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NEWSLETTER

July 2001

No. 07/2001

PCT-EASY UPDATE

New version of PCT-EASY software

A new version of the PCT-EASY software, version 2.92 (build 0001), is now available and includes, among other things, modifications resulting from the recent changes to the PCT Regulations and the Administrative Instructions under the PCT.

The new version includes:

- 1) a declarations functionality, to accommodate changes to the PCT Regulations which entered into force in March 2001, allowing applicants to include in the request part of their international applications certain declarations for the purposes of processing by designated Offices in the national phase—users may complete any of the declarations on-screen and the software will generate a print-out of that/those declaration(s);
- 2) the possibility to indicate that a sequence listing is enclosed in computer readable form in accordance with Section 801 of the Administrative Instructions, thus accommodating the corresponding new sections of the Administrative Instructions which entered into force on 11 January 2001—in such cases the software will automatically calculate the basic fee payable, including the additional fixed component of 400 times the fee per sheet under Section 803 instead of the regular per sheet fee;
- 3) the designations of CO Colombia, EC Ecuador, and PH Philippines (as from

17 August 2001) for a national patent, as well as GQ Equatorial Guinea (as from 17 July 2001) for an OAPI patent;

4) other changes, including changes in PCT fees, and the acceptance by the Intellectual Property Office of New Zealand and the Cuban Industrial Property Office (as from 3 September 2001 (see below)) of the filing of international applications containing requests in PCT-EASY format, together with PCT-EASY diskettes, have also been taken into account.

It will be necessary to update PCT-EASY versions 2.91, 2.90, 2.84 and 2.83 by using the full installation of version 2.92; there will be no update patch.

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Users of versions earlier than 2.83 who would like to keep their old forms in the new version will first need to update their software using the full installation of version 2.84 before running the 2.92 installation.

For further information, please consult the PCT-EASY website (from which you can also download the PCT-EASY software):

<http://pcteasy.wipo.int/en/index.html>

or contact the PCT-EASY Help Desk:

e-mail: pcteasy.help@wipo.int
tel: (41-22) 338 95 23
fax: (41-22) 338 80 40

PCT MATERIALS ON INTERNET

(<http://www.wipo.int/pct/en/index.html>)

PCT InfoLine (contacts)

PCT filing

- *Basic Facts about the PCT*
- Forms
- Fees
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- *PCT Applicant's Guide*
- Practical advice published in the *PCT Newsletter* between March 1994 and December 1996

PCT-EASY

PCT E-filing

PCT legal texts and guidelines

- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions
- PCT Receiving Office Guidelines
- PCT International Search Guidelines
- PCT International Preliminary Examination Guidelines
- Ratification situation

PCT Gazette

PCT news

- *PCT Newsletter* (Nos. 01/1997-07/2001)
- PCT Press Releases/Updates
- The PCT in 2000

Seminar calendar and seminar materials:

- March 2001 seminar documents (English, French)

PCT meetings (documents):

- Committee on Reform of the PCT (21-25 May 2001)
- PCT Assembly: 1997-2000
- PCT Informal Consultation Meeting on Electronic Filing (July 2000); redraft of legal framework and technical standards for PCT electronic filing
- PCT Committee for Administrative and Legal Matters: 1999

PCT INFORMATION LINE

Telephone: (41-22) 338 83 38
Fax: (41-22) 338 83 39
E-mail: pct.infoline@wipo.int

Offices prepared to accept the filing of international applications containing requests in PCT-EASY format

The Cuban Industrial Property Office has notified the International Bureau that, as from 3 September 2001, it is prepared to accept the filing of international applications containing requests in PCT-EASY format, together with PCT-EASY diskettes. See Table I(a) for the amount of the corresponding PCT-EASY fee reduction.

(Updating of the list of Offices included in *PCT Newsletter* No. 02/2001, and of *PCT Applicant's Guide*, Vol. I/B, Annex C (CU)).

PCT STATISTICS

Monthly total of international applications received at the International Bureau exceeds 10,000

During the month of May 2001, the International Bureau of WIPO received 10,122 international applications filed with PCT receiving Offices worldwide. It is the first time in any month since PCT operations began that the threshold of 10,000 applications has been exceeded.

PCT-EASY statistics

There has been a rapid increase in the number of registered PCT-EASY users, with over 500 users registering in the last five months; the total number of registered users has now reached 6,000.

The share of PCT applications, the request part of which was prepared using the PCT-EASY software, has also increased significantly. During the first five months of this year, 32% of all PCT applications were filed in this way, compared to 21% for the same period last year.

INFORMATION ABOUT DECLARATIONS FILED UNDER PCT RULE 4.17 TO BE INCLUDED IN PUBLISHED INTERNATIONAL APPLICATIONS

As from the publication date of 19 July 2001, for international applications in respect of which declarations under PCT Rule 4.17 have been filed, an indication that a declaration or declarations have been filed will be published under a new heading ("Declarations under Rule 4.17") on the front page of the published international application (pamphlet) (see PCT

Rule 48.2(b)(iv)) and, in the form of a code denoting the type of each declaration filed, in the corresponding entry in Section I of the *PCT Gazette*. Any declaration as to non-prejudicial disclosures or exceptions to lack of novelty (PCT Rule 4.17 (v)) will also be included in the body of the pamphlet (see PCT Rule 48.2(a)(x)).

Note that any international applications which have already been published, in respect of which declarations have been received since 1 March 2001, will be republished with the relevant indications concerning the declarations.

PCT PUBLICATIONS

PCT Gazette special issue: General information on Contracting States, national and regional Offices and International Authorities

Consolidated general information relating to PCT Contracting States, national and regional Offices and International Authorities under the PCT will be published in a special issue of the *PCT Gazette* dated 12 July 2001 (No. S-02/2001 (E) (English) and (F) (French)), which replaces the previous special issue containing consolidated general information, published on 4 January 2001 (No. S-01/2001 (E) and (F)). This helpful resource contains the information that is published in Annexes A to E, L and in the National Chapter Summaries of the *PCT Applicant's Guide*.

Subscribers to the *PCT Gazette* automatically receive special issues. If you are not a subscriber to the *PCT Gazette* but would like to obtain that special issue, you may order it from the Marketing and Distribution Section at WIPO:

fax: (41-22) 740 18 12
 e-mail: publications.mail@wipo.int
 electronic
 bookshop: www.wipo.int/ebookshop
 mailing
 address: see address on cover page

The price per special issue is 24 Swiss francs or 18 US dollars by regular mail, and 28 Swiss francs or 21 US dollars by priority mail. Note that the special issue will also be available in PDF format, free of charge, as part of the *PCT Gazette* on WIPO's Internet site.

PCT INFORMATION UPDATE

KR Republic of Korea (language of filing; competent International Searching and Preliminary Examining Authorities)

With effect from 1 July 2001, there has been a change in the requirements of the Korean Intellectual Property Office concerning the language in which international applications may be filed with it in its capacity as receiving Office, and that Office has specified the Japan Patent Office as an additional competent International Searching and Preliminary Examining Authority, as indicated below:

language in which international applications may be filed:

English, Japanese or Korean

competent International Searching Authority:

Korean Intellectual Property Office, Australian Patent Office, Austrian Patent Office or Japan Patent Office*

competent International Preliminary Examining Authority:

Korean Intellectual Property Office, Austrian Patent Office or Japan Patent Office*

* The Japan Patent Office is competent only for international applications in Japanese

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (KR))

Search fee (European Patent Office, Japan Patent Office and Swedish Patent Office)

With effect from 1 July 2001, an equivalent amount in KRW has been established for the search fee for an international search carried out by the Japan Patent Office, as indicated in Table I(b).

As from 15 August 2001, there will be a change in the equivalent amount payable in ISK for international searches carried out by the European Patent Office and the Swedish Patent Office, and as from 1 September 2001, there will be a change in the equivalent amount payable in ZAR for an international search carried out by the European Patent Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (EP, JP and SE))

PCT CONTRACTING STATES WHICH CAN BE DESIGNATED FOR A REGIONAL PATENT

The practical advice item in *PCT Newsletter* No. 02/1997 dealt with the question of whether States could be omitted from the different regional designations, and which States could be designated only for the purposes of a regional patent, and not for a national patent. The table on page 7, which indicates for each State, in respect of which it is possible to obtain a regional patent under the PCT, whether it can be omitted from the regional designation and whether it can (also) be designated for a national patent, updates the table which follows that practical advice. The practical advice item can be viewed on the Internet at:

http://www.wipo.int/pct/en/newslett/97_02/advice.htm

PRACTICAL ADVICE

Indication of "State of residence" and "State of nationality" in the Request Form in the case of "dependent territories" or other territories

Q: I intend to file an international application on behalf of a corporate applicant which is a "resident" and "national" of the United States of America. However, the applicant/inventor for the purposes of the designation of the US is from a territory which is not a PCT Contracting State, and which is not recognized as a State by the United Nations. Will this affect the applicants' right to file the international application? If not, how should I indicate the applicant/inventor's "State of residence" and "State of nationality" in Box No. III of the Request Form?

A: According to PCT Rule 18.3, if there are two or more applicants, the right to file an international application exists if **at least one of them** has the right to file an international application under PCT Article 9; that is, if at least one of them is a resident or national of a PCT Contracting State. The nationality and residence of the other applicant(s) are then not taken into consideration. Furthermore, different applicants may be indicated for different designations (see PCT Rule 4.5(d)). In other words, the PCT does not require that there be at least one applicant entitled to

file under PCT Article 9 for each and every designation.

If, in your case, there were no other applicants who had the right to file an international application other than the applicant/inventor, the application would not be accorded an international filing date (see PCT Article 11(1)(i)) unless the territory concerned is a **dependent territory of a PCT Contracting State to which the PCT has been extended**, in which case the applicant/inventor would have the right to file an international application. PCT Rule 4.5(b) and (c) requires the indication of the **State** of which the applicant is a national and the **State** of which the applicant is a resident. In the case of such dependent territories, it is the name of the State on which the territory depends which must be indicated under "State of residence" and/or "State of nationality," whichever is applicable, since this is the decisive element for a determination under PCT Article 9(1). For example, an applicant who is a resident or national of the Isle of Man, a British dependent territory to which the United Kingdom has specifically extended the PCT (see *PCT Applicant's Guide*, Vol. I/A, Annex A, footnote 5), should indicate his State of nationality and/or residence, as the case may be, as being "United Kingdom" or "GB," and not as "Isle of Man," which is not a "State."

As far as the receiving Office is concerned, where, in lieu of the State of nationality or State of residence, the name of a dependent territory or other entity which is not regarded as a "State" is indicated, the receiving Office may, wherever possible and appropriate, invite the applicant to correct the request so as to comply with the requirements of Rule 4.5(b) and (c). In certain cases, subject to the national law of the State concerned and the practice applicable by the receiving Office, it may make an *ex officio* correction (see *PCT Receiving Office Guidelines*, para. 87).

As far as the International Bureau is concerned, if the indication of a State of nationality and/or State of residence has not yet been provided by the applicant, the practice of the International Bureau, for the purposes of international publication of the application, is to include a dash in the place where the nationality/residence would normally appear in the pamphlet and in Section I of the *PCT*

Gazette, as follows: [—/—]; this is because indications of State of nationality and State of residence are only published where they relate to States regarded as such under the practice of the United Nations (see *PCT Receiving Office Guidelines*, para. 87). If the name of the territory is included in the address of the applicant, that name will be published as part of the applicant's address.

Overall, the question whether an applicant is a resident or national of the Contracting State of which he claims to be a resident or national depends on the national law of that State and is decided by the receiving

Office, or in the case where the International Bureau acts as receiving Office, by the national Office concerned (see PCT Rule 18.1(a) and (c)).

Note that the residence and nationality requirements for filing a demand for international preliminary examination are similar to the residence and nationality requirements for filing the international application—the demand (Form PCT/IPEA/401) must include an applicant whose State of residence and/or State of nationality is a Contracting State of the PCT bound by Chapter II (see PCT Article 31(2)(a)) and Rules 54.1 and 54.2).

PCT SEMINAR CALENDAR			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
16–17 July 2001 San Diego (US)	English	Advanced PCT seminar for patent attorneys WIPO speaker: Mr. Maassel Other speaker: Mr. Kramer (Pillsbury Winthrop LLP)	American Intellectual Property Law Association (AIPLA) (Ms. Jackie Carbé) Tel: (1–703) 415 03 73 or (1–703) 415 07 80 Fax: (1–703) 415 07 86
17–18 July 2001 Cairo, Egypt	Arabic/ English	WIPO National Seminar on the Patent Cooperation Treaty WIPO speakers: Ms. Boutillon, Mr. Abdellaziz, Mr. Wibowo Other speaker: Dr. Al-Rifai (Academy of Scientific Research and Technology)	WIPO in cooperation with the Academy of Scientific Research and Technology (ASRT) (Dr. Fawzi Abdel-Qader Al-Rifai) Tel: (202) 792 13 72; 792 12 91 Fax: (202) 792 12 73; 792 13 25
19–20 July 2001 Austin (US)	English	Advanced PCT seminar for patent attorneys WIPO speaker: Mr. Maassel Other speaker: Mr. Kramer (Pillsbury Winthrop LLP)	American Intellectual Property Law Association (AIPLA) (Ms. Jackie Carbé) Tel: (1–703) 415 03 73 or (1–703) 415 07 80 Fax: (1–703) 415 07 86
23–24 July 2001 Philadelphia (US)	English	Advanced PCT seminar for patent attorneys WIPO speaker: Mr. Maassel Other speaker: Mr. Kramer (Pillsbury Winthrop LLP)	American Intellectual Property Law Association (AIPLA) (Ms. Jackie Carbé) Tel: (1–703) 415 03 73 or (1–703) 415 07 80 Fax: (1–703) 415 07 86
12–14 August 2001 Washington, D.C. (US)	English	Advanced PCT seminar WIPO speaker: Mr. Maassel Other speaker: Mr. Reed (The Procter & Gamble Company)	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 975 20 66
16–18 August 2001 Washington, D.C. (US)	English	Basic PCT seminar WIPO speaker: Mr. Maassel Other speaker: Mr. Reed (The Procter & Gamble Company)	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 975 20 66
16–17 August 2001 Makati City (Manila) (PH)	English	WIPO National Seminar on the Patent Cooperation Treaty WIPO speakers: Mr. Wang, Ms. Boutillon and Mr. Sinha	WIPO in cooperation of the Intellectual Property Office of the Philippines (Mrs. Emma C. Francisco) Tel: (63–2) 88 90 48 63 Fax: (63–2) 88 90 48 62 E-mail: ipo@dti.gov.ph

[continued on next page]

PCT SEMINAR CALENDAR [continued]			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
28–30 August 2001 Accra (GH)	English	WIPO-ARIPO Sub-Regional Seminar on the PCT WIPO speakers: Mr. Wang and Mr. Nyagua	Ministry of Justice, Accra (Mrs. Elizabeth Owiredu-Gyampoh) Tel: (233–21) 66 64 69 Fax: (233–21) 66 20 43 E-mail: regengh@ncs.com.gh, and African Regional Industrial Property Organization (Mr. Mzondi Chirambo) Tel: (263–4) 79 40 54 Fax: (263–4) 79 40 72/3 E-mail: aripo@ecoweb.co.zw
19–21 September 2001 Geneva (CH)	English/ French/ Spanish	Presentation on the PCT Electronic Filing Project at the “Second WIPO International Conference on Electronic Commerce and Intellectual Property” WIPO speaker: Mr. Kalejs	Office of Legal and Organization Affairs, WIPO (Mrs. Sue Olesen) Tel: (41–22) 338 91 64 Fax: (41–22) 740 37 00 Internet: http://ecommerce.wipo.int/meetings/2001/conference/index.html
11 October 2001 Stockholm (SE)	English	PCT presentation in the framework of “Patent Updating Day 2001” WIPO speaker: Mr. Thomas	Swedish Patent Office (Mr. Christer Eklöf) Tel: (46–8) 782 28 32 Fax: (46–8) 783 01 63 E-mail: christer.eklof@prv.se
18 October 2001 London (GB)	English	PCT presentation at “The Corporate Patent Department 2001” WIPO speaker: Mr. Thomas	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
29–30 October 2001 San Diego (US)	English	Advanced PCT seminar WIPO speakers: Mr. Reischle and Mr. Maassel	Orange County Patent Law Association (OCPLA) (Mr. Stefan J. Kirchanski) Tel: (1–310) 734 54 03 Fax: (1–310) 734 52 99 E-mail: skirchanski@chrm.com
30–31 October 2001 Helsinki (FI)	English	Advanced PCT seminar for patent attorneys WIPO speakers: Mr. Bryan and Ms. Eriksson	National Board of Patents and Registration (Ms. Mirja Kuismanen) Tel: (358–9) 69 39 52 36 Fax: (358–9) 69 39 53 22 E-mail: Mirja.Kuismanen@prh.fi
1–2 November 2001 San Francisco (US)	English	Advanced PCT seminar for patent administrators WIPO speakers: Mr. Reischle and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
5–6 November 2001 San Francisco (US)	English	PCT seminar for patent attorneys WIPO speakers: Mr. Reischle and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
8–9 November 2001 Chicago (US)	English	Advanced PCT seminar WIPO speakers: Mr. Reischle and Mr. Maassel	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu
11–12 November 2001 Chicago (US)	English	Advanced PCT seminar WIPO speakers: Mr. Reischle and Mr. Maassel	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu
21–22 November 2001 Paris (FR)	French	Basic seminar on PCT procedures WIPO speakers: Mr. Baron and Mr. Genin	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 Fax: (33–1) 42 93 63 52

**PCT Contracting States which can be designated for a regional patent
(as at 1 July 2001)**

	AP ARIPO patent	EA Eurasian patent	EP European patent	OA OAPI patent
States which can be designated only for a regional patent:	SZ Swaziland		BE Belgium CY Cyprus FR France GR Greece IE Ireland IT Italy MC Monaco NL Netherlands	BF Burkina Faso BJ Benin CF Central African Republic CG Congo CI Côte d'Ivoire CM Cameroon GA Gabon GN Guinea GQ Equatorial Guinea (from 17 July 2001) GW Guinea-Bissau ML Mali MR Mauritania NE Niger SN Senegal TD Chad TG Togo
States which can be designated for a national patent in addition to, or instead of, a regional patent:	GH Ghana GM Gambia KE Kenya LS Lesotho MW Malawi MZ Mozambique SD Sudan SL Sierra Leone TZ United Republic of Tanzania UG Uganda ZW Zimbabwe	AM Armenia AZ Azerbaijan BY Belarus KG Kyrgyzstan KZ Kazakhstan MD Republic of Moldova RU Russian Federation TJ Tajikistan TM Turkmenistan	AT Austria CH Switzerland DE Germany DK Denmark ES Spain FI Finland GB United Kingdom LI Liechtenstein LU Luxembourg PT Portugal SE Sweden TR Turkey	
Can any State be omitted from the regional designation?	Yes (any State listed in this column may be omitted)	No (the designation under "Regional Patent" of any State listed in this column will be treated as a designation of all such States)	Yes (any State listed in this column may be omitted, noting that CH and LI may be designated or omitted only together)	No (the designation of any State listed in this column will be treated as a designation of all such States)

PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 13 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated *after* the CHF 200 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of	BYR	Belarussian rouble	GEL	Georgian lari	LSM	Lesotho loti	SEK	Swedish krona
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	GHC	Ghanaian cedi	LTL	Lithuanian litas	SGD	Singapore dollar
ISA	International Searching Authority	CAD	Canadian dollar	GRD	Greek drachma	LUF	Luxembourg franc	SIT	Slovenian tolar
n a	not applicable	CHF	Swiss franc	HRK	Croatian kuna	LVL	Latvian lat	SKK	Slovak koruna
RO	receiving Office	CNY	Yuan renminbi	HUF	Hungarian forint	MAD	Moroccan dirham	TJR	Tajik rouble
		CUP	Cuban convertible peso	IDR	Indonesian rupiah	MDL	Moldovan leu	TTD	Trinidad and Tobago dollar
		CYP	Cyprus pound	IEP	Irish pound	MKD	Macedonian denar	UAH	Ukrainian hryvnia
		CZK	Czech koruna	ILS	New Israel shekel	MWK	Malawian kwacha	USD	US dollar
		DEM	Deutsche mark	INR	Indian rupee	MXP	Mexican peso	VND	Vietnamese dong
		ALL	Albanian lek	ISK	Icelandic krona	NLG	Netherlands guilder	YUD	Yugoslavian dinar
		AMD	Armenian dram	ITL	Italian lira	NOK	Norwegian krone	ZAR	South African rand
		ATS	Austrian schilling	JPY	Japanese yen	NZD	New Zealand dollar	ZWD	Zimbabwe dollar
		AUD	Australian dollar	KES	Kenyan shilling	PLZ	Polish zloty		
		AZM	Azerbaijani manat	EUR	Euro	PTE	Portuguese escudo		
		BEF	Belgian franc	FIM	Finnish markka	ROL	Romanian leu		
		BGL	Bulgarian lev	FRF	French franc	RUR	Russian rouble		
		BRR	Brazilian real	GBP	Pound sterling	KRW	KR won		
						KZT	Kazakh tenge		
						SDP	Sudanese pound		

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES
(as at 1 July 2001, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 382	9	82	117	EP RU
AP	USD 30 (or eq in local currency)	USD 382	9	82	117	AT EP SE
AT	ATS 700	ATS 5,627.96	123.84	1,210.91	1,733.80	EP
AU	AUD 100	AUD 759	18	164	234	AU
AZ	AZM eq USD 15 plus mailing costs	USD 382	9	82	n a	EP RU
BA	DEM 50	DEM 799.93	17.60	172.11	246.43	EP
BE	BEF 1,500	BEF 16,499	363	3,550	5,083	EP
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP SE US
BY	BYR eq USD 70	USD 382	9	82	n a	EP RU
BZ	BZD 220	USD 382	9	82	117	EP
CA	CAD 200	CAD 562	13	121	173	EP
CH	CHF 100	CHF 650	15	140	200	EP
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CR	USD 175	USD 382	9	82	117	EP ES
CU	USD (or CUP eq)200	USD (or CUP eq)382	9	82	n a (from 3.9.01: 117)	AT EP ES RU
CY	CYP 75	CYP 235	5	50	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
DE	DEM 175	DEM 799.93	17.60	172.11	246.43	EP

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 July 2001, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
DK	DKK 1,500	DKK 3,060	70	660	940	EP SE
DZ	DZD ⁸ —	CHF 650	15	140	n a	AT EP
EA	RUR eq USD 50	USD 382	9	82	117	EP RU
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
EP ⁹	EUR 102	EUR 409	9	88	126	EP
ES	ESP 10,040	ESP 68,052	1,497	14,642	20,965	EP ES
FI	FIM 800	FIM 2,431.80	53.51	523.22	749.16	EP SE
FR	FRF 400 or EUR 60.98	FRF 2,682.86 or EUR 409	59.04 9	577.24 88	826.51 126	EP
GB	GBP 55	GBP 264	6	56	81	EP
GE	GEL ¹⁰ 10	USD 382	9	82	117	EP RU
GH	GHC ¹¹ 2,500 or 5,000	USD 382	9	82	n a	AT AU CN EP SE
GR	GRD 39,500	GRD 136,000	3,000	29,000	42,000	EP
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF ¹² 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB	CHF ¹³ 100 or USD ¹³ 60	CHF 650 or USD 382	15 9	140 82	200 117	See footnote 14
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	IEP 60 or EUR 76.18	IEP 322.11 or EUR 409	7.09 9	69.31 88	99.23 126	EP
IL	ILS 437	USD 382	9	82	117	EP US
IN	INR 5,000 (filing by individual: 1,500)	USD 382	9	82	117	AT AU CN EP SE US
IS	ISK 5,500	ISK 30,800 (from 15.8.01: 38,500)	700 (900)	6,600 (8,300)	n a	EP SE
IT	ITL 60,000	ITL 791,934	17,426	170,392	n a	EP
JP	JPY 18,000	JPY 46,200	1,100	10,000	14,000	EP JP
KE	USD (or KES equiv) ³⁰	USD 382	9	82	n a	AT AU CN EP SE
KG	KGS eq USD 100	USD 382	9	82	117	EPRU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	ATRU
KR	KRW 45,000	KRW 500,000	12,000	108,000	154,000	AT AU JP ¹⁵ KR
KZ	KZT ⁸ —	USD 382	9	82	117	EPRU
LR	USD 45	USD 382	9	82	n a	AT AU CN EP SE
LS	LSM ⁸ —	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL 320	USD 382	9	82	n a	EPRU
LU	LUF/BEF 800	LUF/BEF 16,499	363	3,550	n a	EP
LV	LVL 47.20	USD 382	9	82	117	EPRU
MA	None	CHF 650	15	140	n a	ATEPRUSE
MC	FRF 320	FRF 2,682.86	59.04	577.24	n a	EP
MD	MDL ⁸ 180	USD 382	9	82	117	EPRU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EPRU
MW	MWK 2,000	MWK 28,500	700	6,000	8,800	EP
MX	MXP ³ eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP ES SE US
NL	NLG 110	NLG 901.32	19.83	193.93	277.67	EP

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 July 2001, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
NO	NOK 500	NOK 3,380	80	730	1,040	EPSE
NZ	NZD 180	NZD 923	21	199	284	AU EP US
PL	PLZ 280	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	PTE 4,650	PTE 81,997.10	1,804.30	17,642.40	25,260.70	EP
RO	ROL 300,000	CHF 650	15	140	200	ATEPRU
RU	RUR 294	USD 382	9	82	117	EPRU
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK 1,200	SEK 3,540	80	760	1,090	EPSE
SG	SGD 150	SGD 638	15	137	196	AT AU EP
SI	SIT 22,000	SIT eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK 1,600	SKK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
TJ	TJR ⁸ —	USD 382	9	82	n a	EP RU
TM	USD ⁸ —	USD 382	9	82	117	EP RU
TR	CHF 100	CHF 650	15	140	200	EP
TT	TTD 750	USD 382	9	82	117	AT EP SE US
UA	UAH 255	USD 382	9	82	n a	EP RU
US	USD 240	USD 382	9	82	117	EP US
UZ	USD ⁸ —	USD 382	9	82	117	EP RU
VN	VND eq USD 150	VND eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD 600	CHF 650	15	140	200	EP
ZA	ZAR 500	ZAR 3,152	72	680	972	AT AU EP US
ZW	ZWD 1,000	ZWD eq USD 382	eq USD 9	eq USD 82	eq USD 117	AT AU CN EP RU

Table I(b) — SEARCH FEES
(as at 1 July 2001, unless otherwise indicated)

ISA	Search fee ¹								
AT	ATS 2,200	CHF 250	KRW 188,000	SGD 247	USD 170	ZAR 1,165			
AU	AUD 800	CHF 780	KRW 555,000	NZD 990	SGD 749	USD 510			
	ZAR 3,150								
CN	CNY 800	CHF 150	USD 100						
EP ¹⁶	EUR 945	CYP 558	FRF 6,198.79	ITL 1,829,775	NLG 2,082.51	SEK 8,690			
	ATS 13,003.48	DEM 1,848.26	GBP 624	JPY 103,000	NOK 7,800	SGD 1,457			
	BEF 38,121	DKK 7,090	GRD 322,009	LUF 38,121	NZD 2,000	USD 846			
	CAD 1,320	ESP 157,235	IEP 744.25	MWK 62,000	PTE 189,455.50	ZAR** 7,000			
	CHF 1,460	FIM 5,618.71	ISK* 75,000						
	* (from 15.8.01: ISK 82,000)								
	** (from 1.9.01: ZAR 6,500)								
ES	ESP 157,235	CHF 1,460	EUR 945	USD 846					
JP	JPY 72,000	CHF 1,170	KRW 753,000	USD 720					
KR	KRW 150,000	CHF 173	USD 110						
RU ¹⁷	USD 300	CHF 460							
SE	SEK 8,690	CHF 1,460	DKK 7,090	FIM 5,618.71	ISK* 75,000	NOK 7,800			
	USD 846								
	* (from 15.8.01: ISK 82,000)								
US	USD 700	450 ¹⁸	CHF 1,064	684 ¹⁸	NZD* 1,600	1,030 ¹⁸	ZAR 5,500	3,500 ¹⁸	
	* (from 1.8.01: NZD 1,700 1,100 ¹⁸)								

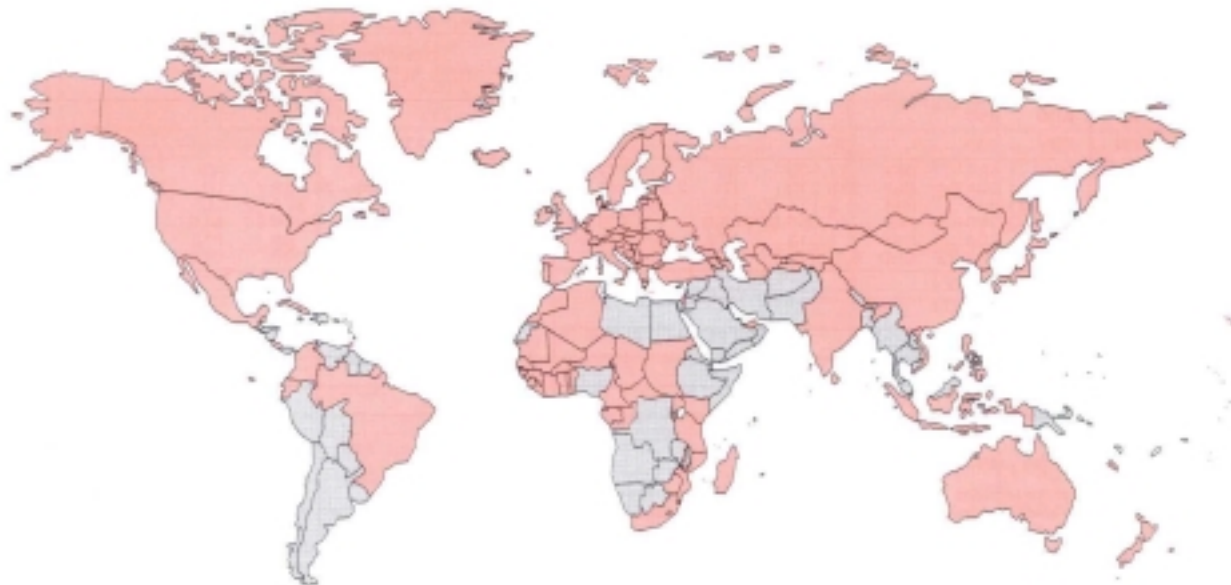
Table II — PRELIMINARY EXAMINATION FEES
(as at 1 July 2001, unless otherwise indicated)

IPEA	Preliminary examination fee ¹⁹			Handling fee ^{3,19} (CHF 233)		
AT	ATS 2,200			ATS 2,022.76		
AU	AUD 450			AUD 272		
CN	CNY 800			CNY eq CHF 233		
EP ¹⁶	EUR 1,533	DKK 11,500	IEP 1,207.34	EUR 147	DKK 1,100	IEP 115.77
	ATS 21,094.54	ESP 255,070	ITL 2,968,302	ATS 2,022.76	ESP 24,459	ITL 284,632
	BEF 61,841	FIM 9,114.80	LUF 61,841	BEF 5,930	FIM 874.02	LUF 5,930
	CHF 2,380	FRF 10,055.82	NLG 3,378.29	CHF 233	FRF 964.26	NLG 323.95
	CYP 904	GBP 1,012	PTE 307,338.90	CYP 84	GBP 94	PTE 29,470.90
	DEM 2,998.29	GRD 522,370	SEK 14,100	DEM 287.51	GRD 49,000	SEK 1,270
JP	JPY 28,000			JPY 16,600		
KR	KRW 150,000			KRW 179,000		
RU ¹⁷	USD 200 ²⁰ 300 ²¹			USD 137		
SE	SEK 5,000			SEK 1,270		
US	USD 490 750 ²²			USD 137		

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Ecuador, Equatorial Guinea (from 17 July 2001), Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Philippines (from 17 August 2001), Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the PCT Administrative Instructions, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the supplement per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the Administrative Instructions).
- 5 The maximum number of designation fees payable is six.
- 6 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 7 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 8 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 9 The amounts of the transmittal fee in currencies other than EUR are as follows: ATS 1,403.55; BEF 4,115; CHF 160; CYP 60; DEM 199.49; DKK 770; ESP 16,971; FIM 606.46; FRF 669.08; GBP 67; GRD 34,757; IEP 80.33; ITL 197,500; LUF 4,115; NLG 224.78; PTE 20,449.20; SEK 940. The amounts in those currencies of the basic fee, supplement per sheet over 30 and designation fee are the same as those indicated for the receiving Offices of the respective States, that is RO/AT, BE, CH, etc.
- 10 This fee is reduced by 80% where the applicant is a natural person.
- 11 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 12 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 13 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated in footnote 3.
- 14 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 15 The Japan Patent Office is competent only for international applications in Japanese.
- 16 The search fee and the preliminary examination fee are reduced by 75% if the application is filed by a natural person who is a national of a State not party to the European Patent Convention, which fulfills the requirements for the corresponding reduction of fees payable to the International Bureau, and is resident in such a State (see decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000). The States concerned are those indicated in footnote 3, with the exception of Turkey.
- 17 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 18 Payable when a corresponding prior US national application has been filed and the basic filing fee for that US application has been paid.
- 19 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 20 Payable when the international search report was established by the Russian Patent Office.
- 21 In all cases where footnote 20 does not apply.
- 22 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

PCT CONTRACTING STATES AND TWO-LETTER CODES (112 on 1 July 2001)



AE United Arab Emirates	CN China	GW Guinea-Bissau (OA) ²	MC Monaco (EP) ²	SG Singapore
AG Antigua and Barbuda	CO Colombia	HR Croatia	MD Republic of Moldova (EA)	SI Slovenia ¹
AL Albania ¹	CR Costa Rica	HU Hungary	MG Madagascar	SK Slovakia
AM Armenia (EA)	CU Cuba	ID Indonesia	MK The former Yugoslav Republic of Macedonia ¹	SL Sierra Leone (AP)
AT Austria (EP)	CY Cyprus (EP) ²	IE Ireland (EP) ²	ML Mali (OA) ²	SN Senegal (OA) ²
AU Australia	CZ Czech Republic	IL Israel	MN Mongolia	SZ Swaziland (AP) ²
AZ Azerbaijan (EA)	DE Germany (EP)	IN India	MR Mauritania (OA) ²	TD Chad (OA) ²
BA Bosnia and Herzegovina	DK Denmark (EP)	IS Iceland	MW Malawi (AP)	TG Togo (OA) ²
BB Barbados	DM Dominica	IT Italy (EP) ²	MX Mexico	TJ Tajikistan (EA)
BE Belgium (EP) ²	DZ Algeria	JP Japan	MZ Mozambique (AP)	TM Turkmenistan (EA)
BF Burkina Faso (OA) ²	EC Ecuador	KE Kenya (AP)	NE Niger (OA) ²	TR Turkey (EP)
BG Bulgaria	EE Estonia	KG Kyrgyzstan (EA)	NL Netherlands (EP) ²	TT Trinidad and Tobago
BJ Benin (OA) ²	ES Spain (EP)	KP Democratic People's Republic of Korea	NO Norway	TZ United Republic of Tanzania (AP)
BR Brazil	FI Finland (EP)	KR Republic of Korea	NZ New Zealand	UA Ukraine
BY Belarus (EA)	FR France (EP) ²	KZ Kazakhstan (EA)	PH Philippines (from 17 August 2001)	UG Uganda (AP)
BZ Belize	GA Gabon (OA) ²	LC Saint Lucia	PL Poland	US United States of America
CA Canada	GB United Kingdom (EP)	LI Liechtenstein (EP)	PT Portugal (EP)	UZ Uzbekistan
CF Central African Republic (OA) ²	GD Grenada	LK Sri Lanka	RO Romania ¹	VN Viet Nam
CG Congo (OA) ²	GE Georgia	LR Liberia	RU Russian Federation (EA)	YU Yugoslavia
CH Switzerland (EP)	GH Ghana (AP)	LS Lesotho (AP)	SD Sudan (AP)	ZA South Africa
CI Côte d'Ivoire (OA) ²	GM Gambia (AP)	LT Lithuania ¹	SE Sweden (EP)	ZW Zimbabwe (AP)
CM Cameroon (OA) ²	GN Guinea (OA) ²	LU Luxembourg (EP)		
	GQ Equatorial Guinea (OA)² (from 17 July 2001)	LV Latvia ¹		
	GR Greece (EP) ²	MA Morocco		

¹ Extension of European patent possible.

² May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated July 2001, and can be printed from the WIPO Internet site, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.

**Second WIPO International Conference on
Electronic Commerce and Intellectual Property
September 19 to 21, 2001
International Conference Center of Geneva (CICG)**

This will be the second international conference organized by WIPO, to address commercial and technological developments in electronic commerce and the general impact of electronic commerce on the intellectual property system. The first conference, held in September 1999, attracted over 750 international participants from industry and the public sector.

The Conference will cover three days, organized into plenary sessions and a series of workshops. The plenary sessions will deal with the technological and business developments that have seen electronic commerce effect a major economic transformation, the principal challenges posed for the intellectual property system, and the impact of electronic commerce on developing countries. The workshops will be devoted to developments in relation to domain names, business methods patents, branding, films, e-publishing, rights management systems, privacy, and digital cultural heritage, as well as to changes in the delivery of intellectual property services using new information technologies.

Speakers represent both public and private sectors and include government ministers and chief executives of major enterprises involved in electronic commerce. The names of the speakers are posted on the Internet as they are confirmed at: <http://ecommerce.wipo.int/meetings/2001/conference>.

The Conference will be held at the International Conference Center of Geneva (CICG), 15 rue de Varembé, 1211 Geneva 20, Switzerland.

It will run daily from 9 a.m. to 5.30 p.m. Participants are invited to register from 5 to 7 p.m. on Tuesday, September 18 and from 8 a.m. on Wednesday, September 19, 2001.

Simultaneous interpretation will be provided throughout in English, French and Spanish.

For more information on this event, please contact:
Ms. Sue Olesen, Office of Legal and Organization Affairs, WIPO
Tel.: (41-22) 338 91 64, Fax: (41-22) 740 37 00
e-mail: ecommerce.meetings@wipo.int