where utility model is sought national law may be applied in respect of unity of invention .....	Art. 43, 44, R. 13.5

**Subject** **Reference**

See DEPENDENT CLAIMS

FEE(S)

INTERNATIONAL PRELIMINARY EXAMINATION

PROTEST

REFUND

**UTILITY CERTIFICATE**

IA for purposes of utility certificate .....Art. 43  
prescribed indications in Req. ....R. 4.11(a)(i)  
utility certificate(s) part of minimum documentation .....R. 34.1(d)

**UTILITY MODEL**

if utility model is sought national law may be applied in respect of  
claims .....R. 6.5, 78.3  
unity of invention.....R. 13.5, 78.3  
indications to be made in Req.....Art. 43, R. 4.9(a)(ii)  
if utility model is sought in addition to other kind  
of protection.....Art. 44, R. 49bis.1

**-V-**

**VOTE**

vote by correspondence.....R. 81.3(a), (b)  
in case of absence of quorum in Assembly .....Art. 53(5)(b), R. 85.1  
vote in Assembly.....Art. 53(3), (4)  
Contracting State which may not exercise right  
to vote due to delay in paying contribution.....Art. 57(5)(e)  
participation of DG without right to vote.....Art. 55(6), (7)(c)  
to amend Regulations  
requirement of absence of opposition .....R. 88.3  
requirement of unanimity .....R. 88.1  
vote in Executive Committee.....Art. 54(8)  
participation of DG without right to vote.....Art. 55(6)

**-W-**

**WAIVER**

communication on request of documents .....R. 93bis.1  
power of attorney .....R. 90.4(d), AI Sec. 336, 433, 517, 617, ROG 117B, 117C, 120  
waiver of receipt of copies of IAs.....Art. 13(2)(c), 20(1)(a) [expressly only for Art. 20 communication]

**WEIGHTS**

manner of expressing weights.....R. 10.1(a), (d)

**“WO”**

See INTERNATIONAL PUBLICATION

**WITHDRAWAL**

signature required on notice of withdrawal .....R. 90.bis.5  
withdrawal of Dem. or election .....Art. 37, R. 90bis.4, ISPEG 19.52, Form IB/372  
effects of withdrawal before time limit under Art. 22 ..Art. 37(4)(b)  
notified to applicant by IB .....R. 90bis.1, 90bis.4, AI Sec. 415 (b), (e), Form IB/339  
notified by agent .....R. 90.5(d), ROG 117C  
withdrawal of designations .....R. 90bis.2, AI Sec. 326(a), Form IB/372, ROG 314-324  
notified to applicant by  
IB .....R. 90bis.1, 90bis.2, AI Sec. 415(a), Form IB/307  
RO.....AI Sec. 326, Form RO/136  
withdrawal of IA .....R. 90bis.1, AI Sec. 326 (a)-(c), Form IB/372, ROG 314-324  
no effect where national processing has started .....R. 90bis.6(a), AI Sec. 609  
notified by agent .....R. 90.5(d), ROG 117C  
notified to applicant by

Subject	Reference
IB .....	R. 90bis.1, 90bis.2, AI Sec. 415(a), Form IB/307
RO .....	AI Sec. 326, Form RO/136
withdrawal of priority claim .....	R. 90bis.3, AI Sec. 326, Form IB/372, ROG 314-324
notified by agent .....	R. 90.5(d), ROG 117C
notified to applicant by	
IB .....	R. 90bis.1, 90bis.2, AI Sec. 415(a), (c), Form IB/307
RO .....	AI Sec. 326, Form RO/136
withdrawal of supplementary search request.....	AI Sec. 415(c), (d), 520
notified to applicant by	
IB .....	AI Sec. 415(c), (d)
See REFUND	

#### WITHDRAWN (CONSIDERED)

designations withdrawn (considered) by	
review of determination of RO by DO .....	Art. 25(1)(b), R. 51
IA by	
IB for RC not received within time limit .....	Art. 12(3), R. 22.3, 24.2(c)
review of determination by IB under Article 12(3)	
by DO .....	Art. 25(1)(a), R. 51
RO	
decision by RO .....	Art. 14(1)(b), R. 26.5
for failure to	
correct certain defects .....	Art. 14(1), R. 29.1, 92.4(g)(i), Form RO/117, ROG159
furnish required translation for	
international publication .....	Art. 14(1), R. 12.4(d), 29.1, Form RO/117, ROG 71
IS .....	Art. 14(1), R. 12.3(d), 29.1, Form RO/117, ROG 71
pay prescribed fees.....	Art. 14(3), R. 29.1, Form RO/117, ROG 159, 267
furnish original of IA .....	Art. 14(1), R. 29.1, 92.4(g)(i), Form RO/117, ROG159
later finding of non-compliance with	
requirements for according IFD .....	Art. 11(1), 14(4), R. 29.1(a), Form RO/143, ROG 52-54
notification by IB to DO(s) .....	Art. 14(1), (3), (4), R. 29.1, Form IB/325
review of determination of RO by DO.....	Art. 25(1)(a), R. 51
unless notified about IA withdrawn (considered), ISA is to proceed with IS	
if notified by RO about invitation concerning	
missing or defective	
abstract .....	R. 38.1
title .....	R. 37.1
protest withdrawn (considered) for failure to pay protest fee by	
IPEA.....	R. 68.3(e)
ISA .....	R. 40.2(e)

#### WRITTEN DISCLOSURE(S)

See DISCLOSURES

#### WRITTEN OPINION

by IPEA	
generally.....	Art. 34(2)(c), R. 66.2, Form IPEA/408, ISPEG 17.06-17.07
indication that requirement of lack of unity of	
invention is not fulfilled .....	R. 68.1
invitation to submit reply/amendments to	
written opinion.....	R. 66.2(c), Form IPEA/408
statement of reasons for written opinion .....	R. 66.2(b)
response to written opinion .....	Art. 34(2)(d), R. 66.3
amendments in response to written opinion.....	Art. 34(2)(b), R. 66.4-66.5, ISPEG 17.06
consideration of response by IPEA .....	R. 66.4bis, ISPEG 20.09



**Subject****Reference**

## by ISA

generally.....	R.43bis.1, Form ISA/237, ISPEG 17.06-17.07 and 17.09
communication to IPEA (when different than ISA).....	R. 62.1(i), ISPEG 22.18
considered to be written opinion of IPEA .....	R. 43bis.1(c), 66.1bis(a), Form ISA/237
IPEA procedure applies to ISA .....	R. 43bis.1(b), AI Sec. 518
time limit for establishment of written opinion.....	R.43bis.1(a), Form ISA/237, ISPEG 15.09
transmittal of copies of translation .....	R. 44bis.3(d), 62bis.1(b), 72.2bis, Form IB/374
See INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)	
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)	

**-Y-****YEAR(S)**

## calendar year(s)

Assembly to meet every second year(s).....Art. 53(11)(a)

computation of periods expressed in year(s) ..... R. 80.1 |

## financial year(s)

paying of contributions in case of financial year(s)

closing with deficit ..... Art. 57(5)(a) |