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REDUCTIONS IN PCT FEES

The PCT Union Assembly held its twenty-seventh (12th ordinary) session in Geneva during the period from 20 to 29 September 1999, as part of the meetings of the Assemblies of the member States of WIPO, and made the following decisions concerning reductions in PCT fees payable for the benefit of the International Bureau.

Reduction in the amount of the designation fee and in the maximum number of designation fees payable

The Assembly decided to amend the Schedule of Fees to decrease the designation fee, with effect from 1 January 2000, from 150 Swiss francs to 140 Swiss francs. This reduction will apply both to express designations made under PCT Rule 4.9(a) and to precautionary designations under PCT Rule 4.9(b) confirmed under PCT Rule 4.9(c).

In addition, the maximum number of designation fees payable will be decreased, also with effect from 1 January 2000, from 10 to 8. It is recalled that the maximum number of designation fees payable relates only to express designations made under PCT Rule 4.9(a), not to precautionary designations being later confirmed under PCT Rule 4.9(c).

The fee reductions will benefit all applicants since the international fee will be decreased by an amount ranging from 1% for an application containing only one designation to about 17% for an application containing 8 or more designations.

At present, the maximum designation fee is paid in respect of more than half of all PCT applica-

tions. Paying that maximum amount while designating all PCT Contracting States allows applicants to keep all possible options open until the end of the international phase before deciding whether, and in which designated and/or elected States, to proceed with the application into the national/regional phase.

The reduction in the designation fee and in the maximum number of designation fees payable will have the effect that:

(1) for international applications received on or after 1 January 2000: no designation fee will be due for any designation made under PCT Rule 4.9(a) in excess of 8; this means that the maximum amount payable of 1,120 Swiss francs (instead of 1,500 Swiss francs, which is the current maximum amount payable), will cover all possible designations made under PCT Rule 4.9(a);

[continued on page 2]

INSIDE THIS ISSUE

Reductions in PCT fees <i>[continued]</i>	2
New equivalent amounts of fees	2
Amendments of the PCT Regulations concerning priority claims	2-3
PCT information update	3
Budapest Treaty accession	3
Paris Convention accession	4
Practical advice	4
PCT seminar calendar	5-7
PCT fee tables	8-11
PCT Contracting States and two-letter codes	12
<i>Tear-out sheets: provisional sheets for the PCT Applicant's Guide, Vol. I/A, Annex B1 (CR), and Vol. I/B, Annex C (CR)</i>	

[continued from cover page]

(2) for international applications received before 1 January 2000: the maximum number of eight (not ten) designation fees, and the lower designation fee of 140 Swiss francs will also be payable under the following circumstances:

- (a) if the date on which the designation fees are due is later than one month from the date of receipt of the international application (that is, where the time limit under PCT Rule 15.4(b)(i) applies (one year from the priority date)) (see PCT Rule 15.4(c)); **and**
- (b) the due date for payment of the designation fees (see item 1) falls on or after 1 January 2000; **and**
- (c) the designation fees are actually paid on or after 1 January 2000.

The amended Schedule of Fees, as in force from 1 January 2000, will be included as an insert in an upcoming issue of the *PCT News-*

letter. When the request form (PCT/RO/101) is next updated (with effect from January 2000), the fee calculation sheet and accompanying notes (which are annexed to the request) will take into account the new amount and the new maximum number of designation fees payable.

NEW EQUIVALENT AMOUNTS OF FEES

The equivalent amounts in other currencies of the new amount of the designation fee will be published in the next issue of the *PCT Newsletter*, along with new equivalent amounts of the basic fee, the supplement per sheet over 30, the PCT-EASY reduction, the international search fee and the handling fee, which will be applicable as from 1 January 2000, but which are still to be confirmed by the Offices concerned.

AMENDMENTS OF THE PCT REGULATIONS CONCERNING PRIORITY CLAIMS

The PCT Union Assembly adopted, at the session referred to above, amendments to PCT Rule 4.10 concerning priority claims, with the result that, with effect from 1 January 2000, it will be possible to claim the priority of an application filed in or for a State which is a Member of the World Trade Organization (WTO), **even if that State is not party to the Paris Convention for the Protection of Industrial Property** (Paris Convention). The amendments have been adopted in order to bring PCT Rule 4.10 into line with Article 2.1 of the WTO administered Agreement on Trade-Related Aspects of Intellectual Property (TRIPS Agreement), by which a large number of Members of the WTO will become bound on 1 January 2000. An explanation follows.

Under the present PCT Rules, where an international application contains a priority claim based on an earlier application filed in a Member of the WTO that is not a party to the Paris Convention, such a priority claim will, under PCT Rule 26*bis*, be considered not to have been made. However, upon request of the applicant and subject to certain conditions, information about such a priority claim is published together with the international application, which makes it easier for the designated States that are bound by Article 2.1 of the TRIPS Agreement to fulfil their obligation, under that Article, to recognize the priority regardless of the procedural treatment of that priority claim for the purposes of the PCT international phase.

In view of the fact that a large number of the Members of the WTO that are not yet bound

PCT MATERIALS ON INTERNET (<http://www.wipo.int>)

*PCT Gazette**
 PCT Information Line
PCT Newsletter (Nos. 01/1997–10/1999)
 Practical advice published in the *PCT Newsletter* between March 1994 and December 1996
PCT Applicant's Guide
 PCT-EASY
 Recent PCT press releases/updates
 PCT seminar calendar*
*Basic Facts about the PCT**
 The PCT in 1998
 About the Treaty
 Text of the Patent Cooperation Treaty
 Status of ratifications
 Text of the Regulations under the PCT
 Administrative Instructions under the PCT
 PCT Receiving Office Guidelines
 PCT International Search Guidelines
 PCT International Preliminary Examination Guidelines
 Direct filing of PCT applications with the International Bureau as PCT receiving Office
 PCT forms
 PCT Assembly documents: 1997, 1998, 1999

*New or revised since last issue of the *PCT Newsletter*

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by Article 2.1 of the TRIPS Agreement will become bound by that Article on 1 January 2000, and in order to ease the burden on applicants claiming priority which many PCT Contracting States must recognize if they are bound by Article 2.1 of the TRIPS Agreement, it is appropriate to permit applicants, as from that date, to include in their international applications claims to priority based on an earlier filing in a WTO Member which is not party to the Paris Convention. **However, a PCT Contracting State that is not a Member of the WTO would not be obliged to recognize the effects of such a priority claim.**

If the amendments to PCT Rule 4.10 (paragraphs (a) and (b)) which are to enter into force on 1 January 2000 are not compatible with the national law applied by a designated Office, those paragraphs as in force until 31 December 1999 will, according to new PCT Rule 4.10(d), continue to apply after that date in respect of that designated Office for as long as the said paragraphs as amended continue not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by 31 October 1999. The names of any Offices which so inform the International Bureau will be published in the *PCT Gazette* and in the *PCT Newsletter*.

The text of PCT Rule 4.10, as amended with effect from 1 January 2000, will be included as an insert in an upcoming issue of the *PCT Newsletter*.

PCT INFORMATION UPDATE

CR Costa Rica (general information)

Information about Costa Rica as a Contracting State and information on the Registry of Intellectual Property of Costa Rica as receiving Office is set out on pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I/A, Annex B1 (CR), and Vol. I/B, Annex C (CR).

IB International Bureau (bank account number)

The WIPO bank account numbers to be used for the payment of fees by bank transfer to the International Bureau have changed, as follows:

WIPO bank account Nos.:

4251-487080-81 (Swiss francs) or
4251-487080-82 (US dollars),
SWIFT code: CRESCHZZ12A
at the Crédit Suisse, 1211 Geneva 70,
Switzerland

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IB), footnote 6)

KR Republic of Korea (types of protection)

There has been a change in the types of protection available in the Republic of Korea via the PCT: utility models may now be sought instead of or in addition to a patent.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (KR))

OA African Intellectual Property Organization (OAPI)

The amounts of the following national fees for utility models, payable to the African Intellectual Property Organization as designated and elected Office, have changed:

filing fee:	XAF	60,000
fee for priority claims, per priority:	XAF	25,000
publication fee:	XAF	145,000
claim fee for each claim in excess of 10:	none	
fee for acceptance of description and drawings:		
– for 11 to 20 sheets:	XAF	65,000
– for 21 to 30 sheets:	XAF	100,000
– for 31 to 40 sheets:	XAF	135,000
– after 40 for each set of 10 sheets:	XAF	35,000
annual fee for the second year:	none	
annual fee for the third year:	none	

(Updating of *PCT Applicant's Guide*, Vol. II/C, National Chapter, Summary (OA))

SG Singapore (name of Office)

The name Registry of Patents of Singapore has changed, as follows:

name of Office: Intellectual Property
Office of Singapore

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (SG))

BUDAPEST TREATY ACCESSION

Ireland

On 15 September 1999, Ireland deposited its instrument of accession to the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure. That Treaty will enter into force with respect to Ireland on 15 December 1999.

PARIS CONVENTION ACCESSION

Jamaica

On 24 September 1999, Jamaica (country code: JM) deposited its instrument of accession to the Paris Convention for the Protection of Industrial Property. Jamaica will become bound by that Convention on 24 December 1999.

PRACTICAL ADVICE

Filing amendments to the description, claims or drawings after the late filing of a demand for international preliminary examination

Q: I have just filed a demand for international preliminary examination of an international application and just missed the 19-month time limit which is required for postponement of the national phase from 20 to 30 months. I have, nevertheless, paid the required Chapter II fees and wish to proceed with the international preliminary examination of the application. Please could you confirm that the elected Offices will still receive the international preliminary examination report. Also, if I decide to make any amendments to the description, claims or drawings during the course of the international preliminary examination procedure, will the Offices that I elected receive a copy of those amendments, and if so, will they take them into account?

A: Even if you have filed a demand after the 19-month time limit, the international preliminary examination will, provided you have paid the required Chapter II fees on time, still take place and the international preliminary examination report (IPER) will still be established by the International Preliminary Examining Authority (IPEA) and sent to you and to the International Bureau, which will send copies to the Offices that you elected. That IPER will contain, as annexes, any amendments to the description, claims or drawings made under PCT Article 34 which have served as a basis for international preliminary examination (PCT Rule 70.16) (not including any amendments which were superseded by later amendments).

In the circumstances you describe, you would have to enter the national phase under PCT

Article 22(1), that is, within 20 (or 21) months from the priority date, and the IPER would not, of course, have been established by that time. The IPER is established by the expiration of whichever of the time limits under PCT Rule 69.2 expires last:

- 28 months from the priority date;
- eight months from the date of payment of Chapter II fees; or
- (if applicable) eight months from the date of receipt by the International Preliminary Examining Authority of the translation of the international application.

This means that the amendments contained in the annexes to the IPER would not reach the Offices until some time after the national phase had been entered before them as designated Offices.

However, even though the national/regional phase has been entered under PCT Article 22 before an Office as a *designated Office*, nothing under the PCT prevents that Office (having been elected, albeit belatedly) from taking into account the IPER and its annexes in the course of its national examination—it is up to each Office to decide whether it will do so or not.

If you wish to guarantee that your amendments are received in time to be taken into account for the purposes of the national phase procedure, however, you should also file them directly with the Offices concerned in the prescribed language and within the time limit applicable in each Office.

According to PCT Rule 78.2, where an election has been effected after the expiration of the 19th month from the priority date and the applicant wishes to make amendments, it is the time limit for making amendments under PCT Article 28 which applies (in general, one month from the fulfillment of the requirements for entering the national phase under PCT Article 22; see PCT Rule 52.1(a)), noting that in respect of many national/regional Offices, much later time limits apply.

For details of the time limits for filing amendments of the application before each national/regional Office, see the corresponding National Chapter of the *PCT Applicant's Guide*, Vol. II.

PCT SEMINAR CALENDAR			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
14–15 October 1999 Johannesburg (ZA)	English	WIPO National Roving Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Thomas, Mr. Nyagua and Mr. Kramer Other speaker: Mr. Hornickel (Bridgestone/Firestone Inc., US, and American Intellectual Property Law Association)	South African Patents and Trade Marks Office (Mrs. Cecilia Petlane) Tel: (27–12) 310 87 19 Fax: (27–12) 323 42 57
15–16 October 1999 Boston (US)	English	Advanced PCT seminar for patent attorneys WIPO speaker: Mr. Maassel Other speaker: Ms. Bidwell (United States Patent and Trademark Office)	Boston Patent Law Association (Mr. Timothy A. French) Tel: (1–617) 542 50 70 Fax: (1–617) 542 89 06 E-mail: French@fr.com
18–19 October 1999 Durban (ZA)	English	WIPO National Roving Seminar on the Patent Cooperation Treaty (PCT) WIPO and other speakers: see Johannesburg, above	See Johannesburg, above
19 October 1999 Helsinki (FI) (CANCELLED)	English	Basic PCT seminar for patent attorneys WIPO speakers: Ms. Boutillon and Mr. Reischle	National Board of Patents and Registration of Finland (Ms. Mirja Kuismanen) Tel: (358–9) 69 39 52 36 Fax: (358–9) 69 39 53 22 E-mail: Mirja.Kuismanen@prh.fi
20–21 October 1999 Helsinki (FI)	English	Advanced PCT seminar for patent attorneys WIPO speakers: Ms. Boutillon and Mr. Reischle	National Board of Patents and Registration of Finland (Ms. Mirja Kuismanen) Tel: (358–9) 69 39 52 36 Fax: (358–9) 69 39 53 22 E-mail: Mirja.Kuismanen@prh.fi
21–22 October 1999 Cape Town (ZA)	English	WIPO National Roving Seminar on the Patent Cooperation Treaty (PCT) WIPO and other speakers: see Johannesburg, above	See Johannesburg, above
25–26 October 1999 Kuala Lumpur (Malaysia)	English	WIPO Asia-Pacific Regional Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Curchod, Mr. Wang, Mr. Bryan, Mr. Sinha Other speakers*	Ministry of Domestic Trade and Consumer Affairs (Mr. Ismail Jusoh) Tel: (60–3) 2274 35 81 Fax: (60–3) 2274 13 32
* Mr. Reed (Procter & Gamble Company), Mr. Kamata and Mr. Mishima (Matsushita Electric Industrial Company), Mr. Yang (CCPIT Patent and Trademark Law Office), Ms. Chng (Intellectual Property Office of Singapore), Mr. Lee (Malaysian Technology Consultants)			
27 October 1999 Osaka (JP)	Japanese	PCT seminar WIPO speaker: Mr. Kato	Japanese Patent Office (Mr. Eiji Kikuchi) Tel: (81–3) 35 92 13 08 Fax: (81–3) 35 01 06 59
28–29 October 1999 New Delhi (IN)	English	WIPO National Roving Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Smith, Mr. Wang, Mr. Bryan, Mr. Sinha Other speakers: Mr. Alikhan (former Deputy Director General of WIPO), Mr. N.K. Gupta (The Patent Office, Calcutta), Dr. P.P. Paranjape (Patent Information System, Nagpur) and Mr. Reed (Procter & Gamble Company)	Ministry of Industry and the Institute of Intellectual Property Development (IIPD) (Mr. A. Ahmad) Tel: (91–11) 301 17 14 Fax: (91–11) 301 36 56

[continued on next page]

PCT SEMINAR CALENDAR [continued]			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
1–2 November 1999 Calcutta (IN)	English	WIPO National Roving Seminar on the Patent Cooperation Treaty (PCT) WIPO and other speakers: see New Delhi, above	See New Delhi, above
4–5 November 1999 Bangalore (IN)	English	WIPO National Roving Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Wang, Mr. Sinha and Mr. Bartels Other speakers: see New Delhi, above	See New Delhi, above
8–9 November 1999 Austin (US)	English	Intermediate PCT seminar for patent administrators WIPO speaker: Mr. Maassel Other speaker: Ms. Bidwell (United States Patent and Trademark Office)	3M, Haynes and Boone, and Austin Intellectual Property Law Association (Ms. Linda Bradshaw) Tel: (1–512) 984 59 53 E-mail: ljbradshaw@mmm.com
11–12 November 1999 Chicago (US)	English	Advanced PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Maassel	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu
15–16 November 1999 San Francisco (US)	English	Advanced PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
16–17 November 1999 Nairobi (KE)	English	WIPO National Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Smith, Mr. Wang, Mr. Nyagua, Mr. Reischle	Kenya Industrial Property Office (Prof. Norah K. Olemba) Tel: (254–2) 21 94 30 or 33 26 48 Fax: (254–2) 21 94 30
17–18 November 1999 San Francisco (US)	English	PCT seminar for patent attorneys WIPO speakers: Mrs. Coeckelbergs and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
22 November 1999 Toronto (CA)	English	PCT seminar for patent attorneys WIPO speaker: Mr. Maassel	International Federation of Industrial Property Attorneys (FICPI Canada) (Mr. Douglas N. Deeth) Tel: (1–416) 941 94 40 Fax: (1–416) 941 94 43 E-mail: dnd@dww.com
8 December 1999 Paris (FR)	French	Basic seminar on PCT procedures for patent administrators WIPO speaker: Mr. Baron	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 or (Mr. Jean-Pierre Cardon) Tel: (33–1) 53 04 52 70 Fax: (33–1) 42 93 63 52
8–9 December 1999 London (GB)	English	Advanced PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Kramer	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk

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PCT SEMINAR CALENDAR [continued]			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
10 December 1999 London (GB)	English	PCT-EASY Workshop WIPO speakers: Mrs. Coeckelbergs, Mr. Kramer and Mr. Hawkins	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
14-15 December 1999 Munich (DE)	German	Advanced PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de
3-5 January 2000 Zurich (CH)	English	PCT presentation	Swiss Federal Institute of Technology Zurich (ETH) NDS Geistiges Eigentum Tel: (41-1) 632 68 08 Fax: (41-1) 632 13 67 E-mail: ndsge@recht.reok.ethz.ch
17-19 January 2000 Port of Spain (TT)	English	WIPO Regional Seminar on the Patent Cooperation Treaty (PCT) for Caribbean Countries	Intellectual Property Office (Mr. John Malcolm Spence) Tel: (1-868) 625 77 42 Fax: (1-868) 624 12 21
15-16 February 2000 Munich (DE)	German	Basic PCT seminar for patent administrators	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de
17 February 2000 Munich (DE)	German	PCT-EASY presentation	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de
29 February- 1 March 2000 Milan (IT)	English	PCT seminar for patent attorneys and patent administrators	Ordine dei Consulenti in Proprietà Industriale (Dr. Francesco Macchetta) Tel: (39-2) 27 78 51 97 Fax: (39-2) 27 78 51 99 E-mail: Francesco.Macchetta@hmrag.com
2-3 March 2000 San Francisco (US)	English	Basic PCT seminar for patent administrators	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
6-7 March 2000 Orange County, California (US)	English	PCT seminar for patent attorneys	Orange County Patent Law Association (OCPLA) (Mr. Stefan J. Kirchanski) Tel: (1-213) 689 65 78 Fax: (1-213) 486 98 87 E-mail: skirchanski@gj.com
9-10 March 2000 Chicago (US)	English	Basic PCT seminar for patent administrators	The John Marshall Law School Department of Event Management Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: Events@jmls.edu
29 (p.m.)- 30 March 2000 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33-4) 78 33 07 08 Fax: (33-4) 78 33 58 96

PCT FEE TABLES

The following Tables show the amounts (including currencies—see the key to currency abbreviations following Table II) of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, as well as the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 3 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated **after** the CHF 200 reduction.) Footnotes to all Fee Tables follow Table II.

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 October 1999, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 150) (from 1.1.2000: CHF 140) ⁶	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
AL	ALL 9,000	CHF 650	15	150	n a	EP
AM	AMD 32,000	USD 455	10	105	140	EP RU
AP	USD 50 (or eq in local currency)	USD 455	10	105	140	AT EP SE
AT	ATS 700	ATS 5,683	137.60	1,307.23	1,747.56	EP
AU	AUD 100	AUD 626	14	144	193	AU
AZ	AZM ⁸ —	USD 455	10	105	n a	EP RU
BA	DEM 50	DEM 800	19	184	248.39	EP
BE	BEF 1,500	BEF 16,660	403	3,832	5,123	EP
BG	BGL 60,000	BGL eq CHF 650	eq CHF 15	eq CHF 150	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 150	n a	AT EP SE US
BY	BYR eq USD 70	USD 455	10	105	n a	EP RU
CA	CAD 200	CAD 641	15	148	197	EP
CH	CHF 100	CHF 650	15	150	200	EP
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 150	eq CHF 200	CN
CU	USD (or CUP eq) 200	USD (or CUP eq) 455	10	105	n a	AT EP ES RU
CY	CYP 75	CYP 250	6	60	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 150	eq CHF 200	EP
DE	DEM 150	DEM 807.76	19.56	185.80	248.39	EP
DK	DKK 1,500	DKK 3,050	70	710	940	EP SE
EA	RUR eq USD 50	USD 455	10	105	140	EP RU
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 150	n a	EP
EP ⁹	EUR 102	EUR 413	10	95	127	EP
ES	ESP 10,040	ESP 68,717	1,664	15,807	21,131	EP ES
FI	FIM 800	FIM 2,455.59	59.46	564.84	755.11	EP SE
FR	FRF 400	FRF 2,709.10	65.60	623.16	833.07	EP
	or EUR 60.98	or EUR 413	10	95	127	

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Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 October 1999, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 150) (from 1.1.2000: CHF 140) ⁶	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
GB	GBP 55	GBP 285	6	65	88	EP
GE	GEL ⁸ —	USD 455	10	105	n a	EP RU
GH	GHC ¹⁰ 2,500 or 5,000	USD 455	10	105	n a	AT AU CN EP SE
GR	GRD 39,500	GRD 127,000	3,000	30,000	39,100	EP
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 150	eq CHF 200	EP
HU	HUF ¹¹ 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 150	eq CHF 200	EP RU
IB	CHF ³ 300 or USD ³ 200	CHF 650 or USD 455	15 10	150 105	200 140	See footnote 12
ID	IDR ⁸ —	IDR eq CHF 650	eq CHF 15	eq CHF 150	eq CHF 200	AU EP RU
IE	IEP 60 or EUR 76.18	IEP 325.26 or EUR 413	7.8 10	74.82 95	100.02 127	EP
IL	ILS 426	USD 455	10	105	140	EP US
IN	INR 5,000 (filing by individual:1,500)	USD 455	10	105	140	AT AU CN EP SE US
IS	ISK 5,500	ISK 32,000	700	7,400	n a	EP SE
IT	ITL 60,000	ITL 799,680	19,363	183,946	n a	EP
JP	JPY 18,000	JPY 54,800	1,300	12,600	16,900	EP JP
KE	USD (or KES equiv) ³⁰	USD 455	10	105	n a	AT AU CN EP SE
KG	KGS eq USD 100	USD 455	10	105	140	EP RU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 150	n a	AT RU
KR	KRW 45,000	KRW eq CHF 650	eq CHF 15	eq CHF 150	eq CHF 200	AT AU JP
KZ	KZT ⁸ —	USD 455	10	105	n a	EP RU
LR	USD 45	USD 455	10	105	n a	AT AU CN EP SE
LS	LSM ⁸ —	LSM eq CHF 650	eq CHF 15	eq CHF 150	n a	AT EP
LT	LTL eq USD 80	USD 455	10	105	n a	EP RU
LU	LUF/BEF 800	LUF/BEF 16,660	403	3,832	n a	EP
LV	LVL 40	USD 455	10	105	140	EP RU
MC	FRF 320	FRF 2,709.10	65.60	623.16	n a	EP
MD	MDL ⁸ 180	USD 455	10	105	140	EP RU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 150	eq CHF 200	EP
MN	None	CHF 650	15	150	200	EP RU
MW	MWK 1,500	MWK 18,000	400	4,200	5,600	EP
MX	MXP ³ eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 150	n a	EP ES SE US
NL	NLG 110	NLG 910.13	22.04	209.35	279.87	EP
NO	NOK 500	NOK 3,300	75	770	1,020	EP SE
NZ	NZD 180	NZD 850	20	196	n a	AU EP US
PL	PLZ 240	PLZ eq CHF 650	eq CHF 15	eq CHF 150	n a	EP
PT	PTE 4,650	PTE 82,799.07	2,004.82	19,045.79	25,461.21	EP

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]

(as at 1 October 1999, unless otherwise indicated)

RO	Transmittal fee ¹		Basic fee ^{1,2,3} (CHF 650)		Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 150) (from 1.1.2000: CHF 140) ⁶	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
RO	ROL	300,000	CHF	650	15	150	200	AT EP RU
RU	RUR	294	USD	455	10	105	140	EP RU
SD	SDP	50	SDP	eq CHF 650	eq CHF 15	eq CHF 150	n a	EP
SE	SEK	1,000	SEK	3,500	80	800	1,080	EP SE
SG	SGD	135	SGD	712	16	164	219	AT AU EP
SI	SIT	22,000	SIT	eq CHF 650	eq CHF 15	eq CHF 150	eq CHF 200	EP
SK	SKK	1,600	SKK	eq CHF 650	eq CHF 15	eq CHF 150	eq CHF 200	EP
TJ	TJR ⁸	—	USD	455	10	105	n a	EP RU
TM	USD ⁸	—	USD	455	10	105	140	EP RU
TR	CHF	100	CHF	650	15	150	200	AT AU CN EP RU
TT	TTD	750	USD	455	10	105	140	AT EP SE US
UA	UAH	255	USD	455	10	105	n a	EP RU
US	USD	240	USD	455	10	105	140	EP US
UZ	USD ⁸	—	USD	455	10	105	140	EP RU
VN	VND	eq USD 150	VND	eq CHF 650	eq CHF 15	eq CHF 150	n a	AT AU EP RU SE
YU	YUD	600	CHF	650	15	150	200	EP
ZA	ZAR	500	ZAR	2,790	65	644	n a	AT AU EP US
ZW	ZWD	550	ZWD	eq USD 455	eq USD 10	eq USD 105	eq USD 140	AT AU CN EP RU

Table I(b) — SEARCH FEES

(as at 1 October 1999, unless otherwise indicated)

ISA	Search fee ¹											
AT	ATS	2,200	CHF	250	SGD	313	USD	180	ZAR	1,040		
AU	AUD	800	CHF	721	NZD	910	SGD	875	USD	488	ZAR	3,126
CN	CNY	800	CHF	140	USD	100						
EP ¹³	EUR	945	CYP	558	FRF	6,198.79	ITL	1,829,775	NLG	2,082.51	SEK	8,510
	ATS	13,003.48	DEM	1,848.26	GBP	638	JPY	120,000	NOK	7,844	SGD	1,704
	BEF	1,002	38,121	DKK	7,090	GRD	314,700	LUF	38,121	NZD	1,799	USD
	CAD	1,874	ESP	157,235	IEP	744.25	MWK	42,000	PTE	189,455.50	ZAR	6,138
	CHF	1,530	FIM	5,618.71	ISK	74,600						
ES	ESP	157,235	CHF	1,530	EUR	945	USD	1,002				
JP	JPY	77,000	CHF	923	USD	644						
RU ¹⁴	USD	300	CHF	430								
SE	SEK	8,510	CHF	1,530	DKK	7,090	FIM	5,618	ISK	75,000	NOK	7,900
	USD	990										
US	USD	700	450 ¹⁵		CHF	1,014	652 ¹⁵		NZD	1,340	862 ¹⁵	

Table II — PRELIMINARY EXAMINATION FEES

(as at 1 October 1999, unless otherwise indicated)

IPEA	Preliminary examination fee ¹⁶			Handling fee ^{3,16} (CHF 233)				
AT	ATS	2,200		ATS	2,036.52			
AU	AUD	450		AUD	224			
CN	CNY	800		CNY eq CHF	233			
EP ¹³	EUR	1,533	DKK 11,500	IEP	1,207.34	EUR 148	DKK 1,000	IEP 116.56
	ATS	21,094.54	ESP 255,070	ITL	2,968,302	ATS 2,036.52	ESP 24,625	ITL 286,568
	BEF	61,841	FIM 9,114.80	LUF	61,841	BEF 5,970	FIM 879.97	LUF 5,970
	CHF	2,480	FRF 10,055.82	NLG	3,378.29	CHF 233	FRF 970.82	NLG 326.15
	CYP	904	GBP 1,035	PTE	307,338.90	CYP 85	GBP 100	PTE 29,671.34
	DEM	2,998.29	GRD 510,500	SEK	13,800	DEM 289.46	GRD 46,000	SEK 1,250
	JP	JPY	28,000		JPY	19,600		
RU ¹⁴	USD	200 ¹⁷	300 ¹⁸	USD	162			
SE	SEK	4,200		SEK	1,250			
US	USD	490	750 ¹⁹	USD	162			

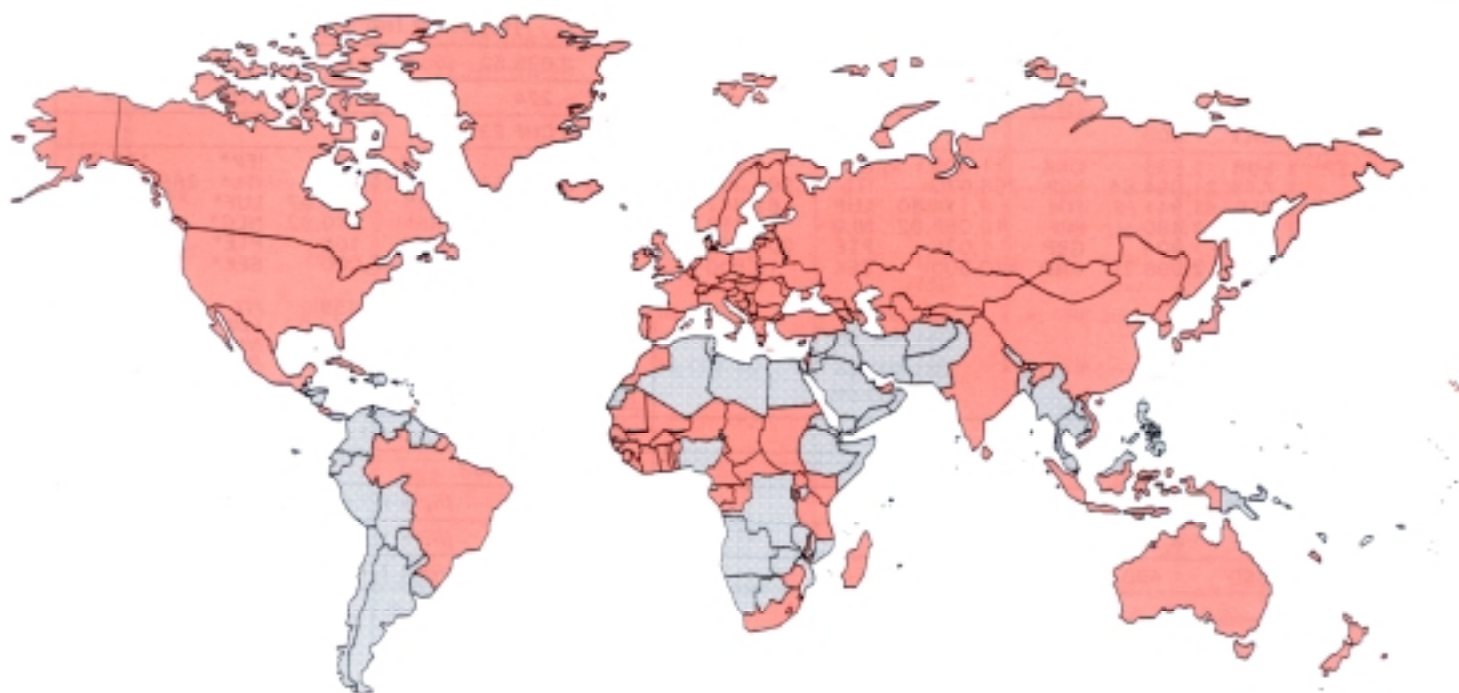
Key to abbreviations for all fee tables:

eq	equivalent of	BYR	Belarussian rouble	GHC	Ghanaian cedi	KZT	Kazakh tenge	RUR	Russian rouble
IPEA	International Preliminary Examining Authority	CAD	Canadian dollar	GRD	Greek drachma	LSM	Lesotho loti	SDP	Sudanese pound
ISA	International Searching Authority	CHF	Swiss franc	HRK	Croatian kuna	LTL	Lithuanian litas	SEK	Swedish krona
n a	not applicable	CNY	Yuan renminbi	HUF	Hungarian forint	LUF	Luxembourg franc	SGD	Singapore dollar
RO	receiving Office	CUP	Cuban convertible peso	IDR	Indonesian rupiah	LVL	Latvian lat	SIT	Slovenian tolar
Currencies:		CYP	Cyprus pound	IEP	Irish pound	MDL	Moldovan leu	SKK	Slovak koruna
ALL	Albanian lek	CZK	Czech koruna	ILS	New Israel shekel	MKD	Macedonian denar	TJR	Tajik rouble
AMD	Armenian dram	DEM	Deutsche mark	INR	Indian rupee	MWK	Malawian kwacha	TTD	Trinidad and Tobago dollar
ATS	Austrian schilling	EEK	Estonian kroon	ISK	Icelandic krona	MXP	Mexican peso	UAH	Ukrainian hryvnia
AUD	Australian dollar	ESP	Spanish peseta	ITL	Italian lira	NLG	Netherlands guilder	USD	US dollar
AZM	Azerbaijani manat	EUR	Euro	JPY	Japanese yen	NOK	Norwegian krone	VND	Vietnamese dong
BEF	Belgian franc	FIM	Finnish markka	KES	Kenyan shilling	NZD	New Zealand dollar	YUD	Yugoslavian dinar
BGL	Bulgarian lev	FRF	French franc	KGS	Kyrgyz som	PLZ	Polish zloty	ZAR	South African rand
BRR	Brazilian real	GBP	Pound sterling	KPW	KP won	PTE	Portuguese escudo	ZWD	Zimbabwe dollar
		GEL	Georgian lari	KRW	KR won	ROL	Romanian leu		

Footnotes for all fee tables:

- Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the international application is filed with a receiving Office which is prepared to accept the filing of international applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Armenia, Azerbaijan, Belarus, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco (from 8 October 1999), Niger, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- The maximum number of designation fees payable is ten. With effect from 1 January 2000, the maximum number of designation fees payable will be eight—see cover page for details.
- The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- The equivalent amounts in other currencies will be published in the next issue.
- For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- The amounts of the transmittal fee in currencies other than EUR are as follows: ATS 1,403.55; BEF 4,115; CHF 170; CYP 60; DEM 199.49; DKK 770; ESP 16,971; FIM 606.46; FRF 669.08; GBP 69; GRD 34,000; IEP 80.33; ITL 197,500; LUF 4,115; NLG 224.78; PTE 20,449.20; SEK 920. The amounts in those currencies of the basic fee, supplement per sheet over 30 and designation fee are the same as those indicated for the receiving Offices of the respective States, that is RO/AT, BE, CH, etc.
- The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- A supplement of HUF 500 is also payable for each claim in excess of 10.
- The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- Nationals (natural or legal persons) of States which fulfill the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations (see also footnote 3) may be eligible for reductions in the search and preliminary examination fees. For details, including the amount of the fee reduction and applicable conditions, see *PCT Applicant's Guide*, Vol. I/B, Annexes D (EP) and E (EP). The list of countries concerned is indicated in footnote 3.
- The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable on the date of payment at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.
- Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- Payable when the international search report was established by the Russian Patent Office.
- In all cases where footnote 17 does not apply.
- Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

PCT CONTRACTING STATES AND TWO-LETTER CODES (104 on 1 October 1999)



AE United Arab Emirates	CR Costa Rica	IL Israel	MD Republic of Moldova (EA)	SK Slovakia
AL Albania ¹	CY Cyprus (EP) ²	IN India	MG Madagascar	SL Sierra Leone (AP)
AM Armenia (EA)	CZ Czech Republic	IS Iceland	MK The former Yugoslav Republic of Macedonia ¹	SN Senegal (OA) ²
AT Austria (EP)	DE Germany (EP)	IT Italy (EP) ²	ML Mali (OA) ²	SZ Swaziland (AP) ²
AU Australia	DK Denmark (EP)	JP Japan	MN Mongolia	TD Chad (OA) ²
AZ Azerbaijan (EA)	DM Dominica	KE Kenya (AP)	MR Mauritania (OA) ²	TG Togo (OA) ²
BA Bosnia and Herzegovina	EE Estonia	KG Kyrgyzstan (EA)	MW Malawi (AP)	TJ Tajikistan (EA)
BB Barbados	ES Spain (EP)	KP Democratic People's Republic of Korea	MX Mexico	TM Turkmenistan (EA)
BE Belgium (EP) ²	FI Finland (EP)	KR Republic of Korea	NE Niger (OA) ²	TR Turkey
BF Burkina Faso (OA) ²	FR France (EP) ²	KZ Kazakhstan (EA)	NL Netherlands (EP) ²	TT Trinidad and Tobago
BG Bulgaria	GA Gabon (OA) ²	LC Saint Lucia	NO Norway	TZ United Republic of Tanzania (AP)
BJ Benin (OA) ²	GB United Kingdom (EP)	LI Liechtenstein (EP)	NZ New Zealand	UA Ukraine
BR Brazil	GD Grenada	LK Sri Lanka	PL Poland	UG Uganda (AP)
BY Belarus (EA)	GE Georgia	LR Liberia	PT Portugal (EP)	US United States of America
CA Canada	GH Ghana (AP)	LS Lesotho (AP)	RO Romania ¹	UZ Uzbekistan
CF Central African Republic (OA) ²	GN Guinea (OA) ²	LT Lithuania ¹	RU Russian Federation (EA)	VN Viet Nam
CG Congo (OA) ²	GR Greece (EP) ²	LU Luxembourg (EP)	SD Sudan (AP)	YU Yugoslavia
CH Switzerland (EP)	GW Guinea-Bissau (OA) ²	LV Latvia ¹	SE Sweden (EP)	ZA South Africa
CI Côte d'Ivoire (OA) ²	HR Croatia	MA Morocco (from 8 October 1999)	SG Singapore	ZW Zimbabwe (AP)
CM Cameroon (OA) ²	HU Hungary	MC Monaco (EP) ²	SI Slovenia ¹	
CN China	ID Indonesia			
	IE Ireland (EP) ²			

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate any States for the purposes of a national patent, which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated July 1999. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (July 1999 update), and can be printed from the WIPO Internet site, in PDF format, at: <http://www.wipo.int/eng/pct/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, from International Preliminary Examining Authorities.

B1**Information on Contracting States****B1****CR****COSTA RICA****CR****General information**

Name of Office:	Registro de la Propiedad Intelectual Registry of Intellectual Property
Location and mailing address:	Apartado postal 199 2010 Zapote, San José, Costa Rica
Telephone:	(506) 234 1537
Facsimile machine:	(506) 234 1537
Teleprinter:	—
E-mail:	rninte01@sol.racsa.co.cr
Internet:	—
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	No
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	No
Competent receiving Office for nationals and residents of Costa Rica:	Registry of Intellectual Property or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Costa Rica is designated (or elected):	Registry of Intellectual Property (see Volume II)
May Costa Rica be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available via the PCT:	Patents, utility models
Provisions of the law of Costa Rica concerning international-type search:	None

[Continued on next page]

B1

Information on Contracting States

B1

CR

COSTA RICA

CR

[Continued]

Provisional protection after international publication:

After a patent has been granted, the applicant is entitled to damages for the period following the international publication of the international application. For that purpose, and if the international publication has not been effected in Spanish, the applicant must submit to the Office a translation of the international application into Spanish. The provisional protection applies as from the date of publication in Spanish of the international application.

Information of interest if Costa Rica is designated (or elected)

Time when the name and address of the inventor must be given if Costa Rica is designated (or elected):

Must be in the request. If not already complied with within the time limit applicable under PCT Article 22 or 39(1)(a), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

Are there special provisions concerning the deposit of microorganisms and other biological material?

No
