

PCT NEWSLETTER

PATENT
COOPERATION
TREATY

December 2003

No. 12/2003



WORLD
INTELLECTUAL
PROPERTY
ORGANIZATION

WIPO PUBLICATION No.115(E)
ISSN 1020-072X

New Enhanced International Search and Preliminary Examination Procedure

For an explanation of the new enhanced international search and preliminary examination procedure that will enter into force on 1 January 2004, see "Practical advice" on page 13. ■

Note About the Fee Tables

This issue contains two sets of fee tables. The first includes all the fees that are applicable on 1 December 2003, and the second takes into account the changes that will enter into effect on 1 January 2004, and includes the amounts that will be applicable on or after that date according to information available on 1 December 2003.

Apart from specific changes in fee amounts, the changes that have been introduced into the January 2004 fee tables are as follows:

- the columns entitled "Basic fee" and "Designation fee" have been deleted and replaced by a column entitled "International filing fee;"
- the new amount of the PCT-EASY reduction has been introduced along with the respective equivalent amounts in certain currencies;
- a reference to the new electronic filing reductions has been introduced in footnote 5;
- the list of States whose nationals and residents are entitled to the 75% reduction in the international filing fee (including the fee per sheet over 30) and the handling fee under certain conditions has been removed from the footnote relating thereto (footnote 3) and a new table has been prepared which takes into account the changes to the Schedule of Fees, item 4, which will enter into force on 1 January 2004 in connection with that reduction (that

is, the inclusion of the list of least developed countries whose nationals and residents, whether natural persons or not, are entitled to that reduction). The new table also includes a list of non-PCT Contracting States whose nationals and residents are entitled to the reduction under certain conditions if they file jointly with applicants from PCT Contracting States who fulfill the necessary requirements. That table is included in this issue, on page 27, and will be available on the Internet shortly. ■

Inside this issue

Modification of the Administrative Instructions under the PCT	2
Electronic filing and processing of international applications	2-3
PCT quiz	3
PCT Reform: fifth session of the Working Group on Reform of the PCT	3-4
PCT Newsletter subscriptions for 2004	4
Change in the color of PCT forms issued by the International Bureau	4
Ceasing of the rationalized international preliminary examination procedure at the EPO	4-5
PCT information update	5-13
Request Form (PCT/RO/101) in French	13
Overview of changes to the PCT system as from 1 January 2004— <i>corrigendum</i>	13
Practical advice	13-15
PCT seminar calendar	16
PCT fee tables	
December 2003	17-21
January 2004	22-26
Table: applicability of 75% reduction in certain PCT fees	27
PCT Contracting States and two-letter codes	28
<i>Tear-out sheets: PCT Newsletter subscription form (2004); PCT publications price lists (2004)</i>	



www.wipo.int/pct/en

**Selection of
PCT Materials on the Internet
(www.wipo.int/pct/en/)**

Home page, with links to what's new on the site
PCT Information Service

PCT filing

- *Basic Facts about the PCT*
- Forms
- Fees
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- *PCT Applicant's Guide*

PCT-EASY

PCT-SAFE

PCT legal texts and guidelines

- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions and search facility for Articles under the PCT
- PCT Receiving Office Guidelines
- PCT International Search Guidelines
- PCT International Preliminary Examination Guidelines
- PCT Contracting States
- Reservations and incompatibilities
- Notifications concerning non-applicability of modified time limit under PCT Article 22(1)
- PCT legal text index

PCT Gazette

PCT news

Seminar calendar and seminar materials

PCT meetings

- PCT Assembly and various committees

PCT Information Service

Telephone: +41 22 338 83 38
Fax: +41 22 338 83 39
E-mail: pct.infoline@wipo.int

**The *PCT Newsletter* is published by
The World Intellectual Property Organization**

1211 Geneva 20, Switzerland

Telephone: +41 22 338 91 11
E-mail: wipo.mail@wipo.int
Internet: www.wipo.int

To order the *PCT Newsletter* please contact the electronic bookshop at www.wipo.int/ebookshop and quote the WIPO publication No.115(E)

2003 Subscriptions

Regular mail: CHF 60 or USD 37
Priority mail: CHF 70 or USD 43
25% discount for two or more subscriptions
Binders: CHF 18 or USD 11

**Modification of the Administrative
Instructions under the PCT**

Following the adoption by the PCT Assembly, at its sessions held in Geneva from 23 September to 1 October 2002 and from 22 September 2003 to 1 October 2003, of amendments to the Regulations under the PCT, which will enter into force on 1 January 2004, modifications have been made to the Administrative Instructions under the PCT, including Annexes C, D and E and Appendix I of Annex F. Further details will be given, and the text of the modifications will be reproduced, in Section IV of *PCT Gazette* No. 51/2003 dated 18 December 2003, which will be available as from that date on the PCT website at:

www.wipo.int/pct/en/gazette/weekissu.htm

The modifications will also be reproduced as document PCT/AI/1 Rev.1 Add.9 and the text of the modifications of Appendix I of Annex F will be published as document PCT/AI/1 Rev.1 Add.10; both documents will be available shortly on the PCT website at:

www.wipo.int/pct/en/texts/index.htm ■

**Electronic Filing and Processing of
International Applications**

**National Board of Patents Registration of
Finland and Korean Intellectual Property
Office prepared to receive and process
international applications in electronic form**

The National Board of Patents Registration of Finland and the Korean Intellectual Property Office, in their capacity as receiving Offices, have notified the International Bureau under PCT Rule 89*bis*.1(d) that they are prepared to receive and process international applications in electronic form with effect from 1 January 2004.

Information on the Offices' requirements and practices with regard to the filing of international applications in electronic form, of which the Office has notified the International Bureau in accordance with Section 710(a) of the *Administrative Instructions*, will be published in *PCT Gazette* No. 51/2003, Section IV, pages 29014 and 29020, respectively, on 18 December 2003 and will be available on the PCT website, as from that date, at:

www.wipo.int/pct/en/gazette/weekissu.htm

It is recalled that where the international application is filed in electronic form, in accordance

PCT Quiz

Last month's question was:

If there are several applicants for an international application and no agent or common representative has been appointed to represent all of the applicants for the purposes of the international phase in general, and not all of the applicants have signed the request, who will be automatically considered to be the common representative (that is, to be the "deemed" common representative) of all the applicants?

- (a) the first-named applicant in the request;
- (b) the first-named applicant in the request who has the right to file an international application with the receiving Office;
- (c) the first-named applicant in the request who has the right to file an international application and who has signed the request; or
- (d) the applicant who has signed the request.

Answer. (b). In the case where no agent has been appointed under PCT Rule 90.1(a) and no common representative has been appointed under PCT Rule 90.2(a), it is the first-named applicant in the request who is entitled according to PCT Rule 19.1 to file an international application with the receiving Office who will be the deemed common representative (see PCT Rule 90.2(b)). This is independent of who has signed the request, or indeed of whether the request has been signed at all.

with and to the extent provided for in Part 7 and Annex F of the *Administrative Instructions*, a CHF 200 reduction in the international filing fee and handling fee is available if the text of the description, claims and abstract is not in character coded format, and a CHF 300 reduction in those fees is available if the text of the description, claims and abstract is in character coded format. ■

PCT Reform: Fifth Session of the Working Group on Reform of the PCT

The fifth session of the Working Group on Reform of the Patent Cooperation Treaty (PCT) was held in Geneva from 17 to 21 May 2003. The matters discussed by the Working Group included the following:

- *Late furnishing fee for late submission of sequence listings* – the Working Group supported the concept of permitting an International Searching Authority to charge a fee when it needed to request a sequence listing

in electronic form, or complying with the relevant standard, and agreed that the proposal should be further developed with a view to the Working Group agreeing on specific amendments at its next session, ready for submission to the PCT Assembly in September 2004.

- *Simplified protest procedure in case of non-unity of invention* – a simplified protest procedure was approved, with a view to amendments to Rules 40 and 68 being submitted to the PCT Assembly in September 2004.
- *Rectification of clear mistakes (obvious errors)* – the Working Group noted that the current drafting of Rule 91 had led to a wide variation in its interpretation by different Offices and agreed that the International Bureau should study the issues involved and develop a new proposal.
- *Central electronic deposit system for nucleotide and amino acid sequence listings* – the Working Group recognized the difficulties in filing sequence listings efficiently and agreed that the Secretariat should prepare a revised proposal, including a study of practical and legal considerations.
- *Divisional applications under the PCT* – the Working Group agreed not to proceed with proposals for permitting divisional applications to be made under the PCT.
- *Restoration of the right of priority* – the Working Group agreed to further develop proposals whereby the applicant could apply for restoration of the priority right in cases where the international application had been filed between 12 and 14 months after the date of filing of an earlier application from which it was desired to claim priority (equivalent to Article 13(2) of the Patent Law Treaty).
- *"Missing part" requirements* – the Working Group was generally in favor of the principle of allowing missing parts (such as a page of the description) to be incorporated into an international application without loss of filing date where the missing part was completely included in an earlier application from which priority was claimed (equivalent to Article 5(6) of the Patent Law Treaty). However, various legal and practical difficulties needed to be studied prior to a revised proposal being made.
- *Single recordal of certain changes after an application has entered the national phase* – the Working Group agreed that a study should be made on the possibility of providing a system whereby a single request might be submitted to the International Bureau to record

certain changes concerning the applicant, inventor, licensees or security interests in respect of two or more designated or elected Offices after the international application has entered the national phase.

- *Making greater use of international reports* – the Working Group noted a paper containing possibilities for Contracting States wishing to make greater use of international search and preliminary examination reports and will consider the matter further at its next session.
- *Declaration of source and genetic resources and traditional knowledge in patent applications* – a proposal by Switzerland was discussed, whereby specific provision would be made in the PCT permitting Contracting States to require the declaration of the source of genetic resources and traditional knowledge in international patent applications in the national phase and for applicants to include this information in the international application as filed in the international phase. The issue will be discussed further at the next session.

For further information, see the documents that were prepared for discussion at the meeting, as well as the Chair's summary of the session via:

www.wipo.int/pct/en/meetings

The sixth session of the Working Group is tentatively scheduled for 3 to 7 May 2004. ■

PCT Newsletter Subscriptions for 2004

The new subscription price for the paper version of the *PCT Newsletter* for the year 2004 will change, as follows:

- by regular mail: 70 Swiss francs or 51 US dollars
- by priority mail: 81 Swiss francs or 60 US dollars

The price in CHF of the *PCT Newsletter* binder will remain the same, but, due to fluctuations in the exchange rate, the price in USD will change, as follows:

- by regular mail: 18 Swiss francs or 13 US dollars
- by priority mail: 21 Swiss francs or 15 US dollars

Apart from changes in USD amounts due to fluctuations in the exchange rate, this will be the first time that the subscription price has changed since the *PCT Newsletter* was first published in 1994, despite the fact that the average number of pages per issue has steadily increased. Most issues now contain

either 16 or 20 pages (not including tear-out sheets and inserts), compared with an average of eight pages in 1994 and 1995.

Lists of the prices of the *Newsletter* and all other PCT publications (in both CHF and USD) are included as tear-out sheets in this issue.

Also included in this issue, as a tear-out sheet, is a *PCT Newsletter* subscription form for 2004. **If you are already a subscriber, it is not necessary to return the form** since, unless notification to the contrary is received from you by WIPO, **subscription renewal is automatic**; subscribers will shortly receive an invoice for 2004 subscriptions. You may, however, wish to use the subscription form to subscribe to additional copies—for subscriptions to more than one copy, you are entitled to 25% off the basic price for each copy subscribed to (including the first). You may also use the subscription form to order binders for the *PCT Newsletter*.

You are reminded that the contents of the *PCT Newsletter* can also be viewed on the Internet by clicking on “PCT News” on the PCT home page:

www.wipo.int/pct/en/index.html ■

Change in the Color of PCT Forms Issued by the International Bureau

Further to the announcement in *PCT Newsletter* No. 07/2003 that all PCT forms issued by the International Bureau would soon be printed on white paper instead of on the usual pink paper, the changeover date has now been fixed at 1 January 2004.

It is recalled that the change to a single color is a result of ongoing PCT automation activities at the International Bureau of WIPO, which will allow the International Bureau to benefit from “batch” printing orders using special high speed printers, and thereby decrease considerably the time required for printing. ■

Ceasing of the Rationalized International Preliminary Examination Procedure at the EPO

The European Patent Office (EPO) has informed the International Bureau that, **for international applications filed on or after 1 January 2004**, the EPO's rationalized international preliminary examination procedure (see below) is discontinued. Consequently, no request for “detailed” examination will be required, nor will the fee reduction specific to the rationalized procedure be available.

It is recalled that the European Patent Office (EPO) introduced a rationalized international preliminary examination procedure on 3 January 2002 (see *PCT Newsletter* Nos. 01, 02, 03 and 10/2002 and the notice of the President of the EPO of 2 November 2001, published in the *Official Journal of the EPO*, No 11/2001 (Internet: www.european-patent-office.org/epo/pubs/oj001/11_01). Under this procedure, **which will still apply to international applications filed before 1 January 2004**, unless an applicant requests detailed international preliminary examination, the application is automatically subjected to a “rationalized” examination, and a refund of two thirds of the international preliminary examination fee is given. ■

PCT Information Update

AU Australia (furnishing of copies of international applications)

The Australian Patent Office has changed its requirements as to whether a copy of the international application is to be furnished to it as designated (or elected) Office, as indicated below:

a copy is required only if the applicant expressly requests an earlier start of the national phase under PCT Article 23(2) at a time when the applicant has not received Form PCT/IB/308 and the Office has not received a copy of the international application from the International Bureau under PCT Article 20

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (AU))

BE Belgium (Internet address)

The Internet address of the Intellectual Property Office (Belgium) has changed, as follows:

Internet: <http://mineco.fgov.be/opri-die.htm>

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (BE))

BY Belarus (provisional protection after international publication; fees; deposits of microorganisms and other biological material: requirements of designated and elected Offices; required contents of translation; requirements concerning copies of the international application; special requirements)

A reference to an article of the Belarus Patent Law has been deleted from the provisions concerning provisional protection in Belarus after international publication where the design-

nation is made for the purposes of a national patent; the entry now reads as follows:

after international publication, the furnishing of a translation into Russian or, if the international application was filed in Russian, of a copy of the application as filed, gives the applicant provisional protection in the sense that he, upon grant of the patent, is entitled to damages.

The National Center of Intellectual Property (Belarus) has notified changes in its requirements concerning the deposit of microorganisms and other biological material, as follows:

time (if any) earlier than 16 months from priority date by which applicant must furnish:

the indications prescribed in PCT Rule 13*bis*.3(a)(i) to (iii):

the name of the depositary institution and accession number at the time of filing (must be in the description)

For the purposes of patent procedure before the Office, a deposit may be made not later than the priority date of the international application with any international or Belarusian depositary institution specialized for that purpose.

The amounts of the following fee, payable to the Office as receiving Office, have changed:

fee for priority document:	equivalent in BYR of:
	USD 10
plus, per sheet:	USD 0.5

There has been a change in the requirements of the Office as designated (or elected Office) concerning the contents of the translation, concerning whether a copy of the international application is required and concerning its special requirements for entry into the national phase, as follows:

required contents of the translation for entry into the national phase:

under PCT Article 22:

request,* description, claims (if amended, both as originally filed and as amended, together with any statement under PCT Article 19), any text matter of drawings

under PCT Article 39(1):

request,* description, claims, any text matter of drawings (if any of those parts has been amended, both as originally filed and as amended by the annexes to

the international preliminary examination report)

is a copy of the international application required?

a copy is required only if the Office has not received a copy of the international application from the International Bureau under PCT Article 20. This may be the case where the applicant expressly requests an earlier start of the national phase under PCT Article 23(2) or 40(2).

special requirements of the Office:

- instrument of assignment of the priority right where the applicants are not identical** [change to footnote only]
- appointment of an agent if the applicant is not resident in Belarus [no change]
- translation of the international application to be furnished in three copies [no change to footnote]
- verification of translation [no change]

* Not required if the form for entry into the national phase is used.

** This requirement may be satisfied if the corresponding declaration has been made in accordance with PCT Rule 4.17

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (BY), Vol. I/B, Annexes C (BY) and L, and Vol. II/A, National Chapter, Summary (BY))

BZ Belize (fees)

The amount of the following national fee, payable to the Belize Intellectual Property Office as designated (or elected) Office, has changed:

for utility model:

filing fee: BZD 150

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (BZ))

CA Canada (location; fees)

The location of the Canadian Patent Office has changed, as follows:

location:

50 Victoria Street
Gatineau
Quebec, Canada

The amounts of the following fee, payable to the Office as receiving Office, have changed:

transmittal fee: see Table I(a)

The amount of the following national fee, payable to the Office as designated (or elected) Office, has changed (the footnote relating to this fee has not changed):

basic national fee: CAD 400 (200)*

* The amount in parentheses is applicable in case of filing by a "small entity"...[for further information, see *PCT Applicant's Guide*]

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (CA), Vol. I/B, Annex C (CA), and Vol. II/A, National Chapter, Summary (CA))

CU Cuba (provisional protection after international publication)

The Cuban Industrial Property Office has deleted the reference to provisions of the law concerning provisional protection in Cuba after international publication.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (CU))

DE Germany (special provisions concerning earlier national applications from which priority is claimed; requirements concerning agent; fees)

Pursuant to its notification under PCT Rule 4.9(b), the German Patent and Trade Mark Office has specified to the International Bureau special provisions concerning earlier national applications from which priority is claimed, and the effects of such provisions, as follows:

Article III Section 4(3) of the Law on International Patent Treaties (IntPatÜG) provides that the filing of an international application designating Germany and claiming the priority of an earlier national patent application or utility model application filed with the German Patent and Trade Mark Office shall have the result that the earlier national application is deemed withdrawn upon entry into the national phase of the international application. However, this will only take effect if the national application whose priority is claimed relates to the same kind of protection as the international application (patent/patent or utility model/utility model). Where both applications relate to the same kind of protection, pursuant to Article III Section 4(2) IntPatÜG, the international application enters into the national phase without further action by the applicant, provided the German Patent and Trade Mark Office is both receiving Office and designated Office, and the international application has been filed in the German language. In this case,

the filing fee is deemed paid by payment of the transmittal fee (Article III Section 4(2), last sentence IntPatÜG). Consequently, if the applicant wants to avoid the withdrawal of the earlier national application, pursuant to PCT Rule 4.9(b), the applicant may exclude Germany from the automatic designation or may consider withdrawing the designation of Germany subsequent to the filing of the international application but before entry into the national phase.

There has been a change in the requirements as to who can act as agent before the German Patent and Trade Mark Office as receiving Office and as designated (or elected) Office, as follows:

who can act as agent?

any patent attorney or attorney-at-law,* resident in Germany or any national of a member State of the European Union or of a State party to the Agreement on the European Economic Area authorized to pursue certain professional activities (see Law on the qualifying Examination for Gaining Admission to the Profession of Patent Attorney and Law on the Professional Activities of European Lawyers in Germany), provided that a patent attorney or attorney-at-law,* resident in Germany, has been authorized to receive service of official communications.

* The list of patent attorneys may be obtained from the Patentanwältskammer (Chamber of Patent Attorneys), Postfach 260108, 80058 München, Germany, and the list of attorneys-at-law from the Rechtsanwaltskammer (Chamber of Attorneys-at-Law), Joachimstrasse 1, 53113 Bonn, Germany.

The Office has notified the International Bureau that the annual fee for the third year must be paid to it as designated (or elected) Office on the last day of the month containing the second anniversary (24 months) of the international filing date. If the applicant does not initiate early entry into the national phase, he does not have to pay the third annual fee before the expiration of the 30-month time limit under PCT Article 22(1) or 39(1)(a). This change concerns footnote 3 of the Summary.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (DE), Vol. I/B, Annex C (DE), and Vol. II/B, National Chapter, Summary (DE))

DK Denmark (special requirements)

The Danish Patent and Trademark Office has informed the International Bureau that its special requirement concerning the furnishing

of a deed of transfer where the applicant is not the inventor should be deleted.

(Updating of *PCT Applicant's Guide*, Vol. II/B, National Chapter, Summary (DK))

EE Estonia (required contents of translation)

The Estonian Patent Office has notified a change relating to the required contents of the translation in respect of a utility model application, for entry into the national phase before it as designated (or elected) Office. Modified footnote 1 relating thereto should now read as follows:

where the basic fee has been paid, within the time limit applicable under PCT Article 22 or 39(1), the translation may be filed within two months from the expiration of that time limit, provided that the additional fee for late furnishing of the translation has been paid within those two months.

(Updating of *PCT Applicant's Guide*, Vol. II/B, National Chapter, Summary (EE))

EP European Patent Office (fees)

For applicants filing international applications in electronic form with the European Patent Office as receiving Office, the equivalent amounts in EUR of the new electronic filing reductions have been established, with effect from 1 January 2004, as indicated in the fee tables as in force on 1 January 2004 (see footnote 5).

The Office has notified the International Bureau that there is no longer a surcharge for late filing of the request for examination to be paid to it as designated (or elected) Office.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (EP), and Vol. II/B, National Chapter, Summary (EP))

FI Finland (filing of international applications in electronic form; fees)

For information on the notification by the National Board of Patents Registration of Finland as receiving Office that it is prepared to receive and process international applications in electronic form with effect from 1 January 2004, see "Electronic filing and processing of international applications," on page 2.

For applicants filing international applications in electronic form with that Office, the equivalent amounts in EUR of the new electronic filing reductions have been established, with effect from 1 January 2004, as indicated in the

fee tables as in force on 1 January 2004 (see footnote 5).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (FI))

FR France (fees)

For applicants filing international applications in electronic form with the National Institute of Industrial Property (France) as receiving Office, the equivalent amounts in EUR of the new electronic filing reductions have been established, with effect from 1 January 2004, as indicated in the fee tables as in force on 1 January 2004 (see footnote 5).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (FR))

GB United Kingdom (questions about nationality and residence; who can act as agent)

The United Kingdom Patent Office has given supplementary details concerning the Patent Office division to which questions about nationality and residence of applicants from dependent territories of the United Kingdom should be referred—such questions should be referred to the Patents Legal Division, United Kingdom Patents Office. (See *PCT Applicant's Guide*, Vol. I/B, Annex C (GB), footnote 1.)

With relation to the information concerning those who can act as agents before the Office as receiving Office and as designated (or elected) Office, the address from which the list of registered patent agents may be obtained is now as follows:

The Registrar
c/o The Chartered Institute of Patent Agents
95 Chancery Lane
London WC2A 1DT, United Kingdom*

* E-mail: mail@cipa.org.uk; Internet: www.cipa.org.uk; telephone: (44-20) 74 05 94 50

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (GB), and Vol. II/B, National Chapter, Summary (GB))

IB International Bureau (fees)

For the purposes of the International Bureau as receiving Office, the equivalent amounts in USD and EUR of the new electronic filing reductions and of the "PCT-EASY" reduction have been established, with effect from 1 January 2004, as indicated in the fee tables as in force on 1 January 2004 (see Table I(a) and footnote 5).

As from 1 January 2004, there will be a change in the equivalent amount in EUR and

USD of the following fees payable to the International Bureau as receiving Office:

transmittal fee: see Table I(a) (fee tables (2))		
fee for priority document:	EUR	32
	USD	37
supplement for airmail:	EUR	6
	USD	7

As from that date, there will also be a change in the amount of the following fee:

copy of a document in the file (other than the record copy, the published application or the priority document) (PCT Rule 94.1):	CHF	5
plus, per page:	CHF	2

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B2 (IB) and Vol. I/B, Annex C (IB))

IN India (telephone and fax numbers)

The telephone and fax numbers of the Patent Office (India) have changed. The consolidated information for all branches is now as follows:

telephone:

Kolkata:	(91-33) 2247 44 01, 2247 44 02, 2247 44 03, 2240 66 85
New Delhi:	(91-11) 2587 12 55, 2587 12 56, 2587 62 57, 2587 12 58, 2587 72 45
Chennai:	(91-44) 2431 43 24, 2431 43 25, 2431 43 26, 2431 47 53
Mumbai:	(91-22) 2492 40 58, 2492 50 92, 2496 13 70

fax:

Kolkata:	(91-33) 2247 38 51, 2240 13 53
New Delhi:	(91-11) 2587 62 09, 2587 25 32
Chennai:	(91-44) 2431 47 50, 2431 47 51
Mumbai:	(91-22) 2490 38 52, 2495 06 22

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (IN))

IS Iceland (fees)

The amounts of the following fees, payable to the Icelandic Patent Office as receiving Office, have changed:

transmittal fee: see Table I(a)

The amounts of the following national fees, payable to the Office as designated (or elected) Office, have changed (the footnotes relating to those fees have not changed):

basic fee:	ISK	34,500
claim fee for each claim in excess of 10:	ISK	1,700
additional fee for late furnishing of translation:	ISK	12,500

annual fee for the first
three years: ISK 9,900

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IS), and Vol. II/B, National Chapter, Summary (IS))

JP Japan (special provisions concerning earlier national applications from which priority is claimed; fees)

The Japan Patent Office has specified to the International Bureau special provisions concerning earlier national applications from which priority is claimed, and the effects of such provisions, as follows:

Articles 41 and 42 of the Japan Patent Law and Articles 8 and 9 of the Japan Utility Model Law provide that the filing of an international application which contains the designation of Japan and claims the priority of an earlier national application having effect in Japan shall have the result that the earlier national application will be considered withdrawn after the expiration of 15 months from the priority date. If the applicant of an international application which claims the priority of an earlier national application wants to avoid this effect, the designation Japan will have to be withdrawn before the expiration of 15 months from the priority date, in order to avoid automatic withdrawal of the earlier-filed national application.

The amount of the following fee, payable to the Japan Patent Office as receiving Office, has changed:

transmittal fee: see Table I(a)

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (JP), and Vol. I/B, Annex C (JP))

KG Kyrgyzstan (fees)

There has been a change in the amounts of certain national fees (fees not indicated have not changed) and in the conditions of reduction of the national fees payable to the Kyrgyz Intellectual Property Office as designated (or elected) Office, as follows:

filing and provisional
examination fee:* USD 110
renewal fee for the third year: USD 120

* Must be paid within the time limit applicable under PCT Article 22 or 39(1). The requirement may still be complied with within two months from the expiration of that time limit, provided that a surcharge is paid.

(Updating of *PCT Applicant's Guide*, Vol. II/B, National Chapter, Summary (KG))

KZ Kazakhstan (location and mailing address; telephone numbers)

The Kazakh Patent Office has notified changes in its location and mailing address, and has introduced an additional telephone number, as follows:

location and mailing address:

general matters:

Kazakhstan Respublikasy Adilet
ministriginin Sanatkerlik menshik kuckyg
zhonindegi komiteti
48, Omarova St.
Astana 473000, Kazakhstan

application processing:

Ultyk sanatkerlik menshik instituty
6, R&M Abdullins St.
Almaty 480002, Kazakhstan

telephone: (7-3172) 39 07 65 (general matters)
(7-3272) 30 15 22
(application processing)

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (KZ))

KR Republic of Korea (special provisions concerning earlier national applications from which priority is claimed; filing of international applications in electronic form; fees)

Pursuant to its notification under PCT Rule 4.9(b), the Korean Intellectual Property Office has specified to the International Bureau special provisions concerning earlier national applications from which priority is claimed, and the effects of such provisions, as follows:

Article 56 of the Korean Patent Law provides that the filing of an international application which contains the designation of the Republic of Korea and claims the priority of an earlier national application for the grant of a utility model having effect in the Republic of Korea shall have the result that the earlier national application will be considered withdrawn. Where the earlier national application is for the grant of a patent, it will be considered withdrawn 15 months after the filing date of the earlier national patent application, unless (i) the earlier application has been abandoned, invalidated, withdrawn or rejected when the patent application is filed; (ii) if an examiner's decision of patentability or a trial decision rejecting the application has become final; (iii) if priority claims based on the earlier application concerned have been withdrawn, or (iv) if the earlier application has been registered under Article 35(2) of

the Utility Model Act. If the applicant of an international application which claims the priority of an earlier national application wants to avoid this effect, pursuant to PCT Rule 4.9(b), the applicant may exclude the Republic of Korea from the automatic designation or, with respect to the earlier national patent application, may consider to withdraw the designation of the Republic of Korea subsequently to the filing of the international application but before the expiration of 15 months from the priority date.

For information on the notification by the Korean Intellectual Property Office as receiving Office that it is prepared to receive and process international applications in electronic form with effect from 1 January 2004, see “Electronic filing and processing of international applications,” on page 2. Further to that notification, equivalent amounts in KRW of the new electronic filing reductions have been established with effect from the same date (see footnote 5 of the fee tables applicable on 1 January 2004).

(Updating of *PCT Applicant’s Guide*, Vol. I/A, Annex B1 (KR) and Vol. I/B, Annex C (KR))

MK The Former Yugoslav Republic of Macedonia (telephone and fax numbers)

The telephone and fax numbers of the Industrial Property Protection Office (The former Yugoslav Republic of Macedonia) have changed, as follows:

telephone: (389–2) 311 63 79, 313 71 89
 fax: (389–2) 311 60 41

(Updating of *PCT Applicant’s Guide*, Vol. I/A, Annex B1 (MK))

MX Mexico (location and mailing address; fees)

The location and mailing address of the Mexican Institute of Industrial Property have changed, as follows:

location and mailing address:
 Arenal 550, Col. Tepepan Xochimilco
 C.P. 16020, Mexico, D.F., Mexico

The amounts of the following national fees, payable to the Office as designated (or elected) Office, have changed:

for patent:			
filing fee:	MXP	6,310*	
	MXP	4,210**	
for utility model:			
filing fee:	MXP	1,830*	
	MXP	1,220**	

* Payable where the national phase is entered under PCT Article 22. This fee includes a 25% reduction based on the establishment of an international search report

** Payable where the national phase is entered under PCT Article 39(1). This fee includes a 50% reduction based on the establishment of an international preliminary examination report

(Updating of *PCT Applicant’s Guide*, Vol. I/A, Annex B1 (MX)), and Vol. II/C, National Chapter, Summary (MX))

RU Russian Federation (special provisions concerning earlier national applications from which priority is claimed; provisional protection after international publication; requirements concerning nucleotide and/or amino acid sequence listings; fees; required contents of the translation)

Pursuant to its notification under PCT Rule 4.9(b), the Russian Patent Office has specified to the International Bureau special provisions concerning earlier national applications from which priority is claimed, and the effects of such provisions, as follows:

Article 19.4 of the Russian Patent Law provides that the filing of an international application which contains the designation of the Russian Federation and claims the priority of an earlier national application shall have the result that the earlier national application will be considered withdrawn. If the applicant of an international application which claims the priority of an earlier national application wants to avoid this effect, pursuant to PCT Rule 4.9(b), the applicant may exclude the Russian Federation from the automatic designation or may consider withdrawing the designation of the Russian Federation subsequent to the filing of the international application but before entry into the national phase.

There has been a change in the provisions concerning provisional protection in the Russian Federation after international publication where the designation is made for the purposes of a national patent; the provisions now read as follows:

from the time of the international publication (if in Russian) or, where that publication was in a language other than Russian, then from the time of the publication of the translation of that application into Russian by the Russian Patent Office, the applicant is entitled to provisional protection in conformity with the national legislation (see Articles 22 and 37(3) of the Patent Law).

There has been a change in the requirements of the Russian Patent Office as International Searching Authority concerning the presentation of sequence listings under PCT Rule 13~~ter~~.1, as follows:

requirements concerning nucleotide and/or amino acid sequence listing:
computer readable form required

The Office has introduced new conditions relating to the reduction of the examination fee, payable to it as designated (or elected) Office as follows (other reductions not indicated have not changed):

exemptions, reductions or refunds of the national fee:

the examination fee shall be reduced by 50% where the international search report has been established by the Russian Patent Office, or by 20% where the international search report has been established by any of the other International Searching Authorities.

The Office has notified the following changes relating to the required contents of the translation for entry into the national phase before it as designated (or elected) office: a translation of the request is no longer needed; also if the requirements are not already complied with within the time limit applicable under PCT Article 22 or 39(1), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation (change to footnote).

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (RU), Annex D (RU), and Vol. II/D, National Chapter, Summary (RU))

SE Sweden (requirements concerning nucleotide and/or amino acid sequence listings)

The Swedish Patent Office as International Searching Authority has notified changes in the types of electronic medium that it accepts for the furnishing in computer readable form of a nucleotide and/or amino acid sequence listing, as follows:

which types of electronic medium does the Authority require?

diskette formatted 1.44 MB, CD-ROM, CD-R

See also "Receiving Offices prepared to accept international applications containing sequence listing part filed on an electronic medium," below.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (SE))

SK Slovakia (location and mailing address)

The location and mailing address of the Industrial Property office (Slovakia) has changed, as follows:

Jána Švermu 43
P.O. Box 7
974 04 Banská Bystrica 4, Slovakia

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (SK))

US United States of America (teleprinter address; provisions of law concerning international-type search; fees)

The United States Patent and Trademark Office has informed the International Bureau of the discontinuance of its teleprinter, and has notified changes in the references concerning international-type search—the reference to Sections 1.104(c) and (d) should now read Sections 1.104(a)(3) and (a)(4).

As from 1 January 2004, there will be a change in the amount of the following fee, payable to the United States Patent and Trademark Office as receiving Office:

transmittal fee: see Table I(a)
(PCT fee tables (2))

The Office has also given further details about where to obtain the applicable amounts of the fees payable to it as receiving Office, International Searching Authority, International Preliminary Examining Authority and designated (or elected) Office, respectively; the amounts of the fees concerned are available from either the Office/Authority concerned or from the *Official Gazette of the United States Patent and Trademark Office-Patents* available at:

www.uspto.gov/web/offices/com/sol/og/index.html

The Office has notified changes in references in respect of the required contents of the translation for entry into the national phase.

The footnote relating thereto should now read:

if the translation of the amendments is not furnished, the amendments are considered to be cancelled (37 CFR 1.495(d) and (e))

((Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (US), Vol. I/B, Annex C (US), footnote 4, Vol. I/B, Annexes D (US), footnote 2, and E (US), footnote 2, and Vol. II/D, National Chapter, Summary (US), footnotes 2 and 4)

Language(s) accepted for the filing of requests: notification by receiving Offices under PCT Rule 12.1(c) (Ireland, Norway)

Under amended PCT Rule 12.1(c), which entered into force on 1 January 2003, the

receiving Offices of the following States have notified the International Bureau of the language which they are prepared to accept for the filing of requests, as follows:

IE	Ireland	English
NO	Norway	English

This updates the table published in *PCT Newsletter* No. 01/2003, on page 10, and *PCT Applicant's Guide*, Vol. I/B, Annex C (IE and NO).

Search fee and other fees relating to the international search (Australian Patent Office, European Patent Office, Japan Patent Office, Swedish Patent Office and the United States Patent and Trademark Office)

As from 1 January 2004, there will be a change in the amount payable in AUD (the equivalent amounts in certain other currencies have not yet been established—check with the receiving Office) for an international search carried out by the Australian Patent Office, as indicated in Table I(b), as well as a change in the amount of the additional search fee, as indicated below:

additional search fee: AUD 1,200

That Office has also notified changes regarding the conditions for refund and amount of refund of the search fee, as follows (other conditions have not changed):

- where the Authority benefits from an earlier search: refund of 25% or 50%, depending upon the extent of the benefit

As from 1 January 2004, there will be a change in the amount payable in EUR, and of the equivalent amounts payable in CAD, CHF, CYP, DKK, GBP, ISK, JPY, MWK, NOK, NZD, SEK, SGD, USD and ZAR, for an international search carried out by the European Patent Office, as indicated in Table I(b), as well as a change in the amount of the additional search fee, as indicated below:

additional search fee: EUR 1,550

As from 1 January 2004, there will be a change in the amount payable in JPY (the equivalent amounts in certain other currencies have not yet been established—check with the receiving Office) for an international search carried out by the Japan Patent Office, as indicated in Table I(b), as well as a change in the amount of the additional search fee, as indicated below:

additional search fee: JPY 78,000

That Office has also notified changes regarding the conditions for refund and amount of refund of the search fee, as follows (other conditions have not changed):

- where the Authority benefits from an earlier search to a considerable extent: refund of JPY 41,000, upon request

As from 1 January 2004, there will be a change in the amount payable in SEK, and of the equivalent amounts in CHF, DKK, EUR, ISK, NOK and USD, for an international search carried out by the Swedish Patent Office, as indicated in Table I(b), as well as a change in the amount of the additional search fee, as indicated below:

additional search fee: SEK 13,870

That Office has also notified changes regarding the conditions for refund and amount of refund of the search fee, as follows (other conditions have not changed):

- where on an earlier application, the priority of which is claimed, a search report has been issued by the Danish Patent Office, the National Board of Patents and Registration of Finland, the Norwegian Patent Office or the Icelandic Patent Office, and where the Authority benefits from that search report: refund of SEK 1,400
- where on an earlier application, the priority of which is claimed, a search report has been issued by the Swedish Patent and Registration Office, and where the Authority benefits from that search report: refund of SEK 2,800

As from 1 January 2004, there will be a change in the amount payable in USD (the equivalent amounts in certain other currencies have not yet been established—check with the receiving Office) for an international search carried out by the United States Patent and Trademark Office, as indicated in Table I(b) (fee tables (2)) (note that the conditions governing the payment of the lower of the two amounts have changed—see footnote 15), as well as a change in the amount of the additional search fee, as indicated below:

additional search fee: USD 1,000

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AU, EP, JP, SE and US))

Preliminary examination fee and other fees relating to the international preliminary examination (Japan Patent Office and United States Patent and Trademark Office)

As from 1 January 2004, there will be a change in the equivalent amount payable in

JPY for an international preliminary examination carried out by the Japan Patent Office, as indicated in Table II (fee tables (2)), as well as a change in the amount of the additional preliminary examination fee, as indicated below:

additional preliminary examination fee:	JPY	21,000
---	-----	--------

As from 1 January 2004, there will be a change in the equivalent amount payable in USD for an international preliminary examination carried out by the United States Patent and Trademark Office, as indicated in Table II (fee tables (2)), as well as a change in the amount of the additional preliminary examination fee, as indicated below:

additional preliminary examination fee:	USD	600
---	-----	-----

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (JP and US))

Receiving Offices prepared to accept international applications containing sequence listing part filed on an electronic medium

The Swedish Patent Office, in its capacity as receiving Office, has notified the International Bureau that, as from 1 January 2004, it will be prepared to accept the filing of international applications containing a sequence listing part and/or tables related thereto, filed on an electronic medium under Section 801(a)(i) or (ii) of the Administrative Instructions. The Office has specified, pursuant to Section 801(b), the types and number of electronic carriers for the presentation of sequence listings and/or tables related thereto, as indicated below.

types of electronic carrier accepted by the receiving Office:	
diskette formatted 1.44 MB, CD-ROM, CD-R	
number of copies of electronic carrier required by the receiving Office:	1

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (SE)) ■

Request Form (PCT/RO/101) in French

The International Bureau would like to draw users' attention to a minor discrepancy in the Request Form in French. The sheet containing Boxes V–VIII is numbered “(troisième feuille)” in the French edition and “(second sheet)” in the English edition. This difference in page numbering will be rectified in the next edition of the Request Form. ■

Overview of Changes to the PCT System as from 1 January 2004—*corrigendum*

In the above-mentioned article that was published in *PCT Newsletter* No. 11/2003, there is an incorrect reference to the PCT Regulations in the text on page 3, under the subheading “Requirements regarding the indication of the applicant's address, nationality and residence”. The reference to “PCT Rule 51 *bis*.1(a)(vi)” should read: “PCT Rule 51 *bis*.1(a)(vii).” ■

Practical Advice

Outline of the new international search and preliminary examination procedure

Q: In connection with the entry into force on 1 January 2004 of the PCT Regulations governing the new enhanced international search and preliminary examination system, I am trying to decide whether to file a demand for international preliminary examination in respect of a number of international applications that I am due to file at the beginning of 2004, or whether just to proceed under Chapter I, making use of the new written opinion of the International Searching Authority. Could you explain the procedure under Chapter I and the procedure under Chapter II, so that I can make a more informed decision as to how to proceed?

*A: It is recalled that in October 2002 the PCT Assembly adopted amendments to the PCT Regulations to introduce an “enhanced international search and preliminary examination system” aimed at rationalizing the PCT international search and international preliminary examination procedures (see *PCT Newsletter* No. 10/2002, cover page). Under the new system, one of the main elements of the present international preliminary examination procedure under Chapter II, namely, the establishment of a written opinion, will be incorporated into the international search procedure under Chapter I. The new system will apply to all PCT applications filed on or after 1 January 2004.*

The following text explains, first of all, the new procedure that will apply to all international applications filed on or after 1 January 2004, whether or not a demand is filed (1(a)). This will be followed by an explanation of the subsequent procedure in the case where a demand is not filed (1(b)), and then by an explanation of the subsequent procedure in the case where a demand is filed (2).

1. Procedure under Chapter I

(a) Features of the new search and examination system common to all international applications, whether or not a demand is filed:

The International Searching Authority (ISA) will establish, **for all international applications filed on or after January 1, 2004**, a written opinion, at the same time as establishing the usual international search report (ISR) (new PCT Rule 43*bis*). Note that a written opinion will be established independent of whether the opinion is positive or negative and independent of whether the ISA makes a declaration under PCT Article 17(2)(a) that no ISR will be established.

The main features of the written opinion of the ISA will be as follows:

- it will be a preliminary non-binding opinion on the issues of novelty, inventive step and industrial applicability, similar in content and roughly equivalent to the current written opinion of the International Preliminary Examining Authority (IPEA);
- it will be transmitted by the ISA to the International Bureau (IB) and to the applicant, together with the ISR (or the declaration under PCT Article 17(2)(a), as the case may be);
- unlike the ISR, it will not be published together with the international application;
- the IB and the ISA will not, unless requested or authorized by the applicant, allow access to it by any person or authority before the expiration of 30 months from the priority date (new PCT Rule 44*ter*.1(a)(i)).

(b) If no demand is filed:

Unlike the procedure under Chapter II, the applicant will not be given the opportunity to file amendments or arguments with the ISA prior to the establishment of the written opinion of the ISA.

After the establishment of the written opinion of the ISA, however, even though there is no express provision for it in the PCT Regulations, if the applicant so desires, it will be possible for him to submit written comments to the IB on an “informal” basis. Note that there are no specific provisions as to the contents of any such informal comments, but they should be clearly marked as “informal comments” so that they are handled as such by the IB. This will

be the only chance that the applicant will have to rebut the written opinion of the ISA in the international phase if a demand is not filed. Although any such informal comments will be forwarded to designated Offices together with the report mentioned below, they will not be transmitted to the ISA (or indeed to the IPEA if a demand is filed later, in which case any response to the written opinion would need to be submitted to the IPEA under PCT Article 34 as part of the international preliminary examination procedure). It will then be up to the designated Offices to decide whether and to what extent to take the informal comments into account in the national phase.

In addition, it will, of course, continue to be possible to submit amendments to the claims under PCT Article 19 following the establishment of the ISR.

If no demand has been filed, the written opinion established by the ISA will be subsequently converted by the IB into an “international preliminary report on patentability (Chapter I of the Patent Cooperation Treaty)” (IPRP (Chapter I)), with essentially the same content as the written opinion of the ISA. That IPRP (Chapter I) will be:

- communicated to the designated Offices by the International Bureau, but not before the expiration 30 months from the priority date (new PCT Rule 44*bis*) (although the written opinion of the ISA, as opposed to the IPRP (Chapter I), may be communicated to a particular designated Office earlier than this in a case where the applicant has requested early national processing under Article 23(2) before that Office);
- made available by the IB for public inspection but not before the expiration of 30 months from the priority date (new PCT Rule 44*ter* and PCT Rule 94.1(b)).

If the IPRP (Chapter I) has been issued in a language other than the official language, or one of the official languages, of a designated Office, the designated Office may require a translation of the IPRP (Chapter I) into English, in which case the International Bureau will establish such a translation and submit it to the designated Office and the applicant. The applicant will be given an opportunity to comment on the correctness of the translation, in the same way as at present for the international preliminary examination report (see new PCT Rule 44*bis*.3 and 4).

2. Procedure under Chapter II

Features of the new preliminary examination system where a demand is filed

The written opinion which has been established by the ISA will, in general, be used by the IPEA as its own first opinion, unless the IPEA notifies the IB to the contrary (PCT Rule 66.1 *bis*).

Unlike the procedure under Chapter I, the applicant will be given the opportunity to file amendments to the description and drawings as well as to the claims (under PCT Article 34(2)(b)) and arguments concerning the written opinion, which will be taken into account by the IPEA. The IPEA may, at its discretion, issue further written opinions, provided that sufficient time is available, the applicant makes an effort to meet the examiner's objections and the Authority has sufficient resources to provide such services.

An international preliminary examination report will be issued by the IPEA, in general, at around 28 months from the priority date (for exceptions to this, see new PCT Rule 69.2) and will bear the title "international preliminary report on patentability (Chapter II of the Patent Cooperation Treaty)" (IPRP (Chapter II)). This report will:

- be transmitted by the IB to each elected Office, but, unless the applicant has made an express request to an elected Office under PCT Article 40(2), not before the expiration of 30 months from the priority date (new PCT Rule 73.2(a)) (any arguments submitted by the applicant to the IPEA will also be accessible, upon request, to elected Offices as part of the file of the IPEA);
- be made publicly available by the IB, provided that an elected Office has requested that this service be provided on its behalf, but not before the expiration of 30 months from the priority date unless so requested by the applicant (new PCT Rule 94.1(c)).

Factors in deciding whether to file a demand

The decision as to whether to file a demand or not in respect of an international application therefore may depend, to a large extent, on the results of the international search and the contents of the written opinion of the ISA and, where the written opinion of the ISA is not entirely positive, whether the applicant wishes to use the international preliminary examina-

tion procedure with a view to obtaining a positive IPRP (Chapter II), either by presenting his arguments to the IPEA examiner (either in writing, or orally) or by filing amendments to the description, claims and drawings. A positive IPRP (Chapter II) could have considerable influence on the further prosecution of the application before the national Offices, in particular before those which do not require, or do not have the resources to conduct themselves, substantive examination of all international applications before granting a patent.

The new time limit for filing a demand is 3 months from the date of transmittal of the ISR and the written opinion of the ISA, or 22 months from the priority date, whichever expires later. It is important to note, however, that a demand should still be filed prior to the expiration of 19 months from the priority date if the applicant wishes to postpone entry into the national phase before those elected Offices which have not withdrawn their notifications of the incompatibility of the time limit under PCT Article 22(1) with the applicable national law (see the "Practical advice" in *PCT Newsletter* No. 01/2002).

Note that for any international applications already filed or to be filed before 31 December 2003, the current international search and preliminary examination procedure will still apply.

A consolidated version of the PCT Regulations, applicable from 1 January 2004, may be viewed and/or downloaded from the "New on the PCT website" box on the home pages of the PCT website:

www.wipo.int/pct/en/

The individual texts of the new/amended rules that will enter into force on 1 January 2004 are reproduced in documents PCT/A/31/10 and PCT/A/32/8, which are available on the PCT website, by selecting both the thirty-first and thirty-second sessions, at:

www.wipo.int/pct/en/meetings/assemblies/index.htm

For further information on the applicability of the new/amended rules relating to the new enhanced international search and preliminary examination procedure, see the "Practical advice" in *PCT Newsletter* No. 10/2003; for information on the ceasing of the rationalized international preliminary examination procedure at the European Patent Office see page 4 of this issue. ■

PCT Seminar Calendar			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
10 December 2003 London (GB)	English	PCT-EASY Workshop WIPO speaker: Mrs. Featherby	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
11-12 December 2003 London (GB)	English	Advanced PCT course WIPO speaker(s): Mr. Bames and Mr. Reischle	Management Forum Ltd (see above)
17 December 2003 Kathmandu, Nepal	English	WIPO National Seminar on the PCT WIPO speaker: Ms. Rahimi	Department of Industries, Ministry of Industry, Commerce and Supplies (Nepal) (Mr. Madhu Soodan Poudyal) Tel: (977-1) 26 12 01/3 Fax: (977-1) 26 11 12 E-mail: doi@ecomail.com.np
18-19 December 2003 Managua (NI)	Spanish	WIPO Workshop on the Promotion of the Use and Implementation of the Procedures of the Patent Cooperation Treaty (PCT) and its Relationship with the Services Supporting Inventors: National Experiences in Latin America WIPO speaker: Mr. Hernández Vigaud	Intellectual Property Register, Ministry for Economy and Development (Nicaragua) (Dra. Ambrosia Lezama Zelaya) Tel: (505) 267 24 17; 267 3061 Fax: (505) 267 53 93
20-21 December 2003 Damascus (SY)	Arabic/ English	WIPO National Seminar on the PCT WIPO speakers: Mr. Abdelaziz and Mr. Regis	Directorate of Commercial and Industrial Property Protection, Ministry of Trade (Syrian Arab Republic) (Mr. Bachir Hazaa) Tel: (963-11) 513 73 34/8/9 Fax: (963-11) 512 23 90/512 01 07
7-9 January 2004 Zurich (CH)	English	PCT presentation within the framework of a Postgraduate Program in Intellectual Property WIPO speakers: Mr. H.G. Bartels and Mr. Bames Other speaker: Mr. Jenny (European patent attorney)	Swiss Federal Institute of Technology Zurich (ETH), NDS Intellectual Property (Ms. Lili Tsiamis) Tel: (41-1) 632 68 08 Fax: (41-1) 632 13 67 E-mail: tsiamis@recht.gess.ethz.ch Internet: www.ndsip.ethz.ch
21 January 2004 Munich (DE)	German	PCT update seminar WIPO speaker: Mr. Matthes	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de
12-13 February 2004 Munich (DE)	German	Advanced PCT course WIPO speaker: Mr. Reischle Other speaker: Mr. Wolff (European Patent Office)	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de
10-11 March 2004 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33-4) 78 33 07 08 Fax: (33-4) 78 33 58 96
16-17 April 2004 Concord, New Hampshire (US)	English	Basic PCT seminar for patent attorneys and patent administrators WIPO speaker: Mr. Maassel Other speaker: Mr. Reed (The Procter & Gamble Company (US))	Franklin Pierce Law Center (Ms. Carol Ruh) Tel: (1-603) 228 15 41, ext. 1108 Fax: (1-603) 224 33 42 E-mail: cruh@piercelaw.edu Internet: www.piercelaw.edu/TreatySem/Treatsem.htm
27 April 2004 Paris (FR)	French	PCT presentation for patent attorneys WIPO speaker: Ms. Boutillon	Fondation Nationale pour le Droit de l'Entreprise (FNDE) (Mrs. Heuzé) Tel: (33-1) 42 66 18 19 Fax: (33-1) 42 66 17 37 E-mail: pheuze@fnde.asso.fr

PCT Fee Tables (1) (as at 1 December 2003)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software or where the international application is filed in electronic form, as prescribed; see footnotes 2 and 7 for details. A 75% reduction in the basic fee, the fee per sheet over 30, the designation fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 12 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated *after* the CHF 200 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of –	BGL	Bulgarian lev	GBP	Pound sterling	LSM	Lesotho loti	SEK	Swedish krona
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GEL	Georgian lari	LTL	Lithuanian litas	SGD	Singapore dollar
ISA	International Searching Authority	BYR	Belarussian rouble	GHC	Ghanaian cedi	LVL	Latvian lat	SIT	Slovenian tolar
n a	not applicable	BZD	Belize dollar	HRK	Croatian kuna	MAD	Moroccan dirham	SKK	Slovak koruna
RO	receiving Office	CAD	Canadian dollar	HUF	Hungarian forint	MDL	Moldovan leu	TJS	Tajik somoni
		CHF	Swiss franc	IDR	Indonesian rupiah	MKD	Macedonian denar	TND	Tunisian dinar
		CNY	Yuan renminbi	ILS	New Israel shekel	MWK	Malawian kwacha	TTD	Trinidad and Tobago dollar
		COP	Colombian peso	INR	Indian rupee	MXP	Mexican peso	UAH	Ukrainian hryvnia
		CUP	Cuban convertible peso	ISK	Icelandic krona	NOK	Norwegian krona	USD	US dollar
		CYP	Cyprus pound	JPY	Japanese yen	NZD	New Zealand dollar	VND	Vietnamese dong
		CZK	Czech koruna	KES	Kenyan shilling	PHP	Philippine peso	YUD	Yugoslavian dinar
		DKK	Danish krone	KGS	Kyrgyz som	PLZ	Polish zloty	ZAR	South African rand
		DZD	Algerian dinar	KPW	KP won	ROL	Romanian leu	ZWD	Zimbabwe dollar
		EEK	Estonian kroon	KRW	KR won	RUR	Russian rouble		
		EUR	Euro	KZT	Kazakh tenge	SDP	Sudanese pound		

**Table I(a) — Transmittal, basic and designation fees
(as at 1 December 2003)**

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ^{2,7} (CHF 200)	Competent ISA(s) ⁸
AE	AED ⁹ —	AED eq CHF 650	15	140	n a	AT AU
AG	Information not yet available					
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 476	12	104	148	EP RU
AP	USD 50 (or eq in local currency)	USD 476	12	104	148	AT EP SE
AT	EUR 50	EUR 444	10	96	137	EP
AU	AUD 100	AUD 746	17	161	230	AU
AZ	AZM 55,000	USD 476	12	104	n a	EP RU
BA	EUR 25.56	EUR 444	10	96	137	EP
BE	EUR 40	EUR 444	10	96	137	EP
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT EP SE US
BY	BYR eq USD 70	USD 476	12	104	n a	EP RU
BZ	BZD 220	USD 476	12	104	148	EP
BW	Information not yet available					

[continued on next page]

PCT Fee Tables (1)

(as at 1 December 2003)

Table I(a) — Transmittal, basic and designation fees [continued]

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ^{2,7} (CHF 200)	Competent ISA(s) ⁸
CA	CAD 300	CAD 643	15	138	198	EP
CH	CHF 100	CHF 650	15	140	200	EP
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CO	COP ⁹ —	COP eq USD 476	eq USD 12	eq USD 104	eq USD 148	AT, EP, ES, RU
CR	USD 175	USD 476	12	104	148	EP ES
CU	USD (or eq CUP)200	USD (or eq CUP)476	12	104	148	AT EP ES RU
CY	CYP 75	CYP 258	6	56	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
DE	EUR 90	EUR 444	10	96	137	EP
DK	DKK 1,500	DKK 3,300	80	710	1,020	EP SE
DM	Information not yet available					
DZ	DZD None	CHF 650	15	140	200	AT EP
EA	RUR eq USD 50	USD 476	12	104	148	EP RU
EC	USD ⁹ —	USD 476	12	104	148	EP ES
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
EG	Information not yet available					
EP ⁷	EUR 100	EUR 444	10	96	137 ⁷	EP
ES	EUR 62.74	EUR 444	10	96	137	EP ES
FI	EUR 135	EUR 444	10	96	137	EP SE
FR ⁷	EUR 60	EUR 444	10	96	137	EP
GB	GBP 55	GBP 278	6	60	86	EP
GD	Information not yet available					
GE	GEL ¹⁰ 10	USD 476	12	104	148	EP RU
GH	GHC ¹¹ 2,500 or 5,000	USD 476	12	104	n a	AT AU CN EP SE
GR	EUR 115	EUR 444	10	96	137	EP
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB ⁷	CHF ¹² 100 or USD ¹² 60 or EUR ¹² 68	CHF 650 or USD 476 or EUR 444	15 12 10	140 104 96	200 148 137	See footnote 13
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	EUR 76.00	EUR 444	10	96	137	EP
IL	ILS 476	USD 476	12	104	148	EP US
IN	INR 5,000 (filing by indiv: 1,500)	USD 476	12	104	148	AT AU CN EP SE US

[continued on next page]

PCT Fee Tables (1)

(as at 1 December 2003)

Table I(a) — Transmittal, basic and designation fees [continued]

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ^{2,7} (CHF 200)	Competent ISA(s) ⁸
IS	ISK 5,500	ISK 37,400	860	8,000	11,500	EP SE
IT	EUR 30.99	EUR 444	10	96	n a	EP
JP	JPY 18,000	JPY 54,000	1,200	11,600	16,600	EP JP
KE	USD (or KES equiv) ²⁵⁰ plus cost of mailing	USD 476	12	104	148	AT AU CN EP SE
KG	KGS eq USD 100	USD 476	12	104	148	EP RU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT RU
KR	KRW 45,000	KRW 530,000	12,000	114,000	163,000	AT AU JP ¹⁴ KR
KZ	KZT ⁹ —	USD 476	12	104	148	EP RU
LR	USD 45	USD 476	12	104	n a	AT AU CN EP SE
LS	LSM ⁹ —	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL 320	EUR 444	10	96	137	EP RU
LU	EUR 19	EUR 444	10	96	n a	EP
LV	LVL 47.20	USD 476	12	104	148	EP RU
MA	None	CHF 650	15	140	n a	AT EP RU SE
MC	EUR 49 ¹⁵	EUR 444	10	96	n a	EP
MD	MDL 180	USD 476	12	104	148	EP RU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EP RU
MW	MWK 6,000	MWK 25,000	600	5,400	7,700	EP
MX	MXP ³ eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP ES SE US
NI	USD 175	USD 476	12	104	148	EP ES
NL	EUR 50	EUR 444	10	96	137	EP
NO	NOK 500	NOK 3,560	80	770	1,090	EP SE
NZ	NZD 180 ¹⁶	NZD 890	20	192	274	AU EP US
OA	Information not yet available					
OM	Information not yet available					
PG	Information not yet available					
PH	PHP 3,500	USD 476	12	104	148	AU EP JP KR US
PL	PLZ 300	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	EUR 30	EUR 444	10	96	137	EP
RO	ROL 300,000	CHF 650	15	140	200	AT EP RU
RU	RUR 294	USD 476	12	104	148	EP RU
SC	Information not yet available					
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK 1,200	SEK* 3,940	90	850	1,210	EP SE
SG	SGD 150	SGD 828	19	178	255	AT AU EP
SI	SIT 22,000	SIT eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK 1,600	SKK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SY	Information not yet available					

[continued on next page]

PCT Fee Tables (1) (as at 1 December 2003)

Table I(a) — Transmittal, basic and designation fees [continued]

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ^{2,7} (CHF 200)	Competent ISA(s) ⁸
TJ	TJS ⁹ —	USD 476	12	104	n a	EP RU
TM	USD ⁹ —	USD 476	12	104	148	EP RU
TN	TND ⁹ —	CHF 650	15	140	n a	EP
TR	CHF 100	CHF 650	15	140	200	EP
TT	TTD 750	USD 476	12	104	148	AT EP SE US
UA	UAH 255	USD (or eq UAH) 476	12	104	n a	EP RU
US	USD 240	USD 476	12	104	148	EP US
UZ	USD ⁹ —	USD 476	12	104	148	EP RU
VC	Information not yet available					
VN	VND eq USD 150	VND eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP KR RU SE
YU	YUD 3,000	YUD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
ZA	ZAR 500	ZAR 3,580	80	780	1,100	AT AU EP US
ZM	Information not yet available					
ZW	ZWD 6,000	ZWD eq USD 476	eq USD 12	eq USD 104	eq USD 148	AT AU CN EP RU

Table I(b) — Search fees
(as at 1 December 2003)

ISA	Search fee ¹										
AT	EUR 159	CHF 230	KRW 222,000	SGD 259	USD 183	ZAR 1,280					
AU	AUD 1,000	CHF 871	EUR 560	KRW 710,000	NZD 1,073	SGD 1,030					
	USD 592	ZAR 4,700									
CN	CNY 1,500	CHF 250	EUR 156	USD 180							
EP ¹⁷	EUR 945	CAD 1,457	CHF 1,383	CYP 550	DKK 7,030	GBP 640					
	ISK 83,000	JPY 129,500	MWK 53,000	NOK 7,830	NZD 1,889	SEK 8,720					
	SGD 1,930	USD 1,119	ZAR 7,930								
ES ¹⁷	EUR 945	CHF 1,383	USD 1,119								
JP	JPY 72,000	CHF 870	EUR 535	KRW 798,000	USD 611						
KR	KRW 150,000	CHF 163	EUR 130	USD 120							
RU ¹⁸	USD 300	CHF 410	EUR 258								
SE	SEK 8,720	CHF 1,383	DKK 7,030	EUR 945	ISK 83,000	NOK 7,830					
	USD 1,119										
US	USD 700	450 ¹⁹	CHF 947	609 ¹⁹	EUR 615	395 ¹⁹	NZD 1,270	810 ¹⁹			
	ZAR 5,300	3,400 ¹⁹									

PCT Fee Tables (1) (as at 1 December 2003)

Table II — Preliminary examination fees

IPEA	Preliminary examination fee ²⁰		Handling fee ^{3,20} (CHF 233)	
AT	EUR	159	EUR	159
AU	AUD	550	AUD	267
CN	CNY	1,500	CNY eq CHF	233
EP ¹⁷	EUR	1,530	EUR	159
ES	EUR	493.11	EUR	159
JP	JPY	28,000	JPY	19,200
KR	KRW	150,000	KRW	190,000
RU ¹⁸	USD	200 ²²	USD	172
SE	SEK	5,000	SEK	1,410
US	USD	490	USD	172

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Botswana, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Ecuador, Egypt, Equatorial Guinea, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Namibia (from 1 January 2004), Nicaragua, Niger, Papua New Guinea, Philippines, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Saint Vincent and the Grenadines, Senegal, Serbia and Montenegro, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Syrian Arab Republic, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Tunisia, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Zambia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the PCT *Administrative Instructions*, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 The maximum number of designation fees payable is five.
- 6 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 7 The same amount of reduction of the basic and designation fee as indicated in footnote 2 applies where the international application is filed in electronic form in accordance with and to the extent provided for in Part 7 and Annex F of the *Administrative Instructions* and where the international application is filed with a receiving Office which has notified the International Bureau under Section 710 of the *Administrative Instructions* that it is prepared to receive international applications in electronic form—only the following receiving Offices have sent such a notification so far: RO/EP, FI (with effect from 1 January 2004), FR, IB, and KR (with effect from 1 January 2004).
- 8 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 9 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 10 This fee is reduced by 80% where the applicant is a natural person.
- 11 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 12 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated in footnote 3.
- 13 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 14 The Japan Patent Office is competent only for international applications in Japanese.
- 15 Plus EUR 1 for the preparation of additional copies, for each page and each copy.
- 16 Plus 12.5% GST (Goods and Services Tax) for New Zealand residents.
- 17 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated in footnote 3, with the exception of Bulgaria, the Czech Republic, Estonia, Hungary, Romania, Slovakia and Turkey.
- 18 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 19 Payable when a corresponding prior US national application has been filed under 35 USC 111(a) and the basic filing fee for that US application has been paid.
- 20 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 21 Payable when the international search report was not issued by the Australian Patent Office.
- 22 Payable when the international search report was established by the Russian Patent Office.
- 23 In all cases where footnote 22 does not apply.
- 24 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C (US)).

PCT Fee Tables (2)

(amounts on 1 January 2004 according to information available on 1 December 2003)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100 under certain circumstances where the request is prepared using the PCT-EASY software or by CHF 200 or 300 where the international application is filed in electronic form, as prescribed; see footnotes 2 and 5 for details. A 75% reduction in the international filing fee (including the fee per sheet over 30) and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnote 10. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 75% reduction are applicable, the 75% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of –	BGL	Bulgarian lev	GBP	Pound sterling	LSM	Lesotho loti	SEK	Swedish krona
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GEL	Georgian lari	LTL	Lithuanian litas	SGD	Singapore dollar
ISA	International Searching Authority	BYR	Belarussian rouble	GHC	Ghanaian cedi	LVL	Latvian lat	SIT	Slovenian tolar
n a	not applicable	BZD	Belize dollar	HRK	Croatian kuna	MAD	Moroccan dirham	SKK	Slovak koruna
RO	receiving Office	CAD	Canadian dollar	HUF	Hungarian forint	MDL	Moldovan leu	TJS	Tajik somoni
		CHF	Swiss franc	IDR	Indonesian rupiah	MKD	Macedonian denar	TND	Tunisian dinar
		CNY	Yuan renminbi	ILS	New Israel shekel	MWK	Malawian kwacha	TTD	Trinidad and Tobago dollar
		COP	Colombian peso	INR	Indian rupee	MXP	Mexican peso		
		CUP	Cuban convertible peso	ISK	Icelandic krona	NOK	Norwegian krone	UAH	Ukrainian hryvnia
AED	United Arab Emirates dirham	CYP	Cyprus pound	JPY	Japanese yen	NZD	New Zealand dollar	USD	US dollar
ALL	Albanian lek	CZK	Czech koruna	KES	Kenyan shilling	PHP	Philippine peso	VND	Vietnamese dong
AMD	Armenian dram	DKK	Danish krone	KGS	Kyrgyz som	PLZ	Polish zloty	YUD	Yugoslavian dinar
AUD	Australian dollar	DZD	Algerian dinar	KPW	KP won	ROL	Romanian leu	ZAR	South African rand
AZM	Azerbaijani manat	EEK	Estonian kroon	KRW	KR won	RUR	Russian rouble	ZWD	Zimbabwe dollar
		EUR	Euro	KZT	Kazakh tenge	SDP	Sudanese pound		

Table I(a) — Transmittal and international filing fees
(amounts on 1 January 2004 according to information available on 1 December 2003)

RO	Transmittal fee ¹	International filing fee ^{1,2,3} (CHF 1,400)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	PCT-EASY reduction ^{2,5} (CHF 100)	Competent ISA(s) ⁶
AE	AED ⁷ —	AED eq CHF 1,400	15	n a	AT AU
AG	Information not yet available				
AL	ALL 9,000	CHF 1,400	15	n a	EP
AM	AMD 32,000	USD 1,035	11	74	EP RU
AP	USD 50 (or eq in local currency)	USD 1,035	11	74	AT EP SE
AT	EUR 50	EUR 902	10	64	EP
AU	AUD 100	AUD 1,525	16	109	AU
AZ	AZM 55,000	USD 1,035	11	n a	EP RU
BA	EUR 25.56	EUR 902	10	64	EP
BE	EUR 40	EUR 902	10	64	EP
BG	BGL 60	BGL eq CHF 1,400	eq CHF 15	eq CHF 100	EP RU
BR	BRR 236	BRR eq CHF 1,400	eq CHF 15	eq CHF 100	AT EP SE US
BW	Information not yet available				
BY	BYR eq USD 70	USD 1,035	11	n a	EP RU
BZ	BZD 220	USD 1,035	11	74	EP

[continued on next page]

PCT Fee Tables (2)
(amounts on 1 January 2004 according to information available
on 1 December 2003)

Table I(a) — Transmittal and international filing fees [continued]

RO	Transmittal fee ¹	International filing fee ^{1,2,3} (CHF 1,400)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	PCT-EASY reduction ^{2,5} (CHF 100)	Competent ISA(s) ⁶
CA	CAD 300	CAD 1,394	15	100	EP
CH	CHF 100	CHF 1,400	15	100	EP
CN	CNY 500	CNY eq CHF 1,400	eq CHF 15	eq CHF 100	CN
CO	COP ⁷ —	COP eq USD 1,035	eq USD 11	eq USD 74	AT, EP, ES, RU
CR	USD 175	USD 1,035	11	74	EP ES
CU	USD (or eq CUP) 200	USD (or eq CUP) 1,035	11	74	AT EP ES RU
CY	CYP 75	CYP 526	6	n a	EP
CZ	CZK 1,500	CZK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
DE	EUR 90	EUR 902	10	64	EP
DK	DKK 1,500	DKK 6,700	70	480	EP SE
DM	Information not yet available				
DZ	DZD None	CHF 1,400	15	100	AT EP
EA	RUR eq USD 50	USD 1,035	11	74	EP RU
EC	USD ⁷ —	USD 1,035	11	74	EP ES
EE	EEK 1,800	EEK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
EG	Information not yet available				
EP ⁵	EUR 100	EUR 902	10	64 ⁵	EP
ES	EUR 62.74	EUR 902	10	64	EP ES
FI	EUR 135	EUR 902	10	64	EP SE
FR ⁵	EUR 60	EUR 902	10	64	EP
GB	GBP 55	GBP 628	7	45	EP
GD	Information not yet available				
GE	GEL ⁸ 10	USD 1,035	11	74	EP RU
GH	GHC ⁹ 2,500 or 5,000	USD 1,035	11	n a	AT AU CN EP SE
GR	EUR 115	EUR 902	10	64	EP
HR	HRK 200	HRK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
HU	HUF 10,000	HUF eq CHF 1,400	eq CHF 15	eq CHF 100	EP RU
IB ⁵	CHF ¹⁰ 100 or EUR ¹⁰ 68 or USD ¹⁰ 60	CHF 1,400 or EUR 902 or USD 1,035	15 10 11	100 64 74	See footnote 11
ID	IDR 500,000	IDR eq CHF 1,400	eq CHF 15	eq CHF 100	AU EP RU
IE	EUR 76.00	EUR 902	10	64	EP
IL	ILS 476	USD 1,035	11	74	EP US
IN	INR 5,000 (filing by indiv: 1,500)	USD 1,035	11	74	AT AU CN EP SE US

[continued on next page]

PCT Fee Tables (2)
**(amounts on 1 January 2004 according to information available
on 1 December 2003)**

Table I(a) — Transmittal and international filing fees [continued]

RO	Transmittal fee ¹	International filing fee ^{1,2,3} (CHF 1,400)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	PCT-EASY reduction ^{2,5} (CHF 100)	Competent ISA(s) ⁶
IS	ISK 6,500	ISK 80,000	900	5,700	EP SE
IT	EUR 30.99	EUR 902	10	n a	EP
JP	JPY 13,000	JPY 116,000	1,200	8,300	EP JP
KE	USD (or KES equiv) ²⁵⁰ plus cost of mailing	USD 1,035	11	74	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,035	11	74	EP RU
KP	KPW eq CHF 50	KPW eq CHF 1,400	eq CHF 15	eq CHF 100	AT RU
KR	KRW 45,000	KRW 1,191,000	13,000	85,000	AT AU JP ¹² KR
KZ	KZT ⁷ —	USD 1,035	11	74	EP RU
LR	USD 45	USD 1,035	11	n a	AT AU CN EP SE
LS	LSM ⁷ —	LSM eq CHF 1,400	eq CHF 15	n a	AT EP
LT	LTL 320	EUR 902	10	64	EP RU
LU	EUR 19	EUR 902	10	n a	EP
LV	LVL 47.20	USD 1,035	11	74	EP RU
MA	None	CHF 1,400	15	n a	AT EP RU SE
MC	EUR 49 ¹³	EUR 902	10	n a	EP
MD	MDL 180	USD 1,035	11	74	EP RU
MK	MKD 2,750	MKD eq CHF 1,400	eq CHF 15	eq CHF 100	EP
MN	None	CHF 1,400	15	100	EP RU
MW	MWK 6,000	MWK 111,100	1,200	7,900	EP
MX	MXP ³ eq USD 200	MXP eq CHF 1,400	eq CHF 15	eq CHF 100	EP ES SE US
NA	Information not yet available				
NI	USD 175	USD 1,035	11	74	EP ES
NL	EUR 50	EUR 902	10	64	EP
NO	NOK 500	NOK 7,370	80	530	EP SE
NZ	NZD 180 ¹⁴	NZD 1,738	19	124	AU EP US
OA	Information not yet available				
OM	Information not yet available				
PG	Information not yet available				
PH	PHP 3,500	USD 1,035	11	74	AU EP JP KR US
PL	PLZ 300	PLZ eq CHF 1,400	eq CHF 15	n a	EP
PT	EUR 30	EUR 902	10	64	EP
RO	ROL 300,000	CHF 1,400	15	100	AT EP RU
RU	RUR 294	USD 1,035	11	74	EP RU
SC	Information not yet available				
SD	SDP 50	SDP eq CHF 1,400	eq CHF 15	n a	EP
SE	SEK 1,200	SEK 8,140	90	580	EP SE
SG	SGD 150	SGD 1,785	19	128	AT AU EP
SI	SIT 22,000	SIT eq CHF 1,400	eq CHF 15	eq CHF 100	EP
SK	SKK 1,600	SKK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
SY	Information not yet available				

[continued on next page]

PCT Fee Tables (2)
(amounts on 1 January 2004 according to information available
on 1 December 2003)

Table I(a) — Transmittal and international filing fees [continued]

RO	Transmittal fee ¹		International filing fee ^{1,2,3} (CHF 1,400)		Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	PCT-EASY reduction ^{2,5} (CHF 100)	Competent ISA(s) ⁶
TJ	TJS ⁷	—	USD	1,035	11	n a	EP RU
TM	USD ⁷	—	USD	1,035	11	74	EP RU
TN	TND ⁷	—	CHF	1,400	15	n a	EP
TR	CHF	100	CHF	1,400	15	100	EP
TT	TTD	750	USD	1,035	11	74	AT EP SE US
UA	UAH	255	USD (or eq UAH)	1,035	11	n a	EP RU
US	USD	300	USD	1,035	11	74	EP US
UZ	USD ⁷	—	USD	1,035	11	74	EP RU
VC	Information not yet available						
VN	VND eq USD	150	VND	eq CHF 1,400	eq CHF 15	n a	AT AU EP KR RU SE
YU	YUD	3,000	YUD	eq CHF 1,400	eq CHF 15	eq CHF 100	EP
ZA	ZAR	500	ZAR	7,490	80	530	AT AU EP US
ZM	Information not yet available						
ZW	ZWD	6,000	ZWD	eq USD 1,035	eq USD 11	eq USD 74	AT AU CN EP RU

Table I(b) — Search fees
(amounts on 1 January 2004 according to information available on 1 December 2003)

ISA	Search fee ¹											
AT	EUR	159	CHF	247	KRW	199,000	SGD	310	USD	182	ZAR	1,320
AU	AUD	1,200	CHF	—*	EUR	—*	KRW	—*	NZD	—*	SGD	—*
	USD	—*	ZAR	—*	*equivalent amounts to be established—check with receiving Office							
CN	CNY	1,500	CHF	245	EUR	158	USD	181				
EP ¹⁵	EUR	1,550	CAD	2,362	CHF	2,432	CYP	905	DKK	11,520	GBP	1,078
	ISK	138,000	JPY	196,500	MWK	194,000	NOK	12,700	NZD	2,884	SEK	13,870
	SGD	3,130	USD	1,818	ZAR	12,280						
ES ¹⁵	EUR*	945	CHF*	1,466	USD*	1,084	*amounts expected to change—check with receiving Office					
JP	JPY	97,000	CHF	—*	EUR	—*	KRW	—*	USD	—*		
	*equivalent amount to be established—check with receiving Office											
KR	KRW	150,000	CHF	176	EUR	114	USD	130				
RU ¹⁶	USD	300	CHF	406	EUR	262						
SE	SEK	13,870	CHF	2,432	DKK	11,520	EUR	1,550	ISK	138,000	NOK	12,700
	USD	1,818										
US	USD	1,000	300 ¹⁷	CHF	—*	—* ¹⁷	EUR	—*	—* ¹⁷	NZD*	—*	—* ¹⁷
	ZAR*	—*	—* ¹⁷	*equivalent amounts to be established—check with receiving Office								

PCT Fee Tables (2)
(amounts on 1 January 2004 according to information available
on 1 December 2003)

Table II — Preliminary examination fees

IPEA	Preliminary examination fee ¹⁸		Handling fee ^{3, 18} (CHF 200)	
	Currency	Amount	Currency	Amount
AT	EUR	159	EUR	129
AU	AUD	550 1,000 ¹⁹	AUD	218
CN	CNY	1,500	CNY eq CHF	200
EP ¹⁵	EUR	1,530	EUR	129
ES	EUR	493.11	EUR	129
JP	JPY	36,000	JPY	16,600
KR	KRW	150,000	KRW	170,000
RU ¹⁶	USD	200 ²⁰ 300 ²¹	USD	148
SE	SEK	5,000	SEK	1,160
US	USD	600 750 ²²	USD	148

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The international filing fee is reduced by CHF 100 where: (a) the request is presented as a computer print-out prepared using the PCT-EASY features of the PCT-SAFE software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the next page.
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the PCT *Administrative Instructions*, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 Where the international application is filed in electronic form in accordance with and to the extent provided for in Part 7 and Annex F of the Administrative Instructions and where the international application is filed with a receiving Office which has notified the International Bureau under Section 710 of the Administrative Instructions that it is prepared to receive international applications in electronic form, the international filing fee is reduced by CHF 200 if the text of the description, claims and abstract is not in character coded format, and by CHF 300 if the text of the description, claims and abstract is in character coded format. Only the following receiving Offices have sent such a notification so far: RO/EP, FI, FR, IB and KR. The equivalent amounts of those reductions in the relevant currencies are as follows: CHF 200=EUR 129, KRW 170,000, USD 148; CHF 300=EUR 193, KRW 255,000, USD 222.
- 6 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 7 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 8 This fee is reduced by 80% where the applicant is a natural person.
- 9 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 10 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the next page.
- 11 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 12 The Japan Patent Office is competent only for international applications in Japanese.
- 13 Plus EUR 1 for the preparation of additional copies, for each page and each copy.
- 14 Plus 12.5% GST (Goods and Services Tax) for New Zealand residents.
- 15 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated on the next page, with the exception of Bulgaria, the Czech Republic, Estonia, Hungary, Romania, Slovakia and Turkey.
- 16 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 17 Payable when a corresponding prior US national application has been filed under 35 USC 111(a) and the basic filing fee for that US application has been paid, and the prior US national application is identified by the application number if known, or if the application number is not known, by the filing date, title and name of applicant (and preferably by the application docket number), in the international application or accompanying the papers at the time of filing of the international application.
- 18 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 19 Payable when the international search report was not issued by the Australian Patent Office.
- 20 Payable when the international search report was established by the Russian Patent Office.
- 21 In all cases where footnote 20 does not apply.
- 22 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C (US)).

Applicability of 75% Reduction in Certain PCT Fees¹

1. Where the international application is filed by an applicant² who is a **natural person** and who is a national of and resides in one of the following States:³

(a) States which are PCT Contracting States:

Albania	Democratic People's Republic of Korea	Lithuania	Sierra Leone
Algeria	Dominica	Madagascar	Slovakia
Armenia	Ecuador	Malawi	South Africa
Azerbaijan	Egypt	Mali	Sri Lanka
Belarus	Equatorial Guinea	Mauritania	Sudan
Belize	Estonia	Mexico	Swaziland
Benin	Gabon	Mongolia	Syrian Arab Republic
Bosnia and Herzegovina	Gambia	Morocco	Tajikistan
Botswana	Georgia	Mozambique	The former Yugoslav Republic of Macedonia
Brazil	Ghana	Namibia	Togo
Bulgaria	Grenada	Nicaragua	Tunisia
Burkina Faso	Guinea	Niger	Turkey
Cameroon	Guinea-Bissau	Papua New Guinea	Turkmenistan
Central African Republic	Hungary	Philippines	Uganda
Chad	India	Poland	Ukraine
China	Indonesia	Republic of Moldova	United Republic of Tanzania
Colombia	Kazakhstan	Romania	Uzbekistan
Congo	Kenya	Russian Federation	Viet Nam
Costa Rica	Kyrgyzstan	Saint Lucia	Zambia
Côte d'Ivoire	Latvia	Saint Vincent and the Grenadines	Zimbabwe
Croatia	Lesotho	Senegal	
Cuba	Liberia	Serbia and Montenegro	

(b) States which are not PCT Contracting States:⁴

Afghanistan	Dominican Republic	Lao People's Democratic Republic	Peru
Angola	El Salvador	Lebanon	Rwanda
Argentina	Eritrea	Malaysia	Saint Kitts and Nevis
Bangladesh	Ethiopia	Maldives	Samoa
Bhutan	Fiji	Marshall Islands	Sao Tome and Principe
Bolivia	Guatemala	Mauritius	Solomon Islands
Burundi	Guyana	Micronesia	Somalia
Cambodia	Haiti	Myanmar	Thailand
Cape Verde	Honduras	Nepal	Tonga
Chile	Iran (Islamic Republic of)	Nigeria	Tuvalu
Comoros	Iraq	Pakistan	Tuvalu
Democratic Republic of the Congo	Jamaica	Panama	Uruguay
Djibouti	Jordan	Paraguay	Vanuatu
	Kiribati		Venezuela
			Yemen

2. Where the international application is filed by an applicant, **whether a natural person or not**, who is a national of and resides in one of the following States that is classed as a least developed country by the United Nations:

(a) States which are PCT Contracting States:

Benin	Guinea	Mali	Sudan
Burkina Faso	Guinea-Bissau	Mauritania	Togo
Central African Republic	Lesotho	Mozambique	Uganda
Chad	Liberia	Niger	United Republic of Tanzania
Equatorial Guinea	Madagascar	Senegal	Zambia
Gambia	Malawi	Sierra Leone	

(b) States which are not PCT Contracting States:⁴

Afghanistan	Comoros	Kiribati	Samoa
Angola	Democratic Republic of the Congo	Lao People's Democratic Republic	Sao Tome and Principe
Bangladesh	Djibouti	Maldives	Solomon Islands
Bhutan	Eritrea	Myanmar	Somalia
Burundi	Ethiopia	Nepal	Tuvalu
Cambodia	Haiti	Rwanda	Vanuatu
Cape Verde			Yemen

1. The international filing fee (including the fee per sheet over 30) and the handling fee.
2. If there are several applicants, each must satisfy the criteria set out in 1 or 2 and at least one of them must satisfy the criteria set out in 1(a) or 2(a).
3. That is, States whose per capita national income is below USD 3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997)
4. Applicants from these States must file the PCT application together with an applicant who is a national of and resides in a PCT Contracting State, and in order to benefit from the 75% reduction, the State concerned must be one of the States indicated under 1(a) or 2(a).

PCT Contracting States and Two-letter Codes (123 on 1 December 2003)



AE United Arab Emirates	CR Costa Rica	IL Israel	MN Mongolia	SY Syrian Arab Republic
AG Antigua and Barbuda	CU Cuba	IN India	MR Mauritania (OA) ²	SZ Swaziland (AP) ²
AL Albania ¹	CY Cyprus (EP) ²	IS Iceland	MW Malawi (AP)	TD Chad (OA) ²
AM Armenia (EA)	CZ Czech Republic (EP)	IT Italy (EP) ²	MX Mexico	TG Togo (OA) ²
AT Austria (EP)	DE Germany (EP)	JP Japan	MZ Mozambique (AP)	TJ Tajikistan (EA)
AU Australia	DK Denmark (EP)	KE Kenya (AP)	NA Namibia (from 1 January 2004)	TM Turkmenistan (EA)
AZ Azerbaijan (EA)	DM Dominica	KG Kyrgyzstan (EA)	NE Niger (OA) ²	TN Tunisia
BA Bosnia and Herzegovina	DZ Algeria	KP Democratic People's Republic of Korea	NI Nicaragua	TR Turkey (EP)
BB Barbados	EE Estonia (EP)	KR Republic of Korea	NL Netherlands (EP) ²	TT Trinidad and Tobago
BE Belgium (EP) ²	EG Egypt	KZ Kazakhstan (EA)	NO Norway	TZ United Republic of Tanzania (AP)
BF Burkina Faso (OA) ²	ES Spain (EP)	LC Saint Lucia	NZ New Zealand	UA Ukraine
BG Bulgaria (EP)	FI Finland (EP)	LI Liechtenstein (EP)	OM Oman	UG Uganda (AP)
BJ Benin (OA) ²	FR France (EP) ²	LU Luxembourg (EP)	PG Papua New Guinea	US United States of America
BR Brazil	GA Gabon (OA) ²	LK Sri Lanka	PH Philippines	UZ Uzbekistan
BW Botswana (AP)	GB United Kingdom (EP)	LR Liberia	PL Poland	VC Saint Vincent and the Grenadines
BY Belarus (EA)	GD Grenada	LS Lesotho (AP)	PT Portugal (EP)	VN Viet Nam
BZ Belize	GE Georgia	LT Lithuania ¹	RO Romania (EP)	YU Serbia and Montenegro
CA Canada	GH Ghana (AP)	LU Luxembourg (EP)	RU Russian Federation (EA)	ZA South Africa
CF Central African Republic (OA) ²	GM Gambia (AP)	LV Latvia ¹	SC Seychelles	ZM Zambia (AP)
CG Congo (OA) ²	GN Guinea (OA) ²	MA Morocco	SD Sudan (AP)	ZW Zimbabwe (AP)
CH Switzerland (EP)	GQ Equatorial Guinea (OA) ²	MC Monaco (EP) ²	SE Sweden (EP)	
CI Côte d'Ivoire (OA) ²	GR Greece (EP) ²	MD Republic of Moldova (EA)	SG Singapore	
CM Cameroon (OA) ²	GW Guinea-Bissau (OA) ²	MG Madagascar	SI Slovenia (EP) ²	
CN China	HR Croatia	MK The former Yugoslav Republic of Macedonia ¹	SK Slovakia (EP)	
CO Colombia	HU Hungary (EP)	ML Mali (OA) ²	SL Sierra Leone (AP)	
	ID Indonesia		SN Senegal (OA) ²	
	IE Ireland (EP) ²			

¹ Extension of European patent possible.

² May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated July 2003. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (July 2003 update), and can be printed from the WIPO Internet site, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. For details on the updated request form, to be used as from 1 January 2004, see *PCT Newsletter* No. 11/2003.



PCT NEWSLETTER
WIPO Publication No. 115(E)
Subscriptions*

TO ORDER this monthly publication on the PCT, simply complete and return this form to:

By mail: World Intellectual Property Organization, Marketing and Distribution Section,
34, chemin des Colombettes, CH-1211 Geneva 20, Switzerland

By fax: (41-22) 740 18 12

You can also subscribe on-line via the Internet at: <http://www.wipo.int/ebookshop>

Enquiries regarding subscriptions: by telephone: (41-22) 338 91 11 (ask for Marketing and Distribution Section) or by e-mail: publications.mail@wipo.int

Note that subscriptions to two or more copies entitle the subscriber to a **discount of 25% off the basic price of each copy**, including the first (that is, 25% off the amount of CHF 58 or USD 43), to which a mailing charge of 20% of the full basic price should be added for regular mail and 40% of the full basic price should be added for priority mail.

I WISH TO SUBSCRIBE TO [state number required] **COPIES OF THE PCT NEWSLETTER**

- by regular mail: 70 Swiss francs or 51 US dollars
- by priority mail: 81 Swiss francs or 60 US dollars

for the period **January 2004 to December 2004**. Subscriptions will be automatically renewed—and invoices automatically sent—at the end of each calendar year unless notification to the contrary is received.

I ALREADY SUBSCRIBE TO THE PCT NEWSLETTER and would like to subscribe to [state number required] further copies.

I WISH TO ORDER [state number required] **PCT NEWSLETTER BINDERS** (which hold about 30 issues):

- by regular mail: 18 Swiss francs or 13 US dollars
- by priority mail: 21 Swiss francs or 15 US dollars

PAYMENT

The amount of Swiss francs or US dollars will be settled:

- on receipt of invoice
- by debiting my current account established at WIPO, No.
- by bank transfer to the WIPO bank account at the Crédit Suisse, 1211 Geneva 70, Switzerland; Swift code: CRESCHZZ12A; account No. 4251-487080-81
- by transfer to WIPO postal account No. 12-5000-8, Geneva, Switzerland
- by the enclosed check made payable to the World Intellectual Property Organization drawn on the following bank:
- by credit card (note that the amount invoiced in US dollars will be converted into Swiss francs at the going exchange rate)
 - American Express Mastercard Eurocard Visa

Indicate the cardholder's name and address, the card number and expiration date:

.....
.....

Name: Company (if applicable):

Address:

Town: State: Postal code:

Country: Telephone No.:

Fax No.: E-mail:

Date: Signature:

* If you are already a subscriber to the *PCT Newsletter*, it is not necessary to complete this form to renew a subscription, since subscription renewal is automatic unless we are notified to the contrary.



**PCT (PATENT COOPERATION TREATY) PUBLICATIONS
PRICES IN SWISS FRANCS FOR 2004**

The following PCT publications, in English and French except where otherwise indicated, may be ordered from the International Bureau of the World Intellectual Property Organization (address overleaf).

	<u>Basic Price</u>	<u>Regular Mail</u>	<u>Priority Mail</u>
International application ¹ published under the PCT (pamphlet) (pamphlets with more than 1 000 paper pages have higher shipping charges)	15.00	18.00	21.00
- CD containing published sequence listing(s) (per CD)	35.00	38.00	42.00
- (for copies of other documents in relation to international applications, see Annex B2 (IB) in Volume I/A of the PCT Applicant's Guide)			
PCT Gazette (bilingual English/French): subscription for year 2004 ²	660.00	792.00	924.00
single issues	22.00	26.00	30.00
Gazette Special Issues:			
General Information: Contracting States, National & Regional Offices, International Authorities	20.00	24.00	28.00
Agreements between WIPO and International Searching and/or Preliminary Examining Authorities	20.00	24.00	28.00
Administrative Instructions under the PCT (without Forms)	20.00	24.00	28.00
Addendum to Administrative Instructions -- Part 7 and Annex F (Electronic Filing and Processing of International Applications)	20.00	24.00	28.00
PCT Receiving Office Guidelines	20.00	24.00	28.00
PCT Search Guidelines	20.00	24.00	28.00
PCT Preliminary Examination Guidelines	20.00	24.00	28.00
Minimum Documentation: List of Periodicals	20.00	24.00	28.00
Standing order for PCT Gazette Special Issue only (invoice per issue)	20.00	24.00	28.00
PCT Forms:			
Request and Demand Forms	free	free	free
Receiving Office (RO) Forms	20.00	24.00	28.00
International Searching Authority (ISA) Forms	20.00	24.00	28.00
International Bureau (IB) Forms	20.00	24.00	28.00
International Preliminary Examination Authority (IPEA) Forms	20.00	24.00	28.00
PCT Newsletter: subscription for 2004 ² (English only)	58.00	70.00	81.00
single issues	10.00	12.00	14.00
Binder for PCT Newsletter (holds 24 issues)	15.00	18.00	21.00
PCT Applicant's Guide	240.00	288.00	336.00
(price includes Updating Service for 2004) ²			
Updating Service for 2004 ²	180.00	216.00	252.00
(only for owners of the Guide before 2004)			
PCT Text and Regulations ³	20.00	24.00	28.00
The First Twenty-Five Years of the PCT 1970-1995	80.00	96.00	112.00
Records of the Washington Diplomatic Conference, 1970 (hard bound)	150.00	180.00	210.00
Basic Facts about the PCT	free	free	free

¹ Published in Chinese, English, French, German, Japanese, Russian or Spanish, if the application was filed in one of these languages; published in English, if filed in a language other than the preceding seven; English-language abstract is always included. May be supplied in single copies by number of publication, or supplied automatically upon publication in two modes: either all of them, or selected pamphlets according to International Patent Classification (IPC) symbols.

² The subscriptions to the PCT Gazette, PCT Newsletter and to the Updating Service of the PCT Applicant's Guide are automatically renewed at the end of each calendar year unless notification to the contrary is received.

³ Available in Arabic, English, French, German, Italian, Portuguese, Russian and Spanish.

Mode of ordering and paying

Orders should be addressed to:

WIPO - World Intellectual Property Organization
Marketing and Distribution Section
34, chemin des Colombettes
1211 Geneva 20
Switzerland

Telecopier: (41 22) 740 1812, (41 22) 733 5428
Telephone: (41 22) 338 9111
E-mail: publications.mail@wipo.int
Electronic bookshop: www.wipo.int/ebookshop

Payment may be effected in any of the following ways:

- by payment to the Swiss Credit Bank, 1211 Geneva 70, Switzerland, WIPO bank account No. CH35 0425 1048 7080 8100 0, Swift: CRESCHZZ12A;
- by payment to WIPO post check account Geneva, Switzerland, No. 12-5000-8 or IBAN No. CH03 0900 0000 1200 5000 8, Swift: POFICHBE / ;
- by debiting deposit account, if any, at WIPO;
- by check in Swiss francs or in a currency freely convertible into Swiss francs, payable at a bank in Switzerland;
- by American Express, Mastercard, Eurocard or Visa. Please indicate the cardholder's name and address, the card number and expiration date.

WIPO will, on request, send invoices or pro-forma invoices.

Transmission of document by fax costs 4 Swiss francs per page.

A discount on the basic price is granted to Government Units, Universities and Booksellers.

Please note that WIPO automatically adds shipping charges to all orders either by regular or priority mail.



**PCT (PATENT COOPERATION TREATY) PUBLICATIONS
PRICES IN U.S. DOLLARS FOR 2004**

The following PCT publications, in English and French except where otherwise indicated, may be ordered from the International Bureau of the World Intellectual Property Organization (address overleaf).

	<u>Basic Price</u>	<u>Regular Mail</u>	<u>Priority Mail</u>
International application ¹ published under the PCT (pamphlet) (with more than 1 000 paper pages have higher shipping charges)	11.00	13.00	15.00
- CD containing published sequence listing(s) (per CD)	26.00	31.00	36.00
- (for copies of other documents in relation to international applications, see Annex B2 (IB) in Volume I/A of the PCT Applicant's Guide)			
PCT Gazette (bilingual English/French): subscription for year 2004 ²	485.00	582.00	679.00
single issues	16.00	19.00	22.00
Gazette Special Issues:			
General Information: Contracting States, National & Regional Offices, International Authorities	15.00	18.00	20.00
Agreements between WIPO and International Searching and/or Preliminary Examining Authorities	15.00	18.00	20.00
Administrative Instructions under the PCT (without Forms)	15.00	18.00	20.00
Addendum to Administrative Instructions -- Part 7 and Annex F (Electronic Filing and Processing of International Applications)	15.00	18.00	20.00
PCT Receiving Office Guidelines	15.00	18.00	20.00
PCT Search Guidelines	15.00	18.00	20.00
PCT Preliminary Examination Guidelines	15.00	18.00	20.00
Minimum Documentation: List of Periodicals	15.00	18.00	20.00
Standing order for PCT Gazette Special Issue only (invoice per issue)	15.00	18.00	20.00
PCT Forms:			
Request and Demand Forms	free	free	free
Receiving Office (RO) Forms	15.00	18.00	20.00
International Searching Authority (ISA) Forms	15.00	18.00	20.00
International Bureau (IB) Forms	15.00	18.00	20.00
International Preliminary Examination Authority (IPEA) Forms	15.00	18.00	20.00
PCT Newsletter: subscription for 2004 ² (English only)	43.00	51.00	60.00
single issues	7.00	9.00	11.00
Binder for PCT Newsletter (holds 24 issues)	11.00	13.00	15.00
PCT Applicant's Guide	176.00	212.00	247.00
(price includes Updating Service for 2004) ²			
Updating Service for 2004 ² (only for owners of the Guide before 2004)	132.00	159.00	185.00
PCT Text and Regulations ³	15.00	18.00	20.00
The First Twenty-Five Years of the PCT 1970-1995	59.00	70.00	82.00
Records of the Washington Diplomatic Conference, 1970 (hard bound)	110.00	132.00	154.00
Basic Facts about the PCT	free	free	free

¹ Published in Chinese, English, French, German, Japanese, Russian or Spanish, if the application was filed in one of these languages; published in English, if filed in a language other than the preceding seven; English-language abstract is always included. May be supplied in single copies by number of publication, or supplied automatically upon publication in two modes: either all of them, or selected pamphlets according to International Patent Classification (IPC) symbols.

² The subscriptions to the PCT Gazette, PCT Newsletter and to the Updating Service of the PCT Applicant's Guide are automatically renewed at the end of each calendar year unless notification to the contrary is received.

³ Available in Arabic, English, French, German, Italian, Portuguese, Russian and Spanish.

Mode of ordering and paying

Orders should be addressed to:

WIPO - World Intellectual Property Organization
Marketing and Distribution Section
34, chemin des Colombettes
1211 Geneva 20
Switzerland

Telecopier: (41 22) 740 1812, (41 22) 733 5428
Telephone: (41 22) 338 9111
E-mail: publications.mail@wipo.int
Electronic bookshop: www.wipo.int/ebookshop

Payment may be effected in any of the following ways:

- by payment to WIPO account N° 4251-487080-82 at the Swiss Credit Bank, 1211 Geneva 70, Switzerland;
- by payment to WIPO post check account N° 12-5000-8, Geneva, Switzerland;
- by debiting deposit account, if any, at WIPO;
- by check in US dollars or in Swiss francs or in a currency freely convertible into Swiss francs, payable at a bank in Switzerland;
- by American Express, Mastercard, Eurocard or Visa. Please indicate the cardholder's name and address, the card number and expiration date.

WIPO will, on request, send invoices or pro-forma invoices.

Transmission of document by fax costs US\$ 3.00 per page.

A discount on the basic price is granted to Government Units, Universities and Booksellers.

Please note that WIPO automatically adds shipping charges to all orders either by regular or priority mail.