

# PCT NEWSLETTER

PATENT COOPERATION TREATY

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## PCT Filings in 2006

The number of applications filed under the PCT in 2006 reached approximately 145,300, representing an increase of 6.4% over 2005. **These and the following figures are estimates** as the International Bureau continues to receive, throughout the first half of 2007, PCT applications which were filed with national and regional Offices in 2006.

Applicants from the United States of America filed the largest number of applications (34.1% of all applications), followed by Japan (18.5%), Germany (11.7%), the Republic of Korea (4.1%) and France (4.1%). Applicants from the member States of the European Patent Convention, when grouped together, filed 33.8% of all international applications.

There was continued high growth in filings from North East Asia, in particular from Japan, the Republic of Korea and China. Japan again held second position with 26,906 international applications, and the Republic of Korea and China held their highest positions yet, in fourth and eighth positions, respectively, with 5,935 international applications (26.6% more applications than in 2005) filed by the applicants from the Republic of Korea and 3,910 international applications (56.8% more than in 2005) filed by applicants from China.

In 2006, a further five receiving Offices announced that they were prepared to receive and process international applications in electronic form, bringing the total number of Offices that are prepared to do this to 19 (see page 6 for a list of those Offices). The number of applications filed electronically increased by 26.4%, and filings on electronic media made up about 57% of all filings in 2006 (31% being filed fully electronically and 25% being filed using the PCT-EASY functionality of the PCT-SAFE software).

The top 10 applicants in 2006, together with the estimated number of international applications published in their name, were as follows:

1. Philips Electronics N.V. (NL)	2,495
2. Matsushita (JP)	2,344
3. Siemens (DE)	1,480
4. Nokia (FI)	1,036
5. Bosch (DE)	962
6. 3M (US)	727
7. BASF (DE)	714
8. Toyota (JP)	704
9. Intel (US)	690
10. Motorola (US)	637

A list of the 500 PCT applicants with 30 or more applications published in 2006 is available on the Internet at:

[www.wipo.int/ipstats/pct](http://www.wipo.int/ipstats/pct)

For further information, including information on the main fields of technology in which PCT applications were published in 2006, see Press Release PR/476/2007 on the WIPO web site at:

[www.wipo.int/pressroom/en/](http://www.wipo.int/pressroom/en/)

Final figures will be available later in the year.

## Inside this issue

Modified PCT Regulations, Administrative Instructions and Forms	2-3
Meeting of International Authorities under the PCT Working Group on the Digital Access Service for Priority Documents	4
PatentScope Search Service	4-5
PCT information update	5
Change to the Publication Schedule	6
Electronic filing and processing of PCT applications	6
Reminder about procedure for paying RO/IB fees by credit card	6
New/updated PCT resources on the Internet	6-7
Practical advice	7-8
PCT seminar calendar	9-10
PCT fee tables	11-15
PCT Contracting States and two-letter codes	16



The Smart Patenting Solution

## Selection of PCT Resources on the Internet ([www.wipo.int/pct/en/](http://www.wipo.int/pct/en/))

### About the PCT:

- Information Service
- PCT in the News
- *Protecting your inventions abroad: FAQs about the PCT*
- Yearly Review of the PCT
- Gallery of PCT notable inventions and inventors
- Collection of PCT user strategies

### Legal Information:

- Treaty, Regulations, Administrative Instructions and Guidelines for Authorities and Offices
- Legal text index
- *History of the PCT Regulations*
- Washington Diplomatic Conference on the PCT
- Useful tables: reservations and incompatibilities; types of protection; time limits for entering national/regional phase; powers of attorney waivers; access to the IPER; regional patents; States party to PCT/Paris/WTO
- PCT Contracting States
- ISA and IPEA agreements

### Filing:

- Electronic filing (PCT-SAFE)
- Direct filing at WIPO
- Fees and warning
- *PCT Applicant's Guide*
- Forms
- *PCT Newsletter*
- IP Offices closing dates
- PCT Time Limit Calculator

### Patent Data:

- International Patent Applications
- *Official Notices (PCT Gazette)*

### PCT meetings, training and documents:

- Seminars and presentations
- Meetings and documents
- PCT Reform

### Services for PCT Offices

## The PCT Newsletter is published by the World Intellectual Property Organization

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### 2007 Subscriptions

Regular mail: CHF 70; priority mail: CHF 81

25% discount for two or more subscriptions

Binders: CHF 18

The *PCT Newsletter* is also available on the Internet at:

[www.wipo.int/pct/en/newslett/](http://www.wipo.int/pct/en/newslett/)

To find out when each issue becomes available, subscribe to the e-mail updating service at the above Internet address.

## Modified PCT Regulations, Administrative Instructions and Forms

### Regulations under the PCT

The consolidated texts of the Regulations under the PCT in English and French, as in force from 1 April 2007, are available on the PCT Resources page of PatentScope – click on the relevant short cut button on the right side of the page at, respectively:

[www.wipo.int/pct/en/texts/](http://www.wipo.int/pct/en/texts/)

[www.wipo.int/pct/fr/texts/](http://www.wipo.int/pct/fr/texts/)

New editions of the Patent Cooperation Treaty and Regulations (WIPO publication No. 274 (E) and (F)), as in force from 1 April 2007, are under preparation.

### Administrative Instructions under the PCT

Following the adoption of amendments to the Regulations under the PCT which will enter into force on 1 April 2007, a number of modifications have been made to Sections 102, 113, 303, 307, 308, 309, 310, 324, 325, 410, 411, 413, 511, 607 and to Annexes D and E of the Administrative Instructions under the PCT. In addition to the modification of the existing Sections listed above, new Sections 305<sup>ter</sup>, 308<sup>bis</sup>, 310<sup>bis</sup>,

### PCT General Mailing List

To subscribe to this e-mail updating service, which informs PCT users on a regular basis of important PCT news and new PCT resources, go to:

[www.wipo.int/pct/en/newslett/](http://www.wipo.int/pct/en/newslett/)

### PCT Information Service

Telephone: +41 22 338 83 38

Fax: +41 22 338 83 39

E-mail: [pct.infoline@wipo.int](mailto:pct.infoline@wipo.int)

### Reminder

The national Offices of the following States do not yet apply the 30-month time limit for entering the national phase under Chapter I as fixed in PCT Article 22(1) (as modified with effect from 1 April 2002). Note, however, that in respect of the **regional** designation of all those States, the time limit under PCT Article 22(3) of 31 months applies.

CH	Switzerland
LU	Luxembourg
SE	Sweden
TZ	United Republic of Tanzania
UG	Uganda

A list of time limits applicable for each designated/elected Office for entering the national phase under Chapters I and II of the PCT is available at:

[www.wipo.int/pct/en/texts/pdf/time\\_limits.pdf](http://www.wipo.int/pct/en/texts/pdf/time_limits.pdf)

310ter, 411bis and 413bis have been added. The modifications will, in general, apply to international applications filed on or after 1 April 2007.

The consolidated text of the Administrative Instructions (PCT/AI/6), as in force from 1 April 2007, is available in PDF format, in English and French, on the PCT Resources page of PatentScope – click on the relevant short cut button on the right side of the page at:

[www.wipo.int/pct/en/texts/](http://www.wipo.int/pct/en/texts/)

## PCT forms

A number of PCT forms have been modified with effect from 1 April 2007, mainly to take into account the amendments of the PCT Regulations which will enter into force on that date. The forms that have been amended are:

### Modified forms relating to receiving Offices:

PCT/RO/101 (Request Form) (see below)  
 PCT/RO/103  
 PCT/RO/104  
 PCT/RO/105  
 PCT/RO/107  
 PCT/RO/108  
 PCT/RO/109  
 PCT/RO/110  
 PCT/RO/111  
 PCT/RO/114  
 PCT/RO/115  
 PCT/RO/118  
 PCT/RO/126  
 PCT/RO/158  
 PCT/RO/159

The modifications to the Request Form include:

- in Box No. VI, the option for the applicant to request restoration of the right of priority (PCT Rule 4.1(c)(v));
- a “Statement of Incorporation by Reference” pursuant to modified PCT Rule 4.18;
- related modifications to the Notes to the Request Form.

### Modified forms relating to the International Searching Authorities:

PCT/ISA/201  
 PCT/ISA/209  
 PCT/ISA/210  
 PCT/ISA/216  
 PCT/ISA/217  
 PCT/ISA/237

### Modified forms relating to the International Bureau:

PCT/IB/314  
 PCT/IB/315  
 PCT/IB/316  
 PCT/IB/318  
 PCT/IB/321

### Modified forms relating to the International Preliminary Examining Authorities:

PCT/IPEA/401 (Demand Form)  
 PCT/IPEA/408  
 PCT/IPEA/409  
 PCT/IPEA/411  
 PCT/IPEA/412

The modified forms, which should be used from 1 April 2007 and, in general, only in respect of international applications filed on or after 1 April 2007, are available in PDF format, in English and French, on the PCT Resources page of PatentScope – click on the short cut button on the right side of the page at:

[www.wipo.int/pct/en/forms/](http://www.wipo.int/pct/en/forms/)

## Meeting of International Authorities under the PCT

The fourteenth session of the Meeting of International Authorities under the PCT was held in Geneva from 5 to 7 February 2007. All of the thirteen International Searching and Preliminary Examining Authorities were represented, including the recently appointed Nordic Patent Institute.

## Renewal of Agreements between the International Authorities and the International Bureau

The agreements between the International Authorities and the International Bureau all expire on 31 December 2007. The Meeting discussed and exchanged views on a new draft model agreement that will form the basis of individual agreements between International Authorities and the International Bureau. The new agreements would have effect from 1 January 2008 to 31 December 2017, subject to the approval of the PCT Assembly in September–October 2007.

## PCT International Search and Preliminary Examination Guidelines

The Meeting agreed on proposed modifications to the PCT International Search and Preliminary Examination Guidelines, subject to consequential modifications to the Administrative Instructions and the Receiving Office Guidelines which may be necessary as a result of the 1 April 2007 Rule changes.

## Quality Framework

The Meeting took note of the reports on quality management systems that had been submitted by all International Authorities, and agreed that the templates used provided a satisfactory basis for the preparation of the reports. The Secretariat was requested to prepare a draft report on the quality framework in accordance with Chapter 21 of the PCT International Search and Preliminary Examination Guidelines for submission to the PCT Assembly in September–October 2007.

## PCT Reform

The Meeting reviewed relevant aspects of proposals due to be discussed by the Working Group on Reform of the PCT from 23 to 27 April 2007. Of particular significance was:

- a proposal that would permit applicants to request that the international search report be based on an earlier national search; and
- the proposal, discussed at previous sessions of the Working Group, to establish a system of supplementary searches to be carried out by participating International Searching Authorities, in addition to the main international search.

## Standard of Drawings

The Meeting had an exchange of views related to the standard of drawings required for international search and preliminary examination. The Meeting took note of several examples of drawing defects and requested the International Bureau to prepare proposals on minimum drawing standards for submission to the Meeting at its next session.

## PCT Minimum Documentation

The Meeting agreed to restart the review of PCT minimum documentation as a matter of priority.

## Other matters

Other matters discussed by the International Authorities include:

- the receipt of IPC codes from International Searching Authorities;
- the receipt by the International Bureau of information concerning demands for International Preliminary Examination;
- the early provision of search-report information by International Searching Authorities in order to streamline international publication; and

- the tagging of non-patent literature citations in patent documents and the development of associated standards.

## Working Group on the Digital Access Service for Priority Documents

Following approval by the Assemblies of the Paris Union, the Patent Law Treaty (PLT) and the PCT Union in October 2006 of the establishment of a digital access service for priority documents (see *PCT Newsletter* 10/2006), the first session of the ad hoc Working Group on the Digital Access Service for Priority Documents was held in Geneva from 7 to 9 February 2007. The Working Group agreed on principles and discussed possible procedures, based on which the International Bureau will set up a system allowing Paris Convention requirements to be fulfilled by making priority documents available in a digital library, instead of requiring the applicant to provide certified copies to each Office of second filing which requires them.

The documents of the Working Group can be found on the WIPO website. Comments are also invited from interested parties on the requirements of such a system through an electronic forum. See:

[www.wipo.int/pdocaccess](http://www.wipo.int/pdocaccess)

The second session is expected to be held from 30 April to 3 May 2007 and will aim to finalize the recommendations concerning the administration of the service. Further details on this subject will be included in a future edition of the *PCT Newsletter*.

## PatentScope Search Service

([www.wipo.int/pctdb](http://www.wipo.int/pctdb))

### Changes to the PatentScope search interface

Following the PatentScope survey results, some changes have been made to PatentScope to facilitate the carrying out of searches:

- the default page is now the Structured Search page (although the other options: Advanced Search, Simple Search, and Browse by Week are still available);
- when you click into a field, an example of what to search for will appear to the right of the field, for example, “e.g. hovercraft” in the bibliographic front page;
- the search fields have been reordered so that the most-used options are easier to find;
- when you have finished entering your terms, press Search or Enter (on your keyboard) to begin the search.



You can still enter as many fields as you want to (remember to choose the appropriate operator such as AND and OR from the left hand column).

### **New graphics function: data analysis of published international applications**

For information on the new graphics function, see "Practical Advice" on page 7 .

## **PCT Information Update**

### **AT Austria (e-mail – corrigendum)**

Reference is made to the e-mail address announced in *PCT Newsletter* No. 11/2005, page 5. That e-mail address should have read:

e-mail:           ingrid.weidinger@patentamt.at

### **CZ Czech Republic (fees)**

The Industrial Property Office (Czech Republic) has notified a change in the currency of payment of the international filing fee and the search fee, and in the currency of the PCT-EASY fee reduction, from Swiss francs (CHF) to euro (EUR), as indicated in Tables I(a) and I(b). The amount and currency of the transmittal fee and the fee for priority document (Czech koruna (CZK)) has not changed.

(Updating of *PCT Applicant's Guide*, Annex C (CZ))

### **KR Republic of Korea (fees)**

The amounts of the following national fees, payable to the Korean Intellectual Property Office as designated (or elected) Office, have changed (other fees not indicated have not changed):

For patent:

Fee for request for examination:	[no change]
plus, for each claim:	KRW 32,000
Annual fees from the first to the third year, per year:	[no change]
plus, for each claim:	KRW 18,000

For utility model:

Fee for request for examination:	[no change]
plus, for each claim:	KRW 14,000
Annual fees from the first to the third year, per year:	[no change]
plus, for each claim:	KRW 5,000

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (KR))

### **SE Sweden (fees)**

As from 1 April 2007, there will be a change in the equivalent amounts of the international filing fee payable in SEK to the Swedish Patent and Registration Office as receiving Office, as well as a change in the equivalent amount in SEK of the PCT-EASY and electronic filing fee reductions listed in item 3 of the Schedule of Fees, as indicated in Table I(a).

(Updating of *PCT Applicant's Guide*, Annex C (SE))

### **Search fee and other fees relating to the international search (Australian Patent Office; Canadian Intellectual Property Office)**

As from 1 March 2007, there will be a change in the amount payable in AUD for an international search carried out by the Australian Patent Office, as indicated in Table I(b) (equivalent amounts in other currencies are not yet known and will be notified as soon as possible), as well as a change in the amount of the fees indicated below:

Additional search fee:	AUD	1,600
Fee for copies of documents cited in the international search report, per document:	AUD	50

For the purposes of payment of fees to the International Bureau as receiving Office, there will be a change, as from 1 April 2007, in the equivalent amount payable in EUR for an international search carried out by the Canadian Intellectual Property Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Annex D (AU) and (CA))

### **International preliminary examination fee and other fees relating to the international preliminary examination (Australian Patent Office)**

As from 1 March 2007, there will be a change in the amount payable in AUD for an international preliminary examination carried out by the Australian Patent Office, as indicated in Table II, as well as a change in the amount of the fees indicated below:

Fee for copies of documents cited in the international preliminary examination report, per document:	AUD	50
Fee for copies of documents contained in the file of the international application, per document:	AUD	50

(Updating of *PCT Applicant's Guide*, Annex E (AU))

## Change to the Publication Schedule

### Publication of 19 April 2007

Due to the fact that Friday, 6 April and Monday, 9 April 2007 will be non-working days at WIPO, the completion of technical preparations for the PCT applications to be published on 19 April 2007 (publication no. 16/2007) will take place earlier than usual, on Tuesday, 3 April 2007 (instead of on Wednesday, 4 April 2007, the day on which technical preparations would normally have been completed). This means that any changes which should be taken into account for the purposes of international application should be received by the International Bureau by midnight on Monday, 2 April 2007.

## Electronic Filing and Processing of PCT Applications

Reproduced below is an updated list of the receiving Offices which have notified the International Bureau that they are prepared to accept the filing of international applications in electronic form (last published in *PCT Newsletter* No. 02/2006). A table giving information on the requirements of the Offices which accept the filing of international applications in electronic form is available at:

[www.wipo.int/pct-safe/en/support/notifications/efiling/efiling.pdf](http://www.wipo.int/pct-safe/en/support/notifications/efiling/efiling.pdf)

Note that the table showing the receiving Offices which have notified the International Bureau that they are prepared to accept the filing of requests in PCT-EASY format is not included in this issue as no new Offices have been added to the list since it was last published in *PCT Newsletter* No. 02/2006.

## Reminder About Procedure for Paying RO/IB fees by Credit Card

As indicated in *PCT Newsletter* No. 08/2006, on the cover page, it is now possible to pay by credit card the various fees due to the International Bureau as receiving Office (RO/IB). It is recalled that if the international application is filed using the PCT-SAFE software, whether it is filed wholly in electronic form or filed on paper with the request in PCT-EASY format, the new version of the software provides for a secure means for furnishing the credit card details to the RO/IB.

It is recalled that the use of Form PCT/RO/197 ("Credit card payment to the International Bureau as receiving Office") should be limited to international applications filed on paper or to those filed using the PCT-SAFE software when the fees are paid at a later date than at the time of filing, or to the exceptional case where the fees for applications filed using the PCT-SAFE software have been underpaid, and the applicant needs to pay the missing amount by credit card.

It is also recalled that if the payment is not being made using the PCT-SAFE software, only PCT/RO/197 should be used for submission of credit card information - no other form or document should be used.

Further details on all modes of payment of fees to the RO/IB are available on the PCT Resources page of PatentScope at: [www.wipo.int/pct/en/filing/modes.htm](http://www.wipo.int/pct/en/filing/modes.htm)

## New/Updated PCT Resources on the Internet

([www.wipo.int/pct/en/](http://www.wipo.int/pct/en/))

See page 2 for information on the availability of the following PCT materials which have been modified

### Receiving Offices prepared to accept the filing of international applications in fully electronic form

(status on 1 February 2007)

AU	Australian Patent Office	KR	Korean Intellectual Property Office
DE	German Patent and Trade Mark Office	MY	Intellectual Property Corporation of Malaysia
DK	Danish Patent and Trademark Office	NL	Netherlands Industrial Property Office
EP	European Patent Office (EPO)	PH	Intellectual Property Office (Philippines)
ES	Spanish Patent and Trademark Office	PL	Polish Patent Office
FI	National Board of Patents and Registration of Finland	RO	State Office for Inventions and Trademarks (Romania)
FR	National Institute of Industrial Property (France)	SE	Swedish Patent and Registration Office
GB	The Patent Office (United Kingdom)	SK	Industrial Property Office (Slovakia)
IB	International Bureau of WIPO	US	United States Patent and Trademark Office
JP	Japan Patent Office		(Total: 19 Offices)

with effect from 1 April 2007: the consolidated text of the Regulations under the PCT, the consolidated text of the Administrative Instructions under the PCT, and certain PCT forms.

## **PCT Gallery of Notable Inventions and Inventors**

The following addition has been made to the gallery of notable inventions ([www.wipo.int/pct/en/inventions/inventions.html](http://www.wipo.int/pct/en/inventions/inventions.html)):

- Self Lifting Iron

Suggestions for additions to the PCT gallery should be sent to the following e-mail address:

[pct.infoline@wipo.int](mailto:pct.infoline@wipo.int)

## **States Party to the PCT and the Paris Convention and Members of the World Trade Organization**

The table of States party to the PCT and the Paris Convention and Members of the World Trade Organization (which was last published in the *PCT Newsletter* in August 2006) has been updated on the PCT Resources page of PatentScope at:

[www.wipo.int/pct/en/texts/pdf/pct\\_paris\\_wto.pdf](http://www.wipo.int/pct/en/texts/pdf/pct_paris_wto.pdf)

## **Practical Advice**

### ***Data analysis of published international applications***

*Q: I would like to carry out research in patenting trends, and would like to know whether it is possible to obtain information on PCT filing trends, such as applications filed by applicant during a particular period of time, and applications filed by country in a particular field of technology. Is there a tool for creating such customized profiles?*

A: It is recalled that a variety of data on PCT applications (for example, top applicants, country of origin of the application, fields of technology) is available in the Statistical Indicators Reports, the Yearly Reviews of the PCT and the list of top PCT applicants at: [www.wipo.int/ipstats/en/statistics/pct/index.html](http://www.wipo.int/ipstats/en/statistics/pct/index.html). However, these sources contain only information for certain pre-specified criteria. The PatentScope Search Service can be used for obtaining a variety of information on published PCT applications for any chosen criteria for which data is available, and has recently been further complemented by a graphics function, which enables users to perform more customized analysis. The type of information obtainable using the PatentScope Search Service and its graphics function are described below.

## **PatentScope Search Service**

With the PatentScope Search Service you can perform a search by one element, or by a combination of different elements, by typing the necessary information in the box(es) in the Structured, Simple or Advanced Search pages at: [www.wipo.int/pctdb/en/](http://www.wipo.int/pctdb/en/). For example, to obtain data on a particular applicant, type in the applicant's name in the appropriate box of the (default) Structured search box. You will then obtain a list of all international applications published by that applicant. For instance, if we take the PCT's biggest filer, Philips, a list of over 19,000 published applications are obtained.

Here are some examples of the kinds of things you can search for, noting that all searches can be narrowed down to a particular period of time, or a particular date of publication:

1. The country of origin of published international applications (that is, the country of residence of the applicants or assignees of the application), for instance, you can search for applications originating from a given country, or you can find out which countries are developing a particular technology, for example, integrated circuits (for further information on fields of technology, see 3., below).
2. The number of international applications published in the name of a given applicant. This information can be broken down by the different variants of the applicant's name (for example, if data on "Philips" is requested, the list will include, amongst others: Koninklijke Philips Electronics N.V., Philips Intellectual Property & Standards GmbH, and Philips Semiconductors, Inc.). The results given will also include cases where the applicant in question is an assignee (that is, where an international application has been assigned by another applicant to that applicant).
3. International applications by field of technology. For example, you can find out the number of international applications published in a particular field of technology, that is, by IPC subclass (the first four characters of the IPC classification). You can also find out which applicant filed the most international applications in a given field of technology, for example, which applicant filed the most applications relating to pharmaceuticals such as antimalarial drugs. By carrying out a search by applicant, third parties can find out about the inventive activity of a particular applicant. Note that one international application may be counted under several IPC subclasses.
4. Searches for inventions by keywords describing the invention, such as "Type II Collagen". This could be useful when you do not know the IPC subclass for

a given technology. For example, you could find out which IPC subclasses are given to technologies associated with bicycles by typing in the word “bicycles” in the keywords box.

5. Searches by inventor, for example the number of international applications published in the name of a particular inventor, or the fields of technology in which a particular inventor is working.


6. Searches by agent, for example the number and/or fields of technology of international applications filed by a particular agent

For help with more advanced searches, for example, searching by date range, consult “Search Help” from the list of shortcuts on the initial search page, or go to:

[www.wipo.int/pctdb/en/help-search-adv.jsp](http://www.wipo.int/pctdb/en/help-search-adv.jsp)

### New graphics function

Bearing in mind that searches giving a large number of results may be difficult to analyze, the graphics function has been developed to display the results in various ways at the click of a button. It gives anybody access to data regarding published international applications in the form of four graphical charts organized by year of publication, country of origin, applicant name and IPC subclass. This new feature is found on the search results page after searching the relevant information using the Structured, Simple or Advanced Search at [www.wipo.int/pctdb/en/](http://www.wipo.int/pctdb/en/).

Once you have obtained your list of applications, on the right hand side of the screen you will see a button which resembles a graph 

If you click on this you will obtain graphical charts, each with an independent scroll bar. Graphics can be generated very quickly for the results of any search, however searches of larger amounts of data will take a little longer.

To narrow down the graphics further, for example, to re-analyze the graphic but only for the top three countries and the top applicant (where there are several applicants listed), click to highlight each item of interest (use the Ctrl key on your keyboard to select more than one entry from a table) then press the Re-an-

alyze button near the top of the screen. Where you have restricted your search in this way, you will be able to see confirmation of the way the search has been restricted by hovering over the word “restrictions” at the top. Any of the data obtained can be copied by the user into their own files. To carry out a completely new search, return to the original search page, and click on “reset”.

Users of this new feature should be aware of the following:

- The feature extracts and downloads large volumes of data from PatentScope to your local computer. For this reason, it may take several seconds to display the graphics, and **the system is limited to displaying 20,000 results.**

- In the case of an applicant search, the initial search will list **all** applicants with a given name. The graphics data obtained after clicking on the graphics function tab will only give information on the **first-named** applicants in the request. Note that, if the inventor is also listed as an applicant only for the purposes of certain designations, for example, in the case of an applicant/inventor for the purposes of the US designation, the applicant/inventor will not be included in the graphics.

- The way in which you type the name of the applicant may affect your search results, for example “Philips” will get more results than “Koninklijke Philips Electronics N.V.” because it may include different branches of Philips, and the way in which the applicant’s name was indicated in the request form may vary. Note that typing “Philips” will also list any applicants who are natural persons and whose family name is Philips.

- Where the same IPC subclass is listed multiple times for the same international application, the IPC subclass is counted only once, for example if an international application is listed under B64C 27/20 and B64C 29/00, the subclass B64C will only be counted once and not twice.

For further information on the classification of international applications under the IPC, see:

[www.wipo.int/classifications/ipc/en/](http://www.wipo.int/classifications/ipc/en/)



<b>PCT Seminar Calendar</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>22 February 2007 Atlanta (US)</b> <i>[Note that only a limited number of places are available]</i>	English	PCT seminar WIPO speaker: Mrs. Bidwell	Institute of Continuing Legal Education (ICLE) and State Bar of Georgia (Mr. Dan White) Tel: (1-770) 466-08 86 or 369-56 64 Fax: (1-706) 369 58 99 E-mail: dwhite@iclega.org Internet: www.iclega.org
<b>8-9 March 2007 San Francisco (US)</b>	English	Basic PCT seminar WIPO speakers: Mrs. Bidwell and Mrs. Coeckelbergs	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1-415) 381 26 15 Fax: (1-415) 389 89 38 E-mail: info@ipiseminars.com Internet: www.ipiseminars.com
<b>9 March 2007 Paris (FR)</b>	French	PCT presentation for patent attorneys WIPO speaker: Mr. Genin	Fondation Nationale pour le Droit de l'Entreprise (FNDE) (Mrs. Heuzé) Tel: (33-1) 42 66 18 19 Fax: (33-1) 42 66 17 37 E-mail: pheuze@fnde.asso.fr
<b>12-13 March 2007 Chicago (US)</b>	English	Basic PCT seminar WIPO speakers: Mrs. Bidwell and Mrs. Coeckelbergs	The John Marshall Law School Department of Event Management Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: Events@jmls.edu
<b>14-15 March 2007 Ecully (FR)</b>	French	PCT presentation for patent attorneys WIPO speakers: Mr. Baron and Mr. Genin	Centre Paul Roubier (Mrs. Audi) Tel: (33-4) 78 33 07 08 Fax: (33-4) 78 33 58 96
<b>27-28 March 2007 Stockholm (SE)</b>	English	PCT seminar: "PCT for consultants and advanced paralegals" WIPO speakers: Mrs. Coeckelbergs and Mr. Genin	Swedish Patent and Registration Office (Ms. Inger Halvarsson, PRV InterPat - external training) Tel: (46-8) 782 28 84 Fax: (46-8) 783 01 63 E-mail: inger.halvarsson@prv.se
<b>16 April 2007 Munich (DE)</b>	German	PCT update seminar WIPO speaker: Mrs. Coeckelbergs	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: a.kapeller@forum-institut.de
<b>19-20 April 2007 Bratislava (SK)</b>	English	PCT seminar WIPO speakers: Mrs. Coeckelbergs and Mr. Genin	European Patent Institute (EPI) (Ms. Martina Fromm) Tel: (49-89) 24 20 52 15 Fax: (49-89) 24 20 52 20 E-mail: info@patentepi.com
<b>19-20 April 2007 London (GB)</b>	English	Basic formalities seminar on the PCT WIPO speakers: Ms. Bonvallet and Ms. Aeri	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk

*[continued on next page]*

**PCT Seminar Calendar [continued]**

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>27–28 April 2007 Concord, New Hampshire (US)</b>	English	Comprehensive PCT seminar WIPO speakers: Mrs. Bidwell and Mr. Reed	Franklin Pierce Law Center (Ms. Carol Ruh) Tel: (1–603) 228 15 41, ext. 1108 Fax: (1–603) 224 33 42 E-mail: cruh@piercelaw.edu Internet: www.piercelaw.edu/pct
<b>15–16 May 2007 Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: to be announced	Institut national de la propriété industrielle (INPI) (Ms. Marie Vlamynck and Ms. Dominique Antoine) Tel: (33–1) 53 04 53 04 Fax: (33–1) 53 04 52 652 E-mail: mvlamynck@inpi.fr and dantoine@inpi.fr
<b>22 May 2007 Brussels (BE)</b> <i>[Note that only a limited number of places are available]</i>	French	PCT presentation within the framework of the “Cours de formation de base en droit européen des brevets 2006–2007” WIPO speaker: Mr. Genin	Centre d’études internationales de la propriété industrielle (CEIPI) and the Institut des mandataires agréés près l’Office européen des brevets (EPI) (Mr. Thierry Debled) Tel: (32–65) 40 08 19 Fax: (32–65) 31 14 74 E-mail: Thierry.Debled@vesuvius.com
<b>11–12 September 2007 London (GB)</b>	English	Advanced PCT seminar WIPO speakers: to be announced	Intellectual Property Administrators Group (IPAG) (Ms. Jacqueline Jones) Tel: (44–207) 539 42 45 E-mail: member@jsjones.fsnet.co.uk

**Other IP events**

**Strategic Intellectual Property Management Executive Program, Goa (India), 11 to 13 March 2007**

Designed for senior executives, the International Executive Program, jointly offered by the Worldwide Academy of the World Intellectual Property Organization and the Confederation of Indian Industry, teaches how intellectual property (IP) can be strategically leveraged to create value and sustain competitive advantage over time in a rapidly changing environment. Thought leaders from leading business schools and the corporate world reveal unique insights and experiences on strategic IP management using lectures, case studies, best practices and group discussions. Participants will have the opportunity to learn from each other, share experiences, reflect and apply the principles and practices of IP management in their own business environment.

For further information, please visit: [www.wipo.int/academy/en/execed](http://www.wipo.int/academy/en/execed) or send an email to: [execed.academy@wipo.int](mailto:execed.academy@wipo.int).

## PCT Fee Tables

(amounts on 1 February 2007, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100 under certain circumstances where the request is prepared using the PCT-EASY features of the PCT-SAFE software or by CHF 200 or 300 where the international application is filed in electronic form, as prescribed; see Item 3(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 202(A). A 75% reduction in the international filing fee (including the fee per sheet over 30) and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 12. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 75% reduction are applicable, the 75% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

**Key to abbreviations used in fee tables:**

eq	equivalent of –	BGL	Bulgarian lev	GEL	Georgian lari	LTL	Lithuanian litas	SDP	Sudanese pound
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GHC	Ghanaian cedi	LVL	Latvian lat	SEK	Swedish krona
ISA	International Searching Authority	BYR	Belarussian rouble	HRK	Croatian kuna	MAD	Moroccan dirham	SGD	Singapore dollar
RO	receiving Office	BZD	Belize dollar	HUF	Hungarian forint	MDL	Moldovan leu	SKK	Slovak koruna
		CAD	Canadian dollar	IDR	Indonesian rupiah	MKD	Macedonian denar	TJS	Tajik somoni
		CHF	Swiss franc	ILS	New Israel shekel	MWK	Malawian kwacha	TND	Tunisian dinar
		CNY	Yuan renminbi	INR	Indian rupee	MXP	Mexican peso	TTD	Trinidad and Tobago dollar
		COP	Colombian peso	ISK	Icelandic krona	NOK	Norwegian krone	UAH	Ukrainian hryvnia
		CUC	Cuban convertible peso	JPY	Japanese yen	NZD	New Zealand dollar	USD	US dollar
AED	United Arab Emirates dirham	CYP	Cyprus pound	KES	Kenyan shilling	PGK	Kina	VND	Vietnamese dong
ALL	Albanian lek	CZK	Czech koruna	KGS	Kyrgyz som	PHP	Philippine peso	XAF	CFA franc BEAC
AMD	Armenian dram	DKK	Danish krone	KPW	KP won	PLZ	Polish zloty	ZAR	South African rand
AUD	Australian dollar	DZD	Algerian dinar	KRW	KR won	ROL	Romanian leu	ZWD	Zimbabwe dollar
AZM	Azerbaijani manat	EEK	Estonian kroon	KZT	Kazakh tenge	RSD	Serbian dinar		
BAM	Convertible mark	EUR	Euro	LSL	Lesotho loti	RUR	Russian rouble		
		GBP	Pound sterling						

**Table I(a) — Transmittal and international filing fees**

(amounts on 1 February 2007, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup> (CHF 1,400)	Fee per sheet over 30 <sup>1,2,3</sup> (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
					Item 3(a) <sup>5</sup> and (b) <sup>6</sup> (CHF 100)	Item 3(c) <sup>7</sup> (CHF 200)	Item 3(d) <sup>8</sup> (CHF 300)	
AG	Information not yet available							
AL	ALL	9,000	CHF 1,400	15	–	–	–	EP
AM	AMD	32,000	USD 1,086	12	78	–	–	EP RU
AP	USD	50	USD 1,086	12	78	–	–	AT EP SE
	(or eq in local currency)							
AT	EUR	50	EUR 900	10	64	–	–	EP
AU	AUD	100	AUD 1,531	16	109	219	328	AU
AZ	AZM	55,000	USD 1,086	12	–	–	–	EP RU
BA	BAM	50	EUR 900	10	64	–	–	EP
BE	EUR	40	EUR 900	10	64	–	–	EP
BG	BGL	60	BGL eq CHF 1,400	eq CHF 15	eq CHF 100	–	–	EP RU
BR	BRR	236	BRR eq CHF 1,400	eq CHF 15	eq CHF 100	–	–	AT EP SE US
BW	USD <sup>9</sup>	–	USD 1,086	12	78	–	–	EP
BY	BYR eq USD	70	USD 1,086	12	–	–	–	EP RU
BZ	BZD	300	USD 1,086	12	78	–	–	EP
CA	CAD	300	CAD 1,273	14	91	–	–	CA

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**Table I(a) — Transmittal and international filing fees [continued]**  
(amounts on 1 February 2007, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
						Items 3(a) <sup>5</sup> and (b) <sup>6</sup>	Item 3(c) <sup>7</sup>	Item 3(d) <sup>8</sup>	
CH	CHF	100	CHF	1,400	15	100	–	–	EP
CN	CNY	500	CNY eq CHF	1,400	eq CHF 15	eq CHF 100	–	–	CN
CO	COP <sup>9</sup>	–	COP eq USD	1,086	eq USD 12	eq USD 78	–	–	AT, EP, ES, RU
CR	USD	250	USD	1,086	12	78	–	–	EP ES
CU	CUC	200	CUC	1,086	12	78	–	–	AT EP ES RU
CY	CYP	75	CYP	516	6	–	–	–	EP
CZ	CZK	1,500	EUR	900	10	64	–	–	EP
DE	EUR	90	EUR	900	10	64	129	193	EP
DK	DKK	1,500	DKK	6,710	70	480	960	1,440	EP SE
DM	Information not yet available								
DZ	DZD	None	CHF	1,400	15	100	–	–	AT EP
EA	RUR eq USD	50	USD	1,086	12	78	–	–	EP RU
EC	USD <sup>9</sup>	–	USD	1,086	12	78	–	–	EP ES
EE	EEK	1,800	EEK eq CHF	1,400	eq CHF 15	eq CHF 100	–	–	EP
EG	USD	142	USD	1,086	12	78	–	–	AT EP US
EP	EUR	105	EUR	900	10	64	129	193	EP
ES	EUR	66.56	EUR	900	10	64	129	193	EP ES
FI	EUR	135	EUR	900	10	64	129	193	EP FI SE
FR	EUR	60	EUR	900	10	64	129	193	EP
GB	GBP	55	GBP	611	7	44	87	131	EP
GD	Information not yet available								
GE	GEL <sup>10</sup>	10	USD	1,086	12	78	–	–	EP RU
GH	GHC <sup>11</sup>	2,500 or 5,000	USD	1,086	12	–	–	–	AT AU CN EP SE
GR	EUR	115	EUR	900	10	64	–	–	EP
GT	Information not yet available								
HN	Information not yet available								
HR	HRK	200	HRK eq CHF	1,400	eq CHF 15	eq CHF 100	–	–	EP
HU	HUF	10,700	HUF eq CHF	1,400	eq CHF 15	eq CHF 100	–	–	EP RU
IB	CHF <sup>12</sup>	100	CHF	1,400	15	100	200	300	See footnote 13
	or EUR <sup>12</sup>	64	or EUR	900	10	64	129	193	
	or USD <sup>12</sup>	79	or USD	1,086	12	78	155	233	
ID	IDR	500,000	IDR eq CHF	1,400	eq CHF 15	eq CHF 100	–	–	AU EP KR RU
IE	EUR	76	EUR	900	10	64	–	–	EP
IL	ILS	486	USD	1,086	12	78	–	–	EP US
IN	INR	8,000 (filing by indiv: 2,000)	USD	1,086	12	78	–	–	AT AU CN EP SE US
IS	ISK	6,500	ISK	78,500	800	5,600	–	–	EP SE
IT	EUR	30.99	EUR	900	10	–	–	–	EP

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**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 February 2007, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Items 3(a) <sup>5</sup> and (b) <sup>6</sup>	Item 3(c) <sup>7</sup>	Item 3(d) <sup>8</sup>	
JP	JPY 13,000	JPY 130,300	1,400	9,300	–	27,900	EP JP
KE	USD (or KES equiv) 250 plus cost of mailing	USD 1,086	12	78	–	–	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,086	12	78	–	–	EP RU
KM	Information not yet available						
KN	Information not yet available						
KP	KPW eq CHF 50	KPW eq CHF 1,400	eq CHF 15	eq CHF 100	–	–	AT CN RU
KR	KRW 45,000	KRW 1,096,000	12,000	78,000	–	235,000	AT AU JP <sup>14</sup> KR
KZ	KZT 7,360	USD 1,086	12	78	–	–	EP RU
LA	Information not yet available						
LR	USD 45	USD 1,086	12	–	–	–	AT AU CN EP SE
LS	LSM <sup>9</sup> –	LSM eq CHF 1,400	eq CHF 15	–	–	–	AT EP
LT	LTL 320	EUR 900	10	64	–	–	EP RU
LU	EUR 19	EUR 900	10	–	–	–	EP
LV	LVL 47.20	EUR 900	10	64	–	–	EP RU
LY	Information not yet available						
MA	None	CHF 1,400	15	–	–	–	AT EP RU SE
MC	EUR 54 <sup>15</sup>	EUR 900	10	–	–	–	EP
MD	MDL 180	USD 1,086	12	78	–	–	EP RU
MK	MKD 2,700	MKD eq CHF 1,400	eq CHF 15	eq CHF 100	–	–	EP
MN	None	CHF 1,400	15	100	–	–	EP RU
MW	MWK 6,000	MWK 134,700	1,400	9,600	–	–	EP
MX	MXP <sup>2</sup> eq USD 200	MXP eq CHF 1,400	eq CHF 15	eq CHF 100	–	–	EP ES SE US
MY	Information not yet available						
NI	USD 200	USD 1,086	12	78	–	–	EP ES
NL	EUR 50	EUR 900	10	64	129	193	EP
NO	NOK 550	NOK 7,030	80	500	–	–	EP SE
NZ	NZD 180 <sup>16</sup>	NZD 1,748	19	125	–	–	AU EP KR US
OA	XAF <sup>9</sup> –	XAF eq CHF 1,400	eq CHF 15	–	–	–	AT EP RU SE
OM	Information not yet available						
PG	PGK 250	USD 1,086	12	78	–	–	AU
PH	PHP 3,500	USD 1,086	12	78	155	233	AU EP JP KR US
PL	PLZ 300	PLZ eq CHF 1,400	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
PT	EUR 31.73	EUR 900	10	64	–	–	EP
RO	ROL 300,000	CHF 1,400	15	100	200	300	AT EP RU
RS	RSD 3,000	RSD eq CHF 1,400	eq CHF 15	eq CHF 100	–	–	EP
RU	RUR 294	USD 1,086	12	78	–	–	EP RU
SC	USD <sup>9</sup> –	USD 1,086	12	–	–	–	EP
SD	SDP 50	SDP eq CHF 1,400	eq CHF 15	–	–	–	EP
SE	SEK 1,200	SEK 8,450 (from 1.4.07: 7,950)	90 (90)	600 (570)	1,210 (1,140)	1,810 (1,700)	EP SE
SG	SGD 150	SGD 1,834	20	131	–	–	AT AU EP KR <sup>17</sup>

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**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 February 2007, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>		E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
							Items 3(a) <sup>5</sup> and (b) <sup>6</sup>	Item 3(c) <sup>7</sup>	Item 3(d) <sup>8</sup>	
SI	EUR	91	EUR	900		10	64	–	–	EP
SK	SKK	2,000	SKK eq CHF	1,400	eq CHF	15	eq CHF 100	eq CHF 200	eq CHF 300	EP
SM	EUR	50	EUR	900		10	–	–	–	EP
SV	Information not yet available									
SY	USD <sup>9</sup>	–	USD	1,086		12	78	–	–	AT EP RU
TJ	TJS <sup>9</sup>	–	USD	1,086		12	–	–	–	EP RU
TM	USD <sup>9</sup>	–	USD	1,086		12	78	–	–	EP RU
TN	TND <sup>9</sup>	–	CHF	1,400		15	–	–	–	EP
TR	CHF	100	CHF	1,400		15	100	–	–	EP
TT	TTD	750	USD	1,086		12	78	–	–	AT EP SE US
UA	UAH	255	USD (or eq UAH)	1,086		12	–	–	–	EP RU
US	USD	300	USD	1,086		12	78	155	–	EP KR US
UZ	USD <sup>9</sup>	–	USD	1,086		12	78	–	–	EP RU
VC	Information not yet available									
VN	VND eq USD	150	VND eq CHF	1,400	eq CHF	15	–	–	–	AT AU EP KR RU SE
ZA	ZAR	500	ZAR	8,710		90	620	–	–	AT AU EP US
ZM	USD	50	USD	1,086		12	–	–	–	AT SE
ZW	ZWD	6,000	ZWD eq USD	1,086	eq USD	12	eq USD 78	–	–	AT AU CN EP RU

**Table I(b) — Search fees**

(amounts on 1 February 2007, unless otherwise indicated)

ISA	Search fee <sup>1</sup>											
AT	EUR	200	CHF	311	KRW	250,000	SGD	410	USD	241	ZAR	1,830
AU	AUD*	1,200	CHF	1,097	EUR	702	KRW	885,000	NZD	1,423	SGD	1,440
	USD	908	ZAR	6,590								
	* (from 1.3.07: AUD 1,600)											
CA	CAD	1,600	CHF	1,759	EUR*	1,131	USD	1,365				
	* (from 1.4.07: EUR 1,050)											
CN	CNY	2,100	CHF	335	EUR	215	USD	260				
EP <sup>18</sup>	EUR	1,615	CHF	2,525	CYP	928	DKK	12,050	GBP	1,098	ISK	143,000
	JPY	238,100	MWK	248,000	NOK	12,990	NZD	3,208	SEK	15,230	SGD	3,120
	USD	2,059	ZAR	14,920								
ES <sup>18</sup>	EUR	1,615	CHF	2,525	USD	2,059						
FI	EUR	1,615	CHF	2,525	USD	2,059						
JP	JPY	97,000	CHF	1,042	EUR	668	KRW	789,000	USD	861		
KR	KRW	225,000	CHF	280	EUR	180	NZD	347	SGD	380	USD	232
RU <sup>19</sup>	USD	300	CHF	387	EUR	248						
SE	SEK	15,230	CHF	2,525	DKK	12,050	EUR	1,615	ISK	143,000	NOK	12,990
	USD	2,059										
US	USD	1,000	300 <sup>20</sup>	CHF	1,289	387 <sup>20</sup>	EUR	762	248 <sup>20</sup>	NZD	1,520	440 <sup>20</sup>
	ZAR	7,200	2,300 <sup>20</sup>									

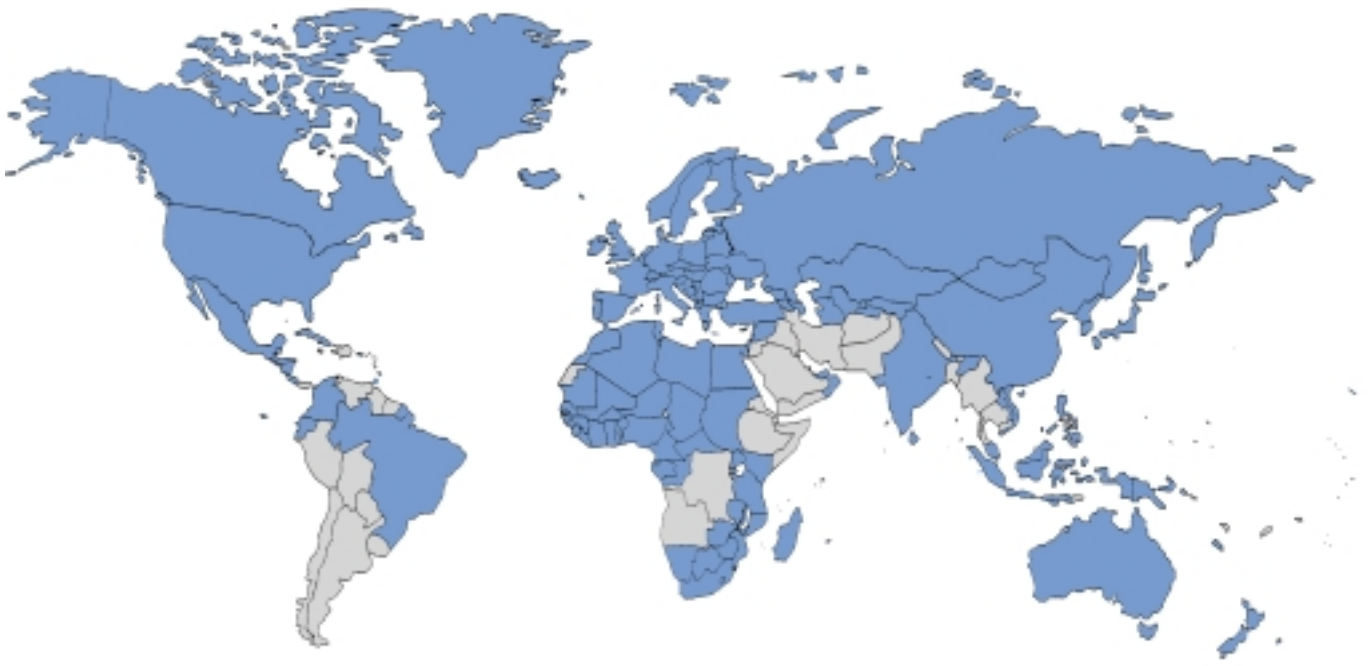
**Table II — Preliminary examination fees**  
(amounts on 1 February 2007, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>21</sup>			Handling fee <sup>2, 21</sup> (CHF 200)	
AT	EUR	200		EUR	129
AU	AUD	550	1,000* <sup>22</sup> *(from 1.3.07: 780)	AUD	205
CA	CAD	800		CAD	182
CN	CNY	1,500		CNY eq CHF	200
EP <sup>18</sup>	EUR	1,595		EUR	129
ES	EUR	533.76		EUR	129
FI	EUR	550		EUR	129
JP	JPY	36,000		JPY	17,400
KR	KRW	225,000		KRW	147,000
RU <sup>19</sup>	USD	200 <sup>23</sup>	300 <sup>24</sup>	USD	155
SE	SEK	5,000		SEK	1,210
US	USD	600	750 <sup>25</sup>	USD	155

*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT Resources page of PatentScope at: [www.wipo.int/pct/en/fees/fee\\_reduction.pdf](http://www.wipo.int/pct/en/fees/fee_reduction.pdf)
- 3 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the Administrative Instructions under the PCT, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed on paper together with a copy in electronic form, in character coded format, of the request and the abstract.
- 6 If the international application is filed in electronic form, the request not being in character coded format.
- 7 If the international application is filed in electronic form, the request being in character coded format.
- 8 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 9 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 10 This fee is reduced by 80% where the applicant is a natural person.
- 11 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 12 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT Resources page of PatentScope at: [www.wipo.int/pct/en/fees/fee\\_reduction.pdf](http://www.wipo.int/pct/en/fees/fee_reduction.pdf)
- 13 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 14 The Japan Patent Office is competent only for international applications in Japanese.
- 15 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 16 Plus 12.5% GST (Goods and Services Tax) for New Zealand residents.
- 17 The Korean Intellectual Property Office is competent for international applications, the declared priority date of which or, if there is no declared priority date, the date of filing of which is on or after 2 June 2006.
- 18 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in Item 4(a) of the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated in part 1 of the table on the PCT Resources page of PatentScope at: [www.wipo.int/pct/en/fees/fee\\_reduction.pdf](http://www.wipo.int/pct/en/fees/fee_reduction.pdf), with the exception of Bulgaria, the Czech Republic, Estonia, Hungary, Lithuania, Poland, Romania, Slovakia and Turkey.
- 19 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 20 Payable when a corresponding prior US national application has been filed under 35 USC 111(a), ((i) prior to 8 December 2004, the basic filing fee under 37 CFR 1.16(a) has been paid, or (ii) on or after 8 December 2004, the basic filing fee under 37 CFR 1.16(a), the search fee under 37 CFR 1.16(k) and the examination fee under 37 CFR 1.16(o) have been paid) and the prior US national application is identified by the application number if known, or if the application number is not known, by the filing date, title and name of applicant (and preferably by the application docket number), in the international application or accompanying the papers at the time of filing the international application.
- 21 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 22 Payable when the international search report was not issued by the Australian Patent Office.
- 23 Payable when the international search report was established by the Federal Service for Intellectual Property, Patents and Trademarks (Russian Federation).
- 24 In all cases where footnote 23 does not apply.
- 25 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

**PCT Contracting States and Two-letter Codes (136 on 1 February 2007)**



AE United Arab Emirates	CY Cyprus (EP) <sup>2</sup>	IS Iceland (EP)	MK The former Yugoslav Republic of Macedonia <sup>1</sup>	SI Slovenia (EP) <sup>2</sup>
AG Antigua and Barbuda	CZ Czech Republic (EP)	IT Italy (EP) <sup>2</sup>	ML Mali (OA) <sup>2</sup>	SK Slovakia (EP)
AL Albania <sup>1</sup>	DE Germany (EP)	JP Japan	MN Mongolia	SL Sierra Leone (AP)
AM Armenia (EA)	DK Denmark (EP)	KE Kenya (AP)	MR Mauritania (OA) <sup>2</sup>	SM San Marino
AT Austria (EP)	DM Dominica	KG Kyrgyzstan (EA)	<b>MT Malta (EP)</b> (from 1 March 2007)	SN Senegal (OA) <sup>2</sup>
AU Australia	DZ Algeria	KM Comoros	MW Malawi (AP)	SV El Salvador
AZ Azerbaijan (EA)	EC Ecuador	KN Saint Kitts and Nevis	MX Mexico	SY Syrian Arab Republic
BA Bosnia and Herzegovina <sup>1</sup>	EE Estonia (EP)	KP Democratic People's Republic of Korea	MY Malaysia	SZ Swaziland (AP) <sup>2</sup>
BB Barbados	EG Egypt	KR Republic of Korea	MZ Mozambique (AP)	TD Chad (OA) <sup>2</sup>
BE Belgium (EP) <sup>2</sup>	ES Spain (EP)	KZ Kazakhstan (EA)	NA Namibia (AP)	TG Togo (OA) <sup>2</sup>
BF Burkina Faso (OA) <sup>2</sup>	FI Finland (EP)	LA Lao People's Democratic Republic	NE Niger (OA) <sup>2</sup>	TJ Tajikistan (EA)
BG Bulgaria (EP)	FR France (EP) <sup>2</sup>	LC Saint Lucia	NG Nigeria	TM Turkmenistan (EA)
<b>BH Bahrain</b> (from 18 March 2007)	GA Gabon (OA) <sup>2</sup>	LI Liechtenstein (EP)	NI Nicaragua	TN Tunisia
BJ Benin (OA) <sup>2</sup>	GB United Kingdom (EP)	LK Sri Lanka	NL Netherlands (EP) <sup>2</sup>	TR Turkey (EP)
BR Brazil	GD Grenada	LR Liberia	NO Norway	TT Trinidad and Tobago
BW Botswana (AP)	GE Georgia	LS Lesotho (AP)	NZ New Zealand	TZ United Republic of Tanzania (AP)
BY Belarus (EA)	GH Ghana (AP)	LT Lithuania (EP)	OM Oman	UA Ukraine
BZ Belize	GM Gambia (AP)	LU Luxembourg (EP)	PG Papua New Guinea	UG Uganda (AP)
CA Canada	GN Guinea (OA) <sup>2</sup>	LV Latvia (EP)	PH Philippines	US United States of America
CF Central African Republic (OA) <sup>2</sup>	GR Greece (EP) <sup>2</sup>	LY Libyan Arab Jamahiriya	PL Poland (EP)	UZ Uzbekistan
CG Congo (OA) <sup>2</sup>	GT Guatemala	MA Morocco	PT Portugal (EP)	VC Saint Vincent and the Grenadines
CH Switzerland (EP)	GW Guinea-Bissau (OA) <sup>2</sup>	MC Monaco (EP) <sup>2</sup>	RO Romania (EP)	VN Viet Nam
CI Côte d'Ivoire (OA) <sup>2</sup>	HN Honduras	MD Moldova (EA)	RS Serbia <sup>1</sup>	ZA South Africa
CM Cameroon (OA) <sup>2</sup>	HR Croatia <sup>1</sup>	ME Montenegro	RU Russian Federation (EA)	ZM Zambia (AP)
CN China	HU Hungary (EP)	MG Madagascar	SC Seychelles	ZW Zimbabwe (AP)
CO Colombia	ID Indonesia		SD Sudan (AP)	
CR Costa Rica	IE Ireland (EP) <sup>2</sup>		SE Sweden (EP)	
	IL Israel		SG Singapore	
	IN India			

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:**

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated April 2006) or, if filing the request using the PCT-EASY features of the PCT-SAFE software, the latest version of that software (which is available at: [www.wipo.int/pct-safe](http://www.wipo.int/pct-safe)). The request and demand forms can be printed from the website, in editable PDF format, at: [www.wipo.int/pct/en/forms/](http://www.wipo.int/pct/en/forms/), or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.