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# NEWSLETTER

February 2002

No. 02/2002

## APPLICATION OF THE MODIFICATION OF THE TIME LIMIT UNDER PCT ARTICLE 22(1)

### Designated Offices to which the modified (30-month) time limit will not apply

It is recalled that on 3 October 2001 the PCT Assembly decided, with effect from 1 April 2002, to change the time limit under PCT Article 22(1) for performing the acts necessary to enter the national phase **from 20 to 30 months from the priority date**.

Since a number of countries will have to change their national laws in order to implement this modification, transitional arrangements were introduced, whereby if, on 3 October 2001, any such modification was not compatible with the national law applied by a designated Office, it shall not apply in respect of that Office for as long as it continues not to be compatible with that law, provided that the said Office notified the International Bureau accordingly by 31 January 2002.

The International Bureau has been informed by the Offices (in their capacity as designated Offices) of the States listed on page 3, of the incompatibility of the modification of the time limit under PCT Article 22(1) with the national law applied by them.

Note that an applicant wishing to benefit from the 30-month time limit in respect of national phase entry in any of those States **will still need to file a demand before the**

**expiration of 19 months from the priority date**, even though the 30-month time limit may apply in respect of other design-

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nated Offices without the need to file a demand.

A consolidated table showing the time limits which are applicable for entry into the national phase in respect of all designated/elected Offices will be included in the next issue of the *PCT Newsletter*.

For further information on the modification of the time limit under PCT Article 22(1),

see *PCT Newsletter* No. 10/2001, cover page, the tear-out sheets in *PCT Newsletter* No. 11/2001 and the "Practical Advice" in *PCT Newsletter* No. 01/2002.

### FAQs

FAQs (Frequently Asked Questions) in relation to the entry into force of the modification of the time limit under PCT Article 22(1) have been published on the PCT website. The FAQs are available from the "New on the PCT website" box at:

<http://www.wipo.int/pct/en/>

French, German and Spanish versions of those FAQs will be published on the website in due course.

### PCT-EASY UPDATE

A table listing the receiving Offices which have notified the International Bureau that they are prepared to accept the filing of international applications in PCT-EASY format appears on page 11, updating the list included in *PCT Newsletter* No. 02/2001.

### BUDAPEST TREATY

#### Accession by Kazakhstan

On 24 January 2002, Kazakhstan deposited its instrument of accession to the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure. That Treaty will enter into force with respect to Kazakhstan on 24 April 2002.

### NEW PCT MATERIALS AVAILABLE ON THE INTERNET

#### Search facility for PCT Regulations

A new search facility is now available on the WIPO website, via "PCT Legal Texts," for the PCT Regulations. The method of using this search engine is the same as that which is applicable for the search facility for practical advice (see *PCT Newsletter* No. 09/2001, cover page) and the Articles of the PCT. The search facility for both the Articles and the Regulations are available in English, French and Spanish.

### PCT PUBLICATIONS

#### PCT Receiving Office Guidelines

A modified version of the PCT Receiving Office Guidelines, as in force from

### PCT MATERIALS ON INTERNET

(<http://www.wipo.int/pct/en/index.html>)

Welcome page, with links to what's new on the site  
PCT Information Service

PCT filing

- *Basic Facts about the PCT*
- Forms
- Fees
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- *PCT Applicant's Guide*

PCT-EASY

PCT E-filing

PCT legal texts and guidelines

- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions and search facility for Articles and Regulations under PCT
- PCT Receiving Office Guidelines
- PCT International Search Guidelines
- PCT International Preliminary Examination Guidelines
- PCT Contracting States
- Reservations and incompatibilities
- PCT legal texts index
- FAQs

*PCT Gazette*

PCT news

- *PCT Newsletter* (Nos. 01/1997–02/2002); Practical Advice published in the *PCT Newsletter* between March 1994 and December 1996 and search facility for all Practical Advice
- PCT Press Releases/Updates
- The PCT in 2000

Seminar calendar and seminar materials:

- seminar documents: March 2001 (English, French); May 2001 (Spanish, German)

PCT meetings (documents):

- Committee (21–25 May 2001) and Working Group (12–15 November 2001) on Reform of the PCT
- PCT Assembly: 1978–2001
- PCT Informal Consultation Meeting on Electronic Filing (July 2000); redraft of legal framework and technical standards for PCT electronic filing
- PCT Committee for Administrative and Legal Matters: 1999

\* \* \*

### PCT INFORMATION SERVICE

Telephone: (41–22) 338 83 38  
Fax: (41–22) 338 83 39  
E-mail: [pct.infoline@wipo.int](mailto:pct.infoline@wipo.int)

**Notifications concerning non-applicability (as of 1 April 2002) of the new time limit under modified PCT Article 22(1):**

**The Offices (in their capacity as designated Offices) of the following States have notified the International Bureau of the incompatibility of the modification of the time limit under PCT Article 22(1) with the applicable national law (situation on 31 January 2002)**

AU	Australia	JP	Japan
BG	Bulgaria	KR	Republic of Korea
BR	Brazil	LU	Luxembourg
CH	Switzerland	NO	Norway
CN	China	SE	Sweden
DK	Denmark	SG	Singapore
EE	Estonia	SK	Slovakia
FI	Finland	TZ	United Republic of Tanzania
GB	United Kingdom	UG	Uganda
HR	Croatia	YU	Yugoslavia
HU	Hungary	ZA	South Africa
IL	Israel	ZM	Zambia

18 January 2002, has been published as a special issue of the *PCT Gazette* (No. S-02/2002 (E) and (F), dated 31 January 2002). The text, which was established by the International Bureau, has been modified after consultation with the receiving Offices under the PCT with a view, in particular, to implementing the amendments of the PCT Regulations which entered into force on 1 March 2001. The modified Guidelines replace those which were published on 17 September 1998 in Special Issue No. S-05/1998 (E) and (F) of the *PCT Gazette*.

Subscribers to the *PCT Gazette* will have already received, or will shortly receive, Special Issue No. S-02/2002. If you are not a subscriber to the *PCT Gazette* but would like to obtain a copy of it, you may order it from the Marketing and Distribution Section at WIPO at the address indicated on the cover page, or:

by fax: (41-22) 740 18 12  
 by e-mail: publications.mail@wipo.int  
 electronic  
 bookshop: www.wipo.int/ebookshop

The price per special issue is 24 Swiss francs or 15 US dollars by regular mail, and 28 Swiss francs or 17 US dollars by priority mail.

Note that the special issue is also available in PDF format, free of charge, as part of the *PCT Gazette* on WIPO's Internet site ([http://www.wipo.int/pct/en/gazette/spec\\_issu/index.htm](http://www.wipo.int/pct/en/gazette/spec_issu/index.htm)). The text of the modified PCT Receiving Office Guidelines is also available from the PCT website under "PCT Legal Texts."

**PCT STATISTICS —  
1 January to 31 December 2001**

In 2001, the International Bureau of WIPO received 103,947 international applications filed with PCT receiving Offices worldwide, which is 12,999 (14.3%) more than in 2000. The chart on the next page shows the number of international applications received each year since 1985.

The 103,947 international applications received in 2001 had the effect of 6,432,093 national applications, and of 326,757 regional applications which would be equivalent to 4,639,800 applications for patent protection in the member States of the regional patent systems, that is, a notional equivalent effect, in total, of 11,071,893 national applications.

The table on the next page shows the top 10 countries of origin of international applications for that period. (Note that 16.5% of the applications received were

filed with either the European Patent Office or the International Bureau as receiving Offices; those filings are included in the figures relating to the countries of residence of the applicants.)

The filing of PCT applications in developing countries that are members of the PCT increased overall by 70.6% over 2000, with a particularly high increase in applications filed by applicants from China (188.4%), India (102.6%), the Republic of Korea (53.1%) and Mexico (50.7%). A total of 5,379 international applications originated from developing countries, the highest number originating from the Republic of Korea (2,318), China (1,670), India (316), South Africa (418) and Singapore (271).

In 2001, there was a considerable increase in the number of international applications that were prepared using the PCT-EASY software. Of the 103,947 international applications received, 36,428 (35%) (compared with 27.4% in 2000) were prepared using the PCT-EASY software.

The number of demands for international preliminary examination also increased considerably in 2001. The total received was 77,550, which represents an increase over 2000 of 20.7%.

Further statistics, as well as information on the main events which occurred in 2001 in relation to the PCT, are contained in the Information Note "The Patent Coop-

### PCT filings by country of origin (2001)

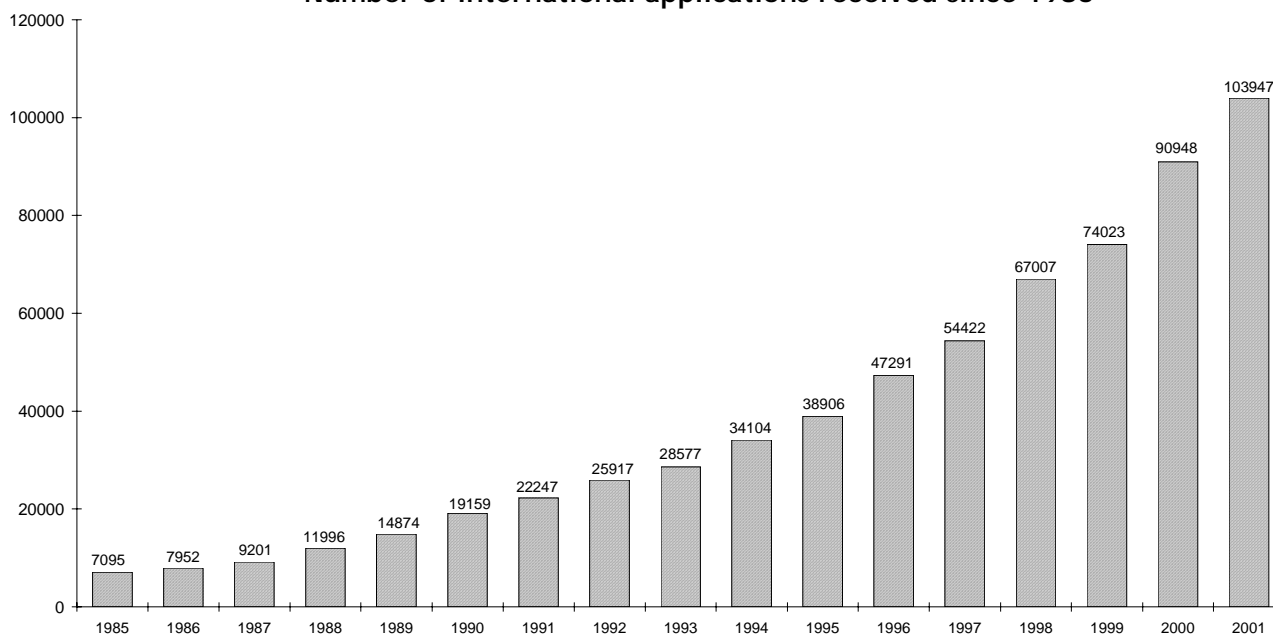
Country of origin	Applications received	% of total
US	40,003	38.5
DE	13,616	13.1
JP	11,846	11.4
GB	6,233	6.0
FR	4,619	4.4
SE	3,502	3.4
NL	3,187	3.1
KR	2,318	2.2
CA	2,030	2.0
CH (incl. LI)	2,011	1.9
Other countries	14,582	14.0
	103,947	100.00

eration Treaty (PCT) in 2001," which will be available shortly on the PCT website under "PCT News."

#### NOTE ABOUT THE RATIONALIZATION OF THE INTERNATIONAL PRELIMINARY EXAMINATION PROCEDURE AT THE EPO

It is recalled that information on the rationalized international preliminary examination procedure at the European Patent Office (EPO), which took effect on 3 January 2002, was given in last month's issue of the *PCT Newsletter* (No. 01/2002). Note

Number of international applications received since 1985



that the said rationalized procedure applies **only as far as the EPO as a PCT International Preliminary Examining Authority (IPEA) is concerned**; the International Bureau has not been informed of the application by any of the other IPEAs under the PCT of any similar change to the international preliminary examination procedure carried out by them.

### **EPO LIMITATIONS CONCERNING THE PCT APPLICATIONS FOR WHICH IT WILL NOT ACT AS ISA AND/OR IPEA**

It is recalled that the European Patent Office (EPO) has specified limitations concerning the PCT applications for which, as from 1 March 2002, it will not act as International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) (for details regarding the PCT applications that are affected, see *PCT Newsletter* Nos. 12/2001, cover page and 01/2002, page 5).

**Applicants concerned are strongly advised to check carefully whether or not a given application falls under the limitation before they indicate the EPO as ISA or file a demand with the EPO as IPEA.**

#### **Practical arrangements**

The EPO has now informed the International Bureau (IB) of the practical arrangements which will be established with respect to PCT applications where the applicant indicates the EPO as ISA or files a demand with the EPO as IPEA although the EPO is no longer the competent ISA and/or IPEA, as follows:

#### **“ISA/EPO**

“1. The EPO will perform a competence check on search copies of PCT/US or PCT/IB applications [that is, PCT applications filed with the United States Patent and Trademark Office (USPTO) or the International Bureau as receiving Office] filed on or after 1 March 2002. This will be done immediately after receipt of the search copy [that is, the copy of the international application which is sent to the ISA by the receiving Office] within the framework of the so-called preclassification.

“2. Where the EPO finds that it was indicated as the ISA although the application falls under the limitation as specified in the *PCT Gazette* 52/2001, Section IV (pages 24248-24250) [and *PCT Newsletter*

No. 12/2001] it will delete that indication and indicate the USPTO as the competent ISA ex officio.

“3. The EPO will inform the applicant, the IB and the USPTO accordingly ([via a] new version of Form PCT/ISA/224) and transfer moneys received as search fee in respect of the application to the USPTO. (Please note that a refund to the applicant is not possible because the data necessary for such a transfer is not available to the EPO.)

[...]

#### **“IPEA/EPO**

“5. Where as from 1 March 2002 a demand is filed with the EPO although it is not competent for the international preliminary examination pursuant to the limitation as specified in the *PCT Gazette* 52/2001, Section IV (pages 24248-24250) [*PCT Newsletter* No. 12/2001], Rule 59.3(f) PCT applies.

“6. The EPO will transmit the demand to the USPTO as the competent IPEA.

“7. The EPO will inform the applicant, the IB and the USPTO accordingly (by Form PCT/IPEA/436) and refund any amount paid in respect of the demand to the applicant.”

#### **Further clarification concerning applicability of limitations**

Note that the limitations concerning the PCT applications for which the EPO will act as ISA and/or IPEA, which were outlined on the cover page of *PCT Newsletter* No. 12/2001, **apply even if the applicant has filed a concurrent Euro-direct application or has requested concurrent regional phase entry before the EPO**. In addition, it should be noted that in the field of business methods, as a rule, no European search could be expected in any event (see also the *PCT Newsletter* No. 9/2001, page 5).

### **PCT INFORMATION UPDATE**

#### **AM Armenia (fees)**

The Armenian Patent Office has established amounts of fees for provisional patents in AMD, and has notified changes with regard to the reduction of the national fees, payable to it as designated (or elected) Office, as follows:

provisional patent:

filing fee:	AMD	20,000
claim fee for each independent claim in excess of one:	AMD	5,000
fee for priority claims, per priority:	AMD	10,000
annual fees for the 2nd and the 3rd year, per year:	AMD	20,000

Exemptions, reductions or refunds of the national fee:

National fees relating to obtaining and maintaining of provisional patents and utility models are reduced by 75% if the applicant is a physical person or a legal entity with less than 25 employees, and by 50% for legal entities with 25 to 100 employees. The substantive examination fee for patents is reduced by 30% when an international search report or an international preliminary examination report has been established.

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (AM))

#### **CZ Czech Republic (time limit applicable for entry into the national phase under PCT Article 22(3))**

The Industrial Property Office of the Czech Republic has notified a change in the time limit applicable for entry into the national phase under PCT Article 22(3) before the Office as a designated Office. The new time limit, applicable as from 18 January 2002, is 31 months from the priority date.

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (EA))

#### **EA Eurasian Patent Office (time limit applicable for entry into the national phase under PCT Article 22(3))**

The Eurasian Patent Office has notified a change in the time limit applicable for entry into the national phase under PCT Article 22(3) before the Office as a designated Office. The new time limit, applicable as from 1 March 2002, is 31 months from the priority date.

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (EA))

#### **EP European Patent Office (special requirements)**

The European Patent Office has notified the International Bureau that the require-

ment to file the translation and the amendments of the international application in triplicate for entry into the national phase before it as designated (or elected) Office has been dispensed with. It is now only necessary to file a single copy of each.

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (EP))

#### **IB International Bureau (fees)**

On 24 January 2002, the International Bureau introduced a new fee payable to it under particular circumstances, as follows:

copy, on CD-ROM, of sequence listings contained in pamphlets or priority documents, produced upon request of a third party:

CHF 35 plus shipping costs

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B2 (IB))

#### **IL Israel (fees)**

The amount of the following national fee, payable to the Israel Patent Office as designated (or elected) Office, has changed:

filing fee: ILS 853

(Updating of *PCT Applicant's Guide*, Vol. II/B, National Chapter, Summary (IL))

#### **IN India (location and mailing address; telephone and fax numbers; e-mail address)**

The Indian Patent Office has notified changes in the location and mailing addresses of its branch offices in New Delhi and Chennai, in the telephone numbers of its branch offices in Calcutta, New Delhi and Chennai, in the fax numbers of its branch offices in New Delhi, Chennai and Mumbai, and in the e-mail address of its branch office in New Delhi, as follows (note that other addresses and numbers that are not indicated remain unchanged):

location and mailing address:

W-5, West Patel Nagar  
New Delhi 110 008, India

6<sup>th</sup> floor, Guna Complex  
Annex-II, 443, Anna Salai  
Teynampet  
Chennai 600 018, India

telephone:

Calcutta: (91-33) 247 44 01,  
247 44 02, 247 44 03,  
240 66 85

New Delhi: (91-11) 587 12 55,  
587 12 56, 587 12 57,  
587 12 58, 587 72 45

Chennai: (91-44) 431 43 24,  
431 43 25, 431 43 26,  
431 47 53

fax:

New Delhi: (91-11) 587 62 09,  
587 25 32

Chennai: (91-44) 431 47 50,  
431 47 51

Mumbai: (91-22) 490 38 52,  
495 06 22

e-mail:

New Delhi: delhipatent@vsnl.com

(Updating of *PCT Applicant's Guide*,  
Vol. I/A, Annex B1 (IN))

### IS Iceland (e-mail address; fees)

The e-mail address of the Icelandic Patent Office has changed, as follows:

e-mail: postur@patent.is

The amount of the following fee, payable to the Office as receiving Office, has changed:

fee for priority document: ISK 3,000

The amounts of the following national fees, payable to the Office as designated (or elected) Office, have changed:

basic fee: ISK 33,500

claim fee for each claim in excess of ten: ISK 1,600

additional fee for late furnishing of translation: ISK 12,300

annual fees for the first three years: ISK 9,300

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (IS), Vol. I/B, Annex C (IS), and Vol. II/B, National Chapter, Summary (IS))

### MN Mongolia (location and mailing address)

The telephone and fax numbers of the Mongolian Intellectual Property Office have changed, as follows:

telephone: (976-11) 32 74 56

fax: (976-11) 32 76 38

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (MN))

### ZA South Africa (fees)

As from 1 April 2002, there will be a change in the equivalent amounts of the following fees payable in ZAR to the South African Patents and Trade Marks Office as receiving Office, as well as a change in the equivalent amount of the PCT-EASY fee reduction:

basic fee, fee per sheet over 30, designation fee and PCT-EASY fee reduction: see Table I(a) and footnotes

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (ZA))

### Search fee and other fees relating to the international search (Austrian Patent Office, European Patent Office, United States Patent and Trademark Office)

As from 1 January 2002, there was a change in the amount payable in EUR for an international search carried out by the Austrian Patent Office, as indicated in Table I(b). There was also a change in the following fees, payable to that Office as International Searching Authority, as follows:

additional search fee:	EUR 159
fee for copies of documents cited in the international search report:	EUR 0.58

As from 1 April 2002, there will be a change in the equivalent amount payable in ZAR for international searches carried out by the European Patent Office and the United States Patent and Trademark Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AT, EP and US))

### Preliminary examination fee and fees relating to the international preliminary examination (Austrian Patent Office)

As from 1 January 2002, there was a change in the amount payable in EUR for an international preliminary examination carried out by the Austrian Patent Office, as indicated in Table II. There was also a change in the amount of the following fees payable to the Office as International Preliminary Examining Authority:

additional preliminary examination fee:	EUR	159
fee for copies of documents cited in the international preliminary examination report:	EUR	0.58
fee for copies of documents contained in the file of the international application:	EUR	0.58

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (AT))

## NEW RULES APPLICABLE AT THE USPTO

On 28 December 2001, the United States Patent and Trademark Office (USPTO) published the following information relating to the requirements for claiming the benefit of prior-filed applications under 18-month publication of patent applications:

"In implementing the provisions of the American Inventors Protection Act of 1999 related to the eighteen-month publication of patent applications, the United States Patent and Trademark Office (Office) revised the rules of practice related to requirements for claiming the benefit of a prior-filed application. The Office is now revising the time period for claiming the benefit of a prior-filed application in an application filed under the Patent Cooperation Treaty (PCT), revising the time period for filing an English language translation of a non-English language provisional application, and making other technical corrections to the rules of practice related to eighteen-month publication.

Effective date: December 28, 2001"

For further information, see the USPTO website at:

<http://www.uspto.gov/web/offices/com/sol/notices/frfinrule.pdf>

## PRACTICAL ADVICE

### ***Consequence on the publication of the international application in the case of non-payment of the designation fees***

*Q: I have filed an international application in respect of which I have paid the fees*

*that were due within one month from the date of receipt of the international application (that is, the transmittal fee, the basic fee and the search fee); however, since the priority date is only six months before the international filing date, the designation fees have not yet been paid. If the designation fees are not paid, will the international application still be published?*

A: It is recalled that, according to PCT Rule 15.4(b), the due date for the payment of the designation fee is either:

- 1) one year from the priority date, or
- 2) one month from the date of receipt of the international application if that one-month period expires later than one year from the priority date.

If, by the time the designation fee is due, which in your case is one year from the priority date, the receiving Office has not received the amount due, the Office will, in accordance with PCT Rule 16bis.1(b) invite the applicant to pay to it, within one month from the date of the invitation, that amount, together with, if applicable, the late payment fee under PCT Rule 16bis.2. If, at the expiration of one month from the date of the invitation, the relevant amount has still not been received by the receiving Office, that Office will make a declaration under PCT Article 14(3) that the international application is to be considered withdrawn.

The international application would therefore, under normal circumstances, be considered withdrawn prior to international publication, which normally takes place promptly after 18 months from the priority date, and the international application would not be published. However, it is the International Bureau which must be in a position to take action in order to stop the processing of the international application so that the latter does not get published; indeed the International Bureau relies on receiving Offices to notify it promptly when they declare an international application to be considered withdrawn.

If you do not want your application to be published and you expect the international application to be declared withdrawn by the receiving Office prior to international publication, we urge you to take action



yourself, as early as possible, and to send a notice that you wish to withdraw the international application under PCT Rule 90*bis*.1(a). That notice must reach the International Bureau before the technical preparations for international publication have been completed if publication of the international application is to be prevented. In view of any risks of delays in the mail service and backlogs of work at receiving Offices, as has been seen recently in the United States of America (see *PCT Newsletter* Nos. 10/2001, page 6; 11/2001, page 7; and 12/2001, pages 3 and 4) it is safer, in order to ensure that the International Bureau receives the notice of withdrawal in time, to

send it direct to the International Bureau, and preferably by fax.

Note that the only circumstances under which an application might be published prior to being declared withdrawn due to non-payment of the designation fee would be if you were to ask, under PCT Rule 48.4, for early publication of the international application under PCT Article 21(2)(b). The international publication could then, provided you made your request early enough, be effected by the International Bureau before the international application is declared withdrawn. (See the practical advice in *PCT Newsletter* No. 08/1995 for information on requesting early publication of the international application.)

<b>PCT SEMINAR CALENDAR</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>21 February 2002 London (GB)</b> <i>[previously announced for 18 October 2001]</i>	English	PCT presentation at "The Corporate Patent Department 2002" WIPO speaker: Mr. Thomas	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
<b>28 February-1 March 2002 San Francisco (US)</b>	English	Basic PCT seminar for patent administrators WIPO speakers: Mr. Reischle and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
<b>4-5 March 2002 Chicago (US)</b>	English	Basic PCT training session WIPO speaker: Mr. Reischle	The John Marshall Law School Department of Event Management Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: Events@jmls.edu
<b>25-26 March 2002 Geneva (CH)</b>	English/ French/ Spanish	Conference on the International Patent System WIPO speaker(s): Mr. Smith and Mr. Thomas Other speaker(s): see below*	PCT Administration Department, WIPO (Mrs. Jeanine Rojal) Tel: (41-22) 338 95 49 Fax: (41-22) 338 82 50

\* Dr. Bale, Jr (International Federation of Pharmaceutical Manufacturers Association, Switzerland); Ms. Brimelow (The Patent Office, United Kingdom); Mr. Chirambo (African Regional Industrial Property Organization (ARIPO)); Ms. Daley (Foga, Daley & Co. Attorneys-at-Law and Jamaican Intellectual Property Office); Mr. Durán (International Association for the Protection of Industrial Property, Spain); Dr. Elrefaie (Academy of Science Research and Technology, Egypt); Mr. Enäjärvi (National Board of Patents and Registration of Finland); Mr. Gallochat (Direction de la Technologie, Ministère de la Recherche, France); Mr. Grigoriev (Eurasian Patent Organization); Mr. Hansen (Science & Human Rights Program, American Association for the Advancement of Science, US); Mr. Heath (IP Australia); Mr. Hornickel (Bridgestone/Firestone, Inc., US); Mr. Kirk (American Intellectual Property Law Association, US); Mr. Kitch (Georgetown University Law Center, US); Mr. Kushan (Powell Goldstein Frazer & Murphy LLP, US); Ms. Liew Woon Yin (Intellectual Property Office of Singapore); Mr. N'Diaye (African Intellectual Property Organization (OAPI)); Mr. Orange (Advisory Council, International Federation of Intellectual Property Attorneys, Canada); Mr. Perkins (Clifford Chance, United Kingdom); Mr. Plant (Arbitrator and Mediator, US); Mr. Rendón (Mexican Institute of Industrial Property); Judge Rogan (Under Secretary of Commerce for Intellectual Property, Director, United States Patent and Trademark Office); Mr. Royal (International Federation of Intellectual Property Attorneys, Australia); Professor Straus (Max Planck Institute for Foreign and International Patent, Copyright and Competition Law, Germany); Dr. van der Veer (Philips Intellectual Property and Standards, the Netherlands); Mrs. Vannesjö (Advice IPR, Sweden); Dr. Venugopal (Medicines for Malaria Venture, India); Mr. Véron (Lamy Véron Ribeyre & Partners, France); and Professor Vivant (University of Montpellier, France).

*[continued on next page]*

<b>PCT SEMINAR CALENDAR [continued]</b>			
<b>Dates and Location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>4 April 2002 Paris (FR)</b>	French	PCT presentation for patent attorneys WIPO speaker: Ms. Boutillon	Fondation Nationale pour le Droit de l'Entreprise (FNDE) (Mrs. Heuzé) Tel: (33-1) 42 66 18 19 Fax: (33-1) 42 66 17 37
<b>8-9 April 2002 Algiers (DZ)</b>	French	PCT seminar: "Les brevets et le PCT" WIPO speaker: Mrs. Simon-Vianès Other speakers: to be announced	Institut national algérien de la propriété industrielle (INAPI) (M. Sadou) Tel: (213-2) 173 57 74 Fax: (213-2) 173 55 81 Internet: www.inapi.org
<b>10-11 April 2002 Ecully (FR)</b>	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33-4) 78 33 07 08 Fax: (33-4) 78 33 58 96
<b>10-11 April 2002 London (GB)</b>	English	Basic PCT seminar for patent administrators: "Working with the PCT" WIPO speaker: Mr. Reischle	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
<b>12 April 2002 London (GB)</b>	English	PCT-EASY workshop WIPO speaker: Mrs. Featherby	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
<b>26-27 April 2002 Concord, New Hampshire (US)</b>	English	Basic PCT seminar for patent attorneys and patent administrators WIPO speaker(s): to be announced	Franklin Pierce Law Center (Ms. Carol Ruh) Tel: (1-603) 228 19 63, ext. 1108 Fax: (1-603) 224 33 42 E-mail: cruh@fplc.edu Internet: <a href="http://www.fplc.edu/TreatySem/treatsem.htm">http://www.fplc.edu/TreatySem/treatsem.htm</a>
<b>28-30 April 2002 Naples, Florida (US)</b>	English	Advanced PCT seminar for patent attorneys WIPO speaker(s): to be announced	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
<b>2-4 May 2002 Naples, Florida (US)</b>	English	Basic PCT seminar for patent attorneys WIPO speaker(s): to be announced	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
<b>4-5 June 2002 Paris (FR)</b>	French	Basic seminar on PCT procedures WIPO speaker: Mr. Baron	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 42 93 63 52
<b>26-27 June 2002 Paris (FR)</b>	French	Advanced seminar on PCT procedures WIPO speaker: Mr. Baron	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 42 93 63 52

**RECEIVING OFFICES PREPARED TO ACCEPT  
THE FILING OF INTERNATIONAL APPLICATIONS  
CONTAINING REQUESTS IN PCT-EASY FORMAT  
(status on 1 February 2002)**

AM	Armenian Patent Office	JP	Japan Patent Office
AP	African Regional Industrial Property Organization	KG	Kyrgyz Intellectual Property Office
AT	Austrian Patent Office	KP	Invention Office of the Democratic People's Republic of Korea
AU	Australian Patent Office	KR	Korean Intellectual Property Office
BA	Institute for Standards, Metrology and Intellectual Property of Bosnia and Herzegovina	KZ	Kazakh Patent Office
BE	Industrial Property Office (Belgium)	LV	Latvian Patent Office
BG	Bulgarian Patent Office	MD	State Agency on Industrial Property Protection (Moldova)
BR	National Institute of Industrial Property (Brazil)	MK	Industrial Property Protection Office (The former Yugoslav Republic of Macedonia)
BZ	Intellectual Property Office of Belize	MN	Mongolian Intellectual Property Office
CA	Canadian Patent Office	MW	Ministry of Justice, Department of the Registrar General (Malawi)
CH	Swiss Federal Intellectual Property Institute	MX	Mexican Institute of Industrial Property
CN	China Intellectual Property Office	NL	Netherlands Industrial Property Office
CR	Registry of Intellectual Property (Costa Rica)	NO	Norwegian Patent Office
CU	Cuban Industrial Property Office	NZ	Intellectual Property Office of New Zealand
CZ	Industrial Property Office (Czech Republic)	PH	Intellectual Property Office (Philippines)
DE	German Patent and Trade Mark Office	PT	National Institute of Industrial Property (Portugal)
DK	Danish Patent and Trademark Office	RO	State Office for Inventions and Trademarks (Romania)
DZ	Algerian National Institute of Industrial Property	RU	Russian Patent Office
EA	Eurasian Patent Office	SE	Swedish Patent Office
EC	Ecuadorian Institute of Intellectual Property	SG	Intellectual Property Office of Singapore
EE	Estonian Patent Office	SI	Slovenian Intellectual Property Office
EP	European Patent Office	SK	Industrial Property Office (Slovakia)
ES	Spanish Patent and Trademark Office	TM	Turkmen Patent Office
FI	National Board of Patents and Registration (Finland)	TR	Turkish Patent Institute
FR	National Institute of Industrial Property (France)	TT	Intellectual Property Office (Trinidad and Tobago)
GB	United Kingdom Patent Office	US	United States Patent and Trademark Office
GE	Georgian Intellectual Property Office	UZ	Uzbek Patent Office
GR	Industrial Property Organization (Greece)	YU	Federal Intellectual Property Office (Yugoslavia)
HR	Croatian Intellectual Property Office	ZA	South African Patents and Trade Marks Office
HU	Hungarian Patent Office	ZW	Zimbabwe Patent Office
IB	International Bureau of WIPO		
ID	Directorate General of Intellectual Property (Indonesia)		
IE	Irish Patents Office		
IL	Israel Patent Office		
IN	Indian Patent Office		

(Total: 65 Offices)

## PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the fee per sheet over 30, the designation fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 12 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated **after** the CHF 200 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of	BGL	Bulgarian lev	GBP	Pound sterling	KRW	KR won	SDP	Sudanese pound
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GEL	Georgian lari	KZT	Kazakh tenge	SEK	Swedish krona
ISA	International Searching Authority	BYR	Belarusian rouble	GHC	Ghanaian cedi	LSM	Lesotho loti	SGD	Singapore dollar
n a	not applicable	BZD	Belize dollar	GRD	Greek drachma	LTN	Lithuanian litas	SIT	Slovenian tolar
RO	receiving Office	CAD	Canadian dollar	HRK	Croatian kuna	LVL	Latvian lat	SKK	Slovak koruna
		CHF	Swiss franc	HUF	Hungarian forint	MAD	Moroccan dirham	TJS	Tajik somoni
		CNY	Yuan renminbi	IDR	Indonesian rupiah	MDL	Moldovan leu	TTD	Trinidad and Tobago dollar
		CUP	Cuban convertible peso	IEP	Irish pound	MKD	Macedonian denar	UAH	Ukrainian hryvnia
		CYP	Cyprus pound	ILS	New Israel shekel	MWK	Malawian kwacha	USD	US dollar
AED	United Arab Emirates dirham	CZK	Czech koruna	INR	Indian rupee	MXP	Mexican peso	VND	Vietnamese dong
ALL	Albanian lek	DKK	Danish krone	ISK	Icelandic krona	NOK	Norwegian krone	YUD	Yugoslavian dinar
AMD	Armenian dram	DZD	Algerian dinar	ITL	Italian lira	NZD	New Zealand dollar	ZAR	South African rand
AUD	Australian dollar	EEK	Estonian kroon	JPY	Japanese yen	PHP	Philippine peso	ZWD	Zimbabwe dollar
AZM	Azerbaijani manat	EUR	Euro	KES	Kenyan shilling	PLZ	Polish zloty		
BEF	Belgian franc	FIM	Finnish markka	KGS	Kyrgyz som	ROL	Romanian leu		
		FRF	French franc	KPW	KP won	RUR	Russian rouble		

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 February 2002, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
AE	AED <sup>8</sup> —	AED eq CHF 650	15	140	n a	AT AU
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 407	9	88	125	EP RU
AP	USD 50 (or eq in local currency)	USD 407	9	88	125	AT EP SE
AT	EUR 50	EUR 444	10	96	137	EP
AU	AUD 100	AUD 828	19	178	255	AU
AZ	AZM eq USD 15 plus mailing costs	USD 407	9	88	n a	EP RU
BA	EUR 25.56	EUR 444	10	96	137	EP
BE	Until 28.2.02: BEF 1,500 from 1.1.02: EUR 40	1.1.02—28.2.02 only: BEF 17,911 from 1.1.02: EUR 444	403 EUR 10	3,873 EUR 96	5,527 EUR 137	EP
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT EP SE US
BY	BYR eq USD 70	USD 407	9	88	n a	EP RU
BZ	BZD 220	USD 407	9	88	125	EP
CA	CAD 200	CAD 639	15	138	197	EP
CH	CHF 100	CHF 650	15	140	200	EP
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CR	USD 175	USD 407	9	88	125	EP ES
CU	USD (or eq CUP) <sup>200</sup>	USD (or eq CUP) <sup>407</sup>	9	88	125	AT EP ES RU
CY	CYP 75	CYP 258	6	56	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
DE	EUR 90	EUR 444	10	96	137	EP
DK	DKK 1,500	DKK 3,300	80	710	1,020	EP SE
DZ	DZD <sup>8</sup> —	CHF 650	15	140	200	AT EP
EA	RUR eq USD 50	USD 407	9	88	125	EP RU
EC	USD <sup>8</sup> —	USD 407	9	88	125	EP ES

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]  
(as at 1 February 2002, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
EP	EUR 100	EUR 444	10	96	137	EP
ES	EUR 61.51	EUR 444	10	96	137	EP ES
FI	1.1.02-28.2.02 only: FIM 802.67 from 1.1.02: EUR 135	1.1.02-28.2.02 only: FIM 2,639.90 from 1.1.02: EUR 444	59.46 EUR 10	570.79 EUR 96	814.57 EUR 137	EP SE
FR	EUR 60	EUR 444	10	96	137	EP
GB	GBP 55	GBP 278	6	60	86	EP
GE	GEL <sup>9</sup> 10	USD 407	9	88	125	EP RU
GH	GHC <sup>10</sup> 2,500 or 5,000	USD 407	9	88	n a	AT AU CN EP SE
GR	Until 28.2.02: GRD 39,500 from 1.1.02: EUR 115	1.1.02-28.2.02 only: GRD 151,000 from 1.1.02: EUR 444	3,000 EUR 10	33,000 EUR 96	47,000 EUR 137	EP
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF <sup>11</sup> 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB	CHF <sup>12</sup> 100 or USD <sup>12</sup> 60	CHF 650 or USD 407	15 9	140 88	200 125	See footnote 13
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	1.1.02-9.2.02 only: IEP 60 from 1.1.02: EUR 76.00	1.1.02-9.2.02 only: IEP 349.68 from 1.1.02: EUR 444	IEP 7.88 EUR 10	IEP 75.61 EUR 96	IEP 107.90 EUR 137	EP
IL	ILS 445	USD 407	9	88	125	EP US
IN	INR 5,000 (filing by indiv: 1,500)	USD 407	9	88	125	AT AU CN EP SE US
IS	ISK 5,500	ISK 41,300	1,000	8,900	n a	EP SE
IT	Until 28.2.02: ITL 60,000 from 1.1.02: EUR 30.99	1.1.02-28.2.02 only: ITL 859,704 from 1.1.02: EUR 444	19,363 EUR 10	185,882 EUR 96	n a	EP
JP	JPY 18,000	JPY 47,800	1,100	10,300	14,700	EP JP
KE	USD (or KES equiv) <sup>30</sup>	USD 407	9	88	n a	AT AU CN EP SE
KG	KGS eq USD 100	USD 407	9	88	125	EP RU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT RU
KR	KRW 45,000	KRW 530,000	12,000	114,000	163,000	AT AU JP <sup>14</sup> KR
KZ	KZT <sup>8</sup> —	USD 407	9	88	125	EP RU
LR	USD 45	USD 407	9	88	n a	AT AU CN EP SE
LS	LSM <sup>8</sup> —	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL 320	USD 407	9	88	n a	EPRU
LU	EUR 19	EUR 444	10	96	n.a.	EP
LV	LVL 47.20	USD 407	9	88	125	EPRU
MA	None	CHF 650	15	140	n a	AT EPRU SE
MC	Until 17.2.02: FRF 320 (EUR amount not yet known)	1.1.02-17.2.02 only: FRF 2,912.45 from 1.1.02: EUR 444	65.60 EUR 10	629.72 EUR 96	n a	EP
MD	MDL 180	USD 407	9	88	125	EPRU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EPRU
MW	MWK 2,000	MWK 25,000	600	5,400	7,700	EP
MX	MXP <sup>3</sup> eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP ES SE US
NL	EUR 50	EUR 444	10	96	137	EP
NO	NOK 500	NOK 3,560	80	770	1,090	EP SE
NZ	NZD 180	NZD 1,012	23	218	312	AU EP US
OM	Information not yet available					

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**Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]**

(as at 1 February 2002, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
PH	PHP 3,500	USD 407	9	88	125	AU EP JP KR US
PL	PLZ 300	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	EUR 23.19	EUR 444	10	96	137	EP
RO	ROL 300,000	CHF 650	15	140	200	AT EP RU
RU	RUR 294	USD 407	9	88	125	EP RU
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK 1,200	SEK 4,390	100	950	1,350	EP SE
SG	SGD 150	SGD 720	17	156	222	AT AU EP
SI	SIT 22,000	SIT eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK 1,600	SKK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
TJ	TJS <sup>8</sup> —	USD 407	9	88	n a	EP RU
TM	USD <sup>8</sup> —	USD 407	9	88	125	EP RU
TN	Information not yet available					
TR	CHF 100	CHF 650	15	140	200	EP
TT	TTD 750	USD 407	9	88	125	AT EP SE US
UA	UAH 255	USD 407	9	88	n a	EP RU
US	USD 240	USD 407	9	88	125	EP US
UZ	USD <sup>8</sup> —	USD 407	9	88	125	EP RU
VN	VND eq USD 150	VND eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD 600	YUD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
ZA	ZAR 500	ZAR 3,560 (from 1.4.02: 4,870)	80 (112)	770 (1,050)	1,090 (1,500)	AT AU EP US
ZM	Information not yet available					
ZW	ZWD 1,000	ZWD eq USD 407	eq USD 9	eq USD 88	eq USD 125	AT AU CN EP RU

**Table I(b) – SEARCH FEES**

(as at 1 February 2002, unless otherwise indicated)

ISA	Search fee <sup>1</sup>										
AT	EUR 159	CHF 230	KRW 191,000	SGD 259	USD 150	ZAR 1,280					
AU	AUD 800 ZAR* 3,430	CHF 628	KRW 500,000	NZD 978	SGD 700	USD 393					
	* (from 15.3.02: ZAR 4,290)										
CN	CNY 1,500	CHF 290	USD 180								
EP <sup>15</sup>	EUR 945	CYP 550	GBP 592	ITL* 1,829,775	NZD**** 2,154	ZAR***** 7,570	BEF* 38,121	DKK 7,030	GRD* 322,009	JPY 102,000	SEK 9,340
	CAD 1,360	FIM* 5,618.71	IEP*** 744.25	MWK 53,000	SGD 1,533		CHF 1,383	FRF** 6,198.79	ISK 88,000	NOK 7,570	USD 866
	* (payments may no longer be made in this currency after 28.02.02—see EUR amount)										
	** (only for payments to the receiving Office of Monaco, where the fee may be paid in FRF until 17.02.02; after 17.02.02—see EUR amount)										
	*** (payments may no longer be made in IEP after 9.2.02—see EUR amount)										
	**** (from 1.3.02: NZD 2,023)										
	***** (from 1.4.02: ZAR 10,280)										
ES <sup>15</sup>	EUR 945	CHF 1,383	USD 866								
JP	JPY 72,000	CHF 980	KRW 798,000	USD 610							
KR	KRW 150,000	CHF 180	USD 120								
RU <sup>16</sup>	USD 300	CHF 480									
SE	SEK 9,340	CHF 1,383	DKK 7,030	EUR 945	FIM* 5,618.71	ISK 88,000	NOK 7,570	USD 866			
	* (payments may no longer be made in this currency after 28.02.02)										
US	USD 700	450 <sup>17</sup>	CHF 1,118	719 <sup>17</sup>	NZD 1,740	1,120 <sup>17</sup>	ZAR*,** 6,100	4,000 <sup>17</sup>			
	* (from 1.3.02: ZAR 6,700 4,300 <sup>17</sup> )										
	** (from 1.4.02: ZAR 8,700 5,600 <sup>17</sup> )										

**Table II – PRELIMINARY EXAMINATION FEES**

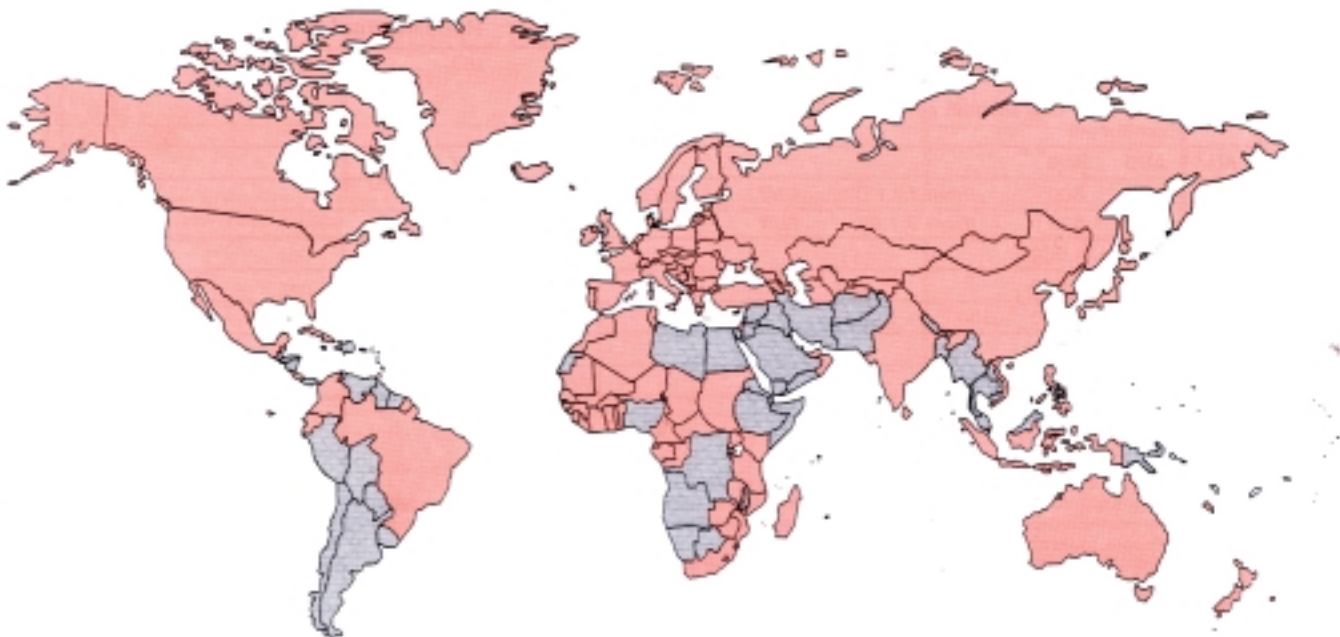
(as at 1 February 2002, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>18</sup>	Handling fee <sup>3,18</sup> (CHF 233)
AT	EUR 159	EUR 159
AU	AUD 450	AUD 297
CN	CNY 1,500	CNY eq CHF 233
EP <sup>15</sup>	EUR 1,530	EUR 159
JP	JPY 28,000	JPY 17,100
KR	KRW 150,000	KRW 190,000
RU <sup>16</sup>	USD 200 <sup>19</sup> 300 <sup>20</sup>	USD 146
SE	SEK 5,000	SEK 1,570
US	USD 490 750 <sup>21</sup>	USD 146

*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Ecuador, Equatorial Guinea, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Philippines, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Tunisia, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia, Zambia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the PCT *Administrative Instructions*, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 The maximum number of designation fees payable is five.
- 6 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 7 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 8 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 9 This fee is reduced by 80% where the applicant is a natural person.
- 10 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 11 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 12 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated in footnote 3.
- 13 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 14 The Japan Patent Office is competent only for international applications in Japanese.
- 15 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated in footnote 3, with the exception of Turkey.
- 16 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 17 Payable when a corresponding prior US national application has been filed under 35 USC 111(a) and the basic filing fee for that US application has been paid.
- 18 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 19 Payable when the international search report was established by the Russian Patent Office.
- 20 In all cases where footnote 19 does not apply.
- 21 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

## PCT CONTRACTING STATES AND TWO-LETTER CODES (115 on 1 February 2002)



AE United Arab Emirates	CN China	HR Croatia	MD Republic of Moldova (EA)	SI Slovenia <sup>1</sup>
AG Antigua and Barbuda	CO Colombia	HU Hungary	MG Madagascar	SK Slovakia
AL Albania <sup>1</sup>	CR Costa Rica	ID Indonesia	MK The former Yugoslav Republic of Macedonia <sup>1</sup>	SL Sierra Leone (AP)
AM Armenia (EA)	CU Cuba	IE Ireland (EP) <sup>2</sup>	ML Mali (OA) <sup>2</sup>	SN Senegal (OA) <sup>2</sup>
AT Austria (EP)	CY Cyprus (EP) <sup>2</sup>	IL Israel	MN Mongolia	SZ Swaziland (AP) <sup>2</sup>
AU Australia	CZ Czech Republic	IN India	MR Mauritania (OA) <sup>2</sup>	TD Chad (OA) <sup>2</sup>
AZ Azerbaijan (EA)	DE Germany (EP)	IS Iceland	MW Malawi (AP)	TG Togo (OA) <sup>2</sup>
BA Bosnia and Herzegovina	DK Denmark (EP)	IT Italy (EP) <sup>2</sup>	MX Mexico	TJ Tajikistan (EA)
BB Barbados	DM Dominica	JP Japan	MZ Mozambique (AP)	TM Turkmenistan (EA)
BE Belgium (EP) <sup>2</sup>	DZ Algeria	KE Kenya (AP)	NE Niger (OA) <sup>2</sup>	TN Tunisia
BF Burkina Faso (OA) <sup>2</sup>	EC Ecuador	KG Kyrgyzstan (EA)	NL Netherlands (EP) <sup>2</sup>	TR Turkey (EP)
BG Bulgaria	EE Estonia	KP Democratic People's Republic of Korea	NO Norway	TT Trinidad and Tobago
BJ Benin (OA) <sup>2</sup>	ES Spain (EP)	KR Republic of Korea	NZ New Zealand	TZ United Republic of Tanzania (AP)
BR Brazil	FI Finland (EP)	KZ Kazakhstan (EA)	OM Oman	UA Ukraine
BY Belarus (EA)	FR France (EP) <sup>2</sup>	LC Saint Lucia	PH Philippines	UG Uganda (AP)
BZ Belize	GA Gabon (OA) <sup>2</sup>	LI Liechtenstein (EP)	PL Poland	US United States of America
CA Canada	GB United Kingdom (EP)	LK Sri Lanka	PT Portugal (EP)	UZ Uzbekistan
CF Central African Republic (OA) <sup>2</sup>	GD Grenada	LR Liberia	RO Romania <sup>1</sup>	VN Viet Nam
CG Congo (OA) <sup>2</sup>	GE Georgia	LS Lesotho (AP)	RU Russian Federation (EA)	YU Yugoslavia
CH Switzerland (EP)	GM Gambia (AP)	LT Lithuania <sup>1</sup>	SD Sudan (AP)	ZA South Africa
CI Côte d'Ivoire (OA) <sup>2</sup>	GN Guinea (OA) <sup>2</sup>	LV Latvia <sup>1</sup>	SE Sweden (EP)	ZM Zambia (AP)
CM Cameroon (OA) <sup>2</sup>	GQ Equatorial Guinea (OA) <sup>2</sup>	MA Morocco	SG Singapore	ZW Zimbabwe (AP)
	GW Guinea-Bissau (OA) <sup>2</sup>	MC Monaco (EP) <sup>2</sup>		

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

### Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated January 2002. The forms are reproduced in Annexes Y and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (January 2002 update), and can be printed from the WIPO Internet site, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.