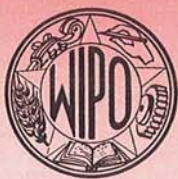


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NEWSLETTER

MARCH 1996

No. 03/1996

NEW PCT CONTRACTING STATE

Israel (country code: IL)

Israel deposited its instrument of ratification of the PCT on 1 March 1996, and will become bound by the PCT on 1 June 1996. Therefore, in any international application filed on or after 1 June 1996, Israel may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 1 June 1996, nationals and residents of Israel will be entitled to file international applications under the PCT.

(See below for information concerning Israel's accession to the Budapest Treaty.)

250,000 INTERNATIONAL APPLICATIONS FILED UNDER THE PCT

In early February 1996, the International Bureau received the 250,000th international application filed under the PCT. The applicant is from the United States of America and the international application concerns an invention in the field of environmental technology.

The 100,000th international application under the PCT was received in February 1991, 13 years after the starting of PCT operations in 1978. Due to the accelerated growth of the PCT system, the milestone of 250,000 international applications has been achieved only five years since the filing of the

100,000th international application.

The 250,000 international applications received so far are equivalent to approximately 6.5 million national applications in PCT Contracting States.

ACCESSION TO THE BUDAPEST TREATY

On 26 January 1996, Israel deposited its instrument of accession to the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure. The Budapest Treaty will enter into force with respect to Israel on 26 April 1996.

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<i>Tear-out sheet: provisional sheet for the PCT Applicant's Guide, Vol. I/A, Annex A</i>	
<i>Insert: information on PCT presentations at the International Exhibition of Inventions of Geneva</i>	

PCT INFORMATION UPDATE**Germany (fees)**

The amount of the following fee, payable to the German Patent Office as receiving Office, has changed:

Fee for priority document: DEM 35

(Updating of *PCT Applicant's Guide*, Vol I/B, Annex C (DE))

Italy (institutions with which deposits of microorganisms may be made)

On 29 February 1996, the Advanced Biotechnology Center (ABC) in Italy acquired the status of international depository authority under the Budapest Treaty.

(Updating of *PCT Applicant's Guide*, Vol I/B, Annex L)

Spain (fees)

The amounts of the following national fee, payable to the Spanish Patent and Trademark Office as designated Office, have changed:

For patent:

Filing fee: ESP 8,770

For utility model:

Filing fee: ESP 8,770

(Updating of *PCT Applicant's Guide*, Vol II/A, National Chapter, Summary (ES))

Search fee (Spanish Patent and Trademark Office)

As from 5 March 1996, there will be a change in the amount payable in ESP and the equivalent amounts in CHF and USD, for an international search carried out by the Spanish Patent and Trademark Office, as indicated in Table I(b).

As from 5 March 1996, there will also be a change in the following fee, payable to that Office as International Searching Authority:

Additional fee: ESP 64,275

(Updating of *PCT Applicant's Guide*, Vol I/B, Annex D (ES))

PRACTICAL ADVICE***Election of a State whose precautionary designation is confirmed after the filing of a demand***

Q: On 4 January 1996, I filed an international application claiming the priority of an earlier national application that was filed on 5 January 1995. I subsequently filed a demand for international preliminary examination on 20 February 1996. After filing the demand, it came to my attention that, since I had used an old version of the PCT request form when filing the international application, I had inadvertently omitted to make a specific designation of Turkey, which became a PCT Contracting State on 1 January 1996. Consequently, I confirmed the precautionary designation of Turkey under PCT Rule 4.9(c) and paid the relevant fees to the receiving Office on 26 February 1996, within the prescribed time limit. Since I had already filed the demand for international preliminary examination before confirming the precautionary designation, do I now have to make a later election of Turkey, or is Turkey automatically considered elected due to the pre-marked box on the demand form electing "all eligible States?"

*A: Although Turkey was not specifically designated at the time of filing the international application, it was nevertheless designated subject to confirmation (see PCT Rule 4.9(b)). Since the demand for international preliminary examination was filed **before** the date on which precautionary designations would be considered withdrawn if not confirmed (15 months from the priority date, that is, 5 April 1996), Turkey is automatically included under the election of "all eligible States." That expression means all States bound by Chapter II which are designated in the application (see PCT Rule 53.7), there being no distinction for this purpose between specific and precautionary designations. No later election of Turkey is therefore necessary in the particular circumstances you describe, and the time limit for entry into the national phase in Turkey is extended to 30 months from the priority date because Turkey was elected within 19 months from the priority date. If you have already received Form PCT/IB/332*

(Information concerning elected Offices notified of their election) from the International Bureau, a corrected version of that form, including the mention of Turkey, will be sent to you.

Entry into the national phase in PCT Contracting States not bound by Chapter II

Q: I have filed an international application in which I designated all States party to the European Patent Convention for a European patent (EP), and I now intend to elect those States in a demand for international preliminary examination. However, Greece and Spain are not bound by Chapter II of the PCT. What effect does that have upon the time limit for entry into the European regional phase before the European Patent Office (EPO) in respect of those two States? I have also designated Spain for a national patent and it is unclear to me what the time limit for entry into the national phase is with respect to the designation of Spain for a national patent as opposed to the designation of Spain for a European patent.

A: In respect of the designation of Spain for a **national** patent, since that State is not bound by Chapter II of the PCT, and therefore cannot be elected, the requirements for entry into the national phase before the Spanish Patent and Trademark Office must be complied with within 20 months from the priority date (that is, within the time limit provided for under PCT Article 22(1)), whether or not the applicant files a demand for international preliminary examination.

However, concerning the designations of Spain and Greece for a European patent, although those States cannot be elected, the time limit for entry into the European **regional** phase is 31 months from the priority date, provided that at least one other State which is party to the EPC and is bound by Chapter II of the PCT has been designated for a European patent and has been elected before the expiration of 19 months from the priority date (see Article 156 of the European Patent Convention (EPC) and PCT Article 39(1) (b) and also the *Official Journal of the EPO* (OJ/EPO), No. 12/1992, page 19, first paragraph). This follows from the unitary character of European patent applications (see EPC Article 118). Note

that, since Spain and Greece cannot be elected, Form PCT/IB/332 (Information concerning elected Offices notified of their election), which is sent by the International Bureau to the applicant, will not mention those States under the item "EP."

PRIORITY CLAIMS BASED ON US PROVISIONAL APPLICATIONS IN RESPECT OF EURO-PCT APPLICATIONS

Since 8 June 1995, it has been possible to file provisional patent applications with the United States Patent and Trademark Office (USPTO). Such provisional patent applications, which are considered abandoned 12 months after filing, need only contain a description of the invention and the drawings necessary for its understanding in order to be accorded a filing date. With respect to the recognition of the priority claims made in international applications that are based on such US provisional applications, the European Patent Office (EPO) has published the following in the *Official Journal of the EPO* (OJ/EPO), No. 1-2/1996, page 81 :

" ... The provisional application cannot as such lead to the grant of a patent as it is not examined and is deemed abandoned 12 months after filing. It does however give rise to a **priority date for a subsequent, normal national application** for the invention which is filed within 12 months of the date of filing of the provisional application with a reference to the provisional application (USC 35, section 119(e)).

"Since the provisional application meets in substantive terms the requirements the EPC places on a duly filed national application in order to establish priority and because the subsequent fate of this filing is immaterial, the EPO, while acknowledging the independent decision making competence of the EPO boards of appeal and the courts of the contracting states, recognises the **provisional application for patent as giving rise to a right of priority within the meaning of Article 87(1) EPC.**"

(Emphasis in original)

For the comments of the International Bureau on the implications of US provisional patent applications serving as a basis for priority claims in international applications, see *PCT Newsletter* No. 12/1995, page 4.

PCT SEMINAR CALENDAR			
Dates Location	Language of seminar	Nature of seminar WIPO speakers (and others where known)	Organizer and contact numbers
25 March 1996 Istanbul (TR)	English	Basic PCT seminar for patent attorneys and industry WIPO speakers: Ms. Boutillon and Mr. Bryan	Turkish Patent Institute (Ms. Hülya Çaylı) Tel: (90-312) 419 02 30 Fax: (90-312) 419 02 48
27 March 1996 Ankara (TR)	English	Basic PCT seminar for patent attorneys and industry WIPO speakers: Ms. Boutillon and Mr. Bryan	Turkish Patent Institute (Ms. Hülya Çaylı) Tel: (90-312) 419 02 30 Fax: (90-312) 419 02 48
3 April 1996 (originally scheduled for 4 April 1996) Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33-78) 33 07 08 Fax: (33-78) 33 58 96
16-17 April 1996 Munich (DE)	German	Basic PCT seminar for patent administrators WIPO speakers: Mr. Wolff and Mrs. Coeckelbergs	Forum Institut für Management GmbH Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
17-18 April 1996 Boston (US)	English	Advanced PCT seminar for patent attorneys and patent administrators WIPO speakers: Ms. Boutillon and Mr. Maassel	Boston Patent Law Association (Mr. Peter Corless) Tel: (1-617) 523 34 00 Fax: (1-617) 523 73 18
18-19 April 1996 Munich (DE)	German	Basic PCT seminar for patent attorneys WIPO speakers: Mr. Wolff and Mr. Matthes	Forum Institut für Management GmbH Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
19-20 April 1996 Concord, New Hampshire (US)	English	Basic PCT seminar for patent attorneys and patent administrators WIPO speakers: Ms. Boutillon and Mr. Maassel	Franklin Pierce Law Center (Mr. Karl Jorda) Tel: (1-603) 228 15 41 (extension 109) Fax: (1-603) 224 33 42
22-24 April 1996 Naples, Florida (US)	English	PCT seminar for patent attorneys "Basic Patent Cooperation Treaty Practice" WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel Other speaker: Mr. Welch (The Upjohn Company)	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
25-27 April 1996 Naples, Florida (US)	English	PCT seminar for patent attorneys "Advanced Patent Cooperation Treaty Practice" WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel Other speakers: Mr. Philpott (EPO) and Mr. Welch (The Upjohn Company)	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
26 April 1996 Geneva (CH)	English French German	PCT presentations during the International Exhibition of Inventions of Geneva WIPO speakers: Mr. Bryan, Mr. Wolff and Mr. Baron	Salon International des Inventions de Genève and WIPO [see insert in this issue for details]
28-29 May 1996 Singapore (SG)	English	PCT seminar for patent attorneys WIPO speakers: Mr. Wang and Ms. Boutillon	Singapore Institute of Standards and Industrial Research Tel: (65) 772 95 48 Fax: (65) 777 17 65
11-12 June 1996 Paris (FR)	French	Basic PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon Other speakers: Mr. Haïcour (Elf-Atochem), Mr. Joly (Cabinet Beau de Loménie), Mr. Philpott (EPO), Mr. Vérone (INPI)	EUROFORUM (Mrs. Françoise Parolari) Tel: (33-1) 44 88 14 88 Fax: (33-1) 44 88 14 99
13-14 June 1996 London (GB)	English	Basic PCT seminar for patent administrators WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 364 24

PCT FEE TABLES

The following Tables show the amounts (including currencies – see the key to currency abbreviations following Table II) of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. **A 75% reduction in the basic fee, the supplement per sheet over 30, the designation and confirmation fees and the handling fee is available to applicants from certain States; see footnote 2 for details.** Footnotes to all Tables follow Table II.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 March 1996, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2} (CHF 762)	Supplement per sheet over 30 ^{1,2} (CHF 15)	Designation fee ^{1,2,3} (CHF 185)	Competent ISA(s) ⁴
AL	ALL 9,000	CHF 762	15	185	EP
AM	AMD ⁵ —	USD 677	13	164	EP RU
AP	USD 70	USD 677	13	164	AT EP SE
AT	ATS 700	ATS 6,730	133	1,630	EP
AU	AUD 100	AUD 906	18	220	AU
AZ	AZM ⁵ —	USD 677	13	164	EP RU
BE	BEF 1,500	BEF 19,700	388	4,780	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRR 21,670	BRR equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁵ —	USD 677	13	164	EP RU
CA	CAD 200	CAD 914	18	222	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,500	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 955	19	232	EP
DK	DKK 1,500	DKK 3,740	74	910	EP SE
EA	RUR equiv of USD 100	USD 677	13	164	EP RU
EE	EEK 1,500	EEK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
EP ⁶	DEM 200	DEM 955	19	232	EP
ES	ESP 8,475	ESP 83,500	1,640	20,300	EP ES
FI	FIM 700	FIM 2,900	55	700	EP SE
FR	FRF 400	FRF 3,300	65	800	EP
GB	GBP 55	GBP 430	8	104	EP
GE	USD ⁵ —	USD 677	13	164	EP RU
GR	GRD 34,000	GRD 162,000	3,190	39,400	EP
HU	HUF ⁷ 10,000	HUF equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
IB	CHF 300 or USD 200	CHF 762 or USD 677	15 13	185 164	See footnote 8
IE	IEP 60	IEP 425	8	103	EP
IS	ISK 5,500	ISK 43,100	848	10,500	EP SE
IT	ITL 60,000	ITL 1,090,000	21,500	265,000	EP
JP	JPY 18,000	JPY 67,400	1,300	16,400	EP JP
KE	USD (or KES equiv) 30	USD 677	13	164	AT AU CN EP SE
KG	KGS equiv of USD 60	USD 677	13	164	EP RU
KP	KPW 50	KPW equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
KR	KRW 40,000	KRW 544,000	10,700	132,000	AT AU JP
KZ	KZT ⁵ —	USD 677	13	164	EP RU
LR	USD 45	USD 677	13	164	AT AU CN EP SE
LS	LSM ⁵ —	LSM equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP
LT	LTL equiv of USD 80	USD 677	13	164	EP RU
LU	LUF/BEF 1,000	LUF/BEF 19,700	388	4,780	EP

[continued on page 6]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]

(as at 1 March 1996, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2} (CHF 762)	Supplement per sheet over 30 ^{1,2} (CHF 15)	Designation fee ^{1,2,3} (CHF 185)	Competent ISA(s) ⁴
LV	LVL 40	USD 677	13	164	EP RU
MC	FRF 300	FRF 3,300	65	800	EP
MD	MDL ⁵ 54	USD 677	13	164	EP RU
MK	MKD 2,750	MKD equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
MN	None	MNT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK 8	MWK 10,100	199	2,460	EP
MX	MXP equiv of USD200	MXP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP ES SE US
NL	NLG 110	NLG 1,070	21	260	EP
NO	NOK 500	NOK 4,250	84	1,030	EP SE
NZ	NZD 155	NZD 1,020	20	248	AU EP
PL	PLZ 200	PLZ equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,500	PTE 101,000	1,990	24,500	EP
RO	ROL 10,000	CHF 762	15	185	AT EP RU
RU	RUR 294,000	USD 677	13	164	EP RU
SD	SDP 50	SDP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 600	SEK 4,770	94	1,160	EP SE
SG	SGD 120	SGD 971	19	236	AT AU EP
SI	SIT <i>See footnote 9</i>	SIT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK 1,600	SKK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR ⁵ –	USD 677	13	164	EP RU
TM	USD ⁵ –	USD 677	13	164	EP RU
TR	CHF 100	CHF 762	15	185	CN EP RU
TT	TTD 750	USD 677	13	164	AT EP SE US
UA	UAK 21,000,000	USD 677	13	164	EP RU
US	USD 220	USD 677	13	164	EP US
UZ	USD ⁵ –	USD 677	13	164	EP RU
VN	USD 50	VND equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Table I(b) – SEARCH FEES

(as at 1 March 1996, unless otherwise indicated)

ISA	Search fee ¹											
AT	ATS	2,200	CHF	250	KRW	177,000	SGD	317	USD	225		
AU	AUD	800	CHF	672	KRW	471,000	NZD	905	SGD	900	USD	592
CN	CNY	800	CHF	110	USD	100						
EP ¹⁰	DEM	2,400	CHF	2,040	FRF	8,730	ISK	108,100	MWK	25,440	PTE	258,800
	ATS	17,140	DKK	9,840	GBP	1,104	ITL	2,963,000	NLG	2,740	SEK	12,700
	BEF	50,300	ESP	225,800	GRD	400,000	JPY	169,000	NOK	10,660	SGD	2,440
	CAD	2,300	FIM	7,500	IEP	1,103	LUF	50,300	NZD	2,557	USD	1,700
ES	ESP	62,100	CHF	565	USD	500						
		<i>from 05.03.96:</i>	<i>ESP</i>	<i>64,275</i>	<i>CHF</i>	<i>613</i>	<i>USD</i>	<i>526</i>				
JP	JPY	77,000	CHF	870	KRW	622,000	USD	775				
RU ¹⁰	RUR	840,000	CHF	225	USD	200						
SE	SEK	2,600 ¹¹	3,600 ¹²	4,200 ¹³	FIM	1,540 ¹¹	2,130 ¹²	2,480 ¹³	USD	347 ¹¹	480 ¹²	560 ¹³
	CHF	410 ¹¹	570 ¹²	660 ¹³	ISK	22,000 ¹¹	31,000 ¹²	36,000 ¹³				
	DKK	2,000 ¹¹	2,710 ¹²	3,160 ¹³	NOK	2,240 ¹¹	3,100 ¹²	3,620 ¹³				
US	USD	660	(430 ¹⁴)		CHF	740	(480 ¹⁴)					

Table II – PRELIMINARY EXAMINATION FEES

(as at 1 March 1996, unless otherwise indicated)

IEPA	Preliminary examination fee ¹⁵			Handling fee ^{2,15} (CHF 233)					
AT	ATS	2,200		ATS	2,060				
AU	AUD	450		AUD	277				
CN	CNY	800		CNY equiv of CHF 233					
EP ¹⁰	DEM	3,000	FIM 9,380	LUF	62,900	DEM 292	FIM 887	LUF	6,020
	ATS	21,430	FRF 10,910	NLG	3,430	ATS 2,060	FRF 1,000	NLG	328
	BEF	62,900	GBP 1,380	PTE	323,500	BEF 6,020	GBP 131	PTE	30,900
	CHF	2,550	IEP 1,379	SEK	15,870	CHF 233	IEP 130	SEK	1,460
	DKK	12,300	ITL 3,704,000			DKK 1,140	ITL 333,000		
JP	JPY	28,000		JPY	20,600				
RU ¹⁰	RUR	1,260,000	USD 300	USD	207				
SE	SEK	3,200		SEK	1,460				
US	USD	470	(710 ¹⁶)	USD	207				

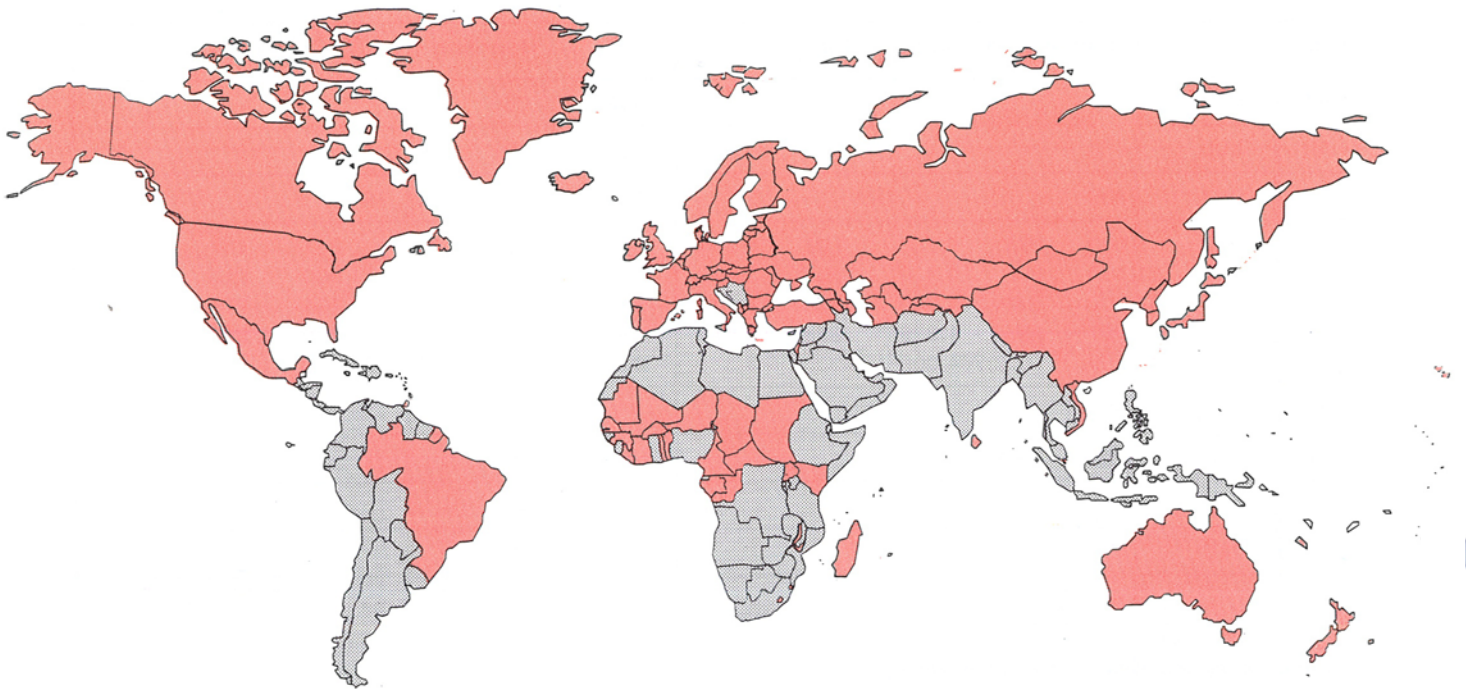
Key to currency abbreviations for all fee tables:

ALL Lek	DEM Mark	ITL Italian Lira	MDL Moldovan Leu	RUR Rouble
AMD Dram	DKK Danish Krone	JPY Yen	MKD Denar	SDP Sudanese Pound
ATS Schilling	EEK Estonian Kroon	KES Kenyan Shilling	MNT Tugrik	SEK Swedish Krona
AUD Australian Dollar	ESP Peseta	KGS Som	MWK Kwacha	SGD Singapore Dollar
AZM Manat	FIM Markka	KPW Won	MXP Mexican Peso	SIT Tolar
BEF Belgian Franc	FRF French Franc	KRW Won	NLG Guilder	SKK Slovak Koruna
BGL Lev	GBP Pound Sterling	KZT Tenge	NOK Norwegian Krone	TTD Trinidad and Tobago Dollar
BRR Real	GRD Drachma	LSM Maloti	NZD New Zealand Dollar	
CAD Canadian Dollar	HUF Forint	LTL Lita	PLZ Zloty	UAK Karbovanets
CHF Swiss Franc	IEP Irish Pound	LUF Luxembourg Franc	PTE Escudo	USD US Dollar
CNY Yuan Renminbi	ISK Icelandic Kronur	LVL Lat	ROL Romanian Leu	VND Dong
CZK Czech Koruna				

Footnotes for all fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Armenia, Azerbaijan, Belarus, Benin, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Côte d'Ivoire, Czech Republic, Democratic People's Republic of Korea, Estonia, Gabon, Georgia, Guinea, Hungary, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Senegal, Slovakia, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, Uzbekistan or Viet Nam. For further details see *PCT Newsletter* No. 10/1995, cover page, and No. 11/1995, page 6.
- 3 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 6 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FIM, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE and SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.
- 7 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 8 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of; or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- 9 5% of the total value of the basic fee, supplement per sheet over 30, designation fee and search fee.
- 10 Nationals of developing countries and (in the case of the EPO) countries in transition ("reform States") may be eligible for fee reductions. For details, including the amount of the fee reduction, the countries concerned and applicable conditions, see *PCT Applicant's Guide*, Vol. I/B, Annex D (EP) and (RU) and Annex E (EP) and (RU).
- 11 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.
- 12 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.
- 13 In all cases where footnotes 11 and 12 do not apply.
- 14 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.
- 15 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 16 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (84 on 1 March 1996)



AL Albania ¹	CZ Czech Republic	KE Kenya (AP)	ML Mali (OA) ²	SZ Swaziland (AP) ²
AM Armenia (EA)	DE Germany (EP)	KG Kyrgyzstan (EA)	MN Mongolia	TD Chad (OA) ²
AT Austria (EP)	DK Denmark (EP)	KP Democratic	MR Mauritania (OA) ²	TG Togo (OA) ²
AU Australia	EE Estonia	People's Republic	MW Malawi (AP)	TJ Tajikistan (EA)
AZ Azerbaijan (EA)	ES Spain (EP) ³	of Korea	MX Mexico	TM Turkmenistan (EA)
BB Barbados	FI Finland (EP)	KR Republic of Korea	NE Niger (OA) ²	TR Turkey
BE Belgium (EP) ²	FR France (EP) ²	KZ Kazakhstan (EA)	NL Netherlands (EP) ²	TT Trinidad and
BF Burkina Faso (OA) ²	GA Gabon (OA) ²	LI Liechtenstein (EP)	NO Norway	Tobago
BG Bulgaria	GB United Kingdom (EP)	LK Sri Lanka	NZ New Zealand	UA Ukraine
BJ Benin (OA) ²	GE Georgia	LR Liberia	PL Poland	UG Uganda (AP)
BR Brazil	GN Guinea (OA) ²	LS Lesotho (AP)	PT Portugal (EP)	US United States of
BY Belarus (EA)	GR Greece (EP) ^{2, 3}	LT Lithuania ¹	RO Romania	America
CA Canada	HU Hungary	LU Luxembourg (EP)	RU Russian Federa-	UZ Uzbekistan
CF Central African	IE Ireland (EP) ²	LV Latvia ¹	tion (EA)	VN Viet Nam
Republic (OA) ²	IL Israel (from	MC Monaco (EP) ²	SD Sudan (AP)	
CG Congo (OA) ²	1 June 1996)	MD Republic of	SE Sweden (EP)	
CH Switzerland (EP)	IS Iceland	Moldova (EA)	SG Singapore	
CI Côte d'Ivoire (OA) ²	IT Italy (EP) ²	MG Madagascar	SI Slovenia ¹	
CM Cameroon (OA) ²	JP Japan	MK The former	SK Slovakia	
CN China		Yugoslav Republic	SN Senegal (OA) ²	
		of Macedonia		

- 1 Extension of European patent possible.
- 2 May not be designated for a national patent (the national route has been closed).
- 3 Not bound by Chapter II.

Where a State is a member of a regional patent treaty, the two-letter code for the regional patent concerned is also indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

Applicants should always use the latest versions of the request and demand forms. The latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) are dated January 1996. The forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Vol. I/B. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from receiving Offices, International Preliminary Examining Authorities or the International Bureau.

A

Contracting States of the Patent Cooperation Treaty (PCT)

A

Name of State followed by country code	Date of entry into force of the PCT in respect of the State	Name of State followed by country code	Date of entry into force of the PCT in respect of the State
Albania AL	4 October 1995	Liechtenstein LI	19 March 1980
Armenia ³ AM	25 December 1991	Lithuania LT	5 July 1994
Australia AU	31 March 1980	Luxembourg LU	30 April 1978
Austria AT	23 April 1979	Madagascar MG	24 January 1978
Azerbaijan AZ	25 December 1995	Malawi MW	24 January 1978
Barbados BB	12 March 1985	Mali ML	19 October 1984
Belarus ³ BY	25 December 1991	Mauritania MR	13 April 1983
Belgium BE	14 December 1981	Mexico MX	1 January 1995
Benin BJ	26 February 1987	Monaco MC	22 June 1979
Brazil BR	9 April 1978	Mongolia MN	27 May 1991
Bulgaria BG	21 May 1984	Netherlands ^{3,5} NL	10 July 1979
Burkina Faso BF	21 March 1989	New Zealand NZ	1 December 1992
Cameroon CM	24 January 1978	Niger NE	21 March 1993
Canada CA	2 January 1990	Norway ² NO	1 January 1980
Central African Republic CF	24 January 1978	Poland PL	25 December 1990
Chad TD	24 January 1978	Portugal PT	24 November 1992
China CN	1 January 1994	Republic of Korea KR	10 August 1984
Congo CG	24 January 1978	Republic of Moldova ³ MD	25 December 1991
Côte d'Ivoire CI	30 April 1991	Romania ³ RO	23 July 1979
Czech Republic CZ	1 January 1993	Russian Federation ³ RU	29 March 1978
Democratic People's Republic of Korea KP	8 July 1980	Senegal SN	24 January 1978
Denmark DK	1 December 1978	Singapore SG	23 February 1995
Estonia EE	24 August 1994	Slovakia SK	1 January 1993
Finland ² FI	1 October 1980	Slovenia SI	1 March 1994
France ^{3,4} FR	25 February 1978	Spain ¹ ES	16 November 1989
Gabon GA	24 January 1978	Sri Lanka LK	26 February 1982
Georgia ³ GE	25 December 1991	Sudan SD	16 April 1984
Germany DE	24 January 1978	Swaziland SZ	20 September 1994
Greece ¹ GR	9 October 1990	Sweden ² SE	17 May 1978
Guinea GN	27 May 1991	Switzerland CH	24 January 1978
Hungary ³ HU	27 June 1980	Tajikistan ³ TJ	25 December 1991
Iceland IS	23 March 1995	The former Yugoslav Republic of Macedonia MK	10 August 1995
Ireland IE	1 August 1992	Togo TG	24 January 1978
Israel IL	1 June 1996	Trinidad and Tobago TT	10 March 1994
Italy IT	28 March 1985	Turkey TR	1 January 1996
Japan JP	1 October 1978	Turkmenistan ³ TM	25 December 1991
Kazakhstan ³ KZ	25 December 1991	Uganda UG	9 February 1995
Kenya KE	8 June 1994	Ukraine ³ UA	25 December 1991
Kyrgyzstan ³ KG	25 December 1991	United Kingdom ⁶ GB	24 January 1978
Latvia LV	7 September 1993	United States of America ^{7,8} US	24 January 1978
Lesotho LS	21 October 1995	Uzbekistan ³ UZ	25 December 1991
Liberia LR	27 August 1994	Viet Nam VN	10 March 1993

¹ Not bound by Chapter II of the PCT (declaration under Article 64(1)(a)).

² With the declaration provided for in Article 64(2)(a)(ii).

³ With the declaration provided for in Article 64(5).

⁴ Including all Overseas Departments and Territories.

⁵ Ratification for the Kingdom in Europe, Aruba and the Netherlands Antilles.

⁶ Extends to the territory of Hong Kong and to the Isle of Man.

⁷ With the declarations provided for in Articles 64(3)(a) and 64(4)(a).

⁸ Extends to all areas for which the United States of America has international responsibility.



The INTERNATIONAL EXHIBITION OF INVENTIONS OF GENEVA and the WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO) are pleased to invite you to a presentation on the Patent Cooperation Treaty (PCT)

Le SALON INTERNATIONAL DES INVENTIONS DE GENEVE et l'ORGANISATION MONDIALE DE LA PROPRIETE INTELLECTUELLE (OMPI) sont heureux de vous inviter à une présentation sur le Traité de coopération en matière de brevets (PCT)

Die INTERNATIONALE MESSE FÜR ERFINDUNGEN IN GENF und die WELTORGANISATION FÜR GEISTIGES EIGENTUM laden Sie zu einem Vortrag über den Vertrag über die internationale Zusammenarbeit auf dem Gebiet des Patentwesens (PCT) ein

**on April 26, 1996, at 2 pm at
le 26 avril 1996, à 14 heures, à
am 26. April 1996, um 14h00 im**

PALEXPO

If you would like to attend that presentation, please complete this form, indicating your preferences for the language of the presentation, and return it, either by post or facsimile, to one of the addresses indicated below

Si vous souhaitez assister à cette présentation, veuillez remplir ce formulaire, en indiquant quelles sont vos préférences quant à la langue de présentation, et le retourner, par courrier ou par télécopieur, à l'une des adresses figurant ci-dessous

Wenn Sie diesem Vortrag beiwohnen wollen, dann füllen Sie bitte dieses Formular, unter Angabe Ihrer Sprachauswahl, aus und senden es per Post oder Fax an eine der unten genannten Adressen

**before April 10, 1996
avant le 10 avril 1996
bis spätestens 10. April 1996**

Salon International des Inventions de Genève
8, rue du 31-décembre, CH-1207 Genève
Suisse/Switzerland/Schweiz
Fax: (41-22) 786 00 96

Organisation Mondiale de la Propriété Intellectuelle
34, chemin des Colombettes, CH-1211 Genève 20
Suisse/Switzerland/Schweiz
Fax: (41-22) 740 14 17

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Name/Nom/Name:
Company/Société/Unternehmen:
Address/Adresse/Adresse:
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.....

Choice of language/Choix de la langue/Sprachauswahl	English	Français	Deutsch
1st choice/1ère préférence/1. Wahl	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2nd choice/2ème préférence/2. Wahl	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

/..

THE PATENT COOPERATION TREATY (PCT)

An outline of the world-wide system for simplified multiple filing of patent applications, given by the World Intellectual Property Organization, Geneva

Do you want to protect your invention in several countries? Do you want to evaluate your chances of protecting your invention before major costs in foreign countries are incurred? Do you want to keep open your options to protect your invention while investigating its commercial possibilities abroad? Do you want to obtain strong patents abroad? Then, you should attend this presentation and learn more about the advantages that are offered by filing an "international" patent application under the Patent Cooperation Treaty ("PCT").

You will learn the basic facts about the PCT: the PCT as an international system which enables the filing, with a single patent Office, of a single application in one language, having effect in more than 80 countries; who may file an international application; where to file it; the effect of your international application; the cost of filing; the procedure during the "international phase," including the international search and the (optional) international preliminary examination; the confidential nature of the international application; and the start of the "national phase" procedure before the patent Offices of the countries covered by the application.

LE TRAITE DE COOPERATION EN MATIERE DE BREVETS (PCT)

Les grandes lignes du système mondial de dépôt multiple simplifié de demandes de brevet, présentées par l'Organisation Mondiale de la Propriété Intellectuelle, Genève

Avez-vous l'intention de faire protéger votre invention dans plusieurs pays? Voulez-vous évaluer vos chances de faire protéger votre invention avant d'engager des frais considérables à l'étranger? Voulez-vous conserver vos possibilités de choix quant à la protection de votre invention tout en étudiant ses perspectives commerciales à l'étranger? Voulez-vous obtenir des brevets solides à l'étranger? Si oui, vous devriez assister à cette présentation au cours de laquelle vous obtiendrez plus d'informations sur les avantages qu'offre le dépôt d'une demande "internationale" de brevet selon le Traité de coopération en matière de brevets ("PCT").

Les données essentielles concernant le PCT vous seront exposées: le PCT en tant que système international qui permet le dépôt, auprès d'un seul office de brevets, d'une seule demande dans une seule langue, ayant des effets dans plus de 80 pays; qui peut déposer une demande internationale; où doit-elle être déposée; quels sont les effets de votre demande internationale; le coût du dépôt; la procédure pendant la "phase internationale", y compris la recherche internationale et l'examen préliminaire international (optionnel); la nature confidentielle de la demande internationale; et l'ouverture de la "phase nationale" auprès des offices de brevets des pays visés par la demande.

DER VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS (PCT)

Die Grundzüge des weltweiten Systems zur vereinfachten Anmeldung von Patenten Ein Vortrag der Weltorganisation für geistiges Eigentum, Genf

Wollen Sie Ihre Erfindung in mehreren Ländern schützen lassen? Wollen Sie die Aussichten auf Patentschutz besser beurteilen können bevor die Hauptkosten für Auslandsanmeldungen entstehen? Wollen Sie die Möglichkeit, Ihre Erfindung im Ausland zu schützen, solange offenhalten bis Sie deren wirtschaftliche Erfolgchancen im Ausland besser beurteilen können? Wollen Sie starke Patente im Ausland erhalten? Dann sollten Sie an dem Vortrag der Weltorganisation für geistiges Eigentum über die Grundzüge des Vertrags über die internationale Zusammenarbeit auf dem Gebiet des Patentwesens (PCT) teilnehmen, um die Vorteile, die Ihnen der PCT bietet, kennenzulernen.

Erfahren Sie mehr über die Grundzüge des PCT: über den PCT als ein weltweites System zur vereinfachten Anmeldung von Patenten mit Wirkung in mehr als 80 Staaten, mit nur einer einzigen Patentanmeldung, bei einem einzigen Patentamt, in nur einer Sprache; über das Recht, internationale Anmeldungen zu tätigen; wo internationale Anmeldungen einzureichen sind; über die Wirkung einer internationalen Anmeldung; über die Kosten; über das Verfahren während der sog. "internationalen Phase", einschließlich der internationalen Recherche und der (möglichen) internationalen vorläufigen Prüfung; über die vertrauliche Natur der internationalen Anmeldung; und über den Beginn der Verfahren in der sog. "nationalen Phase" vor den Patentämtern derjenigen Staaten, die von der internationalen Anmeldung erfaßt werden.