

PCT NEWSLETTER

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June 2014 | No. 06/2014

PCT Working Group

The seventh session of the PCT Working Group was held in Geneva from 10 to 13 June 2014.

Agreed changes

The Working Group agreed to forward a number of sets of amendments to the PCT Regulations to the PCT Assembly for approval at its session in September–October 2014:

- the Schedule of Fees would be amended to remove the reference to the 100 Swiss francs reduction for filing using PCT-EASY (which will be discontinued from 1 July 2015) (document PCT/WG/7/15), and to introduce a new means for determining which States would qualify for the 90% discount in certain international fees payable by natural persons who are nationals and residents of those States (document PCT/WG/7/29¹);
- PCT Rules 49*ter* and 76 would be amended to allow designated and elected Offices to require any requests for restoration of the right of priority in the national phase, following an early national phase entry, to be made within one month of entry (document PCT/WG/7/15);
- PCT Rule 90.5(d) would be amended to allow the International Bureau (IB) to require a copy of a general power of attorney where necessary, in the event of the agent submitting a notice of withdrawal (document PCT/WG/7/16); and
- a reference in PCT Rule 90.3 would be corrected to properly reflect an amendment of PCT Rule 90*bis*.5 adopted by the PCT Assembly in October 2012 (document PCT/WG/7/15).

The Working Group endorsed proposed modifications to the third party observation system (document PCT/WG/7/11) which will be implemented when the necessary technical changes can be made, likely in September or October 2014. These modifications were:

- to increase the length of text permitted for the “brief explanation of relevance” of cited documents, and
- to permit the attachment of additional arguments as uploaded PDF files where necessary, for example, where formulae are required.

¹ This document (the Chair’s Summary) is currently under preparation and will be available shortly.

The Working Group endorsed proposed modifications to the Receiving Office Guidelines concerning the appropriate process for selecting a competent International Searching Authority (ISA) where the originally specified Authority is found not to be competent (document PCT/WG/7/22). Modifications were also endorsed, in principle (subject to further consultations on drafting), mandating receiving Offices to correct checklists of contents of the international application to include sequence listings in certain cases where those listings might otherwise be taken to be “accompanying items”, instead of part of the international application (document PCT/WG/7/23).

The Working Group recommended the terms of a draft Understanding by the PCT Assembly concerning an improved process for assessing requests for appointment of an Office as an ISA and International Preliminary Examining Authority (IPEA) under the PCT (documents PCT/WG/7/4 and 29²).

Electronic services and color drawings

The Working Group noted a report by the IB on the development of the ePCT system (document PCT/WG/7/2) and the fact that many of the further benefits sought would require action by national Offices, rather than being pure technical developments by the IB.

The Working Group approved a process towards allowing the filing, international phase processing and international publication of color drawings in international applications (document PCT/WG/7/10). Further consultation would be required on certain technical details and timing.

The IB was invited to refine proposals concerning an arrangement to use ePCT to assist national phase entry (document PCT/WG/7/12).

Issues likely to be the subject of proposals in 2015

Following studies by the Secretariat and WIPO’s Chief Economist on fee reductions for small and medium-sized enterprises, universities and not-for-profit research institutes (document PCT/WG/7/7) and fee elasticity (document PCT/WG/7/6), the Working Group noted that there seemed little prospect of identifying an acceptable way forward on fee reductions for small and medium-sized enterprises – that aspect of the proposal would be put on hold, pending any concrete proposals from Contracting States on a possible solution. However, a further study was commissioned concerning possible fee reductions for universities, for discussion at the next session.

The Working Group noted a report (document PCT/WG/7/14) on the coordination of technical assistance and a presentation by the Australian Patent Office on its Regional Patent Examination Training Program. It was recalled that the Meeting of International Authorities under the PCT had invited the IB to prepare proposals concerning better coordination between Offices which provide examiner training to other Offices.

The European Patent Office and the Republic of Korea were invited to refine a proposal for receiving Offices to transmit search and classification data concerning priority documents to the ISA in cases where that information was available and was permitted to be released (document PCT/WG/7/27).

² This document (the Chair’s Summary) is currently under preparation and will be available shortly.

India was invited to work with interested parties on refining a proposal aimed at encouraging publishers to make databases of non-patent literature within the PCT minimum documentation more readily available to International Authorities and national patent Offices for patent searching purposes (document PCT/WG/7/28).

The IB was invited to continue work with interested Offices on finding a solution to certain differences between Offices concerning when incorporation by reference is permitted (document PCT/WG/7/19), to define appropriate conditions in which certain information in the file of the international application could be withheld from public access (document PCT/WG/7/18), and to provide appropriate rules concerning the transmission to the IB of declarations or other evidence received in the context of a request for restoration of the right of priority (document PCT/WG/7/17).

Other issues discussed

The Working Group noted:

- a report on the 21st session of the Meeting of International Authorities (document PCT/WG/7/3; covered by *PCT Newsletter* No. 03/2014);
- a round-up of the status of “PCT 20/20” proposals which had been previously made by the United Kingdom and the United States of America but which were not the subject of specific proposals in this session (document PCT/WG/7/20);
- the state of development of the eSearchCopy system, whereby the IB would use the record copy to transmit search copies to the ISA in a standard electronic form on behalf of receiving Offices (document PCT/WG/7/8);
- reports on the latest states of proposed revisions to WIPO Standard ST.14 (document PCT/WG/7/5) and a new sequence listing standard ST.26 (documents PCT/WG/7/9 and 29³);
- and that ***there was no consensus***:
 - to take proposals forward concerning the formal integration of the Patent Prosecution Highway system into the PCT (document PCT/WG/7/21),
 - to allow receiving Offices to accord dates to documents received electronically according to time zones other than that of their headquarters (document PCT/WG/7/25),
 - to adjust the rules concerning the persons eligible to represent the applicant before the receiving Office of the IB (document PCT/WG/7/13);
 - to take forward certain specific proposals by the IB concerning improved legal safeguards for applicants in the event of failure of electronic systems (document PCT/WG/7/24), but that the IB would continue to investigate legal, technical and procedural means to reduce such risks;

³ This document (the Chair’s Summary) is currently under preparation and will be available shortly.

Summary and documents

The summary by the Chair, as well as a draft report of the meeting, will be available in due course from the same page as the working documents on the WIPO website at:

www.wipo.int/meetings/en/details.jsp?meeting_code=pct/wg/7

National Institute of Industrial Property (Chile) to Begin Operating as an International Searching and Preliminary Examining Authority

Further to the information that was published in *PCT Newsletter* No. 10/2012, relating to the appointment of the National Institute of Industrial Property (Chile) as International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) under the PCT at the 43rd session of the Assembly of the PCT Union which was held in October 2012, the Office has notified WIPO that it will begin operating as an ISA and an IPEA with effect from 22 October 2014.

It is recalled that the European Patent Office, the Korean Intellectual Property Office, the Spanish Patent and Trademark Office and the United States Patent and Trademark Office are already competent international authorities for international applications filed by nationals and/or residents of Chile.

Additional information on the Office in its capacity as ISA and IPEA will be published shortly in the *PCT Applicant's Guide*, Annexes D and E.

Sao Tome and Principe Joins ARIPO

On 19 May 2014, Sao Tome and Principe deposited its instrument of accession to the Harare Protocol on Patents and Industrial Designs within the Framework of the African Regional Intellectual Property Organization (ARIPO). Therefore, Sao Tome and Principe became a member State of ARIPO with effect from 19 May 2014, and the Harare Protocol will enter into force with respect to Sao Tome and Principe on 19 August 2014, bringing the number of member States of ARIPO to 19, and the number of States party to the Harare Protocol to 18.

This means that any international application filed on or after 19 August 2014 will include the designation of Sao Tome and Principe for an ARIPO patent, as well as for a national patent (applicants should be aware that, although any international application filed before that date will include the designation of Sao Tome and Principe for a national patent, it will not include the designation of that State for an ARIPO patent).

Furthermore, as from 19 August 2014, it will be possible for nationals and residents of Sao Tome and Principe to file international applications with ARIPO as receiving Office, in addition to the International Bureau of WIPO.

ARIPO's announcement regarding the accession by Sao Tome and Principe can be seen by clicking on "News" at:

<http://www.aripo.org/>

(Updating of the *PCT Applicant's Guide*, Annexes B2 (AP) and C (AP))

World Trade Organization

Yemen to become a Member

On 26 June 2014, Yemen (country code: YE), which is already Party to the Paris Convention but is not yet a member State of the PCT, will become a Member of the WTO, thus bringing the total number of Members to 160. The table of States party to the PCT and the Paris Convention and Members of the WTO has been updated accordingly at:

http://www.wipo.int/pct/en/texts/pdf/pct_paris_wto.pdf

It is recalled that under PCT Rule 4.10(a), it is possible to claim in an international application the priority of one or more earlier applications filed in, or for, any country party to the Paris Convention for the Protection of Industrial Property or in, or for, any Member of the World Trade Organization (WTO) that is not party to that Convention.


PCT Publication Schedule

Simplification regarding dates of completion of technical preparations for publication

Due to an increase in the use of ePCT by applicants to submit documents relating to their international applications and the subsequent improvement in efficiency in the processing of international applications, it is now possible for the International Bureau (IB) to cope with shorter preparation periods when a non-working day precedes an international publication date.

It is for this reason that, from September 2014 onwards, where a non-working day at the IB precedes a publication date, it will no longer result in an earlier date of completion of technical preparations for publication, and applicants will no longer need to check when the last day is for receipt by the IB of changes which should be taken into account for the purposes of international publication. The last day for receipt of such changes will always be on Tuesday at midnight (Central European time (CET)), 16 days before the publication date when publication falls on a Thursday (or 17 days before the publication date when publication exceptionally takes place on a Friday). Should there be any exceptions to this, they would be notified to PCT applicants in the *PCT Newsletter*. Applicants may, in any case, enquire at the IB in case of doubt.

Finding out dates for publication using ePCT private services

Users of ePCT private services have direct access to advance information regarding scheduled publication and republication dates for their international applications. To access this information, open the international application in ePCT private services (you must have access rights to the application in ePCT) and click on the information icon  next to the IA Status field in the header (see screenshot below).



Publication of 11 September 2014

Due to the fact that Thursday, 11 September 2014, will be a non-working day at WIPO, the PCT applications (as well as any *Official Notices (PCT Gazette)*) which would normally have been published on that day will be published on Friday, 12 September 2014. Any changes which should be taken into account for the purposes of international publication should be received by the IB by midnight (CET) on Tuesday, 26 August 2014.

Combined July-August Issue

The next issue of the *PCT Newsletter* will be a joint July-August issue that will be published in July. If, between the publication of that issue and the September issue, there is any important PCT news that users should be aware of, we will announce it by way of the PCT e-mail updating service. If you are not already subscribed to this service, which notifies PCT users when each issue of the *PCT Newsletter* becomes available and makes other *ad hoc* announcements, you can subscribe to it, free of charge, at:

<http://www.wipo.int/lists/subscribe/pct-general>

Furthermore, please note that, if there are any changes to the PCT Seminar Calendar or the PCT Fee Tables before the publication of the September issue, they will be updated in August at, respectively:

<http://www.wipo.int/pct/en/seminar/seminar.pdf>

<http://www.wipo.int/pct/en/fees.pdf>

Electronic Filing and Processing of International Applications

Requirements and practices regarding the filing of international applications in electronic form

European Patent Office

The European Patent Office has updated its notification containing the requirements and practices of the Office with regard to the filing of international applications, as well as

documents relating thereto, in electronic form. For further details, see the *Official Notices (PCT Gazette)* of 15 May 2014 at:

http://www.wipo.int/pct/en/official_notices/officialnotices.pdf

International Bureau: correction of notification

Corrections have been made to the notification containing the requirements and practices of the International Bureau with regard to the filing of international applications in electronic form, which was published in the *Official Notices (PCT Gazette)* of 8 May 2014. For further details, see the *Official Notices (PCT Gazette)* of 15 May 2014 at:

http://www.wipo.int/pct/en/official_notices/officialnotices.pdf

PCT-SAFE Update

Release of new version of the PCT-SAFE Client software

A new version of the PCT-SAFE Client software (version 3.51.063.239, dated 13 June 2014) is now available for download at:

http://www.wipo.int/pct-safe/en/download/download_client.html

Further details are available on the PCT e-Services website at:

www.wipo.int/pct-safe/en/

PCT Information Update

AT Austria (fees)

As from 1 July 2014, there will be a change in the amounts of the following fees, payable to the Austrian Patent Office as receiving Office:

| | | |
|--|-----|------------------|
| Transmittal fee:..... | EUR | 52 |
| Fee for requesting restoration of the right of priority: | EUR | 269 ⁴ |

As from the same date, there will be a change in the amounts of the following national fees, payable to the Office as designated (or elected) Office:

For patent:

| | | |
|---|-------------|-----|
| Fee for entry into the national phase:..... | EUR | 52 |
| Document fee (<i>Schriftengebühr</i>): | [No change] | |
| Search and examination fee, including 10 claims: | EUR | 292 |
| Claims fee, from the 11 th claim, for each group of up to 10 claims: | EUR | 104 |

⁴ Includes the document fee (*Schriftengebühr*) in the amount of EUR 40.

| | <i>Natural person</i> | <i>Small entity</i> | <i>Others</i> |
|--|---------------------------|-------------------------|---------------|
| Filing fee: | | | |
| – Up to 30 sheets and 10 claims: | | | |
| electronic filing: | 1,600 | 4,000 | 8,000 |
| physical (paper) filing: | 1,760 | 4,400 | 8,800 |
| – For each additional priority, multiple of: | | | |
| electronic filing: | 1,600 | 4,000 | 8,000 |
| physical (paper) filing: | 1,760 | 4,400 | 8,800 |
| – For each additional sheet in addition to 30: | | | |
| electronic filing: | 160 | 400 | 800 |
| physical (paper) filing: | 176 | 440 | 880 |
| – For each claim in addition to 10: | | | |
| electronic filing: | 320 | 800 | 1,600 |
| physical (paper) filing: | 352 | 880 | 1,760 |

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (IN))

IR Islamic Republic of Iran (competent International Searching and Preliminary Examining Authorities)

The Industrial Property Office (Islamic Republic of Iran) has specified, with effect from 13 May 2014, the Indian Patent Office, in addition to the European Patent Office and the Federal Service for Intellectual Property (Rospatent) (Russian Federation), as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of the Islamic Republic of Iran with the Industrial Property Office as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (IR))

SM San Marino (location and mailing address)

The location and mailing address of the Patent and Trademark Office (San Marino) has changed, as follows:

Via 28 Luglio 212
47893 Republic of San Marino

(Updating of *PCT Applicant's Guide*, Annex B1 (SM))

Search fee and other fees relating to international search (Austrian Patent Office, European Patent Office, Korean Intellectual Property Office, National Institute of Industrial Property (Brazil))

As from 1 July 2014, there will be a change in the amount payable in EUR, as well as in the equivalent amounts in CHF, KRW, SGD, USD and ZAR, for an international search carried out by the Austrian Patent Office, as indicated in Fee Table I(b). There will also be changes in the amounts of the following fees payable from the same date:

| | | |
|------------------------------|-----|-------|
| Additional search fee: | EUR | 1,864 |
| Protest fee: | EUR | 229 |

The European Patent Office (EPO) has notified changes to the conditions for refund of the search fee where the international search report drawn up by the Office is based on an earlier search report prepared by the Office on an application whose priority is claimed for the international application – as from 1 July 2014, earlier searches which will give rise to a refund for a search with written opinion will include a European search (EPC Article 92), an international search (PCT Article 15(1)), a supplementary international search (PCT Rule 45*bis*) or a search made on behalf of a national Office on a national application (BE⁵, CY, FR, GR, IT, LT⁶, LU, MT, NL⁵, SM⁶, TR).

As from 1 August 2014, there will be a change in the equivalent amount payable in ISK for an international search carried out by the European Patent Office, as indicated in Fee Table I(b).

As from 1 September 2014, there will be a change in the equivalent amount payable in USD for an international search carried out by the National Institute of Industrial Property (Brazil), and there will be a change in the equivalent amount payable in SGD for an international search carried out in Korean by the Korean Intellectual Property Office, as indicated in Fee Table I(b).

(Updating of *PCT Applicant's Guide*, Annex D (AT, BR, EP, KR))

International preliminary examination fee and other fees relating to international preliminary examination (Austrian Patent Office)

As from 1 July 2014, there will be a change in the amount payable for an international preliminary examination carried out by the Austrian Patent Office, as indicated in Fee Table II, as well as a change in the amount of the following fees payable to that Office, as indicated below:

| | | |
|---|-----|-------|
| Additional preliminary examination fee: | EUR | 1,749 |
| Protest fee: | EUR | 229 |

(Updating of *PCT Applicant's Guide*, Annex E (AT))

⁵ Includes international-type searches covered by an agreement between the EPO and that Office.

⁶ Applicable, as from 1 July 2014, to search requests in respect of national applications filed as of 1 January 2014.

New/Updated PCT Resources

Modified PCT Regulations (Arabic, German, Portuguese and Spanish)

It is recalled that the Assembly of the PCT Union adopted, during its meeting which was held in Geneva from 23 September to 2 October 2013, amendments to the PCT Regulations which will enter into force on 1 July 2014 (for further information, see *PCT Newsletter* No. 02/2014 or the PowerPoint presentation referred to below).

The consolidated texts of the Regulations under the PCT, as in force from 1 July 2014, are now available in PDF format in Arabic, German, Portuguese and Spanish (in addition to English and French) at, respectively:

http://www.wipo.int/pct/ar/texts/pdf/pct_regs2014.pdf

http://www.wipo.int/pct/de/texts/pdf/pct_regs2014.pdf

http://www.wipo.int/pct/pt/texts/pdf/pct_regs2014.pdf

http://www.wipo.int/pct/es/texts/pdf/pct_regs2014.pdf

PowerPoint presentation about amendments to PCT Regulations

A PowerPoint presentation describing the amendments to the PCT Regulations that will enter into force on 1 July 2014 is now available in Chinese, English, French, German and Spanish at:

http://www.wipo.int/pct/zh/texts/ppt/rule_changes_archive.html

http://www.wipo.int/pct/en/texts/ppt/rule_changes_archive.html

http://www.wipo.int/pct/fr/texts/ppt/rule_changes_archive.html

http://www.wipo.int/pct/de/texts/ppt/rule_changes_archive.html

http://www.wipo.int/pct/es/texts/ppt/rule_changes_archive.html

Practical Advice

The need to take into account national security provisions when filing an international application

Q: I would like to file an international application using ePCT-Filing, however, the applicant's usual national receiving Office does not accept such filings yet. I am therefore considering filing the application with the receiving Office of the International Bureau (RO/IB), as the RO/IB does accept ePCT-Filings and is competent to receive international applications from residents and/or nationals of any PCT Contracting State. However, please could you tell me whether there could be national security issues if I do not file the application at the national Office?

A: Filing with the International Bureau as receiving Office (RO/IB) (or with a regional patent Office) does not eliminate the need to consider prescriptions relating to national security. If you do file directly with the RO/IB, compliance with any national security prescriptions applicable under national law will not be checked by the RO/IB and it remains **your responsibility, as applicant, to ensure that you have complied with any such prescriptions before you file.** Similar restrictions also apply in respect of certain member States of regional patent

organizations, for example when filing an international application with the European Patent Office.

The requirements of these prescriptions vary – some countries have restrictions on all foreign filings for national security reasons, that is, government permission needs to be sought in order to file outside the country where the invention was made, or outside the country of which the applicant is a resident and/or national, depending on the national law concerned. However, some countries have restrictions only where the invention relates to a particular technical field.

Any such restrictions are permissible under the PCT as PCT Article 27(8) states:

“Nothing in this Treaty and the Regulations is intended to be construed as limiting the freedom of any Contracting State to apply measures deemed necessary for the preservation of its national security or to limit, for the protection of the general economic interests of that State, the right of its own residents or nationals to file international applications.”

When filing with a national Office as PCT receiving Office, therefore, that Office has the right to delay transmittal of the international application to the IB and the International Searching Authority while it considers whether any national provisions relating to national security may apply and if so, whether national security clearance should be granted (PCT Rule 22.1(a)). If it considers that national security clearance should not be given, it can then declare that the application will not be treated as an international application, and will issue form PCT/RO/147 (Notification Concerning Failure to Forward Record Copy and Search Copy for National Security Reasons).

You do not say whether you have already filed an earlier application with your national Office. In many cases, where an earlier national application has already been filed with the applicant's national Office, and the subject matter of your subsequent application is identical to that of the earlier application, any necessary national security checks will normally already have been carried out, and the applicant may therefore have already received any necessary clearance from the government concerned to file a later application elsewhere. For example, if your invention was made in the United States of America and you had filed an earlier application in that country more than six months ago, and no secrecy order has been subsequently issued, you would have the right to file a later application in another country (although it may be possible to file the later application earlier than after those six months where authorized by a license obtained from the Commissioner of Patents (see the USPTO's Manual of Patent Examining Procedure § 140 “Foreign Filing Licenses” at: <http://www.uspto.gov/web/offices/pac/mpep/s140.html>)).

In conclusion, if you are subject, because of your nationality, residence or the nature of your invention, to national security provisions and you do not already possess any national security clearance for your invention, you should contact your national receiving Office before you file an international application with the RO/IB.

Where national Offices have notified the IB of any foreign filing restrictions which apply, for reasons of national security, to PCT applications which are to be filed at an Office other than the national Office concerned, that information can be found in the *PCT Applicant's Guide*, Annex B1 (Information on Contracting States), as a footnote to the listing of competent receiving Offices, by clicking on the link to the Office concerned at:

<http://www.wipo.int/pct/en/appguide/>

| PCT Seminar Calendar | | | |
|--|----------------------------|---|--|
| (http://www.wipo.int/pct/en/seminar/seminar.pdf) | | | |
| (situation on 17 June 2014) | | | |
| Dates and location | Language of seminar | Nature of seminar; WIPO speakers (and others where known) | Organizer and contact numbers |
| 17 June 2014 Paris (FR) | French | PCT update seminar WIPO speaker: Ms. Bonvallet | Fédération Nationale pour le Droit de l'Entreprise (FNDE) (Ms. Alexandra Dupont) Tel: (33-1) 42 66 18 19 Fax : (33-1) 42 66 17 37 E-mail: adupont@fnde.asso.fr |
| 19-20 June 2014 London (GB) | English | Basic PCT formalities seminar WIPO speakers: Ms. Gateau and Ms. Fourné-Godbersen | Management Forum Ltd Tel: (44-1483) 73 00 71 Fax: (44-1483) 73 00 08 E-mail: josephine.leak@management-forum.co.uk |
| 4 July 2014 Madrid (ES) | English | PCT seminar within the framework of the epi-CEIPI course WIPO speaker: Ms. Bonvallet | Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Francisco Bernardo Noriega) Tel: (34) 91 417 13 00 Fax: (34) 91 417 13 01 e-mail: fbernardo@abgpatentes.com |
| 8 July 2014 Munich (DE) | English | PCT presentation at the "IP Executive week" (7-11 July 2014) WIPO speaker: Mr. Reischle | European Patent Academy Deadline for registration: 31 May 2014. For further information and registration, go to: http://www.epo.org/learning-events/events/training/institutional-strengthening.html |
| 17 July 2014 Tokyo (JP) | Japanese | PCT seminar WIPO speaker: Mr. Tachibana | University Technology Transfer Association, Japan (UNITT) (Mr. Fukuda) Tel: (81-3) 54 02 18 57 Fax: (81-3) 54 02 18 59 Internet: http://unitt.jp/ |
| 21-22 July 2014 Westminster, CO (US) | English | 18 th Annual Patent Cooperation Treaty Training Program for attorneys, patent agents and paralegals WIPO speakers: Mr. Richardson and Ms. Bidwell | American Intellectual Property Law Association (AIPLA) Tel: (1-703) 415 07 80 Fax: (1-703) 415 07 86 E-mail: aipla@aipla.org Internet: www.aipla.org |
| 23 July 2014 Osaka (JP) | Japanese | PCT seminar WIPO speaker: Mr. Tachibana | University Technology Transfer Association, Japan (UNITT) (Mr. Fukuda) Tel: (81-3) 54 02 18 57 Fax: (81-3) 54 02 18 59 Internet: http://unitt.jp/ |
| 24 July 2014 Kumamoto (JP) | Japanese | PCT seminar WIPO speaker: Mr. Tachibana | University Technology Transfer Association, Japan (UNITT) (Mr. Fukuda) Tel: (81-3) 54 02 18 57 Fax: (81-3) 54 02 18 59 Internet: http://unitt.jp/ |
| 24-25 July 2014 Arlington, VA (US) | English | 18 th Annual Patent Cooperation Treaty Training Program for attorneys, patent agents and paralegals WIPO speakers: Mr. Richardson and Ms. Bidwell | American Intellectual Property Law Association (AIPLA) Tel: (1-703) 415 07 80 Fax: (1-703) 415 07 86 E-mail: aipla@aipla.org Internet: www.aipla.org |

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PCT Seminar Calendar [continued]

| Dates and location | Language of seminar | Nature of seminar; WIPO speakers (and others where known) | Organizer and contact numbers |
|--|----------------------------|---|---|
| 16 September 2014 San Francisco, CA (US) | English | Advanced PCT Seminar WIPO speaker: Ms. Bidwell Other speaker: Mr. Smith (PCT Learning Center) | PCT Learning Center (M. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org |
| 30 September– 2 October 2014 Las Vegas, NV (US) | English | Comprehensive PCT course WIPO speakers: Ms. Bidwell and Mr. Reed | Patent Resources Group, Inc. (Ms. Theresa Woods) Tel: (1-703) 682 48 60 Fax: (1-703) 683 18 95 E-mail: twoods@patentresources.com Internet: http://patentresources.com |
| 1–2 October 2014 The Hague (NL) | English | Advanced PCT seminar “PCT at the EPO 2014” (1-2 October 2014) – for industry and patent attorneys interested in prosecuting PCT applications with the European Patent Office (EPO) WIPO speakers: Mr. Reischle and Ms. Bonvallet Other speakers from the EPO, other patent Offices, as well as from private practice and industry | European Patent Academy (Professional Representatives Unit) Tel: (49-89) 2399 5196 E-mail: profrep@epo.org Deadline for registration: 31 July 2014. For further information, go to: http://www.epo.org/pct-conference |
| 6 October 2014 Zurich (CH) | German | Seminar on the latest developments concerning the PCT (CEIPI Course) WIPO speaker: Mr. Reischle | Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Hansjörg Kley) Tel: (41-52) 226 00 00 Fax: (41-52) 226 00 09 E-mail: hansjoerg@kley.ch |
| 9 October 2014 Barcelona (ES) | English | PCT seminar within the framework of the epi-CEIPI course WIPO speaker: Ms. Bonvallet | Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Antonio López-Carrasco Comajuncosas, Patent Office, Telefónica Digital) E-mail: alcc@tid.es |
| 9–10 October 2014 Geneva (CH) | English | Advanced Seminar on the Patent Cooperation Treaty (PCT): the procedural aspects of the international and national phases, recent and future PCT developments and filing and management of PCT applications in ePCT. Note that attendance is limited to 45 people. No registration fee. WIPO speakers: to be announced | Organized by the World Intellectual Property Organization (WIPO) at its Geneva Headquarters On-line registration and further information: http://www.wipo.int/meetings/en/details.jsp?meeting_id=33504 E-mail: pct.our@wipo.int |
| 10 October 2014 London (GB) | English | PCT presentation within the framework of the 13th Annual Conference for Senior Patent Administrators (9-10 October 2014) WIPO speaker: Mr. Reischle | Management Forum Ltd Tel: (44-1483) 73 00 71 Fax: (44-1483) 73 00 08 E-mail: josephine.leak@management-forum.co.uk |
| 15–16 October 2014 Munich (DE) | German | Advanced PCT seminar WIPO speaker: to be announced Other speaker: Mr. Wolff (former member of the PCT Legal Division of WIPO and of the Boards of Appeal of the EPO) | Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de |

[continued on next page]

| PCT Seminar Calendar [continued] | | | |
|--|----------------------------|--|--|
| Dates and location | Language of seminar | Nature of seminar; WIPO speakers (and others where known) | Organizer and contact numbers |
| November 2014 (<i>exact date to be confirmed</i>) Atlanta, GA (US) | English | Advanced PCT Seminar for Patent Practitioners WIPO speakers: Ms. Bidwell and Mr. Reed | Schwegman, Lundberg & Woessner For pre-registration, contact: Ms. Mary E. Hirsch Tel: (1-612) 371 21 43 Fax: (1-612) 339 30 61 E-mail: mhirsch@slwip.com |
| 5–6 November 2014 Munich (DE) | German | International Patent Law Conference: PCT Update WIPO speaker: Mr. Reischle | Forum Institut für Management GmbH (see Munich, above) |
| 7 November 2014 Heidelberg (DE) | German | Presentation on the PCT System, within the framework of “PaFa-Tagung – Annual Conference for Senior Patent Administrators and Patent Assistants” (6–7 November 2014) WIPO speaker: Mr. Reischle | IP for IP GmbH (Ms. Monika Huppertz and Ms. Annette Kapeller) Tel: (49-6201) 844 37 30 Fax: (49-6201) 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de |
| 2–3 December 2014 Paris (FR) | French | Basic PCT seminar WIPO speaker: Ms. Bonvallet and Ms. Chatel | Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr |
| 4 December 2014 Paris (FR) | French | Advanced PCT seminar WIPO speaker: Ms. Bonvallet | Institut national de la propriété industrielle (INPI) (see Paris, above) |
| 4–5 December 2014 London (GB) | English | Advanced PCT seminar WIPO speaker: to be announced | Management Forum Ltd (see London, above) |
| 11 December 2014 Lisbon (PT) | English | PCT seminar WIPO speaker: to be announced | Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. João Amaral, National Institute of Industrial Property (Portugal)) Tel: (351) 218 818 100 Fax: (351) 218 878 508 E-mail: jamaral@inpi.pt |
| 16 January 2015 Cracow (PL) (<i>Note that only a limited number of places are available</i>) | English | PCT seminar WIPO speaker: to be announced | Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl |
| February 2015 (<i>exact date to be confirmed</i>) San Jose, CA (US) | English | Advanced PCT Seminar for Patent Practitioners WIPO speakers: Ms. Bidwell and Mr. Reed | Schwegman, Lundberg & Woessner For pre-registration, contact: Ms. Mary E. Hirsch Tel: (1-612) 371 21 43 Fax: (1-612) 339 30 61 E-mail: mhirsch@slwip.com |
| 4 February 2015 Ecully (FR) | French | PCT presentation WIPO speaker: Ms. Bonvallet | Centre Paul Roubier Tel: (33-4) 78 33 07 08 Fax: (33-4) 78 33 58 96 |

| PCT Webinars | | | |
|---|----------------------------|---|---|
| Date and time | Language of webinar | Nature of webinar; WIPO speakers | Registration |
| 17 June 2014 5.30 pm to 6.30 pm, Central European time | English | PATENTSCOPE search system: translation tools WIPO speaker: Ms. Ammann | https://www3.gotomeeting.com/register/344292590 |
| 19 June 2014 8.30 am to 9.30 am, Central European time | English | PATENTSCOPE search system: translation tools WIPO speaker: Ms. Ammann | https://www3.gotomeeting.com/register/115679694 |

| PCT Events Without WIPO speakers | | | |
|---|--------------------------|---|---|
| Dates and location | Language of event | Nature of seminar; Speakers | Organizer and contact numbers |
| 16 June 2014 Denver, CO (US) | English | PCT Boot Camp: "Learn the Basics" Speaker: Mr. Smith (PCT Learning Center) | PCT Learning Center (Ms. Frimmel Smith) Tel: 1-571 212 3868 Fax: 1-703-636-8974 Email: contact@pctlearningcenter.org Internet: pctlearningcenter.org |
| 15 September 2014 San Francisco, CA (US) | English | PCT Boot Camp Speaker: Mr. Smith (PCT Learning Center) | PCT Learning Center (M. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org |

PCT Fee Tables

(amounts on 17 June 2014, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b), (c) and (d) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30) and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 15. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

| | | | | | | | | | |
|------|---|-----|------------------------|-----|--------------------|-----|--------------------|-----|----------------------------|
| eq | equivalent of – | BRL | Brazilian real | HRK | Croatian kuna | MAD | Moroccan dirham | THB | Baht |
| IPEA | International Preliminary Examining Authority | BYR | Belarusian rouble | HUF | Hungarian forint | MKD | Macedonian denar | TJS | Tajik somoni |
| ISA | International Searching Authority | BZD | Belize dollar | IDR | Indonesian rupiah | MWK | Malawian kwacha | TND | Tunisian dinar |
| RO | receiving Office | CAD | Canadian dollar | ILS | New Israeli sheqel | MXN | Mexican peso | TTD | Trinidad and Tobago dollar |
| | | CHF | Swiss franc | INR | Indian rupee | MYR | Malaysian ringgit | UAH | Ukrainian hryvnia |
| | | CLP | Chilean peso | IRR | Iranian Rial | NOK | Norwegian krone | USD | US dollar |
| | | CNY | Yuan renminbi | ISK | Icelandic krona | NZD | New Zealand dollar | VND | Vietnamese dong |
| | | COP | Colombian peso | JPY | Japanese yen | PEN | Nuevo sol | XAF | CFA franc BEAC |
| | | CUC | Cuban convertible peso | KES | Kenyan shilling | PGK | Kina | ZAR | South African rand |
| | | CZK | Czech koruna | KGS | Kyrgyz som | PHP | Philippine peso | ZWD | Zimbabwe dollar |
| ALL | Albanian lek | DKK | Danish krone | KPW | Won | PLN | Polish zloty | | |
| AMD | Armenian dram | DZD | Algerian dinar | KRW | Won | RON | New leu | | |
| AUD | Australian dollar | EGP | Egyptian pound | KZT | Kazakh tenge | RSD | Serbian dinar | | |
| AZN | Azerbaijani manat | EUR | Euro | LSL | Lesotho loti | RUB | Russian rouble | | |
| BAM | Convertible mark | GBP | Pound sterling | LTL | Lithuanian litas | SDG | Sudanese pound | | |
| BGN | Bulgarian lev | GHS | Ghanaian cedi | LVL | Latvian lat | SEK | Swedish krona | | |
| BHD | Bahraini dinar | GTQ | Quetzal | LYD | Libyan dinar | SGD | Singapore dollar | | |
| BND | Brunei dollar | | | | | | | | |

Table I(a) — Transmittal and international filing fees
(amounts on 17 June 2014, unless otherwise indicated)

| RO | Transmittal fee ¹ | | International filing fee ^{1, 2} (CHF 1,330) | | Fee per sheet over 30 ^{1, 2, 3} (CHF 15) | | E-filing reductions according to Schedule of Fees: | | | Competent ISA(s) ⁴ | | | |
|----|-------------------------------|------------------------------|---|-------|---|-----|--|-------------------------------------|-------------------------------------|----------------------------------|--------|-----|----|
| | | | | | | | Items 4(a) ⁵ and (b) ⁶ (CHF 100) | Item 4(c) ⁷ (CHF 200) | Item 4(d) ⁸ (CHF 300) | | | | |
| AG | Information not yet available | | | | | | | | | CA | | | |
| AL | ALL | 9,000 | CHF | 1,330 | 15 | – | – | – | – | EP | | | |
| AM | AMD | 32,000 | USD | 1,471 | 17 | 111 | – | – | – | EP RU | | | |
| AP | USD | 50 | USD | 1,471 | 17 | 111 | – | – | – | AT EP SE | | | |
| AT | EUR | 50 (from 1.7.14: 52) | EUR | 1,084 | 12 | – | 163 | 244 | – | EP | | | |
| AU | AUD | 200 | AUD | 1,657 | 19 | 125 | 249 | 374 | – | AU KR | | | |
| AZ | AZN | 10 | USD | 1,471 | 17 | – | – | – | – | EP RU | | | |
| BA | BAM | 50 | EUR | 1,084 | 12 | 81 | – | – | – | EP | | | |
| BE | EUR | 40 | EUR | 1,084 | 12 | 81 | – | – | – | EP | | | |
| BG | BGN | 80 | BGN eq CHF | 1,330 | eq CHF | 15 | eq CHF | 100 | – | EP RU | | | |
| BH | BHD | 70 | USD | 1,471 | 17 | – | – | – | – | AT EP US | | | |
| BN | BND | 150 | BND eq CHF | 1,330 | eq CHF | 15 | eq CHF | 100 | – | AT AU EP KR | | | |
| BR | BRL ⁹ | online: 175 on paper: 235 | BRL eq CHF | 1,330 | eq CHF | 15 | eq CHF | 100 | – | AT BR EP SE US | | | |
| BW | USD ¹⁰ | – | USD | 1,471 | 17 | 111 | – | – | – | EP | | | |
| BY | BYR eq USD | 70 | USD | 1,471 | 17 | – | – | – | – | EP RU | | | |
| BZ | BZD | 300 | USD | 1,471 | 17 | 111 | – | – | – | CA EP | | | |
| CA | CAD | 300 | CAD | 1,638 | 18 | 123 | 246 | 369 | – | CA | | | |
| CH | CHF | 100 | CHF | 1,330 | 15 | 100 | – | – | – | EP | | | |
| CL | CLP eq USD ¹¹ | 130 | CLP eq USD ¹¹ | 1,471 | eq USD ¹¹ | 17 | eq USD ¹¹ | 111 | – | EP ES KR US | | | |
| CN | CNY | 500 | CNY eq CHF | 1,330 | eq CHF | 15 | eq CHF | 100 | eq CHF | 200 | eq CHF | 300 | CN |

[continued on next page]

Table I(a) — Transmittal and international filing fees [continued]

(amounts on 17 June 2014, unless otherwise indicated)

| RO | Transmittal fee ¹ | International filing fee ^{1,2} | Fee per sheet over 30 ^{1,2,3} | E-filing reductions according to Schedule of Fees: | | | Competent ISA(s) ⁴ |
|----|--|---|--|--|------------------------|------------------------|-------------------------------|
| | | | | Items 4(a) ⁵ and (b) ⁶ | Item 4(c) ⁷ | Item 4(d) ⁸ | |
| CO | COP 865,000 ¹² | COP eq CHF 1,330 | eq CHF 15 | eq CHF 100 | – | – | AT, BR, EP, ES, RU |
| CR | USD 250 | USD 1,471 | 17 | 111 | – | – | EP ES |
| CU | CUC 200 | CUC 1,471 | 17 | 111 | – | – | AT EP ES RU |
| CY | EUR 128.15 | EUR 1,084 | 12 | – | – | – | EP |
| CZ | CZK 1,500 | EUR 1,084 | 12 | 81 | – | – | EP |
| DE | EUR 90 | EUR 1,084 | 12 | 81 | 163 | 244 | EP |
| DK | DKK 1,500 | DKK 8,090 | 90 | 610 | 1,220 | 1,820 | EP SE XN |
| DM | Information not yet available | | | | | | |
| DO | USD 275 | USD 1,471 | 17 | 111 | – | – | EP ES US |
| DZ | DZD None | CHF 1,330 | 15 | 100 | – | – | AT EP |
| EA | RUB 1,600 | USD 1,471 | 17 | 111 | – | – | EP RU |
| EC | USD ¹⁰ – | USD 1,471 | 17 | 111 | – | – | EP ES |
| EE | EUR 115.04 | EUR 1,084 | 12 | 81 | – | – | EP |
| EG | USD 142 | USD 1,471 | 17 | 111 | – | – | AT EG EP US |
| EP | EUR 130 | EUR 1,084 | 12 | – | 163 | 244 | EP |
| ES | EUR 74.25 | EUR 1,084 | 12 | 81 | 163 | 244 | EP ES |
| FI | EUR 135 | EUR 1,084 | 12 | – | 163 | 244 | EP FI SE |
| FR | EUR 60 | EUR 1,084 | 12 | 81 | 163 | 244 | EP |
| GB | GBP 75 | GBP 914 | 10 | – | 138 | 206 | EP |
| GD | Information not yet available | | | | | | |
| GE | USD ¹³ 100 | USD 1,471 | 17 | 111 | – | – | EP RU (from 1.7.14: US) |
| GH | GHS ¹⁴ 2,500 or 5,000 | USD 1,471 | 17 | – | – | – | AT AU CN EP SE |
| GR | EUR 115 | EUR 1,084 | 12 | 81 | – | – | EP |
| GT | GTQ eq USD 250 | USD 1,471 | 17 | 111 | – | – | AT BR EP ES US |
| HN | USD 200 | USD 1,471 | 17 | 111 | – | – | EP ES |
| HR | HRK 200 | HRK eq CHF 1,330 | eq CHF 15 | eq CHF 100 | eq CHF 200 | eq CHF 300 | EP |
| HU | HUF 11,800 | HUF 341,400 | HUF 3,900 | HUF 25,700 | – | – | EP RU |
| IB | CHF ¹⁵ 100 or EUR ¹⁵ 81 or USD ¹⁵ 111 | CHF 1,330 or EUR 1,084 or USD 1,471 | 15 12 17 | 100 81 111 | 200 163 221 | 300 244 332 | See footnote 16 |
| ID | IDR 1,000,000 | IDR eq CHF 1,330 | eq CHF 15 | eq CHF 100 | – | – | AU EP JP KR RU |
| IE | EUR 76 | EUR 1,084 | 12 | 81 | – | – | EP |
| IL | ILS 556 | USD 1,471 | 17 | 111 | 221 | 332 | EP IL US |
| IN | INR 8,000 (filing by indiv: 2,000) | USD 1,471 | 17 | 111 | – | – | AT AU CN EP IN SE US |
| IR | IRR ¹⁰ – | IRR eq CHF 1,330 | eq CHF 15 | – | – | – | EP IN RU |
| IS | ISK 15,000 | ISK 177,900 | 2,000 | 13,400 | 26,700 | 40,100 | EP SE XN |
| IT | EUR 30.99 | EUR 1,084 | 12 | – | – | – | EP |
| JP | JPY 10,000 ¹⁷ | JPY 154,800 | 1,700 | 11,600 | – | 34,900 | EP JP |
| KE | USD 250 (or KES equiv) plus cost of mailing | USD 1,471 | 17 | 111 | – | – | AT AU CN EP SE |
| KG | KGS eq USD 100 | USD 1,471 | 17 | 111 | – | – | EP RU |
| KN | Information not yet available | | | | | | |
| KN | EP | | | | | | |
| KP | KPW eq CHF 50 | KPW eq CHF 1,330 | eq CHF 15 | eq CHF 100 | – | – | AT CN RU |
| KR | KRW 45,000 | CHF 1,330 | 15 | 100 | – | 300 | AT AU JP ¹⁸ KR |
| KZ | KZT 8,243 ¹⁹ | USD 1,471 | 17 | 111 | – | – | EP RU |
| LR | USD 45 | USD 1,471 | 17 | – | – | – | AT AU CN EP SE |
| LS | LSL ¹⁰ – | LSL eq CHF 1,330 | eq CHF 15 | – | – | – | AT EP |
| LT | LTL 320 | EUR 1,084 | 12 | 81 | 163 | 244 | EP RU |

[continued on next page]

Table I(a) — Transmittal and international filing fees [continued]

(amounts on 17 June 2014, unless otherwise indicated)

| RO | Transmittal fee ¹ | International filing fee ^{1,2} | Fee per sheet over 30 ^{1,2,3} | E-filing reductions according to Schedule of Fees: | | | Competent ISA(s) ⁴ |
|----|--|---|--|--|------------------------|------------------------|-------------------------------|
| | | | | Items 4(a) ⁵ and (b) ⁶ | Item 4(c) ⁷ | Item 4(d) ⁸ | |
| LU | EUR 19 | EUR 1,084 | 12 | – | – | – | EP |
| LV | EUR 68.87 | EUR 1,084 | 12 | 81 | – | – | EP RU |
| LY | LYD ¹⁰ – | CHF 1,330 | 15 | 100 | – | – | AT EP |
| MA | MAD 600 ²⁰ | CHF 1,330 | 15 | – | – | – | AT EP RU SE |
| MC | EUR 54 ²¹ | EUR 1,084 | 12 | – | – | – | EP |
| MD | EUR 100 | USD 1,471 | 17 | 111 | – | – | EP RU |
| MK | MKD 2,700 | MKD eq CHF 1,330 | eq CHF 15 | eq CHF 100 | – | – | EP |
| MN | None | CHF 1,330 | 15 | 100 | – | – | EP KR RU |
| MT | EUR 55 | EUR 1,084 | 12 | 81 | – | – | EP |
| MW | MWK 6,000 | MWK 550,000 | 6,200 | 41,400 | – | – | EP |
| MX | USD 323.70 ²² | USD 1,471 | 17 | 111 | – | – | EP ES SE US |
| MY | MYR 500 (e-filing) ²³ 550 (paper filing) ²³ | MYR eq CHF 1,330 | eq CHF 15 | – | eq CHF 200 | eq CHF 300 | AU EP JP KR |
| NI | USD 200 | USD 1,471 | 17 | 111 | – | – | EP ES |
| NL | EUR 50 | EUR 1,084 | 12 | – | 163 | 244 | EP |
| NO | NOK 800 | NOK 8,780 | 100 | – | 1,320 | 1,980 | EP SE XN |
| NZ | NZD 180 ²⁴ | NZD 1,767 | 20 | 133 | – | – | AU EP KR US |
| OA | XAF ¹⁰ – | XAF eq CHF 1,330 | eq CHF 15 | – | – | – | AT EP RU SE |
| PA | USD 200 | USD 1,471 | 17 | 111 | – | – | BR EP ES US |
| PE | PEN 233.35 | PEN eq USD 1,471 | eq USD 17 | eq USD 111 | – | – | AT EP ES KR US |
| PG | PGK 250 | USD 1,471 | 17 | 111 | – | – | AU |
| PH | PHP 3,500 | USD 1,471 | 17 | 111 | 221 | 332 | AU EP JP KR US |
| PL | PLN 300 | PLN eq CHF 1,330 | eq CHF 15 | – | eq CHF 200 | eq CHF 300 | EP |
| PT | EUR 20.85 | EUR 1,084 | 12 | 81 | 163 | 244 | EP |
| QA | Information not yet available | | | | | | |
| RO | RON 360 | EUR 1,084 | 12 | 81 | 163 | 244 | EP RU |
| RS | RSD 7,020 ²⁵ | RSD eq CHF 1,330 | eq CHF 15 | eq CHF 100 | – | – | EP |
| RU | RUB 850 | USD 1,471 | 17 | 111 | – | – | EP RU |
| RW | Information not yet available | | | | | | |
| SA | USD 100 | USD 1,471 | 17 | 111 | – | – | CA EG EP RU |
| SC | USD ¹⁰ – | USD 1,471 | 17 | – | – | – | EP |
| SD | SDG 50 | SDG eq CHF 1,330 | eq CHF 15 | – | – | – | EP |
| SE | SEK 1,200 | SEK 9,440 | 110 | 710 | 1,420 | 2,130 | EP SE XN |
| SG | SGD 150 | SGD 1,835 | 21 | 138 | – | – | AT AU EP JP KR |
| SI | EUR 91 | EUR 1,084 | 12 | 81 | – | – | EP |
| SK | EUR 66 ²⁶ | EUR 1,084 | 12 | 81 | 163 | 244 | EP |
| SM | EUR 70 | EUR 1,084 | 12 | – | – | – | EP |
| SV | USD 200 | USD 1,471 | 17 | 111 | – | – | EP ES |
| SY | USD ¹⁰ – | USD 1,471 | 17 | 111 | – | – | AT EP RU |
| TH | THB 3,000 | THB eq CHF 1,330 | eq CHF 15 | eq CHF 100 | – | – | AU CN EP JP KR US |
| TJ | TJS ¹⁰ – | USD 1,471 | 17 | – | – | – | EP RU |
| TM | USD ¹⁰ – | USD 1,471 | 17 | 111 | – | – | EP RU |
| TN | TND ¹⁰ – | CHF 1,330 | 15 | – | – | – | EP |
| TR | None | CHF 1,330 | 15 | 100 | – | – | EP |
| TT | TTD 750 | USD 1,471 | 17 | 111 | – | – | AT EP SE US |
| UA | UAH or eq EUR or USD ²⁷ 1,300 | USD (or eq UAH or EUR) 1,471 | 17 | 111 | – | – | EP RU |

[continued on next page]

Table I(a) — Transmittal and international filing fees [continued]

(amounts on 17 June 2014, unless otherwise indicated)

| RO | Transmittal fee ¹ | International filing fee ^{1,2} | Fee per sheet over 30 ^{1,2,3} | E-filing reductions according to Schedule of Fees: | | | Competent ISA(s) ⁴ |
|----|--|---|--|--|------------------------|------------------------|-------------------------------|
| | | | | Items 4(a) ⁵ and (b) ⁶ | Item 4(c) ⁷ | Item 4(d) ⁸ | |
| US | USD 240 ²⁸ small entity ²⁹ : 120 ²⁸ micro entity ²⁹ : 60 ²⁸ | USD 1,471 | 17 | 111 | 221 | – | AU EP KR RU US |
| UZ | USD ¹⁰ – | USD 1,471 | 17 | 111 | – | – | EP RU |
| VN | VND eq USD 150 | VND eq CHF 1,330 | eq CHF 15 | – | – | – | AT AU EP JP KR RU SE |
| ZA | ZAR 500 | ZAR 15,860 | 180 | 1,190 | – | – | AT AU EP US |
| ZM | USD 50 | USD 1,471 | 17 | – | – | – | AT SE |
| ZW | ZWD 6,000 | ZWD eq USD 1,471 | eq USD 17 | eq USD 111 | – | – | AT AU CN EP RU |

Table I(b) — Search fees

(amounts on 17 June 2014, unless otherwise indicated)

| ISA | Search fee ¹ | | | | | | | |
|------------------|---|--|-----------------------------|-----------------------------|-------------------------------|---------------------------|--|--|
| AT ³⁰ | EUR* 1,785 *(from 1.7.14: ZAR 27,130) | CHF* 2,190 EUR 1,864 | KRW* 2,599,000 CHF 2,272 | SGD* 3,020 KRW 2,651,000 | USD* 2,423 SGD 3,240 | ZAR* 26,260 USD 2,592 | | |
| AU | AUD 2,200 USD 1,972 | CHF 1,765 ZAR 20,730 | EUR 1,449 | KRW 2,070,000 | NZD 2,345 | SGD 2,590 | | |
| BR ³¹ | BRL 2,250 *(from 1.9.14: | CHF 853 USD 1,011) | EUR 697 | USD* 954 | | | | |
| CA | CAD 1,600 | CHF 1,299 | EUR 1,066 | USD 1,442 | | | | |
| CN | CNY 2,100 | CHF 310 | EUR 253 | USD 343 | | | | |
| EG ³² | EGP 4,000 | CHF 525 | EUR 427 | USD 580 | | | | |
| EP ³³ | EUR 1,875 JPY 267,300 USD 2,545 *(from 1.8.14: | CHF 2,301 MWK 951,000 ZAR 27,580 ISK 290,000) | DKK 13,990 NOK 15,180 | GBP 1,582 NZD 3,057 | HUF 553,600 SEK 16,330 | ISK* 308,000 SGD 3,180 | | |
| ES ³³ | EUR 1,875 | CHF 2,301 | USD 2,545 | | | | | |
| FI | EUR 1,875 | CHF 2,301 | USD 2,545 | | | | | |
| IL | ILS 3,564 | CHF 913 | EUR 745 | USD 1,021 | | | | |
| IN | INR 10,000 In case of filing by an individual: INR 2,500 | CHF 147 37 | EUR 119 30 | USD 162 41 | | | | |
| JP ¹⁷ | JPY 70,000 | CHF 601 | EUR 491 | KRW 716,000 | SGD 850 | USD 673 | | |
| KR | For international applications in English: KRW 1,300,000 USD 1,212 For international applications in Korean: KRW 450,000 USD 420 *(from 1.9.14: | AUD 1,376 476 | CHF 1,096 379 | EUR 893 309 | NZD 1,456 504 | SGD 1,510 SGD* 520 | | |
| RU | For international applications in Russian: RUB 6,750 For international applications in English: RUB 28,000 | CHF 171 709 | EUR 140 580 | HUF 41,700 172,900 | USD 192 795 | | | |
| SE | SEK 16,330 USD 2,545 | CHF 2,301 | DKK 13,990 | EUR 1,875 | ISK 308,000 | NOK 15,180 | | |
| US | USD 2,080 For small entity ²⁹ : USD 1,040 For micro entity ²⁹ : USD 520 | CHF 1,880 940 470 | EUR 1,532 766 383 | NZD 2,500 1,249 625 | ZAR 22,550 11,280 5,640 | | | |
| XN | DKK 13,990 USD 2,545 | CHF 2,301 | EUR 1,875 | ISK 308,000 | NOK 15,180 | SEK 16,330 | | |

Table I(c) — Supplementary search fees

(amounts on 17 June 2014, unless otherwise indicated)

| ISA | Supplementary search fee | Supplementary search handling fee ^{2, 34} |
|-----|---|--|
| AT | – for a search of the German-language documentation: CHF 1,043 | CHF 200 |
| | – for a search of the European and North American documentation: CHF 1,460 | |
| | – for a search of the PCT minimum documentation: CHF 2,086 | |
| | | |
| EP | CHF 2,301 | CHF 200 |
| FI | CHF 2,301 | CHF 200 |
| RU | eq in CHF of RUB ³⁵ 11,800 (18,880) ³⁶ | CHF 200 |
| SE | CHF 2,301 | CHF 200 |
| XN | – for a full search: CHF 2,301 | CHF 200 |
| | – for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 660 | |

Table II — Preliminary examination fees

(amounts on 17 June 2014, unless otherwise indicated)

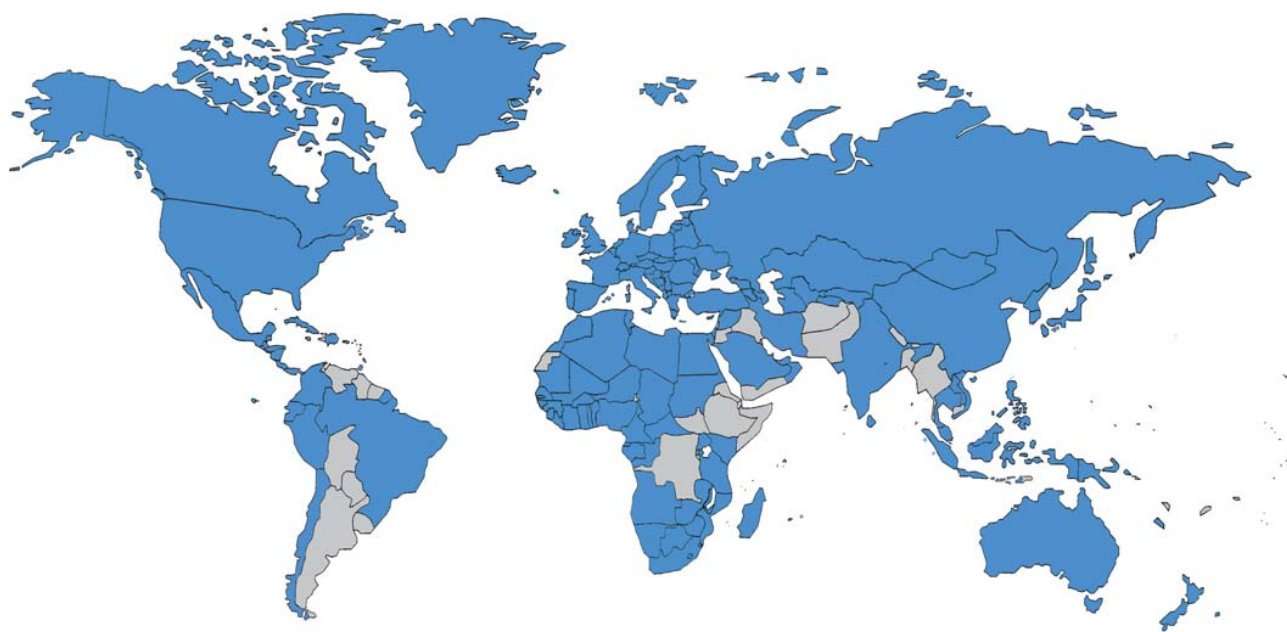
| IPEA | Preliminary examination fee ³⁷ | Handling fee ^{2, 37} (CHF 200) |
|------------------|--|---|
| AT ³⁰ | EUR 1,675 (from 1.7.14: 1,749) | EUR 163 |
| AU | AUD 590 820 ³⁸ | AUD 249 |
| BR ³¹ | BRL 840 | BRL 488 |
| CA | CAD 800 | CAD 246 |
| CN | CNY 1,500 | CNY eq CHF 200 |
| EG ³² | EGP 3,000 | EGP 1,525 |
| EP ³³ | EUR 1,930 | EUR 163 |
| ES | EUR 583.65 | EUR 163 |
| FI | EUR 600 | EUR 163 |
| IL | ILS 1,527 | ILS 786 |
| IN | INR 12,000; in case of filing by an individual: INR 3,000 | USD 221 |
| JP | JPY 26,000 ¹⁷ | JPY 23,300 |
| KR | KRW 450,000 | KRW 237,000 |
| RU | For international applications in Russian: RUB 2,700 ³⁹ 4,050 ⁴⁰ For international applications in English: RUB 10,500 ³⁹ 15,750 ⁴⁰ | USD 221 |
| SE | SEK 5,000 | SEK 1,420 |
| US | USD 600 760 ⁴¹ | USD 221 |
| | For small entity ²⁹ : USD 300 380 ⁴¹ | |
| | For micro entity ²⁹ : USD 150 190 ⁴¹ | |
| XN | DKK 5,000 | DKK 1,220 |

[See over page for footnotes to fee tables]

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: www.wipo.int/pct/en/fees/fee_reduction.pdf
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed on paper together with a copy in electronic form, in character coded format, of the request and the abstract.
- 6 If the international application is filed in electronic form, the request not being in character coded format.
- 7 If the international application is filed in electronic form, the request being in character coded format.
- 8 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 9 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 10 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 11 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 12 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 13 This fee is reduced by 70% where the applicant is a natural person.
- 14 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 15 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: www.wipo.int/pct/en/fees/fee_reduction.pdf
- 16 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 17 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm
- 18 The Japan Patent Office is competent only for international applications in Japanese.
- 19 This fee is subject to value added tax (VAT). Applicants may consult the receiving Office or a registered patent attorney for the latest applicable VAT rate.
- 20 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see www.wipo.int/pct/en/fees/fee_reduction.pdf).
- 21 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 22 This fee is subject to a national tax of 16%.
- 23 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 24 Plus Goods and Services Tax for New Zealand residents.
- 25 This fee is reduced by 50% where the applicant is a natural person.
- 26 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 27 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 28 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 29 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf
- 30 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 31 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 32 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 33 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 34 Payable to the International Bureau in Swiss francs.
- 35 The amount payable is the equivalent amount in Swiss francs, at the exchange rate of the Central Bank of the Russian Federation, applicable on the date of payment.
- 36 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 37 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 38 Payable when the international search report was not issued by the Australian Patent Office.
- 39 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 40 In all cases where footnote 39 does not apply.
- 41 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

PCT Contracting States and Two-letter Codes (148 on 1 June 2014)



| | | | | |
|---|--|---|----------------------------------|---|
| AE United Arab Emirates | CR Costa Rica | IN India | ML Mali (OA) ² | SI Slovenia (EP) ² |
| AG Antigua and Barbuda | CY Cyprus (EP) ² | IR Iran (Islamic Republic of) | MN Mongolia | SK Slovakia (EP) |
| AL Albania (EP) | CZ Czech Republic (EP) | IS Iceland (EP) | MR Mauritania (OA) ² | SL Sierra Leone (AP) |
| AM Armenia (EA) | DE Germany (EP) | IT Italy (EP) ² | MT Malta (EP) ² | SM San Marino (EP) |
| AO Angola | DK Denmark (EP) | JP Japan | MW Malawi (AP) | SN Senegal (OA) ² |
| AT Austria (EP) | DM Dominica | KE Kenya (AP) | MX Mexico | ST Sao Tome and Principe (AP ⁴) |
| AU Australia | DO Dominican Republic | KG Kyrgyzstan (EA) | MY Malaysia | SV El Salvador |
| AZ Azerbaijan (EA) | DZ Algeria | KM Comoros (OA) ² | MZ Mozambique (AP) | SY Syrian Arab Republic |
| BA Bosnia and Herzegovina ¹ | EE Estonia (EP) | KN Saint Kitts and Nevis | NA Namibia (AP) | SZ Swaziland (AP) ² |
| BB Barbados | EG Egypt | KP Democratic People's Republic of Korea | NE Niger (OA) ² | TD Chad (OA) ² |
| BE Belgium (EP) ² | ES Spain (EP) | KR Republic of Korea | NG Nigeria | TG Togo (OA) ² |
| BF Burkina Faso (OA) ² | FI Finland (EP) | KZ Kazakhstan (EA) | NI Nicaragua | TH Thailand |
| BG Bulgaria (EP) | FR France (EP) ² | LA Lao People's Democratic Republic | NL Netherlands (EP) ² | TJ Tajikistan (EA) |
| BH Bahrain | GA Gabon (OA) ² | LC Saint Lucia | NO Norway (EP) | TM Turkmenistan (EA) |
| BJ Benin (OA) ² | GB United Kingdom (EP) | LI Liechtenstein (EP) | NZ New Zealand | TN Tunisia |
| BN Brunei Darussalam | GD Grenada | LK Sri Lanka | OM Oman | TR Turkey (EP) |
| BR Brazil | GE Georgia | LR Liberia (AP) | PA Panama | TT Trinidad and Tobago |
| BW Botswana (AP) | GH Ghana (AP) | LS Lesotho (AP) | PE Peru | TZ United Republic of Tanzania (AP) |
| BY Belarus (EA) | GM Gambia (AP) | LT Lithuania (EP) | PG Papua New Guinea | UA Ukraine |
| BZ Belize | GN Guinea (OA) ² | LU Luxembourg (EP) | PH Philippines | UG Uganda (AP) |
| CA Canada | GQ Equatorial Guinea (OA) ² | LV Latvia (EP) ² | PL Poland (EP) | US United States of America |
| CF Central African Republic (OA) ² | GR Greece (EP) ² | LY Libya | PT Portugal (EP) | UZ Uzbekistan |
| CG Congo (OA) ² | GT Guatemala | MA Morocco | QA Qatar | VC Saint Vincent and the Grenadines |
| CH Switzerland (EP) | GW Guinea-Bissau (OA) ² | MC Monaco (EP) ² | RO Romania (EP) | VN Viet Nam |
| CI Côte d'Ivoire (OA) ² | HN Honduras | MD Republic of Moldova ³ | RS Serbia (EP) | ZA South Africa |
| CL Chile | HR Croatia (EP) | ME Montenegro ¹ | RU Russian Federation (EA) | ZM Zambia (AP) |
| CM Cameroon (OA) ² | HU Hungary (EP) | MG Madagascar | RW Rwanda (AP) | ZW Zimbabwe (AP) |
| CN China | ID Indonesia | MK The former Yugoslav Republic of Macedonia (EP) | SA Saudi Arabia | |
| CO Colombia | IE Ireland (EP) ² | | SC Seychelles | |
| | IL Israel | | SD Sudan (AP) | |
| | | | SE Sweden (EP) | |
| | | | SG Singapore | |

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

3 Only international applications filed before 26 April 2012 include the designation of this State for a Eurasian patent.

4 Only international applications filed on or after 19 August 2014 will include the designation of this State for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important: This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 16 September 2012). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.