

PCT

PATENT
COOPERATION
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Published by:
The WORLD
INTELLECTUAL
PROPERTY
ORGANIZATION
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Switzerland

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WIPO Publication
No. 115 (E)

ISSN 1020-072 X

2000 Subscriptions
Regular mail: CHF 60
or USD 50.40

Priority mail CHF 70
or USD 58.80

25% discount for
two or more
subscriptions

Binders

CHF 13.20 or USD 9.60

NEWSLETTER

January 2000

No. 01/2000

NEW PCT CONTRACTING STATES

Algeria (country code: DZ)

On 8 December 1999, Algeria deposited its instrument of ratification of the PCT, and on 8 March 2000, will become bound by the PCT. Consequently, in any international application filed on or after 8 March 2000, Algeria may be designated and, because it will be bound by Chapter II of the PCT, may also be elected. Furthermore, nationals and residents of Algeria will be entitled from 8 March 2000 to file international applications under the PCT.

Antigua and Barbuda (country code: AG)

On 17 December 1999, Antigua and Barbuda deposited its instrument of accession to the PCT, and on 17 March 2000, will become bound by the PCT. Consequently, in any international application filed on or after 17 March 2000, Antigua and Barbuda may be designated and, because it will be bound by Chapter II of the PCT, may also be elected. Furthermore, nationals and residents of Antigua and Barbuda will be entitled from 17 March 2000 to file international applications under the PCT.

See page 4 for information about the accession of Antigua and Barbuda to the Paris Convention.

PCT-EASY UPDATE

The Invention Office of the Democratic People's Republic of Korea has notified the International Bureau that it is prepared to accept the filing of international applications containing requests in PCT-EASY format, together with PCT-EASY dis-

kettes. See Table I(a) for the amount of the corresponding PCT-EASY fee reduction.

A table listing the receiving Offices which have notified the International Bureau that they are prepared to accept the filing of international applications in such format appears on page 5, updating the list included in *PCT Newsletter* No. 01/1999.

PCT APPLICANT'S GUIDE UPDATE SHEETS

The next half-yearly set of update sheets for the *PCT Applicant's Guide* (dated January 2000) is under preparation. Pink provisional sheets included in last year's issues of the *PCT Newsletter*, which have been inserted in the *PCT Applicant's Guide* by its users, should be removed from the *Guide* and replaced with the January 2000 update sheets when they are received.

[continued on page 2]

INSIDE THIS ISSUE

<i>PCT Applicant's Guide</i> update sheets [continued]	2
Patent Office Branches in India to perform receiving Office and designated and elected Office functions . . .	2
PCT information update . . .	2-4
Paris Convention	4
Practical advice	4-5
Receiving Offices prepared to accept the filing of international applications containing requests in PCT-EASY format	5
PCT seminar calendar	6-7
PCT fee tables	8-11
PCT Contracting States and two-letter codes	12
<i>Insert: PCT Newsletter Annual Index (1999)</i>	

[continued from cover page]

The January 2000 update sheets for the *PCT Applicant's Guide* will also be incorporated shortly in the *PCT Applicant's Guide* on WIPO's Internet site.

PATENT OFFICE BRANCHES IN INDIA TO PERFORM RECEIVING OFFICE AND DESIGNATED AND ELECTED OFFICE FUNCTIONS

Certain functions of the Indian Patent Office under the PCT are now also carried out by that Office's Branches in Chennai, Mumbai and New Delhi. Each Branch Office, as well as the Head Office in Calcutta, now performs:

1) receiving Office functions in relation to any international application filed with it by the applicant, or first mentioned applicant in case of joint applicants, whose residence or domicile or place of business, or the place from where the invention actually originated, is within its territorial jurisdiction under the Indian patents legislation; and

2) designated and elected Office functions in relation to any international application entering the national phase in India for which:

- the applicant, or first mentioned applicant in case of joint applicants, whose residence or domicile or place of business, or the place from where the invention actually originated, or,
- if the applicant or party in the proceeding has no place of business or domicile in India, the address for service given by the applicant or party,

is within its territorial jurisdiction under the Indian patents legislation.

However, the Head Office in Calcutta deals with matters relating to the International Bureau of WIPO and the International Searching and Preliminary Examining Authorities.

PCT INFORMATION UPDATE

Note that the following changes are also reflected in the January 2000 update sheets for the *PCT Applicant's Guide*.

CU Cuba (fax numbers)

One of the fax numbers of the Cuban Industrial Property Office has been discontinued, the number which is now valid being as follows:

fax: (537) 33 56 10

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (CU))

IL Israel (fees)

The amount of the following fee, payable to the Israel Patent Office as receiving Office, have changed:

transmittal fee: see Table I(a)

The amount of the following national fee payable to that Office as designated and elected Office, has changed:

filing fee: ILS 837

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IL), and Vol. II/B, National Chapter, Summary (IL))

IN India (means of telecommunication)

The Indian Patent Office now accepts the filing of all kinds of documents by fax, provided the original of the document is furnished within 15 days from the date of transmission.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (IN))

KP Democratic People's Republic of Korea (PCT-EASY fee reduction)

The amount of the PCT-EASY fee reduction available for applicants filing international

PCT MATERIALS ON INTERNET (<http://www.wipo.int>)

PCT Gazette
 PCT Information Line
PCT Newsletter (Nos. 01/1997–01/2000)
 Practical advice published in the *PCT Newsletter* between March 1994 and December 1996
PCT Applicant's Guide
 PCT-EASY
 PCT press releases/updates
 PCT seminar calendar
Basic Facts about the PCT
 The PCT in 1998
 About the Treaty
 Text of the Patent Cooperation Treaty
 Status of ratifications
 Text of the Regulations under the PCT
 Administrative Instructions under the PCT
 PCT Receiving Office Guidelines
 PCT International Search Guidelines
 PCT International Preliminary Examination Guidelines
 Direct filing of PCT applications with the International Bureau as PCT receiving Office
 PCT forms
 PCT Assembly: documents for 1997, 1998, 1999 and 2000
 PCT Committee for Administrative and Legal Matters: documents for 1999

* * *

PCT INFORMATION LINE

Telephone: (41–22) 338 83 38
 Fax: (41–22) 338 83 39
 E-mail: pct.infoline@wipo.int

applications with the Invention Office of the Democratic People's Republic of Korea containing requests in PCT-EASY format, together with PCT-EASY diskettes, is indicated in Table I(a).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (KP))

MA Morocco (competent International Searching and Preliminary Examining Authorities)

The Industrial Property Office of Morocco, in its capacity as receiving Office, has specified the Swedish Patent Office, in addition to the Austrian Patent Office, the Russian Patent Office and the European Patent Office, as a competent International Searching and Preliminary Examining Authority. (The European Patent Office is a competent International Preliminary Examining Authority only if the international search is or has been performed by that Office, by the Austrian Patent Office or by the Swedish Patent Office.)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (MA))

OA African Intellectual Property Organization (means of telecommunication; name and address of inventor)

There has been a change in the requirements of the African Intellectual Property Organization (OAPI) as to the need to furnish the original of a document transmitted to that Office by fax and as to the time when the name and address of the inventor must be given if OAPI is a designated or elected Office, as follows:

must the original of the document be furnished in all cases?

yes, within two months from the date of the transmission, if the transmitted document is a power of attorney or a replacement sheet of the description, claims or drawings

yes, within six months from the date of entry into the national phase for documents relating to priority (instrument of assignment of priority and, only when PCT Rule 17.1(c) applies, priority document)

no, in the case of other documents

time when the name and address of the inventor must be given if OAPI is a designated (or elected) Office:

Must be in the request if the inventor has not renounced in writing the right to be named. If the data concerning the inventor

are not in the request, the Office will invite the applicant to comply with the requirement and to pay a fee within a time limit fixed in the invitation. In any case, a patent may not be granted before the required corrections have been made.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex B2 (OA))

RU Russian Federation (telephone numbers; requirements concerning nucleotide and/or amino acid sequence listing; required contents of translation for national phase; special requirements)

One of the telephone numbers of the Russian Patent Office has been discontinued, the numbers to be used now being as follows:

telephone: (70-95) 240 58 22 (general)
(70-95) 240 58 88
(application processing)

There has been a change in the requirements of that Office as International Searching Authority concerning the presentation of sequence listings under PCT Rule 13ter.1, as follows:

requirements concerning nucleotide and/or amino acid sequence listing:

computer readable form not required

There has been a change in the requirements of that Office as designated and elected Office concerning the contents of the translation for entry into the national phase, as follows:

under PCT Article 22:

request, description, claims (if amended, as originally filed or as amended, if the applicant wishes the amendments to form the basis for the proceedings, together with any statement under PCT Article 19), any text matter of drawings, abstract

under PCT Article 39(1):

request, description, claims, any text matter of drawings, abstract (if any of those parts has been amended, as originally filed or as amended by the annexes to the international preliminary examination report, if the applicant wishes the amendments to form the basis for the proceedings)

The only special requirement under PCT Rule 51bis of that Office is now as follows:

appointment of an agent if the applicant is not resident in the Russian Federation

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (RU), Vol. I/B, Annex D (RU), and Vol. II/C, National Chapter, Summary (RU))

Search fee and other fees relating to the international search (Korean Industrial Property Office)

The equivalent amounts payable in CHF and USD of the search fee for an international search carried out by the Korean Industrial Property Office, have been established. Those amounts, payable to the International Bureau as receiving Office, are indicated in Table I(b).

A new fee, payable in KRW to that Office as International Searching Authority and as International Preliminary Examining Authority, has been established, as follows:

protest fee: KRW 11,000

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes D (KR) and E (KR))

PARIS CONVENTION

Accession by Antigua and Barbuda

On 17 December 1999, Antigua and Barbuda (country code: AG) deposited its instrument of accession to the Paris Convention for the Protection of Industrial Property. Antigua and Barbuda will become bound by that Convention on 17 March 2000.

Application of the Paris Convention, from 20 December 1999, to the Macao Special Administrative Region

The Government of the People's Republic of China has informed the Director General of WIPO of the following:

"In accordance with the Joint Declaration of the Government of the People's Republic of China and the Government of the Republic of Portugal on the Question of Macao signed on 13 April 1987, the People's Republic of China will resume the exercise of sovereignty over Macao with effect from 20 December 1999. Macao will, with effect from that date, become a Special Administrative Region of the People's Republic of China and will enjoy a high degree of autonomy, except in foreign and defence affairs which are the responsibilities of the Central People's Government of the People's Republic of China.

[...] "The Paris Convention for the Protection of Industrial Property of 20 March 1883, as revised at Stockholm on 14 July 1967 and as amended on [28 September 1979] (hereinafter referred to as the Convention), to which the Government of the

People's Republic of China deposited the instrument of accession on 19 December 1984, will apply to the Macao Special Administrative Region with effect from 20 December 1999. The Government of the People's Republic of China also wishes to make the following declaration: the statement made on Article 28(1) of the Convention by the Government of the People's Republic of China will also apply to the Macao Special Administrative Region.

"The Government of the People's Republic of China will assume responsibility for the international rights and obligations arising from the application of the Agreement to the Macao Special Administrative Region."

[translation]

PRACTICAL ADVICE

Confirming the precautionary designation of a State whose specific designation has been withdrawn (—not possible)

Q: I filed an international application on 1 November 1999, designating six PCT Contracting States. Shortly after, while reviewing the file, I decided that I was no longer interested in having a patent in one of the States which I had designated, and I notified the International Bureau that I wished to withdraw the designation in question. The International Bureau has since notified me that the designation has been withdrawn.

I have now received information which has led me to conclude that I was wrong to withdraw the designation of that State, and I would, therefore, like to reinstate the withdrawn designation. The time limit for confirming precautionary designations has not expired yet. Is it possible to reinstate the designation of that State by confirming its precautionary designation?

A: Unfortunately, it is not possible to confirm, under PCT Rule 4.9(c), a designation which has already been "specifically" made and subsequently withdrawn. This is due to the fact that, under PCT Rule 4.9(b), and as indicated in the precautionary designation statement in the bottom part of Box No. V of the Request Form, only those designations which were **not** specifically made under PCT Rule 4.9(a)—that is, only those designations which are not made in the top part of Box No. V of the Request Form or which are not indicated in the Supplemental Box of the Request Form as being excluded from the

scope of the precautionary designation statement—can be made in a “precautionary” manner and can subsequently be confirmed under PCT Rule 4.9(c).

The precautionary designation system was designed to protect applicants who forget to make specific designations, or who designate a

State erroneously upon filing the international application; it was not intended to and does not provide a remedy in a situation where a State has already been specifically designated, is later withdrawn or considered withdrawn, and the applicant subsequently wishes to reinstate its designation.

**RECEIVING OFFICES PREPARED TO ACCEPT
THE FILING OF INTERNATIONAL APPLICATIONS
CONTAINING REQUESTS IN PCT-EASY FORMAT
(status on 1 January 2000)**

AM	Armenian Patent Office	IN	Indian Patent Office
AP	African Regional Industrial Property Organization	JP	Japanese Patent Office
AT	Austrian Patent Office	KG	Kyrgyz Patent Office
AU	Australian Patent Office	KP	Invention Office of the Democratic People's Republic of Korea
BA	Institute for Standardization, Metrology and Patents (Bosnia and Herzegovina)	KR	Korean Industrial Property Office
BE	Industrial Property Office (Belgium)	KZ	Kazakh Patent Office
BG	Bulgarian Patent Office	LV	Latvian Patent Office
CA	Canadian Patent Office	MD	Moldova Patent Office
CH	Swiss Federal Intellectual Property Institute	MK	Industrial Property Protection Office (The former Yugoslav Republic of Macedonia)
CN	China Intellectual Property Office	MN	Mongolian Intellectual Property Office
CR	Registry of Intellectual Property (Costa Rica)	MW	Ministry of Justice, Department of the Registrar General (Malawi)
CZ	Industrial Property Office (Czech Republic)	NL	Netherlands Industrial Property Office
DE	German Patent and Trade Mark Office	NO	Norwegian Patent Office
DK	Danish Patent and Trademark Office	PT	National Institute of Industrial Property (Portugal)
EA	Eurasian Patent Office	RO	State Office for Inventions and Trademarks (Romania)
EP	European Patent Office	RU	Russian Patent Office
ES	Spanish Patent and Trademark Office	SE	Swedish Patent Office
FI	National Board of Patents and Registration (Finland)	SG	Intellectual Property Office of Singapore
FR	National Institute of Industrial Property (France)	SI	Slovenian Intellectual Property Office
GB	United Kingdom Patent Office	SK	Industrial Property Office (Slovakia)
GR	Industrial Property Organization (Greece)	TM	Turkmen Patent Office
HR	Croatian Intellectual Property Office	TR	Turkish Patent Institute
HU	Hungarian Patent Office	TT	Intellectual Property Office (Trinidad and Tobago)
IB	International Bureau of the World Intellectual Property Organization	US	United States Patent and Trademark Office
ID	Directorate General of Intellectual Property (Indonesia)	UZ	Uzbek Patent Office
IE	Irish Patents Office	YU	Federal Intellectual Property Office (Yugoslavia)
IL	Israel Patent Office	ZW	Zimbabwe Patent Office

PCT SEMINAR CALENDAR			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
17–19 January 2000 Port of Spain (TT)	English	WIPO Regional Seminar on the Patent Cooperation Treaty (PCT) for Caribbean Countries WIPO speakers: Mr. Curchod, Mr. Wang, Mrs. Ghelfi, Mr. Sinha and Mr. Reischle Other speakers*	Intellectual Property Office (Ms. Mazina Kadir) Tel: (1–868) 625 95 67 E-mail: mazina.kadir@ipo.gov.tt
24 January 2000 Kingston, Jamaica	English	WIPO National Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Wang, Mrs. Ghelfi, Mr. Sinha and Mr. Reischle	Ministry of Commerce and Technology (Ms. Dianne A. Daley) Tel: (1–876) 754 55 01 Fax: (1–876) 754 77 08 E-mail: copyright@cwjamaica.com
15–16 February 2000 Munich (DE)	German	Advanced PCT seminar for patent administrators (<i>originally scheduled to be a basic PCT seminar</i>) WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
17 February 2000 Munich (DE)	German	PCT-EASY presentation WIPO speakers: Mr. Reischle and Mr. Orichev	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
29 February– 1 March 2000 Milan (IT)	English	PCT seminar for patent attorneys and patent administrators	Ordine dei Consulenti in Proprietà Industriale (Dr. Francesco Macchetta) Tel: (39–2) 27 78 51 97 Fax: (39–2) 27 78 51 99 E-mail: Francesco.Macchetta@hmrug.com
2–3 March 2000 San Francisco (US)	English	Basic PCT seminar for patent administrators	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
6–7 March 2000 Orange County, California (US)	English	PCT seminar for patent attorneys	Orange County Patent Law Association (OCPLA) (Mr. Stefan J. Kirchanski) Tel: (1–213) 689 65 78 Fax: (1–213) 486 98 87 E-mail: skirchanski@gj.com
9–10 March 2000 Chicago (US)	English	Basic PCT seminar for patent administrators	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu
29 (p.m.)– 30 March 2000 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33–4) 78 33 07 08 Fax: (33–4) 78 33 58 96

* Mr. Graça Aranha (National Institute of Industrial Property, Brazil), Mr. Reed (The Procter & Gamble Company), Dr. Paranjape (Patent Information System), Mr. Degannes (Committee to Review Intellectual Property Laws, Trinidad and Tobago)

[continued on next page]

PCT SEMINAR CALENDAR [continued]			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
4–5 April 2000 Stockholm (SE)	English	PCT-EASY presentation WIPO speakers: Mr. Roy and Mr. Fitzgerald	Swedish Patent Office (Mr. Christer Eklöf) Tel: (46–8) 782 28 34 or (Mrs. Ingeborg Giegold) Tel: (46–8) 782 28 84 Fax: (46–8) 783 01 63 E-mail: christer.eklof@prv.se or ingeborg.giegold@prv.se
6–7 April 2000 San Francisco (US)	English	Advanced PCT seminar for patent attorneys	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
9–11 April 2000 Naples, Florida (US)	English	Basic PCT seminar for patent attorneys WIPO speakers: Ms. Boutillon, Mr. Bartels and Mr. Maassel	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
13–15 April 2000 Naples, Florida (US)	English	Advanced PCT seminar for patent attorneys WIPO speakers: Ms. Boutillon, Mr. Bartels and Mr. Maassel	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
19 April 2000 London (GB)	English	PCT-EASY presentation WIPO speakers: Mr. Roy and Mr. Fitzgerald	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
28–29 April 2000 Concord (US)	English	Basic PCT seminar for patent attorneys and patent administrators	Franklin Pierce Law Center (Ms. Carol Ruh) Tel: (1–603) 228 19 63, ext. 1108 Fax: (1–603) 224 33 42 E-mail: cruh@fplc.edu
16 May 2000 Munich (DE)	German	Basic PCT seminar for patent attorneys	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
17–18 May 2000 Munich (DE)	German	Basic PCT seminar for patent administrators	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
19 May 2000 Munich (DE)	German	PCT-EASY presentation	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
7–8 June 2000 Paris (FR)	French	Basic seminar on PCT procedures for patent administrators	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 or (Mr. Jean-Pierre Cardon) Tel: (33–1) 53 04 52 70 Fax: (33–1) 42 93 63 52
9 June 2000 Paris (FR)	French	PCT presentation for patent attorneys WIPO speaker: Ms. Boutillon	Fondation Nationale pour le Droit de l'Entreprise (FNDE) and Association Française des Spécialistes en Propriété Industrielle de l'Industrie (ASPI) (Mrs. Heuzé) Tel: (33–1) 42 66 18 19 Fax: (33–1) 42 66 17 37

PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, as well as the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 3 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated after the CHF 200 reduction.) The key to the abbreviations used and the footnotes to the Fee Tables follow Table II.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 January 2000, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 427	10	92	132	EP RU
AP	USD 50 (or eq in local currency)	USD 427	10	92	132	AT EP SE
AT	ATS 700	ATS 5,627.96	123.84	1,210.91	1,733.80	EP
AU	AUD 100	AUD 665	15	144	205	AU
AZ	AZM ⁷ –	USD 427	10	92	n a	EP RU
BA	DEM 50	DEM 799.93	17.60	172.11	246.43	EP
BE	BEF 1,500	BEF 16,499	363	3,550	5,083	EP
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP SE US
BY	BYR eq USD 70	USD 427	10	92	n a	EP RU
CA	CAD 200	CAD 630	15	136	194	EP
CH	CHF 100	CHF 650	15	140	200	EP
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CR	USD 150	USD 427	10	92	132	EP ES
CU	USD (or CUP eq) 200	USD (or CUP eq) 427	10	92	n a	AT EP ES RU
CY	CYP 75	CYP 235	5	50	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
DE	DEM 150	DEM 799.93	17.60	172.11	246.43	EP
DK	DKK 1,500	DKK 3,060	70	660	940	EP SE
EA	RUR eq USD 50	USD 427	10	92	132	EP RU
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
EP ⁸	EUR 102	EUR 409	9	88	126	EP
ES	ESP 10,040	ESP 68,052	1,497	14,642	20,965	EP ES
FI	FIM 800	FIM 2,431.80	53.51	523.22	749.16	EP SE
FR	FRF 400 or EUR 60.98	FRF 2,682.86 or EUR 409	59.04 9	577.24 88	826.51 126	EP
GB	GBP 55	GBP 264	6	56	81	EP
GE	GEL 10	USD 427	10	92	n a	EP RU
GH	GHC ⁹ 2,500 or 5,000	USD 427	10	92	n a	AT AU CN EP SE
GR	GRD 39,500	GRD 136,000	3,000	29,000	42,000	EP

[continued on next page]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES *[continued]*
(as at 1 January 2000, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF ¹⁰ 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB	CHF ³ 300	CHF 650	15	140	200	<i>See footnote 11</i>
	or USD ³ 200	or USD 427	10	92	132	
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	IEP 60	IEP 322.11	7.09	69.31	99.23	EP
	or EUR 76.18	or EUR 409	9	88	126	
IL	ILS 437	USD 427	10	92	132	EP US
IN	INR 5,000	USD 427	10	92	132	AT AU CN EP SE US
	(filing by individual: 1,500)					
IS	ISK 5,500	ISK 30,800	700	6,600	n a	EP SE
IT	ITL 60,000	ITL 791,934	17,426	170,392	n a	EP
JP	JPY 18,000	JPY 46,000	1,100	9,900	14,200	EP JP
KE	USD (or KES equiv) ³⁰	USD 427	10	92	n a	AT AU CN EP SE
KG	KGS eq USD 100	USD 427	10	92	132	EP RU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT RU
KR	KRW 45,000	KRW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT AU KR
KZ	KZT ⁷ –	USD 427	10	92	132	EP RU
LR	USD 45	USD 427	10	92	n a	AT AU CN EP SE
LS	LSM ⁷ –	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL eq USD 80	USD 427	10	92	n a	EP RU
LU	LUF/BEF 800	LUF/BEF 16,499	363	3,550	n a	EP
LV	LVL 40	USD 427	10	92	132	EP RU
MA	None	CHF 650	15	140	n a	AT EP RU SE
MC	FRF 320	FRF 2,682.86	59.04	577.24	n a	EP
MD	MDL ⁷ 180	USD 427	10	92	132	EP RU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EP RU
MW	MWK 2,000	MWK 18,400	400	4,000	5,700	EP
MX	MXP ³ eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP ES SE US
NL	NLG 110	NLG 901.32	19.83	193.93	277.67	EP
NO	NOK 500	NOK 3,380	80	730	1,040	EP SE
NZ	NZD 180	NZD 822	19	178	n a	AU EP US
PL	PLZ 280	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	PTE 4,650	PTE 81,997.10	1,804.30	17,642.40	25,260.70	EP
RO	ROL 300,000	CHF 650	15	140	200	AT EP RU
RU	RUR 294	USD 427	10	92	132	EP RU
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP

[continued on next page]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 January 2000, unless otherwise indicated)

RO	Transmittal fee ¹		Basic fee ^{1,2,3} (CHF 650)		Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
SE	SEK	1,000	SEK	3,540	80	760	1,090	EP SE
SG	SGD	135	SGD	727	17	156	224	AT AU EP
SI	SIT	22,000	SIT	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK	1,600	SKK	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
TJ	TJR ⁷	–	USD	427	10	92	n a	EP RU
TM	USD ⁷	–	USD	427	10	92	132	EP RU
TR	CHF	100	CHF	650	15	140	200	AT AU CN EP RU
TT	TTD	750	USD	427	10	92	132	AT EP SE US
UA	UAH	255	USD	427	10	92	n a	EP RU
US	USD	240	USD	427	10	92	132	EP US
UZ	USD ⁷	–	USD	427	10	92	132	EP RU
VN	VND	eq USD 150	VND	eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD	600	CHF	650	15	140	200	EP
ZA	ZAR	500	ZAR	2,620	60	560	n a	AT AU EP US
ZW	ZWD	550	ZWD	eq USD 427	eq USD 10	eq USD 92	eq USD 132	AT AU CN EP RU

Table I(b) – SEARCH FEES
(as at 1 January 2000, unless otherwise indicated)

ISA	Search fee ¹												
AT	ATS	2,200	CHF	250	SGD	284	USD	170	ZAR	1,022			
AU	AUD	800	CHF	780	NZD	990	SGD	880	USD	510	ZAR	3,150	
CN	CNY	800	CHF	150	USD	100							
EP ¹²	EUR	8,510	945	CYP	558	FRF	6,198.79	ITL	1,829,775	NLG	2,082.51	SEK	
	ATS	13,003.48	DEM	1,848.26	GBP	638	JPY	106,200	NOK	7,800	SGD	1,680	
	BEF	38,121	DKK	7,090	GRD	314,700	LUF	38,121	NZD	1,900	USD	990	
	CAD	1,450	ESP	157,235	IEP	744.25	MWK	42,500	PTE	189,455.50	ZAR	6,040	
	CHF	1,530	FIM	5,618.71	ISK	71,200							
ES	ESP	157,235	CHF	1,500	EUR	945	USD	990					
JP	JPY	77,000	CHF	1,087	USD	720							
KR	KRW	150,000	CHF	173	USD	110							
RU ¹³	USD	300	CHF	460									
SE	SEK	8,510	CHF	1,530	DKK	7,090	FIM	5,618	ISK	75,000	NOK	7,900	
	USD	990											
US	USD	700	450 ¹⁴	CHF	1,064	684 ¹⁴	NZD	1,350	870 ¹⁴	ZAR	4,209	2,706 ¹⁴	

Table II – PRELIMINARY EXAMINATION FEES
(as at 1 January 2000, unless otherwise indicated)

IPEA	Preliminary examination fee ¹⁵			Handling fee ^{3,15} (CHF 233)				
AT	ATS	2,200		ATS	2,022.76			
AU	AUD	450		AUD	238			
CN	CNY	800		CNY eq CHF	233			
EP ¹²	EUR	1,533	DKK 11,500	IEP	1,207.34	EUR 147	DKK 1,100	IEP 115.77
	ATS	21,094.54	ESP 255,070	ITL	2,968,302	ATS 2,022.76	ESP 24,459	ITL 284,632
	BEF	61,841	FIM 9,114.80	LUF	61,841	BEF 5,930	FIM 874.02	LUF 5,930
	CHF	2,480	FRF 10,055.82	NLG	3,378.29	CHF 233	FRF 964.26	NLG 323.95
	CYP	904	GBP 1,035	PTE	307,338.90	CYP 84	GBP 94	PTE 29,470.90
	DEM	2,998.29	GRD 510,500	SEK	13,800	DEM 287.51	GRD 49,000	SEK 1,270
JP	JPY	28,000		JPY	16,500			
KR	KRW	150,000		KRW eq CHF	233			
RU ¹³	USD	200 ¹⁶	300 ¹⁷	USD	153			
SE	SEK	4,200		SEK	1,270			
US	USD	490	750 ¹⁸	USD	153			

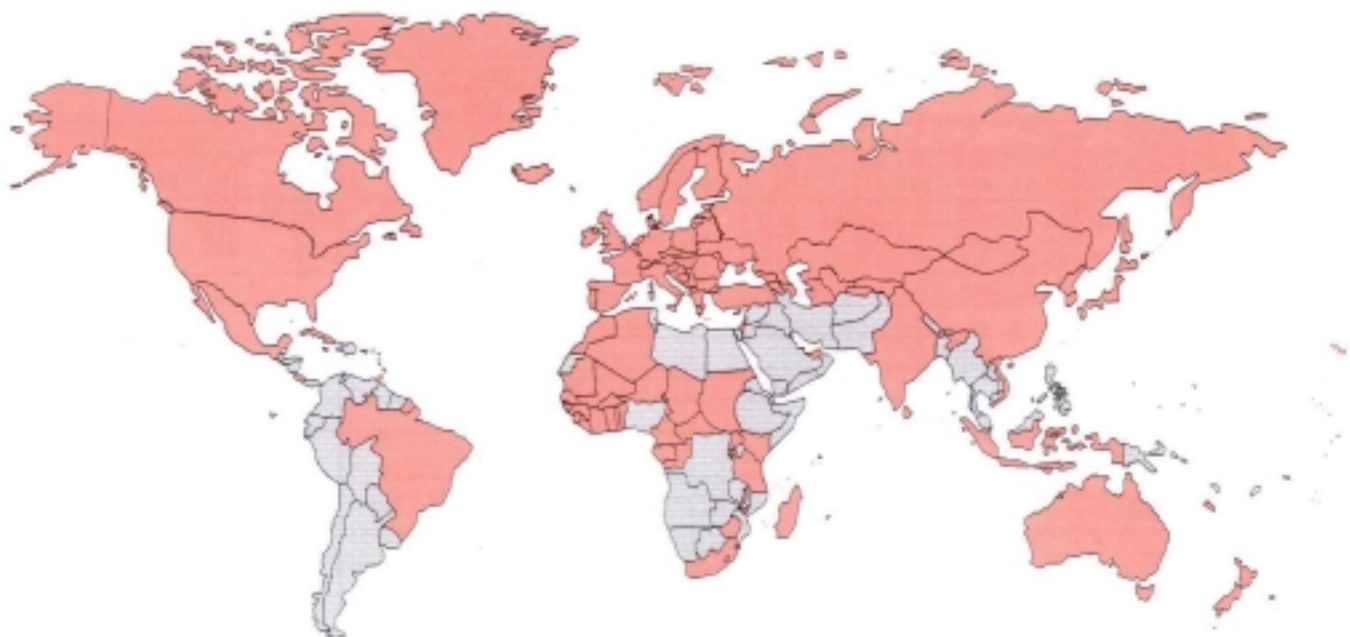
Key to abbreviations used in fee tables:

eq	equivalent of	BRR	Brazilian real	GEL	Georgian lari	KZT	Kazakh tenge	RUR	Russian rouble
IPEA	International Preliminary Examining Authority	BYR	Belarussian rouble	GHC	Ghanaian cedi	LSM	Lesotho loti	SDP	Sudanese pound
ISA	International Searching Authority	CAD	Canadian dollar	GRD	Greek drachma	LTN	Lithuanian litas	SEK	Swedish krona
n a	not applicable	CHF	Swiss franc	HRK	Croatian kuna	LUF	Luxembourg franc	SGD	Singapore dollar
RO	receiving Office	CNY	Yuan renminbi	HUF	Hungarian forint	LVL	Latvian lat	SIT	Slovenian tolar
		CUP	Cuban convertible peso	IDR	Indonesian rupiah	MAD	Moroccan dirham	SKK	Slovak koruna
		CYP	Cyprus pound	IEP	Irish pound	MDL	Moldovan leu	TJR	Tajik rouble
		CZK	Czech koruna	ILS	New Israel shekel	MKD	Macedonian denar	TTD	Trinidad and Tobago dollar
		DEM	Deutsche mark	INR	Indian rupee	MWK	Malawian kwacha	UAH	Ukrainian hryvnia
ALL	Albanian lek	DKK	Danish krone	ISK	Icelandic krona	MXP	Mexican peso	USD	US dollar
AMD	Armenian dram	EEK	Estonian kroon	ITL	Italian lira	NLG	Netherlands guilder	VND	Vietnamese dong
ATS	Austrian schilling	ESP	Spanish peseta	JPY	Japanese yen	NOK	Norwegian krone	YUD	Yugoslavian dinar
AUD	Australian dollar	EUR	Euro	KES	Kenyan shilling	NZD	New Zealand dollar	PLZ	Polish zloty
AZM	Azerbaijani manat	FIM	Finnish markka	KGS	Kyrgyz som	PTE	Portuguese escudo	ZAR	South African rand
BEF	Belgian franc	FRF	French franc	KPW	KP won	ROL	Romanian leu	ZWD	Zimbabwe dollar
BGL	Bulgarian lev	GBP	Pound sterling	KRW	KR won				

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the international application is filed with a receiving Office which is prepared to accept the filing of international applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria (from 8 March 2000), Armenia, Azerbaijan, Belarus, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 The maximum number of designation fees payable is eight.
- 5 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 6 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 7 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 8 The amounts of the transmittal fee in currencies other than EUR are as follows: ATS 1,403.55; BEF 4,115; CHF 170; CYP 60; DEM 199.49; DKK 770; ESP 16,971; FIM 606.46; FRF 669.08; GBP 69; GRD 34,000; IEP 80.33; ITL 197,500; LUF 4,115; NLG 224.78; PTE 20,449.20; SEK 920. The amounts in those currencies of the basic fee, supplement per sheet over 30 and designation fee are the same as those indicated for the receiving Offices of the respective States, that is RO/AT, BE, CH, etc.
- 9 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 10 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 11 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 12 Nationals (natural or legal persons) of States which fulfill the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations (see also footnote 3) may be eligible for reductions in the search and preliminary examination fees. For details, including the amount of the fee reduction and applicable conditions, see *PCT Applicant's Guide*, Vol. I/B, Annexes D (EP) and E (EP). The list of countries concerned is indicated in footnote 3.
- 13 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 14 Payable when a corresponding prior US national application has been filed and the basic filing fee for that US application has been paid.
- 15 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 16 Payable when the international search report was established by the Russian Patent Office.
- 17 In all cases where footnote 16 does not apply.
- 18 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

PCT CONTRACTING STATES AND TWO-LETTER CODES (106 on 1 January 2000)



AE United Arab Emirates	CM Cameroon (OA) ²	HU Hungary (EA)	SN Senegal (OA) ²
AL Albania ¹	CN China	ID Indonesia	SZ Swaziland (AP) ²
AG Antigua and Barbuda (from 17 March 2000)	CR Costa Rica	IE Ireland (EP) ²	TD Chad (OA) ²
AM Armenia (EA)	CU Cuba	IL Israel	TG Togo (OA) ²
AT Austria (EP)	CY Cyprus (EP) ²	IN India	TJ Tajikistan (EA)
AU Australia	CZ Czech Republic	IS Iceland	TM Turkmenistan (EA)
AZ Azerbaijan (EA)	DE Germany (EP)	IT Italy (EP) ²	TR Turkey
BA Bosnia and Herzegovina	DK Denmark (EP)	JP Japan	TT Trinidad and Tobago
BB Barbados	DM Dominica	KE Kenya (AP)	TZ United Republic of Tanzania (AP)
BE Belgium (EP) ²	DZ Algeria (from 8 March 2000)	KG Kyrgyzstan (EA)	UA Ukraine
BF Burkina Faso (OA) ²	EE Estonia	KP Democratic People's Republic of Korea	UG Uganda (AP)
BG Bulgaria	ES Spain (EP)	KR Republic of Korea	US United States of America
BJ Benin (OA) ²	FI Finland (EP)	KZ Kazakhstan (EA)	UZ Uzbekistan
BR Brazil	FR France (EP) ²	LC Saint Lucia	VN Viet Nam
BY Belarus (EA)	GA Gabon (OA) ²	LI Liechtenstein (EP)	YU Yugoslavia
CA Canada	GB United Kingdom (EP)	LK Sri Lanka	ZA South Africa
CF Central African Republic (OA) ²	GD Grenada	LR Liberia	ZW Zimbabwe (AP)
CG Congo (OA) ²	GE Georgia	LS Lesotho (AP)	
CH Switzerland (EP)	GH Ghana (AP)	LT Lithuania ¹	
CI Côte d'Ivoire (OA) ²	GM Gambia (AP)	LU Luxembourg (EP)	
	GN Guinea (OA) ²	LV Latvia ¹	
	GR Greece (EP) ²	MA Morocco	
	GW Guinea-Bissau (OA) ²	MC Monaco (EP) ²	
	HR Croatia	MD Republic of Moldova	
		MG Madagascar	
		MK The former Yugoslav Republic of Macedonia ¹	
		ML Mali (OA) ²	
		MN Mongolia	
		MR Mauritania (OA) ²	
		MW Malawi (AP)	
		MX Mexico	
		NE Niger (OA) ²	
		NL Netherlands (EP) ²	
		NO Norway	
		NZ New Zealand	
		PL Poland	
		PT Portugal (EP)	
		RO Romania ¹	
		RU Russian Federation (EA)	
		SD Sudan (AP)	
		SE Sweden (EP)	
		SG Singapore	
		SI Slovenia ¹	
		SK Slovakia	
		SL Sierra Leone (AP)	

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated January 2000. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (January 2000 update), and can be printed from the WIPO Internet site, in PDF format, at: <http://www.wipo.int/eng/pct/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.