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Telephone (41-22) 730 91 11 Facsimile (41-22) 740 14 35

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# NEWSLETTER

November 1996

No. 11/1996

# MODIFIED REQUEST FORM (PCT/RO/101)

The request form (PCT/RO/101) has been modified with effect from 1 January 1997.

The following new PCT Contracting States have become bound by the PCT since the last revision of the form in July 1996 and have been added to Box No. V under National Patent: BA Bosnia and Herzegovina, CU Cuba and LC Saint Lucia. Consequential minor modifications have been made to the notes to Box No. V of the request form, in respect of certain kinds of protection for certain States, and to the notes to the fee calculation sheet, in respect of those new PCT Contracting States whose residents and nationals (natural persons) are eligible for a reduction of certain PCT fees.

Modified versions of the second sheet of the request form (which includes Box No. V) in English and French are included in this issue as white tear-out sheets for insertion in the PCT Applicant's Guide, Vol. I/B, Annex X. Also included in this issue are modified versions of the second sheet in German and Spanish. The inserted sheets may be photocopied and used, along with other sheets of the request form which remain unchanged, for the filing of international applications on or after 1 January 1997.

In order to avoid possible problems arising from the omission of the applicant's State of residence from Box Nos. II and III, those Boxes have been amended to include, in italics, the following phrase: "The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below." This wording reflects that presently included in the notes to the request form.

The demand form (PCT/IPEA/401) has not been modified except for the inclusion of the date of reprinting (January 1997) on each sheet. Minor modifications have been made to the notes to the demand form, following the withdrawal by Greece of its declaration under PCT Article 64(1)(a), and to the notes to the fee calculation sheet, in

[continued on page 2]

### INSIDE THIS ISSUE

Modified request form
(PCT/RO/101) [continued] . 2
PCT information update 2
Extension of European patents to Romania 2
Israel: Non-working days at the Patents, Designs and Trademarks Office 2
Practical advice 3
PCT statistics – 1 January to 30 September 1996 3-4
Republic of Korea: revised patent and utility model
laws 4
PCT seminar calendar 4
PCT fee tables 5-7
PCT Contracting States and two-letter codes 8
Tear-out sheets: modified second sheet of request form in English and French (PCT Applicant's Guide, Vol. I/B, Annex X) and in German and Spanish

[continued from cover page]

respect of those new PCT Contracting States whose residents and nationals (natural persons) are eligible for a reduction of the handling fee.

Consolidated versions of the modified request and demand forms, together with their respective accompanying notes, will be included in the January 1997 update issue for the *PCT Applicant's Guide*, Vol I/B, Annexes X and Y. Copies of the request form in English, French, German or Spanish can also be obtained from receiving Offices or the International Bureau as from the end of December 1996. Due to translation requirements, there may be a slight delay in the availability of request forms in other languages. Copies of the demand form can also be obtained from receiving Offices, International Preliminary Examining Authorities or the International Bureau.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes X and Y)

### **PCT INFORMATION UPDATE**

### **Hungary (telephone number)**

The telephone number of the Hungarian Patent Office has changed as follows:

Telephone: (36-1) 312 44 00

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (HU))

### International Bureau (payment of fees)

There has been a change concerning the payment of fees by bank transfer to the International Bureau. Transfers should now be made to:

WIPO bank account No. 0251-487080-81 (Swiss francs) or No. 0251-487080-82 (US dollars), SWIFT code: CRESCHZZ12A at the Crédit Suisse, 1211 Geneva 70, Switzerland

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IB) (footnote 5, second item))

### Sweden (fees)

The amounts of the following fees, payable to the Swedish Patent Office as designated and elected Office, have changed:

National fee:

Search fee: SEK 3,000

Annual fee for the

first three years: SEK 800

(Updating of *PCT Applicant's Guide*, Vol. II/C, National Chapter, Summary (SE))

# Search fee (Japanese Patent Office and Russian Patent Office)

As from 1 January 1997, there will be changes in the equivalent amounts payable in USD for an international search carried out by the Japanese Patent Office, and in CHF for an international search carried out by the Russian Patent Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (JP) and (RU))

# EXTENSION OF EUROPEAN PATENTS TO ROMANIA

It was indicated on the cover page of *PCT* Newsletter No. 10/1996 that the agreement between the European Patent Organisation and Romania on cooperation in the field of patents is similar to those already in force in respect of Albania, Latvia, Lithuania and Slovenia. Note, however, that there is a difference in the translation requirements once the European Patent Office publishes the mention of grant of a European patent. In the case of the extension of a European patent to Romania, a translation of the **specification** into Romanian (including the description and the claims) must be filed. In the case of the extension of a European patent to Albania, Latvia, Lithuania or Slovenia, a translation of the *claims* in the national language concerned is sufficient. For further details, see the October issue of the Official Journal of the EPO (OJ/EPO, No. 10/1996).

### ISRAEL: NON-WORKING DAYS AT THE PATENTS, DESIGNS AND TRADEMARKS OFFICE

Further to information given in *PCT Newsletter* No. 08/1996, page 4, regarding the possibility on Fridays to deposit mail at the Patents, Designs and Trademarks Office of Israel in a mailbox equipped with an automatic dating device (also indicating the time of receipt) *until noon*, that possibility is now available, under the same conditions, *until midnight*. Mail received by midnight on a Friday will thus be considered received by the Office on that day.

# PCT STATISTICS—1 January to 30 September 1996

During the first nine months of 1996, the International Bureau of WIPO received 35,753 international applications filed with PCT receiving Offices worldwide, representing an increase of 23.6% over the same period in 1995.

The number of demands for international preliminary examination filed under Chapter II during the same period amounted to 24,512, representing an increase of 20% over the same period last year.

#### PRACTICAL ADVICE

# Drawings missing upon filing the international application

Q: I have just discovered that, in an international application which was filed on 15 October 1996, claiming priority from 13 November 1995, two of the four drawings which were intended to accompany the application were inadvertently omitted. Can you tell me whether the missing drawings can still be filed, and if so, whether the international filing date will be affected?

A: Missing drawings may be filed within 30 days from the date of receipt of the first papers constituting the international application but, in such a case, the date of receipt of the missing drawings will be considered as the international filing date (see PCT Rule 20.2 and the PCT Applicant's Guide, Vol. I/A, paragraph 184),

If the missing drawings do not reach the receiving Office within that 30-day time limit, any reference to the missing drawings in the international application will be considered non-existent (see PCT Article 14(2)), the drawings will not be taken into account for the purposes of international processing, and the date of receipt of the first papers constituting the international application will be accorded as the international filing date.

If the drawings reach the receiving Office within the 30-day time limit but after the expiration of the priority year, for example, if the drawings were received on 14 November 1996, the international filing date would then fall outside the priority year and the priority claim would be cancelled (see PCT Rule 4.10(d)).

It is important, therefore, before deciding whether to submit the missing drawings and thus be accorded a later international filing date, to check whether that later date would still fall within one year from the priority date. If the missing drawings are not essential for the disclosure of the invention, you may decide, in order to preserve the original date as the international filing date, not to file them.

It is up to you to assess whether it is more important to maintain the original international

filing date than to include the missing drawings in the international application, or whether the missing drawings are so essential for the understanding of the invention that they need to be included in order to ensure that the disclosure is sufficient, even though this would result in the application being accorded a later international filing date, possibly with the loss of the priority claim.

Note that the receiving Office, while performing the usual checking of the papers constituting the international application, will examine the text for references to drawings and, upon finding that not all drawings referred to are included, will invite you to submit the missing drawings within 30 days from the date of receipt of the international application by way of the Notification of Non-Inclusion of Drawings with the International Application (Form PCT/RO/107) (see PCT Article 14(2) and Rule 20.2(a)(iii)). If you are already aware that some drawings are missing and intend to submit them, you should do so without waiting for that Notification so as to obtain the earliest possible international filing date. If the notification reaches you only after the expiration of the one-year priority period, it would be too late to submit the missing drawings without losing the priority claim.

If you decide not to submit the missing drawings during the 30-day time limit, they will not be included in the international publication; furthermore, they may **not** be filed under PCT Article 19 (which only permits the amendment of claims). While you do have the option of filing drawings under Chapter II with the International Preliminary Examining Authority, in the form of an amendment under PCT Article 34, or upon entry into the national phase before the designated/elected Offices, in the form of an amendment under national law pursuant to PCT Article 28 or 41, it is important to bear in mind that *they must not* go beyond the disclosure in the international application as filed (although amendments going beyond that disclosure would be acceptable to any designated/elected Office whose national law so permits).

Note that the international filing date will similarly be postdated where missing pages of the description and claims are furnished late. The subject of missing parts of the request will be dealt with in a future issue of the *PCT Newsletter*. Late receipt of the abstract, however, does not entail any change to the international filing date.

### REPUBLIC OF KOREA: REVISED PATENT AND UTILITY MODEL LAWS

Revised patent and utility model laws entered into force in the Republic of Korea on 1 July 1996. They apply to the national phase, in the Republic of Korea, of international applications containing designations of that State and whose international filing date is on or after 1 July 1996. The most important changes are described below.

### Changes to the patent law

- (a) The term of protection for a patent is now 20 years from the filing date (or from the international filing date, in the case of a PCT application) (instead of 15 years from the publication of the application by the Korean Industrial Property Office);
- (b) the definition of "local practice" of a patented invention has been broadened to include the act of offering the patented product for sale or lease;

- (c) patentable subject matter now includes materials produced by a nuclear conversion process;
- (d) an applicant may, at any time, request that the application be laid open for public inspection (instead of having to wait until 18 months after the filing date of the application, as was the case under the previous system).

### Changes to the utility model law

The term of protection for a utility model is now 15 years from the filing date (or from the international filing date, in the case of a PCT application) (instead of 10 years from the publication of the application by the Korean Industrial Property Office).

Points (b) and (d), above, also apply to utility models.

For further details, contact your agent in the Republic of Korea.

	PCT SEMINAR CALENDAR										
Dates Location	Language of seminar	Nature of seminar WIPO speakers (and others where known)	Organizer and contact numbers								
20-21 November 1996 Casablanca, Morocco	French	WIPO national seminar on the PCT WIPO speakers: Ms. Boutillon and Mrs. Hamou	Moroccan Industrial Property Office Tel: (212-2) 33 54 86 Fax: (212-2) 33 54 86								
26-27 November 1996 Zurich (CH)	German	PCT seminar WIPO speakers: Mr. Matthes and Mr. Wolff Other speaker: Mr. Jenny	Swiss Federal Institute of Technology Zurich (ETH) and Institut für gewerblichen Rechtsschutz (INGRES) Tel: (41-1) 632 40 36 Fax: (41-1) 632 10 97								
4-5 December 1996 London (GB)	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 364 24								
6 December 1996 London (GB)	English	PCT workshop for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 364 24								
3 April 1997 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33-478) 33 07 08 Fax: (33-478) 33 58 96								
9 April 1997 Munich (DE)	German	Basic PCT seminar for patent attorneys WIPO speakers: Mr. Matthes and Mrs. Coeckelbergs	Forum Institut für Management GmbH Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27								
10-11 April 1997 Munich (DE)	German	Basic PCT seminar for patent administrators WIPO speakers: Mr. Matthes and Mrs. Coeckelbergs	Forum Institut für Management GmbH Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27								

#### **PCT FEE TABLES**

The following Tables show the amounts (including currencies – see the key to currency abbreviations following Table II) of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the PCT Applicant's Guide, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation and confirmation fees and the handling fee, as well as the transmittal fee payable to the International Bureau as receiving Office, is available to applicants from certain States; see footnote 2 for details. Footnotes to all Tables follow Table II.

Table I(a) - TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 November 1996, unless otherwise indicated)

RO	Transmi	ttal fee <sup>1</sup>	II I		Supplement per sheet over 30 <sup>1,2</sup> (CHF 15)	Designation fee <sup>1,2,3</sup> (CHF 185)	Competent ISA(s) <sup>4</sup>
AL	ALL	9,000	CHF	762	15	185	EP
AM	AMD	32,000	USD	677	13	164	EP RU
AP	USD	70	USD	677	13	164	AT EP SE
AT	ATS	700	ATS	6,730	133	1,630	EP
AU	AUD	100	AUD	785	15	191	AU <sup>*</sup>
AZ	AZM <sup>5</sup>	_	USD	677	13	164	EP RU
BE	BEF	1,500	BEF	19,700	388	4,780	EP
BG	BGL	600	BGL	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRR	157.52	BRR	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR equiv	of <b>USD 7</b> 0	USD	677	13	EP RU	
CA	CAD	200	CAD	914	18	222	EP
СН	CHF	100	CHF	762	15	185	EP
CN	CNY	500	CNY	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN :
CU	USD (or CUI	equiv) 200	USD (o	r CUP equiv) 677	USD (or CUP equiv)13	USD (or CUP equiv) 164	AT EP ES RU
cz	сzк	1,500	CZK	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM	150	DEM	955	19	232	EP
DK	DKK	1,500	DKK	3,740	74	910	EP SE
EA	RUR equiv	of <b>USD</b> 100	USD	677	13	164	EP RU
EE	EEK	1,500	EEK	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
EP <sup>6</sup>	DEM	200	DEM	955	19	232	EP
ES	ESP	8,770	ESP	83,500	1,640	20,300	EP ES
FI	FIM	800	FIM	2,900	55	700	EP SE
FR	FRF	400	FRF	3,300	65	800	EP
GB	GBP	55	GBP	430	8	104	EP
GE	USD <sup>5</sup>	_	USD	677	13	164	EP RU
GR	GRD	36,500	GRD	162,000	3,190	39,400	EP
HU	HUF <sup>7</sup>	10,000	HUF	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
IB	CHF <sup>2</sup>	300	CHF	762	15	185	See footnote 8
	or USD <sup>2</sup>	200	or USD	677	13	164	
IE	IEP	60	IEP	425	8	103	EP
IL	ILS	350	USD	677	13	164	EP US
IS	ISK	5,500	ISK	43,100	848	10,500	EP SE
IT	JPY	60,000	ITL	953,000	19,000	232,000	EP ID
JP VE		18,000	JPY	67,400	1,300	16,400	EP JP
KE KG			USD	677 677	13 13	164 164	AT AU CN EP SE
KP	KPW equiv		KPW				EP RU
KR	KPW equiv	40.000	KRW	equiv of <b>CHF</b> 762 544,000	equiv of <b>CHF</b> 15 10,700	equiv of <b>CHF</b> 185 132,000	AT RU AT AU JP
KZ	KRW KZT <sup>5</sup>	40,000	USD	544,000 677	10,700	132,000	EP RU
LR	USD	 45	USD	677	13	164	AT AU CN EP SE
LS	LSM <sup>5</sup>	-	LSM	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP
1.3	LOIVI		LOIVI	equiv oi Cnr /62	equiv of CRF 15	equiv of CHF 185	A I EF

[continued on next page]

Table I(a) - TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]

(as at 1 November 1996, unless otherwise indicated)

RO	Transmittal f	ee¹	I		Supplement per sheet over 30 <sup>1,2</sup> (CHF 15)	Designation fee <sup>1,2,3</sup> (CHF 185)	Competent ISA(s) <sup>4</sup>
LT	LTL equiv of USD	80	USD	677	13	164	EP RU
LU	LUF/BEF 1.	,000	LUF/BE	F 19,700	388	4,780	EP
LV	LVL	40	USD	677	13	164	EP RU
МС	FRF	300	FRF	3,300	65	, 800	EP
MD	MDL <sup>5</sup>	54	USD	677	13	164	, EP RU
MK	MKD 2	,750	MKD	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
MN	ľ	None	CHF	762	15	185	EP RU
MW	MWK ·	8	MWK	10,100	199	2,460	EP
MX	MXP equiv of USD	200	MXP	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP ES SE US
NL	NLG	110	NLG	1,070	21	260	EP
NO	NOK	500	NOK	4,250	84	1,030	EP SE
NZ	NZD	155	NZD	892	18	216	AU EP US
PL	PLZ	200	PLZ	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,	,600	PTE	101,000	1,990	24,500	EP
RO	<b>ROL</b> 10,	,000	CHF	762	15	185	AT EP RU
RU	RUR 294,	.000	USD	677	13	164	EP RU
SD	SDP	50	SDP	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 1,	,000	SEK	4,200	80	1,000	EP SE
SG	SGD	120	SGD	860	17	208	AT AU EP
SI	SIT See footno	te 9	SIT	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	,	600	SKK	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR <sup>5</sup>	-	USD	677	13	164	EP RU
TM	USD⁵	-	USD	677	13	164	EP RU
TR	CHF	100	CHF	762	15	185	AT AU CN EP RU
TT	TTD.	750	USD	677	13	164	AT EP SE US
UA	UAH	255	USD	677	13	164	EP RU
US		230	USD	677	13	164	EP US
UZ	USD <sup>5</sup>	-	USD	677	13	164	EP RU
VN	USD	50	VND	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

### Table I(b) - SEARCH FEES

(as at 1 November 1996, unless otherwise indicated)

ISA						Search	ı fee¹					
АТ	ATS	2,200	CHF	250	KRW	177,000	SGD	317	USD	225		
AU	AUD	800	CHF	787	KRW	471,000	NZD	905	SGD	900	USD	640
CN	CNY	800	CHF	110	USD	100						
<b>EP</b> <sup>10</sup>	DEM ATS BEF CAD	2,400 17,140 50,300 2,150	CHF DKK ESP FIM	2,000 9,500 206,900 7,500	FRF GBP GRD IEP	8,420 1,091 400,000 1,055	ISK ITL 2 JPY LUF	108,100 2,643,000 169,000 50,300	MWK NLG NOK NZD	25,440 2,740 10,660 2,280	PTE SEK SGD USD	254,800 11,760 2,200 1,585
ES	ESP	64,275	CHF	613	USD	526 <sup>13</sup>						
JP	JPY	77,000	CHF	870	KRW	622,000	USD	775	(from	1.1.97:	USD	690)
RU <sup>10</sup>	RUR	840,000	CHF	225	USD	200	(from	1.1.97:	CHF	247)		
SE	SEK CHF DKK	6,200 <sup>11</sup> 1,180 <sup>11</sup> 5,500 <sup>11</sup>	6,80 1,29 6,05	012	FIM ISK NOK	4,500 <sup>11</sup> 64,000 <sup>11</sup> 6,150 <sup>11</sup>	70,0	50 <sup>12</sup> 00 <sup>12</sup> 50 <sup>12</sup>	USD	960 <sup>11</sup>	1,05	512
US	USD	680	44	-0 <sup>13</sup>	CHF	800	5	18 <sup>13</sup>	NZD	980	63	4 <sup>13</sup>

#### Table II - PRELIMINARY EXAMINATION FEES

(as at 1 November 1996, unless otherwise indicated)

IPEA		Prelimi	nary exa	aminatio	n fee <sup>14</sup>							
AT	ATS	2,200					ATS	2,060				
AU	AUD	450					AUD	240				
CN	CNY	800				-	CNY e	quiv of CHF	233			
EP <sup>10</sup>	DEM ATS BEF CHF DKK ESP	3,000 21,430 62,900 2,500 11,880 258,600	IEP	9,380 10,530 1,364 600,000 1,319	LUF NLG PTE SEK	62,900 3,430 318,500 14,710	DEM ATS BEF CHF DKK	292 2,060 6,020 233 1,140	FIM FRF GBP GRD IEP	887 1,000 131 48,000 130	ITL LUF NLG PTE SEK	295,000 6,020 328 30,900 1,300
JP	JPY	28,000					JPY	20,600				
RU <sup>10</sup>	RUR 1	,260,000	USD	300			USD	207				
SE	SEK	4,200					SEK	1,300				
US	USD	480	730 <sup>15</sup>				USD	207				

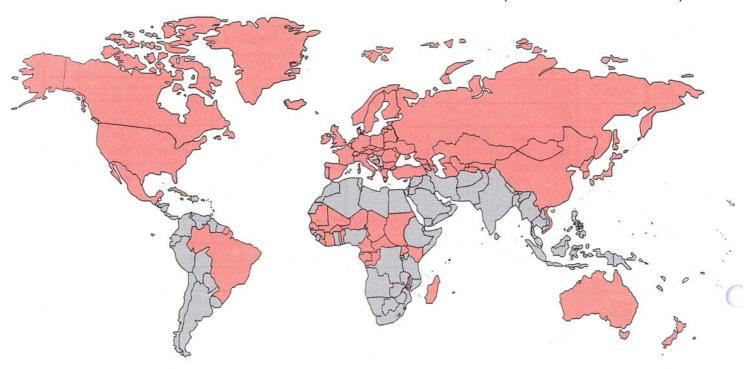
#### Key to currency abbreviations for all fee tables:

ALL	Lek	DEM	Mark	ITL	Italian Lira	MDL	Moldovan Leu	RUR	Rouble
AMD	Dram	CZK	Czech Koruna	ISK	Icelandic Kronur	LVL	Lat	SDP	Sudanese Pound
ATS	Schilling	DKK	Danish Krone	JPY	Yen	MKD	Denar	SEK	Swedish Krona
AUD	Australian Dollar	EEK	Estonian Kroon	KES	Kenyan Shilling	MWK	Kwacha	SGD	Singapore Dollar
AZM	Manat	ESP	Peseta	KGS	Som	MXP	Mexican Peso	SIT	Tolar
BEF	Belgian Franc	FIM	Markka	KPW	Won	NLG	Guilder	SKK	Slovak Koruna
BGL	Lev	FRF	French Franc	KRW	Won	NOK	Norwegian Krone	TTD	Trinidad and Tobago
BRR	Real	GBP	Pound Sterling	KZT	Tenge	NZD	New Zealand Dollar		Dollar
CAD	Canadian Dollar	GRD	Drachma	LSM	Maloti	PLZ	Zloty	UAH	Hryvnia
CHF	Swiss Franc	HUF	Forint	LTL	Lita	PTE	Escudo	USD	US Dollar
CNY	Yuan Renminbi	IEP	Irish Pound	LUF	Luxembourg Franc	ROL	Romanian Leu	VND	Dong
CUP	Convertible Peso	ILS	New Israel Shekel						

#### Footnotes for all fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Armenia, Azerbaijan, Belarus, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Côte d'Ivoire, Cuba, Czech Republic, Democratic People's Republic of Korea, Estonia, Gabon, Georgia, Guinea, Hungary, Kazakstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Slovakia, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, Uzbekistan or Viet Nam. For further details, see PCT Newsletter No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 3 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 6 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FIM, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE and SEK. For the amounts in the said currencies, reference should be made to the latest issue of the Official Journal of the EPO.
- 7 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 8 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- 9 5% of the total value of the basic fee, supplement per sheet over 30, designation fee and search fee.
- 10 In the case of the EPO, nationals of States which fulfill the requirements for the reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, or of countries in transition ("reform States"), may be eligible for fee reductions. In the case of the Russian Patent Office, nationals of certain developing countries may be eligible for fee reductions. For details, including the amount of the fee reduction, the countries concerned and applicable conditions, see PCT Applicant's Guide, Vol. I/B, Annex D (EP) and (RU) and Annex E (EP) and (RU).
- 11 If, on an earlier application, the priority of which is claimed, a search report has been issued by the Swedish Patent Office, the Danish Patent Office, the National Board of Patents and Registration (Finland), the Norwegian Patent Office or the Icelandic Patent Office.
- 12 In all cases where footnote 11 does not apply.
- 13 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.
- 14 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 15 Payable when the international search report was not established by the United States Patent and Trademark Office.

### PCT CONTRACTING STATES AND TWO-LETTER CODES (87 on 1 November 1996)



AM Armenia (EA) AT Austria (EP) AU Australia AZ Azerbaijan (EA) BA Bosnia and Herzegovina BB Barbados BE Belgium (EP)² BF Burkina Faso (OA)² BG Bulgaria BJ Benin (OA)² BR Brazil BY Belarus (EA) CA Canada CF Central African Republic (OA)² CG Congo (OA)² CH Switzerland (EP) CI Côte d'Ivoire (OA)²	CN China  CU Cuba  CZ Czech Republic  DE Germany (EP)  DK Denmark (EP)  EE Estonia  ES Spain (EP)³  FI Finland (EP)  FR France (EP)²  GA Gabon (OA)²  GB United Kingdom (EP)  GE Georgia  GN Guinea (OA)²  GR Greece (EP)²  HU Hungary  IE Ireland (EP)²  IL Israel  IS Iceland  IT Italy (EP)²  JP Japan  KE Kenya (AP)  KG Kyrgyzstan (EA)	KZ LC LI LK LS LT LU LV MC MD	Democratic People's Republic of Korea Republic of Korea Kazakhstan (EA) Saint Lucia Liechtenstein (EP) Sri Lanka Liberia Lesotho (AP) Lithuania¹ Luxembourg (EP) Latvia¹ Monaco (EP)² Republic of Moldova (EA) Madagascar The former Yugoslav Republic of Macedonia Mali (OA)²	MR MW MX NE NL NO NZ PL FT RO RU SE SG SI SK SN	Mongolia Mauritania (OA)² Malawi (AP) Mexico Niger (OA)² Netherlands (EP)² Norway New Zealand Poland Portugal (EP) Romania¹ Russian Federation (EA) Sudan (AP) Sweden (EP) Singapore Slovenia¹ Slovakia Senegal (OA)² Swaziland (AP)² Chad (OA)²	TJ TM TR TT UA UG US	Togo (OA) <sup>2</sup> Tajikistan (EA) Turkmenistan (EA) Turkey Trinidad and Tobago Ukraine Uganda (AP) United States of America Uzbekistan Viet Nam
---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------	--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-------------------------------------------------	--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------

- 1 Extension of European patent possible.
- 2 May only be designated for a regional patent (the national route has been closed).
- 3 Not bound by Chapter II.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, EA = Eurasian patent).

#### Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

Applicants should always use the latest versions of the request and demand forms. The latest version of the request form (PCT/RO/101) is dated July 1996 and is applicable until the end of 1996; the latest version of the demand form (PCT/IPEA/401) is dated July 1996. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide*. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from receiving Offices, International Preliminary Examining Authorities or the International Bureau. See cover page for details on the request form updated as from January 1997.

Box N	o.V	DESIGNATION OF STATES							
The fo	ollow	ng designations are hereby made under Rule 4.9(a)	(mar	k the	applicable check-boxes; at least one must be marked):				
Regio	nal P	atent							
	AP	ARIPO Patent: KE Kenya, LS Lesotho, MW Malav is a Contracting State of the Harare Protocol and of the	vi, SI he PO	Suda CT	an, SZ Swaziland, UG Uganda, and any other State which				
	EA	Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT							
	EP	European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT							
	OA OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)								
Nation	ıal P	atent (if other kind of protection or treatment desired,	speci	ify on	dotted line):				
		Albania			Luxembourg				
	AM	Armenia		$\mathbf{L}\mathbf{V}$	Latvia				
	AT	Austria		MD	Republic of Moldova				
	$\mathbf{A}\mathbf{U}$	Australia		MG	Madagascar				
	$\mathbf{AZ}$	Azerbaijan		MK	The former Yugoslav Republic of Macedonia				
	BA	Bosnia and Herzegovina							
	BB	Barbados	П	MN	Mongolia				
	BG	Bulgaria	$\overline{\Box}$		Malawi				
ΙĒ	BR	Brazil	$\exists$		Mexico				
ΙĦ	BY	Belarus	H		Norway				
		Canada			New Zealand				
	СН	and LI Switzerland and Liechtenstein	$\exists$		Poland				
		China		PT	· · · · · · · · · · · · · · · · · · ·				
		Cuba	$\exists$		Portugal				
		Czech Republic		RO					
님	DE	Germany		RU	Russian Federation				
		Denmark	$\sqcup$	SD	Sudan				
		Estonia		SE	Sweden				
		Spain	$\sqcup$	SG	Singapore				
	ES FI	=		SI	Slovenia				
		Finland		SK	Slovakia				
	GB	United Kingdom		TJ	Tajikistan				
	GE	Georgia		TM	Turkmenistan				
	HU	Hungary		TR	Turkey				
	IL	Israel		TT	Trinidad and Tobago				
	IS	Iceland		UA	Ukraine				
	JP	Japan		$\mathbf{U}\mathbf{G}$	Uganda				
	KE	Kenya		US	United States of America				
		Kyrgyzstan							
	KP	Democratic People's Republic of Korea		$\mathbf{U}\mathbf{Z}$	Uzbekistan				
	~ - ~	D 11 07		VN	Viet Nam				
		Republic of Korea	Char	alc bo	xes reserved for designating States (for the purposes of				
		Kazakstan	a nat	tional	patent) which have become party to the PCT after				
		Saint Lucia	issua	ance o	of this sheet:				
		Sri Lanka	닏						
		Liberia	$\sqsubseteq$						
		Lesotho	$\sqcup$						
	LT	Lithuania							
			akes	unde	r Rule 4.9(b) all designations which would be permitted				
under	under the PCT except the designation(s) of								
before	The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time								
limit.	(Confi	rmation of a designation consists of the filing of a notice spec	ifying		designation and the payment of the designation and confirmation				
		ation must reach the receiving Office within the 15-month time limi			· · · · · · · · · · · · · · · · · · ·				

Cadre	nº V	DESIGNATION D'ETATS								
Les dé	signati	ons suivantes sont faites conformément à la règle 4.9	.a) (c	ochei	· les cases appropriées; une au moins doit l'être):					
Brevet régional										
	AP	Brevet ARIPO: KE Kenya, LS Lesotho, MW Mala est un Etat contractant du Protocole de Harare et du		D Sou	ndan, SZ Swaziland, UG Ouganda et tout autre Etat qui					
	EA	Moldova, RU Fédération de Russie, TJ Tadjikistan, T	Brevet eurasien: AM Arménie, AZ Azerbaïdjan, BY Bélarus, KG Kirghizistan, KZ Kazakstan, MD République de Moldova, RU Fédération de Russie, TJ Tadjikistan, TM Turkménistan, et tout autre Etat qui est un Etat contractant de la Convention sur le brevet eurasien et du PCT							
	EP	Brevet européen: AT Autriche, BE Belgique, CH ES Espagne, FI Finlande, FR France, GB Royaus	Brevet européen: AT Autriche, BE Belgique, CH et LI Suisse et Liechtenstein, DE Allemagne, DK Danemark, ES Espagne, FI Finlande, FR France, GB Royaume-Uni, GR Grèce, IE Irlande, IT Italie, LU Luxembourg, MC Monaco, NL Pays-Bas, PT Portugal, SE Suède et tout autre Etat qui est un Etat contractant de la Convention sur le brevet européen et du PCT							
	OA	Brevet OAPI: BF Burkina Faso, BJ Bénin, CF République centrafricaine, CG Congo, CI Côte d'Ivoire, CM Cameroun, GA Gabon, GN Guinée, ML Mali, MR Mauritanie, NE Niger, SN Sénégal, TD Tchad, TG Togo et tout autre Etat qui est un Etat membre de l'OAPI et un Etat contractant du PCT (si une autre forme de protection ou de traitement est souhaitée, le préciser sur la ligne pointillée)								
Brovet	natio	nal (si une autre forme de protection ou de traitement est souh	aitée	la nrác	riser sur la liane pointillée) :					
		Albanie			Luxembourg					
I H		Arménie	H		Lettonie					
		Autriche	H		République de Moldova					
		Australie	$\exists$		Madagascar					
l H		Azerbaïdjan	$\vdash$		Ex-République yougoslave de Macédoine					
		•	ш	IVIE	• • •					
		Bosnie-Herzégovine	_							
닏		Barbade	닏		Mongolie					
<u></u> ⊔		Bulgarie	Ш	MW	Malawi					
		Brésil		MX	Mexique					
	$\mathbf{BY}$	Bélarus		NO	Norvège					
	CA	Canada		NZ	Nouvelle-Zélande					
	$\mathbf{CH}$	et LI Suisse et Liechtenstein		PL	Pologne					
	CN	Chine	$\overline{\Box}$	PT	Portugal					
	$\mathbf{C}\mathbf{U}$	Cuba	$\Box$		Roumanie					
一百	CZ	République tchèque	Ħ		Fédération de Russie					
	DE	Allemagne	H	SD	Soudan					
		Danemark	$\vdash$	SE	Suède					
		Estonie	$\exists$							
님	ES	Espagne	님	SG	Singapour					
님	FI		$\vdash$	SI	Slovénie					
ᅵ片		Finlande		SK	Slovaquie					
님		Royaume-Uni		TJ	Tadjikistan					
닏	GE	Géorgie		TM	Turkménistan					
	HU	Hongrie		TR	Turquie					
	IL	Israël		TT	Trinité-et-Tobago					
	IS	Islande		UA	Ukraine					
	JP	Japon	П	UG	Ouganda					
	KE	Kenya	$\sqcap$		Etats-Unis d'Amérique					
	KG	Kirghizistan			· · · · · · · · · · · · · · · · · · ·					
	KP	République populaire démocratique de Corée		ПZ	Ouzbékistan					
			$\exists$		Viet Nam					
	KR	République de Corée	ш	, , ,	The fall is a second of the se					
	KZ	Kazakstan	Cas	es rés	ervées pour la désignation (aux fins d'un brevet national)					
	$\mathbf{LC}$	Sainte-Lucie			ai sont devenus parties au PCT après la publication de la feuille :					
	LK	Sri Lanka			· ·					
l H		Libéria	Ħ		••••••					
		Lesotho	H		• • • • • • • • • • • • • • • • • • • •					
		Lituanie	H							
	υı	Dittame	Ц	• • • •						
auto	Outre les désignations faites ci-dessus, le déposant fait aussi conformément à la règle 4.9.b) toutes les désignations qui seraient autorisées en vertu du PCT, sauf la désignation de									
	Le déposant déclare que ces désignations additionnelles sont faites sous réserve de confirmation et que toute désignation qui n'est									
					te de priorité doit être considérée comme retirée par le poser une déclaration contenant la désignation en question et					
		xes de désignation et de confirmation. La confirmation doit p								

Feld I	Feld Nr. V BESTIMMUNG VON STAATEN									
* Die fo	lgende	en Bestimmungen nach Regel 4.9 Absatz a werden hier	rmit v	orgen	ommen (bitte die entsprechenden Kästchen ankreuzen; wenigstens					
		иß angekreuzt werden):		Ü						
Regio	nales	Patent			•					
	AP	<b>ARIPO-Patent: KE</b> Kenia, <b>LS</b> Lesotho, <b>MW</b> Malarder Vertragsstaat des Harare-Protokolls und des PC	wi, <b>S</b> E T ist	Suda	an, SZ Swasiland, UG Uganda und jeder weitere Staat,					
	EA		ı, TM		elarus, KG Kirgisistan, KZ Kasachstan, MD Republik menistan und jeder weitere Staat, der Vertragsstaat des					
	EP	Europäisches Patent: AT Österreich, BE Belgien, CH und LI Schweiz und Liechtenstein, DE Deutschland, DK Dänemark, ES Spanien, FI Finland, FR Frankreich, GB Vereinigtes Königreich, GR Griechenland, IE Irland, IT Italien, LU Luxemburg, MC Monaco, NL Niederlande, PT Portugal, SE Schweden und jeder weitere Staat, der								
	OA	Vertragsstaat des Europäischen Patentübereinkommens und des PCT ist  OAPI-Patent: BF Burkina Faso, BJ Benin, CF Zentralafrikanische Republik, CG Kongo, CI Côte d'Ivoire, CM Kamerun, GA Gabun, GN Guinea, ML Mali, MR Mauretanien, NE Niger, SN Senegal, TD Tschad, TG Togo und jeder weitere Staat, der Vertragsstaat der OAPI und des PCT ist (falls eine andere Schutzrechtsart oder ein sonstiges Verfahren gewünscht wird, bitte auf der gepunkteten Linie angeben)								
Notice	nalas I			-						
Nation		Patent (falls eine andere Schutzrechtsart oder ein sonstiges Ve	erjanre 	•						
	$\mathbf{AL}$	Albanien		LU	Luxemburg					
	AM	Armenien		LV	Lettland					
	AT	Österreich		MD	Republik Moldau					
	$\mathbf{AU}$	Australien		MG	Madagaskar					
	$\mathbf{AZ}$	Aserbaidschan		MK	Die ehemalige jugoslawische Republik					
	BA	Bosnien-Herzegowina			Mazedonien					
	BB	Barbados	$\lceil \cdot \rceil$	MN	Mongolei					
	BG	Bulgarien	Ħ	MW	Malawi					
一	BR	Brasilien	一	MX	Mexiko					
Ħ		Belarus	Ħ		Norwegen					
一		Kanada	吕		Neuseeland					
님		und LI Schweiz und Liechtenstein	님		Polen					
片			님							
님		China	님		Portugal					
닏		Kuba	Ц		Rumänien					
Ш		Tschechische Republik	Ц	RU	Russische Föderation					
	DE	Deutschland		SD	Sudan					
	DK	Dänemark		SE	Schweden					
	EE	Estland		SG	Singapur					
	ES	Spanien		SI	Slowenien					
	FΙ	Finnland		SK	Slowakei					
$\Box$	GB	Vereinigtes Königreich	百	TJ	Tadschikistan					
一	GE	Georgien	Ħ		Turkmenistan					
늄	HU	Ungarn	$\exists$		Türkei					
뭄	IL	Israel	님	TT	Trinidad und Tobago					
뭄			H		,					
님	IS	Island	님		Ukraine					
님	JP	Japan	$\vdash$		Uganda					
닏	KE	Kenia	Ш	US	Vereinigte Staaten von Amerika					
旦		Kirgisistan								
Ш	KP	Demokratische Volksrepublik Korea	Ш	UZ	Usbekistan					
				VN	Vietnam					
	KR	Republik Korea	Väat	ahan t	Sir dia Bastimmuna van Staaton (für dia Zwaaka ainas					
	KZ	Kasachstan			für die Bestimmung von Staaten (für die Zwecke eines Patents), die dem PCT nach der Veröffentlichung					
	LC	Saint Lucia			mblatts beigetreten sind:					
	LK	Sri Lanka								
Ħ	LR	Liberia	_							
一	LS	Lesotho			C					
一		Litauen								
			니· —							
					nach Regel 4.9 Absatz b auch alle anderen nach dem					
PCT	'zuläs	sigen Bestimmungen vor mit Ausnahme der Bestimm	iung v	on.						
					orbehalt einer Bestätigung stehen und jede zusätzliche					
					th bestätigt wurde, nach Ablauf dieser Frist als vom inreichung einer Mitteilung, in der diese Bestimmung angegeben wird,					
und d	ie Zahlı	ung der Bestimmungs- und der Bestätigungsgebühr. Die Bestätigur	1g muß	beim A	nmeldeamt innerhalb der Frist von 15 Monaten eingehen.)					

Recua	Recuadro Nº V DESIGNACION DE ESTADOS									
3.	A continuación se hacen las designaciones siguientes en virtud de la Regla 4.9.a) (márquense las casillas adecuadas; debe marcarse por lo menos una):  Patente regional									
	AP		Patente ARIPO: KE Kenya, LS Lesotho, MW Malawi, SD Sudán, SZ Swazilandia, UG Uganda, y cualquier otro Estado contratante del Protocolo de Harare y del PCT							
	EA	Patente Euroasiática: AM Armenia, AZ Azerbaiyán, BY Belarús, KG Kirguistán, KZ Kazakstán, MD República de Moldova, RU Federación de Rusia, TJ Tayikistán, TM Turkmenistán, y cualquier otro Estado contratante del Convenio sobre la Patente Euroasiática y del PCT								
	EP	Patente Europea: AT Austria, BE Bélgica, CH y LI Suiza y Liechtenstein, DE Alemania, DK Dinamarca, ES España, FI Finlandia, FR Francia, GB Reino Unido, GR Grecia, IE Irlanda, IT Italia, LU Luxemburgo, MC Mónaco, NL Países Bajos, PT Portugal, SE Suecia, y cualquier otro Estado contratante del Convenio sobre la Patente Europea y del PCT								
	OA Patente OAPI: BF Burkina Faso, BJ Benin, CF República Centroafricana, CG Congo, CI Côte d'Ivoire, CM Camerún, GA Gabón, GN Guinea, ML Malí, MR Mauritania, NE Níger, SN Senegal, TD Chad, TG Togo y cualquier otro Estado que sea Estado miembro de la OAPI y que sea un Estado contratante del PCT (si se desea.otra forma de protección o de tramitación, especifíquese en la línea de puntos)									
Patent	te nac	cional (si se desea otra forma de protección o de tramitación, e	specij	fíquese	en la línea de puntos):					
П	$\mathbf{AL}$	Albania	П	LU	Luxemburgo					
ΙĦ	AM	Armenia	Ħ		Letonia					
	AT	Austria	Ħ	MD	República de Moldova					
	$\mathbf{AU}$	Australia		MG	Madagascar					
	AZ	Azerbaiyán	百	MK	Ex República Yugoslava de Macedonia					
	BA	Bosnia y Herzegovina								
	BB	Barbados	П	MN	Mongolia					
	BG	Bulgaria	$\overline{\Box}$	MW	Malawi					
	BR	Brasil		MX	México					
	$\mathbf{B}\mathbf{Y}$	Belarús		NO	Noruega					
	CA	Canadá		NZ	Nueva Zelandia					
	СН	y LI Suiza y Liechtenstein		$\mathbf{PL}$	Polonia					
! □	$\mathbf{C}\mathbf{N}$	China		PT	Portugal					
	$\mathbf{C}\mathbf{U}$	Cuba		RO	Rumania					
	$\mathbf{CZ}$	República Checa		RU	Federación de Rusia					
	DE	Alemania		SD	Sudán					
	DK	Dinamarca		SE	Suecia					
	EE	Estonia		$\mathbf{SG}$	Singapur					
	ES	España		SI	Eslovenia					
	FI	Finlandia		SK	Eslovaquia					
	GB	Reino Unido		TJ	Tayikistán					
	GE	Georgia		TM	Turkmenistán					
	HU	Hungría		TR	Turquía					
	$\mathbf{IL}$	Israel		TT	Trinidad y Tabago					
	IS	Islandia		UA	Ucrania					
	JP	Japón			Uganda					
	KE	Kenya		US	Estados Unidos de América					
		Kirguistán								
	KP	República Popular Democrática de Corea		$\mathbf{U}\mathbf{Z}$	Uzbekistán					
				VN	Viet Nam					
	KR	República de Corea	Con	1100 +	recorredos para decignar Estados (o los finos de una					
		Kazakstán			eservadas para designar Estados (a los fines de una cional) que han pasado a formar parte del PCT después					
		Santa Lucía	de la	a publ	licación de la presente hoja:					
		Sri Lanka								
		Liberia								
		Lesotho								
	LT	Lituania								
Ade	Además de las designaciones arriba efectuadas, el solicitante efectuará también, en virtud de la Regla 4.9.b), todas las									
desi	designaciones que estén permitidas con arreglo al PCT, salvo la designación o designaciones de									
	El solicitante declara que esas designaciones adicionales están sujetas a confirmación y que cualquier designación que no se confirme antes de que expiren los 15 meses a partir de la fecha prioritaria se considerará retirada por el solicitante al expirar dicho									
	plazo. (La confirmación de una designación consiste en la presentación de un aviso en el que se especifique dicha designación, así como el pago de las tasas de designación y confirmación. La confirmación deberá llegar a la Oficina receptora dentro de ese plazo de 15 meses.)									