

PCT

PATENT
COOPERATION
TREATY



Published by:
The WORLD
INTELLECTUAL
PROPERTY
ORGANIZATION
1211 Geneva 20
Switzerland

Telephone:
(41-22) 338 91 11

Facsimile:
(41-22) 740 14 35

E-mail:
wipo.mail@wipo.int

Internet:
www.wipo.int

WIPO Publication
No. 115 (E)
ISSN 1020-072 X

2002 Subscriptions
Regular mail: CHF 60
or USD 37
Priority mail CHF 70
or USD 43
25% discount for
two or more
subscriptions

Binders
CHF 18 or USD 11

Order online at:
www.wipo.int/ebookshop

NEWSLETTER

April 2002

No. 04/2002

CHANGE IN THE COLOR OF CERTAIN PCT FORMS ISSUED BY THE INTERNATIONAL BUREAU

The International Bureau (IB) currently issues forms which are printed on pink paper to applicants, receiving Offices, International Searching and Preliminary Examining Authorities and third parties. Other documents which are mailed with some of the IB forms, for example the PCT pamphlet, are printed on white paper.

As a result of the ongoing automation activities at the International Bureau of WIPO within the framework of the PCT automation project (IMPACT Project), the pink paper used for the printing of certain PCT forms will, from mid-2002, begin to be phased out and will gradually be replaced by white paper.

The first forms to be affected will be Form PCT/IB/308 (Notice informing the applicant of the communication of the international application to the designated Offices) accompanying the PCT pamphlet and, when it is sent to the applicant at the same time as Form PCT/IB/308, also Form PCT/IB/332 (Information concerning elected Offices notified of their election). Changing to a single color will allow the International Bureau to benefit from "batch" printing orders using special high speed printers, which will thus decrease considerably the time required for printing—a very important factor when

[continued on page 4]

PCT ARTICLE 22(1): WITHDRAWALS OF NOTIFICATIONS OF INCOMPATIBILITY

Croatia and the United Kingdom

Further to their notifications of the incompatibility of modified PCT Article 22(1) with their respective applicable national law (see *PCT Newsletter* No. 02/2002), the Croatian Intellectual Property Office and the United Kingdom Patent Office (in their capacity as designated Offices) have noti-

[continued on page 2]

INSIDE THIS ISSUE

PCT Article 22(1): withdrawals of notifications of incompatibility [continued]	2
Applicability of time limit under former PCT Article 22(1) in certain cases	2
PCT information update	3-4
Paris Convention	4
Change in the color of certain PCT forms issued by the International Bureau [continued]	4
New PCT materials on the Internet	4
Conference on the International Patent System	4-5
Notice from the EPO concerning business methods	5
Notice about PCT applications filed with USPTO in last part of 2001	5
Practical advice	5-6
PCT seminar calendar	6-7
PCT fee tables	8-11
PCT Contracting States and two-letter codes	12

[continued from cover page]

fied the International Bureau that they have withdrawn their respective notifications with effect from 1 April 2002.

As far as Croatia is concerned, the (new) 30-month time limit under PCT Article 22(1) applies as from 1 April 2002.

As far as the United Kingdom is concerned, the United Kingdom Patent Office, pursuant to PCT Article 22(3), has notified the International Bureau that the time limit

applicable for entry into the national phase before it as a designated Office is, with effect from 1 April 2002, 31 months from the priority date.

(Updating of *PCT Newsletter* No. 03/2002, page 6 (table of time limits for entering national/regional phase under PCT Chapters I and II))

APPLICABILITY OF TIME LIMIT UNDER FORMER PCT ARTICLE 22(1) IN CERTAIN CASES

In relation to the designated Offices *for which the new (30-month) time limit under modified PCT Article 22(1) is compatible with their respective applicable national law*, and *for which the previously applicable time limit was 21 months* under PCT Article 22(3) prior to that modification, applicants should be aware of the following situations which may affect the way in which the time limit for entry into the national phase is calculated in respect of a number of applications:

1. Where the 20-month time limit under former PCT Article 22(1) expired before 1 April 2002 and where the applicant had not yet performed the acts referred to in PCT Article 22(1) before that date, the applicable time limit for entry into the national phase, even after 1 April 2002, is 21 months as fixed by the Office under Article 22(3).

For example, for an international application with a priority date of 15 July 2000, the 20-month time limit expired on 15 March 2002, that is, before 1 April 2002. Thus the applicable time limit is 15 April 2002, that is, 21 months from the priority date.

2. Where the 20-month time limit under former PCT Article 22(1) expires on or after 1 April 2002 and where the applicant had not yet performed the acts referred to in PCT Article 22(1) before that date, the applicable time limit, as from 1 April 2002, is 30 months (unless a (new) longer time limit has been fixed by the designated Office under PCT Article 22(3)).

For example, for an international application with a priority date of 20 August 2000, the 20-month time limit will expire on 20 April 2002, that is, after 1 April 2002. Thus, the applicable time limit is 20 February 2003, that is, 30 months from the priority date.

PCT MATERIALS ON INTERNET

(<http://www.wipo.int/pct/en/>)

Welcome page, with links to what's new on the site
PCT Information Service

PCT filing

- *Basic Facts about the PCT*
- Forms
- Fees
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- *PCT Applicant's Guide*

PCT-EASY

PCT E-filing (PCT-SAFE)

PCT legal texts and guidelines

- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions and search facility for Articles under PCT
- PCT Receiving Office Guidelines
- PCT International Search Guidelines
- PCT International Preliminary Examination Guidelines
- PCT Contracting States
- Reservations and incompatibilities
- Notifications concerning non-applicability of modified time limit under PCT Article 22(1)
- PCT legal text index

PCT Gazette

PCT news

- *PCT Newsletter* (Nos. 01/1997-04/2002); Practical Advice published in the *PCT Newsletter* between March 1994 and December 1996 and search facility for all Practical Advice
- PCT Press Releases/Updates
- The PCT in 2001

Seminar calendar and seminar materials:

- seminar documents: February 2002 (English); March 2001 (French); May 2001 (Spanish, German);

PCT meetings (documents):

- Committee (21-25 May 2001) and Working Group on Reform of the PCT (12-15 November 2001; 29 April-3 May 2002)
- PCT Assembly: 1978-2001
- PCT Informal Consultation Meeting on Electronic Filing (July 2000); redraft of legal framework and technical standards for PCT electronic filing
- PCT Committee for Administrative and Legal Matters: 1999

* * *

PCT INFORMATION SERVICE

Telephone: (41-22) 338 83 38
Fax: (41-22) 338 83 39
E-mail: pct.infoline@wipo.int

PCT INFORMATION UPDATE

BY Belarus (name of Office, telephone and fax numbers, e-mail addresses)

The name and the telephone and fax numbers of the Belarus Patent Office have changed, and the Office has introduced two e-mail addresses, as follows:

name of Office:

Natsionalny Tsentr Intellekturnoi
Substvennosti Komiteta po Nauke i
Tekhnologiyam pri Sovete Ministrov
Respubliki Belarus

National Center of Intellectual Property
(Belarus)

telephone: (375-17) 284 20 53,
284 06 68

fax: (375-17) 284 06 68

e-mail: vkudashov@hotmail.com
belpatent@hotmail.com

(Updating of *PCT Applicant's Guide*,
Vol. I/A, Annex B1 (BY))

CA Canada (time limit for entry into the national phase)

The Canadian Patent Office has notified the International Bureau that, pursuant to the modification from 20 to 30 months of the time limit under PCT Article 22(1), the time limit for **late entry** into the national phase if the applicant pays an additional fee has been changed, as from 1 April 2002, from 32 to 42 months from the priority date.

(Updating of *PCT Newsletter* No. 03/2002, page 6 (table of time limits for entering national/regional phase under PCT Chapters I and II) and of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (CA))

CZ Czech Republic (time limit applicable for entry into the national phase)

The Industrial Property Office of the Czech Republic has notified the International Bureau that the time limit applicable for entry into the national phase under PCT Article 39(1)(b) before the Office as an elected Office is the same as that which has been applicable under PCT Article 22(3) before that Office as a designated Office since 18 January 2002, that is, 31 months from the priority date. (That time limit was already reflected in the table of time limits for entering the na-

tional/regional phase which was published in *PCT Newsletter* No. 03/2002.)

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (CZ))

GB United Kingdom (time limit for entry into the national phase)

See cover page.

HR Croatia (time limit for entry into the national phase)

See cover page.

MC Monaco (fees)

The Intellectual Property Division, Department of Economic Expansion of Monaco, has introduced a fee amount in EUR, payable to it as receiving Office as follows:

transmittal fee: see Table I(a)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (MC))

PL Poland (types of protection)

The Polish Patent Office has notified an additional type of protection available via the PCT, which can be requested instead of patents or utility models, as follows:

patents of addition

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (PL))

Search fee (European Patent Office, Japan Patent Office, Spanish Patent and Trademark Office, United States Patent and Trademark Office)

As from 1 May 2002, there will be a change in the equivalent amount payable in USD for an international search carried out by the Japan Patent Office, as indicated in Table I(b).

As from 1 June 2002, there will be changes in the equivalent amounts payable in SEK and ZAR for an international search carried out by the European Patent Office and in ZAR for an international search carried out by the United States Patent and Trademark Office, as indicated in Table I(b).

There has been a change in the condition for refund and the amount of refund of the search fee where the Spanish Patent and Trademark Office as International

Searching Authority benefits from an earlier search, applicable as from 3 January 2002, as follows:

conditions for refund and amount of refund of the search fee:

where the Authority benefits from an earlier search already made by the Authority on an application whose priority is claimed for the international application: refund of 100% or 50%, depending upon the extent to which the Authority benefits from that earlier search

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (EP, ES, JP and US))

PARIS CONVENTION

Accession by Djibouti

On 13 February 2002, Djibouti (country code: DJ) deposited its instrument of accession to the Paris Convention for the Protection of Industrial Property. Djibouti will become bound by that Convention on 13 May 2002. This updates the table of Members of the WTO, the PCT and the Paris Convention that was published in *PCT Newsletter* No. 08/2001.

CHANGE IN THE COLOR OF CERTAIN PCT FORMS ISSUED BY THE INTERNATIONAL BUREAU

[continued from cover page]

considering the large quantities of forms and other documents which need to be issued by the IB.

Please address any inquiries on this matter to:

fax: (41-22) 910 00 30
e-mail: Pctlegaldiv@wipo.int

Further information about the IMPACT Project is available at:

www.wipo.int/it/en/projects/impact.htm

NEW PCT MATERIALS ON THE INTERNET

The PCT and Regulations, as in force from 1 April 2002, are available in English, French and Spanish in HTML and PDF formats, and in German in PDF format only (the HTML format is under preparation), from the following addresses:

www.wipo.int/pct/en/texts/index.htm
www.OMPI.int/pct/fr/texts/index.htm
www.OMPI.int/pct/es/texts/index.htm
www.wipo.int/pct/de/documents.htm

The FAQs in relation to the entry into force of the modification of the time limit under PCT Article 22(1) are now available in French, German and Spanish, and an additional question has been added to the English FAQs (which will soon be available in the other languages). See the "New on the website" box of the PCT welcome page.

German editions of the models of the power of attorney and general power of attorney, in standard PDF format and in editable PDF format, are now available on the PCT website at:

www.wipo.int/pct/de/forms/index.htm

The Spanish edition of the *Administrative Instructions under the PCT*, as in force from 1 March 2001, are now available in PDF format on the PCT website at:

www.OMPI.int/pct/es/texts/index.htm

The Information Note: "The PCT in 2001" is now available under "PCT news" on the PCT website in English, French, German and Spanish.

The updated table of time limits for entering the national/regional phase under PCT Chapters I and II, as from 1 April 2002, is now available, in English and French, from the "New on the PCT website" box.

Seminar materials in English have been updated and are available from:

www.wipo.int/pct/en/seminar/mat.htm

CONFERENCE ON THE INTERNATIONAL PATENT SYSTEM

As was announced in the brochure inserted in *PCT Newsletter* No. 02/2002, the Conference on the International Patent System, which was organized by WIPO, was held in Geneva from 25 to 27 March 2002. Presentations were given by 54 speakers from both the public and private sectors, including senior government figures and chief executives of major companies, and from the academic world; some 400 delegates attended the conference.

The papers that were presented by the speakers are available in PDF format (click on the PDF icon next to the speaker's name) on the WIPO website at:

patentagenda.wipo.int/meetings/2002/program/index.html

Furthermore, a press release was issued on 25 March 2002 relating to the Conference and is available at:

www.wipo.int/pressroom/en/releases/2002/p305.htm

A CD-ROM is being produced which will include the text of presentations, as well as video interviews with many of the speakers of the conference, hyperlinks to the audio presentations of each speaker, and pictures of the speakers. An announcement will be made in the *PCT Newsletter* when the CD-ROM becomes available.

NOTICE FROM THE EPO CONCERNING BUSINESS METHODS

The European Patent Office (EPO) has published further information concerning the filing of international applications, for which the EPO is to act as International Searching Authority and/or International Preliminary Examining Authority, which relate primarily to methods of doing business and commonplace features to their technological implementation, and the consequences of doing so.

For details, see the EPO website at:

www.european-patent-office.org/news/info/2002_03_25_e.htm

Information on this subject was also published in the *Official Journal of the EPO*, No. 10/2001, at:

www.european-patent-office.org/epo/pubs/oj001/10_01/10_4821.pdf

and in an article in the *PCT Newsletter* No. 09/2001, page 5, available on the PCT website at:

www.wipo.int/pct/en/newslett/2001/2001_09/9-10.htm#P75_11857

NOTICE ABOUT PCT APPLICATIONS FILED WITH USPTO IN LAST PART OF 2001

The United States Patent and Trademark Office (USPTO) has issued a notice which concerns any patent applicant who submitted a patent application to the USPTO as receiving Office between 11 September and 1 December 2001 via the United States Postal Service, and has not received either a patent application filing receipt or a stamped postcard return receipt from the USPTO. Such applicants are advised to

take the measures outlined in that notice which was published on the USPTO website at:

www.uspto.gov/web/offices/pac/dapp/ola/preognotice/appsfiledusps.htm

PRACTICAL ADVICE

Acting on behalf of the applicant when the agent of record is not available

Q: I work for a firm of patent attorneys and have been appointed as the sole agent for a number of international applications. I will soon be absent from work for a few months and would like to know whether my assistant will be permitted to file, on my behalf, amendments to the claims under PCT Article 19 in respect of any of the international applications concerned.

*A: Under PCT Rule 92, any paper submitted by the applicant in the course of the international procedure **must, if not itself in the form of a letter, be accompanied by a letter which must be signed by the applicant, or where the applicant has appointed an agent, by the appointed agent** (PCT Rule 90). Amendments to the claims under PCT Article 19 must therefore be submitted with a letter signed by the applicant(s) or an appointed agent. Indeed, the same applies in respect of all actions which may be taken by the agent of record, such as requesting a correction of a priority claim, filing a declaration under PCT Rule 4.17, requesting the recording of a change under PCT Rule 92bis, filing a demand for international preliminary examination, filing a notice of withdrawal, for example, of a designation, a priority claim or an international application.*

Clearly, because of the length of your absence, somebody else should be appointed to act in respect of the international applications that you are currently acting as sole agent for. Note, however, that the person(s) so appointed should have the right to practice before the receiving Office with which the international application was filed (for details, see the *PCT Applicant's Guide*, Vol. I/B, Annex C). ***Therefore, if your assistant does not have the right to practice before that Office, he or she will not be entitled to sign any correspondence that must be signed "by or on behalf of the applicant(s)"*** (see first sentence of answer, above), such as a letter accompanying the

amendments under PCT Article 19, which you refer to above.

The appointment of somebody who has the right to practice before the receiving Office could be made in either of the following ways:

— As long as the document by which you were appointed does not limit your power, you could, as agent of record and in accordance with PCT Rule 90.1(d), appoint a sub-agent or sub-agents. Such an appointment could be effected by way of a power of attorney signed by you.

— The applicant(s) could appoint, by way of a power of attorney, one or more of your colleagues as additional agents for the applications concerned. To ensure that this is not treated as a revocation of the previous appointment (that is, your appointment), that power of attorney should name you, as well as your colleague(s), as agent, or should specifically indicate that

the power of attorney is for the purposes of appointing an **additional** agent or agents (under PCT Rule 90.6(b) the appointment of an agent under PCT Rule 90.1(a) will, unless otherwise indicated, have the effect of revoking any earlier appointment of an agent made under that Rule).

Note that in both of the above-mentioned cases, the International Bureau will continue to send any correspondence to you as the agent of record, unless it is specifically requested to do otherwise.

In order to avoid such later appointments of sub-agents or additional agents, it is good practice to appoint more than one agent, ideally three or four, in the first place.

For further information on agents and power of attorneys, see PCT Rule 90 and *PCT Applicant's Guide*, Vol. I/A, paras. 78–86 and 410–423.

PCT SEMINAR CALENDAR			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
10–11 April 2002 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33–4) 78 33 07 08 Fax: (33–4) 78 33 58 96
10–11 April 2002 London (GB)	English	Basic PCT seminar for patent administrators: "Working with the PCT" WIPO speaker: Mr. Reischle	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
12 April 2002 London (GB)	English	PCT-EASY workshop WIPO speaker: Mrs. Featherby	Management Forum Ltd (as above)
12 April 2002 Washington, D.C. (US)	English	PCT presentation at the 17 th Annual Intellectual Property Law Conference (11–12 April 2002) WIPO speaker: Mr. Maassel	American Bar Association (Mr. Michael Winter) Tel: (1–312) 988 56 39 Fax: (1–312) 988 56 28 e-mail: winklerm@staff.abanet.org Internet: http://www.abanet.org/intelprop/spring 2002
15–16 April 2002 Davao City (PH)	English	WIPO National Roving Seminar on the PCT WIPO speakers: Mr. Smith and Mr. Sinha	Intellectual Property Office of the Philippines (Mrs. Carmen Peralta) Fax: (63–2) 897 17 24
17–18 April 2002 Munich (DE)	German	Basic PCT seminar for patent administrators WIPO speaker: Mr. Reischle	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
18–19 April 2002 Cebu City (PH)	English	WIPO National Roving Seminar on the PCT WIPO speakers: Mr. Smith and Mr. Sinha	Intellectual Property Office of the Philippines (Mrs. Carmen Peralta) Fax: (63–2) 897 17 24

[continued on next page]

PCT SEMINAR CALENDAR [continued]			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
22 April 2002 Stockholm (SE)	English	PCT presentation at "The International Patent Systems PCT/EPC" course (22–25 April 2002) WIPO speaker: Mr. Matthes	Swedish Patent Office (Mr. Christer Eklöf) Tel: (46–8) 782 28 32 Fax: (46–8) 783 01 63 E-mail: christer.eklof@prv.se
23–26 April 2002 Havana (CU)	Spanish	Presentation: "International Treaties on Patents (PCT and PLT) and the Development of the International Patent System" at the First Congress on Industrial Property WIPO speaker: Mr. Hernandez Vigaud	Cuban Industrial Property Office (Mrs. María de los Ángeles Sánchez Torres) Tel: (53–7) 33 56 10 Fax: (53–7) 33 56 10
26–27 April 2002 Concord, New Hampshire (US)	English	Basic PCT seminar for patent attorneys and patent administrators WIPO speaker: Mr. Maassel	Franklin Pierce Law Center (Ms. Carol Ruh) Tel: (1–603) 228 19 63, ext. 1108 Fax: (1–603) 224 33 42 E-mail: cruh@fplc.edu Internet: http://www.fplc.edu/TreatySem/treatsem.htm
28–30 April 2002 Naples, Florida (US)	English	Advanced PCT seminar for patent attorneys WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
2–4 May 2002 Naples, Florida (US)	English	Basic PCT seminar for patent attorneys WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
10–11 May 2002 Islamabad (Pakistan)	English	WIPO National Seminar on the PCT WIPO speaker: Mrs. Rahimi	Ministry of Industry and Production of Pakistan (Mr. Muhammad Anwar Khan) Fax: (92–21) 920 57 46
13–17 May 2002 Kinshasa (Democratic Republic of the Congo)	French	Seminar on patents and on the PCT WIPO speakers: Mr. Fall and Mrs. Simon-Vianès	Directorate of Industrial Property, Ministry of Economic Affairs and Industry Tel: (243–12) 25 630 Fax: (243–12) 880 23 47 E-mail: minicpmea@jobantech.cd
23–24 May 2002 Austin (US)	English	Intermediate PCT seminar WIPO speaker: Mr. Maassel	3M, Haynes and Boone, and Austin Intellectual Property Law Association (Ms. Linda Bradshaw) Tel: (1–512) 984 18 00 Fax: (1–512) 984 59 40 E-mail: ljbradshaw@mmm.com
4–5 June 2002 Paris (FR)	French	Basic seminar on PCT procedures WIPO speaker: Mr. Baron	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 Fax: (33–1) 42 93 63 52
26–27 June 2002 Paris (FR)	French	Advanced seminar on PCT procedures WIPO speaker: Mr. Baron	Institut national de la propriété industrielle (INPI) (as above)
10 October 2002 Stockholm (SE)	English	PCT presentation at the "Patent Updating Day" seminar WIPO speaker: Mr. Thomas	Swedish Patent Office (Mr. Christer Eklöf) Tel: (46–8) 782 28 32 Fax: (46–8) 783 01 63 E-mail: christer.eklof@prv.se

PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the fee per sheet over 30, the designation fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 12 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated *after* the CHF 200 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of	BGL	Bulgarian lev	GEL	Georgian lari	LTL	Lithuanian litas	SGD	Singapore dollar
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GHC	Ghanaian cedi	LVL	Latvian lat	SIT	Slovenian tolar
ISA	International Searching Authority	BYR	Belarussian rouble	HRK	Croatian kuna	MAD	Moroccan dirham	SKK	Slovak koruna
n a	not applicable	BZD	Belize dollar	HUF	Hungarian forint	MDL	Moldovan leu	TJS	Tajik somoni
RO	receiving Office	CAD	Canadian dollar	IDR	Indonesian rupiah	MKD	Macedonian denar	TTD	Trinidad and Tobago dollar
		CHF	Swiss franc	ILS	New Israel shekel	MWK	Malawian kwacha	UAH	Ukrainian hryvnia
		CNY	Yuan renminbi	INR	Indian rupee	MXP	Mexican peso	USD	US dollar
		CUP	Cuban convertible peso	ISK	Icelandic krona	NOK	Norwegian krone	VND	Vietnamese dong
		CYP	Cyprus pound	JPY	Japanese yen	NZD	New Zealand dollar	YUD	Yugoslavian dinar
		AED	United Arab Emirates dirham	KES	Kenyan shilling	PHP	Philippine peso	ZAR	South African rand
		ALL	Albanian lek	KGS	Kyrgyz som	PLZ	Polish zloty	ZWD	Zimbabwe dollar
		AMD	Armenian dram	KPW	KP won	ROL	Romanian leu		
		AUD	Australian dollar	KRW	KR won	RUR	Russian rouble		
		AZM	Azerbaijani manat	KZT	Kazakh tenge	SDP	Sudanese pound		
			GBP	LSM	Lesotho loti	SEK	Swedish krona		

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 April 2002, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
AE	AED ⁸ –	AED eq CHF 650	15	140	n a	AT AU
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 407	9	88	125	EP RU
AP	USD 50 (or eq in local currency)	USD 407	9	88	125	AT EP SE
AT	EUR 50	EUR 444	10	96	137	EP
AU	AUD 100	AUD 828	19	178	255	AU
AZ	AZM eq USD 15 plus mailing costs	USD 407	9	88	n a	EP RU
BA	EUR 25.56	EUR 444	10	96	137	EP
BE	EUR 40	EUR 444	10	96	137	EP
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT EP SE US
BY	BYR eq USD 70	USD 407	9	88	n a	EP RU
BZ	BZD 220	USD 407	9	88	125	EP
CA	CAD 200	CAD 639	15	138	197	EP
CH	CHF 100	CHF 650	15	140	200	EP
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CR	USD 175	USD 407	9	88	125	EP ES
CU	USD (or eq CUP) 200	USD (or eq CUP) 407	9	88	125	AT EP ES RU
CY	CYP 75	CYP 258	6	56	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP

[continued on next page]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 April 2002, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
DE	EUR 90	EUR 444	10	96	137	EP
DK	DKK 1,500	DKK 3,300	80	710	1,020	EP SE
DZ	DZD ⁸ –	CHF 650	15	140	200	AT EP
EA	RUR eq USD 50	USD 407	9	88	125	EP RU
EC	USD ⁸ –	USD 407	9	88	125	EP ES
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
EP	EUR 100	EUR 444	10	96	137	EP
ES	EUR 61.51	EUR 444	10	96	137	EP ES
FI	EUR 135	EUR 444	10	96	137	EP SE
FR	EUR 60	EUR 444	10	96	137	EP
GB	GBP 55	GBP 278	6	60	86	EP
GE	GEL ⁹ 10	USD 407	9	88	125	EP RU
GH	GHC ¹⁰ 2,500 or 5,000	USD 407	9	88	n a	AT AU CN EP SE
GR	EUR 115	EUR 444	10	96	137	EP
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF ¹¹ 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB	CHF ¹² 100 or USD ¹² 60	CHF 650 or USD 407	15 9	140 88	200 125	See footnote 13
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	EUR 76.00	EUR 444	10	96	137	EP
IL	ILS 445	USD 407	9	88	125	EP US
IN	INR 5,000 (filing by indiv: 1,500)	USD 407	9	88	125	AT AU CN EP SE US
IS	ISK 5,500	ISK 41,300	1,000	8,900	n a	EP SE
IT	EUR 30.99	EUR 444	10	96	n a	EP
JP	JPY 18,000	JPY 47,800	1,100	10,300	14,700	EP JP
KE	USD (or KES equiv) ³⁰	USD 407	9	88	n a	AT AU CN EP SE
KG	KGS eq USD 100	USD 407	9	88	125	EP RU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT RU
KR	KRW 45,000	KRW 530,000	12,000	114,000	163,000	AT AU JP ¹⁴ KR
KZ	KZT ⁸ –	USD 407	9	88	125	EP RU
LR	USD 45	USD 407	9	88	n a	AT AU CN EP SE
LS	LSM ⁸ –	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL 320	USD 407	9	88	n a	EP RU
LU	EUR 19	EUR 444	10	96	n. a.	EP
LV	LVL 47.20	USD 407	9	88	125	EP RU
MA	None	CHF 650	15	140	n a	AT EP RU SE
MC	EUR 49	EUR 444	10	96	n a	EP
MD	MDL 180	USD 407	9	88	125	EP RU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EP RU
MW	MWK 2,000	MWK 25,000	600	5,400	7,700	EP

[continued on next page]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]

(as at 1 April 2002, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
MX	MXP ³ eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP SE US
NL	EUR 50	EUR 444	10	96	137	EP
NO	NOK 500	NOK 3,560	80	770	1,090	EP SE
NZ	NZD 180	NZD 1,012	23	218	312	AU EP US
OM	Information not yet available					
PH	PHP 3,500	USD 407	9	88	125	AU EP JP KR US
PL	PLZ 300	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	EUR 23.19	EUR 444	10	96	137	EP
RO	ROL 300,000	CHF 650	15	140	200	AT EP RU
RU	RUR 294	USD 407	9	88	125	EP RU
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK 1,200	SEK 4,390	100	950	1,350	EP SE
SG	SGD 150	SGD 720	17	156	222	AT AU EP
SI	SIT 22,000	SIT eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK 1,600	SKK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
TJ	TJS ⁸ –	USD 407	9	88	n a	EP RU
TM	USD ⁸ –	USD 407	9	88	125	EP RU
TN	Information not yet available					
TR	CHF 100	CHF 650	15	140	200	EP
TT	TTD 750	USD 407	9	88	125	AT EP SE US
UA	UAH 255	USD 407	9	88	n a	EP RU
US	USD 240	USD 407	9	88	125	EP US
UZ	USD ⁸ –	USD 407	9	88	125	EP RU
VN	VND eq USD 150	VND eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD 600	YUD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
ZA	ZAR 500	ZAR 4,870	112	1,050	1,500	AT AU EP US
ZM	Information not yet available					
ZW	ZWD 1,000	ZWD eq USD 407	eq USD 9	eq USD 88	eq USD 125	AT AU CN EP RU

Table I(b) – SEARCH FEES

(as at 1 April 2002, unless otherwise indicated)

ISA	Search fee ¹							
AT	EUR 159	CHF 230	KRW 191,000	SGD 259	USD 150	ZAR 1,280		
AU	AUD 800	CHF 628	KRW 500,000	NZD 978	SGD 700	USD 393		
	ZAR 4,290							
CN	CNY 1,500	CHF 290	USD 180					
EP ¹⁵	EUR 945	CAD 1,360	CHF 1,383	CYP 550	DKK 7,030	GBP 592		
	ISK 88,000	JPY* 102,000	MWK 53,000	NOK 7,570	NZD 2,023	SEK** 9,340		
	SGD 1,533	USD 866	ZAR** 10,280					
	* (from 1.5.02: JPY 110,000)							
	** (from 1.6.02: SEK 8,720 ZAR 9,450)							
ES ¹⁵	EUR 945	CHF 1,383	USD 866					
JP	JPY 72,000	CHF 980	KRW 798,000	USD* 610				
	* (from 1.5.02: USD 535)							
KR	KRW 150,000	CHF 180	USD 120					
RU ¹⁶	USD 300	CHF 480						
SE	SEK 9,340	CHF 1,383	DKK 7,030	EUR 945	ISK 88,000	NOK 7,570		
	USD 866							
US	USD 700 450 ¹⁷	CHF 1,118 719 ¹⁷	NZD 1,740 1,120 ¹⁷	ZAR* 8,700 5,600 ¹⁷				
	* (from 1.6.02: ZAR 8,000 5,200 ¹⁷)							

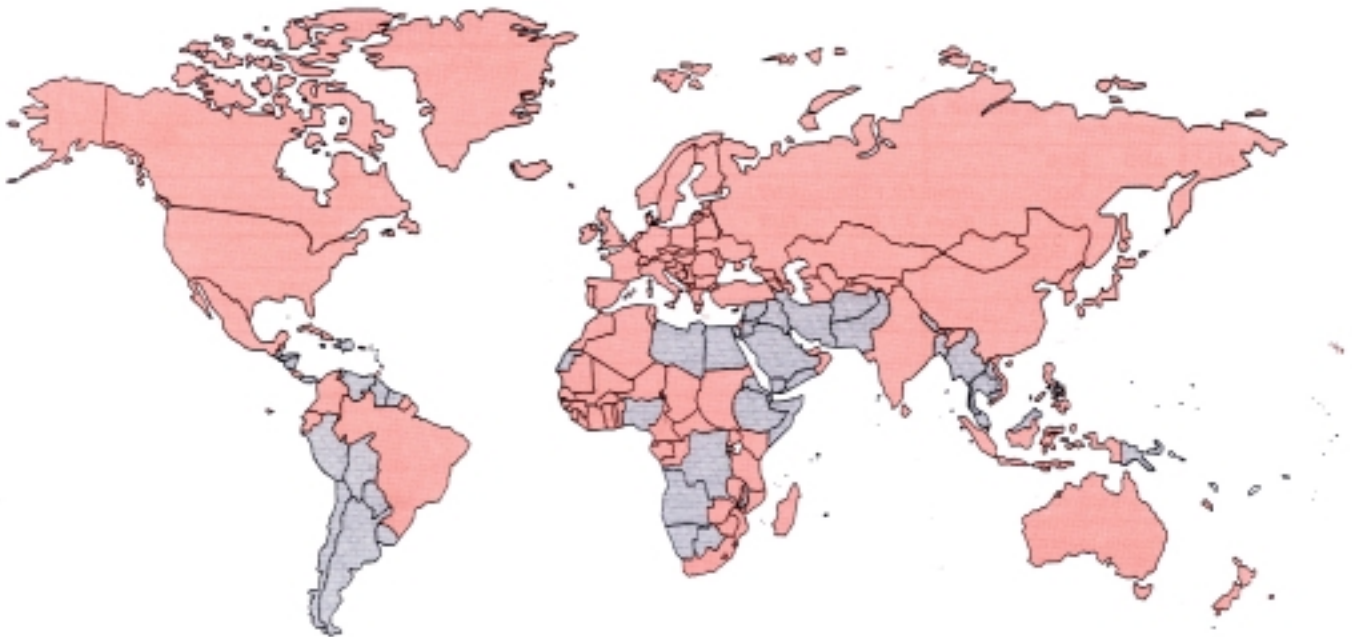
Table II – PRELIMINARY EXAMINATION FEES
(as at 1 April 2002, unless otherwise indicated)

IPEA	Preliminary examination fee ¹⁸		Handling fee ^{3,18} (CHF 233)	
AT	EUR	159	EUR	159
AU	AUD	450	AUD	297
CN	CNY	1,500	CNY eq CHF	233
EP ¹⁵	EUR	1,530	EUR	159
JP	JPY	28,000	JPY	17,100
KR	KRW	150,000	KRW	190,000
RU ¹⁶	USD	200 ¹⁹ 300 ²⁰	USD	146
SE	SEK	5,000	SEK	1,570
US	USD	490 750 ²¹	USD	146

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Ecuador, Equatorial Guinea, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Philippines, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Tunisia, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia, Zambia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the PCT *Administrative Instructions*, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 The maximum number of designation fees payable is five.
- 6 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 7 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 8 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 9 This fee is reduced by 80% where the applicant is a natural person.
- 10 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 11 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 12 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated in footnote 3.
- 13 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 14 The Japan Patent Office is competent only for international applications in Japanese.
- 15 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO 's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated in footnote 3, with the exception of Turkey.
- 16 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 17 Payable when a corresponding prior US national application has been filed under 35 USC 111(a) and the basic filing fee for that US application has been paid.
- 18 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 19 Payable when the international search report was established by the Russian Patent Office.
- 20 In all cases where footnote 19 does not apply.
- 21 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

PCT CONTRACTING STATES AND TWO-LETTER CODES (115 on 1 April 2002)



AE United Arab Emirates	CN China	HR Croatia	(EA)	SI Slovenia ¹
AG Antigua and Barbuda	CO Colombia	HU Hungary	MG Madagascar	SK Slovakia
AL Albania ¹	CR Costa Rica	ID Indonesia	MK The former Yugoslav Republic of Macedonia ¹	SL Sierra Leone (AP)
AM Armenia (EA)	CU Cuba	IE Ireland (EP) ²	ML Mali (OA) ²	SN Senegal (OA) ²
AT Austria (EP)	CY Cyprus (EP) ²	IL Israel	MN Mongolia	SZ Swaziland (AP) ²
AU Australia	CZ Czech Republic	IN India	MR Mauritania (OA) ²	TD Chad (OA) ²
AZ Azerbaijan (EA)	DE Germany (EP)	IS Iceland	MW Malawi (AP)	TG Togo (OA) ²
BA Bosnia and Herzegovina	DK Denmark (EP)	IT Italy (EP) ²	MX Mexico	TJ Tajikistan (EA)
BB Barbados	DM Dominica	JP Japan	MZ Mozambique (AP)	TM Turkmenistan (EA)
BE Belgium (EP) ²	DZ Algeria	KE Kenya (AP)	NE Niger (OA) ²	TN Tunisia
BF Burkina Faso (OA) ²	EC Ecuador	KP Democratic People's Republic of Korea	NL Netherlands (EP) ²	TR Turkey (EP)
BG Bulgaria	EE Estonia	KR Republic of Korea	NO Norway	TT Trinidad and Tobago
BJ Benin (OA) ²	ES Spain (EP)	KZ Kazakhstan (EA)	NZ New Zealand	TZ United Republic of Tanzania (AP)
BR Brazil	FI Finland (EP)	LC Saint Lucia	OM Oman	UA Ukraine
BY Belarus (EA)	FR France (EP) ²	LI Liechtenstein (EP)	PH Philippines	UG Uganda (AP)
BZ Belize	GA Gabon (OA) ²	LK Sri Lanka	PL Poland	US United States of America
CA Canada	GB United Kingdom (EP)	LR Liberia	PT Portugal (EP)	UZ Uzbekistan
CF Central African Republic (OA) ²	GD Grenada	LS Lesotho (AP)	RO Romania ¹	VN Viet Nam
CG Congo (OA) ²	GE Georgia	LT Lithuania ¹	RU Russian Federation (EA)	YU Yugoslavia
CH Switzerland (EP)	GM Gambia (AP)	LU Luxembourg (EP)	SD Sudan (AP)	ZA South Africa
CI Côte d'Ivoire (OA) ²	GN Guinea (OA) ²	LV Latvia ¹	SE Sweden (EP)	ZM Zambia (AP)
CM Cameroon (OA) ²	GQ Equatorial Guinea (OA) ²	MA Morocco	SG Singapore	ZW Zimbabwe (AP)
	GR Greece (EP) ²	MC Monaco (EP) ²		
	GW Guinea-Bissau (OA) ²	MD Republic of Moldova		

¹ Extension of European patent possible.

² May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated January 2002. The forms are reproduced in Annexes Y and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (January 2002 update), and can be printed from the WIPO Internet site, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.