

PCT NEWSLETTER

PATENT
COOPERATION
TREATY

June 2003

No. 06/2003



WORLD
INTELLECTUAL
PROPERTY
ORGANIZATION

WIPO PUBLICATION No. 115(E)
ISSN 1020-072X

Twenty-fifth Anniversary of First PCT Filings

The date of 1 June 2003 marks the 25th anniversary of the start of PCT operations. As from 1 June 1978 it became possible to file PCT applications and demands for international preliminary examination. This was only about four months after the Patent Cooperation Treaty entered into force on 24 January 1978.

In the first year of operations, from 1 June 1978 to 31 May 1979, 1,297 international applications were received by the International Bureau from the then 14 PCT receiving Offices. The number of international applications filed each year has increased considerably since then; the figure for the year 2002 was 114,048, and the total number of international applications filed from 1 June 1978 to 30 April 2003 was 834,325 (the figures for the entire 25-year period are not yet available).

At the time when PCT operations began, the following 18 States were bound by the PCT: Brazil, Cameroon, Central African Empire, Chad, Congo, France, Gabon, Germany (Federal Republic of), Luxembourg, Madagascar, Malawi, Senegal, Soviet Union, Sweden, Switzerland, Togo, the United Kingdom and the United States of America. One hundred and twenty States are now bound by the PCT (see the list on page 20 of this issue), and the number is expected to continue to increase.

The International Bureau of WIPO has, over the past 25 years, faced the constant challenge of coping with the ever-increasing workload resulting from increased PCT filings, the need to keep up with

new technologies, and the need for PCT reform in general; it will continue to face those challenges, as well as new challenges, in the future. ■

WIPO Exceptionally Closed

In anticipation of possible disruptions coinciding with the G8 Summit which was held in Evian, France, from 1 to 3 June 2003, inclusive, the offices of WIPO were closed from Friday, 30 May to Tuesday, 3 June 2003, inclusive. Thursday, 29 May 2003 was already an official holiday at WIPO.

In relation to PCT time limits for filing a response or other communication directly with the International Bureau, or paying a fee directly to

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www.wipo.int/pct/en

**Selection of
PCT Materials on the Internet
(<http://www.wipo.int/pct/en/>)**

Home page, with links to what's new on the site
PCT Information Service

PCT filing

- *Basic Facts about the PCT*
- Forms
- Fees
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- *PCT Applicant's Guide*

PCT-EASY

PCT-SAFE

PCT legal texts and guidelines

- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions and search facility for Articles under the PCT
- PCT Receiving Office Guidelines
- PCT International Search Guidelines
- PCT International Preliminary Examination Guidelines
- PCT Contracting States
- Reservations and incompatibilities
- Notifications concerning non-applicability of modified time limit under PCT Article 22(1)
- PCT legal text index

PCT Gazette

PCT news

Seminar calendar and seminar materials

PCT meetings

- PCT Assembly and various committees

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The World Intellectual Property Organization**

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E-mail: wipo.mail@wipo.int
Internet: www.wipo.int

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the International Bureau, any such time limit which expired on one of the days on which WIPO was closed has been extended until Wednesday, 4 June 2003. PCT Rule 80.5 (Expiration on a Non-Working Day) states:

“If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists.”

Filing with the International Bureau as receiving Office

Concerning the filing of international applications with the receiving Office of the International Bureau in relation to which the 12-month priority period expired on one of the days on which WIPO was closed, Article 4C(3) of the Paris Convention for the Protection of Industrial Property states:

“If the last day of the period is an official holiday, or a day when the Office is not open for the filing of applications . . . , the

Reminder

The designated Offices of the following States do not yet apply the 30-month time limit fixed in PCT Article 22(1) (as modified with effect from 1 April 2002):

BR Brazil
CH Switzerland*
DK Denmark*
FI Finland*
LU Luxembourg*
NO Norway
SE Sweden*
SG Singapore
TZ United Republic of Tanzania*
UG Uganda*
YU Serbia and Montenegro
ZM Zambia*

* If designated for a regional patent, see time limit applicable to the regional Office concerned.

A more complete list, including dates when other States withdrew their notifications of incompatibility, is reproduced on the PCT website at:

www.wipo.int/pct/en/texts/pdf/article22_timelimit.pdf

PCT Quiz

The basic fee, designation fee (including the confirmation fee) and handling fee are reduced by 75% where: (*Indicate which statement(s) below is/are true*)

(a) **at least one** of the applicants is a natural person and is a national of and resides in a State whose per capita income is below 3,000 US dollars;

(b) **all** applicants are natural persons and are nationals of and reside in a State whose per capita income is below 3,000 US dollars;

(c) **all** applicants are natural persons and are nationals of and reside in a State whose per capita income is below 3,000 US dollars, and **only where a special request for the fee reduction has been made**;

(d) **all** applicants are natural persons and are nationals of and reside in a **PCT Contracting State** whose per capita income is below 3,000 US dollars.

Answer. (b)

In order to benefit from the above-mentioned fee reduction, **all applicants** must be natural persons who are nationals of and reside in a State whose per capita income is below 3,000 US dollars (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997) (see Schedule of Fees annexed to the Regulations under the

PCT). If one of the applicants does not fulfil that requirement, the fee reduction does not apply.

Although the reduction would still apply in situations (c) and (d), note that:

- as far as (c) is concerned, it is not necessary to request the reduction; the reduction is automatically applied by the receiving Office concerned to applications that are entitled to it on the basis of the indications of name, nationality and residence of the applicant(s) given in the request on the international filing date; and
- as far as (d) is concerned, although it is necessary for all applicants to be nationals of and to reside in one of the States fulfilling the per capita income requirement, it is not necessary for **all** applicants to be nationals of or to reside in a PCT Contracting State.

Note that, if the international application is filed with the International Bureau as receiving Office, and that application qualifies for the 75% reduction in the international fee, no transmittal fee is payable to that receiving Office.

Note also that an international application qualifying for the 75% reduction in the international fee may also qualify for a 75% reduction in the search fee in respect of an international search carried out by certain International Searching Authorities (see *PCT Applicant's Guide*, Vol. I/B, Annex D).

The PCT Contracting States whose nationals and residents are eligible for the fee reduction are listed in footnote 3 of the Fee Tables. For further information on the fee reduction, see *PCT Applicant's Guide*, Vol. I/A, para. 202.

period shall be extended until the first following working day.”

Please also note that WIPO's closure may result in some delay in the processing of international applications. The International Bureau will continue to do its best to minimize the effects on PCT applicants and their agents. ■

PCT Publications

New editions of the Treaty and Regulations under the PCT (January 2003 edition)

The International Bureau has published new editions of the English, French and German texts of the *Patent Cooperation Treaty (PCT) and Regulations Under the PCT*, as in force from 1 January 2003. Other language versions

will be available shortly. The new editions contain the amendments that entered into force on 1 January 2003, namely, the amendment of PCT Rules 12.1 to 12.4, 22.1, 26.3, 29.1, 48.3 and 49.6. They also include the latest version of the PCT Schedule of Fees (which entered into force on 17 October 2002).

Although the above-mentioned amendments were included as an insert in *PCT Newsletter* No. 12/2002, you may wish to order the new edition of the Treaty and Regulations. The price of the publication is 24 Swiss francs or 15 US dollars by regular mail, and 28 Swiss francs or 17 US dollars by priority mail. Orders, which should indicate the language required and refer to WIPO Publication No. 274, should be addressed to the Marketing and Distribution Section at WIPO:

fax: (41–22) 740 18 12
e-mail: publications.mail@wipo.int
electronic
bookshop: www.wipo.int/ebookshop
mailing
address: see address on page 2

It is recalled that the consolidated version of the Treaty and Regulations, as in force from 1 January 2003, is also available in English, French, German and Spanish, in PDF format, on the PCT website under “PCT Legal Texts.”

It is also recalled that a consolidated version of the Regulations Under the PCT, which includes all amendments adopted on or before 1 October 2002, including those which will enter into force on 1 January 2004, is available in English and French in the “New on the PCT website” box on the home pages of the PCT website. Please note that this text has been prepared **for reference purposes only**: a final consolidated text including all amendments which will enter into force on 1 January 2004 can only be prepared by the International Bureau after the adoption of a number of amendments at the next PCT Assembly in September 2003 (see “PCT Reform: fourth session of the Working Group on Reform of the PCT,” on page 11). ■

PCT-EASY Update

The Lithuanian Patent Office has notified the International Bureau that it is prepared to accept the filing of international applications containing requests in PCT-EASY format, together with PCT-EASY diskettes. See Table I(a) for the amount of the corresponding PCT-EASY fee reduction.

(Updating of the list of Offices included in *PCT Newsletter* No. 02/2003, and of *PCT Applicant's Guide*, Vol. I/B, Annex C (LT)). ■

PCT Information Update

AP African Regional Industrial Property Organization (ARIPO) (e-mail addresses)

One of the e-mail addresses of ARIPO has changed. The e-mail addresses to be used are now as follows:

e-mail: mail@aripo.org
aripo@ecoweb.co.zw

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B2 (AP))

AZ Azerbaijan (location and mailing address; telephone number; e-mail address; language of filing)

The location and mailing address of the State Agency for Standardization, Metrology and Patents (Azerbaijan) have changed, and the Office has introduced an additional telephone number as well as an e-mail address, as follows:

location and mailing address:

Mardanov gardashlar 124
AZ1078 Baku
Azerbaijan

telephone: (99–412) 40 37 98, 40 35 53

e-mail: SMP@azerin.com

The Office in its capacity as receiving Office has notified a change in its requirements concerning the language of filing of international applications. The consolidated list of languages accepted by the Office for the filing of international applications is as follows:

Azerbaijani, English or Russian

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (AZ), and Vol. I/B, Annex C (AZ))

BZ Belize (time limit for entry into the national phase)

The Belize Intellectual Property Office has notified a change in the time limit applicable for entry into the national phase under PCT Article 39(1)(a) before it as an elected Office. The new time limit is 30 months from the priority date. The time limit may, however, be extended upon written request of the applicant.

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (BZ))

CA Canada (fax number)

The fax number of the Canadian Patent Office has changed, as follows:

fax: (1–819) 953 24 76
(PCT Office: Mailroom)

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (CA))

CU Cuba (fees)

The Cuban Industrial Property Office has notified details about the composition of the

filing fee in USD or the equivalent in CUP, payable to it as designated (or elected) Office, as follows:

filing fee (including publication fee and the annual fee for the 1 st and the 2 nd years):	USD 460 or equivalent in CUP
--	------------------------------------

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (CU))

EE Estonia (provisions concerning international-type search)

The Estonian Patent Office has deleted, with effect from 1 April 2003, the provisions of the law of Estonia concerning international-type search.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (EE))

EP European Patent Office (EPO) (fees)

The EPO has given clarification concerning the payment of the extension fee in respect of Slovenia and Romania, and modified the footnote regarding that fee, payable to it as designated (or elected) Office, as follows:

extension fee (for extension of the European patent to Albania, Latvia, Lithuania, or the former Yugoslav Republic of Macedonia):* [no change]

* The designation and extension fees are payable within 31 months from the priority date. Extension fees are also to be paid if the European patent is to be extended to Slovenia and the international filing date is prior to 1 December 2002, and/or is to be extended to Romania and the international filing date is prior to 1 March 2003.

(Updating of *PCT Applicant's Guide*, Vol. II/B, National Chapter, Summary (EP))

ES Spain (telephone number; e-mail address; deposits of microorganisms and other biological material: requirements of designated and elected Offices)

The telephone number of the Spanish Patent and Trademark Office has changed, and the Office has notified its e-mail address, as follows:

telephone: (34) 902 157 530

e-mail: informacion@oepm.es

The Office has notified changes in its requirements concerning the deposit of microorganisms and other biological material. The consolidated list of requirements is as follows:

time (if any) earlier than 16 months from priority date by which applicant must furnish:

the indications prescribed in PCT Rule 13bis.3(a)(i) to (iii):

where applicant requests publication earlier than 16 months from the priority date, two months from notification of the Office

any additional matter specified below:

at the time of filing (as part of the application)

additional indications which must be given besides those prescribed in PCT Rule 13bis.3(a)(i) to (iii) pursuant to notifications from the Offices concerned:

to the extent available to the applicant, relevant information on the characteristics of the biological material

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (ES), and Vol. I/B, Annex L)

HU Hungary (fees; special requirements)

The Hungarian Patent Office has notified the International Bureau that one of the elements of the transmittal fee, the supplement of HUF 500 for each claim in excess of 10, is no longer payable to it as receiving Office.

The amounts of the following national fees, payable to the Office as designated (or elected) Office, have changed, and there is no longer an exemption of the national fee if the international application was filed with the Office:

where the Office is a designated Office:	HUF 24,000
plus:	
per claim for the 11th to the 20th claim:	HUF 1,400
per claim for the 21st to the 30th claim:	HUF 2,800
for each claim in excess of 30:	HUF 4,200

where the Office is an elected Office:	HUF	12,000
plus:		
per claim for the 11th to the 20th claim:	HUF	700
per claim for the 21st to the 30th claim:	HUF	1,400
for each claim in excess of 30:	HUF	2,100

Exemptions, reductions or refunds of the national fee: none

The Office has notified changes in connection with the footnotes applicable to its special requirements as a designated (or elected) Office. The consolidated list of special requirements and related footnotes is now as follows:

name and address of the inventor if they have not been furnished in the “Request” part of the international application¹

declaration of assignment where the applicant is not the inventor²

appointment of an agent if the applicant is not resident in Hungary³

1. This requirement may be satisfied if the corresponding declaration has been made in accordance with Rule 4.17.
2. Even if a corresponding declaration has been made in accordance with Rule 4.17, the Office may nevertheless require further documents or evidence (see *PCT Gazette* No. 05/2001, page 2024).
3. If not already complied with within the time limit applicable under PCT Article 22 or 39(1), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

(Updating of *PCT Applicant’s Guide*, Vol. I/B, Annex C (HU), and Vol. II/B, National Chapter, Summary (HU))

KG Kyrgyzstan (types of protection)

Since 7 March 2003, provisional patents and utility models have no longer been available in Kyrgyzstan via the PCT. The only types of protection available now are national patents and Eurasian patents.

(Updating of *PCT Applicant’s Guide*, Vol. I/A, Annex B1 (KG))

KR Republic of Korea (e-mail address; special requirements)

The Korean Intellectual Property Office has notified its e-mail address, as follows:

e-mail: kipopct@kipo.go.kr

The following two special requirements under PCT Rule 51*bis* of the Office as designated (or elected) Office **have been deleted**, as follows:

when the applicant is a legal entity, indication of the name of an officer representing that entity

translation of the international application to be furnished in three copies

(Updating of *PCT Applicant’s Guide*, Vol. I/A, Annex B1 (KR), and Vol. II/C, National Chapter, Summary (KR))

LV Latvia (language of filing)

The Latvian Patent Office in its capacity as receiving Office has notified a change in its requirements concerning the language of filing of international applications. The consolidated list of languages accepted by the Office for the filing of international applications is as follows:

English, French, German, Latvian or Russian

(Updating of *PCT Applicant’s Guide*, Vol. I/B, Annex C (LV))

MK The former Yugoslav Republic of Macedonia (telephone numbers; deposits of microorganisms and other biological material: requirements of designated and elected Offices)

One of the telephone numbers of the Industrial Property Protection Office (the former Yugoslav Republic of Macedonia) has been discontinued. The remaining valid telephone numbers are as follows:

telephone: (389–2) 11 63 79, 13 71 89

The Office has notified changes in its requirements concerning the deposit of microorganisms and other biological material, as indicated in the modified paragraph below (other parts relating to that Office have not changed):

Deposits may also be made for the purposes of patent procedure before the Industrial Property Protection Office with any international depositary institution recognized by the Office (a list is published in the official journal of the Office). The furnishing of samples to a third party may be subject to the condition that that party: (a) has a right to demand that a sample of

the viable biological or microbiological material be made available; (b) has undertaken to ensure that the applicant does not authorize access to the sample of the deposited viable biological or microbiological material to any third party before the expiry of the prescribed period of validity of the patent.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (MK), and Vol. I/B, Annex L)

NO Norway (fees)

The amount of the following national fee, payable to the Norwegian Patent Office as designated (or elected) Office, has changed (other fees not indicated have not changed):

examination fee: NOK 3,000 (none)*

* The amount in parentheses is applicable where the applicant is a physical person or a legal entity with less than 20 permanent employees

(Updating of *PCT Applicant's Guide*, Vol. II/C, National Chapter, Summary (NO))

PT Portugal (language of filing; deposits of microorganisms and other biological material: requirements of designated and elected Offices)

The National Institute of Industrial Property (Portugal) in its capacity as receiving Office has notified a change, as from 1 July 2003, in its requirements concerning the language of filing of international applications. The consolidated list of languages accepted by the Office for the filing of international applications is as follows:

English, French, German or Portuguese

The Office has notified changes in its requirements concerning the deposit of microorganisms and other biological material. The consolidated list of requirements is as follows:

time (if any) earlier than 16 months from priority date by which applicant must furnish:

the indications prescribed in PCT Rule 13*bis*.3(a)(i) to (iii):

[no change]

any additional matter specified below:

at the time of filing (as part of the application)

additional indications which must be given besides those prescribed in PCT Rule 13*bis*.3(a)(i) to (iii) pursuant to notifications from the Offices concerned:

[no change]

[New requirement:]

For the purposes of the patent procedure before the National Institute of Industrial Property a deposit is required not later than at the date of filing the international application with any depositary institution having acquired the status of international depositary authority under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (PT) and Annex L)

RO Romania (provisional protection after international publication)

The State Office for Inventions and Trademarks (Romania) has notified details concerning provisional protection after international publication where the designation is made for the purposes of a national patent and where the designation is made for the purposes of a European patent, as follows:

where the designation is made for the purposes of a national patent:

the applicant may, from the date of publication in Romanian of the international application, claim from any person who uses the subject of the application, although the person knew, or should have known, that the invention used by him was the subject of a published application, appropriate compensation under the circumstances (see Art. 23, 34, 58(3) and (4) of the Patent Law (Law No. 64/1991)).

where the designation is made for the purposes of a European patent:

a published European patent application confers the protection mentioned above as from the date on which a translation into Romanian of the claims of that application is published by the Office (see Art. 5(2) of the Law No. 611/2002).

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (RO))

RU Russian Federation (fees; translation of international application)

The Russian Patent Office has notified changes with regard to the conditions applicable for the reduction of the annual fees (which were not previously included in the Summary (RU) referred to below), payable to it as designated (or elected) Office, as follows (other fees not indicated have not changed):

for patent:

annual fee for the third year: USD 100

for utility model:

annual fee for the first and second year, per year: USD 50

exemptions, reductions or refunds of the national fee:

The annual fees shall be reduced by 50% where a notice about an open license is filed with the Office. The reduction shall apply as from the year following the year of publication of information on the notice.

There has been a change in the time limit for the furnishing to the Office as designated (or elected) Office of the translation of the international application into Russian. The translation must now be furnished within the time limit applicable under PCT Article 22 or 39(1) (instead of within two months from the expiration of that time limit). (This modifies the footnote relating to the translation.)

(Updating of *PCT Applicant's Guide*, Vol. II/D, National Chapter, Summary (RU))

UA Ukraine (e-mail and Internet addresses; fees)

One of the e-mail addresses and the Internet address of the Ukraine Patent Office have changed, as follows:

e-mail: POST@sdip.kiev.ua
(Patent Office)
POST@ukrpatent.org
(Receiving Office)

Internet: www.sdip.kiev.ua
(Patent Office)

There has also been a change in the provisions concerning provisional protection in Ukraine after international publication, as follows:

The applicant may, from the date of publication of the international application by the Ukraine Patent Office, claim payment of an indemnity from a person who was actually aware or notified in written form in Ukrainian, with indication of the number of the application, of the fact that information on the application for invention, which is used by that person without being entitled to do so, had been published. Such indemnity shall be effective only upon grant of the patent (Article 21, Parts 2 and 4 of UPL).

The Office has notified the International Bureau that the following fees payable in USD to it as receiving Office can also be paid in the equivalent in Ukraine hryvnia (UAH): basic fee, fee per sheet in excess of 30 and designation fee. Each element of the national fee, payable in USD to it as designated (or elected) Office can also be paid in the equivalent in UAH.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (UA), Vol. I/B, Annex C (UA), and Vol. II/D, National Chapter, Summary (UA))

US United States of America (provisional protection after international publication)

There has been a change in the provisions concerning provisional protection in the United States of America after international publication, as follows:

The patentee is entitled to obtain a reasonable royalty commencing on the date of the publication under PCT Article 21(2)(a) of the international application, or if the publication is in a language other than English, on the date the USPTO receives a translation of the international application in the English language. The right to obtain a reasonable royalty is not available unless the invention as claimed in the patent is substantially identical to the invention claimed in the published international application. For details, see the US National Chapter in Volume II, paragraph US.27 (see also 35 U.S.C. 154(d)).

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (US))

ZA South Africa (fees)

As from 1 July 2003, there will be a change in the equivalent amounts of the following fees payable in ZAR to the Companies and Intellec-

tual Property Registration Office (South Africa) as receiving Office, as well as a change in the equivalent amount in ZAR of the PCT-EASY fee reduction:

basic fee, fee per sheet in excess of 30, designation fee and PCT-EASY fee reduction: see Table I(a) and footnotes

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (ZA))

Search fee (Australian Patent Office, China Intellectual Property Office, European Patent Office, Japan Patent Office, Russian Patent Office and United States Patent and Trademark Office)

As from 1 July 2003, there will be changes in the equivalent amounts payable in ZAR for international searches carried out by the Australian Patent Office and the European Patent Office, and as from 15 July 2003, there will be changes in the equivalent amounts payable in ISK for an international search carried out by the European Patent Office and in EUR for an international search carried out by the Russian Patent Office, as indicated in Table I(b).

For the purposes of payment of fees to the International Bureau as receiving Office, there will be a change, as from 1 July 2003, in the equivalent amount payable in EUR for an international search carried out by the Japan Patent Office, and there will also be changes, as from 15 July 2003, in the equivalent amounts payable in EUR for international searches carried out by the China Intellectual Property Office and the United States Patent and Trademark Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AU, CN, EP, JP, RU and US))

Language(s) accepted for the filing of requests: notification by receiving Offices under PCT Rule 12.1(c) (Armenia, Azerbaijan, Bulgaria, Colombia, Kyrgyzstan, Lesotho, Malawi, Morocco, South Africa, the former Yugoslav Republic of Macedonia, Turkey, Viet Nam)

Under amended PCT Rule 12.1(c), which entered into force on 1 January 2003, the receiving Offices of the following States have notified the International Bureau of the lan-

guage or languages which they are prepared to accept for the filing of requests, as follows:

AM	Armenia	English
AZ	Azerbaijan	English or Russian
BG	Bulgaria	English or Russian
CO	Colombia	Spanish
KG	Kyrgyzstan	English or Russian
LS	Lesotho	English
MA	Morocco	French
MK	The former Yugoslav Republic of Macedonia	English
MW	Malawi	English
TR	Turkey	English, French or German
VN	Viet Nam	English or Russian*
ZA	South Africa	English

* The request must be filed in Russian where the international application is filed in Russian and the International Searching Authority is the Russian Patent Office. In other cases, the request must be filed in English.

This updates the table published in *PCT Newsletter* No. 01/2003, on page 10, and *PCT Applicant's Guide*, Vol. I/B, Annex C (AM, AZ, BG, CO, KG, LS, MA, MK, MW, TR, VN and ZA). ■

Modified Request and Demand Forms

The Request and Demand Forms have been modified with effect from 1 July 2003. All occurrences of the country name "Yugoslavia" in those Forms have been replaced by "Serbia and Montenegro." Details of the other modifications follow.

Request Form (PCT/RO/101)

The Request Form has been modified to take into account the following:

- the PCT entered into force for Nicaragua on 6 March 2003, and will enter into force for Papua New Guinea on 14 June 2003, and for the Syrian Arab Republic on 26 June 2003;
- Hungary (from 1 January 2003) and Romania (from 1 March 2003) may also be designated for the purposes of obtaining a European patent;

- it is possible for applicants to obtain utility models in addition to patents in Colombia; and
- it is no longer possible to obtain provisional patents or utility models in Kyrgyzstan.

The Notes to the Request Form (Notes to Box No. V) have been modified to take into account the following:

- the extension of European patents has ceased to be available in Romania;
- utility models are available in Colombia; and
- provisional patents and utility models are no longer available in Kyrgyzstan.

The Notes to the Fee Calculation Sheet have been modified to take into account the following:

- the applicability of the reduction of the international fee to applicants from Nicaragua, Papua New Guinea and the Syrian Arab Republic.

All the sheets of the Request Form, including the Notes to the request form, the Fee Calculation Sheet and the Notes thereto, are dated July 2003 (the date of issuance or of reprinting of the sheet). Only the updated version of the Request Form should be used for international applications filed as from 1 July 2003. Modified versions of the second sheet of the Request Form (which includes Box No. V) in English and French are included in this issue for insertion in the *PCT Applicant's Guide*, Vol. I/B, Annex X. Also included in this issue are modified versions of the second sheet in German and Spanish. The inserted sheets may be photocopied and used, as from 1 July 2003, along with other sheets of the Request Form. However, it is recommended that you obtain a copy of the complete Request Form dated July 2003 (see below).

Demand Form (PCT/IPEA/401)

The Demand Form itself has not been modified. The Notes to the Fee Calculation Sheet (annex to the Demand Form) relating to the reduction of the handling fee for qualifying applicants from certain States have been modified to take into account the entry into force of the PCT for Nicaragua, Papua New Guinea and the Syrian Arab Republic.

All the sheets of the Demand Form, including the Notes to the Demand Form, the Fee Calculation Sheet and the Notes thereto, are dated July 2003 (the date of issuance or of reprinting of the sheet).

How to obtain copies of the Request and Demand Forms

Consolidated versions, in English and French, of the modified Request and Demand Forms, together with their respective accompanying notes, will be included in the next update of the *PCT Applicant's Guide*, Vol. I/B, Annexes X and Y, respectively. As from the end of June 2003, copies of the modified Request Form in English, French, German and Spanish can also be obtained, free of charge, from receiving Offices and the International Bureau, and copies of the modified Demand Form in those languages can also be obtained, free of charge, from receiving Offices, International Preliminary Examining Authorities and the International Bureau. Due to translation requirements, there may be a slight delay in the availability of those forms in other languages.

The July 2003 versions of the Request and Demand Forms in English, French, German and Spanish will also be included, in PDF and editable PDF format, on the WIPO Internet site at:

wipo.int/pct/en/forms/index.htm
wipo.int/pct/fr/forms/index.htm
wipo.int/pct/de/forms/index.htm
wipo.int/pct/es/forms/index.htm

respectively, at the beginning of July 2003.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes X and Y) ■

New/Updated PCT Materials on the Internet

Archive of list server messages

It is now possible to search the archive of list server messages (the e-mail updating service which announces important PCT news) by clicking on the relevant link box on the PCT homepage.

It is recalled that you can subscribe free-of-charge to this e-mail list at:

<http://listbox.wipo.int/wreg/pct-general.html>

PCT legal text index updated

The PCT legal text index has been updated. The index is a useful tool to help PCT users find the relevant provisions in all of the PCT legal texts (Treaty, Regulations, Administrative Instructions, Forms and the various Guidelines) corresponding to a particular topic, and is available at:

www.wipo.int/pct/en/texts/pdf/legal_index.pdf

Table of time limits for entering the national/regional phase

The table of time limits for entering the national/regional phase under PCT Chapters I and II, as updated on 20 May 2003, is now available, in English and French, from the “New on the PCT website” box. ■

PCT Reform: Fourth Session of the Working Group on Reform of the PCT

The fourth session of the Working Group on Reform of the Patent Cooperation Treaty (PCT) was held in Geneva from 19 to 23 May 2003. The matters discussed by the Working Group included the following:

- minor corrections and consequential amendments of the Regulations that were adopted by the PCT Assembly in October 2002 were approved by the Working Group with a view to their submission to the PCT Assembly in September 2003;
- several other proposed amendments of the Regulations were approved by the Working Group with a view to their submission to the PCT Assembly in September 2003;
- options for the restoration of the right of priority—three options for provisions designed to allow for restoration of the priority right in the international and/or the national phase were discussed;
- the level and structure of PCT fees—it was agreed that the International Bureau would prepare a revised proposal with regard to the amounts of the international filing fee and the handling fee for submission to the PCT Assembly in September 2003;
- changes related to the Patent Law Treaty (PLT), in particular concerning “missing part” requirements;

- the Working Group approved the content of the draft quality framework for international search and preliminary examination, subject to certain modifications, and agreed that it should be incorporated into the draft revised PCT International Search and Preliminary Examination Guidelines which were under consideration by the Meeting of International Authorities under the PCT;
- options for the future development of international search and examination; and
- declaration of source and genetic resources and traditional knowledge in patent applications—to be further discussed at the next session.

For further information, see the documents that were prepared for discussion at the meeting, as well as the Chair’s summary of the session (which will be available shortly), via:

www.wipo.int/pct/en/meetings

The fifth session of the Working Group is tentatively scheduled for 17 to 21 November 2003. ■

Practical Advice

Filing an international application in which the only applicant for one of the designated States is neither a resident nor a national of a PCT Contracting State

Q: I have just been asked by my client, a US corporation, to start filing PCT applications on its behalf. One of the applications is to be filed in the name of that corporation, under its Venezuelan-based commercial establishment, as applicant for the purposes of all designated States except the US. However, the applicant for the purposes of the US designation, that is, the inventor, is a resident and national of Venezuela, which is not a PCT Contracting State. Will this cause any problems for the application or for the US designation?

A: According to PCT Rule 18.3, if there are two or more applicants, the right to file an international application exists if **at least one of them** is entitled to file an international application according to PCT Article 9; that is, if at least one of them is a national or resident of a PCT Contracting State, regardless of the respective nationality and residence of each of

the other applicants. Your application fulfills this requirement since one of the applicants (the corporate applicant) is a national of a PCT Contracting State (US).

The PCT **does not require** that, for each designated State, there must an applicant indicated for the purposes of that State who is entitled to file an international application according to PCT Article 9. It is recalled, however, that prior to 1 July 1992, there was a requirement under former PCT Rule 18.4(a) that for each designated State, at least one of the applicants indicated for the purposes of that State had to be entitled to file an international application according to PCT Article 9(1); that is, for each designated State, at least one applicant for the purposes of that State had to be a resident or national of a PCT Contracting State. In order to relax the Rules relating to residence and nationality, the PCT Assembly decided to delete that requirement with effect from 1 July 1992 and to introduce (current) PCT Rule 18.3, which entered into force as from that date.

If the applicant intends to file a demand for international preliminary examination, there will

not be any problems filing a demand in the name of the above-mentioned applicants since, according to PCT Rule 54.2, the right to make a demand exists if the applicant making the demand or, if there are two or more applicants, at least one of them is a resident or national of a Contracting State bound by Chapter II (all PCT Contracting States are currently bound by Chapter II) and the international application has been filed with a receiving Office of or acting for a Contracting State bound by Chapter II.

As far as entry into the national phase is concerned, PCT Articles 22 and 39, which specify the requirements for entry into the national phase, do not include any requirements concerning the residence or nationality of the applicant(s).

For information on the assignment, during the international phase, of an international application to a person who is neither a resident nor a national of a PCT Contracting State, see *PCT Newsletter* No. 05/1994, page 3 (available on the PCT website at: www.wipo.int/pct/en/newslett/1994/1994_07/b.htm). ■

PCT Seminar Calendar			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
11–12 June 2003 Lima (Peru)	Spanish	WIPO National Seminar on Patent Protection WIPO speakers: Mrs. Coeckelbergs and Mr. Hernández Vigaud	National Institute for the Defense of Competition and Intellectual Property Protection (INDECOPI) of Peru (Mrs. Odette Herbozo) Tel: (51–1) 224 78 00, ext. 1634 Fax: (51–1) 224 03 48
17–18 June 2003 Paris (FR)	French	Advanced PCT seminar on PCT procedures WIPO speaker: Mr. Baron and Mr. Genin	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 Fax: (33–1) 42 93 63 52
23–24 June 2003 Kingston (Jamaica)	English	WIPO Regional Seminar on the Patent Cooperation Treaty (PCT) for Caribbean Countries WIPO speaker: Mr. Regis Other speaker: Mr. Watkins (University of Akron, Ohio, US)	Jamaica Intellectual Property Office (JIPO) (Mrs. Loreen Walker) Tel: (1–876) 754 63 60 Fax: (1–876) 929 11 90
9–10 July 2003 Panama City (Panama)	Spanish	WIPO National Seminar on the PCT WIPO speakers: Mr. Hernández Vigaud and Mrs. Guerra	Directorate General of the Industrial Property Registry, National Directorate of Commerce, Ministry of Commerce and Industries of Panama (Mrs. Luz Celeste Rios de Davis) Tel: (507) 360 07 05 Fax: (507) 321 02 66
10–12 August 2003 Washington, D.C. (US)	English	Advanced PCT seminar for patent attorneys WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1–434) 296 39 00 Fax: (1–434) 975 20 66
14–16 August 2003 Washington, D.C. (US)	English	Basic PCT seminar for patent attorneys WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. (see above)
9–10 September 2003 Munich (DE) <i>(previously announced as 11–12 September 2003)</i>	German	Basic PCT seminar <i>(previously announced as advanced seminar)</i> WIPO speakers: Mr. H.G. Bartels and Mr. Reischle	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
16–17 October 2003 Boston (US)	English	PCT seminar WIPO speaker: Mr. Maassel	Boston Patent Law Association (Mr. Neil P. Ferraro) Tel: (1–617) 720 35 00 Fax: (1–617) 720 24 41 E-mail: nferraro@wolfgreenfield.com

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PCT Seminar Calendar [continued]			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
17 October 2003 Munich (DE)	German	Seminar on the latest changes in the PCT system and on the national phase WIPO speaker: Mr. Matthes Other speaker: Mr. Wolff (European Patent Office)	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de
14 November 2003 London (GB)	English	PCT presentation at Annual Senior Patent Administrators' Conference WIPO speaker(s): to be announced	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
9-10 December 2003 Paris (FR)	French	Basic PCT seminar on PCT procedures WIPO speaker: Mr. Baron	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 42 93 63 52
11-12 December 2003 London (GB)	English	Advanced PCT course WIPO speaker(s): to be announced	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk

PCT Fee Tables

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software or where the international application is filed in electronic form, as prescribed; see footnotes 2 and 7 for details. A 75% reduction in the basic fee, the fee per sheet over 30, the designation fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 12 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated **after** the CHF 200 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of –	BGL	Bulgarian lev	GBP	Pound sterling	LSM	Lesotho loti	SEK	Swedish krona
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GEL	Georgian lari	LTL	Lithuanian litas	SGD	Singapore dollar
ISA	International Searching Authority	BYR	Belarussian rouble	GHC	Ghanaian cedi	LVL	Latvian lat	SIT	Slovenian tolar
n a	not applicable	BZD	Belize dollar	HRK	Croatian kuna	MAD	Moroccan dirham	SKK	Slovak koruna
RO	receiving Office	CAD	Canadian dollar	HUF	Hungarian forint	MDL	Moldovan leu	TJS	Tajik somoni
		CHF	Swiss franc	IDR	Indonesian rupiah	MKD	Macedonian denar	TND	Tunisian dinar
		CNY	Yuan renminbi	ILS	New Israel shekel	MWK	Malawian kwacha	TTD	Trinidad and Tobago dollar
		COP	Colombian peso	INR	Indian rupee	MXP	Mexican peso		
		CUP	Cuban convertible peso	ISK	Icelandic krona	NOK	Norwegian krone	UAH	Ukrainian hryvnia
		CYP	Cyprus pound	JPY	Japanese yen	NZD	New Zealand dollar	USD	US dollar
		CZK	Czech koruna	KES	Kenyan shilling	PHP	Philippine peso	VND	Vietnamese dong
		DKK	Danish krone	KGS	Kyrgyz som	PLZ	Polish zloty	YUD	Yugoslavian dinar
		DZD	Algerian dinar	KPW	KP won	ROL	Romanian leu	ZAR	South African rand
		EEK	Estonian kroon	KRW	KR won	RUR	Russian rouble	ZWD	Zimbabwe dollar
		EUR	Euro	KZT	Kazakh tenge	SDP	Sudanese pound		

Table I(a) — Transmittal, basic and designation fees
(as at 1 June 2003, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ^{2,7} (CHF 200)	Competent ISA(s) ⁸
AE	AED ⁹ —	AED eq CHF 650	15	140	n a	AT AU
AG	Information not yet available					
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 476	12	104	148	EP RU
AP	USD 50 (or eq in local currency)	USD 476	12	104	148	AT EP SE
AT	EUR 50	EUR 444	10	96	137	EP
AU	AUD 100	AUD 746	17	161	230	AU
AZ	AZM 55,000	USD 476	12	104	n a	EP RU
BA	EUR 25.56	EUR 444	10	96	137	EP
BE	EUR 40	EUR 444	10	96	137	EP
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT EP SE US
BY	BYR eq USD 70	USD 476	12	104	n a	EP RU
BZ	BZD 220	USD 476	12	104	148	EP
CA	CAD 200	CAD 730	17	157	224	EP

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Table I(a) — Transmittal, basic and designation fees [continued]

(as at 1 June 2003, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ^{2,7} (CHF 200)	Competent ISA(s) ⁸
CH	CHF 100	CHF 650	15	140	200	EP
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CO	COP ⁹ —	COP eq USD 476	eq USD 12	eq USD 104	eq USD 148	AT, EP, ES, RU
CR	USD 175	USD 476	12	104	148	EP ES
CU	USD (or eq CUP)200	USD (or eq CUP)476	12	104	148	AT EP ES RU
CY	CYP 75	CYP 258	6	56	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
DE	EUR 90	EUR 444	10	96	137	EP
DK	DKK 1,500	DKK 3,300	80	710	1,020	EP SE
DM	Information not yet available					
DZ	DZD ⁹ —	CHF 650	15	140	200	AT EP
EA	RUR eq USD 50	USD 476	12	104	148	EP RU
EC	USD ⁹ —	USD 476	12	104	148	EP ES
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
EP ⁷	EUR 100	EUR 444	10	96	137 ⁷	EP
ES	EUR 62.74	EUR 444	10	96	137	EP ES
FI	EUR 135	EUR 444	10	96	137	EP SE
FR	EUR 60	EUR 444	10	96	137	EP
GB	GBP 55	GBP 278	6	60	86	EP
GD	Information not yet available					
GE	GEL ¹⁰ 10	USD 476	12	104	148	EP RU
GH	GHC ¹¹ 2,500 or 5,000	USD 476	12	104	n a	AT AU CN EP SE
GR	EUR 115	EUR 444	10	96	137	EP
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB	CHF ¹² 100 or USD ¹² 60 or EUR ¹² 68	CHF 650 or USD 476 or EUR 444	15 12 10	140 104 96	200 148 137	See footnote 13
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	EUR 76.00	EUR 444	10	96	137	EP
IL	ILS 476	USD 476	12	104	148	EP US
IN	INR 5,000 (filing by indiv: 1,500)	USD 476	12	104	148	AT AU CN EP SE US

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Table I(a) — Transmittal, basic and designation fees [continued]

(as at 1 June 2003, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ^{2,7} (CHF 200)	Competent ISA(s) ⁸
IS	ISK 5,500	ISK 37,400	860	8,000	11,500	EP SE
IT	EUR 30.99	EUR 444	10	96	n a	EP
JP	JPY 18,000	JPY 54,000	1,200	11,600	16,600	EP JP
KE	USD (or KES equiv) ²⁵⁰ plus cost of mailing	USD 476	12	104	148	AT AU CN EP SE
KG	KGS eq USD 100	USD 476	12	104	148	EP RU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT RU
KR	KRW 45,000	KRW 530,000	12,000	114,000	163,000	AT AU JP ¹⁴ KR
KZ	KZT ⁹ —	USD 476	12	104	148	EP RU
LR	USD 45	USD 476	12	104	n a	AT AU CN EP SE
LS	LSM ⁹ —	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL 320	EUR 444	10	96	137	EP RU
LU	EUR 19	EUR 444	10	96	n a	EP
LV	LVL 47.20	USD 476	12	104	148	EP RU
MA	None	CHF 650	15	140	n a	AT EP RU SE
MC	EUR 49 ¹⁵	EUR 444	10	96	n a	EP
MD	MDL 180	USD 476	12	104	148	EP RU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EP RU
MW	MWK 6,000	MWK 25,000	600	5,400	7,700	EP
MX	MXP ³ eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP ES SE US
NI	Information not yet available					
NL	EUR 50	EUR 444	10	96	137	EP
NO	NOK 500	NOK 3,560	80	770	1,090	EP SE
NZ	NZD 180 ¹⁶	NZD 890	20	192	274	AU EP US
OA	Information not yet available					
OM	Information not yet available					
PH	PHP 3,500	USD 476	12	104	148	AU EP JP KR US
PL	PLZ 300	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	EUR 23.19	EUR 444	10	96	137	EP
RO	ROL 300,000	CHF 650	15	140	200	AT EP RU
RU	RUR 294	USD 476	12	104	148	EP RU
SC	Information not yet available					
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK 1,200	SEK 4,390	100	950	1,350	EP SE
SG	SGD 150	SGD 828	19	178	255	AT AU EP
SI	SIT 22,000	SIT eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK 1,600	SKK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP

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Table I(a) — Transmittal, basic and designation fees [continued]
(as at 1 June 2003, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Fee per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ^{2,7} (CHF 200)	Competent ISA(s) ⁸
TJ	TJS ⁹ —	USD 476	12	104	n a	EP RU
TM	USD ⁹ —	USD 476	12	104	148	EP RU
TN	TND ⁹ —	CHF 650	15	140	n a	EP
TR	CHF 100	CHF 650	15	140	200	EP
TT	TTD 750	USD 476	12	104	148	AT EP SE US
UA	UAH 255	USD (or eq UAH) 476	12	104	n a	EP RU
US	USD 240	USD 476	12	104	148	EP US
UZ	USD ⁹ —	USD 476	12	104	148	EP RU
VC	Information not yet available					
VN	VND eq USD 150	VND eq CHF 650	eq CHF 15	eq CHF 140	n a	ATAU EP KR RU SE
YU	YUD 3,000	YUD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
ZA	ZAR 500	ZAR 4,240 (from 1.7.03 3,580)	98 (80)	910 (780)	1,300 (1,100)	AT AU EP US
ZM	Information not yet available					
ZW	ZWD 6,000	ZWD eq USD 476	eq USD 12	eq USD 104	eq USD 148	AT AU CN EP RU

Table I(b) — Search fees
(as at 1 June 2003, unless otherwise indicated)

ISA	Search fee ¹									
AT	EUR 159	CHF 230	KRW 191,000	SGD 259	USD 150	ZAR 1,280				
AU	AUD 1,000	CHF 871	EUR 560	KRW 710,000	NZD 1,073	SGD 1,030				
	USD 592	ZAR* 5,360	*(from 1.7.03:)	ZAR 4,700)						
CN	CNY 1,500	CHF 250	EUR* 185	USD 180	*(from 15.7.03:)	EUR 156)				
EP ¹⁷	EUR 945	CAD 1,552	CHF 1,383	CYP 550	DKK 7,030	GBP* 592				
	ISK** 83,000	JPY 117,900	MWK 53,000	NOK 7,070	NZD 1,889	SEK 8,720				
	SGD 1,780	USD 1,020	ZAR* 8,600							
	* (from 1.7.03:)	GBP 640	ZAR 7,860)							
	** (from 15.7.03:)	ISK 78,000)								
ES ¹⁷	EUR 945	CHF 1,383	USD 1,020							
JP	JPY 72,000	CHF 870	EUR* 620	KRW 798,000	USD 611					
	* (from 1.7.03:)	EUR 535)								
KR	KRW 150,000	CHF 180	EUR 130	USD 120						
RU ¹⁸	USD 300	CHF 410	EUR* 306	*(from 15.7.03:)	EUR 258)					
SE	SEK 8,720	CHF 1,383	DKK 7,030	EUR 945	ISK 83,000	NOK 7,070				
	USD 1,020									
US	USD 700	450 ¹⁹	CHF 947	609 ¹⁹	EUR* 714	459 ¹⁹	NZD 1,270	810 ¹⁹		
	ZAR 6,000	4,000 ¹⁹	*(from 15.7.03:)		EUR 615	395 ¹⁹)				

Table II — Preliminary examination fees
(as at 1 June 2003, unless otherwise indicated)

IPEA	Preliminary examination fee ²⁰		Handling fee ^{3,20} (CHF 233)		
AT	EUR	159	EUR	159	
AU	AUD	550	1,000 ²¹	AUD	267
CN	CNY	1,500	CNY eq CHF	233	
EP ¹⁷	EUR	1,530	EUR	159	
ES	EUR	493.11	EUR	159	
JP	JPY	28,000	JPY	19,200	
KR	KRW	150,000	KRW	190,000	
RU ¹⁸	USD	200 ²²	300 ²³	USD	172
SE	SEK	5,000	SEK	1,570	
US	USD	490	750 ²⁴	USD	172

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Ecuador, Equatorial Guinea, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Nicaragua, Niger, Papua New Guinea (from 14 June 2003), Philippines, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Saint Vincent and the Grenadines, Senegal, Serbia and Montenegro, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Syrian Arab Republic (from 26 June 2003), Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Tunisia, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Zambia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the PCT *Administrative Instructions*, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 The maximum number of designation fees payable is five.
- 6 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 7 The same amount of reduction of the basic and designation fee as indicated in footnote 2 applies where the international application is filed in electronic form in accordance with and to the extent provided for in Part 7 and Annex F of the *Administrative Instructions* and where the international application is filed with a receiving Office which has notified the International Bureau under Section 710 of the *Administrative Instructions* that it is prepared to receive international applications in electronic form—only the European Patent Office and the National Institute of Industrial Property (INPI) (France) have sent such a notification so far.
- 8 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 9 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 10 This fee is reduced by 80% where the applicant is a natural person.
- 11 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 12 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated in footnote 3.
- 13 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 14 The Japan Patent Office is competent only for international applications in Japanese.
- 15 Plus EUR 1 for the preparation of additional copies, for each page and each copy.
- 16 Plus 12.5% GST (Goods and Services Tax) for New Zealand residents.
- 17 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated in footnote 3, with the exception of Bulgaria, the Czech Republic, Estonia, Hungary, Romania, Slovakia and Turkey.
- 18 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 19 Payable when a corresponding prior US national application has been filed under 35 USC 111(a) and the basic filing fee for that US application has been paid.
- 20 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 21 Payable when the international search report was not issued by the Australian Patent Office.
- 22 Payable when the international search report was established by the Russian Patent Office.
- 23 In all cases where footnote 22 does not apply.
- 24 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C (US)).

PCT Contracting States and Two-letter Codes (120 on 1 June 2003)



AE United Arab Emirates	CR Costa Rica	IL Israel	ML Mali (OA) ²	SN Senegal (OA) ²
AG Antigua and Barbuda	CU Cuba	IN India	MN Mongolia	SY Syrian Arab Republic (from 26 June 2003)
AL Albania ¹	CY Cyprus (EP) ²	IS Iceland	MR Mauritania (OA) ²	SZ Swaziland (AP) ²
AM Armenia (EA)	CZ Czech Republic (EP)	IT Italy (EP) ²	MW Malawi (AP)	TD Chad (OA) ²
AT Austria (EP)	DE Germany (EP)	JP Japan	MX Mexico	TG Togo (OA) ²
AU Australia	DK Denmark (EP)	KE Kenya (AP)	MZ Mozambique (AP)	TJ Tajikistan (EA)
AZ Azerbaijan (EA)	DM Dominica	KG Kyrgyzstan (EA)	NE Niger (OA) ²	TM Turkmenistan (EA)
BA Bosnia and Herzegovina	DZ Algeria	KP Democratic People's Republic of Korea	NI Nicaragua	TN Tunisia
BB Barbados	EE Estonia (EP)	KR Republic of Korea	NL Netherlands (EP) ²	TR Turkey (EP)
BE Belgium (EP) ²	ES Spain (EP)	KZ Kazakhstan (EA)	NO Norway	TT Trinidad and Tobago
BF Burkina Faso (OA) ²	FI Finland (EP)	LC Saint Lucia	NZ New Zealand	TZ United Republic of Tanzania (AP)
BG Bulgaria (EP)	FR France (EP) ²	LI Liechtenstein (EP)	OM Oman	UA Ukraine
BJ Benin (OA) ²	GA Gabon (OA) ²	LK Sri Lanka	PG Papua New Guinea (from 14 June 2003)	UG Uganda (AP)
BR Brazil	GB United Kingdom (EP)	LR Liberia	PH Philippines	US United States of America
BY Belarus (EA)	GD Grenada	LS Lesotho (AP)	PL Poland	UZ Uzbekistan
BZ Belize	GE Georgia	LT Lithuania ¹	PT Portugal (EP)	VC Saint Vincent and the Grenadines
CA Canada	GH Ghana (AP)	LU Luxembourg (EP)	RO Romania (EP)	VN Viet Nam
CF Central African Republic (OA) ²	GN Guinea (OA) ²	LV Latvia ¹	RU Russian Federation (EA)	YU Serbia and Montenegro
CG Congo (OA) ²	GQ Equatorial Guinea (OA) ²	MA Morocco	SC Seychelles	ZA South Africa
CH Switzerland (EP)	GR Greece (EP) ²	MC Monaco (EP) ²	SD Sudan (AP)	ZM Zambia (AP)
CI Côte d'Ivoire (OA) ²	GW Guinea-Bissau (OA) ²	MD Republic of Moldova (EA)	SE Sweden (EP)	ZW Zimbabwe (AP)
CM Cameroon (OA) ²	HR Croatia	MG Madagascar	SG Singapore	
CN China	HU Hungary (EP)	MK The former Yugoslav Republic of Macedonia ¹	SI Slovenia (EP) ²	
CO Colombia	ID Indonesia		SK Slovakia (EP)	
	IE Ireland (EP) ²		SL Sierra Leone (AP)	

¹ Extension of European patent possible.

² May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated January 2003. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (January 2003 update), and can be printed from the WIPO Internet site, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. For details on the updated request form, to be used from 1 July 2003, see page 9.

Box No. V DESIGNATION OF STATES *Mark the applicable check-boxes below; at least one must be marked.*

The following designations are hereby made under Rule 4.9(a):

Regional Patent

- AP ARIPO Patent:** GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZM Zambia, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT (*if other kind of protection or treatment desired, specify on dotted line*)
- EA Eurasian Patent:** AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- EP European Patent:** AT Austria, BE Belgium, BG Bulgaria, CH & LI Switzerland and Liechtenstein, CY Cyprus, CZ Czech Republic, DE Germany, DK Denmark, EE Estonia, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, HU Hungary, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, RO Romania, SE Sweden, SI Slovenia, SK Slovakia, TR Turkey, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- OA OAPI Patent:** BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GQ Equatorial Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (*if other kind of protection or treatment desired, specify on dotted line*)

National Patent (*if other kind of protection or treatment desired, specify on dotted line*):

- | | | |
|--|---|--|
| <input type="checkbox"/> AE United Arab Emirates | <input type="checkbox"/> HR Croatia | <input type="checkbox"/> OM Oman |
| <input type="checkbox"/> AG Antigua and Barbuda | <input type="checkbox"/> HU Hungary | <input type="checkbox"/> PG Papua New Guinea |
| <input type="checkbox"/> AL Albania | <input type="checkbox"/> ID Indonesia | <input type="checkbox"/> PH Philippines |
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| <input type="checkbox"/> AZ Azerbaijan | <input type="checkbox"/> JP Japan | <input type="checkbox"/> RU Russian Federation |
| <input type="checkbox"/> BA Bosnia and Herzegovina | <input type="checkbox"/> KE Kenya | |
| <input type="checkbox"/> BB Barbados | <input type="checkbox"/> KG Kyrgyzstan | <input type="checkbox"/> SC Seychelles |
| <input type="checkbox"/> BG Bulgaria | <input type="checkbox"/> KP Democratic People's Republic | <input type="checkbox"/> SD Sudan |
| <input type="checkbox"/> BR Brazil | of Korea | <input type="checkbox"/> SE Sweden |
| <input type="checkbox"/> BY Belarus | <input type="checkbox"/> KR Republic of Korea | <input type="checkbox"/> SG Singapore |
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| <input type="checkbox"/> CA Canada | <input type="checkbox"/> LC Saint Lucia | <input type="checkbox"/> SL Sierra Leone |
| <input type="checkbox"/> CH & LI Switzerland and Liechtenstein | <input type="checkbox"/> LK Sri Lanka | <input type="checkbox"/> SY Syrian Arab Republic |
| <input type="checkbox"/> CN China | <input type="checkbox"/> LR Liberia | <input type="checkbox"/> TJ Tajikistan |
| <input type="checkbox"/> CO Colombia | <input type="checkbox"/> LS Lesotho | <input type="checkbox"/> TM Turkmenistan |
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| <input type="checkbox"/> CU Cuba | <input type="checkbox"/> LU Luxembourg | <input type="checkbox"/> TR Turkey |
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| <input type="checkbox"/> DE Germany | <input type="checkbox"/> MA Morocco | |
| <input type="checkbox"/> DK Denmark | <input type="checkbox"/> MD Republic of Moldova | <input type="checkbox"/> TZ United Republic of Tanzania |
| <input type="checkbox"/> DM Dominica | | <input type="checkbox"/> UA Ukraine |
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| <input type="checkbox"/> EC Ecuador | <input type="checkbox"/> MK The former Yugoslav Republic of | <input type="checkbox"/> US United States of America |
| <input type="checkbox"/> EE Estonia | Macedonia | |
| <input type="checkbox"/> ES Spain | <input type="checkbox"/> MN Mongolia | <input type="checkbox"/> UZ Uzbekistan |
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| <input type="checkbox"/> GB United Kingdom | <input type="checkbox"/> MX Mexico | <input type="checkbox"/> VN Viet Nam |
| <input type="checkbox"/> GD Grenada | <input type="checkbox"/> MZ Mozambique | <input type="checkbox"/> YU Serbia and Montenegro |
| <input type="checkbox"/> GE Georgia | <input type="checkbox"/> NI Nicaragua | <input type="checkbox"/> ZA South Africa |
| <input type="checkbox"/> GH Ghana | <input type="checkbox"/> NO Norway | <input type="checkbox"/> ZM Zambia |
| <input type="checkbox"/> GM Gambia | <input type="checkbox"/> NZ New Zealand | <input type="checkbox"/> ZW Zimbabwe |

Check-boxes below reserved for designating States which have become party to the PCT after issuance of this sheet:

-

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (*Confirmation (including fees) must reach the receiving Office within the 15-month time limit.*)

Cadre n° V DÉSIGNATION D'ÉTATS *Cocher les cases appropriées; une au moins doit être cochée.*

Les désignations suivantes sont faites conformément à la règle 4.9.a) :

Brevet régional

- AP Brevet ARIPO** : **GH** Ghana, **GM** Gambie, **KE** Kenya, **LS** Lesotho, **MW** Malawi, **MZ** Mozambique, **SD** Soudan, **SL** Sierra Leone, **SZ** Swaziland, **TZ** République-Unie de Tanzanie, **UG** Ouganda, **ZM** Zambie, **ZW** Zimbabwe et tout autre État qui est un État contractant du Protocole de Harare et du PCT (*si une autre forme de protection ou de traitement est souhaitée, le préciser sur la ligne pointillée*)
- EA Brevet eurasién** : **AM** Arménie, **AZ** Azerbaïdjan, **BY** Bélarus, **KG** Kirghizistan, **KZ** Kazakhstan, **MD** République de Moldova, **RU** Fédération de Russie, **TJ** Tadjikistan, **TM** Turkménistan et tout autre État qui est un État contractant de la Convention sur le brevet eurasién et du PCT
- EP Brevet européen** : **AT** Autriche, **BE** Belgique, **BG** Bulgarie, **CH & LI** Suisse et Liechtenstein, **CY** Chypre, **CZ** République tchèque, **DE** Allemagne, **DK** Danemark, **EE** Estonie, **ES** Espagne, **FI** Finlande, **FR** France, **GB** Royaume-Uni, **GR** Grèce, **HU** Hongrie, **IE** Irlande, **IT** Italie, **LU** Luxembourg, **MC** Monaco, **NL** Pays-Bas, **PT** Portugal, **RO** Roumanie, **SE** Suède, **SI** Slovénie, **SK** Slovaquie, **TR** Turquie et tout autre État qui est un État contractant de la Convention sur le brevet européen et du PCT
- OA Brevet OAPI** : **BF** Burkina Faso, **BJ** Bénin, **CF** République centrafricaine, **CG** Congo, **CI** Côte d'Ivoire, **CM** Cameroun, **GA** Gabon, **GN** Guinée, **GQ** Guinée équatoriale, **GW** Guinée-Bissau, **ML** Mali, **MR** Mauritanie, **NE** Niger, **SN** Sénégal, **TD** Tchad, **TG** Togo et tout autre État qui est un État membre de l'OAPI et un État contractant du PCT (*si une autre forme de protection ou de traitement est souhaitée, le préciser sur la ligne pointillée*)

Brevet national (*si une autre forme de protection ou de traitement est souhaitée, le préciser sur la ligne pointillée*) :

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|---|---|--|
| <input type="checkbox"/> AE Émirats arabes unis | <input type="checkbox"/> HR Croatie | <input type="checkbox"/> OM Oman |
| <input type="checkbox"/> AG Antigua-et-Barbuda | <input type="checkbox"/> HU Hongrie | <input type="checkbox"/> PG Papouasie-Nouvelle-Guinée |
| <input type="checkbox"/> AL Albanie | <input type="checkbox"/> ID Indonésie | <input type="checkbox"/> PH Philippines |
| <input type="checkbox"/> AM Arménie | <input type="checkbox"/> IL Israël | <input type="checkbox"/> PL Pologne |
| <input type="checkbox"/> AT Autriche | <input type="checkbox"/> IN Inde | <input type="checkbox"/> PT Portugal |
| <input type="checkbox"/> AU Australie | <input type="checkbox"/> IS Islande | <input type="checkbox"/> RO Roumanie |
| <input type="checkbox"/> AZ Azerbaïdjan | <input type="checkbox"/> JP Japon | <input type="checkbox"/> RU Fédération de Russie |
| <input type="checkbox"/> BA Bosnie-Herzégovine | <input type="checkbox"/> KE Kenya | |
| <input type="checkbox"/> BB Barbade | <input type="checkbox"/> KG Kirghizistan | <input type="checkbox"/> SC Seychelles |
| <input type="checkbox"/> BG Bulgarie | <input type="checkbox"/> KP République populaire démocratique de Corée | <input type="checkbox"/> SD Soudan |
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| <input type="checkbox"/> BY Bélarus | <input type="checkbox"/> KZ Kazakhstan | <input type="checkbox"/> SG Singapour |
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| <input type="checkbox"/> CA Canada | <input type="checkbox"/> LT Lituanie | <input type="checkbox"/> SL Sierra Leone |
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| <input type="checkbox"/> CN Chine | <input type="checkbox"/> LR Libéria | <input type="checkbox"/> TJ Tadjikistan |
| <input type="checkbox"/> CO Colombie | <input type="checkbox"/> LS Lesotho | <input type="checkbox"/> TM Turkménistan |
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| <input type="checkbox"/> CU Cuba | <input type="checkbox"/> LU Luxembourg | <input type="checkbox"/> TR Turquie |
| <input type="checkbox"/> CZ République tchèque | <input type="checkbox"/> LV Lettonie | <input type="checkbox"/> TT Trinité-et-Tobago |
| <input type="checkbox"/> DE Allemagne | <input type="checkbox"/> MA Maroc | |
| <input type="checkbox"/> DK Danemark | <input type="checkbox"/> MD République de Moldova | <input type="checkbox"/> TZ République-Unie de Tanzanie |
| <input type="checkbox"/> DM Dominique | | <input type="checkbox"/> UA Ukraine |
| <input type="checkbox"/> DZ Algérie | <input type="checkbox"/> MG Madagascar | <input type="checkbox"/> UG Ouganda |
| <input type="checkbox"/> EC Équateur | <input type="checkbox"/> MK Ex-République yougoslave de Macédoine | <input type="checkbox"/> US États-Unis d'Amérique |
| <input type="checkbox"/> EE Estonie | | |
| <input type="checkbox"/> ES Espagne | <input type="checkbox"/> MN Mongolie | <input type="checkbox"/> UZ Ouzbékistan |
| <input type="checkbox"/> FI Finlande | <input type="checkbox"/> MW Malawi | <input type="checkbox"/> VC Saint-Vincent-et-les-Grenadines |
| <input type="checkbox"/> GB Royaume-Uni | <input type="checkbox"/> MX Mexique | <input type="checkbox"/> VN Viet Nam |
| <input type="checkbox"/> GD Grenade | <input type="checkbox"/> MZ Mozambique | <input type="checkbox"/> YU Serbie-et-Monténégro |
| <input type="checkbox"/> GE Géorgie | <input type="checkbox"/> NI Nicaragua | <input type="checkbox"/> ZA Afrique du Sud |
| <input type="checkbox"/> GH Ghana | <input type="checkbox"/> NO Norvège | <input type="checkbox"/> ZM Zambie |
| <input type="checkbox"/> GM Gambie | <input type="checkbox"/> NZ Nouvelle-Zélande | <input type="checkbox"/> ZW Zimbabwe |

Les cases ci-dessous sont réservées à la désignation d'États qui sont devenus parties au PCT après la publication de la présente feuille :

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Déclaration concernant les désignations de précaution : outre les désignations faites ci-dessus, le déposant fait aussi conformément à la règle 4.9.b) toutes les désignations qui seraient autorisées en vertu du PCT, à l'exception de toute désignation indiquée dans le cadre supplémentaire comme étant exclue de la portée de cette déclaration. Le déposant déclare que ces désignations additionnelles sont faites sous réserve de confirmation et que toute désignation qui n'est pas confirmée avant l'expiration d'un délai de 15 mois à compter de la date de priorité doit être considérée comme retirée par le déposant à l'expiration de ce délai. (*La confirmation (y compris les taxes) doit parvenir à l'office récepteur dans le délai de 15 mois.*)

Feld Nr. V BESTIMMUNG VON STAATEN Bitte die entsprechenden Kästchen ankreuzen; wenigstens ein Kästchen muß angekreuzt werden.

Die folgenden Bestimmungen nach Regel 4.9 Absatz a werden hiermit vorgenommen:

Regionales Patent

- AP ARIPO-Patent:** GH Ghana, GM Gambia, KE Kenia, LS Lesotho, MW Malawi, MZ Mosambik, SD Sudan, SL Sierra Leone, SZ Swasiland, TZ Vereinigte Republik Tansania, UG Uganda, ZM Sambia, ZW Simbabwe und jeder weitere Staat, der Vertragsstaat des Harare-Protokolls und des PCT ist (falls eine andere Schutzrechtsart oder ein sonstiges Verfahren gewünscht wird, bitte auf der gepunkteten Linie angeben)
- EA Eurasisches Patent:** AM Armenien, AZ Aserbaidshan, BY Belarus, KG Kirgisistan, KZ Kasachstan, MD Republik Moldau, RU Russische Föderation, TJ Tadschikistan, TM Turkmenistan und jeder weitere Staat, der Vertragsstaat des Eurasischen Patentübereinkommens und des PCT ist
- EP Europäisches Patent:** AT Österreich, BE Belgien, BG Bulgarien, CH & LI Schweiz und Liechtenstein, CY Zypern, CZ Tschechische Republik, DE Deutschland, DK Dänemark, EE Estland, ES Spanien, FI Finnland, FR Frankreich, GB Vereinigtes Königreich, GR Griechenland, HU Ungarn, IE Irland, IT Italien, LU Luxemburg, MC Monaco, NL Niederlande, PT Portugal, RO Rumänien, SE Schweden, SI Slowenien, SK Slowakei, TR Türkei und jeder weitere Staat, der Vertragsstaat des Europäischen Patentübereinkommens und des PCT ist
- OA OAPI-Patent:** BF Burkina Faso, BJ Benin, CF Zentralafrikanische Republik, CG Kongo, CI Côte d'Ivoire, CM Kamerun, GA Gabun, GN Guinea, GQ Äquatorialguinea, GW Guinea-Bissau, ML Mali, MR Mauretanien, NE Niger, SN Senegal, TD Tschad, TG Togo und jeder weitere Staat, der Vertragsstaat der OAPI und des PCT ist (falls eine andere Schutzrechtsart oder ein sonstiges Verfahren gewünscht wird, bitte auf der gepunkteten Linie angeben)

Nationales Patent (falls eine andere Schutzrechtsart oder ein sonstiges Verfahren gewünscht wird, bitte auf der gepunkteten Linie angeben):

- | | | |
|--|---|--|
| <input type="checkbox"/> AE Vereinigte Arabische Emirate | <input type="checkbox"/> HR Kroatien | <input type="checkbox"/> OM Oman |
| <input type="checkbox"/> AG Antigua und Barbuda | <input type="checkbox"/> HU Ungarn | <input type="checkbox"/> PG Papua-Neuguinea |
| <input type="checkbox"/> AL Albanien | <input type="checkbox"/> ID Indonesien | <input type="checkbox"/> PH Philippinen |
| <input type="checkbox"/> AM Armenien | <input type="checkbox"/> IL Israel | <input type="checkbox"/> PL Polen |
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| <input type="checkbox"/> BB Barbados | <input type="checkbox"/> KG Kirgisistan | <input type="checkbox"/> SC Seychellen |
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| <input type="checkbox"/> GE Georgien | | <input type="checkbox"/> ZM Sambia |
| <input type="checkbox"/> GH Ghana | | <input type="checkbox"/> ZW Simbabwe |
| <input type="checkbox"/> GM Gambia | | |

Kästchen für die Bestimmung von Staaten, die dem PCT nach der Veröffentlichung dieses Formblatts beigetreten sind.

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Erklärung bzgl. vorsorglicher Bestimmungen: Zusätzlich zu den oben genannten Bestimmungen nimmt der Anmelder nach Regel 4.9 Absatz b auch alle anderen nach dem PCT zulässigen Bestimmungen vor mit Ausnahme der im Zusatzfeld genannten Bestimmungen, die von dieser Erklärung ausgenommen sind. Der Anmelder erklärt, daß diese zusätzlichen Bestimmungen unter dem Vorbehalt einer Bestätigung stehen und jede zusätzliche Bestimmung, die vor Ablauf von 15 Monaten ab dem Prioritätsdatum nicht bestätigt wurde, nach Ablauf dieser Frist als vom Anmelder zurückgenommen gilt. (Die Bestätigung (einschließlich der Gebühren) muß beim Anmeldeamt innerhalb der Frist von 15 Monaten eingehen.)

Recuadro N° V DESIGNACIÓN DE ESTADOS *Márquense las casillas adecuadas; debe marcarse por lo menos una.*

A continuación se hacen las designaciones siguientes, en virtud de la Regla 4.9.a):

Patente regional

- AP Patente ARIPO:** GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SD Sudán, SL Sierra Leona, SZ Swazilandia, TZ República Unida de Tanzania, UG Uganda, ZM Zambia, ZW Zimbabwe, y cualquier otro Estado contratante del Protocolo de Harare y del PCT (*si desea otra forma de protección o de tramitación, especifíquese en la línea de puntos*)
- EA Patente Euroasiática:** AM Armenia, AZ Azerbaiyán, BY Belarús, KG Kirguistán, KZ Kazakstán, MD República de Moldova, RU Federación de Rusia, TJ Tayikistán, TM Turkmenistán, y cualquier otro Estado contratante del Convenio sobre la Patente Euroasiática y del PCT
- EP Patente Europea:** AT Austria, BE Bélgica, BG Bulgaria, CH y LI Suiza y Liechtenstein, CY Chipre, CZ República Checa, DE Alemania, DK Dinamarca, EE Estonia, ES España, FI Finlandia, FR Francia, GB Reino Unido, GR Grecia, HU Hungría, IE Irlanda, IT Italia, LU Luxemburgo, MC Mónaco, NL Países Bajos, PT Portugal, RO Rumania, SE Suecia, SI Eslovenia, SK Eslovaquia, TR Turquía, y cualquier otro Estado contratante del Convenio sobre la Patente Europea y del PCT
- OA Patente OAPI:** BF Burkina Faso, BJ Benin, CF República Centroafricana, CG Congo, CI Côte d'Ivoire, CM Camerún, GA Gabón, GN Guinea, GQ Guinea Ecuatorial, GW Guinea-Bissau, ML Malí, MR Mauritania, NE Níger, SN Senegal, TD Chad, TG Togo, y cualquier otro Estado que sea Estado miembro de la OAPI y que sea un Estado contratante del PCT (*si desea otra forma de protección o de tramitación, especifíquese en la línea de puntos*)

Patente nacional (*si desea otra forma de protección o de tramitación, especifíquese en la línea de puntos*):

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| <input type="checkbox"/> AE Emiratos Árabes Unidos | <input type="checkbox"/> HR Croacia | <input type="checkbox"/> OM Omán |
| <input type="checkbox"/> AG Antigua y Barbuda | <input type="checkbox"/> HU Hungría | <input type="checkbox"/> PG Papua Nueva Guinea |
| <input type="checkbox"/> AL Albania | <input type="checkbox"/> ID Indonesia | <input type="checkbox"/> PH Filipinas |
| <input type="checkbox"/> AM Armenia | <input type="checkbox"/> IL Israel | <input type="checkbox"/> PL Polonia |
| <input type="checkbox"/> AT Austria | <input type="checkbox"/> IN India | <input type="checkbox"/> PT Portugal |
| <input type="checkbox"/> AU Australia | <input type="checkbox"/> IS Islandia | <input type="checkbox"/> RO Rumania |
| <input type="checkbox"/> AZ Azerbaiyán | <input type="checkbox"/> JP Japón | <input type="checkbox"/> RU Federación de Rusia |
| <input type="checkbox"/> BA Bosnia y Herzegovina | <input type="checkbox"/> KE Kenya | |
| <input type="checkbox"/> BB Barbados | <input type="checkbox"/> KG Kirguistán | <input type="checkbox"/> SC Seychelles |
| <input type="checkbox"/> BG Bulgaria | <input type="checkbox"/> KP República Popular Democrática de Corea | <input type="checkbox"/> SD Sudán |
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| <input type="checkbox"/> BZ Belice | <input type="checkbox"/> LC Santa Lucía | <input type="checkbox"/> SK Eslovaquia |
| <input type="checkbox"/> CA Canadá | <input type="checkbox"/> LK Sri Lanka | <input type="checkbox"/> SL Sierra Leona |
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| <input type="checkbox"/> CN China | <input type="checkbox"/> LS Lesotho | <input type="checkbox"/> TJ Tayikistán |
| <input type="checkbox"/> CO Colombia | <input type="checkbox"/> LT Lituania | <input type="checkbox"/> TM Turkmenistán |
| <input type="checkbox"/> CR Costa Rica | <input type="checkbox"/> LU Luxemburgo | <input type="checkbox"/> TN Túnez |
| <input type="checkbox"/> CU Cuba | <input type="checkbox"/> LV Letonia | <input type="checkbox"/> TR Turquía |
| <input type="checkbox"/> CZ República Checa | <input type="checkbox"/> MA Marruecos | <input type="checkbox"/> TT Trinidad y Tabago |
| <input type="checkbox"/> DE Alemania | <input type="checkbox"/> MD República de Moldova | |
| <input type="checkbox"/> DK Dinamarca | <input type="checkbox"/> MG Madagascar | <input type="checkbox"/> TZ República Unida de Tanzania |
| <input type="checkbox"/> DM Dominica | <input type="checkbox"/> MK Ex República Yugoslava de Macedonia | <input type="checkbox"/> UA Ucrania |
| <input type="checkbox"/> DZ Argelia | <input type="checkbox"/> MN Mongolia | <input type="checkbox"/> UG Uganda |
| <input type="checkbox"/> EC Ecuador | <input type="checkbox"/> MW Malawi | <input type="checkbox"/> US Estados Unidos de América |
| <input type="checkbox"/> EE Estonia | <input type="checkbox"/> MX México | |
| <input type="checkbox"/> ES España | <input type="checkbox"/> MZ Mozambique | <input type="checkbox"/> UZ Uzbekistán |
| <input type="checkbox"/> FI Finlandia | <input type="checkbox"/> NI Nicaragua | <input type="checkbox"/> VC San Vicente y las Granadinas |
| <input type="checkbox"/> GB Reino Unido | <input type="checkbox"/> NO Noruega | <input type="checkbox"/> VN Viet Nam |
| <input type="checkbox"/> GD Granada | <input type="checkbox"/> NZ Nueva Zelandia | <input type="checkbox"/> YU Serbia y Montenegro |
| <input type="checkbox"/> GE Georgia | | <input type="checkbox"/> ZA Sudáfrica |
| <input type="checkbox"/> GH Ghana | | <input type="checkbox"/> ZM Zambia |
| <input type="checkbox"/> GM Gambia | | <input type="checkbox"/> ZW Zimbabwe |

Casillas reservadas para designar Estados que han pasado a formar parte del PCT después de la publicación de la presente hoja:

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Declaración sobre la designación precautoria: además de las designaciones arriba efectuadas, el solicitante efectuará también, en virtud de la Regla 4.9.b), todas las designaciones que estén permitidas con arreglo al PCT, salvo la designación o designaciones indicadas en el recuadro suplementario como excluido del ámbito de esta declaración. El solicitante declara que esas designaciones adicionales están sujetas a confirmación y que cualquier designación que no se confirme antes de que expiren los 15 meses a partir de la fecha prioritaria se considerará retirada por el solicitante al expirar dicho plazo (*la confirmación (incluidas las tasas) deberá llegar a la Oficina receptora dentro del plazo de 15 meses*).