201 No. 08/2002

August 2002

PCT ARTICLE 22(1): WITHDRAWALS OF NOTIFICATIONS OF INCOMPATIBILITY

Japan and Slovakia

Further to their notifications of the incompatibility of PCT Article 22(1), as modified with effect from 1 April 2002, with their respective national laws (see PCT Newsletter No. 02/2002), the Japan Patent Office and the Industrial Property Office (Slovakia), in their capacity as designated Offices, have notified the International Bureau that they have withdrawn their notifications as further detailed below:

- the Japan Patent Office has withdrawn its notification with effect from 1 September 2002. The (new) 30-month time limit under PCT Article 22(1) will therefore apply as from that date in respect of international applications for which the 20-month time limit expires on or after 1 September 2002 and in respect of which the acts referred to in PCT Article 22(1) have not yet been performed by the applicant:

- the Industrial Property Office (Slovakia) has withdrawn its notification with effect from 1 August 2002. Furthermore, pursuant to PCT Article 22(3). that Office has notified the International Bureau that the time limit applicable for entry into the national phase before it as a designated Office is, with effect from 1 August 2002, 31 months from the priority date.

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Published by: The WORLD INTELLECTUAL PROPERTY ORGANIZATION 1211 Geneva 20 Switzerland

Telephone: (41-22) 338 91 11 Facsimile: (41-22) 740 14 35 E-mail: wipo.mail@wipo.int Internet: www.wipo.int WIPO Publication No. 115 (E) ISSN 1020-072 X

2002 Subscriptions

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PCT RULE 4.10: WITHDRAWAL OF NOTIFICATION OF INCOMPATIBILITY

Japan

Further to its notification of the incompatibility of PCT Rule 4.10, as amended with effect from 1 January 2000, with its national law (see PCT Newsletter No. 12/1999), the Japan Patent Office (in its capacity as designated Office) has notified the International Bureau that it has withdrawn the said notification with

Selection of PCT MATERIALS ON INTERNET (http://www.wipo.int/pct/en/)

Welcome page, with links to what's new on the site PCT Information Service PCT filing - Basic Facts about the PCT - Forms - Fees

- Direct filing of PCT applications with the International Bureau as PCT receiving Office - PCT Applicant's Guide
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- PCT legal texts and guidelines
- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions and search facility for Articles under PCT
- PCT Receiving Office Guidelines
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 PCT International Preliminary Examination Guidelines
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- Notifications concerning non-applicability of modified time limit under PCT Article 22(1)
- PCT legal text index
- PCT Gazette
- PCT news - PCT Newsletter (Nos. 01/1997-08/2002); Practical Advice published in the *PCT Newsletter* between March 1994 and December 1996 and search facility for all Practical Advice - PCT Press Releases/Updates
- The PCT in 2001
- Seminar calendar and seminar materials:
- seminar documents: February 2002 (English); April 2002 (French and German); May 2001 (Spanish);
- PCT meetings (documents): Committee (21–25 May 2001; 1–5 July 2002) and Working Group on Reform of the PCT (12-15 November 2001; 29 April-3 May 2002)
 - PCT Committee for Technical Cooperation (23 September to 1 October 2002)
 - PCT Assembly: 1978-2002

PCT INFORMATION SERVICE

| Telephone: | (41–22) 338 83 38 |
|------------|-----------------------|
| Fax: | (41–22) 338 83 39 |
| E-mail: | pct.infoline@wipo.int |

effect from 1 September 2002; amended PCT Rule 4.10 will therefore apply as from that date with respect to the Japan Patent Office. Therefore, as from 1 September 2002, the Japan Patent Office, as a designated or elected Office, will recognize the effects of a priority claim based on an earlier application filed in a Member of the WTO that is not party to the Paris Convention.

Note that, as from 1 September 2002, the only designated or elected Office for which PCT Rule 4.10, as applicable from 1 January 2000, will still not be compatible with the applicable national law will be the European Patent Office.

TIME LIMITS FOR ENTERING THE NATIONAL PHASE

On the tear-out sheets in the center of this issue an updated version of a table published in PCT Newsletter No. 03/2002, indicates, for each designated/elected Office, the time limits for entering the national (or regional) phase under Chapters I and II of the PCT (that is, respectively, where the Contracting State concerned has been designated but has not been elected under Chapter II within 19 months from the priority date and where the Contracting State concerned has been so elected within 19 months from the priority date).

The revised table takes into account changes since 1 April 2002, including the applicable time limits following the withdrawal by certain Offices of notifications of non-applicability of the new time limit under PCT Article 22(1), and new time limits for certain Offices under PCT Articles 22(3) and 39(1)(b).

PCT REFORM: SECOND SESSION OF THE COMMITTEE ON REFORM OF THE PCT

The second session of the Committee on Reform of the Patent Cooperation Treaty (PCT) was held in Geneva from 1 to 5 July 2002 and was attended by representatives from 50 PCT Contracting States and from an intergovernmental organization (the European Patent Office) as members of the Committee, as well as 5 observer States, 4 intergovernmental organizations and 11 international and national non-governmental organizations as observers.

PCT QUIZ

Last month's question was:

When is it possible to correct an erroneous or incomplete priority claim in an international application?

The answer is "(c) within four months from the international filing date." It is *always possible* to submit a notice requesting the correction (or indeed addition) of a priority claim until the expiration of a guaranteed minimum of four months from the international filing date.

Note, however, that it is also possible to correct an erroneous or incomplete priority claim in the case where the earliest of the following time limits expires later than the four-month time limit:

- 16 months from the priority date, or
- where any addition of a priority claim would cause a change in the priority date, 16 months from the priority date so changed.

See PCT Rule 26*bis*.1(a), *PCT Applicant's Guide*, Vol. I/A, paras. 245–247, and the practical advice section in *PCT Newsletter* No. 09/1998.

Based on proposals prepared by the International Bureau, and taking into account the results of the work of the Working Group on Reform of the PCT, the Committee approved proposals, with a view to their submission to the PCT Assembly in September 2002, to simplify and streamline PCT procedures, including proposals for an enhanced international search and preliminary examination system, for an overhaul of the operation of the designation system, and for changes related to the Patent Law Treaty (PLT) (such proposals were already outlined in PCT *Newsletter* Nos. 12/2001 and 06/2002).

The proposed enhanced international search and preliminary examination system may be seen as an important first step towards a more extensive rationalization of the PCT international search and international preliminary examination procedures,

with a view ultimately to achieving greater convergence of the international and national procedures. It is recalled that the main feature of the proposed new system is that one of the main elements of the present Chapter II procedure, namely, the establishment of an examiner's opinion. would in effect be advanced and incorporated into the Chapter I procedure. Under the new system, the International Searching Authority (ISA) would be responsible for establishing a preliminary and nonbinding written opinion on the questions whether the claimed invention appears to be novel, to involve an inventive step and to be industrially applicable. That written opinion of the ISA would be used for the purposes both of Chapter I and, if the applicant files a demand for international preliminary examination, of Chapter II, thus combining the international search and international preliminary examination procedures to a much greater extent than is the case at present.

It is also recalled that the proposed new PCT designation system will allow the applicant, when filing an international application, to obtain an automatic and all-inclusive coverage of all designations available under the Treaty, including all kinds of protection as well as both national and regional patent protection, without needing, at the time of filing the application, to designate individual Contracting States, to choose certain kinds of protection or to indicate expressly whether national or regional protection is sought; such matters would be left for the national phase.

The Committee also agreed on proposals for further work to reform the PCT system. It agreed to recommend to the PCT Assembly that two sessions of the Working Group on Reform of the PCT should be convened between the September 2002 and September 2003 sessions of the PCT Assembly which would include a detailed review of outstanding reform proposals and consideration of the options available for revising the Treaty itself.

For further information, see the documents which were prepared for discussion at the meeting, as well as the Report of the session, at:

http://www.wipo.int/pct/en/meetings/ reform_c/index_2.htm

TYPES OF PROTECTION AVAILABLE IN PCT CONTRACTING STATES

Since the publication in *PCT Newsletter* No. 09/2001 of a table setting out, for each Contracting State, the types of protection which can be obtained via the PCT, several States have become bound by the PCT, and there have also been changes in the types of protection other than patents which are available in certain States. An update of that table appears in the tear-out sheets in the center of this issue.

STATES PARTY TO THE PCT AND THE PARIS CONVENTION AND MEMBERS OF THE WORLD TRADE ORGANIZATION

It is recalled that under PCT Rule 4.10(a) it is possible to claim in an international application the priority of one or more earlier applications filed in, or for, any country party to the Paris Convention for the Protection of Industrial Property or in, or for, any Member of the World Trade Organization (WTO) that is not party to that Convention. The table in the tear-out sheets in the center of this issue which lists the Contracting States of the PCT, together with the States party to the Paris Convention and Members of the WTO, updates the table which was published in *PCT Newsletter* No. 08/2001.

RECEIVING OFFICES PREPARED TO ACCEPT INTERNATIONAL APPLICATIONS CONTAINING SEQUENCE LISTINGS FILED UNDER SECTION 801(a)

It is recalled that under Section 801(b) of the Administrative Instructions under the PCT, any receiving Office that is prepared to accept the filing in computer readable form of the sequence listing part of international applications should notify the International Bureau accordingly. So far, the International Bureau has been so notified by eight Offices. The corresponding table on the tear-out sheets in the center of this issue lists those Offices, and the respective electronic media on which each Office will accept such filings.

Note, however, that under Section 801(c), a receiving Office which has not made a notification under Section 801(b) may nevertheless decide in a particular case to accept an international application, the sequence listing part of which is filed with it under Section 801(a) in computer readable form. Should you wish to file such an application and the receiving Office with which you wish to file is not listed in the table, you should contact that Office to find out whether it will accept such a filing, and if so, which type of electronic media it accepts.

PCT INFORMATION UPDATE

ID Indonesia (name of Office)

The name of the Directorate General of Intellectual Property (Indonesia) has changed, as follows:

Direktorat Jenderal Hak Kekayaan Intelektual

Directorate General of Intellectual Property (Indonesia)

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (ID))

IL Israel (fees)

As from 15 August 2002, there will be a change in the amounts of the following fees, payable to the Israel Patent Office as receiving Office:

transmittal fee: see Table I(a)

fee for priority document: ILS 38 plus, per page: ILS 2.60

The amount of the following national fee, payable to the Office as designated (or elected) Office, has changed:

filing fee:

ILS 881

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IL), and Vol. II/B, National Chapter, Summary (IL))

LU Luxembourg (fees)

The first and second annual fees, payable to the Intellectual Property Office (Luxembourg) as designated (or elected) Office are no longer due. The first annual fee which has to be paid is now the third annual fee, the amount of which has not changed.

(Updating of *PCT Applicant's Guide*, Vol. II/B, National Chapter, Summary (LU))

Search fee and other fees relating to the international search (Australian Patent Office, European Patent Office, United States Patent and Trademark Office)

As from 1 September 2002, there will be a change in the amount payable in AUD for an international search carried out by the Australian Patent Office, as indicated in Table I(b). The equivalent amounts of that fee in other currencies are not yet known and will be announced as soon as possible. There will also be a change in the following fees:

additional search fee:AUD 1,000fee for copies of
documents cited in the
international search
reportAUD 25

As from 1 September 2002, there will be a change in the equivalent amount payable in ZAR for an international search carried out by the European Patent Office, and as from 15 September 2002, there will be a change in the equivalent amount payable in NZD for an international search carried out by the United States Patent and Trademark Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AU, EP and US))

Preliminary examination fee and other fees relating to the international preliminary examination (Australian Patent Office)

As from 1 September 2002, there will be a change in the amount payable in AUD for an international preliminary examination carried out by the Australian Patent Office, as indicated in Table II. There will also be a change in the following fees:

| additional preliminary examination fee: | AUD | 550 |
|---|--------|-----|
| fee for copies of documents cited in the international preliminary examination report: | AUD | 25 |
| fee for copies of documents contained in the file of the international application: | AUD | 25 |
| (Updating of <i>PCT Applicant's</i> Vol. I/B, Annex E (AU)) | Guide, | |

PCT PUBLICATIONS

Conference on the International Patent System

It was announced in *PCT Newsletter* No. 04/2002, page 5, that a CD-ROM on the Conference on the International Patent System, which took place in March 2002, was going to be produced. The CD-ROM is now available for purchase. It is recalled that the CD-ROM includes the text of presentations, as well as video interviews with many of the speakers of the conference, hyperlinks to the audio presentations of each speaker, and pictures of the speakers.

The CD-ROM, the price of which is 33 Swiss Francs by regular mail or 36 Swiss francs by priority mail, may be ordered, quoting WIPO publication No. CD777, from the Marketing and Distribution Section at WIPO:

| fax: | (41–22) 740 18 12 |
|----------|----------------------------|
| e-mail: | publications.mail@wipo.int |
| mailing | |
| address: | see address on cover page |

STATISTICS ON THE FILING OF DECLARATIONS UNDER PCT RULE 4.17

It is recalled that amendments to the PCT Regulations entered into force on 1 March 2001 which made it possible for applicants, if they wish, to include in the request part of their international applications, certain declarations using standardized wording, for the purposes of processing by designated Offices in the national phase, thus simplifying the national phase for both applicants and national/regional Offices. The table on pages 10 and 11 shows, for the first year following the entry into force of the amendments, the number of each type of declaration under PCT Rule 4.17 filed with each receiving Office that received such declarations during that period.

Of the 14,013 declarations filed: 38.8% were declarations of inventorship for the US designation (PCT Rule 4.17(iv)); 34.0% were declarations as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (PCT Rule 4.17(ii)); 22.0% were declarations as to the applicant's entitlement, as

at the international filing date, to claim priority of the earlier application (PCT Rule 4.17(iii)); 4.2% were declarations as to the identity of the inventor (PCT Rule 4.17(i)) and 1.0% were declarations as to non-prejudicial disclosures or exceptions to lack of novelty (PCT Rule 4.17(v)).

The receiving Offices with which the most declarations were filed during that period were RO/US (25.9% of all declarations), RO/CN (18.5%), RO/EP (11.7%), RO/DE (7.9%), RO/GB (7.2%), and RO/FR (6.6%).

The following list shows, for each type of declaration, the receiving Offices with which the most declarations were filed (in ranking order):

| PCT Rule 4.17(i): | RO/US RO/FR RO/EP RO/GB RO/IB |
|---------------------|---|
| PCT Rule 4.17(ii): | RO/US RO/CN RO/EP RO/DE RO/GB |
| PCT Rule 4.17(iii): | RO/US RO/CN RO/EP RO/FR RO/GB |
| PCT Rule 4.17(iv): | RO/CN RO/US RO/EP RO/DE RO/GB |
| PCT Rule 4.17(v): | RO/JP RO/KR RO/US RO/IB RO/ES |

BACKLOG IN PCT OPERATIONS AT THE INTERNATIONAL BUREAU

In the March issue of the *PCT Newsletter* (No. 03/2002), PCT users were warned of possible future problems relating to the processing of international applications at the International Bureau, following the large backlog of applications which was experienced at the United States Patent and Trademark Office as receiving Office (RO/US) (for background, see also *PCT*

Newsletter Nos. 10/2001, page 6, 11/2001, page 7, and 12/2001, page 3).

The International Bureau has recently been faced with a considerable increase in its workload following the receipt of the backlog of applications from RO/US, and, as a result, certain unavoidable delays may occur, for example in the publication of a few international applications. The International Bureau will, however, do its best to ensure that the processing of applications takes place as smoothly and promptly as is possible under the current circumstances.

ON-LINE ENTRY INTO THE EUROPEAN REGIONAL PHASE

Since 1 June 2002, it has been possible to file EPO Form 1200 (which is recommended for use when entering the European regional phase before the European Patent Office (EPO) as designated or elected Office) on-line using the epoline® software, irrespective of whether the international application was originally filed in electronic or paper form. For further details, see the "Notice dated 1 June 2002 concerning the electronic filing of European patent applications and subsequent documents," Official Journal of the EPO No. 7/2002, page 372, also available at: http://www.european-patentoffice.org/news/info/2002_05_23_e.htm).

It is recalled that no PCT receiving Office (including the EPO) has yet notified the International Bureau that it will accept the filing, for the purposes of the *international* phase, of international applications in electronic form (see PCT Rule 89*bis*.1(d) and the *Administrative Instructions under the PCT*, Part 7).

MEXICO: POWER OF ATTORNEY REQUIREMENTS IN THE NATIONAL PHASE

The Mexican Institute of Industrial Property has confirmed the following to the International Bureau. In the national phase before the Mexican Institute of Industrial Property, **no additional formalities are required for the power of attorney** other than those which are referred to in the *PCT Applicant's Guide*, Vol. II/B, National Chapter (MX). If the applicant is not resident in Mexico, an agent must be appointed by filing a simple power of attorney signed in the presence of two witnesses, each of whom must sign that power and indicate his address. In addition, but only in the case of an applicant which is a legal entity, the power must state that the person signing on behalf of that legal entity has authority to do so and must cite the relevant document supporting such statement.

A model power of attorney is available in both the paper version and the Internet version of the above-mentioned National Chapter, as well as further information on entry into the national phase before the Mexican Institute of Industrial Property.

If you have any questions on the above matter, please contact the Mexican Institute of Industrial Property.

PCT CONTRACTING STATES AND TWO-LETTER CODES—corrigendum

Please note that in the list of PCT Contracting States and two-letter codes which was published on the last page of last month's issue of the *PCT Newsletter*, the indication that Bulgaria, the Czech Republic, Estonia and Slovakia can be designated for a European patent was inadvertently omitted. The corresponding page in this issue has been corrected.

PRACTICAL ADVICE

Requesting the recording of a change after the filing of a demand under Chapter II

Q: I have filed a demand for international preliminary examination on behalf of a corporate applicant which will shortly be changing the address of its premises. I would like to request that the change of address be recorded under PCT Rule 92bis. Since a demand has been filed, should that request be filed with the International Preliminary Examining Authority?

A: Requests for the recording of a change under PCT Rule 92*bis* should, in practice, only be submitted to the International Bureau (IB). Although they may also be submitted to the receiving Office, in which case that Office will notify the IB of the request for change, it is important to note that it is always the date of receipt **at the IB** of the request for recording of a change that will determine whether or not the change will be recorded. If that request is received by the IB within the time limit prescribed under PCT Rule 92bis.1(b) (30 months from the priority date), the IB will record the change and notify all Offices and PCT Authorities interested in the change accordingly, *including*, as long as the international preliminary examination report has not been established, the International Preliminary Examining Authority (IPEA) (see the Administrative Instructions under the PCT. Section 422 and the PCT Applicant's Guide, Vol. I/A, para. 429). If the request reaches the IB after the expiration of that time limit, it will not be recorded by the IB and the applicant will have to inform each elected Office separately of the change during the national phase.

Although there is nothing to prevent you from informing the IPEA of the change, that Authority is not obliged to communicate your request to the IB. Even if it does so notify the IB, there is a risk that this may occur after the time limit applicable under PCT Rule 92bis.1(b) has expired (see previous paragraph). Note, however, that in the case where such a change occurs prior to, or at, the time of filing of the demand, it may be useful, in order to avoid unnecessary requests by the IPEA for clarification of discrepancies, if the applicant sends direct to the IPEA a copy of the request for recording of a change, the original being submitted to the IB.

Note that, since PCT Rule 92*bis* provides for the filing of a request for recording of a change only with the receiving Office or the IB, you are entitled to sign such a request only if you have been appointed to represent the applicants before the receiving Office for the purposes of Chapter I (see PCT Rule 90.1(a))—if you have been appointed only for the purposes of Chapter II before the IPEA (see PCT Rule 90.1(c)), you will not be entitled to sign it; the agent appointed under Chapter I will have to do this.

For further information on requesting the recording of changes under PCT Rule 92*bis*, see *PCT Applicant's Guide*, Vol. I/A, paras. 427–431 and *PCT Newsletter* Nos. 10/1995, 10/1997, 05/2002 and 06/2002.

| | PCT SEMINAR CALENDAR | | | | | | | | | |
|--|---------------------------|--|--|--|--|--|--|--|--|--|
| Dates and Location | Language of seminar | Nature of seminar; WIPO speakers (and others where known) | Organizer and contact numbers | | | | | | | |
| 11–13 August 2002 Washington, D.C. (US) | English | Advanced PCT seminar for patent attorneys WIPO speaker: Mr. Maassel Other speaker: Mr. Reed (The Procter & Gamble Company) | Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99 | | | | | | | |
| 15–17 August 2002 Washington, D.C. (US) | English | Basic PCT seminar for patent attorneys WIPO speaker: [as above] Other speaker: [as above] | Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99 | | | | | | | |
| 5–6 September 2002 Addis Ababa (Ethiopia) | English | WIPO National Seminar on Industrial Property and the PCT WIPO speakers: Mr. Herce-Vigil, Mrs. Simon-Vianes and Mr. Farag | Ethiopian Science and Technology Commission (Mr. Getachew Mengistie) Tel: (251–1) 51 13 44 | | | | | | | |
| 11–12 September 2002 Asmara (Eritrea) | English | WIPO National Seminar on Industrial Property and the PCT WIPO speakers: Mr. Herce-Vigil, Mrs. Simon-Vianes and Mr. Farag | Ministry of Trade and Industry (Eritrea) (Mr. Tewolde Woldemichael Deress) Tel: (291–1) 11 51 88/11 78 44 | | | | | | | |
| 12–13 September 2002 Geneva (CH) | English | Basic PCT seminar on the PCT system WIPO speakers: to be announced | PCT Legal Division, WIPO (Mrs. Paola Conti Lander) Tel: (41–22) 338 81 93 Fax: (41–22) 910 00 30 Internet (for further information and registration form): www.wipo.int/pct/ en/seminar/registration.pdf | | | | | | | |
| 12–13 September 2002 Ulaan Baatar (MN) | English | WIPO National Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Wang, Mr. Sinha and Mr. Jargalsaikhan | Intellectual Property Office of Mongolia (Mr. Namjil Chinbat) Tel: (976–11) 32 72 67 Fax: (976–11) 32 76 38 | | | | | | | |
| 16–17 September 2002 Copenhagen (DK) | English | PCT seminar WIPO speakers: Mr. Bryan and Ms. Trpkovska | Centre d'études internationales de la propriété industrielle (CEIPI) and the Danish Patent and Trademark Office (Ms. Inge-Lise Høybye) Tel: (45–43) 50 80 00 Fax: (45–43) 50 80 01 E-mail: pvs@dkpto.dk | | | | | | | |
| 8 October 2002 Iselin, New Jersey (US) | English | PCT presentation at the PIUG 2002 Northeast Workshop WIPO speaker: Mr. Maassel | Patent Information Users Group (PIUG) (Ms. Elyse Turner) Tel: (1-732) 594 64 18 Fax: (1-732) 594 58 32 E-mail: elyse_turner@merck.com Internet: www.piug.org/ne02meet.html | | | | | | | |
| 8–9 October 2002 Munich (DE) | German | Advanced PCT seminar WIPO speaker: Mr. Reischle Other speaker: Mr. Wolff (European Patent Office) | Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de | | | | | | | |
| 10 October 2002 Munich (DE) | German | PCT-EASY course WIPO speaker: to be announced | Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de | | | | | | | |

| | PCT SEMINAR CALENDAR [continued] | | | | | | | | | |
|--|----------------------------------|---|---|--|--|--|--|--|--|--|
| Dates and Location | Language of seminar | Nature of seminar; WIPO speakers (and others where known) | Organizer and contact numbers | | | | | | | |
| 10 October 2002 Stockholm (SE) | English | PCT presentation at the "Patent Updating Day" seminar WIPO speaker: Mr. Thomas | Swedish Patent Office (Mr. Christer Eklöf) Tel: (46–8) 782 28 32 Fax: (46–8) 783 01 63 E-mail: christer.eklof@prv.se | | | | | | | |
| 31 October– 1 November 2002 San Francisco (US) | English | Advanced PCT seminar WIPO speaker(s): to be announced | Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com | | | | | | | |
| 4–5 November 2002 Bridgetown (BB) | English | WIPO National Seminar on the PCT WIPO speakers: Mr. Regis and Ms. Featherby Other speakers: Mr. Watkins (University of Akron, US), Ms. Tammy Griffiths (Attorney-at- Law, BB) | Corporate Affairs and Intellectual Property Office (Barbados) (Mrs. Maureen Crane Scott) Tel: (1–246) 436 48 18 Fax: (1–246) 437 30 72 E-mail: caipo@caribsurf.com | | | | | | | |
| 4–5 November 2002 Chicago (US) | English | Advanced PCT training session WIPO speaker(s): to be announced | The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu | | | | | | | |
| 26–27 November 2002 Paris (FR) | French | Basic PCT seminar on PCT procedures WIPO speaker: Mr. Baron | Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 42 93 63 52 | | | | | | | |
| 11–12 December 2002 London (GB) | English | PCT seminar: "Advanced PCT Formalities" WIPO speakers: Mr. Reischle and Ms. Trpkovska | Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk | | | | | | | |
| 6–8 January 2003 Zurich (CH) | English | PCT presentation in the framework of a Postgraduate Program in Intellectual Property WIPO speaker: Mr. Reischle | Swiss Federal Institute of Technology Zurich (ETH) NDS Intellectual Property (Ms. Elise Brandstätter) Tel: (41–1) 632 68 11 E-mail: brandstaetter@recht.gess.ethz.ch Internet: http://www.nds-ge.ethz.ch | | | | | | | |

| | Declarations under PCT Rule 4.17 filed by applicants in respect of international applications filed between 1 March 2001 and 28 February 2002 | | | | | | | | | | |
|----------------------------------|---|---|---|---|--|--------------------------|--|--|--|--|--|
| Receiving Office ¹ | Under PCT Rule 4.17(i) ² | Under PCT Rule 4.17(ii) ³ | Under PCT Rule 4.17 (iii) ⁴ | Under PCT Rule 4.17(iv) ⁵ | Under PCT Rule 4.17(v) ⁶ | Combination ⁷ | | | | | |
| AP | 0 | 0 | 1 | 2 | 0 | 0 | | | | | |
| AT | 2 | 1 | 8 | 51 | 0 | 0 | | | | | |
| AU | 10 | 15 | 15 | 32 | 1 | 0 | | | | | |
| ВА | 3 | 3 | 0 | 3 | 1 | 0 | | | | | |
| BE | 22 | 36 | 5 | 91 | 1 | 0 | | | | | |
| BG | 5 | 7 | 3 | 16 | 0 | 0 | | | | | |
| BR | 9 | 7 | 6 | 9 | 0 | 0 | | | | | |
| BY | 1 | 6 | 6 | 9 | 0 | 0 | | | | | |
| СА | 24 | 81 | 54 | 78 | 1 | 0 | | | | | |
| СН | 3 | 2 | 7 | 57 | 1 | 0 | | | | | |
| CN | 2 | 937 | 662 | 991 | 0 | 0 | | | | | |
| CR | 0 | 1 | 1 | 4 | 0 | 0 | | | | | |
| CY | 0 | 0 | 0 | 4 | 0 | 0 | | | | | |
| CZ | 2 | 4 | 2 | 19 | 0 | 0 | | | | | |
| DE | 23 | 487 | 64 | 532 | 2 | 0 | | | | | |
| DK | 2 | 8 | 9 | 51 | 0 | 0 | | | | | |
| DZ | 2 | 1 | 1 | 4 | 0 | 0 | | | | | |
| EA | 0 | 0 | 0 | 1 | 0 | 0 | | | | | |
| EE | 0 | 1 | 0 | 1 | 0 | 0 | | | | | |
| EP | 55 | 536 | 350 | 701 | 2 | 0 | | | | | |
| ES | 30 | 20 | 6 | 37 | 4 | 0 | | | | | |
| FI | 2 | 117 | 33 | 100 | 2 | 0 | | | | | |
| FR | 90 | 166 | 239 | 427 | 2 | 0 | | | | | |
| GB | 48 | 334 | 117 | 501 | 3 | 3 | | | | | |
| GR | 3 | 1 | 0 | 25 | 0 | 0 | | | | | |
| HR | 0 | 0 | 0 | 6 | 0 | 0 | | | | | |
| HU | 1 | 7 | 2 | 16 | 0 | 0 | | | | | |
| IB | 34 | 115 | 72 | 116 | 10 | 1 | | | | | |
| ID | 1 | 0 | 0 | 4 | 0 | 0 | | | | | |
| IE | 1 | 16 | 5 | 14 | 0 | 0 | | | | | |
| IL | 16 | 19 | 12 | 39 | 0 | 0 | | | | | |
| IN | 5 | 26 | 13 | 24 | 0 | 0 | | | | | |

| | Declarations under PCT Rule 4.17 filed by applicants in respect of international applications filed between 1 March 2001 and 28 February 2002 [continued] | | | | | | | | | | |
|----------------------------------|---|---|---|---|--|--------------------------|--|--|--|--|--|
| Receiving Office ¹ | Under PCT Rule 4.17(i) ² | Under PCT Rule 4.17(ii) ³ | Under PCT Rule 4.17 (iii) ⁴ | Under PCT Rule 4.17(iv) ⁵ | Under PCT Rule 4.17(v) ⁶ | Combination ⁷ | | | | | |
| IS | 0 | 0 | 0 | 9 | 0 | 0 | | | | | |
| ІТ | 18 | 53 | 31 | 113 | 0 | 0 | | | | | |
| JP | 12 | 51 | 32 | 97 | 74 | 0 | | | | | |
| KR | 1 | 3 | 4 | 12 | 16 | 0 | | | | | |
| LT | 0 | 1 | 0 | 2 | 0 | 0 | | | | | |
| LV | 0 | 0 | 0 | 2 | 0 | 0 | | | | | |
| МК | 0 | 0 | 0 | 1 | 0 | 0 | | | | | |
| MX | 6 | 4 | 3 | 12 | 0 | 0 | | | | | |
| NL | 0 | 25 | 0 | 4 | 0 | 0 | | | | | |
| NO | 1 | 21 | 1 | 27 | 0 | 0 | | | | | |
| NZ | 4 | 12 | 11 | 7 | 1 | 0 | | | | | |
| PH | 0 | 2 | 2 | 9 | 0 | 0 | | | | | |
| PL | 0 | 3 | 1 | 3 | 0 | 0 | | | | | |
| РТ | 2 | 2 | 1 | 6 | 2 | 0 | | | | | |
| RO | 0 | 1 | 1 | 1 | 0 | 0 | | | | | |
| RU | 8 | 11 | 6 | 48 | 0 | 0 | | | | | |
| SD | 1 | 1 | 1 | 1 | 0 | 0 | | | | | |
| SE | 4 | 134 | 24 | 248 | 0 | 0 | | | | | |
| SG | 7 | 26 | 13 | 37 | 0 | 0 | | | | | |
| SK | 5 | 1 | 7 | 3 | 0 | 0 | | | | | |
| TR | 1 | 2 | 2 | 3 | 0 | 0 | | | | | |
| UA | 0 | 0 | 0 | 1 | 0 | 0 | | | | | |
| US | 122 | 1,456 | 1,247 | 791 | 11 | 0 | | | | | |
| YU | 0 | 1 | 1 | 28 | 0 | 0 | | | | | |
| ZA | 4 | 3 | 1 | 3 | 1 | 0 | | | | | |
| TOTAL | 592 | 4,767 | 3,082 | 5,433 | 135 | 4 | | | | | |

Receiving Office with which international application was filed.
 Declaration as to the identity of the inventor.

Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent.
 Declaration as to the applicant's entitlement, as at the international filing date, to claim priority of the earlier application.
 Declaration of inventorship (for the US designation only).

6. Declaration as to non-prejudicial disclosures or exceptions to lack of novelty.

7. Combination of declarations under PCT Rules 4.17(i) and 4.17(ii).

PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the fee per sheet over 30, the designation fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 12 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated *after* the CHF 200 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

| 2 | | | | | | | | | |
|--------|---------------------------|-----|------------------------|-----|-------------------|-----|--------------------|-----|---------------------|
| eq | equivalent of – | BGL | Bulgarian lev | GEL | Georgian lari | LTL | Lithuanian litas | SGD | Singapore dollar |
| IPEA | International Preliminary | BRR | Brazilian real | GHC | Ghanaian cedi | LVL | Latvian lat | SIT | Slovenian tolar |
| | Examining Authority | BYR | Belarussian rouble | HRK | Croatian kuna | MAD | Moroccan dirham | SKK | Slovak koruna |
| ISA | International Searching | BZD | Belize dollar | HUF | Hungarian forint | MDL | Moldovan leu | TJS | Tajik somoni |
| | Authority | CAD | Canadian dollar | IDR | Indonesian rupiah | MKD | Macedonian denar | TND | Tunisian dinar |
| na | not applicable | CHF | Swiss franc | ILS | New Israel shekel | MWK | Malawian kwacha | TTD | Trinidad and Tobago |
| RO | receiving Office | CNY | Yuan renminbi | INR | Indian rupee | MXP | Mexican peso | | dollar |
| | 0 | CUP | Cuban convertible peso | ISK | Icelandic krona | NOK | Norwegian krone | UAH | Ukrainian hryvnia |
| Currer | ncies: | CYP | Cyprus pound | JPY | Japanese yen | NZD | New Zealand dollar | USD | US dollar |
| AED | United Arab Emirates | CZK | Czech koruna | KES | Kenyan shilling | PHP | Philippine peso | VND | Vietnamese dong |
| | dirham | DKK | Danish krone | KGS | Kyrgyz som | PLZ | Polish zloty | YUD | Yugoslavian dinar |
| ALL | Albanian lek | DZD | Algerian dinar | KPW | KP won | ROL | Romanian leu | ZAR | South African rand |
| AMD | Armenian dram | EEK | Estonian kroon | KRW | KR won | RUR | Russian rouble | ZWD | Zimbabwe dollar |
| AUD | Australian dollar | EUR | Euro | KZT | Kazakh tenge | SDP | Sudanese pound | | |
| AZM | Azerbaijani manat | GBP | Pound sterling | LSM | Lesotho loti | SEK | Swedish krona | | |
| | | | | | | | | | |

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 August 2002, unless otherwise indicated)

| RO | Transmittal fee ¹ | | | sic fee ^{1,2,3} CHF 650) | Fee per sheet over 30 ^{1,2,3,4} (CHF 15) | Designation fee ^{1,2,3,5,6} (CHF 140) | PCT-EASY reduction ² (CHF 200) | Competent ISA(s) ⁷ |
|----|------------------------------|-----------|--------|--------------------------------------|---|--|---|----------------------------------|
| AE | AED ⁸ | | AED e | eq CHF 650 | 15 | 140 | na | AT AU |
| AL | ALL | 9,000 | CHF | 650 | 15 | 140 | na | EP |
| AM | AMD 3 | 32,000 | USD | 407 | 9 | 88 | 125 | EP RU |
| AP | USD | 50 | USD | 407 | 9 | 88 | 125 | AT EP SE |
| | (or eq in local | currency) | | | | | | |
| AT | EUR | 50 | EUR | 444 | 10 | 96 | 137 | EP |
| AU | AUD | 100 | AUD | 746 | 17 | 161 | 230 | AU |
| AZ | AZM eq USD | | USD | 407 | 9 | 88 | n a | EP RU |
| | plus mailing co | osts | | | | | | |
| BA | EUR | 25.56 | EUR | 444 | 10 | 96 | 137 | EP |
| BE | EUR | 40 | EUR | 444 | 10 | 96 | 137 | EP |
| BG | BGL | 60 | BGL | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | EP RU |
| BR | BRR | 236 | BRR | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | AT EP SE US |
| BY | BYR eq USD | 70 | USD | 407 | 9 | 88 | na | EP RU |
| ΒZ | BZD | 220 | USD | 407 | 9 | 88 | 125 | EP |
| СА | CAD | 200 | CAD | 639 | 15 | 138 | 197 | EP |
| СН | CHF | 100 | CHF | 650 | 15 | 140 | 200 | EP |
| CN | CNY | 500 | CNY | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | CN |
| CR | USD | 175 | USD | 407 | 9 | 88 | 125 | EP ES |
| CU | USD (or eq C | CUP)200 | USD (d | or eq CUP)407 | 9 | 88 | 125 | AT EP ES RU |
| СҮ | СҮР | 75 | СҮР | 258 | 6 | 56 | n a | EP |
| CZ | СΖК | 1,500 | СΖК | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | EP |

| RO | Transmittal fee ¹ | | | sic fee ^{1,2,3} HF 650) | Fee per sheet over 30 ^{1,2,3,4} (CHF 15) | Designation fee ^{1,2,3,5,6} (CHF 140) | PCT-EASY reduction ² (CHF 200) | Competent ISA(s) ⁷ |
|----|---|---------------------------------|---------------|-------------------------------------|---|--|---|----------------------------------|
| DE | EUR | 90 | EUR | 444 | 10 | 96 | 137 | EP |
| DK | DKK | 1,500 | ркк | 3,300 | 80 | 710 | 1,020 | EP SE |
| DZ | DZD ⁸ | _ | CHF | 650 | 15 | 140 | 200 | AT EP |
| EA | RUR eq U | SD 50 | USD | 407 | 9 | 88 | 125 | EP RU |
| EC | USD ⁸ | _ | USD | 407 | 9 | 88 | 125 | EP ES |
| EE | EEK | 1,800 | EEK | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | EP |
| EP | EUR | 100 | EUR | 444 | 10 | 96 | 137 | EP |
| ES | EUR | 61.51 | EUR | 444 | 10 | 96 | 137 | EP ES |
| FI | EUR | 135 | EUR | 444 | 10 | 96 | 137 | EP SE |
| FR | EUR | 60 | EUR | 444 | 10 | 96 | 137 | EP |
| GB | GBP | 55 | GBP | 278 | 6 | 60 | 86 | EP |
| GE | GEL ⁹ | 10 | USD | 407 | 9 | 88 | 125 | EP RU |
| GH | GHC ¹⁰ | 2,500 | USD | 407 | 9 | 88 | n a | AT AU CN EP SE |
| | | or 5,000 | | | | | | |
| GR | EUR | 115 | EUR | 444 | 10 | 96 | 137 | EP |
| HR | HRK | 200 | HRK | eq C HF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | EP |
| HU | HUF ¹¹ | 10,000 | HUF | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | EP RU |
| IB | CHF ¹² or USD ¹² | 100 60 | CHF or USD | 650 407 | 15 9 | 140 88 | 200 125 | See footnote 13 |
| ID | IDR | 500,000 | IDR | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | AU EP RU |
| IE | EUR | 76.00 | EUR | 444 | 10 | 96 | 137 | EP |
| IL | ILS | 445 | USD | 407 | 9 | 88 | 125 | EP US |
| | (from 15.8. | , | | | | | | |
| IN | INR (filing by in | 5,000 div:1,500) | USD | 407 | 9 | 88 | 125 | AT AU CN EP SE US |
| IS | ISK | 5,500 | ISK | 41,300 | 1,000 | 8,900 | 11,600 | EP SE |
| IT | EUR | 30.99 | EUR | 444 | 10 | 96 | na | EP |
| JP | JPY | 18,000 | JPY | 47,800 | 1,100 | 10,300 | 14,700 | EP JP |
| KE | USD (or KE plus cost of | S equiv)250 f mailing | USD | 407 | 9 | 88 | 125 | AT AU CN EP SE |
| KG | KGS eq U | SD 100 | USD | 407 | 9 | 88 | 125 | EP RU |
| КР | KPW eq C | CHF 50 | KPW | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | AT RU |
| KR | KRW | 45,000 | KRW | 530,000 | 12,000 | 114,000 | 163,000 | AT AU JP ¹⁴ KR |
| κz | к zт ⁸ | — | USD | 407 | 9 | 88 | 125 | EP RU |
| LR | USD | 45 | USD | 407 | 9 | 88 | n a | AT AU CN EP SE |
| LS | LSM ⁸ | — | LSM | eq CHF 650 | eq CHF 15 | eq CHF 140 | na | AT EP |
| LT | LTL | 320 | USD | 407 | 9 | 88 | na | EP RU |
| LU | EUR | 19 | EUR | 444 | 10 | 96 | n.a. | EP |
| LV | LVL | 47.20 | USD | 407 | 9 | 88 | 125 | EP RU |
| MA | | None | CHF | 650 | 15 | 140 | n a | AT EP RU SE |
| MC | EUR | 49 | EUR | 444 | 10 | 96 | na | EP |
| MD | MDL | 180 | USD | 407 | 9 | 88 | 125 | EP RU |
| МК | MKD | 2,750 | MKD | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | EP |
| MN | | None | CHF | 650 | 15 | 140 | 200 | EP RU |
| MW | MWK | 2,000 | MWK | 25,000 | 600 | 5,400 | 7,700 | EP |

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued] (as at 1 August 2002, unless otherwise indicated)

| | O Transmittal fee ¹ Basic fee ^{1,2,3} Fee per sheet Designation PCT-EASY | | | | | | | | |
|----|--|------------------------|----------------|--------------------------------------|---|--|---|----------------------------------|--|
| RO | | | | Sic fee ^{1,2,3} CHF 650) | Fee per sheet over 30 ^{1,2,3,4} (CHF 15) | Designation fee ^{1,2,3,5,6} (CHF 140) | PCT-EASY reduction ² (CHF 200) | Competent ISA(s) ⁷ | |
| MX | MXP ³ | eq USD 200 | MXP | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | EP ES SE US | |
| NL | EUR | 50 | EUR | 444 | 10 | 96 | 137 | EP | |
| NO | NOK | 500 | NOK | 3,560 | 80 | 770 | 1,090 | EP SE | |
| NZ | NZD | 180 | NZD | 890 | 20 | 192 | 274 | AU EP US | |
| ОМ | Informa | tion not yet available | | | | | | | |
| PH | PHP | 3,500 | USD | 407 | 9 | 88 | 125 | AU EP JP KR US | |
| PL | PLZ | 300 | PLZ | eq CHF 650 | eq CHF 15 | eq CHF 140 | na | EP | |
| РТ | EUR | 23.19 | EUR | 444 | 10 | 96 | 137 | EP | |
| RO | ROL | 300,000 | CHF | 650 | 15 | 140 | 200 | AT EP RU | |
| RU | RUR | 294 | USD | 407 | 9 | 88 | 125 | EP RU | |
| SD | SDP | 50 | SDP | eq CHF 650 | eq CHF 15 | eq CHF 140 | n a | EP | |
| SE | SEK | 1,200 | SEK | 4,390 | 100 | 950 | 1,350 | EP SE | |
| SG | SGD | 150 | SGD | 720 | 17 | 156 | 222 | AT AU EP | |
| SI | SIT | 22,000 | SIT | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | EP | |
| SK | SKK | 1,600 | SKK | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | EP | |
| ΤJ | TJS ⁸ | — | USD | 407 | 9 | 88 | n a | EP RU | |
| тм | USD ⁸ | | USD | 407 | 9 | 88 | 125 | EP RU | |
| ΤN | TND ⁸ | _ | CHF | 650 | 15 | 140 | n a | EP | |
| TR | CHF | 100 | CHF | 650 | 15 | 140 | 200 | EP | |
| TT | TTD | 750 | USD | 407 | 9 | 88 | 125 | AT EP SE US | |
| UA | UAH | 255 | USD | 407 | 9 | 88 | n a | EP RU | |
| US | USD | 240 | USD | 407 | 9 | 88 | 125 | EP US | |
| UZ | USD ⁸ | — | USD | 407 | 9 | 88 | 125 | EP RU | |
| VN | VND | eq USD 150 | VND | eq CHF 650 | eq CHF 15 | eq CHF 140 | na | AT AU EP RU SE | |
| YU | YUD | 3,000 | YUD | eq CHF 650 | eq CHF 15 | eq CHF 140 | eq CHF 200 | EP | |
| ZA | ZAR | 500 | ZAR (from 1 | 4,870 <i>.8.02: 4,240)</i> | 112 <i>(98)</i> | 1,050 <i>(910)</i> | 1,500 <i>(1,300)</i> | AT AU EP US | |
| ZM | Informa | tion not yet available | 1 | | | | | | |
| ZW | ZWD | 1,000 | ZWD | eq USD 407 | eq USD 9 | eq USD 88 | eq USD 125 | AT AU CN EP RU | |

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued] (as at 1 August 2002, unless otherwise indicated)

Table I(b) — SEARCH FEES(as at 1 August 2002, unless otherwise indicated)

| ISA | | | | | | Searc | h fee ¹ | | | | | |
|-------------------------|-------------------|---|------------------|--|--------------------------------|----------------------------|--------------------|--------------|---------------------|------------------|------------|-------------------|
| AT | EUR | 159 | CHF | 230 | KRW | 191,000 | SGD | 259 | USD | 150 | ZAR | 1,280 |
| AU | AUD* ZAR | 800 4,290 | CHF | 697 | KRW' | **500,000 | NZD | 978 | SGD | 700 | USD | 393 |
| | | om 1.9.02: om 1.8.02: | AUD KRW | 1,000) 568,000) | | | | | | | | |
| CN | CNY | 1,500 | CHF | 290 | USD | 180 | | | | | | |
| EP ¹⁵ | EUR ISK SGD | 945 83,000 1,533 | USD | 1,360 110,000 866 | | 1,383 53,000 **9,450 | CYP NOK* | 550 7,570 | | 7,030 * 2,023 | GBP SEK | 592 8,720 |
| | ** (fr | rom 15.8.02: rom 15.7.02: rom 1.9.02: | | 7,070) 1,889) 8,730) | | | | | | | | |
| ES ¹⁵ | EUR | 945 | CHF | 1,383 | USD | 866 | | | | | | |
| JP | JPY | 72,000 | CHF | 980 | KRW | 798,000 | USD | 535 | | | | |
| KR | KRW | 150,000 | CHF | 180 | USD | 120 | | | | | | |
| RU ¹⁶ | USD | 300 | CHF | 480 | | | | | | | | |
| SE | SEK USD | 8,720 866 | CHF * (froi | 1,383 m <i>15.8.02:</i> | dkk <i>Nok</i> | 7,030 <i>7,070</i>) | EUR | 945 | | 83,000 | NOK* | 7,570 |
| US | | 700 com 15.9.02: com 1.9.02: | 450 ¹ | ⁷ CHF <i>NZD</i> <i>ZAR</i> | 1,118 <i>1,440</i> 7,000 | 920^{17}_{17} | | 1,590 | 1,020 ¹⁷ | ZAR** | 8,000 5,: | 200 ¹⁷ |

Table II — PRELIMINARY EXAMINATION FEES (as at 1 August 2002, unless otherwise indicated)

| | - | | (== == : : : : : : : : : : : : : : : : : | | , | | | |
|-------------------------|-----|-------------------|--|--|---------|--|--|--|
| IPEA | | Prelimi | nary examination fee ¹⁸ | Handling fee ^{3,18} (CHF 233) | | | | |
| AT | EUR | 159 | | EUR | 159 | | | |
| AU | AUD | 450 | (from 1.9.02: AUD 550 1,000 ¹⁹) | AUD | 267 | | | |
| CN | CNY | 1,500 | | CNY eq C | CHF 233 | | | |
| EP ¹⁵ | EUR | 1,530 | | EUR | 159 | | | |
| JP | JPY | 28,000 | | JPY | 17,100 | | | |
| KR | KRW | 150,000 | | KRW | 190,000 | | | |
| RU ¹⁶ | USD | 200 ²⁰ | 300 ²¹ | USD | 146 | | | |
| SE | SEK | 5,000 | | SEK | 1,570 | | | |
| US | USD | 490 | 750 ²² | USD | 146 | | | |

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Ecuador, Equatorial Guinea, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Philippines, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Tunisia, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia, Zambia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the PCT Administrative Instructions, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the Administrative Instructions)
- 5 The maximum number of designation fees payable is five
- 6 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 7 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 8 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 9 This fee is reduced by 80% where the applicant is a natural person.
- 10 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 11 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 12 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated in footnote 3. 13 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also PCT Applicant's Guide, Vol. I/B, Annex C (IB).
 14 The Japan Patent Office is competent only for international applications in Japanese.
- 15 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfills the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in PCT Newsletter No. 11/2000. The States concerned are those indicated in footnote 3, with the exception of Bulgaria, the Czech Republic, Estonia, Slovakia and Turkey
- 16 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 17 Payable when a corresponding prior US national application has been filed under 35 USC 111(a) and the basic filing fee for that US application has been paid.
- 18 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 19 Payable when the international search report was not issued by the Australian Patent Office.
- 20 Payable when the international search report was established by the Russian Patent Office.
- 21 In all cases where footnote 20 does not apply.
- 22 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see PCT Applicant's Guide, Vol. I/B, Annex C (US))

PCT CONTRACTING STATES AND TWO-LETTER CODES (116 on 1 August 2002)

| | | | | Store and |
|--|---|---|---|--|
| AE United Arab Emirates AG Antigua and Barbuda AL Albania ¹ AM Armenia (EA) AT Austria (EP) AU Australia AZ Azerbaijan (EA) BA Bosnia and Herzegovina BB Barbados BE Belgium (EP) ² BF Burkina Faso (OA) ² BG Bulgaria (EP) BJ Benin (OA) ² BR Brazil BY Belarus (EA) BZ Belize CA Canada CF Central African Republic (OA) ² CG Congo (OA) ² CH Switzerland (EP) CI Côte d'Ivoire (OA) ² CM Cameroon (OA) ² CN China | CO Colombia CR Costa Rica CU Cuba CY Cyprus (EP) ² CZ Czech Republic (EP) DE Germany (EP) DK Denmark (EP) DM Dominica DZ Algeria EC Ecuador EE Estonia (EP) FI Finland (EP) FI Finland (EP) FR France (EP) ² GA Gabon (OA) ² GB United Kingdom (EP) GD Grenada GE Georgia GH Ghana (AP) GM Gambia (AP) GM Gambia (AP) GN Guinea (OA) ² GQ Equatorial GUINEA (OA) ² GR Greece (EP) ² GW Guinea-Bissau (OA) ² HR Croatia HU Hungary | LK Sri Lanka LR Liberia LS Lesotho (AP) LT Lithuania ¹ LU Luxembourg (EP) LV Latvia ¹ MA Morocco MC Monaco (EP) ² | MK The former Yugoslav Republic of Macedonia ¹ ML Mali (OA) ² MN Mongolia MR Mauritania (OA) ² MW Malawi (AP) MX Mexico MZ Mozambique (AP) NE Niger (OA) ² NL Netherlands (EP) ² NL Netherlands (EP) RO Romania ¹ RU Russian Federation (EA) SD Sudan (AP) SE Sweden (EP) SG Singapore SI Slovenia ¹ SK Slovakia (EP) SL Sierra Leone (AP) | SN Senegal (OA)² SZ Swaziland (AP)² TD Chad (OA)² TG Togo (OA)² TJ Tajikistan (EA) TM Turkmenistan (EA) TM Turkey (EP) TT Trinidad and Tobago TZ United Republic of Tanzania (AP) UA Ukraine UG Uganda (AP) US United States of America UZ Uzbekistan VC Saint Vincent and the Grenadines (from 6 August 2002) VN Viet Nam YU Yugoslavia ZA South Africa ZM Zambia (AP) ZW Zimbabwe (AP) |

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated July 2002. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (July 2002 update), and can be printed from the WIPO Internet site, in editable PDF format, at: http://www.wipo.int/pct/en/forms/index.htm, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.

TIME LIMITS FOR ENTERING NATIONAL/REGIONAL PHASE UNDER PCT CHAPTERS I AND II

APPLICABLE ON 1 APRIL 2002 OR SUBSEQUENTLY (table updated on 1 August 2002—changes since 1 April 2002 are underlined)

(in months from priority date, or from international filing date if there is no priority claim)

Note that unless reference to a footnote is made, the time limit under Chapter I will apply, as from 1 April 2002, to international applications in respect of which the period of 20 months from the priority date expires on or after 1 April 2002 and in respect of which the acts referred to in PCT Article 22(1) have not yet been performed by the applicant. If the period of 20 months from the priority date expires before 1 April 2002, see the time limits in the corresponding table in *PCT Newsletter* No. 05/2001.

Note that detailed information on the excuse of delays in meeting time limits before certain designated/elected Offices is contained in the corresponding National Chapters of the *PCT Applicant's Guide*, Vol. II.

| DO/EO ¹ | CHAPTER I (under PCT Article 22) | CHAPTER II (under PCT Article 39(1)) | DO/EO ¹ | CHAPTER I (under PCT Article 22) | CHAPTER II (under PCT Article 39(1)) |
|-----------------------|--|--|-----------------------|--|--|
| | | Region | al Offices | | · |
| <u>AP¹</u> | <u>31*</u> | 31** | EP ¹ | 31*, ³ | 31** |
| EA | 31* ^{,2} | 31** | OA ¹ | 30 | 30 |
| | | Nation | al Offices | | |
| AE | 30 | 30 | <u>GB⁶</u> | <u>31*,⁷</u> | 31** |
| AG⁴ | 30 | 30 | GD⁴ | 30 | 30 |
| AL | 30 | 31** | GE | 30 | 31** |
| AM⁵ | 30 | 31** | GH ¹¹ | 30 | 30 |
| AT ⁶ | 30 | 30 | GM ¹¹ | 30 | 31** |
| AU | <u>31*^{,7}</u> | 31** | <u>HR</u> | <u>31*,⁷</u> | 31** |
| AZ⁵ | 30 | 31** | HU | 21*,*** | 30 |
| <u>BA</u> | 30 <u>(34⁸)</u> | 31** <u>(34⁸)</u> | ID | 30 | 31** |
| BB | 30 | 30 | IL | 20*** | 30 |
| BG | 21*,*** | 31** | <u>IN</u> | <u>31*,¹²</u> | 31** |
| BR | 20*** | 30 | IS | 30 | 30 |
| <u>BY⁵</u> | <u>31*</u> | 31** | JP | 20*** <u>(30¹³)</u> | 30 |
| BZ | 30 | 31** | KE ¹¹ | 30 | 30 |
| <u>CA</u> | <u>30 (42⁹)</u> | 30 (42 ⁹) | KG⁵ | 30 | 31** |
| CH ^{1,6} | 20*** | 30 | KP | 30 | 30 |
| CN | 20*** | 30 | KR | 20*** | 30 |
| СО | 30 | 31** | <u>KZ</u> ⁵ | <u>31*(33⁹)</u> | 31**(33 ⁹) |
| CR | <u>31*</u> | 31** | LC ^₄ | 30 | 30 |
| CU | 30 | 30 | LK | 30 | 30 |
| <u>CZ</u> | 31* ^{,10} | <u>31**,¹⁰</u> | LR | 30 | 31** |
| DE ⁶ | 30 | 30 | LS ¹¹ | 30 | 31** |
| DK ⁶ | 20*** | 30 | <u>LT</u> | <u>31*</u> | 31** |
| DM⁴ | 30 | 30 | LU ⁶ | 20*** | 30 |
| DZ | <u>31*</u> | 31** | <u>LV</u> | <u>31*</u> | 31** |
| <u>EC</u> | <u>31*</u> | 31** | MA | 30 | 31** |
| EE | 20*** | 30 | <u>MD⁵</u> | <u>31*</u> | 31** |
| ES ⁶ | 30 | 30 | MG | 30 | 30 |
| FI ⁶ | 20*** | 30 | <u>MK</u> | <u>31*</u> | 31** |

| | TIME LIMITS FOR ENTERING NATIONAL/REGIONAL PHASE UNDER PCT CHAPTERS I AND II APPLICABLE ON 1 APRIL 2002 OR SUBSEQUENTLY (table updated on 1 August 2002) [continued] | | | | | | | | | | |
|-------------------------|--|---------------|-------------------------|--------------------------|-----------------------|--|--|--|--|--|--|
| DO/EO ¹ | O ¹ CHAPTER I CHAPTER II DO/EO ¹ CHAPTER I CHAPTER II (under PCT (under PCT (under PCT (under PCT (under PCT Article 22)) Article 39(1)) Article 22) Article 39(1)) | | | | | | | | | | |
| | | National Offi | ces [continue | ed] | | | | | | | |
| MN | 30 | 31** | SL ¹¹ | 30 | 31** | | | | | | |
| MW ¹¹ | 30 | 30 | TJ⁵ | 30 | 31** | | | | | | |
| MX | 30 | 30 | тм⁵ | 30 | 31** | | | | | | |
| MZ ¹¹ | 30 | 31** | TN⁴ | 30 | 30 | | | | | | |
| NO | 20*** | 30 | TR ⁶ | 30 | 30 (33 ⁹) | | | | | | |
| <u>NZ</u> | <u>31*</u> | 31** | тт | 30 | 31** | | | | | | |
| OM ⁴ | 30 | 30 | TZ ¹¹ | 21*,*** | 31** | | | | | | |
| PH⁴ | 30 | 30 | UA | 30 | 31** | | | | | | |
| PL | 30 | 30 | UG ¹¹ | 21*,*** | 31** | | | | | | |
| PT ⁶ | 30 | 30 | US | 30 | 30 | | | | | | |
| RO | 30 | 30 | UZ | 30 | 31** | | | | | | |
| <u>RU</u> ⁵ | <u>31*</u> | 31** | <u>VN</u> | <u>31*</u> | 31** | | | | | | |
| SD ¹¹ | 30 | 30 | YU | 20*** (21 ⁹) | 30 (31 ⁹) | | | | | | |
| SE ⁶ | 20*** | 30 | ZA | 21*,*** | 31** | | | | | | |
| SG | 20*** | 30 | ZM ¹¹ | 20*** | 30 | | | | | | |
| <u>SI</u> | <u>31*</u> | 31** | ZW ¹¹ | 30 | 31** | | | | | | |
| <u>SK</u> | <u>31*^{,14}</u> | 31** | | | | | | | | | |

* This time limit has been fixed in accordance with PCT Article 22(3) by the Office concerned.

** This time limit has been fixed in accordance with PCT Article 39(1)(b) by the Office concerned.

*** This Office has notified the International Bureau of the non-applicability of the new time limit under PCT Article 22(1) of 30 months, as modified with effect from 1 April 2002.

- The Offices of the following PCT Contracting States do not act in the capacity of designated Offices (DOs)/elected Offices (EOs). The Office acting as DO/EO for each of those States is shown in parentheses: BE (EP), BF (OA), BJ (OA), CF (OA), CG (OA), CI (OA), CM (OA), CY (EP), FR (EP), GA (OA), GN (OA), GQ (OA), GR (EP), GW (OA), IE (EP), IT (EP), LI (CH), MC (EP), ML (OA), MR (OA), NE (OA), NL (EP), SN (OA), SZ (AP), TD (OA), TG (OA).
- 2. New time limit under PCT Article 22(3) applicable since 1 March 2002.
- 3. New time limit under PCT Article 22(3) applicable since 2 January 2002.
- 4. In the absence of information from the Office concerned, the time limits shown are those which would normally apply under PCT Articles 22(1) and 39(1)(a). If the Office decides to apply longer time limits, that information will be published in the *PCT Newsletter*.
- 5. If designated/elected for a Eurasian patent, see EA as DO/EO for the applicable time limits.
- 6. If designated/elected for a European patent, see EP as DO/EO for the applicable time limits.
- 7. The Office has withdrawn its notification of incompatibility of modified PCT Article 22(1) with the applicable national law with effect from 1 April 2002. This is the new time limit under PCT Article 22(3) applicable since 1 April 2002.
- 8. Time limit under PCT Article 22(3) or 39(1)(b), as the case may be, applicable with effect from 27 August 2002.
- 9. Time limit applicable if applicant pays an additional fee for late entry into the national phase. In the case of Canada, the new 42-month time limit under Chapter I is applicable with effect from 1 April 2002.
- 10. New time limit under PCT Article 22(3) or 39(1)(b), as the case may be, applicable since 18 January 2002.
- 11. If designated/elected for an ARIPO patent, see AP as DO/EO for the applicable time limits.
- 12. New time limit under PCT Article 22(3) applicable since 7 May 2002.
- 13. The Office has withdrawn its notification of incompatibility of modified PCT Article 22(1) with the applicable national law with effect from 1 September 2002. This is the time limit, applicable with effect from 1 September 2002, under PCT Article 22(1), in respect of international applications for which the 20-month time limit expires on or after 1 September 2002 and in respect of which the acts referred to in PCT Article 22(1) have not yet been performed by the applicant.
- 14. The Office has withdrawn its notification of incompatibility of modified PCT Article 22(1) with the applicable national law with effect from 1 August 2002. This is the new time limit under PCT Article 22(3) applicable with effect from 1 August 2002.

| State | National | ARIPO | Eurasian | European | ON ON 1 | Utility model | Utility model | Other |
|-----------------|----------|----------------|----------------|----------------|----------------|-------------------------------|-----------------------------------|---|
| Clair | patent | patent (AP) | patent (EA) | patent (EP) | patent (OA) | instead of national patent | in addition to national patent | |
| AE | Х | | | | | Х | | Patent of addition |
| AG ¹ | Х | | | | | | | |
| AL | Х | | | | | Х | | Extension of EP patent |
| AM | Х | | Х | | | Х | | Provisional patent |
| AT | Х | | | Х | | Х | Х | Patent of addition |
| AU | Х | | | | | | | Patent of addition |
| AZ | Х | | Х | | | Х | | |
| BA | Х | | | | | | | Patent of addition |
| BB | Х | | | | | | | |
| BE | | | | Х | | | | |
| BF | | | | | Х | OAPI utility model | | OAPI certificate of addition |
| BG | Х | | | Х | | Х | | |
| BJ | | | | | Х | OAPI utility model | | OAPI certificate of addition |
| BR | Х | | | | | Х | | Certificate of addition |
| BY | Х | | Х | | | Х | | |
| BZ | Х | | | | | Х | | |
| CA | Х | | | | | | | |
| CF | | | | | Х | OAPI utility model | | OAPI certificate of addition |
| CG | | | | | Х | OAPI utility model | | OAPI certificate of addition |
| CH + LI | Х | | | Х | | | | |
| CI | | | | | Х | OAPI utility model | | OAPI certificate of addition |
| СМ | | | | | Х | OAPI utility model | | OAPI certificate of addition |
| CN | X | | | | | X | | |
| CO | Х | | | | | Х | | |
| CR | Х | | | | | Х | | |
| CU | Х | | | | | | | Patent of addition, inventor's certificate, inventor's certificate of addition |
| CY | | | | X | | | | |
| CZ | X | | | X | | X | X | |
| DE | X | | | X | | X | X | Patent of addition |
| DK | X | | | X | | Х | Х | |
| DM ¹ | X | | | | | | | Contificates of a dativi |
| DZ | X | | | | | v | | Certificate of addition |
| EC | X | | | v | | X | ~ | |
| EE | X | | | X | | X | Х | Detent of out-litte |
| ES | X | | | X | | X | ~ | Patent of addition |
| FI | Х | | | X | | Х | Х | |
| FR | | | | X | | | | |
| GA | | | | | Х | OAPI utility model | | OAPI certificate of addition |
| GB | Х | | | Х | | | | |
| GD ¹ | Х | | | | | | | |
| GE | Х | | | | | Х | | |

| Other | Utility model | Utility model | OAPI | European | Eurasian | ARIPO | National | State |
|---|--------------------------|------------------------------------|----------------|----------------|----------------|----------------|----------|------------|
| Other | in addition to patent | instead of patent | patent (OA) | patent (EP) | patent (EA) | patent (AP) | patent | Sidle |
| Utility certificate | ARIPO utility model | ARIPO utility model | | | | Х | Х | GH |
| | ARIPO utility model | ARIPO utility model | | | | Х | х | GM |
| OAPI certificate of addition | | OAPI utility model | Х | | | | | GN |
| OAPI certificate of addition | | OAPI utility model | Х | | | | | GQ |
| | | | | Х | | | | GR |
| OAPI certificate of addition | | OAPI utility model | Х | | | | | GW |
| "Consensual patent" | | | | | | | Х | HR |
| | | Х | | | | | Х | HU |
| | | | | | | | Х | ID |
| | | | | Х | | | | IE |
| Patent of addition | | | | | | | Х | IL |
| Patent of addition | | | | | | | Х | IN |
| | | | | | | | Х | IS |
| | | | | х | | | | IT |
| | | х | | | | | Х | JP |
| | ARIPO utility model | National or ARIPO utility model | | | | Х | Х | KE |
| Provisional patent | | X | | | Х | | Х | KG |
| Inventor's certificate | | | | | | | Х | KP |
| | | Х | | | | | Х | KR |
| Provisional patent | | Х | | | Х | | Х | KZ |
| | | | | | | | Х | LC |
| | | · · · | | I | | | r' i | LI (see Cł |
| | | | | | | | Х | LK |
| | | | | | | | Х | LR |
| | ARIPO utility model | National or ARIPO utility model | | | | Х | Х | LS |
| Extension of EP patent | | | | | | | Х | LT |
| | | | | Х | | | Х | LU |
| Extension of EP patent | | | | | | | Х | LV |
| Certificate of addition | | | | | | | Х | MA |
| | | | | Х | | | | MC |
| | | Х | | | Х | | Х | MD |
| Certificate of addition | | | | | | | Х | MG |
| Patent of addition, extension of EP patent | | | | | | | Х | MK |
| OAPI certificate of addition | | OAPI utility model | Х | | | | | ML |
| | | | | | | | Х | MN |
| OAPI certificate of addition | | OAPI utility model | Х | | | | | MR |
| Patent of addition | ARIPO utility model | ARIPO utility model | | | | Х | Х | MW |
| | | Х | | | | | Х | MX |
| | ARIPO utility model | National or ARIPO utility model | | | | Х | Х | MZ |
| OAPI certificate of addition | | OAPI utility model | Х | Х | | | | NE |

| ΤY | PES OF | PROTE | | VAILAE | | THE PCT IN P inued] | CT CONTRAC | TING STATES |
|-------------------|--------------------|-------------------------|----------------------------|----------------------------|------------------------|---------------------------------------|---|---|
| State | National patent | ARIPO patent (AP) | Eurasian patent (EA) | European patent (EP) | OAPI patent (OA) | Utility model instead of patent | Utility model in addition to patent | Other |
| NO | Х | | | | | | | |
| NZ | Х | | | | | | | Patent of addition |
| OM ¹ | Х | | | | | | | |
| PH | Х | | | | | Х | | |
| PL | Х | | | | | Х | | Patent of addition |
| PT | Х | | | Х | | Х | | |
| RO | Х | | | | | | | Extension of EP patent ² |
| RU | Х | | Х | | | Х | | |
| SD | Х | Х | | | | ARIPO utility model | ARIPO utility model | |
| SE | Х | | | Х | | | | |
| SG | Х | | | | | | | |
| SI | X ³ | | | | | | | Patent of addition ³ , extension of EP patent ^{2,4} |
| SK | Х | | | Х | | Х | Х | |
| SL | Х | Х | | | | National or ARIPO utility model | ARIPO utility model | |
| SN | | | | | Х | OAPI utility model | | OAPI certificate of addition |
| SZ | | Х | | | | ARIPO utility model | ARIPO utility model | |
| TD | | | | | Х | OAPI utility model | | OAPI certificate of addition |
| TG | | | | | Х | OAPI utility model | | OAPI certificate of addition |
| TJ | Х | | Х | | | Х | | |
| ТМ | X | | Х | | | | | Provisional patent |
| TN | X | | | | | | | |
| TR | X | | | Х | | Х | | Patent of addition |
| TT TZ | X X | x | | | | ARIPO utility | ARIPO utility | Utility certificate |
| 114 | v | | | | | model | model | |
| UA UG | X X | х | | | | X ARIPO utility | ARIPO utility | Utility certificate |
| US | X | | | | 1 | model | model | |
| UZ | X | | | | | Х | | Provisional patent |
| VC ^{1,5} | X | | | | | | | |
| VN | X | | | | | | | Patents for utility solution |
| YU | X | | | | | | | Patent of addition, petty patent |
| ZA | Х | | | 1 | | | | Patent of addition |
| ZM ¹ | Х | Х | | | | ARIPO utility model | ARIPO utility model | |
| ZW | Х | х | | | | ARIPO utility model | ARIPO utility model | Patent of addition |

¹

Information regarding any other type of protection is not yet available. A request for an extension may be made at the time of entry into the regional phase before the European Patent Office, provided that the PCT application contained designations both for a European patent and of the State concerned. Such a request may not be made in the PCT request form itself. See the notes to the request form and the *PCT Applicant's Guide*, Vol. I/A, paragraphs 41 and 89, and Vol. II/A, Departure (ED) for further details on to the request to be followed. 2 National Chapter (EP) for further details as to the procedure to be followed. Type of protection available only for international applications filed on or before 6 December 2001. Sole type of protection available for international applications filed on or after 7 December 2001. Will become bound by the PCT on 6 August 2002.

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| | | BERS OF | THE WC | AND THE PARIS CONVE ORLD TRADE ORGANIZA 1 August 2002) | | | |
|-----------------------------|--------------|----------------|--------------|--|----------------|------------------|-----|
| States/Members | РСТ (116) | Paris (163) | WTO (144) | States/Members | РСТ | Paris | ₩ТО |
| Albania (AL) | Х | х | Х | Cameroon (CM) | Х | Х | х |
| Algeria (DZ) | Х | Х | - | Canada (CA) | Х | х | х |
| Angola (AO) | - | - | Х | Central African Republic (CF) | Х | х | Х |
| Antigua and Barbuda (AG) | Х | Х | Х | Chad (TD) | Х | х | Х |
| Argentina (AR) | - | Х | Х | Chile (CL) | - | х | Х |
| Armenia (AM) | Х | Х | - | China (CN) | X ¹ | X ^{1,2} | х |
| Australia (AU) | Х | х | х | Colombia (CO) | Х | Х | х |
| Austria (AT) | Х | х | х | Congo (CG) | Х | Х | х |
| Azerbaijan (AZ) | Х | Х | - | Costa Rica (CR) | Х | х | х |
| Bahamas (BS) | - | Х | - | Côte d'Ivoire (CI) | Х | х | х |
| Bahrain (BH) | - | Х | Х | Croatia (HR) | Х | х | х |
| Bangladesh (BD) | - | Х | Х | Cuba (CU) | Х | х | х |
| Barbados (BB) | Х | Х | Х | Cyprus (CY) | Х | х | Х |
| Belarus (BY) | Х | Х | - | Czech Republic (CZ) | Х | х | х |
| Belgium (BE) | Х | x | Х | Democratic People's Republic of Korea (KP) | х | x | _ |
| Belize (BZ) | Х | x | х | Democratic Republic of the Congo (CD) | _ | x | x |
| Benin (BJ) | Х | Х | Х | Denmark (DK) | Х | х | х |
| Bhutan (BT) | - | Х | - | Djibouti (DJ) | _ | х | х |
| Bolivia (BO) | - | Х | Х | Dominica (DM) | Х | х | х |
| Bosnia and Herzegovina (BA) | Х | Х | - | Dominican Republic (DO) | - | х | х |
| Botswana (BW) | _ | х | Х | Ecuador (EC) | Х | Х | х |
| Brazil (BR) | Х | х | Х | Egypt (EG) | - | Х | х |
| Brunei Darussalam (BN) | _ | - | Х | El Salvador (SV) | _ | Х | х |
| Bulgaria (BG) | Х | х | Х | Equatorial Guinea (GQ) | Х | Х | - |
| Burkina Faso (BF) | Х | Х | Х | X Estonia (EE) X X | | Х | |
| Burundi (BI) | - | Х | х | European Community | - | - | х |
| Cambodia (KH) | _ | х | _ | Fiji (FJ) | _ | _ | х |

| | | OF THE | WORLD | AND THE PARIS CONVE TRADE ORGANIZATION 1 August 2002) | | ed] | |
|---------------------------------|-----|--------|-------|---|-----|-------|-----|
| States/Members | РСТ | Paris | wто | States/Members | РСТ | Paris | νто |
| Finland (FI) | Х | х | х | Kuwait (KW) | - | - | х |
| France (FR) | х | х | х | Kyrgyzstan (KG) | Х | х | х |
| Gabon (GA) | х | x | х | Lao People's Democratic Republic (LA) | _ | x | _ |
| Gambia (GM) | Х | х | Х | Latvia (LV) | Х | х | Х |
| Georgia (GE) | х | х | х | Lebanon (LB) | - | х | - |
| Germany (DE) | Х | х | Х | Lesotho (LS) | Х | х | Х |
| Ghana (GH) | Х | х | Х | Liberia (LR) | Х | х | - |
| Greece (GR) | Х | Х | Х | Libyan Arab Jamahiriya (LY) | - | Х | - |
| Grenada (GD) | Х | Х | Х | Liechtenstein (LI) | Х | Х | Х |
| Guatemala (GT) | _ | Х | Х | Lithuania (LT) | Х | Х | Х |
| Guinea (GN) | х | х | х | Luxembourg (LU) | Х | х | х |
| Guinea–Bissau (GW) | х | х | х | Macau, China (MO) | - | _2 | х |
| Guyana (GY) | - | х | Х | Madagascar (MG) | Х | х | Х |
| Haiti (HT) | _ | х | х | Malawi (MW) | Х | х | х |
| Holy See (VA) | - | х | - | Malaysia (MY) | - | х | Х |
| Honduras (HN) | _ | х | х | Maldives (MV) | - | _ | х |
| Hong Kong, China (HK) | _1 | _1 | х | Mali (ML) | Х | х | х |
| Hungary (HU) | х | х | х | Malta (MT) | - | х | х |
| Iceland (IS) | х | х | х | Mauritania (MR) | Х | х | х |
| India (IN) | х | х | х | Mauritius (MU) | - | х | х |
| Indonesia (ID) | Х | х | Х | Mexico (MX) | Х | х | Х |
| Iran (Islamic Republic of) (IR) | _ | х | _ | Monaco (MC) | Х | х | - |
| Iraq (IQ) | - | х | _ | Mongolia (MN) | Х | Х | Х |
| Ireland (IE) | Х | Х | Х | Morocco (MA) | Х | х | Х |
| Israel (IL) | Х | х | Х | Mozambique (MZ) | Х | Х | Х |
| Italy (IT) | Х | Х | Х | Myanmar (MM) | - | - | х |
| Jamaica (JM) | - | Х | Х | Namibia (NA) | - | - | Х |
| Japan (JP) | Х | х | Х | Nepal (NP) | - | Х | - |
| Jordan (JO) | - | х | Х | X Netherlands (NL) X X | | Х | |
| Kazakhstan (KZ) | Х | х | - | New Zealand (NZ) X X | | Х | Х |
| Kenya (KE) | х | х | х | Nicaragua (NI) | _ | Х | х |

| | | | | | | Ι | I |
|--|----------------|-------|---------------------|---|-----|-------|-----|
| States/Members | PCT | Paris | ₩ТО | States/Members | PCT | Paris | ₩ТО |
| Niger (NE) | Х | Х | Х | Sri Lanka (LK) | Х | Х | Х |
| Nigeria (NG) | _ | х | Х | Sudan (SD) | Х | Х | - |
| Norway (NO) | Х | х | Х | Suriname (SR) | _ | Х | Х |
| Oman (OM) | Х | х | Х | Swaziland (SZ) | х | Х | Х |
| Pakistan (PK) | _ | - | Х | Sweden (SE) | х | Х | Х |
| Panama (PA) | - | х | х | Switzerland (CH) | х | х | Х |
| Papua New Guinea (PG) | _ | х | Х | Syrian Arab Republic (SY) | _ | Х | - |
| Paraguay (PY) | _ | х | х | Taiwan, Province of China ⁴ | - | _ | Х |
| Peru (PE) | - | х | Х | Tajikistan (TJ) | Х | Х | - |
| Philippines (PH) | Х | х | х | Thailand (TH) | - | - | х |
| Poland (PL) | Х | х | х | The former Yugoslav Republic of Macedonia (MK) | х | x | _ |
| Portugal (PT) | х | х | х | Togo (TG) | х | х | Х |
| Qatar (QA) | _ | х | Х | Tonga (TO) | _ | Х | - |
| Republic of Korea (KR) | х | х | Х | Trinidad and Tobago (TT) | х | х | Х |
| Republic of Moldova (MD) | Х | х | Х | Tunisia (TN) | х | Х | Х |
| Romania (RO) | Х | х | Х | Turkey (TR) | х | Х | Х |
| Russian Federation (RU) | Х | х | _ | Turkmenistan (TM) | х | Х | - |
| Rwanda (RW) | _ | х | Х | Uganda (UG) | х | Х | Х |
| Saint Kitts and Nevis (KN) | _ | х | Х | Ukraine (UA) | х | Х | - |
| Saint Lucia (LC) | Х | х | Х | United Arab Emirates (AE) | х | Х | Х |
| Saint Vincent and the Grenadines (VC) | X ³ | x | x | United Kingdom (GB) | Х | х | Х |
| San Marino (SM) | - | х | - | United Republic of Tanzania (TZ) | х | x | x |
| Sao Tome and Principe (ST) | _ | х | - | United States of America (US) | х | Х | Х |
| Senegal (SN) | Х | Х | Х | Uruguay (UY) | - | Х | Х |
| Sierra Leone (SL) | Х | х | Х | Uzbekistan (UZ) | Х | Х | - |
| Singapore (SG) | Х | х | х | Venezuela (VE) | - | Х | Х |
| Slovakia (SK) | Х | х | х | Viet Nam (VN) | Х | х | - |
| Slovenia (SI) | Х | х | х | Yugoslavia (YU) | Х | х | - |
| Solomon Islands (SB) | - | - | х | X Zambia (ZM) X X | | Х | |
| South Africa (ZA) | Х | Х | X Zimbabwe (ZW) X X | | Х | | |
| Spain (ES) | Х | Х | Х | | | | |

1. China has notified the Director General of WIPO that the PCT and the Paris Convention apply also to the Hong Kong Special Administrative Region. 2. China has notified the Director General of WIPO that the Paris Convention applies also to the Macau Special Administrative

Region.

 As from 6 August 2002.
 Also referred to as "Chinese Taipei" or, within the context of the WTO, as "Separate Customs Territory of Taiwan, Penghu, Kinmen and Matsu.

Receiving Offices which have notified the International Bureau under Section 801(b) of the Administrative Instructions that they are prepared to accept international applications containing the sequence listing part on an electronic medium¹ (situation on 1 August 2002)

| Receiving | Electron | ic media acce | epted by Of | fice | | |
|-----------|----------------|----------------|-------------|---------|----------|---|
| Offices | CD-R | CD-ROM | DVD-R | DVD-ROM | diskette | other |
| RO/AT | х | х | | | х | |
| RO/AU | X ² | X ² | | | | |
| RO/CZ | х | х | | Х | | CD-RW |
| RO/GB | | | | | | any electronic medium referred to in Annex C of the Administrative Instructions |
| RO/IB | х | | Х | | | |
| RO/IL | | х | | | | |
| RO/IN | | х | | Х | | |
| RO/SK | | х | | Х | | |

^{1.} Under Section 801(c) of the Administrative Instructions under the PCT, a receiving Office which has not made a notification under Section 801(b) may nevertheless decide, in a particular case, to accept an international application, the sequence listing part of which is filed with it under Section 801(a) in computer readable form. Should you wish to file such an application and the receiving Office with which you wish to file is not listed in the table, you should contact that Office to find out whether it will accept such a filing, and if so, which type of electronic media it accepts.

^{2.} In accordance with International Standard ISO 9660.