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NEWSLETTER

December 2000

No. 12/2000

NEW PCT CONTRACTING STATE

Colombia (country code: CO)

On 29 November 2000, Colombia deposited its instrument of accession to the PCT, and on 28 February 2001, will become bound by the PCT. Consequently, in any international application filed on or after 28 February 2001, Colombia may be designated and, because it will be bound by Chapter II of the PCT, may also be elected. Furthermore, nationals and residents of Colombia will be entitled from 28 February 2001 to file international applications under the PCT.

PCT INFORMATION UPDATE

AP African Regional Industrial Property Organization (ARIPO) (Internet address; fees; provisions concerning deposit of microorganisms)

The African Regional Industrial Property Organization (ARIPO) has notified its Internet addresses, as follows:

Internet:

<http://www.aripo.org>
<http://www.aripo.wipo.net>

The amount of the following fee, payable to that Office as receiving Office, has changed:

transmittal fee: see Table I(a)

ARIPO has notified the International Bureau of the additional indications in relation to the deposit of microorganisms and other biological material which must be given besides those prescribed in PCT Rule 13bis.3(i) to (iii), as

specified below:

to the extent available to the applicant, relevant information on the characteristics of the microorganism

(Updating of *PCT Applicant's Guide*, Annex B2 (AP); Annex C (AP) and Annex L)

BG Bulgaria (e-mail and Internet address; fees)

The e-mail and Internet addresses of the Bulgarian Patent Office have changed, as follows:

e-mail: bpo@bpo.bg
Internet: <http://www.bpo.bg>

The amount of the following fee, payable to that Office as receiving Office, has changed:

fee for priority
document: BGL 30

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (BG), and Vol. I/B, Annex C (BG))

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[continued from cover page]

CR Costa Rica (fees)

The amount of the following fee, payable to the Registry of Intellectual Property of Costa Rica as receiving Office, has changed:

transmittal fee: see Table I(a)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (CR))

CU Cuba (Internet address)

The Internet address of the Cuban Industrial Property Office has changed, as follows:

Internet: <http://www.ocpi.cu>

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex B1 (CU))

PCT MATERIALS ON INTERNET (<http://www.wipo.int/pct/en/index.html>)

PCT InfoLine and contacts
 Filing PCT applications
Basic Facts about the PCT
 PCT forms
 Direct filing of PCT applications with the International Bureau as PCT receiving Office
PCT Applicant's Guide
 Practical advice published in the *PCT Newsletter* between March 1994 and December 1996
 PCT-EASY
 PCT legal texts
 About the Treaty
 Text of the Patent Cooperation Treaty, Regulations and Administrative Instructions
 PCT Receiving Office Guidelines
 PCT International Search Guidelines
 PCT International Preliminary Examination Guidelines
 Ratification situation
PCT Gazette
 PCT News
PCT Newsletter (Nos. 01/1997–12/2000)
 PCT press releases/updates
 The PCT in 1999
 PCT seminars
 PCT meetings
 PCT Assembly documents: 2000, 1999, 1998, 1997
 PCT Informal Consultation Meeting on Electronic Filing (11–14 July 2000)
 PCT Committee for Administrative and Legal Matters documents: 1999
 Ordering PCT publications

* * *

PCT INFORMATION LINE

Telephone: (41–22) 338 83 38
 Fax: (41–22) 338 83 39
 E-mail: pct.infoline@wipo.int

DE Germany (Internet address)

The Internet address of the German Patent and Trade Mark Office has changed, as follows:

Internet: <http://www.dpma.de>

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (DE))

DZ Algeria (telephone and fax numbers)

The telephone and fax numbers of the Algerian National Institute of Industrial Property have changed, as follows:

telephone: (213–21) 73 57 74

fax: (213–21) 73 55 81
 73 96 44

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (DZ))

DK Denmark (means of telecommunication)

The Danish Patent and Trademark Office has discontinued the use of its teleprinter. The only means of telecommunication by which it will accept the filing of documents is by fax.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (DK))

EA Eurasian Patent Office (e-mail address)

One of the e-mail addresses of the Eurasian Patent Office is no longer in use. The only valid address is as follows:

e-mail: info@eapo.org

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex B2 (EA))

EP European Patent Office (fees)

As from 12 December 2000, there will be a change in the equivalent amounts in CHF and GBP of the following fee, payable to the European Patent Office as receiving Office, as follows:

transmittal fee: see footnote 8 to the fee tables

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (EP))

IB International Bureau (fees)

The USD amount of the following fee, payable to the International Bureau as receiving Office, has changed:

fee for priority document: USD 30

supplement for airmail: USD 6

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IB))

KR Republic of Korea (name of Office; location and mailing address; telephone numbers; types of protection; fees; fee reduction)

The name, location and mailing address of the Korean Industrial Property Office have changed, and one of its telephone numbers has been discontinued, as follows:

name of Office: Korean Intellectual Property Office

location and mailing address:

920 Dunsan-dong, So-gu,
Deajeon Metropolitan City 302-701,
Republic of Korea

telephone: (82-42) 481 51 96
481 51 50

It is no longer possible to designate the Republic of Korea for a utility model in addition to a patent. A utility model may only be sought instead of a patent.

New equivalent amounts, applicable as from 1 January 2001, have been established in KRW for the following fees payable to that Office as receiving Office, as well as for the PCT-EASY fee reduction:

basic fee, supplement per sheet over 30, designation fee and PCT-EASY fee reduction: see Table I(a) and footnotes

That Office has notified provisions with regard to exemptions, reductions or refunds of the national fee payable to it as designated and elected Office, as follows:

exemptions, reductions or refunds of the national fee:

filing fee, fee for request for examination and annual fees for the first to the third year are reduced by 70% where the applicant is a natural person and is also the inventor

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (KR); Vol. I/B, Annex C (KR), and Vol. II/B, National Chapter, Summary (KR))

MA Morocco (name of Office; telephone and fax numbers)

The name, as well as the telephone and fax numbers, of the Industrial Property Office of Morocco have changed, as follows:

name of Office:

Industrial and Commercial Property Office of Morocco

telephone: (212-2) 233 54 86
233 51 67

fax: (212-2) 233 53 39
233 54 80

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (MA))

MW Malawi (fees)

As from 1 January 2001 there will be a change in the equivalent amounts of the following fees payable to the Ministry of Justice, Department of the Registrar General (Malawi) as receiving Office, as well as a change in the equivalent amount of the PCT-EASY fee reduction:

basic fee, supplement per sheet over 30, designation fee and PCT-EASY fee reduction: see Table I(a) and footnotes

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (MW))

NZ New Zealand (fees)

As from 1 January 2001, there will be a change in the equivalent amounts of the following fees payable in NZD to the Intellectual Property Office of New Zealand as receiving Office, as follows:

basic fee, supplement per sheet over 30, and designation fee: see Table I(a)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (NZ))

PL Poland (telephone number)

The telephone number of the Polish Patent Office has changed, as follows:

telephone: (48-22) 825 37 06

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (PL))

PT Portugal (telephone and fax numbers)

The telephone and fax numbers of the National Institute of Industrial Property have changed, as follows:

telephone: (351-21) 881 81 00

fax: (351-21) 887 53 08
886 00 66
888 37 20

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (PT))

RU Russian Federation (telephone numbers)

One of the telephone numbers of the Russian Patent Office has changed, as follows:

telephone: (70-95) 240 34 57 (general)
(70-95) 240 58 88
(application processing)

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (RU))

SE Sweden (means of telecommunication)

The Swedish Patent Office has discontinued the use of its teleprinter. The only means of telecommunication by which it will accept the filing of documents is by fax.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (SE))

TM Turkmenistan (Internet address)

The Turkmen Patent Office has notified its Internet address, as follows:

Internet: <http://www.tmpatent.org>

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex B1 (TM))

UA Ukraine (fax numbers)

One of the fax numbers of the Ukraine Patent Office has changed, as follows:

fax: (380-44) 458 06 11
(380-44) 212 34 49

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (UA))

Search fee (Austrian Patent Office; Australian Patent Office and European Patent Office)

As from 12 December 2000, there will be changes in the equivalent amounts payable in GBP and CHF for an international search carried out by the European Patent Office, as indicated in Table I(b).

As from 1 January 2001, there will be a change in the equivalent amount payable in MWK for an international search carried out by the European Patent Office, as indicated in Table I(b).

New equivalent amounts in KRW, applicable as from 1 January 2001, have been established for international searches carried out by the Austrian Patent Office and the Australian Patent Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AT, AU and EP))

Handling fee (Korean Industrial Property Office)

A new equivalent amount in KRW, applicable as from 1 January 2001, has been established for the handling fee, payable to the Korean Industrial Property Office as International Preliminary Examining Authority, as indicated in Table II.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (KR))

Preliminary examination fee (European Patent Office)

As from 12 December 2000 there will be changes in the equivalent amounts payable in GBP and CHF for an international preliminary examination carried out by the European Patent Office, as indicated in Table II.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (EP))

List of Contracting States

The pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. I/A, Annex A (PCT Contracting States) includes Colombia and the date of entry into force of the PCT in respect of that State (see cover page for details).

PCT NEWSLETTER SUBSCRIPTIONS FOR 2001

The 2001 annual subscription price of the *PCT Newsletter* will be maintained at the same level as this year: 60 Swiss francs by regular mail or 70 Swiss francs by priority mail. The equivalent amounts for a subscription paid in US dollars will be reduced from 50.40 US dollars to 42 US dollars by regular mail, and from 58.80 US dollars to 49 US dollars by priority mail.

The price of the *PCT Newsletter* binder is now 18 Swiss francs (12 US dollars) by regular mail or 21 Swiss francs (14 US dollars) by priority mail.

Included in this issue is a *PCT Newsletter* subscription form for 2001. **If you are already a subscriber, it is not necessary to return the form** since, unless notification to the contrary is received from you by WIPO, **subscription renewal is automatic**; subscribers will shortly receive an invoice for 2001 subscriptions. You may, however, wish to use the subscription form to subscribe to additional copies—for subscriptions to more than one copy, you are entitled to a 25% discount for each copy

subscribed to (including the first). You may also use the subscription form to order binders for the *PCT Newsletter*.

You are reminded that the *PCT Newsletter* can be viewed on the Internet free of charge by clicking on "PCT News" on the PCT home page:

<http://www.wipo.int/pct/en/index.html>

WORLD TRADE ORGANIZATION

Oman and Croatia become Members

On 9 November 2000, Oman (country code: OM), which is a member State of the Paris Convention but not of the PCT, became a Member of the World Trade Organization (WTO). On 30 November 2000, Croatia (country code: HR) which is already a member State of the PCT and of the Paris Convention, became a Member of the WTO. This updates the table of Members of the WTO, the PCT and the Paris Convention which was published in *PCT Newsletter* No. 08/2000.

PRACTICAL ADVICE

Delay in the transmittal of the international application by the receiving Office to the International Bureau for reasons of national security: possible consequences and remedies

Q: I am the agent for an applicant who has filed an international application claiming the priority of an earlier national application that was filed on 20 October 1999. The receiving Office (which is the Office with which the earlier application was filed) has notified me of the international application number and the international filing date (4 October 2000) of my international application, but has also informed me that, for reasons of national security, the application has not yet been transmitted to the International Bureau. Will the International Bureau withdraw my application if it doesn't receive it within a certain time limit? If so, is there anything that can be done to reinstate my application if the national security secrecy order is lifted at a later date?

A: The International Bureau will have received a copy of the form by which you were notified of the international application number and the international filing date (Form PCT/RO/105) (see PCT Rule 20.5(c)), and will thus be monitoring the receipt of the record copy of that international application. If, by the expira-

tion of 13 months from the priority date, the International Bureau has not yet received the record copy, it will send a reminder to the receiving Office (PCT Rule 22.1(b)), and then, if, by the expiration of 14 months from the priority date (in your case that would be by 20 December 2000), it has still not received the record copy, it will notify the receiving Office and you, as the agent of the applicant, accordingly (PCT Rule 22.1(c)). If, three months from the date of that notification, the receiving Office has still not forwarded the record copy to the International Bureau, the international application will then, in accordance with PCT Article 12(3), be considered withdrawn by the International Bureau (see PCT Rule 22.3). (In your case, this sanction would be imposed shortly after 20 March 2001.) There are no provisions in the PCT for suspending the application of the Treaty and Regulations with respect to an international application that is subject to national security measures.

If, however, the national security secrecy order is lifted and it is close to the time when your international application would otherwise be likely to be considered withdrawn, you have the possibility under PCT Rule 22.1(d) of accelerating the transmittal of the record copy to the International Bureau by requesting the receiving Office to certify a copy of the international application as being identical with the international application as filed and then transmitting such certified copy to the International Bureau. Such a copy would be considered by the International Bureau to be the record copy until it receives the record copy from the receiving Office.

If the national security secrecy order is not lifted in time to prevent the application of PCT Article 12(3), the applicant's right to obtain patent protection via the PCT outside the country of filing is not permanently extinguished. The applicant can still resort to the opportunity under PCT Article 25 for review by designated Offices. If the national security secrecy order is lifted and the record copy is transmitted to the International Bureau after PCT Article 12(3) has been applied, the International Bureau will notify the applicant, the receiving Office and the International Searching Authority, accordingly, as prescribed in PCT Rule 24.2(c). The International Bureau, in accordance with PCT Article 25.1(a), will then promptly send, at the request of the applicant, copies of any documents in the file to any designated

Offices named by the applicant, provided that that request is presented within two months from the date of the above-mentioned notification sent to the applicant under PCT Rule 24.2(c) (see PCT Rule 51.1).

So far as the national phase is concerned, provided that the national fee has been paid and the required translation has been furnished within two months from the date of the notification sent to the applicant under PCT Rule 24.2(c) (see PCT Rule 51.3), each designated Office will then, in accordance with PCT Article 25(2)(a), decide, as far as it is concerned, whether to treat the international application as if the International Bureau's finding under PCT Article 12(3) had not been made and it may reinstate the application. Reinstatement is not automatic, however, and the decision to reinstate could vary from one designated Office to another. In accordance with Section 112(c) of the Administrative Instructions under the PCT, any designated Office which decides to reinstate the application will promptly notify the International Bureau of this fact, and the notification should preferably contain the reasons for the decision.

Note that if the Office with which you filed the international application is also a competent International Preliminary Exam-

ining Authority (IPEA) for your application, it is strongly recommended that, before your application is withdrawn (and in any case before the expiration of 19 months from the priority date) you file a demand for international preliminary examination with that Office as IPEA, since that would not be violating the secrecy order imposed by that Office. Because of the secrecy order, that Office would not be entitled to transmit a copy of that demand to the International Bureau, however, filing, within the 19-month time limit, a demand containing the election of the States in which you would still intend to benefit from the 30-month time limit for national phase entry, would allow you to maintain your rights to that effect. Should the secrecy order be lifted before the application is considered withdrawn, this may allow for national phase entry within the "normal" PCT time limit.

Finally, it is advisable to contact your receiving Office and seek its advice as to whether there are any existing arrangements between that State and certain other States in cases where a secrecy order has been imposed by one or the other country and special procedures that may be available under certain circumstances to protect patent rights.

For further details on national security provisions, see *PCT Applicant's Guide*, Vol. I/A, paras. 226 and 227.

PCT SEMINAR CALENDAR			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
10–12 January 2001 Zurich (CH)	English	PCT presentation in the framework of a Postgraduate Program in Intellectual Property Law	Swiss Federal Institute of Technology Zurich (ETH) NDS Intellectual Property (Ms. Elise Brandstätter) Tel: (41–1) 632 68 11 E-mail: brandstaetter@recht.gess.ethz.ch Internet: http://www.nds-ge.ethz.ch
22–23 January 2001 Pretoria (ZA)	English	PCT National Seminar WIPO speakers: Mr. Thomas and Mr. Nyagua	South African Patents and Trade Marks Office (Mrs. Cecelia Petlane) Tel: (27–12) 310 87 01 Fax: (27–12) 323 42 57
25–26 January 2001 Durban (ZA)	English	PCT National Seminar WIPO speakers: Mr. Thomas and Mr. Nyagua	South African Patents and Trade Marks Office (Mrs. Cecelia Petlane) Tel: (27–12) 310 87 01 Fax: (27–12) 323 42 57
29–30 January 2001 Algiers (DZ)	Arabic/ French	“Séminaire national sur la propriété industrielle et le PCT” WIPO speakers: Mr. Curchod, Mrs. Daboussi, Mrs. Vianès and Mr. Wibowo	Algerian National Institute of Industrial Property (INAPI) (Mr. Sadou) Tel: (213–21) 73 57 74 Fax: (213–21) 73 55 81 e-mail: brevet@inapi.org

[continued on next page]

PCT SEMINAR CALENDAR [continued]			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
8–9 February 2001 Antwerp (BE)	English	Advanced PCT seminar for patent attorneys WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Technologisch Instituut vzw Ingenieurshuis- K VIV (Mrs. Christine Mortelmans) Tel: (32–3) 216 09 96 Fax: (32–3) 216 06 89 E-mail: christine.mortelmans@ti.kviv.be
20 March 2001 Paris (FR)	French	PCT presentation for patent attorneys WIPO speaker: Ms. Boutillon	Fondation Nationale pour le Droit de l'Entreprise (FNDE) and Association Française des Spécialistes en Propriété Industrielle de l'Industrie (ASPI) (Mrs. Heuzé) Tel: (33–1) 42 66 18 19 Fax: (33–1) 42 66 17 37
21–22 March 2001 Milan (IT)	English	PCT seminar for patent attorneys	Ordine Consulenti Proprietà Industriale and the Association of Patent Attorneys in Industry (AICIPI) Tel/Fax: (39–2) 55 18 51 44 E-mail: consiglio@ordine-brevetti.it
28 (p.m.)–29 March 2001 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33–4) 78 33 07 08 Fax: (33–4) 78 33 58 96
2–3 April 2001 London (GB)	English	Advanced PCT seminar for patent administrators WIPO speakers: Ms. Eriksson and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
4–5 April 2001 London (GB)	English	Basic PCT seminar for patent administrators WIPO speakers: Ms. Eriksson and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
22–24 April 2001 Palm Harbor, Florida (US)	English	Advanced PCT seminar for patent attorneys WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
26–28 April 2001 Palm Harbor, Florida (US)	English	Basic PCT seminar for patent attorneys WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
26–27 April 2001 Oslo (NO)	English and Swedish	Basic PCT seminar for patent attorneys and patent administrators WIPO speakers: Ms. Eriksson and Mrs. Coeckelbergs	The Norwegian Patent Office (Ms. Eli Mogstad Ranger) Tel: (47–22) 38 73 00 Fax: (47–22) 38 76 80 e-mail: kurs.kompetanse@patentstyret.no
8 May 2001 Munich (DE)	German	Basic PCT seminar for patent attorneys WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
9–10 May 2001 Munich (DE)	German	Basic PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
11 May 2001 Munich (DE)	German	PCT-EASY training	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
16–17 May 2001 Paris (FR)	French	Basic seminar on PCT procedures	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 Fax: (33–1) 42 93 63 52

PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, as well as the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 3 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated **after** the CHF 200 reduction.) The key to the abbreviations used and the footnotes to the Fee Tables follow Table II.

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 December 2000, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 382	9	82	117	EP RU
AP	USD 30 (or eq in local currency)	USD 382	9	82	117	AT EP SE
AT	ATS 700	ATS 5,627.96	123.84	1,210.91	1,733.80	EP
AU	AUD 100	AUD 665	15	144	205	AU
AZ	AZM eq USD 15 plus mailing costs	USD 382	9	82	n a	EP RU
BA	DEM 50	DEM 799.93	17.60	172.11	246.43	EP
BE	BEF 1,500	BEF 16,499	363	3,550	5,083	EP
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP SE US
BY	BYR eq USD 70	USD 382	9	82	n a	EP RU
BZ	BZD 220	USD 382	9	82	117	EP
CA	CAD 200	CAD 562	13	121	173	EP
CH	CHF 100	CHF 650	15	140	200	EP
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CR	USD 175	USD 382	9	82	117	EP ES
CU	USD (or CUP eq)200	USD (or CUP eq)382	9	82	n a	AT EP ES RU
CY	CYP 75	CYP 235	5	50	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
DE	DEM 175	DEM 799.93	17.60	172.11	246.43	EP
DK	DKK 1,500	DKK 3,060	70	660	940	EP SE
DZ	DZD ⁷ —	CHF 650	15	140	n a	AT EP
EA	RUR eq USD 50	USD 382	9	82	117	EP RU
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
EP ⁸	EUR 102	EUR 409	9	88	126	EP
ES	ESP 10,040	ESP 68,052	1,497	14,642	20,965	EP ES
FI	FIM 800	FIM 2,431.80	53.51	523.22	749.16	EP SE
FR	FRF 400 or EUR 60.98	FRF 2,682.86 or EUR 409	59.04 9	577.24 88	826.51 126	EP
GB	GBP 55	GBP 264	6	56	81	EP
GE	GEL ⁹ 10	USD 382	9	82	117	EP RU

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]

(as at 1 December 2000, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
GH	GHC ¹⁰ 2,500 or 5,000	USD 382	9	82	n a	AT AU CN EP SE
GR	GRD 39,500	GRD 136,000	3,000	29,000	42,000	EP
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF ¹¹ 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB	CHF ³ 300 or USD ³ 200 (from 1.1.01: CHF ³ 100 USD ³ 60)	CHF 650 or USD 382	15 9	140 82	200 117	See footnote 12
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	IEP 60 or EUR 76.18	IEP 322.11 or EUR 409	7.09 9	69.31 88	99.23 126	EP
IL	ILS 437	USD 382	9	82	117	EP US
IN	INR 5,000 (filing by individual:1,500)	USD 382	9	82	117	AT AU CN EP SE US
IS	ISK 5,500	ISK 30,800	700	6,600	n a	EP SE
IT	ITL 60,000	ITL 791,934	17,426	170,392	n a	EP
JP	JPY 18,000	JPY 40,700	940	8,800	12,500	EP JP
KE	USD (or KES equiv) ³⁰	USD 382	9	82	n a	AT AU CN EP SE
KG	KGS eq USD 100	USD 382	9	82	117	EP RU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT RU
KR	KRW 45,000	KRW eq CHF 650 (from 1.1.01: KRW 425,800)	eq CHF 15 (9,800)	eq CHF 140 (91,700)	eq CHF 200 (131,000)	AT AU KR
KZ	KZT ⁷ —	USD 382	9	82	117	EP RU
LR	USD 45	USD 382	9	82	n a	AT AU CN EP SE
LS	LSM ⁷ —	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL 320	USD 382	9	82	n a	EP RU
LU	LUF/BEF 800	LUF/BEF 16,499	363	3,550	n a	EP
LV	LVL 47.20	USD 382	9	82	117	EP RU
MA	None	CHF 650	15	140	n a	AT EP RU SE
MC	FRF 320	FRF 2,682.86	59.04	577.24	n a	EP
MD	MDL ⁷ 180	USD 382	9	82	117	EP RU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EP RU
MW	MWK 2,000	MWK 18,400 (from 1.1.01: 28,500)	400 (700)	4,000 (6,000)	5,700 (8,800)	EP
MX	MXP ³ eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP ES SE US
NL	NLG 110	NLG 901.32	19.83	193.93	277.67	EP
NO	NOK 500	NOK 3,380	80	730	1,040	EP SE
NZ	NZD 180	NZD 822 (from 1.1.01: 923)	19 (21)	178 (199)	n a	AU EP US
PL	PLZ 280	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	PTE 4,650	PTE 81,997.10	1,804.30	17,642.40	25,260.70	EP
RO	ROL 300,000	CHF 650	15	140	200	AT EP RU

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 December 2000, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
RU	RUR 294	USD 382	9	82	117	EP RU
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK 1,000	SEK 3,540	80	760	1,090	EP SE
SG	SGD 135	SGD 727	17	156	224	AT AU EP
SI	SIT 22,000	SIT eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK 1,600	SKK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
TJ	TJR ⁷ —	USD 382	9	82	n a	EP RU
TM	USD ⁷ —	USD 382	9	82	117	EP RU
TR	CHF 100	CHF 650	15	140	200	EP
TT	TTD 750	USD 382	9	82	117	AT EP SE US
UA	UAH 255	USD 382	9	82	n a	EP RU
US	USD 240	USD 382	9	82	117	EP US
UZ	USD ⁷ —	USD 382	9	82	117	EP RU
VN	VND eq USD 150	VND eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD 600	CHF 650	15	140	200	EP
ZA	ZAR 500	ZAR 2,620	60	560	800	AT AU EP US
ZW	ZWD 1,000	ZWD eq USD 382	eq USD 9	eq USD 82	eq USD 117	AT AU CN EP RU

Table I(b) — SEARCH FEES
(as at 1 December 2000, unless otherwise indicated)

ISA	Search fee ¹									
AT	ATS 2,200	CHF 250	KRW*	SGD 247	USD 170	ZAR 1,022				
	*(from 1.1.01: CHF 159,500)									
AU	AUD 800	CHF 780	KRW*	NZD 990	SGD 749	USD 510				
	ZAR 3,150	*(from 1.1.01: KRW 496,000)								
CN	CNY 800	CHF 150	USD 100							
EP ¹³	EUR 945	CYP 558	FRF 6,198.79	ITL 1,829,775	NLG 2,082.51	SEK 8,220				
	ATS 13,003.48	DEM 1,848.26	GBP* 605	JPY 90,600	NOK 7,800	SGD 1,457				
	BEF 38,121	DKK 7,090	GRD 314,700	LUF 38,121	NZD 1,900	USD 846				
	CAD 1,249	ESP 157,235	IEP 744.25	MWK** 42,500	PTE 189,455.50	ZAR 6,040				
	CHF* 1,530	FIM 5,618.71	ISK 70,000							
	* (from 12.12.00: CHF 1,460 GBP 572)									
	** (from 1.1.01: MWK 62,000)									
ES	ESP 157,235	CHF 1,500	EUR 945	USD 846						
JP	JPY 72,000	CHF 1,170	USD 720							
KR	KRW 150,000	CHF 173	USD 110							
RU ¹⁴	USD 300	CHF 460								
SE	SEK 8,220	CHF 1,530	DKK 7,090	FIM 5,618.71	ISK 70,000	NOK 7,800				
	USD 846									
US	USD 700	450 ¹⁵	CHF 1,064	684 ¹⁵	NZD 1,714	1,102 ¹⁵	ZAR 4,900	3,100 ¹⁵		

Table II — PRELIMINARY EXAMINATION FEES

(as at 1 December 2000, unless otherwise indicated)

IPEA	Preliminary examination fee ¹⁶			Handling fee ^{3,16} (CHF 233)		
AT	ATS	2,200		ATS	2,022.76	
AU	AUD	450		AUD	238	
CN	CNY	800		CNY eq CHF	233	
EP ¹³	EUR	1,533	DKK	11,500	IEP	1,207.34
	ATS	21,094.54	ESP	255,070	ITL	2,968,302
	BEF	61,841	FIM	9,114.80	LUF	61,841
	CHF*	2,480	FRF	10,055.82	NLG	3,378.29
	CYP	904	GBP*	981	PTE	307,338.90
	DEM	2,998.29	GRD	510,500	SEK	13,340
		*(from 12.12.00:	CHF	2,380	GBP	927)
JP	JPY	28,000		JPY	14,600	
KR	KRW	150,000		KRW eq CHF	233 (from 1.1.01: KRW 152,600)	
RU ¹⁴	USD	200 ¹⁷	300 ¹⁸	USD	137	
SE	SEK	4,200		SEK	1,270	
US	USD	490	750 ¹⁹	USD	137	

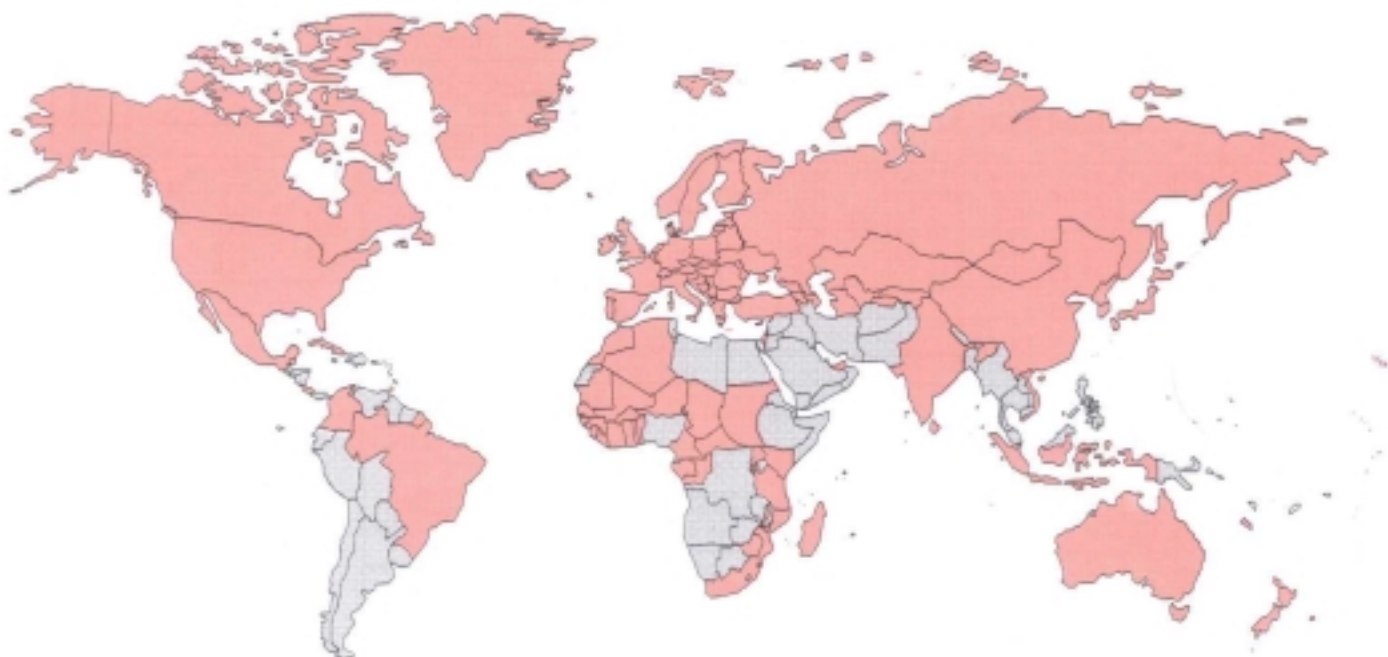
Key to abbreviations used in fee tables:

eq	equivalent of	BYR	Belarussian rouble	GEL	Georgian lari	LSM	Lesotho loti	SEK	Swedish krona
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	GHC	Ghanaian cedi	LTL	Lithuanian litas	SGD	Singapore dollar
ISA	International Searching Authority	CAD	Canadian dollar	GRD	Greek drachma	LUF	Luxembourg franc	SIT	Slovenian tolar
n a	not applicable	CHF	Swiss franc	HRK	Croatian kuna	LVL	Latvian lat	SKK	Slovak koruna
RO	receiving Office	CNY	Yuan renminbi	HUF	Hungarian forint	MAD	Moroccan dirham	TJR	Tajik rouble
		CUP	Cuban convertible peso	IDR	Indonesian rupiah	MDL	Moldovan leu	TTD	Trinidad and Tobago dollar
		CYP	Cyprus pound	IEP	Irish pound	MKD	Macedonian denar	UAH	Ukrainian hryvnia
		CZK	Czech koruna	ILS	New Israel shekel	MWK	Malawian kwacha	USD	US dollar
		DEM	Deutsche mark	INR	Indian rupee	MXP	Mexican peso	VND	Vietnamese dong
		ALL	Albanian lek	ISK	Icelandic krona	NLG	Netherlands guilder	YUD	Yugoslavian dinar
		AMD	Armenian dram	ITL	Italian lira	NOK	Norwegian krone	ZAR	South African rand
		ATS	Austrian schilling	JPY	Japanese yen	NZD	New Zealand dollar	ZWD	Zimbabwe dollar
		AUD	Australian dollar	KES	Kenyan shilling	PLZ	Polish zloty		
		AZM	Azerbaijani manat	KGS	Kyrgyz som	PTE	Portuguese escudo		
		BEF	Belgian franc	KPW	KP won	ROL	Romanian leu		
		BGL	Bulgarian lev	KRW	KR won	RUR	Russian rouble		
		BRR	Brazilian real	KZT	Kazakh tenge	SDP	Sudanese pound		

Footnotes to fee tables:

- Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the international application is filed with a receiving Office which is prepared to accept the filing of international applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page. In the case of the transmittal fee payable to the International Bureau as receiving Office, that fee will not be payable at all in respect of international applications filed by such applicants on or after 1 January 2001.
- The maximum number of designation fees payable is eight. With effect from 1 January 2001, the maximum number of designation fees payable will be six—for further details, see *PCT Newsletter* No. 10/2000, cover page.
- The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- The amounts of the transmittal fee in currencies other than EUR are as follows: ATS 1,403.55; BEF 4,115; CHF 170 (as from 12.12.00: CHF 160); CYP 60; DEM 199.49; DKK 770; ESP 16,971; FIM 606.46; FRF 669.08; GBP 65 (as from 12.12.00: GBP 62); GRD 34,000; IEP 80.33; ITL 197,500; LUF 4,115; NLG 224.78; PTE 20,449.20; SEK 890. The amounts in those currencies of the basic fee, supplement per sheet over 30 and designation fee are the same as those indicated for the receiving Offices of the respective States, that is RO/AT, BE, CH, etc.
- This fee is reduced by 80% where the applicant is a natural person.
- The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- A supplement of HUF 500 is also payable for each claim in excess of 10.
- The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- The search fee and the preliminary examination fee are reduced by 75% if the application is filed by a natural person who is a national of a State not party to the European Patent Convention, which fulfills the requirements for the corresponding reduction of fees payable to the International Bureau, and is resident in such a State (see decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000). The States concerned are those indicated in footnote 3, with the exception of Turkey.
- The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- Payable when a corresponding prior US national application has been filed and the basic filing fee for that US application has been paid.
- Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- Payable when the international search report was established by the Russian Patent Office.
- In all cases where footnote 17 does not apply.
- Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

PCT CONTRACTING STATES AND TWO-LETTER CODES (109 on 1 December 2000)



AE United Arab Emirates	CM Cameroon (OA) ²	HR Croatia	MC Monaco (EP) ²	SI Slovenia ¹
AG Antigua and Barbuda	CN China	HU Hungary	MD Republic of Moldova (EA)	SK Slovakia
AL Albania ¹	CO Colombia (from 28 February 2001)	ID Indonesia	MG Madagascar	SL Sierra Leone (AP)
AM Armenia (EA)	CR Costa Rica	IE Ireland (EP) ²	MK The former Yugoslav Republic of Macedonia ¹	SN Senegal (OA) ²
AT Austria (EP)	CU Cuba	IL Israel	ML Mali (OA) ²	SZ Swaziland (AP) ²
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AZ Azerbaijan (EA)	CZ Czech Republic	IS Iceland	MR Mauritania (OA) ²	TG Togo (OA) ²
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BB Barbados	DK Denmark (EP)	JP Japan	MX Mexico	TM Turkmenistan (EA)
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BG Bulgaria	EE Estonia	KP Democratic People's Republic of Korea	NO Norway	TZ United Republic of Tanzania (AP)
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	GR Greece (EP) ²	MA Morocco		
	GW Guinea-Bissau (OA) ²			

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated July 2000. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (July 2000 update), and can be printed from the WIPO Internet site, in PDF format, at: <http://www.wipo.int/pct/en/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. For details on the updated request form, to be used as from 1 January 2001, see *PCT Newsletter* No. 11/2000.



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Armenia AM ¹	25 December 1991	India IN ¹	7 December 1998
Australia AU	31 March 1980	Indonesia ID ¹	5 September 1997
Austria AT	23 April 1979	Ireland IE	1 August 1992
Azerbaijan AZ	25 December 1995	Israel IL	1 June 1996
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Benin BJ	26 February 1987	Kyrgyzstan KG ¹	25 December 1991
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Bulgaria BG	21 May 1984	Liberia LR	27 August 1994
Burkina Faso BF	21 March 1989	Liechtenstein LI	19 March 1980
Cameroon CM	24 January 1978	Lithuania LT	5 July 1994
Canada CA	2 January 1990	Luxembourg LU	30 April 1978
Central African Republic CF	24 January 1978	Madagascar MG	24 January 1978
Chad TD	24 January 1978	Malawi MW	24 January 1978
China CN	1 January 1994	Mali ML	19 October 1984
Colombia CO	(will become bound on 28 February 2001)	Mauritania MR	13 April 1983
Congo CG	24 January 1978	Mexico MX	1 January 1995
Costa Rica CR	3 August 1999	Monaco MC	22 June 1979
Côte d'Ivoire CI	30 April 1991	Mongolia MN	27 May 1991
Croatia HR	1 July 1998	Morocco MA	8 October 1999
Cuba CU ¹	16 July 1996	Mozambique MZ ¹	18 May 2000
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Denmark DK	1 December 1978	Norway NO ²	1 January 1980
Dominica DM	7 August 1999	Poland PL ²	25 December 1990
Estonia EE	24 August 1994	Portugal PT	24 November 1992
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		Spain ES	16 November 1989

[Continued on next page]

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Swaziland SZ	20 September 1994	United Arab Emirates AE	10 March 1999
Sweden SE ²	17 May 1978	United Kingdom GB ⁵	24 January 1978
Switzerland CH	24 January 1978	United Republic of	
Tajikistan TJ ¹	25 December 1991	Tanzania TZ	14 September 1999
The former Yugoslav Republic		United States of America US ^{6,7}	24 January 1978
of Macedonia MK	10 August 1995	Uzbekistan UZ ¹	25 December 1991
Togo TG	24 January 1978	Viet Nam VN	10 March 1993
Trinidad and Tobago TT	10 March 1994	Yugoslavia YU	1 February 1997
Turkey TR	1 January 1996	Zimbabwe ZW	11 June 1997
Turkmenistan TM ¹	25 December 1991		

* All PCT Contracting States are bound by Chapter II of the PCT relating to the international preliminary examination.

¹ With the declaration provided for in Article 64(5).

² With the declaration provided for in Article 64(2)(a)(ii).

³ Including all Overseas Departments and Territories.

⁴ Ratification for the Kingdom in Europe, the Netherlands Antilles and Aruba.

⁵ Extends to the Isle of Man.

⁶ With the declarations provided for in Articles 64(3)(a) and 64(4)(a).

⁷ Extends to all areas for which the United States of America has international responsibility.