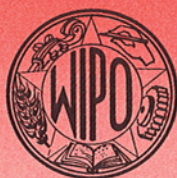


PCT

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NEWSLETTER

May 1997

No. 05/1997

PCT APPLICANT'S GUIDE ON THE INTERNET

The current (January 1997) version of the *PCT Applicant's Guide* (Vols. I and II) can now be viewed (and downloaded in complete form as a PDF file) on WIPO's Internet site at the following address:

<http://www.wipo.int/eng/pct/index.htm>

Note that certain forms which are furnished by national and regional Offices as annexes to the National Chapters in Vol. II (for example, forms for entry into the national phase) have not yet been included. However, if the national/regional Office for which the forms are required has an Internet site, such forms may be available via that Office's site.

As with the paper version of the Guide, the Internet version will be updated twice a year, in January and July. However, any sheets which are, in the meantime, published with the PCT Newsletter as provisional sheets for the Guide will be included in the Internet version as soon as the *Newsletter* has been finalized.

TIME LIMITS FOR ENTERING THE NATIONAL PHASE

On page 5, an updated version of a table published in *PCT Newsletter* No. 04/1996, indicates, for each designated/elected Office, the time limits for entering the national (or regional) phase under Chapter I of the PCT (that is, where the Contracting State concerned has been designated but has not been elected under

Chapter II of the PCT within 19 months from the priority date) and under Chapter II (that is, where the Contracting State concerned has been so elected within 19 months from the priority date).

The following States, which have become party to the PCT since the table was last published, are now included in the table: BA Bosnia and Herzegovina, CU Cuba, GH Ghana, IL Israel, LC Saint Lucia, SL Sierra Leone, YU Yugoslavia and ZW Zimbabwe.

BUDAPEST TREATY ACCESSIONS

Ukraine

On 2 April 1997, Ukraine deposited its instrument of accession to the Budapest Treaty on the International Recognition of the

[continued on page 2]

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<i>ter, Summary (EP)</i>	

[continued from cover page]

Deposit of Microorganisms for the Purposes of Patent Procedure. That Treaty will enter into force with respect to Ukraine on 2 July 1997.

South Africa

On 14 April 1997, South Africa deposited its instrument of accession to the Budapest Treaty. That Treaty will enter into force with respect to South Africa on 14 July 1997.

PCT STATISTICS

1 January to 31 March 1997

During the first three months of 1997, the International Bureau of WIPO received 12,042 international applications filed with PCT receiving Offices worldwide, representing an increase of 15.9% over the same period in 1996.

The number of demands for international preliminary examination filed under Chapter II during the same period amounted to 10,011, representing an increase of 26.5% over the same period last year.

Greatest monthly total of international applications received at the International Bureau

During the month of April 1997, the International Bureau of WIPO received 5,339 international applications filed with PCT receiving Offices worldwide, which is 1,398 more than the monthly average for last year. It is the largest number of applications received by the International Bureau in any month since PCT operations began.

PCT INFORMATION UPDATE

AU Australia (location; World Wide Web address)

The location of the Australian Patent Office has changed, as follows:

Location: Discovery House
47 Bowes Street, Phillip,
Canberra A.C.T. 2606
Australia

The World Wide Web address of that Office is as follows:

<http://www.aipo.gov.au>

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (AU))

CZ Czech Republic (fax number)

The fax number of the Industrial Property Office of the Czech Republic has changed, as follows:

Fax: (420-2) 24 32 47 18

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (CZ))

EP European Patent Office (fees)

The new amounts of the national fee, payable as from 1 July 1997 to the European Patent Office as designated and elected Office, were indicated in *PCT Newsletter* No. 02/1997 and are also reflected in the pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (EP), included with this issue.

FI Finland (teleprinter; World Wide Web address)

The National Board of Patents and Registration of Finland has discontinued the use of the teleprinter. The World Wide Web address of that Office is as follows:

<http://www.prh.fi>

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (FI))

GB United Kingdom (location and mailing address)

The location and mailing address of the United Kingdom Patent Office have changed, as follows:

Location and mailing address:

Concept House
Cardiff Road
Newport, South Wales NP9 1RH
United Kingdom

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (GB))

GE Georgia (location and mailing address; telephone and fax numbers)

The location and mailing address, as well as the telephone and fax numbers of the Georgian Patent Office have changed, as follows:

Location and mailing address:

6, I. Chavchavadze Lane 1
Tbilisi 380079, Georgia

Telephone: (995-32) 25 17 60
(995-32) 98 85 18
(995-32) 98 84 19

Fax: (995-32) 98 84 26

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (GE))

GR Greece (fees)

The amounts of the following fees, payable to the Industrial Property Organization of Greece as receiving Office, have changed:

Transmittal fee: see Table I(a)

Fee for priority document: GRD 14,000

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (GR))

JP Japan (deposits of microorganisms; requirements concerning nucleotide and/or amino acid sequence listings; special requirements)

There have been changes in the requirements of the Japanese Patent Office, as designated (or elected) Office, concerning the furnishing of references to deposits of microorganisms, as follows:

Time (if any) earlier than 16 months from the priority date by which applicant must furnish the indications prescribed in PCT Rule 13*bis*.3(a)(i) to (iii):

At the time of filing (must be in the description) (late furnishing of the accession number is allowed, provided that the name of the depositary institution and the relevant information specified in the right-hand column are contained in the description as originally filed; furnishing of the date of deposit is not necessary).

There have also been changes in the requirements of that Office, as International Searching Authority and as designated (or elected) Office, concerning the furnishing and presentation of sequence listings under PCT Rule 13*ter*.1 and 2. The changed requirements, applicable as from 1 April 1997, are as follows:

Requirements concerning nucleotide and/or amino acid sequence listing:

A sequence listing must comply with WIPO Standard ST.23 (see *PCT Gazette* No. 20/1992, pages 8465 to 8485) and be presented on a diskette, as provided in Annex C of the PCT Administrative Instructions (for details, see the modified corresponding part of Annex C, relating to the requirements of the Japanese Patent

Office, in *PCT Gazette* No. 21/1997, page 10747, and also Japanese Regulations concerning the filing of international applications, Sec. 50*ter*)

There has been a consequential addition to the special requirements for entry into the national phase before that Office, as follows:

Special requirements of the Office (PCT Rule 51*bis*):

Furnishing, where applicable, of a diskette which contains coded data of nucleotide and/or amino acid sequence listings as prescribed, together with required documents (see Japanese Patent Regulations, Sec. 27.5)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes D (JP) and L, and Vol. II/B, National Chapter, Summary (JP))

KR Republic of Korea (fees; required contents of the translation for entry into the national phase)

As from 15 June 1997, there will be a change in the amounts of the following fees, payable to the Korean Industrial Property Office as receiving Office:

Basic fee, supplement per sheet over 30 and designation fee: see Table I(a)

There has been a change concerning the required contents of the translation for entry into the national phase under PCT Article 22 before the Korean Industrial Property Office, as follows:

Required contents of the translation for entry into the national phase:

Under PCT Article 22: Description, claims (if amended, as originally filed or as amended together with any statement under PCT Article 19, at applicant's option), any text matter of drawings, abstract.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (KR) and Vol. II/B, National Chapter, Summary (KR))

KZ Kazakstan (teleprinter; means of filing documents)

The Kazak Patent Office has discontinued the use of the teleprinter. That Office now requires that the original of all documents

transmitted by fax be furnished within 14 days from the date of the transmission.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (KZ))

LS Lesotho (location; telephone and fax numbers)

The location and the telephone and fax numbers of the Registrar General's Office of Lesotho have changed, as follows:

Location: Maseru, Lesotho

Telephone: (266) 31 28 56
(266) 31 32 11

Fax: (266) 31 10 92

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (LS))

NO Norway (fees)

The amount of the following fee, payable to the Norwegian Patent Office as designated and elected Office, has changed:

National fee:

Annual fees for the
first three years: NOK 1,500

(Updating of *PCT Applicant's Guide*, Vol. II/B, National Chapter, Summary (NO))

SE Sweden (fax number)

The Swedish Patent Office has an additional fax number, as follows:

Fax: (46-8) 666 02 86 (Group 3)
(46-8) 667 72 88

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (SE))

UA Ukraine (fees)

The amounts of the following fee, payable to the Ukraine Patent Office as receiving Office, have changed as follows:

Fee for priority document: UAH 17.00
plus, for each sheet
in excess of 20: UAH 0.85
plus, for mailing: UAH 85.00
plus, for each sheet in
excess of 30 when mailed: UAH 1.70

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (UA))

YU Yugoslavia (competent International Searching and Preliminary Examining Authorities)

The Federal Intellectual Property Office of Yugoslavia, in its capacity as receiving

Office, has specified the European Patent Office as competent International Searching and Preliminary Examining Authority.

Search fee (Australian Patent Office, Chinese Patent Office, European Patent Office, Japanese Patent Office, Swedish Patent Office)

As from 15 June 1997, there will be a change in the equivalent amounts payable in CHF for an international search carried out by the Chinese Patent Office and in KRW for international searches carried out by the Australian Patent Office and the Japanese Patent Office, as indicated in Table I(b).

Further to the announcement in *PCT Newsletter* No. 02/1997 of a reduction in the fee payable in DEM for an international search carried out by the European Patent Office, the equivalent amounts payable in ATS, BEF, CAD, CHF, DKK, ESP, FIM, FRF, GBP, GRD, IEP, ISK, ITL, JPY, LUF, MWK, NLG, NOK, NZD, PTE, SEK, SGD and USD have now been established. The new amounts, which are payable as from 1 July 1997, are indicated in Table I(b), and are reflected in the pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I/B, Annex D (EP).

As from 1 July 1997, there will be a change in the equivalent amounts payable in DKK, FIM, ISK, NOK and USD for an international search carried out by the Swedish Patent Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AU), (CN), (EP), (JP) and (SE))

REMINDER: NEW TIME LIMIT FOR PAYING EPO DESIGNATION FEES

PCT users are reminded that the new time limit, applicable from 1 July 1997, for the payment of the designation fees at the EPO in respect of European direct applications, that is six months from the date on which the European Patent Bulletin mentions the publication of the European search report, **does not apply to Euro-PCT applications** (that is, PCT applications in which designations for a European patent are made). In such cases, payment of European designation fees **remains due within the time limit of 21 or, under PCT Chapter II, 31 months from the priority date** (or international filing date, where there is no priority claim).

TIME LIMITS FOR ENTERING NATIONAL/REGIONAL PHASE UNDER PCT CHAPTERS I AND II (in months from priority date, or from international filing date if there is no priority claim)								
DO/EO ¹	Chapter I	Chapter II	DO/EO ¹	Chapter I	Chapter II	DO/EO ¹	Chapter I	Chapter II
Regional Offices			National Offices [continued]			National Offices [continued]		
AP	21	31	GE	21	31	NZ	21	31
EA	21	31	GH ⁷	20	30	PL	20	30
EP ²	21	31	HU	21	30	PT ⁴	20	30
OA	20	30	IL	20	30	RO	20	30
National Offices			IS	20	30	RU ³	21	31
AL	21	31	JP	20	30	SD ⁷	20	30
AM ³	21	31	KE ⁷	20	30	SE ⁴	20	30
AT ⁴	20	30	KG ³	21	31	SG	20	30
AU	21	31	KP	20	30	SI	21	31
AZ ³	21	31	KR	20	30	SK	21	30
BA	20	30	KZ ³	21	31	SL ⁹	20	30
BB	20	30	LC	20	30	TJ ³	21	31
BG	21	31	LK	20	30	TM ³	21	31
BR	20	30	LR	21	31	TR	20	30
BY ³	21	31	LS ⁷	21	31	TT	21	31
CA	20	30	LT	21	31	UA	21	31
CH + LI ⁴	20	30	LU ⁴	20	30	UG ⁷	21	31
CN	20	30	LV	21	31	US	20	30
CU	20	30	MD ³	21	31	UZ	21	31
CZ	21	30	MG	20	30	VN	21	31
DE ⁴	20	30	MK	21	31	YU	20	30
DK ⁴	20	30	MN	21	31	ZW ^{7,10}	20	30
EE	20	30	MW ⁷	20	30			
ES ^{2,5}	20	n.a.	MX	20	30			
FI ^{4,6}	20	30	NL ⁸	20	30			
GB ⁴	20	30	NO	20	30			

- The Offices of the following PCT Contracting States are not DOs/EOs. The DO/EO applicable for each of those States is shown in parentheses: BE (EP), BF (OA), BJ (OA), CF (OA), CG (OA), CI (OA), CM (OA), FR (EP), GA (OA), GN (OA), GR (EP),² IE (EP), IT (EP), LI (CH), MC (EP), ML (OA), MR (OA), NE (OA), NL (EP),⁸ SN (OA), SZ (AP), TD (OA), TG (OA).
- As far as the designation of ES for a European patent is concerned, even though ES is not bound by Chapter II, the time limit for entry into the European regional phase for that State is 31 months from the priority date, provided that at least one other State which is party to the EPC and is bound by Chapter II of the PCT has been designated for a European patent and has been elected before the expiration of 19 months from the priority date. The same applies to GR, which became bound by Chapter II on 7 September 1996, in respect of international applications for which the time limit of 19 months from the priority date expired before 7 September 1996 (note that GR may only be designated for a European patent).
- If designated/elected for a Eurasian patent, see EA as DO/EO for the applicable time limits.
- If designated/elected for a European patent, see EP as DO/EO for the applicable time limits.
- If designated for a European patent, see EP as DO/EO for the applicable time limits.
- For international applications filed on or after 1 March 1996, FI may be designated for a European patent (see EP as DO/EO for the applicable time limits in such cases).
- If designated/elected for an ARIPO patent, see AP as DO/EO for the applicable time limits.
- For international applications filed before 1 April 1995, see NL as DO/EO and/or EP as DO/EO for the applicable time limits. For international applications filed on or after 1 April 1995 (date of closing of national route by NL), see only EP as DO/EO for the applicable time limits.
- Will become bound by the PCT on 17 June 1997.
- Will become bound by the PCT on 11 June 1997.

PRACTICAL ADVICE

Withdrawal of the priority claim to postpone entry into the national phase

Q: I filed a demand for international preliminary examination of an international application whose filing date is 6 September 1996 and which claims the priority of one earlier application, filed on 12 September 1995. Unfortunately, the demand was received by the International Preliminary Examining Authority only on 15 April 1997, three days after the expiration of 19 months from the priority date, with the effect that the time limit for entry into the national phase is not extended from 20 to 30 months from the priority date.

(1) If I withdraw my priority claim, will the 19-month time limit for filing the demand be recalculated, with the effect that I can delay entry into the national phase until 30 months from the international filing date?

(2) If the 19-month time limit for filing the demand is not recalculated, will I nevertheless receive the international preliminary examination report?

A: (1) According to PCT Rule 90bis.3(a), an applicant may withdraw a priority claim at any time prior to the expiration of 20 months from the priority date (or, where PCT Article 39(1) applies, 30 months from the priority date). You may therefore withdraw the priority claim, provided the written notice of withdrawal reaches the receiving Office or the International Bureau prior to the expiration of 20 months from the priority date, that is, by 12 May 1997.

Withdrawing the priority claim **would not, however, reinstate the 19-month time limit for filing the demand** which must be met in order for entry into the national phase to be postponed until 30 months from the priority date, or any other time limit which has already expired. PCT Rule 90bis.3(d) states that, where the withdrawal of a priority claim causes a change in the (earliest) priority date, any time limit which is computed from the original priority date and

which has not already expired will be recomputed from the (new) priority date resulting from that change; in your case, the latter date would be the same as the international filing date.

On the other hand, the withdrawal of the priority claim **would cause the (as yet unexpired) time limit for entering the national phase under Chapter I to be recalculated**. Your new time limit for entering the national phase under Chapter I would become 20 months from the international filing date (there being no longer any priority claim and no timely filed demand which would postpone national phase entry under Chapter II), that is 6 May 1998.

Before withdrawing your priority claim, however, you must consider the **consequences that such withdrawal will have on the assessment of novelty and inventive step in the light of the relevant prior art**, which would then include anything published before the international filing date. You may, after considering this factor, prefer to maintain your priority claim rather than to extend the time limit for entry into the national phase.

(2) Even if a demand is filed after the 19-month time limit, an international preliminary examination report (IPER) will still be established and the IPER will be sent to you and to the elected Offices. In the present case, if you decide to withdraw the priority claim, the IPER should reach you prior to entry into the national phase under Chapter I since, according to PCT Rule 69.2(ii), the time limit for establishing the IPER is nine months from the start of the international preliminary examination if the demand was filed after the expiration of 19 months from the priority date. The time limit for establishing the IPER should, therefore, be around 15 January 1998, well before the expiration of the newly calculated 20-month time limit. (You may wish to check with the International Preliminary Examining Authority whether preliminary examination has started, and if it has not started, when it will start.)

PCT SEMINAR CALENDAR			
Dates and Location	Language of seminar	Nature of seminar WIPO speakers (and others where known)	Organizer and contact numbers
14–15 May 1997 Belgrade (YU)	English	WIPO national seminar on the PCT WIPO speakers: Mr. Bryan and Mrs. Coeckelbergs	Federal Intellectual Property Office Tel: (381–11) 222 11 62 Fax: (381–11) 222 23 77
4 June 1997 Mexico D.F. (MX)	Spanish	Presentations on the PCT and on the filing of biotechnology-related patent applications (as part of an international workshop: "Redacción de solicitudes de patente en el área de la biotecnología" (3–6 June 1997)) WIPO speaker: Mr. Bryan	Instituto Mexicano de la Propiedad Industrial (IMPI), WIPO and EPO Tel: (52–5) 624 04 05; (52–5) 624 04 48 and (52–5) 624 04 00 ext 4605 or 4612
4 June 1997 Paris (FR)	French	Basic PCT seminar: "Les procédures PCT" WIPO speaker: Ms. Boutillon Other speaker: Mr. Verone (INPI)	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33) 1 53 04 55 76 Fax: (33) 1 42 93 63 52
5–6 June 1997 Paris (FR)	French	PCT seminar for patent attorneys: "L'intégration du PCT dans une stratégie d'obtention de brevets au niveau international" WIPO speaker: Ms. Boutillon Other speakers: Mr. Haïcour (Elf-Atochem), Mr. Joly (Cabinet Beau de Loménie), Mr. Mathieu (A.E.C.), Mr. Philpott (EPO), Mr. Verone (INPI)	Euroforum (Miss Nathalie Bonnin) Tel: (33) 1 44 88 14 85 Fax: (33) 1 44 88 14 99
12–13 June 1997 London (GB)	English	Basic PCT seminar for patent administrators WIPO speakers: Mr. Wolff and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 364 24
18–19 June 1997 Starnberg (DE)	German	Basic (<i>originally indicated as advanced</i>) PCT seminar for patent administrators WIPO speakers: Mrs. Zahra and Mrs. Coeckelbergs	Forum Institut für Management GmbH Tel: (49–6221) 47 95 12 Fax: (49–6221) 41 16 27
30 June–1 July 1997 Starnberg (DE) (originally scheduled for 16–17 June 1997)	German	PCT symposium for heads and PCT specialists of patent departments and patent attorneys WIPO speakers: Mr. Bartels, Mr. Wolff and Mrs. Coeckelbergs	Forum Institut für Management GmbH Tel: (49–6221) 47 95 12 Fax: (49–6221) 41 16 27
4 July 1997 Mabula Lodge (near Johannesburg), South Africa	English	Presentation on the PCT (as part of the LES South Africa Annual Conference: "Preparing for the new millenium—how to benefit from investment in innovation and technology," 2–5 July 1997) WIPO speaker: Ms. Boutillon	LES South Africa (Mr. Alan Lewis) Tel: (27–11) 642 50 57 Fax: (27–11) 642 19 14 E-mail: alanlew@iafrica.com.
10–11 July 1997 San Francisco (US)	English	Advanced PCT seminar for patent attorneys WIPO speakers: Mr. Matthes and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68
14–15 July 1997 Los Angeles (US)	English	Advanced PCT seminar for patent attorneys and patent administrators WIPO speakers: Mr. Matthes and Mr. Maassel	American Intellectual Property Law Association (AIPLA) Ms. Iris Herndon Tel: (1–703) 415 48 70 Fax: (1–703) 415 07 86
17–18 July 1997 Denver (US)	English	Advanced PCT seminar for patent attorneys and patent administrators WIPO speakers: Mr. Matthes and Mr. Maassel	American Intellectual Property Law Association (AIPLA) Ms. Iris Herndon Tel: (1–703) 415 48 70 Fax: (1–703) 415 07 86
21–22 July 1997 Oklahoma City (US)	English	Basic PCT seminar for patent attorneys and patent administrators WIPO speakers: Mr. Matthes and Mr. Maassel	American Intellectual Property Law Association (AIPLA) Ms. Iris Herndon Tel: (1–703) 415 48 70 Fax: (1–703) 415 07 86

PCT FEE TABLES

The following Tables show the amounts (including currencies—see the key to currency abbreviations following Table II) of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation and confirmation fees and the handling fee, as well as the transmittal fee payable to the International Bureau as receiving Office, is available to applicants from certain States; see footnote 2 for details. Footnotes to all Tables follow Table II.

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 May 1997, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2} (CHF 762)	Supplement per sheet over 30 ^{1,2} (CHF 15)	Designation fee ^{1,2,3} (CHF 185)	Competent ISA(s) ⁴
AL	ALL 9,000	CHF 762	15	185	EP
AM	AMD 32,000	USD 530	10	128	EP RU
AP	USD 70 (or equiv in local currency)	USD 530	10	128	AT EP SE
AT	ATS 700	ATS 6,730	133	1,630	EP
AU	AUD 100	AUD 685	13	166	AU
AZ	AZM ⁵ —	USD 530	10	128	EP RU
BE	BEF 1,500	BEF 19,700	388	4,780	EP
BG	BGL 3,000	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRR 236	BRR equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	BYR equiv of USD 70	USD 530	10	128	EP RU
CA	CAD 200	CAD 708	14	172	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CU	USD (or CUP equiv) 200	USD (or CUP equiv) 530	USD (or CUP equiv) 10	USD (or CUP equiv) 128	AT EP ES RU
CZ	CZK 1,500	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 955	19	232	EP
DK	DKK 1,500	DKK 3,740	74	910	EP SE
EA	RUR equiv of USD 100	USD 530	10	128	EP RU
EE	EEK 1,500	EEK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
EP ⁶	DEM 200	DEM 955	19	232	EP
ES	ESP 9,470	ESP 74,300	1,500	18,000	EP ES
FI	FIM 800	FIM 2,900	55	700	EP SE
FR	FRF 400	FRF 3,300	65	800	EP
GB	GBP 55	GBP 328	6	80	EP
GE	GEL ⁵ —	USD 530	10	128	EP RU

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 May 1997, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2} (CHF 762)	Supplement per sheet over 30 ^{1,2} (CHF 15)	Designation fee ^{1,2,3} (CHF 185)	Competent ISA(s) ⁴
GR	GRD 39,500	GRD 145,000	3,000	35,000	EP
HU	HUF ⁷ 10,000	HUF equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
IB	CHF ² 300 or USD ² 200	CHF 762 or USD 530	15 10	185 128	See footnote ⁸
IE	IEP 60	IEP 335	7	82	EP
IL	ILS 365	USD 530	10	128	EP US
IS	ISK 5,500	ISK 37,400	700	9,100	EP SE
IT	ITL 60,000	ITL 953,000	19,000	232,000	EP
JP	JPY 18,000	JPY 67,400	1,300	16,400	EP JP
KE	USD (or KES equiv) 30	USD 530	10	128	AT AU CN EP SE
KG	KGS equiv of USD 60	USD 530	10	128	EP RU
KP	KPW equiv of CHF 50	KPW equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
KR	KRW 45,000	KRW 544,000 (from 15.6.97: 507,000)	10,700 (10,000)	132,000 (123,000)	AT AU JP
KZ	KZT ⁵ —	USD 530	10	128	EP RU
LR	USD 45	USD 530	10	128	AT AU CN EP SE
LS	LSM ⁵ —	LSM equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP
LT	LTL equiv of USD 80	USD 530	10	128	EP RU
LU	LUF/BEF 1,000	LUF/BEF 19,700	388	4,780	EP
LV	LVL 40	USD 530	10	128	EP RU
MC	FRF 300	FRF 3,300	65	800	EP
MD	MDL ⁵ 54	USD 530	10	128	EP RU
MK	MKD 2,750	MKD equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
MN	None	CHF 762	15	185	EP RU
MW	MWK 8	MWK 8,600	200	2,100	EP
MX	MXP equiv of USD 200	MXP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP ES SE US
NL	NLG 110	NLG 1,070	21	260	EP
NO	NOK 500	NOK 3,660	70	890	EP SE
NZ	NZD 155	NZD 784	15	190	AU EP US
PL	PLZ 200	PLZ equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,600	PTE 89,000	1,800	22,000	EP
RO	ROL 10,000	CHF 762	15	185	AT EP RU
RU	RUR 294,000	USD 530	10	128	EP RU
SD	SDP 50	SDP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 1,000	SEK 4,200	80	1,000	EP SE
SG	SGD 120	SGD 743	15	180	AT AU EP
SI	SIT See footnote ⁹	SIT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 May 1997, unless otherwise indicated)

RO	Transmittal fee ¹		Basic fee ^{1,2} (CHF 762)		Supplement per sheet over 30 ^{1,2} (CHF 15)	Designation fee ^{1,2,3} (CHF 185)	Competent ISA(s) ⁴
SK	SKK	1,600	SKK	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	TJR ⁵	—	USD	530	10	128	EP RU
TM	USD ⁵	—	USD	530	10	128	EP RU
TR	CHF	100	CHF	762	15	185	AT AU CN EP RU
TT	TTD	750	USD	530	10	128	AT EP SE US
UA	UAH	255	USD	530	10	128	EP RU
US	USD	230	USD	530	10	128	EP US
UZ	USD ⁵	—	USD	530	10	128	EP RU
VN	USD	50	VND	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Table I(b) — SEARCH FEES
(as at 1 May 1997, unless otherwise indicated)

ISA	Search fee ¹											
AT	ATS	2,200	CHF	268	KRW	177,000	SGD	290	USD	200		
AU	AUD	800	CHF*	787	KRW**	471,000	NZD	905	SGD	900	USD	640
	*(from 15.5.97:		CHF	912)	**(from 15.6.97		KRW	609,000)				
CN	CNY	800	CHF*	125	USD	100	*(from 15.6.97:		CHF	144)		
EP ¹⁰	DEM*	2,400	CHF*	2,080	FRF*	8,280	ISK*	108,100	MWK*	25,440	PTE*	248,500
	ATS*	17,140	DKK*	9,430	GBP*	1,003	ITL*	2,497,000	NLG*	2,740	SEK*	10,840
	BEF*	50,300	ESP*	206,900	GRD*	390,900	JPY*	169,000	NOK*	9,570	SGD*	2,200
	CAD*	2,150	FIM*	7,500	IEP*	988	LUF*	50,300	NZD*	2,280	USD*	1,425
	*(from 1.7.97:											
	DEM	2,200	CHF	1,900	FRF	7,590	ISK	93,000	MWK	19,600	PTE	227,800
	ATS	15,710	DKK	8,640	GBP	815	ITL	2,222,000	NLG	2,510	SEK	10,190
	BEF	46,100	ESP	189,700	GRD	358,300	JPY	162,000	NOK	8,800	SGD	1,890
	CAD	1,800	FIM	6,880	IEP	846	LUF	46,100	NZD	1,890	USD	1,310)
ES	ESP	72,190	CHF	737	USD	500						
JP	JPY	77,000	CHF	870	KRW*	622,000	USD	690	*(from 15.6.97:		KRW	607,000)
RU ¹⁰	RUR	840,000	CHF	292	USD	200						
SE	SEK	6,200 ¹¹	6,800 ¹²	FIM*	4,500 ¹¹	4,950 ¹²	USD*	960 ¹¹	1,055 ¹²			
	CHF	1,180 ¹¹	1,290 ¹²	ISK*	64,000 ¹¹	70,000 ¹²						
	DKK*	5,500 ¹¹	6,050 ¹²	NOK*	6,150 ¹¹	6,750 ¹²						
	*(from 1.7.97:											
				DKK	5,300 ¹¹	5,800 ¹²	FIM	4,200 ¹¹	4,600 ¹²			
				ISK	58,500 ¹¹	64,000 ¹²	NOK	5,750 ¹¹	6,300 ¹²			
				USD	815 ¹¹	895 ¹²)						
US	USD	680	440 ¹³	CHF	926	600 ¹³	NZD	980	634 ¹³			

Table II — PRELIMINARY EXAMINATION FEES

(as at 1 May 1997, unless otherwise indicated)

IPEA	Preliminary examination fee¹⁴				Handling fee^{2,14} (CHF 233)							
AT	ATS	2,200			ATS	2,060						
AU	AUD	450			AUD	210						
CN	CNY	800			CNY equiv of CHF 233							
EP¹⁰	DEM	3,000	FIM	9,380	LUF	62,900	DEM	292	FIM	887	ITL	295,000
	ATS	21,430	FRF	10,340	NLG	3,430	ATS	2,060	FRF	1,000	LUF	6,020
	BEF	62,900	GBP	1,253	PTE	310,600	BEF	6,020	GBP	100	NLG	328
	CHF	2,600	GRD	488,600	SEK	13,540	CHF	233	GRD	44,000	PTE	27,000
	DKK	11,790	IEP	1,235			DKK	1,140	IEP	102	SEK	1,300
	ESP	258,600	ITL	3,122,000			ESP	23,500				
JP	JPY	28,000			JPY	20,600						
RU¹⁰	RUR	1,260,000	USD	300	USD	162						
SE	SEK	4,200			SEK	1,300						
US	USD	480	730 ¹⁵		USD	162						

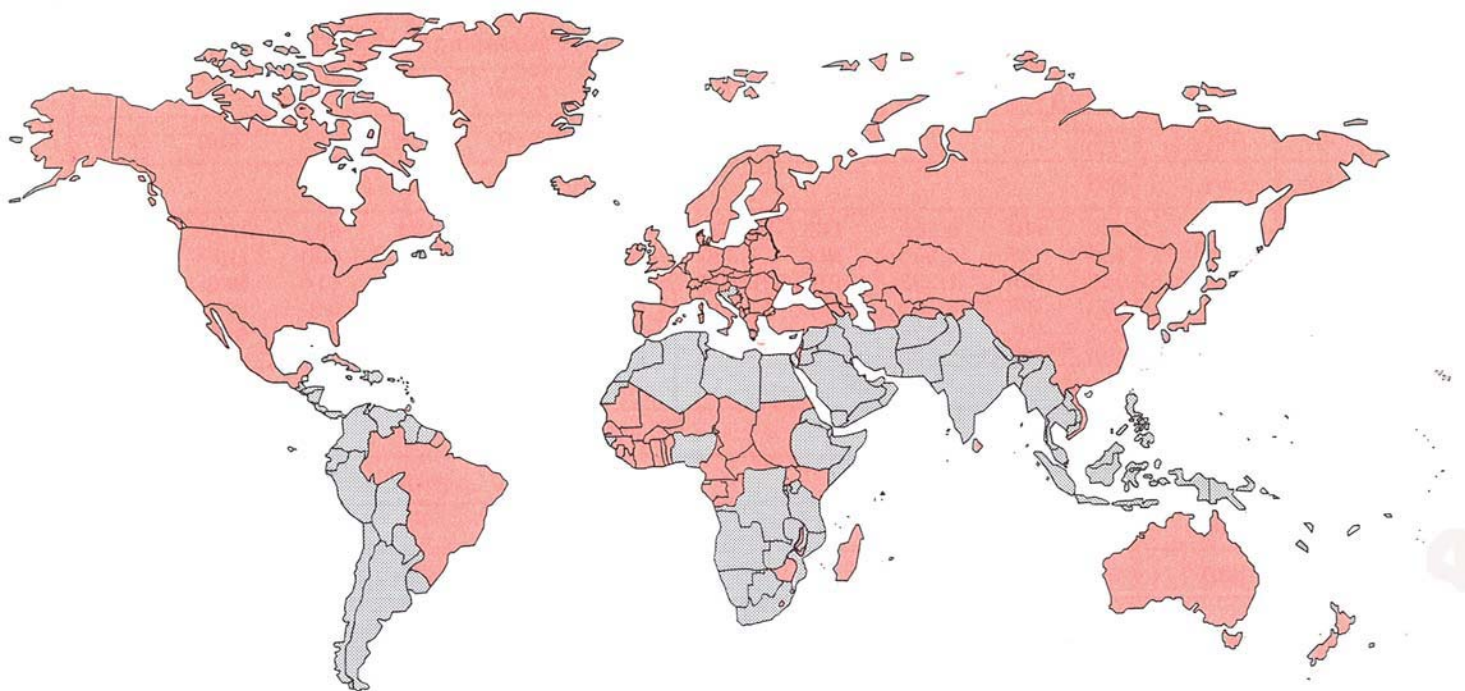
Key to currency abbreviations for all fee tables:

ALL Albanian lek	CUP Cuban convertible peso	IEP Irish pound	LUF Luxembourg franc	RUR Russian rouble
AMD Armenian dram	CZK Czech koruna	ILS New Israel shekel	LVL Latvian lat	SDP Sudanese pound
ATS Austrian schilling	DEM Deutsche mark	ISK Icelandic krona	MDL Moldovan leu	SEK Swedish krona
AUD Australian dollar	DKK Danish krone	ITL Italian lira	MKD Macedonian dinar	SGD Singapore dollar
AZM Azerbaijani manat	EEK Estonian kroon	JPY Japanese yen	MWK Malawian kwacha	SIT Slovenian tolar
BEF Belgian franc	ESP Spanish peseta	KES Kenyan shilling	MXP Mexican peso	SKK Slovak koruna
BGL Bulgarian lev	FIM Finnish markka	KGS Kyrgyz som	NLG Netherlands guilder	TJR Tajik rouble
BRR Brazilian real	FRF French franc	KPW KP won	NOK Norwegian krone	TTD Trinidad and Tobago dollar
BYR Belarussian rouble	GBP Pound sterling	KRW KR won	NZD New Zealand dollar	UAH Ukrainian hryvnia
CAD Canadian dollar	GEL Georgian lari	KZT Kazak tenge	PLZ Polish zloty	USD US dollar
CHF Swiss franc	GRD Greek drachma	LSM Lesotho loti	PTE Portuguese escudo	VND Vietnamese dong
CNY Yuan renminbi	HUF Hungarian forint	LTL Lithuanian litas	ROL Romanian leu	

Footnotes for all fee tables:

- ¹ Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- ² This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Armenia, Azerbaijan, Belarus, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Côte d'Ivoire, Cuba, Czech Republic, Democratic People's Republic of Korea, Estonia, Gabon, Georgia, Ghana, Guinea, Hungary, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone (from 17 June 1997), Slovakia, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, Uzbekistan, Viet Nam, Yugoslavia or Zimbabwe (from 11 June 1997). For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- ³ The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- ⁴ For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- ⁵ The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- ⁶ Payments can also be made in ATS, BEF, CHF, DKK, ESP, FIM, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE and SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal of the EPO*.
- ⁷ A supplement of HUF 500 is also payable for each claim in excess of 10.
- ⁸ The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- ⁹ 5% of the total value of the basic fee, supplement per sheet over 30, designation fee and search fee.
- ¹⁰ In the case of the EPO, nationals (natural or legal persons) of States which fulfill the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, or of countries in transition ("reform States"), may be eligible for fee reductions. In the case of the Russian Patent Office, nationals of certain developing countries may be eligible for fee reductions. For details, including the amount of the fee reduction, the countries concerned and applicable conditions, see *PCT Applicant's Guide*, Vol. I/B, Annex D (EP) and (RU) and Annex E (EP) and (RU).
- ¹¹ If, on an earlier application, the priority of which is claimed, a search report has been issued by the Swedish Patent Office, the Danish Patent Office, the National Board of Patents and Registration (Finland), the Norwegian Patent Office or the Icelandic Patent Office.
- ¹² In all cases where footnote 11 does not apply.
- ¹³ Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.
- ¹⁴ Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- ¹⁵ Payable when the international search report was not established by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

PCT CONTRACTING STATES AND TWO-LETTER CODES (91 on 1 May 1997)



AL Albania ¹	CN China	KG Kyrgyzstan (EA)	MN Mongolia	SZ Swaziland (AP) ²
AM Armenia (EA)	CU Cuba	KP Democratic	MR Mauritania (OA) ²	TD Chad (OA) ²
AT Austria (EP)	CZ Czech Republic	People's Republic	MW Malawi (AP)	TG Togo (OA) ²
AU Australia	DE Germany (EP)	of Korea	MX Mexico	TJ Tajikistan (EA)
AZ Azerbaijan (EA)	DK Denmark (EP)	KR Republic of Korea	NE Niger (OA) ²	TM Turkmenistan (EA)
BA Bosnia and	EE Estonia	KZ Kazakhstan (EA)	NL Netherlands (EP) ²	TR Turkey
Herzegovina	ES Spain (EP) ³	LC Saint Lucia	NO Norway	TT Trinidad and
BB Barbados	FI Finland (EP)	LI Liechtenstein (EP)	NZ New Zealand	Tobago
BE Belgium (EP) ²	FR France (EP) ²	LK Sri Lanka	PL Poland	UA Ukraine
BF Burkina Faso (OA) ²	GA Gabon (OA) ²	LR Liberia	PT Portugal (EP)	UG Uganda (AP)
BG Bulgaria	GB United Kingdom (EP)	LS Lesotho (AP)	RO Romania ¹	US United States of
BJ Benin (OA) ²	GE Georgia	LT Lithuania ¹	RU Russian Federa-	America
BR Brazil	GH Ghana (AP)	LU Luxembourg (EP)	tion (EA)	UZ Uzbekistan
BY Belarus (EA)	GN Guinea (OA) ²	LV Latvia ¹	SD Sudan (AP)	VN Viet Nam
CA Canada	GR Greece (EP) ²	MC Monaco (EP) ²	SE Sweden (EP)	YU Yugoslavia
CF Central African	HU Hungary	MD Republic of	SG Singapore	ZW Zimbabwe (AP)
Republic (OA) ²	IE Ireland (EP) ²	Moldova (EA)	SI Slovenia ¹	(from 11 June
CG Congo (OA) ²	IL Israel	MG Madagascar	SK Slovakia	1997)
CH Switzerland (EP)	IS Iceland	MK The former	SL Sierra Leone (from	
CI Côte d'Ivoire (OA) ²	IT Italy (EP) ²	Yugoslav Republic	17 June 1997)	
CM Cameroon (OA) ²	JP Japan	of Macedonia	SN Senegal (OA) ²	
	KE Kenya (AP)	ML Mali (OA) ²		

¹ Extension of European patent possible.

² May only be designated for a regional patent (the national route has been closed).

³ Not bound by Chapter II.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), new versions of which are normally issued twice yearly. The latest versions are dated January 1997. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide*, and can be printed from the WIPO Internet site, in PDF format, at: <http://www.wipo.int/eng/pct/index.htm>. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from receiving Offices, International Preliminary Examining Authorities or the International Bureau.

D International Searching Authorities D
EP EUROPEAN PATENT OFFICE (EPO) EP

Search fee (PCT Rule 16): ¹	Deutsche mark (DEM)	2,400	(2,200)*
	Pound sterling (GBP) ²	1,003	(815)*
	French franc (FRF) ²	8,280	(7,590)*
	Swiss franc (CHF) ²	2,080	(1,900)*
	Netherlands guilder (NLG) ²	2,740	(2,510)*
	Swedish krona (SEK) ²	10,840	(10,190)*
	Belgian/Luxembourg franc (BEF/LUF) ²	50,300	(46,100)*
	Italian lira (ITL) ²	2,497,000	(2,222,000)*
	Austrian schilling (ATS) ²	17,140	(15,710)*
	Spanish peseta (ESP) ²	206,900	(189,700)*
	Greek drachma (GRD) ²	390,900	(358,300)*
	Danish krone (DKK) ²	9,430	(8,640)*
	Portuguese escudo (PTE) ²	248,500	(227,800)*
	Irish pound (IEP) ²	988	(846)*
	Finnish markka (FIM) ²	7,500	(6,880)*
	Canadian dollar (CAD) ³	2,150	(1,800)*
	Icelandic krona (ISK) ³	108,100	(93,000)*
	Japanese yen (JPY) ³	169,000	(162,000)*
	Malawi kwacha (MWK) ³	25,440	(19,600)*
	Norwegian krone (NOK) ³	9,570	(8,800)*
New Zealand dollar (NZD) ³	2,280	(1,890)*	
Singapore dollar (SGD) ³	2,200	(1,890)*	
US dollar (USD) ³	1,425	(1,310)*	
Additional search fee (PCT Rule 40.2): ⁴	Same amounts as above in DEM, GBP, FRF, CHF, NLG, SEK, BEF/LUF, ITL, ATS, ESP, GRD, DKK, PTE, IEP or FIM		
Fee for copies of documents cited in the international search report (PCT Rule 44.3):	For applicants: none; applicant receives, together with the international search report, a copy of each document cited therein For designated Offices: DEM 1.30 per page ⁵		
Fee for translation into English of the international application (PCT Rule 48.3(b)): ⁶	Per 100 words of the original text: DEM 40 or NLG 45 or BEF 850		

[Continued on next page]

* The amount in parentheses is applicable as from 1 July 1997.

¹ This fee is payable to the receiving Office in the currency or one of the currencies accepted by it (see Annex C). It can be reduced by 75% if the international application is filed by a national of a State which fulfills the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations (see also footnote 6 to Annex C(IB) and *PCT Gazette* No. 50/1996, pages 19233 and 19234) in accordance with the decision of the EPO's Administrative Council of 14 June 1996. However, for nationals of "countries in transition" ("reform States") the decision of the EPO's Administrative Council of 15 December 1994 still applies for a period of three years as from 1 April 1995. For further details, see *PCT Gazette* No. 46/1996, pages 19814 to 19824.

² The equivalent amounts in currencies other than DEM are subject to change, due to currency fluctuations. For the current amount, reference should be made to the latest issue of the OJ EPO.

³ The equivalent amounts in currencies other than DEM are subject to change due to currency fluctuations. For the current amount, reference should be made to the latest issue of the *PCT Gazette* or the *PCT Newsletter*.

⁴ This fee is payable to the International Searching Authority and only in particular circumstances. The second and third sentences of footnote 1 also apply.

⁵ For the equivalent amounts in currencies other than DEM, reference should be made to the latest issue of the OJ EPO.

⁶ This fee is payable to the International Searching Authority and only in particular circumstances.

D International Searching Authorities D**EP EUROPEAN PATENT OFFICE (EPO) EP**

[Continued]

Conditions for refund and amount of refund of the search fee:

Money paid by mistake, without cause, or in excess, will be refunded.

Where the international application is withdrawn or is considered withdrawn, under PCT Article 14(1), (3) or (4), before the start of the international search: refund of 100%.

Where the international search report is based on an earlier search (including a privately commissioned "standard" search) already made by the Authority on an application whose priority is claimed for the international application, the following refund is made:

- no supplementary search performed: refund of 100%;
- supplementary search performed in the documentation relating to one or more subdivisions consulted in the earlier search or extended to one or more subdivisions not yet consulted: refund of 75%;
- supplementary search performed in the documentation relating to one or more subdivisions already consulted and extended to one or more subdivisions not yet consulted: refund of 50%;
- supplementary search performed in the documentation relating to subdivisions concerning a new aspect of the invention claimed (for instance, cases where the international application is based on several earlier applications only one of which was the subject of an earlier search report): refund of 25%.

Protest fee (PCT Rule 40.2(e)):

Deutsche mark (DEM)⁷

2,000

Languages accepted for international search:

English, French, German and, where the international application is filed with the Patent Offices of Belgium or the Netherlands, Dutch. International applications filed in Spanish at the Mexican Patent Office as receiving Office are accepted for international search on the basis of a translation prepared under the responsibility of that Office (PCT Rule 12.1(c)).

Requirements concerning nucleotide and/or amino acid sequence listing:

A sequence listing must comply with WIPO Standard ST.23 (see *PCT Gazette* No. 20/1992, pages 8465 to 8485) and be presented on an electronic data carrier (diskette), as provided in Annex C of the PCT Administrative Instructions (see *PCT Gazette* No. 24/1996, page 9491, and also OJ EPO 12/1992, Supplement No. 2)

Subject matter that will not be searched:

The subject matter specified in items (i) to (vi) of PCT Rule 39.1 with the exception of all subject matter which is searched under the European patent grant procedure

⁷ For the equivalent amounts in currencies other than DEM, reference should be made to the latest issue of the OJ EPO.

SUMMARY**Designated
(or elected) Office****SUMMARY****EP****EUROPEAN PATENT OFFICE (EPO)****EP****Summary of requirements for entry into the national phase¹**

Time limits applicable for entry into the national phase:	Under PCT Article 22: 21 months from the priority date Under PCT Article 39(1): 31 months from the priority date
Translation of international application required into:	English, French or German
Required contents of the translation for entry into the national phase:	Under PCT Article 22: Description, claims (if amended, both as originally filed and as amended, if the applicant wishes the amendments to form the basis for the proceedings, together with any statement under PCT Article 19), ² any text matter of drawings, abstract Under PCT Article 39(1): Description, claims, any text matter of drawings (if any of those parts amended, both as originally filed and as amended by the annexes to the international preliminary examination report and claims amended under PCT Article 19, if the applicant wishes these amendments to form the basis for the proceedings, together with any statement under PCT Article 19), ² abstract
Is a copy of the international application required?	No
National fee:	Currency: Deutsche mark (DEM) ³ National fee, ⁴ comprising <ul style="list-style-type: none"> - basic national fee: DEM 600 (250)* - designation fee for each EPO Contracting State designated and for the joint designation of Switzerland and Liechtenstein: DEM 350 (150)* - claims fee for the 11th and each subsequent claim: DEM 80 Search fee: ⁴ DEM 1,900 (1,700)* Surcharge for late payment of the national fee, a designation fee or the search fee: 50% of the relevant fee, but not to exceed a total of: DEM 1,400 Examination fee: ⁵ DEM 2,800 Surcharge for late filing of the request for examination: DEM 1,400 Renewal fee for the third year: ⁶ DEM 750

[Continued on next page]

* The amount in parentheses is applicable as from 1 July 1997.

¹ See also the "Information for PCT Applicants" in Supplement No. 1 to OJ EPO 12/1992, pages 1 to 15 concerning the EPO as designated Office and pages 16 to 32 concerning the EPO as elected Office, and amendments in OJ EPO 1-2/1994, pages 131 to 136.² Where the applicant furnishes only one translation, either of the claims as filed or as amended, the claims which have not been translated will be disregarded; no invitation to furnish the missing translation will generally be issued (PCT Rule 49.5(c^{bis})).³ Payments can also be made in the following currencies: Pound sterling, French franc, Swiss franc, Netherlands guilder, Swedish krona, Belgian/Luxembourg franc, Italian lira, Austrian schilling, Spanish peseta, Greek drachma, Danish krone, Portuguese escudo, Irish pound or Finnish markka. For the equivalent amounts fixed by the EPO in those currencies, reference should be made to the latest issue of the OJ EPO.⁴ Must be paid within 21 months or 31 months from the priority date depending on whether PCT Article 22 or 39(1) applies.⁵ A written request for examination must be made and the examination fee must be paid within six months after the publication by the International Bureau of the international search report or within the time limit applicable under PCT Article 22 or 39(1) (21 months or 31 months from the priority date), whichever expires later.⁶ This fee is due on the last day of the month containing the second anniversary (24 months) of the international filing date; where PCT Article 39(1) applies, it is due within 31 months from the priority date if that 31-month time limit expires later.

SUMMARY**Designated
(or elected) Office****SUMMARY****EP****EUROPEAN PATENT OFFICE (EPO)****EP***[Continued]***Extension fee:**

Fee for extension of the European patent to Albania, Latvia, Lithuania, Romania or Slovenia (the country concerned must have been designated in the international application)⁷ DEM 200

Surcharge for late payment: 50% of the extension fee⁸

Exemptions, reductions or refunds of the national fee:

No search fee is payable where the international search report has been established by the EPO, the Austrian Patent Office, the Spanish Patent and Trademark Office or the Swedish Patent Office

The search fee is reduced by 20% where the international search report has been established by the Australian Patent Office, the Chinese Patent Office, the Japanese Patent Office, the Russian Patent Office or the United States Patent and Trademark Office

The examination fee is reduced by 50% where an international preliminary examination report has been established by the EPO

Furthermore, in certain cases the examination fee is reduced by 20% for language reasons (see paragraph EP.15 of national chapter EP)

Special requirements of the Office (PCT Rule 51^{bis}):

The translation and the amendments to the international application must be filed in triplicate (this applies particularly to the amendments annexed to the international preliminary examination report if the applicant wishes them to be taken into consideration for the proceedings before the EPO)⁹

Name and address of the inventor if they have not been furnished in the "Request" part of the international application⁹

Appointment of an agent if the applicant has neither a residence nor his principal place of business within the territory of one of the Contracting States of the European Patent Convention⁹

Filing, where applicable, of nucleotide and/or amino acid sequence listings on an electronic data carrier (diskette), as prescribed, including the required statements (see OJ EPO 12/1992, Supplement No. 2)⁹

Who can act as agent?

Any professional representative entered on the relevant list maintained by the EPO (the directory of professional representatives can be ordered at the EPO, Munich)

Any legal practitioner qualified to practice in patent matters in one of the States party to the European Patent Convention and who has his place of business in that State

⁷ Must be paid within 21 months or 31 months from the priority date depending on whether PCT Article 22 or 39(1) applies. See also OJ EPO 1994, 75, 82.

⁸ But not to exceed a total of DEM 1,400, comprising the national and search fees.

⁹ If not already complied with within the time limit applicable under PCT Article 22 or 39(1) (21 months or 31 months from the priority date), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.