

# PCT

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# NEWSLETTER

August 1996

No. 08/1996

## PCT STATISTICS – 1 January to 30 June 1996

During the first six months of 1996, the International Bureau of WIPO received 23,522 international applications filed with PCT receiving Offices worldwide, representing an increase of 22.1% over the same period in 1995.

These international applications had the effect of 635,285 national applications and of 49,994 regional applications, which in turn had the effect of 634,988 applications for patent protection in the Contracting States of the regional patent systems; that is, a total effect of 1,270,273 applications.

The number of demands for international preliminary examination filed under Chapter II during the same period amounted to 16,338, representing an increase of 19.4% over the same period last year.

## PRACTICAL ADVICE

### *Special requirements of designated/elected Offices*

*I am the applicant for a PCT application in respect of which I have decided to enter the national phase in several countries. An agent, who I have appointed to represent me before one of the national Offices concerned, has told me that I am required to furnish a copy of the international preliminary examination report. I did not think that this was necessary. What requirements am I expected to comply with for entry into the national phase? How I can find out which special requirements*

*need to be complied with before any particular Office?*

For entry into the national phase, the applicant must, within the time limit applicable under PCT Article 22 or 39(1)(a), pay the national fee, and furnish, where required, a translation of the international application to the designated/elected Office (DO/EO). A copy of the international application

*[continued on page 2]*

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need only be furnished if it has not already been communicated to the DO/EO (usually done promptly after its international publication, in accordance with PCT Article 20). No DO/EO may refuse entry into the national phase if the above-mentioned requirements have been complied with.

Apart from those requirements, once the processing of the international application has started in a DO/EO, some special requirements may also need to be complied with before that Office (see PCT Article 27 and PCT Rule 51<sup>bis</sup>). The special requirements applicable for each DO/EO are listed in the Summary sheet of the relevant National Chapter of Vol. II of the *PCT Applicant's Guide*. If those special requirements have not been complied with within the time limit applicable under PCT Article 22 or 39(1)(a), the applicant has the opportunity to comply with them, either within a fixed time limit or within a time limit fixed in an invitation issued by the DO/EO to the applicant. The Summary sheets give details of the applicable time limits.

The information contained on the Summary sheets is furnished to the International Bureau by the Offices concerned. Any changes which are notified to the International Bureau are promptly published in the *PCT Gazette* and the *PCT Newsletter* and are included in the six-monthly updates to the *PCT Applicant's Guide*.

The applicant may not be required to comply with any special requirement at the time of entering the national phase unless the imposition of that requirement is permitted under PCT Article 27 and Rule 51<sup>bis</sup>. For example, the applicant may not be required to furnish a copy of the international preliminary examination report (or anything else in the file of the international preliminary examination) or of the priority document (provided that the applicant complied with the requirements of PCT Rule 17.1 during the international phase). The applicant may, however, be required to furnish the DO/EO with a translation of the priority document (see PCT Rule 17.2(a) and *PCT Newsletter* No. 06/1995).

Of course, local agents may ask applicants to provide copies of the international application, the international search report and the international preliminary examination report for the agents' own files, but those

documents will have been or will be communicated by the International Bureau direct to the DO/EOs.

***Patent protection for pharmaceutical or agricultural chemical products in certain States which do not yet provide patent protection for such products – the TRIPS “black box” or “mailbox” provision***

*Q: I understand that it is possible to file a patent application now with a view to obtaining patent protection in the future for pharmaceutical and agricultural chemical products in certain States which are members of the World Trade Organization (WTO) which are to enact legislation to that effect but which do not yet provide patent protection for such products. Is it possible to obtain such future protection on the basis of an international application? If so, do I have to request such protection explicitly in an international application in which such a State is designated?*

A: The Agreement on Trade-Related Aspects of Intellectual Property Rights (the “TRIPS” Agreement) includes (in TRIPS Article 70.8) a so-called “black box” or “mailbox” provision. Under that provision, States which did not provide patent protection for pharmaceutical and agricultural chemical products as of the date of entry into force of the Agreement Establishing the WTO (1 January 1995) are required:

(i) to provide, from that date, a means by which applications for patents for such inventions can be filed;

(ii) to apply to those applications, from the date of application of the TRIPS Agreement by the State concerned, the criteria for patentability as laid down in the TRIPS Agreement as if those criteria were applied on the date of filing in such State or, where priority is available and claimed, the priority date of the application; and

(iii) to provide patent protection, in accordance with the TRIPS Agreement, as from the grant of the patent and for the remainder of the patent term for those applications which meet the criteria for protection laid down in the TRIPS Agreement.

Any such applications will be held for examination until the expiration of the time limit applicable under the transitional provisions of the TRIPS Agreement (TRIPS Article 65). The product may be marketed in the mean-

time, without loss of the right to a patent (TRIPS Article 70.9).

Since an international application has the effect of a regular national application in any designated State as of the international filing date, "black box" protection in respect of claims for an invention in the field of pharmaceutical or agricultural chemical products may be obtained in a State affected by the relevant provisions of the TRIPS Agreement, on the basis of an international application containing such claims and designating that State, provided that the necessary steps are taken to enter the national phase in the said State.

However, at the present time, there is no need to include a statement in the PCT request form when filing the international application to the effect that "black box" protection is sought in one or more designated States; the TRIPS Agreement does not require applicants to explicitly claim the benefits of TRIPS Article 70.8. On the contrary, such a statement made in an international application would be subject to *ex officio* deletion from the request in accordance with the requirements of PCT Rule 4.17.

### **PCT CONTRACTING STATES AND TWO-LETTER CODES**

For the convenience of readers, the list of PCT Contracting States, and notes thereto, printed on the last page of this issue of the *PCT Newsletter*, have been translated into French, German and Spanish and are included as tear-out sheets. Translations into other languages may be included at a later date as they become available.

### **TYPES OF PROTECTION AVAILABLE IN PCT CONTRACTING STATES**

Several States have become bound by the PCT, and certain types of protection other than patents have become available in certain States, since the publication in *PCT Newsletter* No. 07/1995 of a table setting out, for each Contracting State, the type of protection which can be obtained where that State is designated in an international application. An update of that table appears on pages 6 and 7.

### **PCT PUBLICATIONS**

The publications mentioned below can be ordered from the Publications Sales and

Distribution Unit at WIPO at the address indicated on the cover page. The fax number is: (41 - 2 2) 740 18 12.

### **The PCT and Regulations in German and Russian**

The International Bureau has published new editions of the German and Russian texts of the PCT and Regulations, as in force from 1 January 1996. The new editions contain the latest version of the PCT Schedule of Fees, which entered into force on 1 January 1996 (see *PCT Newsletter* No. 02/1996). The new Russian edition also contains a number of changes to the PCT Regulations made since the publication of the previous edition.

The WIPO publication number should be indicated in orders for the PCT and Regulations: No. 274 (G) for the German version and No. 274 (R) for the Russian version. The price of the publication is 18 Swiss francs by surface mail, 24 Swiss francs by airmail in Europe and 31 Swiss francs by airmail outside Europe. For residents of the United States of America, the price is 15 US dollars by surface mail and 26 US dollars by airmail.

### **General Information on Contracting States, National and Regional Offices and International Authorities**

Consolidated general information relating to PCT Contracting States, national and regional Offices, and International Authorities under the PCT was published in a special issue of the *PCT Gazette* on 18 July 1996 (No. 32/1996). It replaces the previous special issue of the *PCT Gazette* containing consolidated general information, published on 4 January 1996 (No. 01/1996).

The price per special issue is 18 Swiss francs (or by airmail: 24 Swiss francs in Europe and 31 Swiss francs outside Europe) or, for residents of the United States of America, 15 US dollars (or by airmail: 26 US dollars) .

### **CHANGE IN CRITERIA FOR DETERMINING ENTITLEMENT TO EPO FEE REDUCTIONS**

As from 1 July 1996, pursuant to a decision of the Administrative Council of the European Patent Organisation (EPO) dated 14 June 1996, the 75% reduction in the



international search fee and the international preliminary examination fee charged by the EPO is available if the international application is filed by a national of a State whose per capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997). This decision of the EPO's Administrative Council replaces its decision of 9 December 1983 under which the fee reduction was available to nationals of "developing countries." The fee reduction will be granted only at the applicant's request.

However, for nationals of "countries in transition" ("reform States") the decision of the EPO's Administrative Council of 15 De-

ember 1994 still applies for a period of three years as from 1 April 1995 (see *PCT Newsletter* No. 04/1995).

Information on this change was included, in the form of a footnote to Annex D (EP) and Annex E (EP), in the July update of the *PCT Applicant's Guide*, Vol. I/B.

### NON-WORKING DAYS AT THE PATENTS, DESIGNS AND TRADEMARKS OFFICE OF ISRAEL

The International Bureau has been informed that, since Sunday is a working day in Israel, the Patents, Designs and Trademarks Office of Israel is open for business on that day. The Office is closed on Friday and Saturday. However, on **Friday morning**, mail can be

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<b>PCT SEMINAR CALENDAR</b>			
<b>Dates Location</b>	<b>Language of seminar</b>	<b>Nature of seminar WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>12-13 September 1996 London (GB)</b>	English	Basic PCT seminar for patent administrators and legal assistants WIPO speakers: Mrs. Coeckelbergs and Mr. Matthes	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 364 24
<b>22 October 1996 San Francisco (US)</b>	English	PCT seminar for patent attorneys WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68
<b>23-24 October 1996 San Francisco (US)</b>	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68
<b>25 October 1996 San Francisco (US)</b>	English	PCT workshop for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68
<b>13-14 November 1996 Casablanca, Morocco</b>	French	WIPO National Seminar on the PCT	Moroccan Industrial Property Office Tel: (212-2) 33 54 86 Fax: (212-2) 33 54 86
<b>4-5 December 1996 London (GB)</b>	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 364 24
<b>6 December 1996 London (GB)</b>	English	PCT workshop for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 364 24

[continued from page 4]

deposited in a mailbox equipped with an automatic dating device (also indicating the time of receipt) until noon. Provided that the time of receipt is before noon, mail will be considered received by the Office on that date (for example, for the purposes of according an international filing date). However, any mail deposited after noon on Friday will be considered received on the date of the next working day, that is Sunday. It should be noted that time limits expiring on Saturday are extended to Sunday.

### PCT INFORMATION UPDATE

#### Israel (mailing address)

The mailing address of the Patents, Designs and Trademarks Office of Israel has changed, as follows:

P.O. 80x 34255  
91341 Jerusalem, Israel

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (IL))

#### United States of America (fees)

The amounts of fees, payable to the United States Patent and Trademark Office in its capacity as receiving Office, International Searching Authority, International Preliminary Examining Authority, and designated or elected Office, have been revised. The new fees will enter into force on 1 October 1996. These fees are reflected in Tables I(a), I(b) and II, on pages 9, 10 and 11, and in the pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. II/C, National Chapter, Summary (US).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes C (US), D (US) and E (US) and Vol. II/C, National Chapter, Summary (US))



### POST VACANCY AT WIPO Senior Legal Officer, PCT Legal Division (grade P.4)

WIPO has an opening at its headquarters in Geneva, Switzerland, for the post of Senior Legal Officer in the PCT Legal Division. Duties include legal drafting and participating in the provision of information, advice and assistance concerning the PCT to governments, patent offices, patent attorneys/agents and applicants throughout the world.

Candidates must have a university degree in law or equivalent level qualification, preferably with specialization in industrial property law; considerable experience in the field of patent applications, preferably as a patent practitioner or in an industrial property office; knowledge of, and experience with, the PCT would be a distinct advantage. Excellent knowledge of English and good knowledge of French or German; ability to work in other languages, particularly Spanish, would be desirable. Experience with legal questions related to the electronic transmission and storage of documents (for example, integrity, authenticity, electronic signature or ID) would be an advantage.

Remuneration and benefits package are in accordance with United Nations conditions.

Please send, by 11 October 1996, a detailed curriculum vitae and photograph, quoting reference P1214, to:

Personnel Recruitment Section  
WIPO  
34 chemin des Colombettes  
1211 Geneva 20  
Switzerland  
(fax no: (41-22) 730 98 20)

<b>TYPES OF PROTECTION AVAILABLE VIA THE PCT IN PCT CONTRACTING STATES</b>								
State	National patent	ARIPO patent (AP)	Eurasian patent (EA)	European patent (EP)	OAPI patent (OA)	Utility model instead of national patent	Utility model in addition to national patent	Other
AL	X					X		[Extension of EP patent <sup>1</sup> ]
AM	X		X			X		Provisional patent
AT	X			X		X	X	Patent of addition
AU	X							Patent of addition, petty patent
AZ	X		X					
BA <sup>2,3</sup>	X							
BB	X							
BE				X				
BG	X					X		
BR	X					X		
BY	X		X					
CA	X							
CH + LI	X			X				
CN	X					X		
CU	X							Certificate of addition, inventor's certificate, inventor's certificate of addition
CZ	X					X	X	
DE	X			X		X	X	Patent of addition
DK	X			X		X	X	
EE	X					X	X	
ES	X			X		X		Patent of addition
FI	X			X		X	X	
FR				X				
GB	X			X				
GE	X					X		Patent of addition
GR				X				
HU	X					X		
IE				X				
IL	X							Patent of addition
IS	X							
IT				X				
JP	X					X		
KE	X	X				X		
KG	X		X			X		Provisional patent
KP	X							Inventor's certificate
KR	X					X		
KZ	X		X			X		Provisional patent
LC <sup>2,4</sup>	X							
LK	X							

[continued over page]

TYPES OF PROTECTION AVAILABLE VIA THE PCT IN PCT CONTRACTING STATES [continued]								
State	National patent	ARIPO patent (AP)	Eurasian patent (EA)	European patent (EP)	OAPI patent (OA)	Utility model instead of patent	Utility model in addition to patent	Other
LR	X							
LS	X	X				X		
LT	X							[Extension of EP patent <sup>1</sup> ]
LU	X			X				Certificate of addition
LV	X							[Extension of EP patent <sup>1</sup> ]
MC				X				
MD	X		X			X		
MG	X							Certificate of addition
MK	X							Patent of addition
MN	X							
MW	X	X						Patent of addition
MX	X					X		
NL				X				
NO	X							
NZ	X							Patent of addition
PL	X					X		
PT	X			X		X		
RO	X							
RU	X		X			X		
SD	X	X						
SE	X			X				
SG	X							
SI	X							Patent of addition [Extension of EP patent <sup>1</sup> ]
SK	X					X	X	
SZ		X						
TJ	X		X			X		
TM	X		X					Provisional patent
TR	X					X		Patent of addition
TT	X							Utility certificate
UA	X					X		
UG	X	X						Utility certificate
US	X							
UZ	X					X		Provisional patent
VN	X					X		
OAPI States <sup>5</sup>					X	X (OAPI utility model)		OAPI certificate of addition

1 A request for such an extension may be made at the time of entry into the regional phase before the European Patent Office, provided that the PCT application contained designations both for a European Patent and of the State concerned. Such a request may not be made in the PCT request form itself. See the notes to the request form and the *PCT Applicant's Guide*, Vol. I/A, paragraphs 39, 39A and 85A, and Vol. II/A, National Chapter (EP) for further details as to the procedure to be followed.

2 Information regarding any type of protection other than a national patent is not yet available.

3 From 7 September 1996

4 From 30 August 1996

5 BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG.

**PARIS CONVENTION**

A number of States have joined the Paris Convention for the Protection of Industrial Property since the publication in *PCT Newsletter* No. 08/1995 of a table setting out the States party to that Convention. An update of that table appears below.

**Panama**

On 19 July 1996, Panama (country code: PA) deposited its instrument of accession to the Paris Convention for the Protection of Industrial Property. Panama will become bound by that Convention on 19 October 1996.

**States party to the Paris Convention for the Protection of Industrial Property  
and their two-letter codes (as of 1 August 1996)**

Albania (AL)	Cuba (CU)	Japan (JP)	Peru (PE)	Togo (TG)
Algeria (DZ)	Cyprus (CY)	Jordan (JO)	Philippines (PH)	Trinidad and Tobago (TT)
Argentina (AR)	Czech Republic (CZ)	Kazakhstan (KZ)	Poland (PL)	Tunisia (TN)
Armenia (AM)	Democratic People's Republic of Korea (KP)	Kenya (KE)	Portugal (PT)	Turkey (TR)
Australia (AU)	Denmark (DK)	Kyrgyzstan (KG)	Republic of Korea (KR)	Turkmenistan (TM)
Austria (AT)	Dominican Republic (DO)	Latvia (LV)	Republic of Moldova (MD)	Uganda (UG)
Azerbaijan (AZ)	Egypt (EG)	Lebanon (LB)	Romania (RO)	Ukraine(UA)
Bahamas (BS)	El Salvador (SV)	Lesotho (LS)	Russian Federation (RU)	United Arab Emirates (AE) ( <i>from 19 September 1996</i> )
Bangladesh (BD)	Estonia (EE)	Liberia (LR)	Rwanda (RW)	United Kingdom (GB)
Barbados (BB)	Finland (FI)	Libya (LY)	Saint Kitts and Nevis (KN)	United Republic of Tanzania (TZ)
Belarus (BY)	France (FR)	Liechtenstein (LI)	Saint Lucia (LC)	United States of America (US)
Belgium (BE)	Gabon (GA)	Lithuania (LT)	Saint Vincent and the Grenadines (VC)	Uruguay (UY)
Benin (BJ)	Gambia (GM)	Luxembourg (LU)	San Marino (SM)	Uzbekistan (UZ)
Bolivia (BO)	Georgia (GE)	Madagascar (MG)	Senegal (SN)	Venezuela (VE)
Bosnia and Herzegovina (BA)	Germany (DE)	Malawi (MW)	Singapore (SG)	Viet Nam (VN)
Brazil (BR)	Ghana(GH)	Malaysia (MY)	Slovakia (SK)	Yugoslavia (YU)
Bulgaria (BG)	Greece (GR)	Mali (ML)	Slovenia (SI)	Zaire (ZR)
Burkina Faso (BF)	Guinea (GN)	Malta (MT)	South Africa (ZA)	Zambia (ZM)
Burundi (BI)	Guinea-Bissau (GW)	Mauritania (MR)	Spain (ES)	Zimbabwe (ZW)
Cameroon (CM)	Guyana (GY)	Mauritius (MU)	Sri Lanka (LK)	
Canada (CA)	Haiti (HT)	Mexico (MX)	Sudan (SD)	
Central African Republic (CF)	Holy See (VA)	Monaco (MC)	Suriname (SR)	
Chad (TD)	Honduras (HN)	Mongolia (MN)	Swaziland (SZ)	
Chile (CL)	Hungary (HU)	Morocco (MA)	Sweden (SE)	
China (CN)	Iceland (IS)	Netherlands (NL)	Switzerland (CH)	
China (CN)	Indonesia (ID)	New Zealand (NZ)	Syria (SY)	
Colombia (CO) ( <i>from 3 September 1996</i> )	Iran (Islamic Republic of) (IR)	Nicaragua (NI)	Tajikistan (TJ)	
Congo (CG)	Iraq (IQ)	Niger (NE)	The former Yugoslav Republic of Mace- donia (MK)	(Total: 140 States)
Costa Rica (CR)	Ireland (IE)	Nigeria (NG)		
Côte d'Ivoire (CI)	Israel (IL)	Norway (NO)		
Croatia (HR)	Italy (IT)	Panama (PA) ( <i>from 19 October 1996</i> )		
		Paraguay (PY)		



### PCT FEE TABLES

The following Tables show the amounts (including currencies – see the key to currency abbreviations following Table II) of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation and confirmation fees and the handling fee, as well as the transmittal fee payable to the International Bureau as receiving Office, is available to applicants from certain States; see footnote 2 for details. Footnotes to all Tables follow Table II.

**Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES**

(as at 1 August 1996, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		Basic fee <sup>1,2</sup> (CHF 762)		Supplement per sheet over 30 <sup>1,2</sup> (CHF 15)	Designation fee <sup>1,2,3</sup> (CHF 185)	Competent ISA(s) <sup>4</sup>
AL	ALL	9,000	CHF	762	15	185	EP
AM	AMD <sup>5</sup>	—	USD	677	13	164	EP RU
AP	USD	70	USD	677	13	164	AT EP SE
AT	ATS	700	ATS	6,730	133	1,630	EP
AU	AUD	100	AUD	785	15	191	AU
AZ	AZM <sup>5</sup>	—	USD	677	13	164	EP RU
BE	BEF	1,500	BEF	19,700	388	4,780	EP
BG	BGL	600	BGL	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRR	157.52	BRR	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR equiv of USD	70	USD	677	13	164	EP RU
CA	CAD	200	CAD	914	18	222	EP
CH	CHF	100	CHF	762	15	185	EP
CN	CNY	500	CNY	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CU	USD (or CUP equiv)	200	USD (or CUP equiv)	677	USD (or CUP equiv) 13	USD (or CUP equiv) 164	AT EP ES RU
CZ	CZK	1,500	CZK	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM	150	DEM	955	19	232	EP
DK	DKK	1,500	DKK	3,740	74	910	EP SE
EA	RUR equiv of USD	100	USD	677	13	164	EP RU
EE	EEK	1,500	EEK	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
EP <sup>6</sup>	DEM	200	DEM	955	19	232	EP
ES	ESP	8,770	ESP	83,500	1,640	20,300	EP ES
FI	FIM	800	FIM	2,900	55	700	EP SE
FR	FRF	400	FRF	3,300	65	800	EP
GB	GBP	55	GBP	430	8	104	EP
GE	USD <sup>5</sup>	—	USD	677	13	164	EP RU
GR	GRD	36,500	GRD	162,000	3,190	39,400	EP
HU	HUF <sup>7</sup>	10,000	HUF	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
IB	CHF <sup>2</sup>	300	CHF	762	15	185	<i>See footnote 8</i>
	or USD <sup>2</sup>	200	or USD	677	13	164	
IE	IEP	60	IEP	425	8	103	EP
IL	ILS	350	USD	677	13	164	EP US
IS	ISK	5,500	ISK	43,100	848	10,500	EP SE
IT	ITL	60,000	ITL	1,090,000 (from 01.09.96: 953,000)	21,500 (19,000)	265,000 (232,000)	EP
JP	JPY	18,000	JPY	67,400	1,300	16,400	EP JP
KE	USD (or KES equiv)	30	USD	677	13	164	AT AU CN EP SE
KG	KGS equiv of USD	60	USD	677	13	164	EP RU
KP	KPW equiv of CHF	50	KPW	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
KR	KRW	40,000	KRW	544,000	10,700	132,000	AT AU JP
KZ	KZT <sup>5</sup>	—	USD	677	13	164	EP RU
LR	USD	45	USD	677	13	164	AT AU CN EP SE
LS	LSM <sup>5</sup>	—	LSM	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP

*[continued on page 10]*

**Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]**

(as at 1 August 1996, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2</sup> (CHF 762)	Supplement per sheet over 30 <sup>1,2</sup> (CHF 15)	Designation fee <sup>1,2,3</sup> (CHF 185)	Competent ISA(s) <sup>4</sup>
LT	LTL equiv of USD 80	USD 677	13	164	EP RU
LU	LUF/BEF 1,000	LUF/BEF 19,700	388	4,780	EP
LV	LVL 40	USD 677	13	164	EP RU
MC	FRF 300	FRF 3,300	65	800	EP
MD	MDL <sup>5</sup> 54	USD 677	13	164	EP RU
MK	MKD 2,750	MKD equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
MN	None	CHF 762	15	185	EP RU
MW	MWK 8	MWK 10,100	199	2,460	EP
MX	MXP equiv of USD 200	MXP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP ES SE US
NL	NLG 110	NLG 1,070	21	260	EP
NO	NOK 500	NOK 4,250	84	1,030	EP SE
NZ	NZD 155	NZD 892	18	216	AU EP
PL	PLZ 200	PLZ equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,500	PTE 101,000	1,990	24,500	EP
RO	ROL 10,000	CHF 762	15	185	AT EP RU
RU	RUR 294,000	USD 677	13	164	EP RU
SD	SDP 50	SDP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 1,000	SEK 4,200	80	1,000	EP SE
SG	SGD 120	SGD 971 (from 01.09.96: 860)	19 (17)	236 (208)	AT AU EP
SI	SIT See footnote 9	SIT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK 1,600	SKK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR <sup>5</sup> –	USD 677	13	164	EP RU
TM	USD <sup>5</sup> –	USD 677	13	164	EP RU
TR	CHF 100	CHF 762	15	185	AT AU CN EP RU
TT	TTD 750	USD 677	13	164	AT EP SE US
UA	UAK 25,500,000	USD 677	13	164	EP RU
US	USD 220 (from 01.10.96: 230)	USD 677	13	164	EP US
UZ	USD <sup>5</sup> –	USD 677	13	164	EP RU
VN	USD 50	VND equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

**Table I(b) – SEARCH FEES**

(as at 1 August 1996, unless otherwise indicated)

ISA	Search fee <sup>1</sup>											
AT	ATS	2,200	CHF	250	KRW	177,000	SGD	317	USD	225		
AU	AUD	800	CHF	787	KRW	471,000	NZD	905	SGD	900	USD	640
CN	CNY	800	CHF	110	USD	100						
EP <sup>10</sup>	DEM	2,400	CHF	2,000	FRF	8,420	ISK	108,100	MWK	25,440	PTE	254,800
	ATS	17,140	DKK	9,500	GBP	1,091	ITL	2,643,000	NLG	2,740	SEK	11,760
	BEF	50,300	ESP	206,900	GRD	400,000	JPY	169,000	NOK	10,660	SGD	2,440
	CAD	2,300	FIM	7,500	IEP	1,055	LUF	50,300	NZD	2,557	USD	1,585
			(from 01.09.96: CAD	2,150	NZD	2,280	SGD	2,200)				
ES	ESP	64,275	CHF	613	USD	526						
JP	JPY	77,000	CHF	870	KRW	622,000	USD	775				
RU <sup>10</sup>	RUR	840,000	CHF	225	USD	200						
SE	SEK	6,200 <sup>11</sup>	6,800 <sup>12</sup>	FIM	4,500 <sup>11</sup>	4,950 <sup>12</sup>	USD	960 <sup>11</sup>	1,055 <sup>12</sup>			
	CHF	1,180 <sup>11</sup>	1,290 <sup>12</sup>	ISK	64,000 <sup>11</sup>	70,000 <sup>12</sup>						
	DKK	5,500 <sup>11</sup>	6,050 <sup>12</sup>	NOK	6,150 <sup>11</sup>	6,750 <sup>12</sup>						
US			USD	660	(430 <sup>13</sup> )	CHF	812	(530 <sup>13</sup> )				
	(from 01.10.96		USD	680	(440 <sup>13</sup> )	CHF	800	(518 <sup>13</sup> ))				

**Table II – PRELIMINARY EXAMINATION FEES**

(as at 1 August 1996, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>14</sup>			Handling fee <sup>2,14</sup> (CHF 233)					
AT	ATS	2,200		ATS	2,060				
AU	AUD	450		AUD	240				
CN	CNY	800		CNY equiv of CHF 233					
EP <sup>10</sup>	DEM	3,000	FIM 9,380	LUF	62,900	DEM 292	FIM 887	LUF	6,020
	ATS	21,430	FRF 10,530	NLG	3,430	ATS 2,060	FRF 1,000	NLG	328
	BEF	62,900	GBP 1,364	PTE	318,500	BEF 6,020	GBP 131	PTE	30,900
	CHF	2,500	GRD 500,000	SEK	14,710	CHF 233	IEP 130	SEK	1,300
	DKK	11,880	IEP 1,319			DKK 1,140	ITL 333,000		
	ESP	258,600	ITL 3,304,000			(from 01.09.96:	ITL 295,000)		
JP	JPY	28,000		JPY	20,600				
RU <sup>10</sup>	RUR	1,260,000	USD 300	USD	207				
SE	SEK	4,200		SEK	1,300				
US		USD	470 (710 <sup>15</sup> )	USD	207				
	(from 01.10.96:	USD	480 (730 <sup>15</sup> ))						

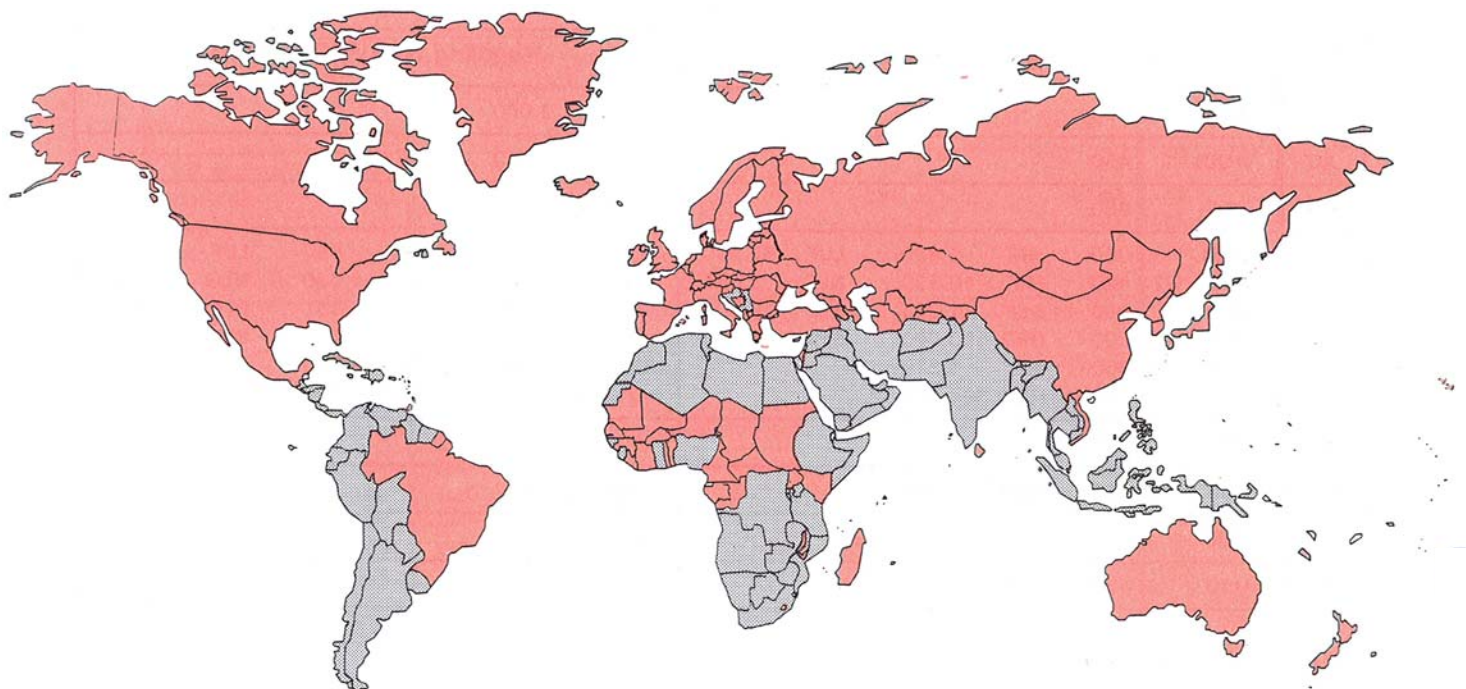
*Key to currency abbreviations for all fee tables:*

ALL Lek	DEM Mark	ITL Italian Lira	MDL Moldovan Leu	RUR Rouble
AMD Dram	CZK Czech Koruna	ISK Icelandic Kronur	LVL Lat	SDP Sudanese Pound
ATS Schilling	DKK Danish Krone	JPY Yen	MKD Denar	SEK Swedish Krona
AUD Australian Dollar	EEK Estonian Kroon	KES Kenyan Shilling	MWK Kwacha	SGD Singapore Dollar
AZM Manat	ESP Peseta	KGS Som	MXP Mexican Peso	SIT Tolar
BEF Belgian Franc	FIM Markka	KPW Won	NLG Guilder	SKK Slovak Koruna
BGL Lev	FRF French Franc	KRW Won	NOK Norwegian Krone	TTD Trinidad and Tobago
BRR Real	GBP Pound Sterling	KZT Tenge	NZD New Zealand Dollar	Dollar
CAD Canadian Dollar	GRD Drachma	LSM Maloti	PLZ Zloty	UAK Karbovanets
CHF Swiss Franc	HUF Forint	LTL Lita	PTE Escudo	USD US Dollar
CNY Yuan Renminbi	IEP Irish Pound	LUF Luxembourg Franc	ROL Romanian Leu	VND Dong
CUP Convertible Peso	ILS New Israel Shekel			

*Footnotes for all fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Armenia, Azerbaijan, Belarus, Benin, Bosnia and Herzegovina (as from 7 September 1996), Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Côte d'Ivoire, Cuba, Czech Republic, Democratic People's Republic of Korea, Estonia, Gabon, Georgia, Guinea, Hungary, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia (as from 30 August 1996), Senegal, Slovakia, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, Uzbekistan or Viet Nam. For further details see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 3 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 6 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FIM, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE and SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.
- 7 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 8 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- 9 5% of the total value of the basic fee, supplement per sheet over 30, designation fee and search fee.
- 10 In the case of the EPO, nationals of States which fulfil the requirements for the reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, or of countries in transition ("reform States"), may be eligible for fee reductions. In the case of the Russian Patent Office, nationals of certain developing countries may be eligible for fee reductions. For details, including the amount of the fee reduction, the countries concerned and applicable conditions, see *PCT Applicant's Guide*, Vol. I/B, Annex D (EP) and (RU) and Annex E (EP) and (RU).
- 11 If on an earlier application, the priority of which is claimed, a search report has been issued by the Swedish Patent Office, the Danish Patent Office, the National Board of Patents and Registration (Finland), the Norwegian Patent Office or the Icelandic Patent Office.
- 12 In all cases where footnote 11 does not apply.
- 13 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.
- 14 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 15 Payable when the international search report was not established by the United States Patent and Trademark Office.

## PCT CONTRACTING STATES AND TWO-LETTER CODES (87 on 1 August 1996)



AL Albania <sup>1</sup>	CM Cameroon (OA) <sup>2</sup>	KE Kenya (AP)	MK The former Yugoslav Republic of Macedonia	SK Slovakia
AM Armenia (EA)	CN China	KG Kyrgyzstan (EA)		SN Senegal (OA) <sup>2</sup>
AT Austria (EP)	<b>CU Cuba</b>	KP Democratic People's Republic of Korea	ML Mali (OA) <sup>2</sup>	SZ Swaziland (AP) <sup>2</sup>
AU Australia	CZ Czech Republic		MN Mongolia	TD Chad (OA) <sup>2</sup>
AZ Azerbaijan (EA)	DE Germany (EP)	KR Republic of Korea	MR Mauritania (OA) <sup>2</sup>	TG Togo (OA) <sup>2</sup>
<b>BA Bosnia and Herzegovina</b> (from 7 September 1996)	DK Denmark (EP)	KZ Kazakhstan (EA)	MW Malawi (AP)	TJ Tajikistan (EA)
	EE Estonia	<b>LC Saint Lucia</b> (from 30 August 1996)	MX Mexico	TM Turkmenistan (EA)
BB Barbados	ES Spain (EP) <sup>3</sup>		NE Niger (OA) <sup>2</sup>	TR Turkey
BE Belgium (EP) <sup>2</sup>	FI Finland (EP)	LI Liechtenstein (EP)	NL Netherlands (EP) <sup>2</sup>	TT Trinidad and Tobago
BF Burkina Faso (OA) <sup>2</sup>	FR France (EP) <sup>2</sup>	LK Sri Lanka	NO Norway	UA Ukraine
BG Bulgaria	GA Gabon (OA) <sup>2</sup>	LR Liberia	NZ New Zealand	UG Uganda (AP)
BJ Benin (OA) <sup>2</sup>	GB United Kingdom (EP)	LS Lesotho (AP)	PL Poland	US United States of America
BR Brazil	GE Georgia	LT Lithuania <sup>1</sup>	PT Portugal (EP)	UZ Uzbekistan
BY Belarus (EA)	GN Guinea (OA) <sup>2</sup>	LU Luxembourg (EP)	RO Romania	VN Viet Nam
CA Canada	GR Greece (EP) <sup>2, 4</sup>	LV Latvia <sup>1</sup>	RU Russian Federation (EA)	
CF Central African Republic (OA) <sup>2</sup>	HU Hungary	MC Monaco (EP) <sup>2</sup>	SD Sudan (AP)	
CG Congo (OA) <sup>2</sup>	IE Ireland (EP) <sup>2</sup>	MD Republic of Moldova (EA)	SE Sweden (EP)	
CH Switzerland (EP)	IL Israel	MG Madagascar	SG Singapore	
CI Côte d'Ivoire (OA) <sup>2</sup>	IS Iceland		SI Slovenia <sup>1</sup>	
	IT Italy (EP) <sup>2</sup>			
	JP Japan			

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May not be designated for a regional patent (the national route has been closed).

<sup>3</sup> Not bound by Chapter II.

<sup>4</sup> Will become bound by Chapter II as from 7 September 1996.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

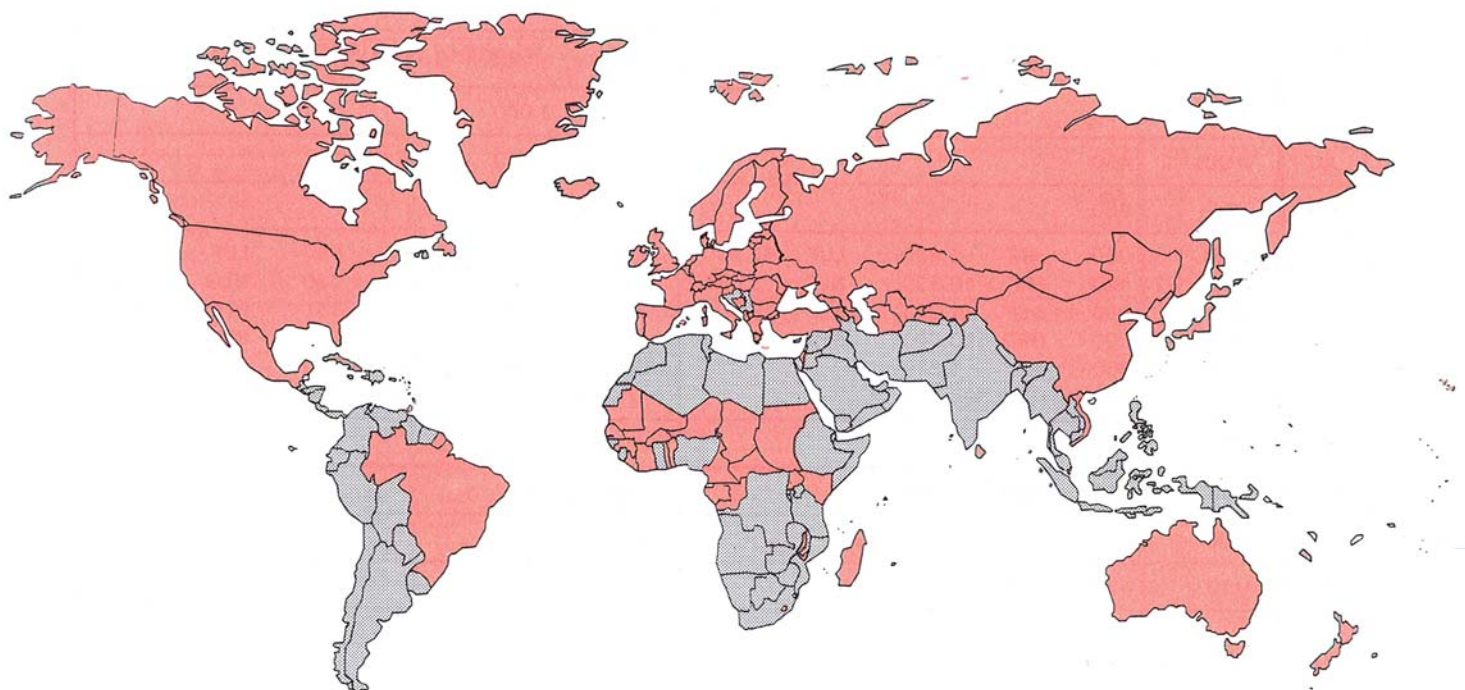
### Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

Applicants should always use the latest versions of the request and demand forms. The latest versions of the request form (PCT/RO/101) and the demand form (PCT/IPEA/401) are dated July 1996. The forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Vol. I/B. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from receiving Offices, International Preliminary Examining Authorities or the International Bureau.



## ÉTATS CONTRACTANTS DU PCT ET CODES À DEUX LETTRES (87 au 1<sup>er</sup> août 1996)



AL Albanie <sup>1</sup>	CM Cameroun (OA) <sup>2</sup>	KE Kenya (AP)	MK Ex-République yougoslave de Macédoine	SK Slovaquie
AM Arménie (EA)	CN Chine	KG Kirghizistan (EA)		SN Sénégal (OA) <sup>2</sup>
AT Autriche (EP)	<b>CU Cuba</b>	KP République populaire démocratique de Corée	ML Mali (OA) <sup>2</sup>	SZ Swaziland (AP) <sup>2</sup>
AU Australie	CZ République tchèque		MN Mongolie	TD Tchad (OA) <sup>2</sup>
AZ Azerbaïdjan (EA)	DE Allemagne (EP)	KR République de Corée	MR Mauritanie (OA) <sup>2</sup>	TG Togo (OA) <sup>2</sup>
<b>BA Bosnie-Herzégovine</b> (à compter du 7 septembre 1996)	DK Danemark (EP)	KZ Kazakstan (EA)	MW Malawi (AP)	TJ Tadjikistan (EA)
	EE Estonie	<b>LC Sainte-Lucie</b> (à compter du 30 août 1996)	MX Mexique	TM Turkménistan (EA)
BB Barbade	FI Finlande (EP)		NE Niger (OA) <sup>2</sup>	TR Turquie
BE Belgique (EP) <sup>2</sup>	FR France (EP) <sup>2</sup>	LI Liechtenstein (EP)	NL Pays-Bas (EP) <sup>2</sup>	TT Trinité-et-Tobago
BF Burkina Faso (OA) <sup>2</sup>	GA Gabon (OA) <sup>2</sup>	LK Sri Lanka	NO Norvège	UA Ukraine
BG Bulgarie	GB Royaume-Uni (EP)	LR Libéria	NZ Nouvelle-Zélande	UG Ouganda (AP)
BJ Bénin (OA) <sup>2</sup>	GE Géorgie	LS Lesotho (AP)	PL Pologne	US États-Unis d'Amérique
BR Brésil	GN Guinée (OA) <sup>2</sup>	LT Lituanie <sup>1</sup>	PT Portugal (EP)	UZ Ouzbékistan
BY Bélarus (EA)	GR Grèce (EP) <sup>2,4</sup>	LU Luxembourg (EP)	RO Roumanie	VN Viet Nam
CA Canada	HU Hongrie	LV Lettonie <sup>1</sup>	RU Fédération de Russie (EA)	
CF République centrafricaine (OA) <sup>2</sup>	IE Irlande (EP) <sup>2</sup>	MC Monaco (EP) <sup>2</sup>	SD Soudan (AP)	
CG Congo (OA) <sup>2</sup>	IL Israël	MD République de Moldova (EA)	SE Suède (EP)	
CH Suisse (EP)	IS Islande	MG Madagascar	SG Singapour	
CI Côte d'Ivoire (OA) <sup>2</sup>	IT Italie (EP) <sup>2</sup>		SI Slovénie <sup>1</sup>	
	JP Japon			

1 Possibilité d'extension d'un brevet européen.

2 Ne peut être désigné qu'aux fins d'un brevet régional (suite à la fermeture de la voie nationale).

3 N'est pas liée par le chapitre II.

4 Deviendra liée par le chapitre II à compter du 7 septembre 1996.

Lorsqu'un État peut être désigné aux fins d'un brevet régional, le code à deux lettres correspondant au brevet régional concerné est indiqué entre parenthèses (AP = brevet ARIPO, EA = brevet eurasiens, EP = brevet européen, OA = brevet de l'OAPI).

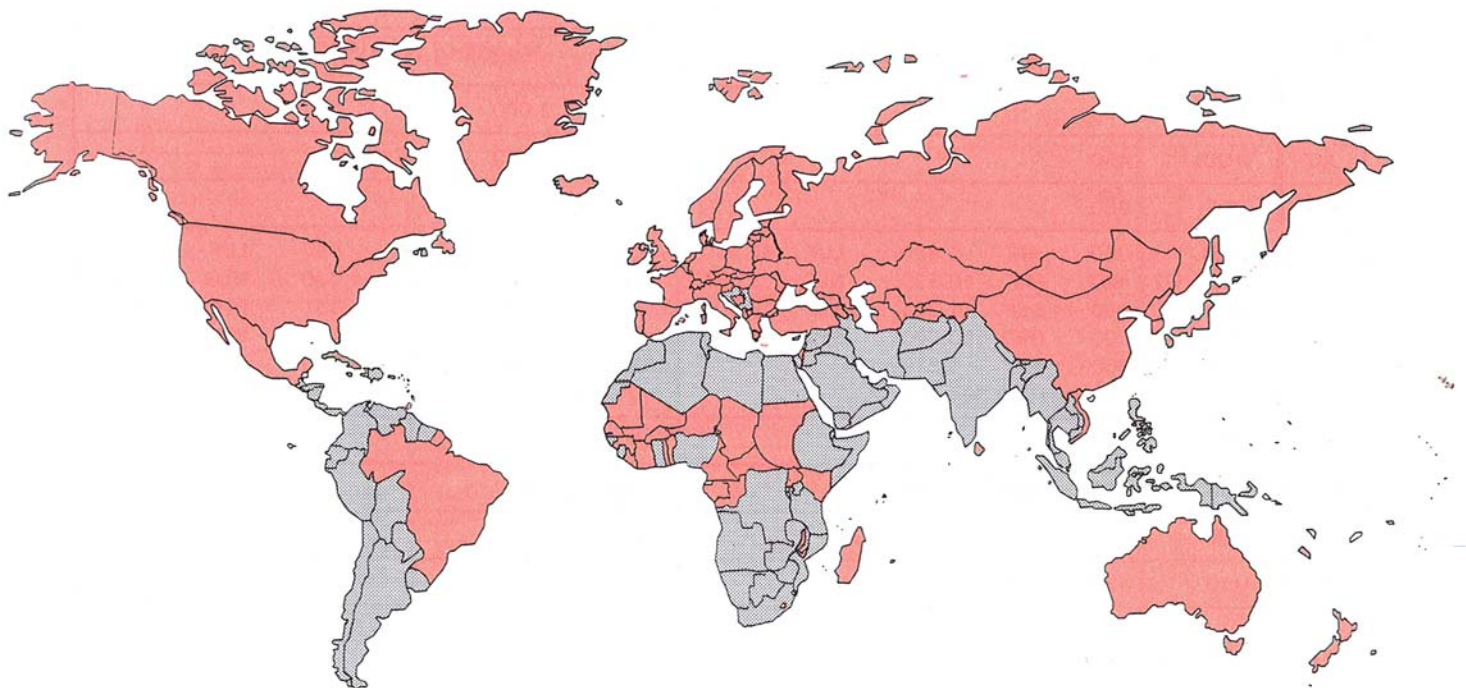
### Important:

Cette liste comporte tous les États qui ont adhéré au PCT à la date qui figure ci-dessus. Les États dont le nom est indiqué en **italique gras** ont adhéré au PCT mais n'étaient pas encore liés par le PCT à la date d'émission de la dernière version du formulaire de requête. Si le déposant souhaite désigner des États qui sont liés par le PCT à la date à laquelle il dépose la demande internationale mais qui ne figurent pas dans le formulaire de requête, il doit les ajouter dans le cadre n° V dudit formulaire en cochant les cases appropriées. Lorsqu'un État a adhéré au PCT mais n'est pas encore lié par le PCT, la date à laquelle il deviendra lié figure entre parenthèses; il ne peut être désigné dans des demandes internationales déposées avant cette date.

Il est conseillé aux déposants de toujours utiliser la version la plus récente des formulaires de requête et de demande d'examen préliminaire international. Les dernières versions du formulaire de requête (PCT/RO/101) et du formulaire de demande d'examen préliminaire international (PCT/IPEA/401) sont datées de juillet 1996. Ces formulaires sont reproduits respectivement aux annexes X et V du *Guide du déposant du PCT*, vol. I/B. Le formulaire de requête peut également être obtenu auprès des offices récepteurs ou du Bureau international et le formulaire de demande d'examen préliminaire international auprès des offices récepteurs, des administrations chargées de l'examen préliminaire international ou du Bureau international.



## PCT-VERTRAGSSTAATEN UND ZWEIBUCHSTABEN-CODES (87 am 1. August 1996)



AL Albanien <sup>1</sup>	CM Kamerun (OA) <sup>2</sup>	IT Italien (EP) <sup>2</sup>	MK Die ehemalige jugoslawische Republik Mazedonien	SI Slowenien <sup>1</sup>
AM Armenien (EA)	CN China	JP Japan	ML Mali (OA) <sup>2</sup>	SK Slowakei
AT Österreich (EP)	<b>CU Kuba</b>	KE Kenia (AP)	MN Mongolei	SN Senegal (OA) <sup>2</sup>
AU Australien	CZ Tschechische Republik	KG Kirgistan (EA)	MR Mauretania (OA) <sup>2</sup>	SZ Swasiland (AP) <sup>2</sup>
AZ Aserbaidschan (EA)	DE Deutschland (EP)	KP Demokratische Volksrepublik Korea	MW Malawi (AP)	TD Tschad (OA) <sup>2</sup>
<b>BA Bosnien-Herzegowina</b> (7. September 1996)	DK Dänemark (EP)	KR Republik Korea	MX Mexiko	TG Togo (OA) <sup>2</sup>
BB Barbados	EE Estland	KZ Kasachstan (EA)	NE Niger (OA) <sup>2</sup>	TJ Tadschikistan (EA)
BE Belgien (EP) <sup>2</sup>	ES Spanien (EP) <sup>3</sup>	<b>LC St. Lucia</b> (30 August 1996)	NL Niederlande (EP) <sup>2</sup>	TM Turkmenistan (EA)
BF Burkina Faso (OA) <sup>2</sup>	FI Finnland (EP)	LI Liechtenstein (EP)	NO Norwegen	TR Türkei
BG Bulgarien	FR Frankreich (EP) <sup>2</sup>	LK Sri Lanka	NZ Neuseeland	TT Trinidad und Tobago
BJ Benin (OA) <sup>2</sup>	GA Gabun (OA) <sup>2</sup>	LR Liberia	PL Polen	UA Ukraine
BR Brasilien	GB Vereinigtes Königreich (EP)	LS Lesotho (AP)	RO Rumänien	UG Uganda (AP)
BY Belarus (EA)	GE Georgien	LT Litauen <sup>1</sup>	RU Russische Föderation (EA)	US Vereinigte Staaten von Amerika
CA Kanada	GN Guinea (OA) <sup>2</sup>	LU Luxemburg (EP)	SD Sudan (AP)	UZ Usbekistan
CF Zentralafrikanische Republik (OA) <sup>2</sup>	GR Griechenland (EP) <sup>2,4</sup>	LV Lettland <sup>1</sup>	SE Schweden (EP)	VN Vietnam
CG Kongo (OA) <sup>2</sup>	HU Ungarn	MC Monaco (EP) <sup>2</sup>	SG Singapur	
CH Schweiz (EP)	IE Irland (EP) <sup>2</sup>	MD Republik Moldau (EA)		
CI Côte d'Ivoire (OA) <sup>2</sup>	IL Israel	MG Madagaskar		

1 Erstreckung eines europäischen Patents möglich.

2 Kann nur für ein regionales Patent bestimmt werden (die nationale Route ist geschlossen worden).

3 Nicht durch Kapitel II gebunden.

4 Wird ab 7. September 1996 durch Kapitel II gebunden sein.

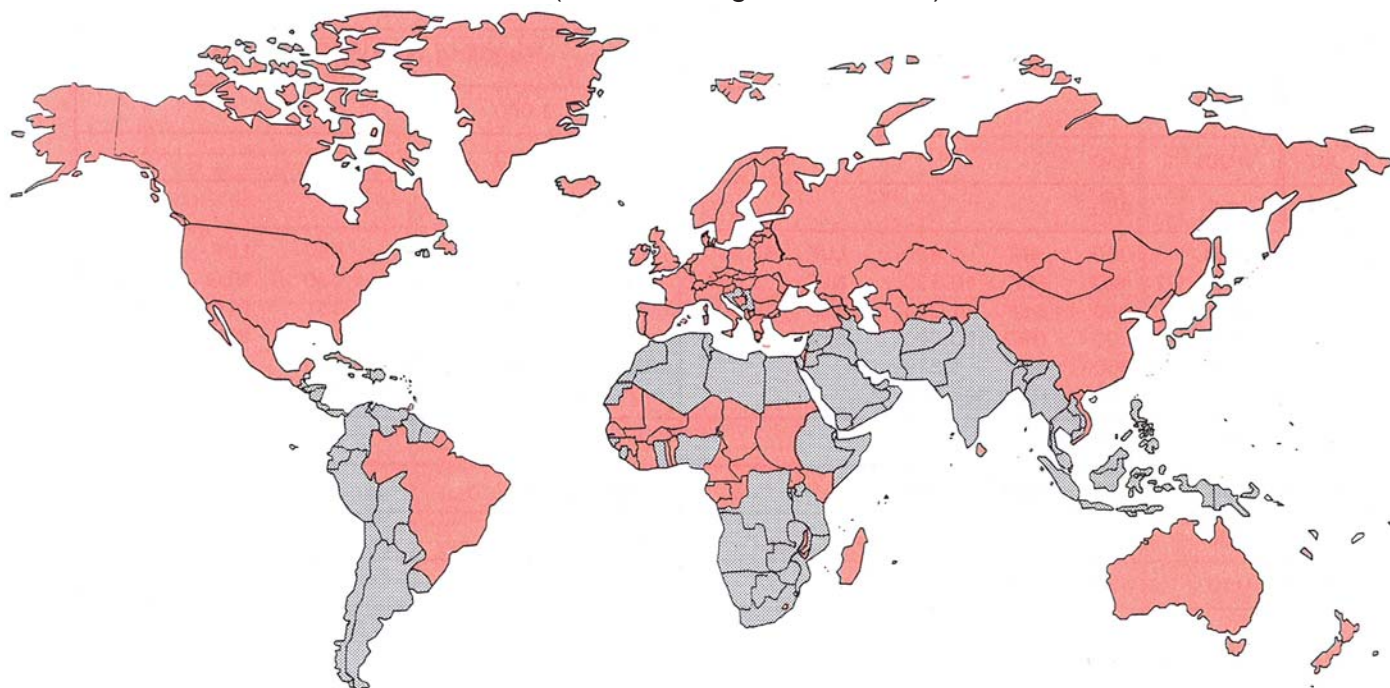
Kann ein Staat für ein regionales Patent bestimmt werden, so ist der Zweibuchstaben-Code für das entsprechende regionale Patent in Klammern angegeben (AP = ARIPO-Patent, EA = eurasisches Patent, EP = europäisches Patent, OA = OAPI-Patent).

### Wichtig:

Diese Liste umfaßt alle Staaten, die dem PCT bis zu dem in der Überschrift genannten Datum beigetreten sind. Diejenigen Staaten, deren Namen fett und kursiv gedruckt sind, sind dem PCT beigetreten, waren jedoch am Tag der Herausgabe der letzten Fassung des Antragsformulars noch nicht durch den PCT gebunden. Will der Anmelder einen Staat bestimmen, der an dem Tag, an dem die internationale Anmeldung eingereicht wird, durch den PCT gebunden, aber nicht auf dem Antragsformular aufgeführt ist, so muß er diesen Staat in Feld Nr. V hinzufügen und das entsprechende Kästchen ankreuzen. Ist ein Staat dem PCT beigetreten, aber noch nicht durch den PCT gebunden, so ist das Datum, ab dem dieser Staat durch den PCT gebunden sein wird, in Klammern angegeben; dieser Staat kann in internationalen Anmeldungen, die vor diesem Datum eingereicht werden, nicht bestimmt werden.

Anmelder sollten stets die letzte Fassung des Antragsformulars sowie des Formulars für den Antrag auf internationale vorläufige Prüfung benutzen. Die letzte Fassung des Antragsformulars (PCT/RO/101) sowie des Formulars für den Antrag auf internationale vorläufige Prüfung (PCT/IPEA/401) tragen das Datum von Juli 1996. Das Antragsformular kann von den Anmeldeämtern oder dem Internationalen Büro bezogen werden. Das Formular für den Antrag auf internationale vorläufige Prüfung ist bei den Anmeldeämtern, den mit der internationalen vorläufigen Prüfung beauftragten Behörden oder dem Internationalen Büro erhältlich.

## ESTADOS CONTRATANTES DEL PCT Y CÓDIGOS DE DOS LETRAS (87 el 1 de agosto de 1996)



AL Albania <sup>1</sup>	CI Côte d'Ivoire (OA) <sup>2</sup>	JP Japón	MD República de Moldova (EA)	SE Suecia (EP)
AM Armenia (EA)	CM Camerún (OA) <sup>2</sup>	KE Kenya (AP)	MG Madagascar	SG Singapur
AT Austria (EP)	CN China	KG Kirguistán (EA)	MK Ex República Yugoslava de Macedonia	SI Eslovenia <sup>1</sup>
AU Australia	<b>CU Cuba</b>	KP República Popular Democrática de Corea	ML Malí (OA) <sup>2</sup>	SK Eslovaquia
AZ Azerbaiyán (EA)	CZ República Checa	KR República de Corea	MN Mongolia	SN Senegal (OA) <sup>2</sup>
<b>BA Bosnia y Herzegovina</b> (desde el 7 de sep- tiembre de 1996)	DE Alemania (EP)	KZ Kazakstán (EA)	MR Mauritania (OA) <sup>2</sup>	SZ Swazilandia (AP) <sup>2</sup>
BB Barbados	DK Dinamarca (EP)	<b>LC Santa Lucía</b> (desde el 30 de agosto de 1996)	MW Malawi (AP)	TD Chad (OA) <sup>2</sup>
BE Bélgica (EP) <sup>2</sup>	EE Estonia	LI Liechtenstein (EP)	MX México	TG Togo (OA) <sup>2</sup>
BF Burkina Faso (OA) <sup>2</sup>	ES España (EP) <sup>3</sup>	LK Sri Lanka	NE Níger (OA) <sup>2</sup>	TJ Tayikistán (EA)
BG Bulgaria	FI Finlandia (EP)	LR Liberia	NL Países Bajos (EP) <sup>2</sup>	TM Turkmenistán (EA)
BJ Benin (OA) <sup>2</sup>	FR Francia (EP) <sup>2</sup>	LS Lesotho (AP)	NO Noruega	TR Turquía
BR Brasil	GA Gabón (OA) <sup>2</sup>	LT Lituania <sup>1</sup>	NZ Nueva Zelandia	TT Trinidad y Tobago
BY Belarús (EA)	GB Reino Unido (EP)	LU Luxemburgo (EP)	PL Polonia	UA Ucrania
CA Canadá	GE Georgia	LV Letonia <sup>1</sup>	PT Portugal (EP)	UG Uganda (AP)
CF República Centroafricana (OA) <sup>2</sup>	GN Guinea (OA) <sup>2</sup>	MC Mónaco (EP) <sup>2</sup>	RO Rumania	US Estados Unidos de América
CG Congo (OA) <sup>2</sup>	GR Grecia (EP) <sup>2, 4</sup>		RU Federación de Rusia (EA)	UZ Uzbekistán
CH Suiza (EP)	HU Hungría		SD Sudán (AP)	VN Viet Nam
	IE Irlanda (EP) <sup>2</sup>			
	IL Israel			
	IS Islandia			
	IT Italia (EP) <sup>2</sup>			

1 Posible extensión de patente europea.

2 Puede ser designado solamente para una patente regional (ha sido cerrada la vía nacional).

3 No obligado por el Capítulo II.

4 Quedará obligado por el Capítulo II desde el 7 de septiembre de 1996.

Cuando se puede designar un Estado para una patente regional, se indica entre paréntesis el código de dos letras respecto de la patente regional en cuestión (AP = patente ARIPO, EA = patente eurasiática, EP = patente europea, OA = patente OAPI).

### Importante:

Esta lista incluye todos los Estados adheridos al PCT en la fecha indicada en el título. Los Estados indicados en **itálicas negritas** se han adherido al PCT pero aún no estaban obligados por el PCT en la fecha de publicación de la última versión del formulario del petitorio. Si el solicitante desea designar a cualquier Estado que esté obligado por el PCT en la fecha de presentación de la solicitud internacional, pero que aún no esté relacionado en el formulario del petitorio, deberá añadirlo en el recuadro N° V del formulario del petitorio y marcar la casilla correspondiente. Cuando un Estado se haya adherido al PCT pero aún no esté obligado por el mismo, se indica entre paréntesis la fecha en la que quedará obligado; no podrá ser designado en solicitudes internacionales presentadas antes de esa fecha.

Se recomienda a los solicitantes siempre utilizar las versiones más recientes de los formularios del petitorio y de la solicitud. Las versiones más recientes del formulario del petitorio (PCT/RO/101) y del formulario de la solicitud de examen preliminar internacional (PCT/IPEA/401) son de julio de 1996. Los formularios pueden obtenerse en las Oficina receptoras o en la Oficina Internacional. El formulario de la solicitud de examen preliminar internacional también puede obtenerse en las Oficinas receptoras, las Administraciones encargadas del examen preliminar internacional o la Oficina Internacional.

**SUMMARY****Designated  
(or elected) Office****SUMMARY****US****UNITED STATES PATENT AND  
TRADEMARK OFFICE (USPTO)****US****Summary of requirements for entry into the national phase**

Time limits applicable for the entry into the national phase:

Under PCT Article 22: 20 months from the priority date  
Under PCT Article 39(1): 30 months from the priority date

Translation of international application required into:<sup>1</sup>

English

Required contents of the translation for the entry into the national phase:<sup>1</sup>

Under PCT Article 22: Request, description, claims (if amended, both as originally filed and as amended, together with any statement under PCT Article 19), any text matter in the drawings, abstract<sup>2</sup>

Under PCT Article 39(1): Request, description, claims, any text matter in the drawings, abstract (if any of those parts amended, both as originally filed and as amended by the annexes to the international preliminary examination report)<sup>2</sup>

Is a copy of the international application required?<sup>3</sup>

Applicant should only send a copy of the international application if he/she has not received Form PCT/IB/308 and the USPTO has not received a copy of the international application from the International Bureau under PCT Article 20. This may be the case where the applicant expressly requests an earlier start of the national phase under PCT Article 23(2).

No copy is required if the international application was filed with the USPTO as receiving Office. A copy of amendments of the claims filed under PCT Article 19 with the International Bureau is required under the conditions indicated in the previous paragraph.

[continued on next page]

<sup>1</sup> Must be furnished within the time limit applicable under PCT Article 22 or 39(1). The requirement may still be complied with in response to a notice sent to the applicant, provided that a processing fee is paid for furnishing the translation later.

<sup>2</sup> If the translation of the amendments is not furnished, the amendments are considered to be cancelled (1.494(d) and 1.495(d)).

<sup>3</sup> Must be furnished within the time limit applicable under PCT Article 22 or 39(1).

**SUMMARY****Designated  
(or elected) Office****SUMMARY****US****UNITED STATES PATENT AND  
TRADEMARK OFFICE (USPTO)****US**

[continued]

**National fee:<sup>4</sup>**

(The amounts in square brackets are applicable as from 1 October 1996)

Currency: US Dollar (USD)

Basic national fee (37 CFR 1.492(a)(1)-(5)):<sup>5</sup>

- where an international preliminary examination fee has been paid on the international application to the USPTO: USD 680 (340)<sup>6</sup>  
[USD 700 (350)]
- where no international preliminary examination fee has been paid on the international application to the USPTO, but an international search fee has been paid for an international search by the USPTO: USD 750 (375)<sup>6</sup>  
[USD 770 (385)]
- where no international preliminary examination fee has been paid to and no international search report has been prepared by the USPTO: USD 1,010 (505)<sup>6</sup>  
[USD 1,040 (520)]
- where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office: USD 880 (440)<sup>6</sup>  
[USD 910 (455)]
- where the international preliminary examination report prepared by the USPTO states that the criteria of novelty, inventive step (non-obviousness), and industrial applicability, as defined in PCT Article 33(1) to (4) have been satisfied for all the claims presented in the application entering the national phase: USD 94 (47)<sup>6</sup>  
[USD 96 (48)]

Additional fee for each claim in independent form in excess of 3:<sup>7</sup> USD 78 (39)<sup>6</sup>  
[USD 80 (40)]Additional fee for each claim, independent or dependent, in excess of 20:<sup>7</sup> USD 22 (11)<sup>6</sup>In addition, if the application contains a multiple dependent claim(s), per application:<sup>7</sup> USD 250 (125)<sup>6</sup>  
[USD 260 (130)]Surcharge for filing oath or declaration after the expiration of the time limit applicable under PCT Article 22 or 39(1): USD 130 (65)<sup>6</sup>Processing fee for filing English language translation after the expiration of the time limit applicable under PCT Article 22 or 39(1): USD 130<sup>8</sup>

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<sup>4</sup> Certain of these fees are subject to periodic change. The United States Patent and Trademark Office or the Official Gazette of the United States Patent and Trademark Office should be consulted for the applicable amounts.

<sup>5</sup> Must be paid within the time limit applicable under PCT Article 22 or 39(1).

<sup>6</sup> The amount in parentheses is applicable in case of filing by a "small entity." In order to claim "small entity" status, a proper statement, signed by the "small entity", must be filed with the USPTO (see 37 CFR 1.9(f), 1.27 and PCT Applicant's Guide, Volume II, Annex US.V). Fees are subject to periodic change. Current PCT related fees can be found in the Official Gazette of the United States Patent and Trademark Office.

<sup>7</sup> If not paid with the basic national fee, the USPTO will invite the applicant to pay the fee within a time limit fixed in the invitation.

<sup>8</sup> This fee is unaffected by small entity status.

**SUMMARY****Designated  
(or elected) Office****SUMMARY****US****UNITED STATES PATENT AND  
TRADEMARK OFFICE (USPTO)****US**

[continued]

Exemptions, reductions or refunds of the national fee:	Reductions of the national fees are indicated under the national fees listed above
Special requirements of the Office (PCT Rule 51 <sup>bis</sup> ):	<p>Oath or declaration of the inventor<sup>9</sup></p> <p>Information disclosure statement is recommended<sup>10</sup></p> <p>Where applicable, a nucleotide and/or amino acid sequence listing and its machine readable form, as prescribed, including the required statement (see 37 CFR 1.821-1.825); a sequence listing complying with the requirements as stated in Annex D(US), Volume I, will also be acceptable</p>
Who can act as agent?	<p>Patent attorneys and patent agents registered to practice before the USPTO. A list of registered patent attorneys and agents may be obtained from: Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402</p>

<sup>9</sup> Must be furnished within the time limit applicable under PCT Article 22 or 39(1). The requirement may still be complied with in response to a notice sent to the applicant, provided that a surcharge is paid for furnishing the oath or declaration later.

<sup>10</sup> Should be filed within 3 months from performing the acts for entering the national phase (see 37 CFR 1.491).