

PCT

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NEWSLETTER

March 1999

No. 03/1999

PCT WHEEL

A new PCT Wheel, covering priority dates from January 1998 to December 1999, is inserted in this issue. The Wheel, which was created by patent attorneys from Davies Collison Cave, Melbourne, Australia, enables PCT users to quickly calculate the 18-month due date for international publication, as well as the time limits for submitting priority documents, filing a demand for international preliminary examination, and entering the national or regional phase under PCT Chapters I and II. Users of the Wheel simply "dial" the month of the priority date (or where no priority is claimed, the international filing date), and read the relevant dates through the windows of the Wheel.

If you require extra Wheels, a limited number is available on request, free of charge. They can be requested from the Information Products Section at WIPO at the address indicated opposite; or

by fax: (41-22) 740 18 12
by e-mail:
publications.mail@wipo.int.

PCT CONTRACTING STATES AND TWO-LETTER CODES

Updated versions of the translations into French, German and Spanish of the list of PCT Contracting States and two-letter codes, including the notes thereto (printed on the last page of the *PCT Newsletter*) are included in this issue, on pages 9 to 11, respectively.

PCT INFORMATION UPDATE

Various receiving Offices: fees payable in euro (EUR) and their equivalent amounts in certain other currencies

The equivalent amounts in EUR, and new equivalent amounts in ATS, BEF, DEM, ESP, FIM, FRF, IEP, ITL, LUF, NLG and PTE have been established for the basic fee, supplement per sheet over 30, designation fee and PCT-EASY reduction, payable to the European Patent Office (EPO) as receiving Office, as well as to the receiving Offices of Austria, Belgium, Finland, France, Germany, Ireland, Italy, Luxembourg, Monaco, the Netherlands, Portugal and Spain, as reflected in Table I(a) and footnote 2 to the Fee

[continued on page 2]

INSIDE THIS ISSUE

PCT information update	
[continued]	2-3
EPO Board of Appeal Decision concerning the PCT	3
Practical advice	3
PCT seminar calendar	4-5
PCT fee tables	6-8
PCT Contracting States and two-letter codes (including translations into French, German and Spanish)	9-12

Tear-out sheets:

provisional sheets for the *PCT Applicant's Guide*, Vol. I/B, Annex C (EP), Annex D (EP), Annex E (EP) and Vol. II/A, National Chapter, Summary (EP)

Insert: PCT Wheel

[continued from cover page]

Tables. The amounts payable in EUR to the EPO as receiving Office are also reflected in the pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. I/B, Annex C (EP).

Note that the new equivalent amounts in the above-mentioned currencies other than EUR are provisional, subject to consultation with the receiving Offices concerned. Equivalent amounts in the following currencies also accepted by the EPO are unchanged: CHF, CYP, DKK, GBP, GRD and SEK.

(For the new amount in EUR and the new equivalent amounts in certain currencies of the handling fee, payable to the EPO as International Preliminary Examining Authority, see "Handling fee," below.)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (AT, BE, DE, EP, ES, FI, FR, IE, IT, LU, MC, NL, PT))

PCT MATERIALS ON INTERNET (<http://www.wipo.int>)

- PCT Gazette**
- PCT Information Line
- PCT Newsletter* (Nos. 01/1997–03/1999*)
- PCT Applicant's Guide*
- PCT-EASY
- Recent PCT press releases/updates
- PCT Automation Project Overview
- PCT seminar calendar*
- Basic Facts about the PCT*
- The PCT in 1998
- About the Treaty
- Text of the Patent Cooperation Treaty
- Status of ratifications
- Text of the Regulations under the PCT
- Administrative Instructions under the PCT (as in force from 1 July 1998)
- PCT Receiving Office Guidelines (as in force from 28 August 1998)
- PCT International Search Guidelines (as in force from 18 September 1998)
- PCT International Preliminary Examination Guidelines (as in force from 9 October 1998)
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- PCT forms
- PCT Assembly documents: 1997, 1998

*New or revised since last issue of the *PCT Newsletter*

PCT INFORMATION LINE

- | | |
|------------|-----------------------|
| Telephone: | (41–22) 338 83 38 |
| Fax: | (41–22) 338 83 39 |
| E-mail: | pct.infoline@wipo.int |

EP European Patent Office (evidence of mailing a document)

There has been a change in the requirements as to whether the European Patent Office (EPO) would accept evidence of mailing of a document, in case of loss or delay, where a delivery service other than the postal authorities is used; it will now accept such evidence provided that the delivery service is DHL, Express Post, Federal Express, TNT or UPS.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B2 (EP))

JP Japan (fees)

As from 15 March 1999, the equivalent amount in JPY of the PCT-EASY reduction will change, as indicated in footnote 2 to the Fee Tables.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (JP))

Search fee (Australian Patent Office)

As from 1 April 1999, there will be a change in the equivalent amount payable in CHF for an international search carried out by the Australian Patent Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AU))

Handling fee (European Patent Office)

The equivalent amount in EUR, and new equivalent amounts in ATS, BEF, DEM, ESP, FIM, FRF, IEP, ITL, LUF, NLG and PTE have been established for the handling fee, payable to the EPO as International Preliminary Examining Authority, as reflected in Table II as well as in the pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. I/B, Annex E (EP).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (EP))

Provisional sheets for the *PCT Applicant's Guide*

The pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I/B, Annexes C (EP), D (EP) and E (EP), and Vol. II/A, National Chapter, Summary (EP), which are included in this issue, reflect the amounts/equivalent amounts payable in EUR to the EPO as receiving Office, International Searching Authority, International Preliminary Examining Authority and designated and elected Office. For further

details, see above, and *PCT Newsletter* No. 02/1999 ("PCT Information Update").

EPO BOARD OF APPEAL DECISION CONCERNING THE PCT

Lack of unity—multiple request for search fees

As indicated in the following headnote, an EPO Technical Board of Appeal has decided that:

"An invitation of the International Searching Authority to pay additional fees must identify the separate inventions and indicate the amount to be paid. The search report has to cover those separate inventions identified in the invitation for which additional fees have been paid. The PCT does not give a legal basis for successive invitations to pay additional search fees."

(Decision W 1/97 - 3.3.4; Official Journal of the EPO (OJ/EPO), No 1/1999, page 33)

PRACTICAL ADVICE

Eligibility for 75% reduction in certain PCT fees where there is more than one applicant

Q: As a Polish national residing in Poland, I believe I am entitled to the 75% reduction in certain PCT fees. I am going to file a PCT application in which I will be indicated as applicant and inventor for all designated States. My co-inventor, who resides in Poland but who is a national of France, will be named as inventor and also as applicant, but only for the purposes of the designation of United States of America (US). Will I, as a national and resident of a country whose nationals/residents qualify for the fee reduction, still be entitled to the 75% fee reduction?

A: According to the Schedule of Fees which is annexed to the PCT Regulations, the basic fee, the designation fee, the confirmation fee and the handling fee are reduced by 75% for international applications if the applicant is a natural person and is a national of and resides in a State whose per capita national income is below USD 3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); if there is more than one applicant, the Schedule of Fees specifically provides that each applicant must satisfy those criteria.

Your co-inventor is applicant even though it is for one designation only (in this case, the US designation). Throughout the Treaty, Regulations and other texts, unless otherwise indicated, the word "applicant" covers both (i) a person, whether a natural person or a legal entity, who is named as "applicant" or "applicant only" but who is not an inventor; and (ii) a natural person who, on account of being an inventor, must be indicated as "applicant for the purposes of the United States of America only" and as "applicant and inventor" if the US is designated (see PCT Article 27(3), PCT Rule 18.4 and the request form, Boxes Nos. II and III). Note also that, even if the co-inventor assigned his rights to you, then, irrespective of when such an assignment might take place, as long as the US is designated, it is still required under US national law that the inventor be named as "applicant and inventor" for the US designation.

Consequently, although as a national and resident of Poland you would be entitled to the fee reduction if you were the sole applicant, that reduction will not apply in respect of your application due to the fact that your co-inventor must be included as applicant, and that he is a national of France, a country whose nationals and residents do not qualify for the 75% fee reduction.

It is recalled that where the international application is filed with the International Bureau as receiving Office, a 75% reduction in the transmittal fee is also available under the same conditions as the reduction in the basic, designation, confirmation and handling fees.

Note also that the conditions for applicability of the 75% reduction in the search and examination fees charged by the European Patent Office (EPO) as an International Searching Authority and International Preliminary Examining Authority (IPEA), respectively, are the same, so far as the nationality and residence of the applicants are concerned, except that in the case of the EPO, the applicant can be a natural person or a legal entity. Note also that, in order to benefit from the fee reduction before the EPO, an express request for reduction (EPO Form 1223/A), signed by the applicants, or on their behalf by their agent, must be filed with the receiving Office and the IPEA, respectively (a copy of that form and corresponding notes were published in the *PCT Gazette*, No. 46/1996 on page 19814).

PCT SEMINAR CALENDAR			
Dates and Location	Language of seminar	Nature of seminar WIPO speakers (and others where known)	Organizer and contact numbers
24 March 1999 Washington, D.C. (US)	English	Seminar on the Eurasian PCT procedure WIPO speaker: Mr. Trousov Other speakers: Mr. Dickinson (USPTO), Prof. Dr. Blinnikov and Dr. Grigoriev (Eurasian Patent Office)	Intellectual Property Owner (Mr. Herbert Wamsley) Tel: (1-202) 466 63 91 Fax: (1-202) 466 28 93 E-mail: herb@ipo.org
25-26 March 1999 Montevideo (Uruguay)	Spanish	WIPO/EPO/SPTO/DNPI Regional Seminar on the PCT for Latin American Countries WIPO speakers: Mr. Rubio and Mr. Toledo	Dirrección Nacional de la Propiedad Industrial (Ms. Rosario Moreira) Tel: (598-2) 93 09 23 Fax: (598-2) 93 11 40
26 March 1999 New York (US)	English	Seminar on the Eurasian PCT procedure WIPO speaker: Mr. Trousov Other speakers: Mr. Dickinson (USPTO), Prof. Dr. Blinnikov and Dr. Grigoriev (Eurasian Patent Office)	The Harriman Institute—Columbia University (Ms. Susan Gold) Tel: (1-212) 854 46 23 or 46 97 Fax: (1-212) 666 34 81 E-mail: bak16@columbia.edu
29-30 March 1999 Budapest (HU)	English	Advanced PCT seminar WIPO speakers: Mr. Bryan and Mr. Reischle	Hungarian Patent Office (Mr. Laszlo Bretz) Tel: (36-1) 331 27 23 or (36-1) 312 44 00 ext 407 Fax: (36-1) 331 27 23
30 March 1999 Chicago (US)	English	Seminar on the Eurasian PCT procedure WIPO speaker: Mr. Trousov Other speakers: Mr. Dickinson (USPTO), Prof. Dr. Blinnikov and Dr. Grigoriev (Eurasian Patent Office)	The John Marshall Law School (Mr. Michael Seng) Tel: (1-312) 987 14 46 Fax: (1-312) 427 54 65 e-mail: 7seng@jmls.edu
31 March (p.m.)– 1 April 1999 Ecilly (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33-4) 78 33 07 08 Fax: (33-4) 78 33 58 96
1 April 1999 San Francisco (US)	English	Seminar on the Eurasian PCT procedure WIPO speaker: Mr. Trousov Other speakers: Mr. Dickinson (USPTO), Prof. Dr. Blinnikov and Dr. Grigoriev (Eurasian Patent Office)	Intellectual Property International (Ms. Virginia H. Meyer) and the Golden Gate University School of Law Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
14-15 April 1999 London (GB)	English	Basic PCT seminar for administrative assistants "Working with the PCT" WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: management_forum@psilink.co.uk
16 April 1999 London (GB)	English	PCT symposium for patent attorneys WIPO speaker: Ms. Boutillon	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: management_forum@psilink.co.uk
16-17 April 1999 Concord (US)	English	Basic PCT seminar for patent attorneys and patent administrators WIPO speaker: Mr. Maassel Other speaker: Mr. Reed (Procter & Gamble)	Franklin Pierce Law Center (Ms. Carol Ruh) Tel: (1-603) 228 19 63, ext. 1108 Fax: (1-603) 224 33 42 E-mail: cruh@fplc.edu

[continued on next page]

PCT SEMINAR CALENDAR [continued]			
Dates and Location	Language of seminar	Nature of seminar WIPO speakers (and others where known)	Organizer and contact numbers
21 April 1999 Munich (DE)	German	PCT seminar for patent attorneys WIPO speakers: Mr. Matthes and Mrs. Coeckelbergs	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de
22–23 April 1999 Munich (DE)	German	Basic PCT seminar for patent administrators WIPO speakers: Mr. Matthes and Mrs. Coeckelbergs	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de
25–27 April 1999 Miami (US)	English	PCT seminar for patent attorneys “Basic Patent Cooperation Treaty Practice” WIPO speakers: Ms. Boutillon, Mr. Bartels and Mr. Maassel	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
29 April–1 May 1999 Miami (US)	English	PCT seminar for patent attorneys “Advanced Patent Cooperation Treaty Practice” WIPO speakers: Ms. Boutillon, Mr. Bartels and Mr. Maassel Other speakers: Mr. Philpott (European Patent Office) and Mr. Welch (Eli Lilly)	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
17–18 May 1999 San Francisco (US)	English	Advanced PCT seminar	American Intellectual Property Law Association (AIPLA) (Ms. Jackie Carbé) Tel: (1-703) 415 03 73 or (1-703) 415 07 80 Fax: (1-703) 415 07 86
20–21 May 1999 Minneapolis (US)	English	Intermediate PCT seminar	American Intellectual Property Law Association (AIPLA) (Ms. Jackie Carbé) Tel: (1-703) 415 03 73 or (1-703) 415 07 80 Fax: (1-703) 415 07 86
24–25 May 1999 New York (US)	English	Advanced PCT seminar	American Intellectual Property Law Association (AIPLA) (Ms. Jackie Carbé) Tel: (1-703) 415 03 73 or (1-703) 415 07 80 Fax: (1-703) 415 07 86
10 June 1999 Paris (FR)	French	Basic seminar on PCT procedures for patent administrators	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33-1) 53 04 55 76 or (Mr. Jean-Pierre Cardon) Tel: (33-1) 53 04 52 70 Fax: (33-1) 42 93 63 52
17 June 1999 Paris (FR)	French	PCT presentation for patent attorneys and patent administrators WIPO speaker: Ms. Boutillon Other speakers: Mr. Catherine (Cabinet Casalonga Josse), Mr. Mousseron (Faculté de Droit de Montpellier)	Fondation Nationale pour le Droit de l'Entreprise (FNDE) and Association Française des Spécialistes en Propriété Industrielle de l'Industrie (ASPI) (Mrs. Heuzé) Tel: (33-1) 42 66 18 19 Fax: (33-1) 42 66 17 37

PCT FEE TABLES

The following Tables show the amounts (including currencies—see the key to currency abbreviations following Table II) of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, as well as the transmittal fee payable to the International Bureau as receiving Office, is available to applicants from certain States; see footnote 3 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated *after* the CHF 200 reduction.) Footnotes to all Fee Tables follow Table II.

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 2 March 1999, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4} (CHF 150)	Competent ISA(s) ⁵
AL	ALL 9,000	CHF 650	15	150	EP
AM	AMD 32,000	USD 455	10	105	EP RU
AP	USD 30 (or equiv in local currency)	USD 455	10	105	AT EP SE
AT	ATS 700	ATS 5,683	137.60	1,307.23	EP
AU	AUD 100	AUD 722	17	166	AU
AZ	AZM ⁶ —	USD 455	10	105	EP RU
BA	DEM 50	DEM 800	19	184	EP
BE	BEF 1,500	BEF 16,660	403	3,832	EP
BG	BGL 60,000	BGL equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP RU
BR	BRR 236	BRR equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	AT EP SE US
BY	BYR equiv of USD 70	USD 455	10	105	EP RU
CA	CAD 200	CAD 721	17	166	EP
CH	CHF 100	CHF 650	15	150	EP
CN	CNY 500	CNY equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	CN
CU	USD (or CUP equiv) 200	USD (or CUP equiv) 455	10	105	AT EP ES RU
CY	CYP 75	CYP 250	6	60	EP
CZ	CZK 1,500	CZK equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP
DE	DEM 150	DEM 807.76	19.56	185.80	EP
DK	DKK 1,500	DKK 3,050	70	710	EP SE
EA	RUR equiv of USD 50	USD 455	10	105	EP RU
EE	EEK 1,800	EEK equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP
EP ⁷	EUR 102	EUR 413	10	95	EP
ES	ESP 10,040	ESP 68,717	1,664	15,807	EP ES
FI	FIM 800	FIM 2,455.59	59.46	564.84	EP SE
FR	FRF 400	FRF 2,709.10	65.60	623.16	EP
GB	GBP 55	GBP 285	6	65	EP
GE	GEL ⁶ —	USD 455	10	105	EP RU
GH	GHC ⁸ 2,500 or 5,000	USD 455	10	105	AT AU CN EP SE
GR	GRD 39,500	GRD 127,000	3,000	30,000	EP
HR	HRK 200	HRK equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP
HU	HUF ⁹ 10,000	HUF equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP RU
IB	CHF ³ 300 or USD ³ 200	CHF 650 or USD 455	15 10	150 105	See footnote ¹⁰
ID	IDR ⁶ —	IDR equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	AU EP RU
IE	IEP 60	IEP 325.26	7.88	74.82	EP
IL	ILS 402	USD 455	10	105	EP US
IN	INR 5,000	USD 455	10	105	AT AU CN EP US
IS	ISK 5,500	ISK 32,000	700	7,400	EP SE
IT	ITL 60,000	ITL 799,680	19,363	183,946	EP
JP	JPY 18,000	JPY 62,800 (from 15.3.99: JPY 54,800)	1,450 (1,300)	14,500 (12,600)	EP JP
KE	USD (or KES equiv) 30	USD 455	10	105	AT AU CN EP SE

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
 (as at 2 March 1999, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4} (CHF 150)	Competent ISA(s) ⁵
KG	KGS equiv of USD 100	USD 455	10	105	EP RU
KP	KPW equiv of CHF 50	KPW equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	AT RU
KR	KRW 45,000	KRW equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	AT AU JP
KZ	KZT ⁶ —	USD 455	10	105	EP RU
LR	USD 45	USD 455	10	105	AT AU CN EP SE
LS	LSM ⁶ —	LSM equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	AT EP
LT	LTL equiv of USD 80	USD 455	10	105	EP RU
LU	LUF/BEF 800	LUF/BEF 16,660	403	3,832	EP
LV	LVL 40	USD 455	10	105	EP RU
MC	FRF 320	FRF 2,709.10	65.60	623.16	EP
MD	MDL ⁶ 180	USD 455	10	105	EP RU
MK	MKD 2,750	MKD equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP
MN	None	CHF 650	15	150	EP RU
MW	MWK 150	MWK 11,600	270	2,700	EP
MX	MXP ³ equiv of USD 200	MXP equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP ES SE US
NL	NLG 110	NLG 910.13	22.04	209.35	EP
NO	NOK 500	NOK 3,300	75	770	EP SE
NZ	NZD 155	NZD 850	20	196	AU EP US
PL	PLZ 240	PLZ equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP
PT	PTE 4,650	PTE 82,799	2,005	19,046	EP
RO	ROL 300,000	CHF 650	15	150	AT EP RU
RU	RUR 294	USD 455	10	105	EP RU
SD	SDP 50	SDP equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP
SE	SEK 1,000	SEK 3,500	80	800	EP SE
SG	SGD 135	SGD 820	20	190	AT AU EP
SI	SIT 22,000	SIT equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP
SK	SKK 1,600	SKK equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	EP
TJ	TJR ⁶ —	USD 455	10	105	EP RU
TM	USD ⁶ —	USD 455	10	105	EP RU
TR	CHF 100	CHF 650	15	150	AT AU CN EP RU
TT	TTD 750	USD 455	10	105	AT EP SE US
UA	UAH 255	USD 455	10	105	EP RU
US	USD 240	USD 455	10	105	EP US
UZ	USD ⁶ —	USD 455	10	105	EP RU
VN	VND equiv of USD 150	VND equiv of CHF 650	equiv of CHF 15	equiv of CHF 150	AT AU EP RU SE
YU	YUD 220	CHF 650	15	150	EP
ZW	ZWD 550	ZWD equiv of USD 455	equiv of USD 10	equiv of USD 105	AT AU CN EP RU

Table I(b) — SEARCH FEES
 (as at 2 March 1999, unless otherwise indicated)

ISA	Search fee ¹													
AT	ATS 2,200	CHF 250	SGD 313	USD 180										
AU	AUD 800	CHF* 619	NZD 910	SGD 875	USD 488									
	(*from 1.4.99: CHF 721)													
CN	CNY 800	CHF 140	USD 100											
EP ¹¹	EUR 1,124	CYP 671	FRF 7,372.96	ISK* 87,300	MWK 32,600	PTE 225,341.80								
	ATS 15,466.58	DEM 2,198.35	GBP 812	ITL 2,176,367	NLG 2,476.97	SEK 10,730								
	BEF 45,342	DKK 8,640	GRD 392,900	JPY 149,000	NOK 9,800	SGD 2,270								
	CAD 2,088	ESP 187,018	IEP 885.22	LUF 45,342	NZD 2,460	USD 1,338								
	CHF 1,850	FIM 6,683												
	(*from 1.4.99: ISK 94,000)													
ES	ESP 76,520	CHF 724	USD 494											
JP	JPY 77,000	CHF 923	USD 644											
RU ¹²	USD 300	CHF 430												
SE	SEK 6,200 ¹³	6,800 ¹⁴	FIM 4,200 ¹³	4,600 ¹⁴	USD 815 ¹³	895 ¹⁴								
	CHF 1,180 ¹³	1,290 ¹⁴	ISK 58,500 ¹³	64,000 ¹⁴										
	DKK 5,300 ¹³	5,800 ¹⁴	NOK 5,750 ¹³	6,300 ¹⁴										
US	USD 700	450 ¹⁵	CHF 930	600 ¹⁵	NZD 1,340	862 ¹⁵								

Table II — PRELIMINARY EXAMINATION FEES
(as at 2 March 1999, unless otherwise indicated)

IPEA	Preliminary examination fee ¹⁶					Handling fee ^{3,16} (CHF 233)				
AT	ATS 2,200					ATS 2,020				
AU	AUD 450					AUD 260				
CN	CNY 800					CNY equiv of CHF 233				
EP ¹¹	EUR 1,533	DKK 11,790	IEP 1,207.34	AT&T 21,094.54	ESP 255,070	ITL 2,968,302	EUR 148	DKK 1,000	IEP 116.56	
	AT&T 21,094.54	ESP 255,070	ITL 2,968,302	BEF 61,841	FIM 9,114.80	LUF 61,841	AT&T 2,036.52	ESP 24,625	ITL 286,568	
	BEF 61,841	FIM 9,114.80	LUF 61,841	CHF 2,520	FRF 10,055.82	NLG 3,378.29	BEF 5,970	FIM 879.97	LUF 5,970	
	CHF 2,520	FRF 10,055.82	NLG 3,378.29	CYP 915	GBP 1,107	PTE 307,388.90	CHF 233	FRF 970.82	NLG 326.15	
	CYP 915	GBP 1,107	PTE 307,388.90	DEM 2,998.29	GRD 535,700	SEK 14,630	CYP 85	GBP 100	PTE 29,671	
	DEM 2,998.29	GRD 535,700	SEK 14,630				DEM 289.46	GRD 46,000	SEK 1,250	
JP	JPY 28,000					JPY 22,500 (<i>from 15.3.99: JPY 19,600</i>)				
RU ¹²	USD 200 ¹⁷ 300 ¹⁸					USD 162				
SE	SEK 4,200					SEK 1,250				
US	USD 490 750 ¹⁹					USD 162				

Key to currency abbreviations for all fee tables:

ALL	Albanian lek	CZK	Czech koruna	IDR	Indonesian rupiah	LUF	Luxembourg franc	SDP	Sudanese pound
AMD	Armenian dram	DEM	Deutsche mark	IEP	Irish pound	LVL	Latvian lat	SEK	Swedish krona
ATS	Austrian schilling	DKK	Danish krone	ILS	New Israel shekel	MDL	Moldovan leu	SGD	Singapore dollar
AUD	Australian dollar	EKK	Estonian kroon	INR	Indian rupee	MKD	Macedonian denar	SIT	Slovenian tolar
AZM	Azerbaijani manat	ESP	Spanish peseta	ISK	Icelandic króna	MWK	Malawian kwacha	SKK	Slovak koruna
BEF	Belgian franc	EUR	Euro	ITL	Italian lira	MXP	Mexican peso	TJR	Tajik rouble
BGL	Bulgarian lev	FIM	Finnish markka	JPY	Japanese yen	NLG	Netherlands guilder	TTD	Trinidad and Tobago dollar
BRR	Brazilian real	FRF	French franc	KES	Kenyan shilling	NOK	Norwegian krone		
BYR	Belarussian rouble	GBP	Pound sterling	KGS	Kyrgyz som	NZD	New Zealand dollar	UAH	Ukrainian hryvnia
CAD	Canadian dollar	GEL	Georgian lari	KPW	KP won	PLZ	Polish zloty	USD	US dollar
CHF	Swiss franc	GHC	Ghanaian cedi	KRW	KR won	PTE	Portuguese escudo	VND	Vietnamese dong
CNY	Yuan renminbi	GRD	Greek drachma	KZT	Kazakh tenge	ROL	Romanian leu	YUD	Yugoslavian dinar
CUP	Cuban convertible peso	HRK	Croatian kuna	LSM	Lesotho loti	RUR	Russian rouble	ZWD	Zimbabwe dollar
CYP	Cyprus pound	HUF	Hungarian forint	LTL	Lithuanian litas				

Footnotes for all fee tables:

¹ Payable to the receiving Office in the currency or one of the currencies prescribed by it.

² The total amount of the basic fee and designation fee is, as from 1 January 1999, reduced by 200 Swiss francs (equivalent to: ATS 1,747.56; AUD 222; BEF 5,123; CAD 220; CYP 75; DEM 248.39; DKK 940; ESP 21,131; EUR 127; FIM 755.11; FRF 833.07; GBP 88; GRD 39,100; IEP 100.02; ISK 9,800; ITL 245,906; JPY 19,300 (*from 15.3.99: JPY 16,900*); KRW 220,300; LUF 5,123; MWK 3,600; NLG 279.87; NOK 1,020; NZD 262; PTE 25,461; SEK 1,080; SGD 250 and USD 140) where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the international application is filed with a receiving Office which is prepared to accept the filing of international applications containing requests in PCT-EASY format together with PCT-EASY diskettes.

³ This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Armenia, Azerbaijan, Belarus, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa (from 16 March 1999), Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, Uzbekistan, Viet Nam, Yugoslavia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.

⁴ The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).

⁵ For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.

⁶ The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.

⁷ The amounts of the transmittal fee in currencies other than EUR are as follows: ATS 1,403.55; BEF 4,115; CHF 170; CYP 61; DEM 199.49; DKK 790; ESP 16,971; FIM 606.46; FRF 669.08; GBP 74; GRD 35,700; IEP 80.33; ITL 197,500; LUF 4,115; NLG 224.78; PTE 20,449.20; SEK 980. The amounts in those currencies of the basic fee, supplement per sheet over 30 and designation fee are the same as those indicated for the receiving Offices of the respective States, that is RO/AT, BE, CH, etc.

⁸ The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.

⁹ A supplement of HUF 500 is also payable for each claim in excess of 10.

¹⁰ The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).

¹¹ Nationals (natural or legal persons) of States which fulfill the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations (see also footnote 3) may be eligible for reductions in the search and preliminary examination fees. For details, including the amount of the fee reduction and applicable conditions, see *PCT Applicant's Guide*, Vol. I/B, Annexes D (EP) and E (EP). The list of countries concerned is indicated in footnote 3.

¹² The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable on the date of payment at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.

¹³ If, on an earlier application, the priority of which is claimed, a search report has been issued by the Swedish Patent Office, the Danish Patent Office, the National Board of Patents and Registration (Finland), the Norwegian Patent Office or the Icelandic Patent Office.

¹⁴ In all cases where footnote 13 does not apply.

¹⁵ Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.

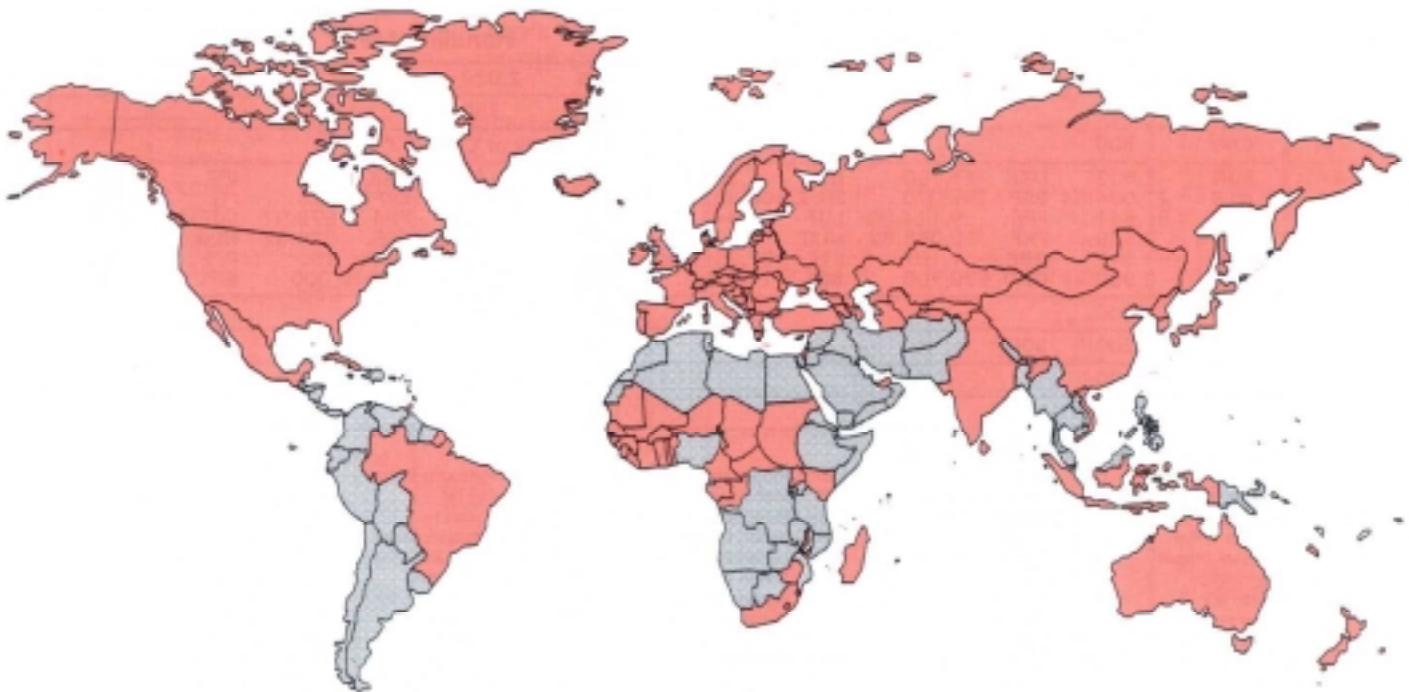
¹⁶ Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.

¹⁷ Payable when the international search report was established by the Russian Patent Office.

¹⁸ In all cases where footnote 17 does not apply.

¹⁹ Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

ÉTATS CONTRACTANTS DU PCT ET CODES À DEUX LETTRES (100 au 1^{er} mars 1999)



AE Émirats arabes unis (à partir du 10 mars 1999)	CN Chine	IL Israël	MD République de Moldova (EA)	SI Slovénie ¹
CU Cuba	CY Chypre (EP) ²	IN Inde	MG Madagascar	SK Slovaquie
CZ République tchèque	DE Allemagne (EP)	IS Islande	MK Ex-République yougoslave de Macédoine ¹	SL Sierra Leone
DK Danemark (EP)	EE Estonie	IT Italie (EP) ²	ML Mali (OA) ²	SN Sénégal (OA) ²
AU Australie	ES Espagne (EP)	KE Kenya (AP)	MN Mongolie	SZ Swaziland (AP) ²
AZ Azerbaïdjan (EA)	FI Finlande (EP)	KG Kirghizistan (EA)	MR Mauritanie (OA) ²	TD Tchad (OA) ²
BA Bosnie-Herzégovine	FR France (EP) ²	KP République populaire démocratique de Corée	MW Malawi (AP)	TG Togo (OA) ²
BB Barbade	GA Gabon (OA) ²	KR République de Corée	MX Mexique	TJ Tadjikistan (EA)
BE Belgique (EP) ²	GB Royaume-Uni (EP)	KZ Kazakhstan (EA)	NE Niger (OA) ²	TM Turkménistan(EA)
BF Burkina Faso (OA) ²	GD Grenade	LC Sainte-Lucie	NL Pays-Bas (EP) ²	TR Turquie
BG Bulgarie	GE Géorgie	LI Liechtenstein (EP)	NO Norvège	TT Trinité-et-Tobago
BJ Bénin (OA) ²	GH Ghana (AP)	LK Sri Lanka	NZ Nouvelle-Zélande	UA Ukraine
BR Brésil	GM Gambie (AP)	LR Libéria	PL Pologne	UG Ouganda (AP)
BY Bélarus (EA)	GN Guinée (OA) ²	GW Guinée-Bissau (OA) ²	PT Portugal (EP)	US États-Unis d'Amérique
CA Canada	GR Grèce (EP) ²	HR Croatie	RO Roumanie ¹	UZ Ouzbékistan
CF République centrafricaine (OA) ²	GT Guatemala (AP)	HU Hongrie	RU Fédération de Russie (EA)	VN Viet Nam
CG Congo (OA) ²	ID Indonésie	ID Indonésie	SD Soudan (AP)	YU Yougoslavie
CH Suisse (EP)	IE Irlande (EP) ²	IE Irlande (EP) ²	SE Suède (EP)	ZA Afrique du Sud (à partir du 16 mars 1999)
CI Côte d'Ivoire (OA) ²			SG Singapour	ZW Zimbabwe (AP)
CM Cameroun (OA) ²				

1 Possibilité d'extension d'un brevet européen.

2 Ne peut être désigné qu'aux fins d'un brevet régional (suite à la fermeture de la "voie nationale" via le PCT).

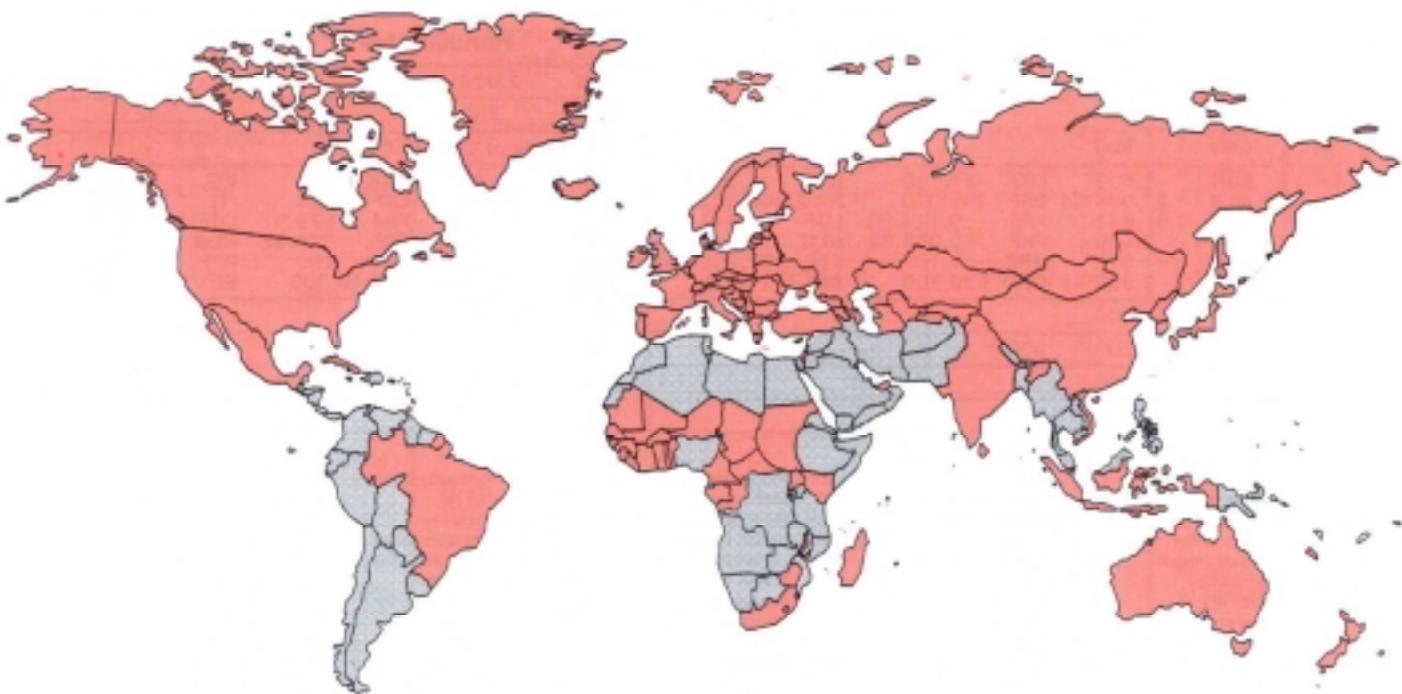
Lorsqu'un État peut être désigné aux fins d'un brevet régional, le code à deux lettres correspondant au brevet régional concerné est indiqué entre parenthèses (AP = brevet ARIPO, EA = brevet eurasien, EP = brevet européen, OA = brevet OAPI).

Important:

Cette liste comporte tous les États qui ont adhéré au PCT à la date qui figure ci-dessus. Les États dont le nom est indiqué en **italique gras** ont adhéré au PCT mais n'étaient pas encore liés par le PCT à la date de publication de la dernière version du formulaire de requête. Si le déposant souhaite désigner des États aux fins d'un brevet national, qui sont liés par le PCT à la date à laquelle il dépose la demande internationale mais qui ne figurent pas dans le formulaire de requête, il doit les ajouter dans le cadre no V dudit formulaire en cochant les cases appropriées. Lorsqu'un État a adhéré au PCT mais n'est pas encore lié par le PCT, la date à laquelle il deviendra lié figure entre parenthèses; il ne peut être désigné dans des demandes internationales déposées avant cette date.

Il est recommandé aux déposants de toujours utiliser les dernières versions du formulaire de requête (PCT/RO/101) et du formulaire de demande d'examen préliminaire international (PCT/IPEA/401), dont il est publié de nouvelles versions, en règle générale, deux fois par an. Les dernières versions sont datées de janvier 1999. Ces formulaires sont reproduits aux annexes X et Y, respectivement, du volume I/B du Guide du déposant du PCT (mise à jour de janvier 1999); ils peuvent être imprimés à partir du site Internet de l'OMPI (format PDF) à l'adresse suivante: <http://wwwOMPI.int/fre/pct/forms/index.htm>. Le formulaire de requête peut également être obtenu auprès des offices récepteurs ou du Bureau international et le formulaire de demande d'examen préliminaire international auprès des offices récepteurs, des administrations chargées de l'examen préliminaire international ou du Bureau international.

PCT-VERTRAGSSTAATEN UND ZWEIBUCHSTABEN-CODES (100 am 1. März 1999)



AE Vereinigte Arabische Emirate (10. März 1999)	CM Kamerun (OA) ²	HU Ungarn	MD Republik Moldau (EA)	SI Slowenien ¹
AL Albanien ¹	CN China	ID Indonesien	MG Madagaskar	SK Slowakei
AM Armenien (EA)	CU Kuba	IN Indien	MK Die ehemalige jugoslawische Republik	SL Sierra Leone
AT Österreich (EP)	CY Zypern ²	IE Irland (EP) ²	Mazedonien ¹	SN Senegal (OA) ²
AU Australien	CZ Tschechische Republik	IL Israel	ML Mali (OA) ²	SZ Swasiland (AP) ²
AZ Aserbaidschan (EA)	DE Deutschland (EP)	IS Island	MN Mongolei	TD Tschad (OA) ²
BA Bosnien-Herzegowina	DK Dänemark (EP)	IT Italien (EP) ²	MR Mauretanien (OA) ²	TG Togo (OA) ²
BB Barbados	EE Estland	JP Japan	MW Malawi (AP)	TJ Tadschikistan (EA)
BE Belgien (EP) ²	ES Spanien (EP)	KE Kenia (AP)	MX Mexiko	TM Turkmenistan (EA)
BF Burkina Faso (OA) ²	FI Finnland (EP)	KG Kirgisistan (EA)	NE Niger (OA) ²	TR Türkei
BG Bulgarien	FR Frankreich (EP) ²	KP Demokratische Volksrepublik Korea	NL Niederlande (EP) ²	TT Trinidad und Tobago
BJ Benin (OA) ²	GA Gabun (OA) ²	KR Republik Korea	NO Norwegen	UA Ukraine
BR Brasilien	GB Vereinigtes Königreich (EP)	KZ Kasachstan (EA)	NZ Neuseeland	UG Uganda (AP)
BY Belarus (EA)	GD Grenada	LC St. Lucia	PL Polen	US Vereinigte Staaten von Amerika
CA Kanada	GE Georgien	LI Liechtenstein (EP)	PT Portugal (EP)	UZ Usbekistan
CF Zentralafrikanische Republik (OA) ²	GH Ghana (AP)	LK Sri Lanka	RO Rumänien ¹	VN Vietnam
CG Kongo (OA) ²	GM Gambia (AP)	LR Liberia	RU Russische Föderation (EA)	YU Jugoslawien
CH Schweiz (EP)	GN Guinea (OA) ²	LS Lesotho (AP)	SD Sudan (AP)	ZA Südafrika (16. März 1999)
CI Côte d'Ivoire (OA) ²	GR Griechenland (EP) ²	LT Litauen ¹	SE Schweden (EP)	ZW Simbabwe (AP)
	GW Guine-Bissau (OA) ²	LU Luxemburg (EP)	SG Singapur	
	HR Kroatien	LV Lettland ¹		
		MC Monaco (EP) ²		

1 Erstreckung eines europäischen Patents möglich.

2 Kann nur für ein regionales Patent bestimmt werden (die nationale Route über den PCT-Weg ist geschlossen worden).

Kann ein Staat für ein regionales Patent bestimmt werden, so ist der Zweibuchstaben-Code für das entsprechende regionale Patent in Klammern angegeben (AP = ARIPO-Patent, EA = eurasisches Patent, EP = europäisches Patent, OA = OAPI-Patent).

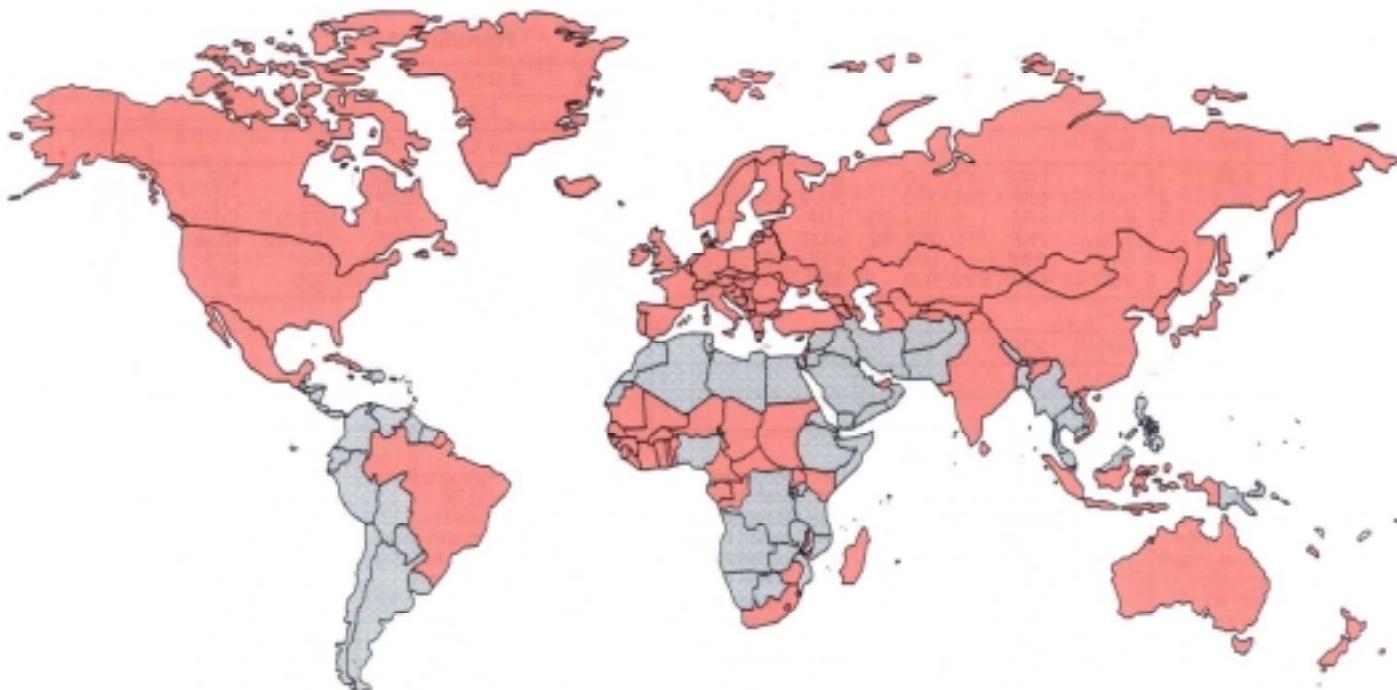
Wichtig:

Diese Liste umfaßt alle Staaten, die dem PCT bis zu dem in der Überschrift genannten Datum beigetreten sind. Diejenigen Staaten, deren Namen **fett und kursiv** gedruckt sind, sind dem PCT beigetreten, waren jedoch am Tag der Herausgabe der letzten Fassung des Antragsformulars noch nicht durch den PCT gebunden. Will der Anmelder einen Staat für ein nationales Patent bestimmen, der an dem Tag, an dem die internationale Anmeldung eingereicht wird, durch den PCT gebunden, aber nicht auf dem Antragsformular aufgeführt ist, so muß er diesen Staat in Feld Nr. V hinzufügen und das entsprechende Kästchen ankreuzen. Ist ein Staat dem PCT beigetreten, aber noch nicht durch den PCT gebunden, so ist das Datum, ab dem dieser Staat durch den PCT gebunden sein wird, in Klammern angegeben; dieser Staat kann in internationalen Anmeldungen, die vor diesem Datum eingereicht werden, nicht bestimmt werden.

Anmelder sollten stets die letzte Fassung des Antragsformulars (PCT/RO/101) sowie des Formulars für den Antrag auf internationale vorläufige Prüfung (PCT/IPEA/401) benutzen. Neufassungen beider Formulare erscheinen üblicherweise zweimal im Jahr; die letzten Neufassungen tragen das Datum von Januar 1999. Das Antragsformular kann von den Anmeldeämtern oder dem Internationalen Büro bezogen werden. Das Formular für den Antrag auf internationale vorläufige Prüfung ist bei den Anmeldeämtern, den mit der internationalen vorläufigen Prüfung beauftragten Behörden oder dem Internationalen Büro erhältlich. Die Formulare können darüber hinaus von der WIPO Internet site unter: <http://www.wipo.int/eng/pct/forms/index.htm> (in PDF Format) ausgedruckt werden.

ESTADOS CONTRATANTES DEL PCT Y CÓDIGOS DE DOS LETRAS

(100 el 1 de marzo de 1999)



AE Emiratos Árabes Unidos (a partir del 10 de marzo de 1999)	CI Côte d'Ivoire (OA) ²	ID Indonesia	MC Mónaco (EP) ²	SG Singapur
AL Albania ¹	CM Camerún (OA) ²	IE Irlanda (EP) ²	MD República de Moldova (EA)	SI Eslovenia ¹
AM Armenia (EA)	CN China	IL Israel	MG Madagascar	SK Eslovaquia
AT Austria (EP)	CY Chipre (EP) ²	IN India	MK Ex República Yugoslava de Macedonia ¹	SL Sierra Leona
AU Australia	CZ República Checa	IT Italia (EP) ²	ML Malí (OA) ²	SN Senegal (OA) ²
AZ Azerbaiyán (EA)	DE Alemania (EP)	JP Japón	MN Mongolia	SZ Swazilandia (AP) ²
BA Bosnia y Herzegovina	DK Dinamarca (EP)	KE Kenya (AP)	MR Mauritania (OA) ²	TD Chad (OA) ²
BB Barbados	EE Estonia	KG Kirguistán (EA)	MW Malawi (AP)	TG Togo (OA) ²
BE Bélgica (EP) ²	ES España (EP)	KP República Popular Democrática de Corea	MX México	TJ Tayikistán (EA)
BF Burkina Faso (OA) ²	FI Finlandia (EP)	KR República de Corea	NE Níger (OA) ²	TM Turkmenistán (EA)
BG Bulgaria	FR Francia (EP) ²	KZ Kazajstán (EA)	NL Países Bajos (EP) ²	TR Turquía
BJ Benín (OA) ²	GA Gabón (OA) ²	LC Santa Lucía	NO Noruega	TT Trinidad y Tabago
BR Brasil	GB Reino Unido (EP)	LI Liechtenstein (EP)	NZ Nueva Zelanda	UA Ucrania
BY Belarús (EA)	GD Granada	LK Sri Lanka	PL Polonia	UG Uganda (AP)
CA Canadá	GE Georgia	LR Liberia	PT Portugal (EP)	US Estados Unidos de América
CF República Centroafricana (OA) ²	GH Ghana (AP)	LS Lesotho (AP)	RO Rumania ¹	UZ Uzbekistán
CG Congo (OA) ²	GM Gambia (AP)	LT Lituania ¹	RU Federación de Rusia (EA)	VN Viet Nam
CH Suiza (EP)	GN Guinea (OA) ²	LU Luxemburgo (EP)	SD Sudán (AP)	YU Yugoslavia
	GR Grecia (EP) ²	LV Letonia ¹	SE Suecia (EP)	ZA Sudáfrica (a partir del 16 de marzo de 1999)
	GW Guinea-Bissau (OA) ²			ZW Zimbabwe (AP)
	HR Croacia			
	HU Hungría			

1 Posible extensión de patente europea.

2 Puede ser designado solamente para una patente regional (ha sido cerrada la "vía nacional" por medio del PCT).

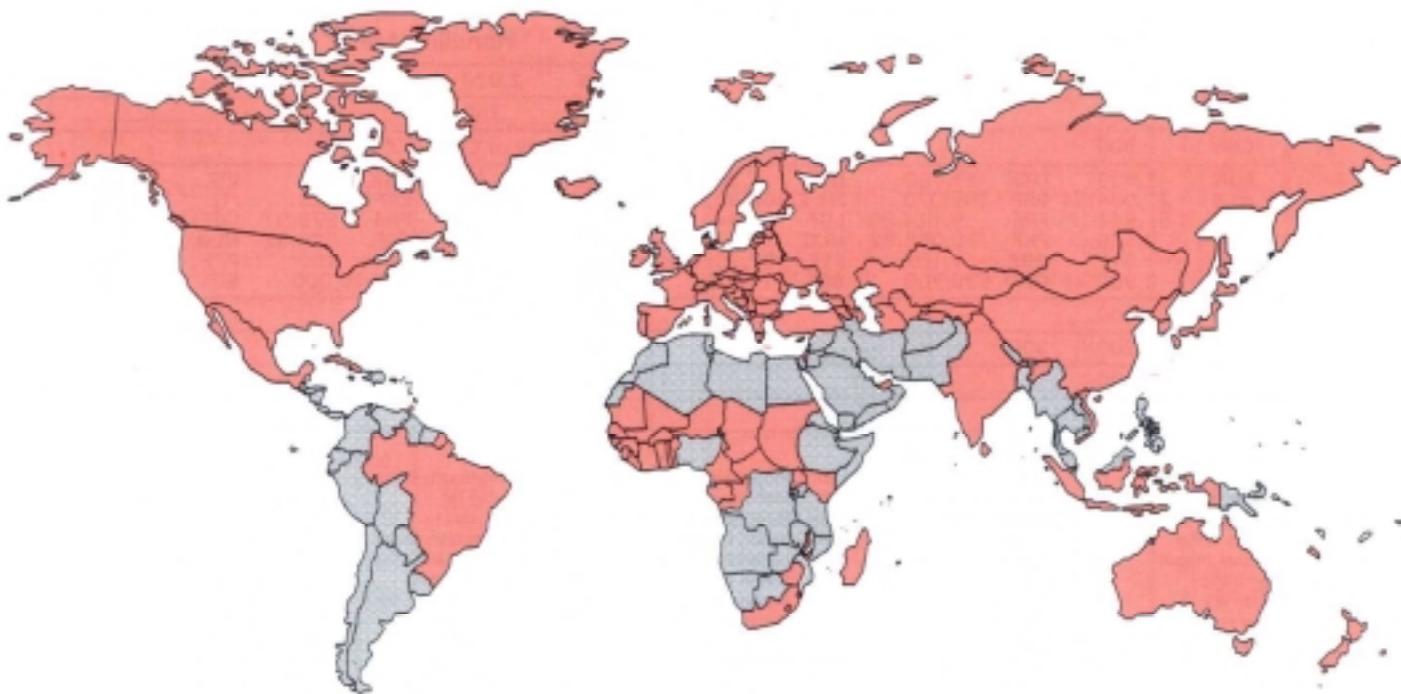
Cuando se puede designar un Estado para una patente regional, se indica entre paréntesis el código de dos letras respecto de la patente regional en cuestión (AP = patente ARIPO, EA = patente euroasiática, EP = patente europea, OA = patente OAPI).

Importante:

Esta lista incluye todos los Estados adheridos al PCT en la fecha indicada en el título. Los Estados indicados en **negritas** se han adherido al PCT pero aún no estaban obligados por el PCT en la fecha de publicación de la última versión del formulario del peticionario. Si el solicitante desea designar, a los fines de una patente nacional, a cualquier Estado que esté obligado por el PCT en la fecha de presentación de la solicitud internacional, pero que aún no esté relacionado en el formulario del peticionario, deberá añadirlo en el recuadro Nº V del formulario del peticionario y marcar las casillas correspondientes. Cuando un Estado se haya adherido al PCT pero aún no esté obligado por el mismo, se indica entre paréntesis la fecha en la que quedará obligado; no podrá ser designado en solicitudes internacionales presentadas antes de esa fecha.

Se recomienda a los solicitantes siempre utilizar las versiones más recientes del formulario del peticionario (PCT/RO/101) y del formulario de la solicitud de examen preliminar internacional (PCT/IPEA/401), nuevas versiones de las cuales son normalmente publicadas dos veces al año. Las versiones más recientes son de enero de 1999. Se reproducen los formularios en los Anexos X y Y, respectivamente, del Volumen I/B de la Guía del solicitante PCT (actualización de enero de 1999); pueden imprimirse los formularios desde la página de acceso de la OMPI a Internet donde están disponibles en formato PDF en: <http://www.wipo.int/spa/pct/forms/index.htm>. El formulario del peticionario puede obtenerse en las Oficinas receptoras o en la Oficina Internacional. El formulario de la solicitud de examen preliminar internacional también puede obtenerse en las Oficinas receptoras, las Administraciones encargadas del examen preliminar internacional o la Oficina Internacional.

PCT CONTRACTING STATES AND TWO-LETTER CODES (100 on 1 March 1999)



AE United Arab Emirates (from 10 March 1999)	CM Cameroon (OA) ²	ID Indonesia	MD Republic of Moldova (EA)	SI Slovenia ¹
AL Albania ¹	CN China	IE Ireland (EP) ²	MG Madagascar	SK Slovakia
AM Armenia (EA)	CU Cuba	IL Israel	MK The former Yugoslav Republic of Macedonia ¹	SL Sierra Leone
AT Austria (EP)	CY Cyprus (EP) ²	IN India	ML Mali (OA) ²	SN Senegal (OA) ²
AU Australia	CZ Czech Republic	IS Iceland	MN Mongolia	SZ Swaziland (AP) ²
AZ Azerbaijan (EA)	DE Germany (EP)	IT Italy (EP) ²	MR Mauritania (OA) ²	TD Chad (OA) ²
BA Bosnia and Herzegovina	DK Denmark (EP)	JP Japan	MW Malawi (AP)	TG Togo (OA) ²
BB Barbados	EE Estonia	KE Kenya (AP)	MX Mexico	TJ Tajikistan (EA)
BE Belgium (EP) ²	ES Spain (EP)	KG Kyrgyzstan (EA)	NE Niger (OA) ²	TM Turkmenistan (EA)
BF Burkina Faso (OA) ²	FI Finland (EP)	KP Democratic People's Republic of Korea	NL Netherlands (EP) ²	TR Turkey
BG Bulgaria	FR France (EP) ²	KR Republic of Korea	NO Norway	TT Trinidad and Tobago
BJ Benin (OA) ²	GA Gabon (OA) ²	KZ Kazakhstan (EA)	NZ New Zealand	UA Ukraine
BR Brazil	GB United Kingdom (EP)	GD Grenada	PL Poland	UG Uganda (AP)
BY Belarus (EA)	GE Georgia	GE Georgia	PT Portugal (EP)	US United States of America
CA Canada	GH Ghana (AP)	GM Gambia (AP)	RO Romania ¹	UZ Uzbekistan
CF Central African Republic (OA) ²	GN Guinea (OA) ²	GN Guinea (OA) ²	RU Russian Federation (EA)	VN Viet Nam
CG Congo (OA) ²	GR Greece (EP) ²	GR Greece (EP) ²	SD Sudan (AP)	YU Yugoslavia
CH Switzerland (EP)	GW Guinea-Bissau (OA) ²	GW Guinea-Bissau (OA) ²	SE Sweden (EP)	ZA South Africa (from 16 March 1999)
CI Côte d'Ivoire (OA) ²	HR Croatia	HR Croatia	SG Singapore	ZW Zimbabwe (AP)
	HU Hungary	HU Hungary		
		MC Monaco (EP) ²		

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in ***bold italics*** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. If the applicant wishes to designate any States for the purposes of a national patent, which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), new versions of which are normally issued twice yearly. The latest versions are dated January 1999. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (January 1999 update), and can be printed from the WIPO Internet site, in PDF format, at: <http://www.wipo.int/eng/pct/forms/index.htm>. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from receiving Offices, International Preliminary Examining Authorities or the International Bureau.

C Receiving Offices C

EP EUROPEAN PATENT OFFICE (EPO) EP

Competent receiving Office for nationals and residents of:	Austria, Belgium, ¹ Cyprus, ¹ Denmark, ¹ Finland, France, ¹ Germany, ¹ Greece, ¹ Ireland, Italy, ¹ Liechtenstein, Luxembourg, ¹ Monaco, Netherlands, Portugal, ¹ Spain, ¹ Sweden, ¹ Switzerland, United Kingdom ¹
Language in which international applications may be filed:	English, French or German
Number of copies required by the receiving Office:	3
Competent International Searching Authority:	European Patent Office
Competent International Preliminary Examining Authority:	European Patent Office
Fees payable to the receiving Office:	Currency: Euro (EUR)²
Transmittal fee: ³	EUR 102
Basic fee: ³	EUR 413
Supplement per sheet over 30: ³	EUR 10
Designation fee: ³	EUR 95
Search fee: ³	See Annex D (European Patent Office)
Fee for priority document (PCT Rule 17.1(b)):	EUR 30
Is an agent required by the receiving Office?	No, if the applicant has a residence or his principal place of business in one of the States party to the European Patent Convention Yes, if he has neither a residence nor his principal place of business within the territory of one of those States
Who can act as agent?	Any professional representative entered on the relevant list main- tained by the EPO (the directory of professional representatives can be ordered at the EPO, Munich) Any legal practitioner qualified to practice in patent matters in one of the States party to the European Patent Convention and who has his place of business in that State

¹ See, however, Annex B1 relating to that State as to the national requirements for compulsory filing with a national Office.

² Payments can also be made in the following currencies: **Deutsche mark**, Pound sterling, French franc, Swiss franc, Netherlands guilder, Swedish krona, Belgian/Luxembourg franc, Italian lira, Austrian schilling, Spanish peseta, Greek drachma, Danish krone, Portuguese escudo, Irish pound, Finnish markka or Cyprus pound. The equivalent amounts in those currencies of the basic fee, the supplement per sheet over 30 and of the designation fee are the same as those indicated for the receiving Offices of the respective States. For the amounts in the said currencies of the transmittal fee and of the fee for the priority document, reference should be made to the latest issue of the OJ EPO or the *PCT Gazette* containing the equivalent amounts of those fees.

³ In case of late payment of fees, see OJ EPO 1992, 383, and *PCT Applicant's Guide*, Volume I/A, International Phase, paragraph 211.

D International Searching Authorities D
EP EUROPEAN PATENT OFFICE (EPO) EP

Search fee (PCT Rule 16): ¹	
Euro (EUR)	1,124
Deutsche mark (DEM)	2,198.35
Pound sterling (GBP) ²	812
French franc (FRF)	7,372.96
Swiss franc (CHF) ²	1,850
Netherlands guilder (NLG)	2,476.97
Swedish krona (SEK) ²	10,730
Belgian/Luxembourg franc (BEF/LUF)	45,342
Italian lira (ITL)	2,176,367
Austrian schilling (ATS)	15,466.58
Spanish peseta (ESP)	187,018
Greek drachma (GRD) ²	392,900
Danish krone (DKK) ²	8,640
Portuguese escudo (PTE)	225,341.80
Irish pound (IEP)	885.22
Finnish markka (FIM)	6,683
Cyprus pound (CYP) ²	671
Canadian dollar (CAD) ³	2,088
Icelandic krona (ISK) ³	94,000 (<i>as from 1 April 1999</i>)
Japanese yen (JPY) ³	149,000
Malawian kwacha (MWK) ³	32,600
Norwegian krone (NOK) ³	9,800
New Zealand dollar (NZD) ³	2,460
Singapore dollar (SGD) ³	2,270
US dollar (USD) ³	1,338

Additional search fee (PCT Rule 40.2): ⁴	Same amounts as above in EUR, DEM, GBP, FRF, CHF, NLG, SEK, BEF/LUF, ITL, ATS, ESP, GRD, DKK, PTE, IEP, FIM or CYP
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Fee for copies of documents cited in the international search report (PCT Rule 44.3):	For applicants: none; applicant receives, together with the international search report, a copy of each document cited therein For designated Offices: EUR 0.60 per page ⁵
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¹ This fee is payable to the receiving Office in the currency or one of the currencies accepted by it (see Annex C). It can be reduced by 75% if the international application is filed by a national of a State which fulfills the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations (see also footnote 6 to Annex C(1B) and *PCT Gazette* No. 50/1995, pages 19233 and 19234) in accordance with the decision of the EPO's Administrative Council of 14 June 1996.

² The equivalent amounts in these currencies are subject to change, due to currency fluctuations. For the current amount, reference should be made to the latest issue of the OJ EPO or to the EPO's Internet home page.

³ The equivalent amounts in these currencies are subject to change, due to currency fluctuations. For the current amount, reference should be made to the latest issue of the *PCT Gazette* containing the equivalent amounts of those fees or the *PCT Newsletter*.

⁴ This fee is payable to the International Searching Authority and only in particular circumstances. Footnote 1 (except the first sentence) is also applicable.

⁵ For the equivalent amounts in currencies other than EUR, reference should be made to the latest issue of the OJ EPO or to the EPO's Internet home page.

D International Searching Authorities D

EP EUROPEAN PATENT OFFICE (EPO) EP

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Fee for translation into English of the international application (PCT Rule 48.3(b)): ⁶	Per 100 words of the original text: EUR 20 or NLG 44.07 or BEF 807
Conditions for refund and amount of refund of the search fee:	<p>Money paid by mistake, without cause, or in excess, will be refunded.</p> <p>Where the international application is withdrawn or is considered withdrawn, under PCT Article 14(1), (3) or (4), before the start of the international search: refund of 100%.</p> <p>Where the international search report is based on an earlier search (including a privately commissioned “standard” search) already made by the Authority on an application whose priority is claimed for the international application, the following refund is made:</p> <ul style="list-style-type: none"> — no supplementary search performed: refund of 100%; — supplementary search performed in the documentation relating to one or more subdivisions consulted in the earlier search or extended to one or more subdivisions not yet consulted: refund of 75%; — supplementary search performed in the documentation relating to one or more subdivisions already consulted and extended to one or more subdivisions not yet consulted: refund of 50%; — supplementary search performed in the documentation relating to subdivisions concerning a new aspect of the invention claimed (for instance, cases where the international application is based on several earlier applications only one of which was the subject of an earlier search report): refund of 25%.
Protest fee (PCT Rule 40.2(e)):	Euro (EUR) ⁷ 1,022
Languages accepted for international search:	English, French, German and, where the international application is filed with the Patent Offices of Belgium or the Netherlands, Dutch.
Requirements concerning nucleotide and/or amino acid sequence listing (PCT Rule 13ter.1):	Computer readable form required
Subject matter that will not be searched:	The subject matter specified in items (i) to (vi) of PCT Rule 39.1 with the exception of all subject matter which is searched under the European patent grant procedure

⁶ This fee is payable to the International Searching Authority and only in particular circumstances.

⁷ For the equivalent amounts in currencies other than EUR, reference should be made to the latest issue of the OJ EPO or to the EPO's Internet home page.

E International Preliminary Examining Authorities E

EP EUROPEAN PATENT OFFICE (EPO)¹ EP

Preliminary examination fee (PCT Rule 58): ²	Euro (EUR)	1,533
	Deutsche mark (DEM)	2,998.29
	Pound sterling (GBP) ³	1,107
	French franc (FRF)	10,055.82
	Swiss franc (CHF) ³	2,520
	Netherlands guilder (NLG)	3,378.29
	Swedish krona (SEK) ³	14,630
	Belgian/Luxembourg franc (BEF/LUF)	61,841
	Italian lira (ITL)	2,968,302
	Austrian schilling (ATS)	21,094.54
	Spanish peseta (ESP)	255,070
	Greek drachma (GRD) ³	535,700
	Danish krone (DKK) ³	11,790
	Portuguese escudo (PTE)	307,338.90
	Irish pound (IEP)	1,207.34
	Finnish markka (FIM)	9,114.80
	Cyprus pound (CYP)	915
Additional preliminary examination fee (PCT Rule 68.3): ⁴	Same amounts as above	
Handling fee (PCT Rule 57.1): ⁵	Euro (EUR)	148
	Deutsche mark (DEM)	289.46
	Pound sterling (GBP)	100
	French franc (FRF)	970.82
	Swiss franc (CHF)	233
	Netherlands guilder (NLG)	326.15
	Swedish krona (SEK)	1,250
	Belgian/Luxembourg franc (BEF/LUF)	5,970
	Italian lira (ITL)	286,568
	Austrian Schilling (ATS)	2,036.52
	Spanish peseta (ESP)	24,625
	Greek drachma (GRD)	46,000
	Danish krone (DKK)	1,000
	Portuguese escudo (PTE)	29,671
	Irish pound (IEP)	116.56
	Finnish markka (FIM)	879.97
	Cyprus pound (CYP)	85

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¹ The EPO may act as International Preliminary Examining Authority only if the EPO, the Austrian Patent Office, the Spanish Patent and Trademark Office or the Swedish Patent Office is acting or has acted as International Searching Authority for the international application. See also the “Information for PCT Applicants” in OJ EPO 1997, pages 593 to 600, concerning the procedure before the EPO as International Preliminary Examining Authority.

² This fee is payable to the International Preliminary Examining Authority. It can be reduced by 75% if the international application is filed by a national of a State which fulfills the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations (see also footnote 6 to Annex C(1B) and *PCT Gazette* No. 50/1995, pages 19233 and 19234) in accordance with the decision of the EPO'S Administrative Council of 14 June 1996.

³ The equivalent amounts in these currencies are subject to change, due to currency fluctuations. For the current amount, reference should be made to the latest issue of the OJ EPO or to the EPO's Internet home page or, for the handling fee, to the *PCT Gazette* containing the equivalent amounts of those fees, or the *PCT Newsletter*.

⁴ This fee is payable to the International Preliminary Examining Authority and only in particular circumstances. Footnote 2 is also applicable.

⁵ This fee is payable to the International Preliminary Examining Authority. It is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in any of the States mentioned in footnote 6 to Annex C(1B).

E

International Preliminary Examining Authorities

E

EP

EUROPEAN PATENT OFFICE (EPO)

EP

[Continued]

Fee for copies of documents cited in the international preliminary examination report (PCT Rule 71.2):	For applicants: none; applicant receives, together with the international preliminary examination report, a copy of each document cited therein For elected Offices: EUR ⁶ 0.60 per page
Fee for copies of documents contained in the file of the international application (PCT Rule 94) ⁷	Per A4 page or smaller (delivery charge should be added if the copies are to be sent by air mail): EUR ⁷ 0.60 per page
Conditions for refund and amount of refund of the preliminary examination fee:	Money paid by mistake, without cause, or in excess, will be refunded In the cases provided for under PCT Rule 58.3: refund of 100% If the international application or the demand is withdrawn before the start of the international preliminary examination: refund of 75%
Protest fee (PCT Rule 68.3(e)):	EUR ⁷ 1,022
Languages accepted for international preliminary examination:	English, French, German
Subject matter that will not be examined:	The subject matter specified in items (i) to (vi) of PCT Rule 67.1 with the exception of all subject matter which is examined under the European patent grant procedure

⁶ For the equivalent amounts in currencies other than EUR, reference should be made to the latest issue of the OJ EPO or to the EPO's Internet home page.

⁷ This fee is payable to the International Preliminary Examining Authority if copies of documents are requested.

SUMMARY**Designated
(or elected) Office****SUMMARY****EP****EUROPEAN PATENT OFFICE (EPO)****EP****Summary of requirements for entry into the national phase**

Time limits applicable for entry into the national phase:	Under PCT Article 22: 21 months from the priority date Under PCT Article 39(1): 31 months from the priority date																																								
Translation of international application required into:	English, French or German																																								
Required contents of the translation for entry into the national phase:	Under PCT Article 22: Description, claims (if amended, both as originally filed and as amended, if the applicant wishes the amendments to form the basis for the proceedings, together with any statement under PCT Article 19), ¹ any text matter of drawings, abstract Under PCT Article 39(1): Description, claims, any text matter of drawings (if any of those parts has been amended, both as originally filed and as amended by the annexes to the international preliminary examination report and claims amended under PCT Article 19, if the applicant wishes these amendments to form the basis for the proceedings, together with any statement under PCT Article 19), ¹ abstract																																								
Is a copy of the international application required?	No																																								
National fee:	<table> <tr> <td>Currency: Euro (EUR)²</td> <td></td> <td></td> <td></td> </tr> <tr> <td>National fee,³ comprising</td> <td></td> <td></td> <td></td> </tr> <tr> <td>– national basic fee:</td> <td>EUR</td> <td>127</td> <td></td> </tr> <tr> <td>– designation fee for each EPO Contracting State designated and for the joint designation of Switzerland and Liechtenstein:</td> <td>EUR</td> <td>76</td> <td></td> </tr> <tr> <td>– claims fee for the 11th and each subsequent claim:</td> <td>EUR</td> <td>40</td> <td></td> </tr> <tr> <td>Search fee:³</td> <td>EUR</td> <td>869</td> <td></td> </tr> <tr> <td>Surcharge for late payment of the national basic fee, a designation fee or the search fee:</td> <td>50% of the relevant fee, but not to exceed a total of:</td> <td>EUR 715</td> <td></td> </tr> <tr> <td>Examination fee:⁴</td> <td>EUR</td> <td>1,431</td> <td></td> </tr> <tr> <td>Surcharge for late filing of the request for examination:</td> <td>50% of the examination fee</td> <td></td> <td></td> </tr> <tr> <td>Renewal fee for the third year:⁵</td> <td>EUR</td> <td>383</td> <td></td> </tr> </table>	Currency: Euro (EUR) ²				National fee, ³ comprising				– national basic fee:	EUR	127		– designation fee for each EPO Contracting State designated and for the joint designation of Switzerland and Liechtenstein:	EUR	76		– claims fee for the 11th and each subsequent claim:	EUR	40		Search fee: ³	EUR	869		Surcharge for late payment of the national basic fee, a designation fee or the search fee:	50% of the relevant fee, but not to exceed a total of:	EUR 715		Examination fee: ⁴	EUR	1,431		Surcharge for late filing of the request for examination:	50% of the examination fee			Renewal fee for the third year: ⁵	EUR	383	
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Surcharge for late filing of the request for examination:	50% of the examination fee																																								
Renewal fee for the third year: ⁵	EUR	383																																							

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¹ Where the applicant furnishes only one translation, either of the claims as filed or as amended, the Office will invite the applicant to furnish the missing translation within a reasonable time limit. If the missing translation is not furnished, the international application will be considered withdrawn.

² Payments can also be made in the following currencies: Deutsche mark, Pound sterling, French franc, Swiss franc, Netherlands guilder, Swedish krona, Belgian/Luxembourg franc, Italian lira, Austrian schilling, Spanish peseta, Greek drachma, Danish krone, Portuguese escudo, Irish pound, Finnish markka or Cyprus pound. For the equivalent amounts fixed by the EPO in those currencies, reference should be made to the latest issue of the OJ EPO or to the EPO's Internet home page.

³ Must be paid within 21 months or 31 months from the priority date depending on whether PCT Article 22 or 39(1) applies.

⁴ A written request for examination must be made and the examination fee must be paid within six months after the publication by the International Bureau of the international search report or within the time limit applicable under PCT Article 22 or 39(1) (21 months or 31 months from the priority date), whichever expires later.

⁵ This fee is due on the last day of the month containing the second anniversary (24 months) of the international filing date; where PCT Article 39(1) applies, it is due within 31 months from the priority date if that 31-month time limit expires later.

SUMMARY**Designated
(or elected) Office****SUMMARY****EP****EUROPEAN PATENT OFFICE (EPO)****EP***[Continued]*

Extension fee:

Fee for extension of the European patent to Albania, Latvia, Lithuania, Romania, Slovenia or The former Yugoslav Republic of Macedonia (the country concerned must have been designated in the international application)⁶ EUR 102
 Surcharge for late payment: 50% of the extension fee⁷

Exemptions, reductions or refunds of the national fee:

No search fee is payable where the international search report has been established by the EPO, the Austrian Patent Office, the Spanish Patent and Trademark Office or the Swedish Patent Office
 The search fee is reduced by 20% where the international search report has been established by the Australian Patent Office, the China Intellectual Property Office, the Japanese Patent Office, the Russian Patent Office or the United States Patent and Trademark Office
 The examination fee is reduced by 50% where an international preliminary examination report has been established by the EPO
 Furthermore, in certain cases the examination fee is reduced by 20% for language reasons (see paragraph EP.15 of national chapter EP)

Special requirements of the Office (PCT Rule 51bis):

The translation and the amendments to the international application must be filed in triplicate (this applies particularly to the amendments annexed to the international preliminary examination report if the applicant wishes them to be taken into consideration for the proceedings before the EPO)⁸
 Name and address of the inventor if they have not been furnished in the "Request" part of the international application
 Appointment of an agent if the applicant has neither a residence nor his principal place of business within the territory of one of the Contracting States of the European Patent Convention⁸
 Furnishing, where applicable, of a nucleotide and/or amino acid sequence listing in computer readable form

Who can act as agent?

Any professional representative entered on the relevant list maintained by the EPO (the directory of professional representatives can be ordered at the EPO, Munich)
 Any legal practitioner qualified to practice in patent matters in one of the States party to the European Patent Convention and who has his place of business in that State

⁶ Must be paid within 21 months or 31 months from the priority date depending on whether PCT Article 22 or 39(1) applies. See also OJ EPO 1997, 538.

⁷ But not to exceed a total of EUR 715, comprising the national and search fees.

⁸ If not already complied with within the time limit applicable under PCT Article 22 or 39(1) (21 months or 31 months from the priority date), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.