

# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

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## **State Intellectual Property Service of Ukraine (SIPSU) to Begin Operating as an International Searching and Preliminary Examining Authority**

It is recalled that the State Intellectual Property Service of Ukraine (SIPSU) was appointed as International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) under the PCT at the 44th session of the Assembly of the PCT Union, which was held in September–October 2013 (see *PCT Newsletter* No. 10/2013).

On 28 December 2015, SIPSU notified WIPO that it will begin operating as an ISA and an IPEA, as well as an Authority specified for supplementary search (SISA), with effect from 5 February 2016.

Furthermore, SIPSU has specified, with effect from 5 February 2016, itself, in addition to the European Patent Office and the Federal Service for Intellectual Property (Rospatent) (Russian Federation), as a competent ISA and IPEA for international applications filed by nationals and residents of Ukraine with SIPSU (or with the International Bureau (IB)) as receiving Office.

Information on the Office in its capacity as ISA, SISA and IPEA, including the fees payable to those Offices, will be published shortly in the *PCT Applicant's Guide*, Annexes D, SISA and E.

## **Electronic Filing and Processing of International Applications**

### **Brunei Darussalam and Indonesia: Brunei Intellectual Property Office (BrulPO) and Directorate General of Intellectual Property (Indonesia) will begin receiving and processing international applications in electronic form**

The Brunei Intellectual Property Office (BrulPO) and the Directorate General of Intellectual Property (Indonesia), in their capacities as receiving Offices (RO/BN and RO/ID), have notified the International Bureau (IB) under PCT Rule 89*bis*.1(d) that they are prepared to receive and process international applications in electronic form with effect from 15 January 2016.

The Offices accept international applications filed using the ePCT-Filing function in the ePCT Portal. The applicable electronic filing fee reductions listed in item 4 of the Schedule of Fees are indicated in Fee Table I(a).

The notifications containing the requirements and practices of the Offices with regard to the filing of international applications in electronic form were published in the *Official Notices (PCT Gazette)* on 14 January 2016, at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

(Updating of *PCT Applicant's Guide*, Annex C (BN and ID))

The acceptance of ePCT-Filings by the above-mentioned Offices will bring to 34 the total number of receiving Offices which do so<sup>1</sup>.

### Intellectual Property Office (Philippines) no longer accepts PCT-SAFE filings

Further to the information published in *PCT Newsletter* No. 12/2015 concerning the acceptance by the Intellectual Property Office (Philippines), in its capacity as receiving Office, of international applications filed using the ePCT-Filing function in the ePCT Portal with effect from 4 January 2016, the Office has notified the IB that, with effect from that date, it no longer accepts the filing of international applications using PCT-SAFE.

### ePCT Update

A new release of the ePCT system (version 3.2) was deployed on 17 December 2015. Some of the new features are discussed below.

#### ePCT for applicants

The ePCT-Filing function in the ePCT portal includes the following new features:

- default access rights will now be set when you create a new international application (IA);
- it is now possible to download a copy of a draft new IA before it is filed, in the form of either a Zip file containing multiple PDF files, plus XML data, or in the form of a single PDF document;
- the “Clone as new IA” feature now allows you to clone all document content in addition to the bibliographic data; and
- for cases where different fees are payable to the receiving Office in different currencies, it is now possible to indicate multiple currencies on the fees screen.

The new ePCT features include the possibility to make use of the ePCT Action “Rule 92*bis* change request” in ePCT Public Services, provided that the international application concerned has been published.

For further information on the above changes, including relevant screen shots, as well as information on other changes included in this latest release, please see:

[http://www.wipo.int/pct/en/epct/pdf/epct\\_whats\\_new.pdf](http://www.wipo.int/pct/en/epct/pdf/epct_whats_new.pdf)

Furthermore, please note that the Frequently Asked Questions (FAQs) (accessible via the “Shortcuts” on the ePCT Portal) have been revised and published in a more user-friendly format.

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<sup>1</sup> ePCT-Filing is now available for online filing with the following receiving Offices: RO/IB, RO/AT, RO/AU, RO/AZ, RO/BN, RO/BR, RO/CA, RO/CL, RO/CO, RO/CZ, RO/DK, RO/DZ, RO/EA, RO/EE, RO/EP, RO/FI, RO/HU, RO/ID, RO/IN, RO/IS, RO/LV, RO/MX, RO/MY, RO/NO, RO/NZ, RO/PH, RO/PL, RO/QA, RO/RU, RO/SA, RO/SE, RO/SG, RO/TR and RO/ZA.

## **ePCT for receiving Offices, designated Offices and International Authorities**

For details about the new features for Offices in ePCT version 3.2, please see:

[http://www.wipo.int/pct/en/epct/pdf/epct\\_office\\_whats\\_new.pdf](http://www.wipo.int/pct/en/epct/pdf/epct_office_whats_new.pdf)

For further information on ePCT in general, including how to get started, please go to:

<https://pct.wipo.int/LoginForms/epct.jsp>

or contact the PCT eServices Help Desk at:

e-mail: [epct@wipo.int](mailto:epct@wipo.int)

telephone: (+41–22) 338 9523

or via the

“contact us” link at: <http://www.wipo.int/contact/en/area.jsp?area=patentscope&area2=epct>

## **PCT-SAFE Update**

### **Release of new version of the PCT-SAFE Client software**

A new version of the PCT-SAFE Client software (version 3.51.072.248, dated 1 January 2016) is now available and can be downloaded at:

[http://www.wipo.int/pct-safe/en/download/download\\_client.html](http://www.wipo.int/pct-safe/en/download/download_client.html)

Further details on this new version of PCT-SAFE are available in the “Release notes” and “What’s new” document on the above-mentioned web page.

## **Power of Attorney Waiver**

### **Notification under PCT Rules 90.4(d) and 90.5(c) (Latvian Patent Office)**

The Latvian Patent Office, in its capacity as receiving Office, has informed the International Bureau that it waives the requirements under PCT Rules 90.4(b) and 90.5(a)(ii) to submit a separate power of attorney and/or a copy of a general power of attorney.

For background information on power of attorney waivers, see *PCT Newsletter* No. 01/2004, page 2, at:

[http://www.wipo.int/edocs/pctndocs/en/2004/pct\\_news\\_2004\\_1.pdf](http://www.wipo.int/edocs/pctndocs/en/2004/pct_news_2004_1.pdf)

(Updating of *PCT Applicant’s Guide*, Annex C (LV) and of the table “Offices (or Authorities) which have notified WIPO of waiver(s) of the power of attorney requirement under PCT Rule 90.4(b) and/or 90.5(a)(ii)”) )

## **Patent Prosecution Highway Pilot Program**

### **National Institute of Industrial Property (Brazil) and United States Patent and Trademark Office**

A new Patent Prosecution Highway (PPH) pilot program began on 11 January 2016 between the National Institute of Industrial Property (Brazil) (INPI) and the United States Patent and

Trademark Office (USPTO). Under this pilot, accelerated processing in the national phase in the United States of America is available on the basis of a PCT application with a positive written opinion from either the International Searching Authority (ISA) or the International Preliminary Examining Authority (IPEA), or a positive international preliminary report on patentability (IPRP) (Chapter II), issued by INPI in its capacity as ISA/IPEA. Although accelerated processing can also be requested in the national phase in Brazil, the above-mentioned PCT work products cannot form the basis of such requests, and a number of other restrictions apply. For details, see the websites of INPI and USPTO at, respectively:

<http://www.inpi.gov.br/menu-servicos/patente/projeto-piloto-pph>  
(in Portuguese)

<http://www.uspto.gov/patents-getting-started/international-protection/patent-prosecution-highway/patent-prosecution-11>

## World Trade Organization

### Kazakhstan becomes a Member

On 30 November 2015, Kazakhstan (country code: KZ), which is already a Party to the Paris Convention and a member State of the PCT, became a Member of the World Trade Organization (WTO), thus bringing the total number of Members to 162. The table of States party to the PCT and the Paris Convention and Members of the WTO has been updated accordingly at:

[http://www.wipo.int/pct/en/texts/pdf/pct\\_paris\\_wto.pdf](http://www.wipo.int/pct/en/texts/pdf/pct_paris_wto.pdf)

It is recalled that under PCT Rule 4.10(a), it is possible to claim in an international application the priority of one or more earlier applications filed in, or for, any country party to the Paris Convention for the Protection of Industrial Property or in, or for, any Member of the WTO that is not party to that Convention.

## PCT Information Update

### BN Brunei Darussalam (electronic filing)

For information on the acceptance, with effect from 15 January 2016, by the Brunei Intellectual Property Office (BrulPO) as receiving Office of international applications filed using ePCT-Filing, as well as information on the electronic filing fee reductions listed in item 4 of the Schedule of Fees that will be applicable, see “Electronic Filing and Processing of International Applications”, above.

### CR Costa Rica (fees)

The amount of the fee for priority document, payable in fee stamps to the Registry of Industrial Property (Costa Rica), has changed, as follows:

Fee for priority document, for the first five pages:.....	USD	12.25
and, for each additional page: .....	USD	1.10

(Updating of *PCT Applicant's Guide*, Annex C (CR))

**DE Germany (requirements concerning agent)**

There has been a change in the requirements as to who can act as agent before the German Patent Office as receiving Office and designated (or elected) Office, which should now read as follows (the text in italics applies only in respect of the requirements of the receiving Office):

In case an agent is required (because the applicant is a non-resident), any patent attorney or attorney-at-law resident in Germany or a national of a member State of the European Union or of a State party to the Agreement on the European Economic Area authorized to pursue certain professional activities (see “Act on the Qualifying Examination for Admission to Practise as a Patent Attorney and Act on the Activities of European Lawyers in Germany”); any legal person entitled to practice before the Office (*where an applicant wishes to appoint a law firm of representatives, the name of that law firm is to be indicated in Box IV of the Request form*); otherwise, the above-mentioned persons and any natural person resident in Germany

(Updating of *PCT Applicant’s Guide*, Annex C (DE), and National Chapter, Summary (DE))

**FR France (location and mailing address; e-mail address)**

The location and mailing address of the National Institute of Industrial Property (INPI) (France) has changed, and the Office has notified its e-mail address, as follows:

Location and  
mailing address:           15 rue des Minimes, CS50001  
  92677 Courbevoie Cedex  
  France

E-mail:                           contact@inpi.fr

(Updating of *PCT Applicant’s Guide*, Annex B1 (FR))

**ID Indonesia (electronic filing)**

For information on the acceptance, with effect from 15 January 2016, by the Directorate General of Intellectual Property (Indonesia) as receiving Office of international applications filed using ePCT-Filing, as well as information on the electronic filing fee reductions listed in item 4 of the Schedule of Fees that will be applicable, see “Electronic Filing and Processing of International Applications”, above.

**LV Latvia (fees)**

The amounts of the following fees, payable to the Latvian Patent Office as receiving Office, have changed with effect from 1 January 2016:

Transmittal fee:.....	EUR	70.00
Fee for priority document:.....	EUR	10.68

For information on the power of attorney waiver made by the Latvian Patent Office, see “Power of Attorney Waiver”, above.

(Updating of *PCT Applicant’s Guide*, Annex C (LV))

## MZ Mozambique (furnishing of copies of international applications; requirements concerning agent)

The Industrial Property Institute (IPI) (Mozambique) has changed its requirement as to whether a copy of the international application is to be furnished to it as designated (or elected) Office – a copy is required only if the applicant expressly requests an earlier start of the national phase under PCT Article 23(2) at a time when the applicant has not received Form PCT/IB/308 and the Office has not received a copy of the international application from the International Bureau under PCT Article 20.

There has also been a change in the requirements as to who can act as agent before the Office as designated (or elected) Office – any patent attorney or patent agent who is registered to practice before the Office and resident in Mozambique can now act as such.

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (MZ))

## RU Russian Federation (time limit for entry into the national phase)

Further to the information published in *PCT Newsletter* No. 10/2015, page 4, the Federal Service for Intellectual Property (Rospatent) (Russian Federation) has confirmed that the time limit for entry into the national phase is 31 months from the priority date. Where the applicant failed to comply with the time limit, reinstatement, under PCT Rule 49.6, may be requested within 12 months from the expiration of the time limit, provided that the failure to comply occurred in spite of due care and the reinstatement fee is paid.

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (RU))

## TR Turkey (fees)

The amounts of the following national fees, payable to the Turkish Patent Institute as designated (or elected) Office, have changed:

	<i>Online</i>	<i>On paper</i>
For patent and utility model:		
Filing fee: .....	TRY 600	720
Fee for grant of letters:.....	TRY 280	395
First annual fee: .....	TRY 190	270
Fee for reinstatement of rights:.....	TRY 1,000	1,200

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (TR))

## UA Ukraine (location; mailing address)

The location and mailing address of the State Intellectual Property Service of Ukraine (SIPSU) have changed, as follows:

Location:                   SIPSU, 45, V. Lypkivskoho Street  
                                  Kyiv-35  
                                  03680, Ukraine

Mailing address:           Ukrainsky Instytut Promyslovoi Vlanosti  
 Ukrainian Intellectual Property Institute  
 1, Hlazunova Street  
 Kyiv 42  
 01601, Ukraine

(Updating of *PCT Applicant's Guide*, Annex B1 (UA))

**Search fee and other fees related to the international search (Canadian Intellectual Property Office, Federal Service for Intellectual Property (Rospatent) (Russian Federation), Israel Patent Office, National Institute of Industrial Property (Brazil))**

As from 1 February 2016, there will be changes in the equivalent amounts payable in EUR for an international search carried out by the National Institute of Industrial Property (Brazil), as indicated in Fee Table I(b).

As from 1 March 2016, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Canadian Intellectual Property Office .....USD  
 Federal Service for Intellectual Property (Rospatent)  
 (Russian Federation) .....CHF, EUR

The above-mentioned changes are indicated in Fee Table I(b).

As from 1 March 2016, there will also be a change in the amount payable in ILS for an international search carried out by the Israel Patent Office. The new amount, as well as the equivalent amounts in CHF, EUR and USD, are indicated in Fee Table I(b). The amounts of other fees will also change, as follows:

Additional search fee: .....	ILS	3,529
Fee for copies of documents cited in the international search report .....	ILS	43
Late furnishing fee .....	ILS	454

(Updating of *PCT Applicant's Guide*, Annex D (BR, CA, IL and RU))

**Supplementary search fee (Federal Service for Intellectual Property (Rospatent) (Russian Federation))**

As from 1 March 2016, there will be a change in the amounts payable in CHF for a supplementary international search carried out by the Federal Service for Intellectual Property (Rospatent) (Russian Federation). The new amounts are indicated in Fee Table I(c).

(Updating of *PCT Applicant's Guide*, Annex SISA (RU))

**Preliminary examination fee and other fees relating to international preliminary examination (Israel Patent Office)**

As from 1 March 2016, there will be a change in the amount of the following fees, payable in ILS to the Israel Patent Office as International Preliminary Examining Authority:



Preliminary examination fee: .....	ILS	1,512
Additional preliminary examination fee: .....	ILS	1,512
Fee for copies of documents cited in the international preliminary examination report or contained in the file of the international application .....	ILS	43
Late furnishing fee: .....	ILS	454

(Updating of *PCT Applicant's Guide*, Annex E (IL))

## United States Patent and Trademark Office: Not Open for Business from 22 to 24 December 2015

On 22 December 2015, the United States Patent and Trademark Office (USPTO) experienced a major power outage at its headquarters in Alexandria, Virginia, resulting in damaged equipment that required the subsequent shutdown of many USPTO online and information technology systems. In light of this emergency situation, the USPTO has therefore considered each day from 22 to 24 December 2015 as not being open to the public for the purposes of the transaction of official business. Consequently, pursuant to PCT Rule 80.5, if the expiration of any period during which any document or fee in connection with an international application was required to reach that Office fell on any of those days, that period was extended so as to expire on the next succeeding business day which was not a Saturday, Sunday or a Federal holiday, that is, 28 December 2015.

For further information, please see the information published on the USPTO website at:

[http://www.uspto.gov/sites/default/files/documents/shutdown\\_20151223.pdf](http://www.uspto.gov/sites/default/files/documents/shutdown_20151223.pdf)

## Warning About Requests for Payment of Fees

### New invitations

Further to the many warnings that have been published in the *PCT Newsletter* about invitations to PCT applicants and agents to pay fees that do not come from the International Bureau of WIPO (IB) and are unrelated to the processing of international applications under the PCT, new invitations have been identified originating from “**OPT – Organization for Patents and Trademarks**” and “**WIPD – Registration of International Patents Intellectual Property Office**”. To view examples of the invitations, as well as the many other examples which have been brought to the attention of WIPO by PCT users, and for further information on such requests in general, see:

[http://www.wipo.int/pct/en/warning/pct\\_warning.html](http://www.wipo.int/pct/en/warning/pct_warning.html)

PCT applicants and agents should note that it is the IB alone which publishes all PCT applications promptly after the expiration of 18 months from the priority date (see PCT Article 21(2)(a)); there is no separate fee for such international publication, and the legal effects of international publication are set out in PCT Article 29.

If they have not already done so, PCT applicants and agents are advised to bring this information to the attention of the people responsible for handling payments of fees within their



organizations, as well as to the attention of inventors who might also receive such requests. In case of doubt in relation to any such invitation, please do not hesitate to contact the IB at:

Telephone: (+41-22) 338 83 38

Fax: (+41-22) 338 83 39

E-mail: [pct.legal@wipo.int](mailto:pct.legal@wipo.int)

We would also like to encourage PCT applicants, agents or inventors (PCT users) to take action with their governments or consumer protection associations. A suggested text for making complaints and a list of “Government authorities/consumer protection associations receiving complaints” are available at the above-mentioned web page.

## **New/updated PCT Resources**

### **Materials for the European Qualifying Examination**

In order to assist candidates in the preparation of materials for the European Qualifying Examination (EQE) for prospective European patent attorneys, the International Bureau, with the agreement of the Examination Board for the EQE, has made available on the PCT website four PDF files containing the complete contents, as of 31 December 2015, of the “International Phase” and the “National Phase” of the *PCT Applicant’s Guide* in English and French. The files are available at:

<http://www.wipo.int/pct/en/eqe/index.html>

<http://www.wipo.int/pct/fr/eqe/index.html>

### **More resources available in languages other than English**

The following resources are now available in the languages shown:

- PCT Contracting States list in Japanese, Portuguese and Spanish at, respectively:

[http://www.wipo.int/pct/ja/pct\\_contracting\\_states.html](http://www.wipo.int/pct/ja/pct_contracting_states.html)

[http://www.wipo.int/pct/pt/pct\\_contracting\\_states.html](http://www.wipo.int/pct/pt/pct_contracting_states.html)

[http://www.wipo.int/pct/es/pct\\_contracting\\_states.html](http://www.wipo.int/pct/es/pct_contracting_states.html)

- Fees index page in Arabic and Korean, at, respectively:

<http://www.wipo.int/pct/ar/fees/>

<http://www.wipo.int/pct/ko/fees/>

- International applications and national security considerations in French, German, Japanese and Spanish at, respectively:

[http://www.wipo.int/pct/fr/texts/nat\\_sec.html](http://www.wipo.int/pct/fr/texts/nat_sec.html)

[http://www.wipo.int/pct/de/texts/nat\\_sec.html](http://www.wipo.int/pct/de/texts/nat_sec.html)

[http://www.wipo.int/pct/ja/texts/nat\\_sec.html](http://www.wipo.int/pct/ja/texts/nat_sec.html)

[http://www.wipo.int/pct/es/texts/nat\\_sec.html](http://www.wipo.int/pct/es/texts/nat_sec.html)

## **PCT International Search and Preliminary Examination Guidelines (in French)**

The French version of the PCT International Search and Preliminary Examination Guidelines, as modified with effect from 1 October 2015, is now available at:

<http://www.wipo.int/pct/fr/texts/gdlines.html>

## **PATENTSCOPE search system**

### **National collection of Tunisia**

The national patent collection of Tunisia is now available in the PATENTSCOPE search system at:

<http://patentscope.wipo.int/search/en/advancedSearch.jsf>

The collection includes 3,500 bibliographic data records, and brings to 41 the number of national or regional Offices for which data is available in the system.

### **PowerPoint presentations**

Between September and December 2015, the following webinars on the PATENTSCOPE search system were given:

- Result list and analysis tools (September 2015)
- How to search using PATENTSCOPE (October 2015)
- Complex queries in PATENTSCOPE (November 2015)
- Retrospective of 2015 (December 2015)

The PowerPoint slides that were used for those webinars are available at:

<http://www.wipo.int/patentscope/en/webinar/>

Further webinars on the PATENTSCOPE search system will be taking place in the near future. Please refer to the list of PCT webinars at the end of the PCT Seminar Calendar.

## **WIPO Pearl Update: New Features and Enhanced Database**

WIPO Pearl, WIPO's terminology portal, has been upgraded in line with user feedback to incorporate a number of useful new features, and the terminology database has been enhanced, as explained below.

### **New features:**

- A “picture” icon which allows you to search for images of terms that you have found in Linguistic Search;
- a quick-view “Term” list in Linguistic Search, which lets you see at a glance all the terms that are relevant for your searched term, before you explore the details in the main hitlist;

- statistics have been added to the left-hand filters in Linguistic Search, so you can quickly see how many hits you have per language and subject field;
- you can now click a button to expand a concept map accessed from Linguistic Search so as to show all the concepts in that subfield;
- when viewing a concept map from Linguistic Search, searched terms are now shown in red in order to make it easier to find them; and
- improvements have been made to the underlining of terms in contexts, to better handle plurals, capitals, inflected forms, and so on.

For further information on these new features, please see:

[http://www.wipo.int/reference/en/wipopearl/news/2015/news\\_0004.html](http://www.wipo.int/reference/en/wipopearl/news/2015/news_0004.html)

### Enhanced terminology database

The WIPO Pearl terminology database has been updated with 5,000 new terms, 300 new concepts, and 1,000 new concept relations. The database now contains over 110,000 patent terms and 16,300 patent concepts, all entered and validated by WIPO-PCT language experts (translators and terminologists). More than 10,000 concepts are linked to other concepts in the database, and these relationships can be browsed in the Concept Map Search.

### PCT in the News

A link to the following articles in the *WIPO Magazine* (No. 6/2015) has been added to the “PCT in the News” page of the PCT website.

### ŠKODA’s perspective on IP protection

The Intellectual Property Team at ŠKODA AUTO in the Czech Republic discuss the strategic importance of intellectual property (IP) rights to the company and the advantages of using the PCT.

“Given the international reach of the company’s activities – we have manufacturing facilities in China, the Czech Republic, India, Kazakhstan, the Russian Federation, Slovakia and Ukraine, and export to over 100 countries – we take full advantage of WIPO’s Patent Cooperation Treaty (PCT). The PCT offers us a cost-effective means of protecting our inventions around the world, but it also gives us an opportunity to test the market where necessary before deciding whether or not to go forward with a given patent application,” they note.

### India's IP ecosystem 2.0

Chaitanya Prasad, former Controller General of Patents, Designs and Trade Marks, India, outlines recent achievements “in putting into place a robust, accessible and transparent electronic service delivery platform” in response to the increasing number of IP applications it is receiving. He explains that since the Indian Patent Office became an International Searching Authority and International Preliminary Examining Authority (ISA/IPEA) under the PCT in 2013, Indian companies “now have easier access to local high-quality IP services in addition to those already available from other ISAs.” He notes that “with its own patent database and access to others around the globe, the IPO offers top quality patent search reports at extremely competitive prices.”

The full articles and other extracts from the *WIPO Magazine* are available at:

[http://www.wipo.int/pct/en/news/pct\\_news.html](http://www.wipo.int/pct/en/news/pct_news.html)

The *WIPO Magazine*, Issue No. 6/2015 is available at:

[http://www.wipo.int/wipo\\_magazine/en/pdf/2015/wipo\\_pub\\_121\\_2015\\_06.pdf](http://www.wipo.int/wipo_magazine/en/pdf/2015/wipo_pub_121_2015_06.pdf)

## Practical Advice

### Best practice for filing requests for recording changes under PCT Rule 92bis

*Q: I am acting as agent for an applicant who filed an international application three months ago. The applicant recently moved, so I would now like to know what is the best way to ensure that the new address is the one which is included in the bibliographic data of the published PCT application?*

*A:* A change of address should be notified by way of a request for recording of a change under PCT Rule 92bis (hereinafter: 92bis request). Although there is nothing to prevent you from sending your 92bis request to the receiving Office, this is not the best practice, as the receiving Office will then only forward it to the IB for recording. Not only does this add an extra step to the process, but also it may take days, or even weeks, before the receiving Office transmits the 92bis request to the IB, noting that the IB must receive it before the expiration of 30 months from the priority date (PCT Rule 92bis.1(b)). It is therefore always recommended that you submit the request directly to the IB.

In order to ensure that the new address appears in the published international application it must be received by the IB, at the latest, by midnight on the day before completion of technical preparations for publication (which takes place 15 days before the publication date). It is always better to submit it well in advance of this deadline, however, in case there is a problem with the request. The IB will confirm to you (using Form PCT/IB/306) that the change has been made, sending a copy of this information to the receiving Office, the International Searching Authority, any Authorities specified for supplementary search, the International Preliminary Examining Authority and the designated (or elected) Offices concerned.

Note that even if the completion of technical preparations for publication deadline is missed, the 92bis request would still be processed by the IB (provided that it is received by the IB before the expiration of the 30-month time limit). The application would not be republished, but the applicant's address would be updated accordingly in PATENTSCOPE under the "Biblio. Data" tab of the international application concerned, and would thus be available to third parties. Any 92bis request that is received by the IB after the 30-month time limit would not be recorded by the IB, and it would be up to the applicant to notify each designated or (elected) Office separately of the change.

Please see below the different ways in which 92bis requests can be made before the IB, starting with the best practice and ending with the least recommended way.

#### *ePCT Action in ePCT private services*

The best way to make a 92bis request is to use the corresponding "Action" in ePCT private services. This allows you to fill in a simple web form online that is tailored to 92bis requests, and eliminates the need to prepare a letter. In order to do this, you would need to have access to the particular PCT application in ePCT.

In order to submit the online *92bis* request:

- open the international application and go to the “Actions” tab;
- select from the pull-down list the action “Rule *92bis* change request” and click on “OK”;
- select the party in respect of which the change is requested to be recorded and click on “Edit/Replace existing party”;
- complete the web form to edit the address of the applicant;
- click on “Confirm”
- sign the *92bis* request; and then
- click on “Submit *92bis* Change Request” to send your request to the IB for processing.

A document entitled “ePCT Action: Rule *92bis* change request” will then be transmitted to the IB for processing, and you may consult this document in the contents of the international application under “File View”, even though access to the full contents of the international application may now be suspended, due to confidentiality reasons, pending the processing of the Rule *92bis* change request by the IB.

ePCT actions under ePCT private services will be processed more quickly, accurately and efficiently than other methods of submitting *92bis* changes. Besides the obvious time-saving involved in sending this *92bis* request online direct to the IB, one advantage of using the relevant action in ePCT is that by using the web form provided, you are specifying the changes directly, which eliminates any risk of the IB misinterpreting or mistyping the change. Furthermore, as the action is done directly in the file of a particular international application, there is no risk of the information being applied to the wrong application file. For further information on how to use the *92bis* action in ePCT private services, see the “ePCT User Guide for Applicants and Third Parties”, pages 124 to 130, at:

<https://pct.wipo.int/LoginForms/epct.jsp>

#### *ePCT Action in ePCT public services*

There is also an ePCT Action in ePCT public services, however, as you will presumably be submitting your *92bis* request before publication, this will not apply to you as the ePCT Action for *92bis* requests is only available in public services after an international application has been published.

#### *Document upload under ePCT public services*

If an international application has not yet been published, and if you are not in a position to use the relevant action in ePCT private services, for example, if you do not have access to the application, and need to submit a *92bis* request urgently, the next best practice is to submit a letter via ePCT public services. For this, you need to create a WIPO account (a quick and simple procedure), but do not need to authenticate it with a digital certificate in order to access ePCT public services. The letter containing the *92bis* request would need to be converted to PDF format, and then uploaded to the international application concerned.

This way is faster than sending by mail, and is not subject to the problems of faxes where there is a risk that either the document does not arrive at the IB, or not all of the pages arrive at the IB. Furthermore, it is more reliable as the document is inserted directly into the application file, without having to be manipulated manually. Note, however, that for confidentiality reasons, as

with the *92bis* action under ePCT private services, online access will be automatically suspended pending processing at the IB. For further information on document upload, see the step-by-step guide accessible via the “Shortcuts” on the ePCT Portal at:

<https://pct.wipo.int/LoginForms/epct.jsp>

### *Faxing*

Although *92bis* requests may be sent by fax to the IB, and this mode of transmittal is preferable to sending such requests by mail, it is not particularly recommended due to the risks of failed or incomplete submissions, as stated in the paragraph above. There may also be flaws in the fax printout which may result in mis-spellings of information, such as the applicant’s address.

### *Mailing*

Mailing *92bis* requests is not recommended where the request needs to be submitted quickly, and comes with risks of failure to meet the applicable time limit or loss in the post.

Please note that the IB does not accept *92bis* requests sent by e-mail.

For further information on submitting *92bis* requests in general, please see the *PCT Applicant’s Guide*, Introduction to the International Phase, paragraphs 11.018 to 11.022.



<b>PCT Seminar Calendar</b> ( <a href="http://www.wipo.int/pct/en/seminar/seminar.pdf">http://www.wipo.int/pct/en/seminar/seminar.pdf</a> ) (situation on 15 January 2015)			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>28-29 January 2016 Luanda (AO)</b>	Spanish/ Portuguese	PCT Seminar WIPO speakers: Mr. Hernández Vigaud and Mr. Pérez Gomes	Angolan Institute of Industrial Property, Ministry of Industry Tel: (244) 923 756 145 or 222 004 991
<b>11-12 February 2016 Bucharest (RO)</b> <i>(a limited number of places are available to the public)</i>	English	PCT seminar WIPO speakers: Ms. Bonvallet and Mr. Henninger	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Ms. Mihaela Teodorescu) Tel: (40-0) 77 386 7751 Fax: (40-0) 31 436 0665 E-mail: office@mteodorescu.ro
<b>29 February 2016 Munich (DE)</b>	German	PCT seminar – “Best Practices” WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>5 March 2016 Istanbul (TR)</b>	English	PCT training workshop in the framework of the Istanbul International Invention Festival (ISIF) (3–6 March 2016) WIPO speaker: Mr. Sim	International Federation of Inventors' Associations (IFIA) (Mr. Bijan Nasiri Azam) E-mail: bijan.nasiri@ifia.com Internet: <a href="http://www.ifia.com">http://www.ifia.com</a>
<b>12 April 2016 Frankfurt (DE)</b>	German	PCT seminar – “Recent Developments in the PCT System” WIPO speaker: Mr. Reischle-Park Other speaker: Mr. Naumann (formerly European Patent Office)	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>9 May 2016 Istanbul (TR)</b> <i>(a limited number of places are available to the public)</i>	English	PCT seminar in the framework of the second year of basic training WIPO speaker: Mr. Sim	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Ms. Selda Arkan) Tel: (90-212) 293 32 42 Fax: (90-212) 244 51 21 E-mail: selda.arkan@alfapatent.com.tr; alfa@alfapatent.com.tr
<b>11-12 May 2016 Munich (DE)</b>	German	Basic PCT Seminar WIPO speakers: Mr. Reischle-Park and Mr. Henninger	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>9-10 June 2016 Stockholm (SE)</b>	English	PCT seminar WIPO speaker: Mr. Reischle-Park	IP-akademin (Ms. Rut Herbjørnsen) Tel: (46-708) 808 342 E-mail: info@ipakademin.se Internet: www.ipakademin.se
<b>16-17 June 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk

[continued on next page]

<b>PCT Seminar Calendar [continued]</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>15 September 2016 Lisbon (PT)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. João Amaral) Tel: (351) 218 818 100 Fax: (351) 218 878 508 E-mail: jamaral@inpi.pt Internet: www.inpi.pt
<b>6 October 2016 Barcelona (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Antonio López-Carrasco Comajuncosas) Tel : (34-93) 123 31 01 E-mail: antonio.lopez-carrascocomajuncosas@telefonica.com
<b>12-13 October 2016 Munich (DE)</b>	German	Advanced PCT Seminar WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>28 October 2016 London (GB)</b>	English	PCT seminar within the framework of the 15 <sup>th</sup> Annual Conference for Senior Patent Administrators (27-28 October 2016) WIPO speaker: to be announced	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>2-3 November 2016 Munich (DE)</b>	German	PCT update/conference WIPO speaker: to be announced	Forum Institut für Management GmbH (see Munich, above)
<b>18-19 November 2016 Cracow (PL)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl
<b>24-25 November 2016 Dresden (DE)</b>	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>1-2 December 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>6-7 December 2016 Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>8 December 2016 Paris (FR)</b>	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (see Paris, above)

<b>PCT Webinars</b>			
<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>19 January 2016</b> 17:30–18:30 CET or <b>21 January 2016</b> 08:30–09:30 CET	English	PATENTSCOPE webinar: Overview of the PATENTSCOPE search system WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/7327819859415552513">https://attendee.gotowebinar.com/rt/7327819859415552513</a>
<b>11 February 2016</b> 15:00–16:00 CET (9:00–10:00 New York time)	English	PCT Overview WIPO speaker: Mr. Sim	<a href="https://attendee.gotowebinar.com/register/920038716703731969">https://attendee.gotowebinar.com/register/920038716703731969</a>
<b>16 February 2016</b> 17:30–18:30 CET or <b>18 February 2016</b> 08:30–09:30 CET	English	PATENTSCOPE webinar: Presentation of the tool CLIR WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/6741615235066804993">https://attendee.gotowebinar.com/rt/6741615235066804993</a>
<b>25 February 2016</b> 15:00–16:00 CET (9:00–10:00 New York time)	English	General Introduction to ePCT WIPO speaker: Mr. Sim	<a href="https://attendee.gotowebinar.com/register/8879517739383573505">https://attendee.gotowebinar.com/register/8879517739383573505</a>
<b>10 March 2016</b> 15:00–16:00 CET (9:00–10:00 New York time)	English	ePCT-Filing WIPO speaker: Mr. Sim	<a href="https://attendee.gotowebinar.com/register/1731830141723463681">https://attendee.gotowebinar.com/register/1731830141723463681</a>
<b>15 March 2016</b> 17:30–18:30 CET or <b>17 March 2016</b> 08:30–09:30 CET	English	PATENTSCOPE webinar: How to build complex queries in PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/8798711330682238977">https://attendee.gotowebinar.com/rt/8798711330682238977</a>
<b>17 March 2016</b> 15:00–16:00 CET (9:00–10:00 New York time)	English	ePCT Actions WIPO speaker: Mr. Sim	<a href="https://attendee.gotowebinar.com/register/1731830141723463681">https://attendee.gotowebinar.com/register/1731830141723463681</a>

<b>PCT Events Without WIPO speakers<sup>1</sup></b>			
<b>Dates and location</b>	<b>Language of event</b>	<b>Nature of seminar; Speakers</b>	<b>Organizer and contact numbers</b>
<b>10 March 2016</b> <b>Augsburg (DE)</b>	German	PCT seminar: "PCT Procedure" Speaker: Ms. Huppertz	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: <a href="mailto:info@ipforip.de">info@ipforip.de</a> Internet: <a href="http://www.ipforip.de">www.ipforip.de</a>

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.

## PCT Fee Tables

(amounts on 1 January 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 15. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of –	BRL	Brazilian real	HUF	Hungarian forint	MXN	Mexican peso	TND	Tunisian dinar
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TTD	Trinidad and Tobago dollar
ISA	International Searching Authority	CAD	Canadian dollar	ILS	New Israeli sheqel	NOK	Norwegian krone	UAH	Ukrainian hryvnia
RO	receiving Office	CHF	Swiss franc	INR	Indian rupee	NZD	New Zealand dollar	USD	US dollar
		CLP	Chilean peso	IRR	Iranian rial	PEN	Nuevo sol	VND	Vietnamese dong
		CNY	Yuan renminbi	ISK	Icelandic krona	PGK	Kina	XAF	CFA franc BEAC
		COP	Colombian peso	JPY	Japanese yen	PHP	Philippine peso	ZAR	South African rand
Currencies:		CUC	Cuban convertible peso	KES	Kenyan shilling	PLN	Polish zloty	ZWD	Zimbabwe dollar
		CZK	Czech koruna	KGS	Kyrgyz som	QAR	Qatari riyal		
ALL	Albanian lek	DKK	Danish krone	KPW	Won	RON	New leu		
AMD	Armenian dram	DZD	Algerian dinar	KRW	Won	RSD	Serbian dinar		
AUD	Australian dollar	EGP	Egyptian pound	KZT	Kazakh tenge	RUB	Russian rouble		
AZN	Azerbaijani manat	EUR	Euro	LSL	Lesotho loti	SDG	Sudanese pound		
BAM	Convertible mark	GBP	Pound sterling	LYD	Libyan dinar	SEK	Swedish krona		
BGN	Bulgarian lev	GHS	Ghanaian cedi	MAD	Moroccan dirham	SGD	Singapore dollar		
BHD	Bahraini dinar	GTQ	Quetzal	MKD	Macedonian denar	THB	Baht		
BND	Brunei dollar	HRK	Croatian kuna	MWK	Malawian kwacha	TJS	Tajik somoni		

**Table I(a) — Transmittal and international filing fees**  
(amounts on 1 January 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1, 2</sup> (CHF 1,330)	Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
					Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available							CA
AL	ALL	9,000	CHF 1,330	15	–	–	–	EP
AM	AMD	32,000	USD 1,363	15	–	–	–	EP RU
AP	USD	50 (or eq in local currency)	USD 1,363	15	–	–	–	AT EP SE
AT	EUR	52	EUR 1,219	14	–	183	275	EP
AU	AUD	200	AUD 1,922	22	–	289	434	AU KR
AZ	AZN	30 <sup>8</sup>	USD 1,363	15	–	205	307	EP RU
BA	BAM	50	EUR 1,219	14	–	–	–	EP
BE	EUR	120	EUR 1,219	14	–	–	–	EP
BG	BGN	80	BGN eq CHF 1,330	eq CHF 15	–	–	–	EP RU
BH	BHD	70	USD 1,363	15	–	–	–	AT EP US
BN	BND	150	BND eq CHF 1,330	eq CHF 15	–	(from 15.1.16) eq CHF 200	(from 15.1.16) eq CHF 300	AU JP EP
BR	BRL <sup>9</sup>	online: 175 on paper: 260	BRL eq CHF 1,330	eq CHF 15	–	eq CHF 200	–	AT BR EP SE US
BW	USD	32	USD 1,363	15	–	–	–	EP
BY	USD	50	USD 1,363	15	–	–	–	EP RU
BZ	BZD	300	USD 1,363	15	–	–	–	CA EP
CA	CAD	300	CAD 1,782	20	–	268	402	CA
CH	CHF	100	CHF 1,330	15	–	–	–	EP
CL	CLP eq USD <sup>10</sup>	130	CLP eq USD <sup>10</sup> 1,363	eq USD <sup>10</sup> 15	–	eq USD 205	eq USD 307	CL EP ES KR US
CN	CNY	500	CNY eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 January 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
CO	COP 865,000 <sup>11</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR CL EP ES RU
CR	USD 250	USD 1,363	15	–	–	–	CL EP ES
CU	CUC 200	CUC 1,363	15	–	–	–	AT BR CL EP ES RU
CY	EUR 191	EUR 1,219	14	–	–	–	EP
CZ	CZK 1,500	EUR 1,219	14	–	183	275	EP
DE	EUR 90	EUR 1,219	14	–	183	275	EP
DK	DKK 1,500	DKK 9,090	100	–	1,370	2,050	EP SE XN
DM	Information not yet available						
DO	USD 275	USD 1,363	15	–	–	–	CL EP ES US
DZ	DZD None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	AT EP
EA	RUB 1,600	USD 1,363	15	–	205	307	EP RU
EC	USD <sup>12</sup> –	USD 1,363	15	–	–	–	CL EP ES
EE	EUR 120	EUR 1,219	14	–	183	275	EP
EG	USD 142	USD 1,363	15	–	–	–	AT EG EP US
EP	EUR 130	EUR 1,219	14	92	183	275	EP
ES	EUR 74.99	EUR 1,219	14	–	183	275	EP ES
FI	EUR 135	EUR 1,219	14	–	183	275	EP FI SE
FR	EUR 62	EUR 1,219	14	–	183	275	EP
GB	GBP 75	GBP 899	10	–	135	203	EP
GD	Information not yet available						
GE	USD <sup>13</sup> 100	USD 1,363	15	–	–	–	AT EP IL RU US
GH	GHS <sup>14</sup> 2,500 or 5,000	USD 1,363	15	–	–	–	AT AU CN EP SE
GR	EUR 115	EUR 1,219	14	–	–	–	EP
GT	GTQ eq USD 250	USD 1,363	15	–	–	–	AT BR CL EP ES US
HN	USD 200	USD 1,363	15	–	–	–	EP ES
HR	HRK 200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
HU	HUF 11,800	HUF 380,800	HUF 4,300	–	57,300	85,900	EP RU
IB	CHF <sup>15</sup> 100	CHF 1,330	15	–	200	300	See footnote 16
	or EUR <sup>15</sup> 92	or EUR 1,219	14	–	183	275	
	or USD <sup>15</sup> 102	or USD 1,363	15	–	205	307	
ID	IDR 1,000,000	IDR eq CHF 1,330	eq CHF 15	–	(from 15.1.16 eq CHF 200)	(from 15.1.16 eq CHF 300)	AU EP JP KR RU
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363	15	–	205	307	EP IL US
IN	INR 17,600	USD 1,363	15	–	205	307	AT AU CN EP IN SE US
	(filing by natural person: 3,250; filing by small entity: 8,800)						
IR	IRR <sup>12</sup> –	IRR eq CHF 1,330	eq CHF 15	–	–	–	CN EP IN RU
IS	ISK 15,000	ISK 172,800	1,900	–	26,000	39,000	EP SE XN
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>17</sup>	JPY 164,200	1,900	–	–	37,000	EP JP
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363	15	–	–	–	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363	15	–	–	–	EP RU
KN	Information not yet available						
KN	EP						
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>18</sup> KR
KZ	KZT 10,264.80	USD 1,363	15	–	–	–	EP RU
LR	USD 45	USD 1,363	15	–	–	–	AT AU CN EP SE
LS	LSL <sup>12</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	EUR 92	EUR 1,219	14	–	183	275	EP RU

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 January 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
LU	EUR 19	EUR 1,219	14	–	–	–	EP
LV	EUR 70	EUR 1,219	14	–	183	275	EP RU
LY	LYD <sup>12</sup> –	CHF 1,330	15	–	–	–	AT EP
MA	MAD 600 <sup>19</sup>	CHF 1,330	15	–	–	–	AT EP RU SE
MC	EUR 54 <sup>20</sup>	EUR 1,219	14	–	–	–	EP
MD	EUR 100	USD 1,363	15	–	–	–	EP RU
MK	MKD 2,700	MKD eq CHF 1,330	eq CHF 15	–	–	–	EP
MN	None	CHF 1,330	15	–	–	–	EP KR RU
MT	EUR 55	EUR 1,219	14	–	–	–	EP
MW	MWK 6,000	USD 1,363	15	–	–	–	EP
MX	USD 323.70 <sup>21</sup>	USD 1,363	15	–	205	307	CL EP ES SE SG US
MY	MYR 500 (e-filing) <sup>22</sup> 550 (paper filing) <sup>22</sup>	MYR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD 200	USD 1,363	15	–	–	–	EP ES
NL	EUR 50	EUR 1,219	14	–	183	275	EP
NO	NOK 800	NOK 11,460	130	–	1,720	2,590	EP SE XN
NZ	NZD 207	NZD 2,094	24	–	315	472	AU EP KR US
OA	XAF <sup>12</sup> –	XAF eq CHF 1,330	eq CHF 15	–	–	–	AT EP RU SE
PA	USD 200	USD 1,363	15	–	–	–	BR CL EP ES US
PE	PEN 233.35	PEN eq USD 1,363	eq USD 15	–	–	–	AT BR CL EP ES KR US
PG	PGK 250	USD 1,363	15	–	–	–	AU
PH	PHP 3,500	USD 1,363	15	–	205	307	AU EP JP KR US
PL	PLN 300	PLN eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
PT	EUR 20.82	EUR 1,219	14	–	183	275	EP
QA	QAR 400	QAR eq USD 1,363	eq USD 15	–	eq USD 205	eq USD 307	EG EP US
RO	RON 360	EUR 1,219	14	–	183	275	EP RU
RS	RSD 7,300 <sup>23</sup>	RSD eq CHF 1,330	eq CHF 15	–	–	–	EP
RU	RUB 850	USD 1,363	15	–	205	307	EP RU
RW	Information not yet available						
SA	USD 100	USD 1,363	15	–	205	307	CA EG EP KR RU
SC	USD <sup>12</sup> –	USD 1,363	15	–	–	–	EP
SD	SDG 50	SDG eq CHF 1,330	eq CHF 15	–	–	–	EG EP
SE	SEK 1,200	SEK 11,380	130	–	1,710	2,570	EP SE XN
SG	SGD 150	SGD 1,934	22	–	291	436	AT AU EP JP KR SG
SI	EUR 91	EUR 1,219	14	–	–	–	EP
SK	EUR 66 <sup>24</sup>	EUR 1,219	14	–	183	275	EP
SM	EUR 70	EUR 1,219	14	–	–	–	EP
SV	USD 200	USD 1,363	15	–	–	–	CL EP ES
SY	USD <sup>12</sup> –	USD 1,363	15	–	–	–	AT EP RU
TH	THB 3,000	THB eq CHF 1,330	eq CHF 15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>12</sup> –	USD 1,363	15	–	–	–	EP RU
TM	USD <sup>12</sup> –	USD 1,363	15	–	–	–	EP RU
TN	TND <sup>12</sup> –	CHF 1,330	15	–	–	–	EP
TR	None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	EP
TT	TTD 750	USD 1,363	15	–	–	–	AT EP SE US
UA	UAH or eq EUR or USD <sup>25</sup> 1,300	USD (or eq UAH or EUR) 1,363	15	–	–	–	EP RU (from 5.2.16: UA)
US	USD 240 <sup>26</sup> small entity <sup>27</sup> : 120 <sup>26</sup> micro entity <sup>27</sup> : 60 <sup>26</sup>	USD 1,363	15	102	205	–	AU EP IL JP KR RU US
UZ	USD <sup>12</sup> –	USD 1,363	15	–	–	–	EP RU
VN	VND eq USD 150	VND eq CHF 1,330	eq CHF 15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR 500	ZAR 18,540	210	–	2,790	4,180	AT AU EP US
ZM	USD 50	USD 1,363	15	–	–	–	AT SE
ZW	ZWD 6,000	ZWD eq USD 1,363	eq USD 15	–	–	–	AT AU CN EP RU



**Table I(b) — Search fees**  
(amounts on 1 January 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>											
AT <sup>28</sup>	EUR	1,864	CHF	2,034	KRW	2,419,000	SGD	2,960	USD	2,084	ZAR	28,360
AU	AUD	2,200	CHF	1,522	EUR	1,395	KRW	1,810,000	NZD	2,397	SGD	2,210
	USD	1,560	ZAR	21,220								
BR <sup>29</sup>	Online:		BRL	1,685	CHF	422	EUR*	386	USD	432		
	* (from 1.2.16:		EUR	412)								
	On paper:		BRL	2,525	CHF	632	EUR*	579	USD	647		
	* (from 1.2.16:		EUR	617)								
CA	CAD	1,600	CHF	1,194	EUR	1,094	USD*	1,223				
	* (from 1.3.16:		USD	1,147)								
CL	USD	2,000	CHF	1,952	EUR	1,789						
	In case of filing by an natural person or a legal entity <sup>2</sup> :		USD	400	CHF	390	EUR	358				
	In case of filing by a university <sup>30</sup> :		USD	300	CHF	293	EUR	268				
CN	CNY	2,100	CHF	323	EUR	296	USD	330				
EG <sup>31</sup>	EGP	4,000	CHF	499	EUR	457	USD	511				
EP <sup>32</sup>	EUR	1,875	CHF	2,046	DKK	13,990	GBP	1,384	HUF	585,800	ISK	266,000
	JPY	252,600	NOK	17,640	NZD	3,222	SEK	17,500	SGD	2,980		
	USD	2,097	ZAR	28,530								
ES <sup>32,33</sup>	EUR	1,875	CHF	2,046	USD	2,097						
FI	EUR	1,875	CHF	2,046	USD	2,097						
IL	ILS*	3,554	CHF*	895	EUR*	820	USD*	917				
	* (from 1.3.16:		ILS	3,529	CHF	905	EUR	837	USD	912)		
IN	INR	10,000	CHF	150	EUR	137	USD	154				
	In case of filing by an individual:		INR	2,500	CHF	37	EUR	34	USD	38		
JP <sup>17</sup>	JPY	70,000	CHF	567	EUR	520	KRW	674,000	SGD	825	USD	581
KR	For international applications in Korean:											
	KRW	450,000	AUD	547	CHF	379	EUR	347	NZD	596	SGD	550
	USD	388										
	For international applications in English:											
KRW	1,300,000	AUD	1,580	CHF	1,093	EUR	1,002	NZD	1,722	SGD	1,590	
USD	1,120											
RU	For international applications in Russian:											
	RUB	6,750	CHF*	102	EUR*	93	HUF	29,100	USD	104		
	* (from 1.3.16:		CHF	92	EUR	85)						
	For international applications in English:											
RUB	28,000	CHF*	422	EUR*	387	HUF	120,900	USD	433			
* (from 1.3.16:		CHF	383	EUR	353)							
SE	SEK	17,500	CHF	2,046	DKK	13,990	EUR	1,875	ISK	266,000	NOK	17,640
	USD	2,097										
SG	SGD	2,240	CHF	1,540	EUR	1,411	USD	1,578				
US	USD	2,080	CHF	2,030	EUR	1,860	NZD	3,200	ZAR	28,300		
	For small entity <sup>27</sup> :		USD	1,040	CHF	1,015	EUR	930	NZD	1,600	ZAR	14,150
	For micro entity <sup>27</sup> :		USD	520	CHF	508	EUR	465	NZD	800	ZAR	7,080
XN	DKK	13,990	CHF	2,046	EUR	1,875	ISK	266,000	NOK	17,640	SEK	17,500
	USD	2,097										

**Table I(c) — Supplementary search fees**  
(amounts on 1 January 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 34</sup>
AT	– for a search of the German-language documentation: CHF 928	CHF 200
	– for a search of the European and North American documentation: CHF 1,299	
	– for a search of the PCT minimum documentation: CHF 1,855	
EP	CHF 2,046	CHF 200
FI	CHF 2,046	CHF 200
RU	CHF 178 (285) <sup>35</sup> (from 1.3.16: CHF 162 (259)) <sup>35</sup>	CHF 200
SE	CHF 2,046	CHF 200
SG	CHF 1,540	CHF 200
XN	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 590	

**Table II — Preliminary examination fees**  
(amounts on 1 January 2016, unless otherwise indicated)

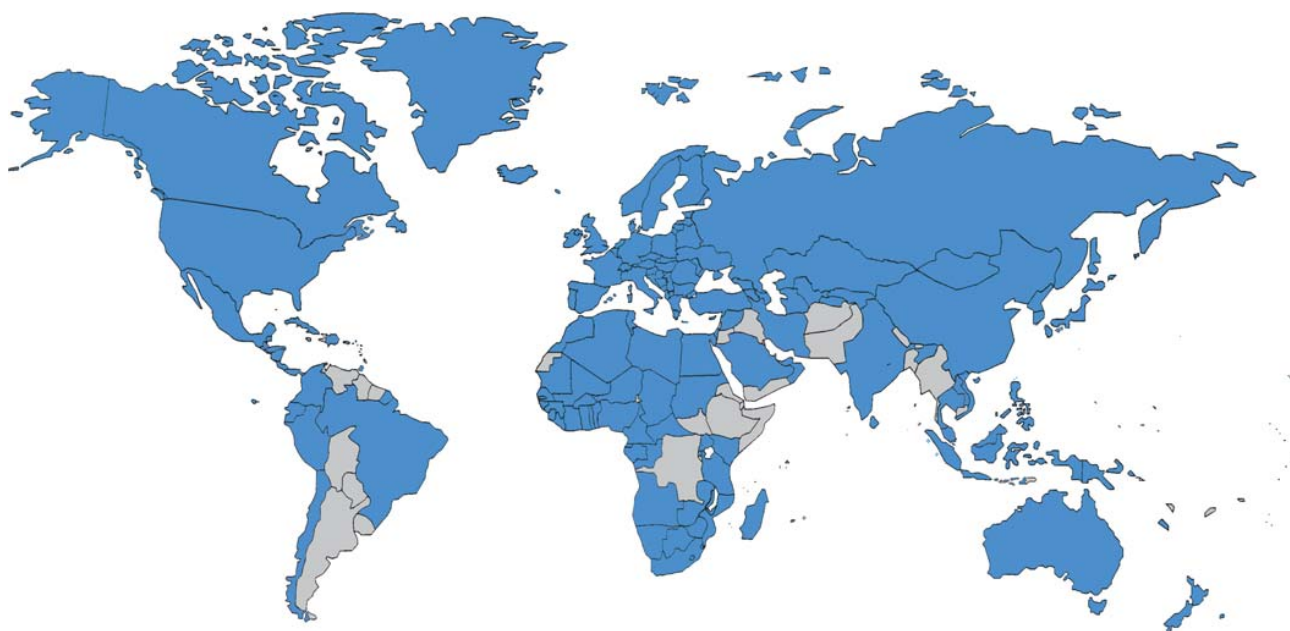
IPEA	Preliminary examination fee <sup>36</sup>	Handling fee <sup>2, 36</sup> (CHF 200)
AT <sup>28</sup>	EUR 1,749	EUR 183
AU	AUD 590 820 <sup>37</sup>	AUD 289
BR <sup>29</sup>	Online: BRL 630	BRL eq CHF 200
	On paper: BRL 945	
CA	CAD 800	CAD 268
CL	USD 1,500	USD 205
	in case of filing by a natural person or a legal entity <sup>2</sup> : USD 400	
	in case of filing by a university <sup>30</sup> : USD 300	
CN	CNY 1,500	CNY eq CHF 200
EG <sup>31</sup>	EGP 3,000	USD 205
EP <sup>32</sup>	EUR 1,930	EUR 183
ES	EUR 589.49 <sup>33</sup>	EUR 183
FI	EUR 600	EUR 183
IL	ILS 1,523 (from 1.3.16: 1,512)	ILS 794
IN	INR 12,000; in case of filing by an individual: INR 3,000	USD 205
JP	JPY 26,000 <sup>17</sup>	JPY 24,700
KR	KRW 450,000	KRW 238,000
RU	For international applications in Russian: RUB 2,700 <sup>38</sup> 4,050 <sup>39</sup>	USD 205
	For international applications in English: RUB 10,500 <sup>38</sup> 15,750 <sup>39</sup>	
SE	SEK 5,000	SEK 1,710
SG	SGD 830	SGD 291
US	USD 600 760 <sup>40</sup>	USD 205
	For small entity <sup>27</sup> : USD 300 380 <sup>40</sup>	
	For micro entity <sup>27</sup> : USD 150 190 <sup>40</sup>	
XN	DKK 5,000	DKK 1,370

[See over page for footnotes to fee tables]

**Footnotes to fee tables:**

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is subject to value added tax (VAT) of 18%.
- 9 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 10 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 11 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 12 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 13 This fee is reduced by 70% where the applicant is a natural person.
- 14 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 15 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 16 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 17 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 18 The Japan Patent Office is competent only for international applications in Japanese.
- 19 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 20 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 21 This fee is subject to a national tax of 16%.
- 22 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 23 This fee is reduced by 50% where the applicant is a natural person.
- 24 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 25 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 26 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 27 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 28 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 29 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 30 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 31 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 32 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 33 Public universities in Spain are exempt from paying this fee.
- 34 Payable to the International Bureau in Swiss francs.
- 35 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 36 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 37 Payable when the international search report was not issued by the Australian Patent Office.
- 38 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 39 In all cases where footnote 38 does not apply.
- 40 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

## PCT Contracting States and Two-letter Codes (148 on 1 January 2016)



AE United Arab Emirates	CR Costa Rica	IN India	ML Mali (OA) <sup>2</sup>	SI Slovenia (EP) <sup>2</sup>
AG Antigua and Barbuda	CY Cyprus (EP) <sup>2</sup>	IR Iran (Islamic Republic of)	MN Mongolia	SK Slovakia (EP)
AL Albania (EP)	CZ Czech Republic (EP)	IS Iceland (EP)	MR Mauritania (OA) <sup>2</sup>	SL Sierra Leone (AP)
AM Armenia (EA)	DE Germany (EP)	IT Italy (EP) <sup>2</sup>	MT Malta (EP) <sup>2</sup>	SM San Marino (EP)
AO Angola	DK Denmark (EP)	JP Japan	MW Malawi (AP)	SN Senegal (OA) <sup>2</sup>
AT Austria (EP)	DM Dominica	KE Kenya (AP)	MX Mexico	ST Sao Tome and Principe (AP) <sup>5</sup>
AU Australia	DO Dominican Republic	KG Kyrgyzstan (EA)	MY Malaysia	SV El Salvador
AZ Azerbaijan (EA)	DZ Algeria	KM Comoros (OA) <sup>2</sup>	MZ Mozambique (AP)	SY Syrian Arab Republic
BA Bosnia and Herzegovina <sup>1</sup>	EC Ecuador	KN Saint Kitts and Nevis	NA Namibia (AP)	SZ Swaziland (AP) <sup>2</sup>
BB Barbados	EE Estonia (EP)	KP Democratic People's Republic of Korea	NE Niger (OA) <sup>2</sup>	TD Chad (OA) <sup>2</sup>
BE Belgium (EP) <sup>2</sup>	EG Egypt	KR Republic of Korea	NG Nigeria	TG Togo (OA) <sup>2</sup>
BF Burkina Faso (OA) <sup>2</sup>	ES Spain (EP)	KZ Kazakhstan (EA)	NI Nicaragua	TH Thailand
BG Bulgaria (EP)	FI Finland (EP)	LA Lao People's Democratic Republic	NL Netherlands (EP) <sup>2</sup>	TJ Tajikistan (EA)
BH Bahrain	FR France (EP) <sup>2</sup>	LC Saint Lucia	NO Norway (EP)	TM Turkmenistan (EA)
BJ Benin (OA) <sup>2</sup>	GA Gabon (OA) <sup>2</sup>	LI Liechtenstein (EP)	NZ New Zealand	TN Tunisia
BN Brunei Darussalam	GB United Kingdom (EP)	LK Sri Lanka	OM Oman	TR Turkey (EP)
BR Brazil	GD Grenada	LR Liberia (AP)	PA Panama	TT Trinidad and Tobago
BW Botswana (AP)	GE Georgia	LS Lesotho (AP)	PE Peru	TZ United Republic of Tanzania (AP)
BY Belarus (EA)	GH Ghana (AP)	LT Lithuania (EP) <sup>2</sup>	PG Papua New Guinea	UA Ukraine
BZ Belize	GM Gambia (AP)	LU Luxembourg (EP)	PH Philippines	UG Uganda (AP)
CA Canada	GN Guinea (OA) <sup>2</sup>	LV Latvia (EP) <sup>2</sup>	PL Poland (EP)	US United States of America
CF Central African Republic (OA) <sup>2</sup>	GQ Equatorial Guinea (OA) <sup>2</sup>	LY Libya	PT Portugal (EP)	UZ Uzbekistan
CH Switzerland (EP)	GR Greece (EP) <sup>2</sup>	MA Morocco <sup>3</sup>	QA Qatar	VC Saint Vincent and the Grenadines
CI Côte d'Ivoire (OA) <sup>2</sup>	GT Guatemala	MC Monaco (EP) <sup>2</sup>	RO Romania (EP)	VN Viet Nam
CL Chile	GW Guinea-Bissau (OA) <sup>2</sup>	MD Republic of Moldova <sup>4</sup>	RS Serbia (EP)	ZA South Africa
CM Cameroon (OA) <sup>2</sup>	HN Honduras	ME Montenegro <sup>1</sup>	RU Russian Federation (EA)	ZM Zambia (AP)
CN China	HR Croatia (EP)	MG Madagascar	RW Rwanda (AP)	ZW Zimbabwe (AP)
CO Colombia	HU Hungary (EP)	MK The former Yugoslav Republic of Macedonia (EP)	SA Saudi Arabia	
	ID Indonesia		SC Seychelles	
	IE Ireland (EP) <sup>2</sup>		SD Sudan (AP)	
	IL Israel		SE Sweden (EP)	
			SG Singapore	

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

<sup>3</sup> Validation of European patent possible for international applications filed on or after 1 March 2015.

<sup>4</sup> Validation of European patent possible for international applications filed on or after 1 November 2015.

<sup>5</sup> Only international applications filed on or after 19 August 2014 include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:** This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.

# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

February 2016 | No. 02/2016

## Meeting of International Authorities

The 23rd session of the Meeting of International Authorities under the PCT was held in Santiago, Chile, from 20 to 22 January 2016. The Summary by the Chair and working documents can be found on the WIPO website at:

[http://www.wipo.int/meetings/en/details.jsp?meeting\\_id=38445](http://www.wipo.int/meetings/en/details.jsp?meeting_id=38445)

The Meeting considered two documents on PCT minimum documentation. It welcomed the reactivation of the PCT minimum documentation task force to further discuss the wider documentation of national patent collections and invited the task force to consider a proposal by the Canadian Intellectual Property Office for International Authorities to provide a list of all databases that they had access to for searching patent applications (document PCT/MIA/23/5). The Meeting also invited the task force to recommence its discussions on the addition of databases, including traditional knowledge databases, to the PCT minimum documentation. In this regard, the Meeting invited the Indian Patent Office to submit a detailed document to the task force setting out its proposal with regard to the addition of the Indian Traditional Knowledge Digital Library to the PCT minimum documentation, including revisions to the proposed terms and conditions governing access to the Digital Library by Authorities (document PCT/MIA/23/10).

The Japan Patent Office (JPO) reported on ongoing discussions between Offices about possible further steps to strengthen the links between the international and national phases of the PCT procedure (document PCT/MIA/23/8). The Meeting invited the JPO to work together with the International Bureau (IB) to consider the comments received from Offices with a view to consolidating the measures and taking forward a selection which had received broad support from Authorities.

The European Patent Office (EPO) provided an update on the “PCT Direct” service in operation since 1 November 2014, under which an applicant, when filing an international application claiming priority of an earlier application which had already been searched by the EPO, has the opportunity to furnish to that Office in its capacity as an International Searching Authority (ISA) informal comments on any objections raised in the search opinion drawn up on the earlier application (document PCT/MIA/23/13).

Authorities expressed satisfaction with the various electronic services offered by the IB for use by applicants, receiving Offices, International Authorities and third parties (document PCT/MIA/23/6). In particular, those Authorities which participated in the eSearchCopy project (to receive search copies electronically from the IB) were very happy with the results of the project and hoped that more receiving Offices would participate in the near future. Those Authorities which offered ePCT-Filing in their capacity as a receiving Office expressed great satisfaction with this component of ePCT, with ePCT-Filing often making up the



majority of filings at these Offices. In terms of further work, several Authorities expressed an interest in the possibility of adding the following to ePCT:

- centralized fee payment mechanisms;
- the availability of international search reports and written opinions in XML format;
- the inclusion of subsequently-filed documents; and
- enabling access by designated Offices, prior to international publication, to documents of an international application that had entered the national phase early at those Offices.

Authorities that had joined the WIPO CASE platform as accessing and/or providing Offices expressed strong support for the platform and encouraged other Authorities to join. They noted its potential as the global platform to provide access to search and examination information regarding national and international applications, and thereby facilitate work sharing between Offices. One Authority suggested that WIPO CASE could be used for the secure transfer of documents relating to WIPO's ICE (International Cooperation on Examination) service (document PCT/MIA/23/2).

Other matters discussed included:

- possible changes to the supplementary international search system (document PCT/MIA/23/4);
- the reappointment of International Authorities in 2017 (document PCT/MIA/23/9);
- a new sequence listing standard (document PCT/MIA/23/7);
- revision of WIPO Standard ST.14 (document PCT/MIA/23/11);
- a third pilot on collaborative search and examination to take place over the next three years (document PCT/MIA/23/12); and
- clarification of the requirements in relation to the transmittal by the receiving Office of earlier search and/or classification results to the ISA (document PCT/MIA/23/3).

The Meeting noted a Summary by the Chair of the Meeting's Quality Subgroup (Annex II to document PCT/MIA/23/14) and approved the recommendations for further work, including:

- further development of two proposals to strengthen the appointment procedure for new International Authorities, namely, the strengthening of requirements with regard to Quality Management Systems under Chapter 21 of the International Search and Preliminary Examination Guidelines, and the introduction of a standard application form for appointment of an Office as an ISA/International Preliminary Examining Authority;
- further development of a PCT metrics framework that could provide useful information for Authorities and users, taking into account accessibility, presentation, scope of metrics and the target audience;
- continued sharing of experiences on methods of collecting user feedback and metrics-based quality assurance;
- the sharing of experiences on further topics of the Quality Management Systems of Authorities for discussion at next year's Quality Subgroup meeting;



- a final call for interested Authorities to exchange information on quality policies, guidelines, quality sampling practices and rates, and checklists in quality assurance processes;
- the continued exchange of information and experiences by those Authorities implementing the standardized clauses for Boxes V and VIII of international search and preliminary examination reports;
- the continuation and further development of a pilot study between two Authorities under which feedback on international search reports and written opinions is provided by one Authority in its capacity as a designated Office to the other Authority in its capacity as an International Authority; and
- further discussion to improve the explanations and examples for complex cases of unity of invention and their categorization in the PCT International Search and Preliminary Examination Guidelines.

## **Electronic Filing and Processing of International Applications**

### **Portugal: National Institute of Industrial Property (Portugal) accepts ePCT-Filings**

The National Institute of Industrial Property (Portugal) as receiving Office (RO/PT) has notified the International Bureau (IB) that, with effect from 1 February 2016, it accepts international applications filed using the ePCT-Filing function in the ePCT Portal, in addition to PCT-SAFE and EPO online filing. As from the same date, the Office will no longer accept international applications in electronic form filed on physical media.

A revised notification containing the requirements and practices of RO/PT with regard to the filing of international applications in electronic form was published in the *Official Notices (PCT Gazette)* on 28 January 2016 at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

This information updates the *PCT Applicant's Guide*, Annex C (PT).

### **Cuba: Cuban Industrial Property Office begins receiving and processing international applications in electronic form**

The Cuban Industrial Property Office as receiving Office (RO/CU) has notified the IB that, with effect from 1 February 2016, it accepts international applications filed electronically using the ePCT-Filing function in the ePCT Portal.

A new notification containing the requirements and practices of RO/CU with regard to the filing of international applications in electronic form was published in the *Official Notices (PCT Gazette)* on 28 January 2016 at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

This information updates the *PCT Applicant's Guide*, Annex C (CU).

The applicable electronic filing fee reductions listed in item 4 of the Schedule of Fees are, for both Offices, indicated in Fee Table I(a). The acceptance of ePCT-Filings by RO/CU and RO/PT brings to 36 the total number of receiving Offices which do so<sup>1</sup>.

### Denmark: Danish Patent and Trademark Office no longer accepts PCT-SAFE filings

Further to the information published in *PCT Newsletter* No. 09/2015 concerning the acceptance by the Danish Patent and Trademark Office, in its capacity as receiving Office, of international applications filed using the ePCT-Filing function in the ePCT Portal with effect from 1 September 2015, the Office has notified the IB that, with effect from 1 December 2015, it no longer accepts the filing of international applications using PCT-SAFE.

## PCT Information Update

### AU Australia (special requirements for entry into the national phase)

Two of the special requirements under PCT Rule 51*bis* of the Australian Patent Office as designated (or elected) Office have changed. The requirements relate to the furnishing of a declaration as to the applicant's entitlement to apply for and be granted a patent and a declaration as to the applicant's entitlement to claim priority of the earlier application. The applicant is now required to furnish the following:

- Statement or notice as to the applicant's entitlement to apply for and be granted a patent
- Statement or notice as to the applicant's entitlement to claim priority of the earlier application

Note that these requirements may be satisfied if the corresponding declarations have been made in accordance with PCT Rule 4.17.

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (AU))

### BW Botswana (name of Office; location; e-mail and Internet addresses; fees)

The name, location, and the e-mail and Internet addresses of the Registrar of Companies and Intellectual Property (ROCIP) (Botswana) have changed, as follows:

Name of Office:	Companies and Intellectual Property Authority (CIPA) (Botswana)
Location:	Kgale View Plot No. 181 Gaborone Botswana
E-mail:	feedback@cipa.co.bw
Internet:	www.cipa.co.bw

<sup>1</sup> ePCT-Filing is now available for online filing with the following receiving Offices: RO/IB, RO/AT, RO/AU, RO/AZ, RO/BN, RO/BR, RO/CA, RO/CL, RO/CO, RO/CU, RO/CZ, RO/DK, RO/DZ, RO/EA, RO/EE, RO/EP, RO/FI, RO/HU, RO/ID, RO/IN, RO/IS, RO/LV, RO/MX, RO/MY, RO/NO, RO/NZ, RO/PH, RO/PL, RO/PT, RO/QA, RO/RU, RO/SA, RO/SE, RO/SG, RO/TR and RO/ZA.

The amounts of the following national fees, payable to CIPA as designated (or elected) Office, have changed (other fees not indicated have not changed):

For utility model certificate:

Annual fee for the first year: .....BWP 30<sup>2</sup> 60

(Updating of *PCT Applicant's Guide*, Annex B1 (BW) and National Chapter, Summary (BW))

### CO Colombia (fees)

The amount of the transmittal fee, payable to the Superintendence of Industry and Commerce (Colombia) as receiving Office, has changed to: COP 952,000.

The amounts of the following national fees, payable to the Office as designated (or elected) Office, have changed:

	<i>Online</i>	<i>On paper</i>
For patent:		
Filing fee: .....	COP 64,000	80,000
Annual fee for the first to the fourth year, per year: .....	COP 226,000	271,000
(amount applicable in case of late payment within a grace period of six months from the due date: .....	COP 336,000	403,000)
For utility model:		
Filing fee: .....	COP 56,000	70,000

(Updating of *PCT Applicant's Guide*, Annex C (CO) and National Chapter, Summary (CO))

### CU Cuba (electronic filing; fees)

For information on the acceptance, with effect from 1 February 2016, by the Cuban Industrial Property Office as receiving Office of international applications filed using ePCT-Filing, as well as information on the electronic filing fee reductions listed in item 4 of the Schedule of Fees that will be applicable, see "Electronic Filing and Processing of International Applications", above.

### DK Denmark (Internet address)

The Internet address of the Danish Patent and Trademark Office (DKPTO) has changed to:

<http://www.dkpto.org/>

See also "Electronic Filing and Processing of International Applications", above, for information on the acceptance by the Office of electronic filing software.

(Updating of *PCT Applicant's Guide*, Annex B1 (DK))

<sup>2</sup> Applicable in the case of filings by individuals and small entities whose number of employees does not exceed 100 persons.

**EA Eurasian Patent Office (fees)**

The conditions for entitlement to reductions in the various components of the national fee indicated in the *PCT Applicant's Guide*, National Chapter, Summary (EA) (with the exception of the maintenance fees), have changed. The footnote to those fees now reads as follows:

This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant is a national of and a resident in any of the States party to the Eurasian Patent Convention, and by 80% where each applicant is a natural person and a national of and resident in a PCT Contracting State whose per capita national income is below USD 3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997), or where an applicant, whether a natural person or not, is a national of and resident in a State that is listed as being classified by the United Nations as a least developed country.

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (EA))

**EP European Patent Office (telephone number; e-mail address)**

The telephone numbers and the e-mail address of the European Patent Office have changed, as follows:

Telephone:	Headquarters in Munich:	(49-89) 2399-4500
	Branch in The Hague:	(31-70) 340-4500
	Filing Office in Berlin:	(49-30) 25901-4500
	Customer Services:	00 800 80 20 20 20
E-mail:	support@epo.org	

(Updating of *PCT Applicant's Guide*, Annex B2 (EP))

**HU Hungary (location; mailing address)**

The location and the mailing address of the Hungarian Intellectual Property Office (HIPO) have changed, as follows:

Location:	II. János Pál pápa tér 7 1081 Budapest Hungary
Mailing address:	P.O. Box 415 1438 Budapest Hungary

(Updating of *PCT Applicant's Guide*, Annex B1 (HU))

**IB International Bureau (modes of payment of fees)**

The following credit cards have been added to the list of credit cards that can be used for the payment of fees to the International Bureau (IB) as receiving Office (RO/IB), and for the payment of the supplementary search fee to the IB: Diners and Discover.

For further information on the payment of fees to RO/IB and on the payment of the supplementary search fee, see, respectively:

<http://www.wipo.int/pct/en/filing/modes.html>

<http://www.wipo.int/pct/en/fees/supplementary.html>

### **ID Indonesia (location and mailing address; telephone and fax numbers; e-mail address; competent International Searching and Preliminary Examining Authorities)**

The location and mailing address, the telephone and fax numbers, and the e-mail address of the Directorate General of Intellectual Property (Indonesia) have changed, as follows:

Location and mailing address:	Jl. H.R. Rasuna Said Kav. 8-9 Jakarta Selatan 12940 Indonesia
Telephone:	(62-21) 5790 5606 (DG), 5790 5611 (Patents), 5790 5619 (Sec)
Fax:	(62-21) 5790 5606 (DG), 5790 5611 (Patents), 5790 5619 (Sec)
E-mail:	dirgen@dgip.go.id patent.administration@gmail.com

The Directorate General of Intellectual Property (Indonesia) has specified, with effect from 6 October 2015, the Intellectual Property Office of Singapore, in addition to the Australian Patent Office, the European Patent Office, the Federal Service for Intellectual Property (Rospatent) (Russian Federation), the Japan Patent Office and the Korean Intellectual Property Office, as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of Indonesia with the Directorate General of Intellectual Property (Indonesia) (or the IB) as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex B1 (ID) and Annex C (ID))

### **JP Japan (competent International Searching and Preliminary Examining Authorities)**

The Japan Patent Office has specified, with effect from 1 April 2016, the Intellectual Property Office of Singapore, in addition to the European Patent Office and the Japan Patent Office, as competent International Searching and Preliminary Examining Authority for international applications filed in English by nationals and residents of Japan with the Japan Patent Office (or the IB) as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (JP))

### **KR Republic of Korea (national security provisions)**

The Korean Intellectual Property Office has provided details to the IB concerning restrictions in its national legislation which are applicable when filing international applications with other Offices – see the *PCT Applicant's Guide*, Annex B1 (KR), and the table entitled “International applications and national security considerations” at:

[http://www.wipo.int/pct/en/texts/nat\\_sec.html](http://www.wipo.int/pct/en/texts/nat_sec.html)

### **PT Portugal (electronic filing)**

For information on the acceptance, with effect from 1 February 2016, by the National Institute of Industrial Property (Portugal) as receiving Office of international applications filed using ePCT-Filing, see “Electronic Filing and Processing of International Applications”, above.

### **TT Trinidad and Tobago (name of Office)**

The name of the Intellectual Property Office, Ministry of Legal Affairs (Trinidad and Tobago) has changed, as follows:

Name of Office: Intellectual Property Office, Ministry of the Attorney General and Legal Affairs (Trinidad and Tobago)

(Updating of *PCT Applicant's Guide*, Annex B1 (TT))

### **UA Ukraine (fees, information on requirements of the Office as International Searching Authority, Authority specified for supplementary search and International Preliminary Examining Authority)**

Further to the notification by the State Intellectual Property Service of Ukraine (SIPSU) that it would begin functioning as an International Searching Authority, an Authority specified for supplementary search and an International Preliminary Examining Authority with effect from 5 February 2016 (see *PCT Newsletter* No. 01/2016, cover page), information on the fees payable to the Office, as well as the requirements of the Office, is now available in the *PCT Applicant's Guide*, Annexes D (UA), SISA (UA) and E (UA) at, respectively:

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexd/ax\\_d\\_ua.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexd/ax_d_ua.pdf)

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexsisax/ax\\_sisa\\_ua.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexsisax/ax_sisa_ua.pdf)

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexe/ax\\_e\\_ua.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexe/ax_e_ua.pdf)

### **ZA South Africa (fees)**

As from 1 April 2016, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in ZAR to the Companies and Intellectual Property Commission (CIPC) (South Africa) as receiving Office, as well as a change in the equivalent amount in ZAR of the applicable fee reductions listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of *PCT Applicant's Guide*, Annex C (ZA))

### **Search fee and other fees relating to the international search (Australian Patent Office, Austrian Patent Office, European Patent Office, Federal Service for Intellectual Property (Rospatent) (Russian Federation), Japan Patent Office, Korean Intellectual Property Office, National Institute of Industrial Property (Brazil), State Intellectual Property Service of Ukraine (SIPSU) and United States Patent and Trademark Office)**

As from 1 March 2016, there will be changes in the equivalent amounts payable in EUR for an international search carried out by the National Institute of Industrial Property (Brazil), as indicated in Fee Table I(b).



As from 1 April 2016, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Australian Patent Office .....	ZAR
Austrian Patent Office.....	ZAR
European Patent Office .....	ZAR
Federal Service for Intellectual Property (Rospatent) (Russian Federation).....	HUF, USD
Korean Intellectual Property Office .....	NZD
United States Patent and Trademark Office (USPTO) .....	ZAR

The above-mentioned changes are indicated in Fee Table I(b).

With effect from 1 April 2016, the Japan Patent Office has established different amounts for the search fee and the additional search fee for international applications in English. The new amounts in JPY are indicated below, and the equivalent amounts in CHF and EUR are indicated in Fee Table I(b). The equivalent amounts in KRW, SGD and USD will be established shortly.

Search fee (for an application in English): .....	JPY	156,000
Additional search fee (for an application in English): .....	JPY	126,000

Also with effect from the same date, where an application in English meets the conditions for a refund of the search fee (as indicated in the *PCT Applicant's Guide*, Annex D (JP)), the amount of that refund will be JPY 62,000. Please note that the amounts payable, as well as the amount of the refund accorded, in respect of searches carried out on applications in Japanese will not change.

(Updating of *PCT Applicant's Guide*, Annex D (AT, AU, EP, JP, KR, RU and US))

For information on the amounts payable for an international search carried out by the State Intellectual Property Office of Ukraine (SIPSU) with effect from 5 February 2016, see "UA Ukraine", above.

### **Supplementary search fee (State Intellectual Property Office of Ukraine (SIPSU))**

For information on the amounts payable for a supplementary international search carried out by the State Intellectual Property Office of Ukraine (SIPSU) with effect from 5 February 2016, see "UA Ukraine", above.

### **Preliminary examination fee and other fees relating to international preliminary examination (Japan Patent Office, State Intellectual Property Office of Ukraine (SIPSU))**

With effect from 1 April 2016, the Japan Patent Office has established different amounts in JPY for an international preliminary examination carried out by it in English. The new amounts of the preliminary examination fee and the additional preliminary examination fee are as follows:

Preliminary examination fee (for an application in English): ....	JPY	58,000
Additional preliminary examination fee (for an application in English): .....	JPY	34,000

Please note that the amounts payable in respect of an international preliminary examination carried out on an application in Japanese will not change.

(Updating of *PCT Applicant's Guide*, Annex E (JP))

For information on the amounts payable for an international preliminary examination carried out by the State Intellectual Property Office of Ukraine (SIPSU) with effect from 5 February 2016, see "UA Ukraine", above.

### **United States Patent and Trademark Office: Not Open for Business on 25 and 26 January 2016**

Because of bad weather conditions, the United States Patent and Trademark Office (USPTO) was not open to the public for the purposes of the transaction of official business on 25 and 26 January 2016. Consequently, pursuant to PCT Rule 80.5, if the expiration of any period during which any document or fee in connection with an international application was required to reach that Office fell on either of those days, that period was extended so as to expire on the next succeeding business day, which was 27 January 2016.

For further information, please see the information published on the USPTO website at:

<http://www.uspto.gov/learning-and-resources/operating-status>

### **New/Updated PCT Resources**

#### **ISA and IPEA Agreements**

The Agreement between the International Bureau of WIPO and the State Intellectual Property Service of Ukraine, as in force from 5 February 2016, relating to the functioning of this Authority as International Searching Authority, Authority specified for supplementary search and International Preliminary Examining Authority under the PCT, is now available in PDF format in English and French at, respectively:

[http://www.wipo.int/pct/en/texts/agreements/ag\\_ua.pdf](http://www.wipo.int/pct/en/texts/agreements/ag_ua.pdf)

[http://www.wipo.int/pct/fr/texts/agreements/ag\\_ua.pdf](http://www.wipo.int/pct/fr/texts/agreements/ag_ua.pdf)

#### **More resources available in languages other than English**

The following resources are now available in the languages shown:

- PCT Contracting States list in Arabic and Korean at, respectively:

[http://www.wipo.int/pct/ar/pct\\_contracting\\_states.html](http://www.wipo.int/pct/ar/pct_contracting_states.html)

[http://www.wipo.int/pct/ko/pct\\_contracting\\_states.html](http://www.wipo.int/pct/ko/pct_contracting_states.html)

- international applications and national security considerations in Chinese and Korean at, respectively:

[http://www.wipo.int/pct/zh/texts/nat\\_sec.html](http://www.wipo.int/pct/zh/texts/nat_sec.html)

[http://www.wipo.int/pct/ko/texts/nat\\_sec.html](http://www.wipo.int/pct/ko/texts/nat_sec.html)

- PCT Case Studies in French and Spanish at, respectively:

[http://www.wipo.int/pct/fr/inventions/case\\_studies.html](http://www.wipo.int/pct/fr/inventions/case_studies.html)

[http://www.wipo.int/pct/es/inventions/case\\_studies.html](http://www.wipo.int/pct/es/inventions/case_studies.html)

## Practical Advice

### Situation where the sole applicant, who is not a national of a PCT Contracting State, is no longer resident in a PCT Contracting State

*Q: I am the agent for a sole applicant who is not a national of a PCT Contracting State. He was, at the time of filing the international application, and still is, a resident of the country where I practice, which is a PCT Contracting State, and the international application was filed with the national receiving Office of that country. However, the applicant is now planning to move back to the State of which he is a national. Will there be any consequences if the applicant becomes a resident of a country which is not a PCT Contracting State?*

A: According to PCT Article 9, the applicant (or if there are two or more applicants (PCT Rule 18.3)), at least one of the applicants must be a resident or national of a PCT Contracting State, and the applicant's country of residence and nationality must be indicated in the international application upon filing (PCT Rule 4.5(a)(iii)).

However, the requirement under PCT Article 9 needs only to be complied with at the time of filing the international application. Later changes in the applicant's country of residence (or nationality), as for example in your case, above, where an applicant who is not a national of a PCT Contracting State is going to move from a PCT Contracting State to a non-PCT Contracting State, have no consequence on the validity of the international application itself. Similarly, if a PCT application is assigned to another person, it is not necessary, in order for a change in the person of the applicant to be recorded, that the new applicant be a resident or national of a PCT Contracting State – the PCT does not contain any restriction as to whom an international application may be assigned to.

However, please note that if the applicant intends to file a demand for international preliminary examination under Chapter II, PCT Article 31(2)(a) requires that the demand be filed by at least one applicant who is a resident or national of a PCT Contracting State bound by Chapter II; therefore, the demand would need to be filed before the applicant moves, and before the change in the residence of the applicant is requested to be recorded under PCT Rule 92*bis*, so that his country of residence at the time of filing the demand would fulfill the necessary criteria. Any change in the country of residence after the filing of the demand would have no consequence on the validity of the demand.

Rest assured that, provided that you have the right to practice before the receiving Office with which the international application was filed, you will continue to have the right to represent the applicant before the receiving Office and the International Authorities throughout the international phase, even though the applicant will no longer be resident in the same country as you.

It is recalled that any change in the status of the applicant (such as address or country of residence or nationality) will be recorded by the International Bureau upon receipt of a request to record a change under PCT Rule 92*bis*. Although the bibliographic data of the published international application will indicate the applicant's new country of residence as well as his new

address (presuming that the request for recording the change is received before the technical preparations for publication have been completed), third parties will be able to view, in PATENTSCOPE, the request form as originally filed, in which the original country of residence and original address will be indicated.

For further information on the submission of requests for recording changes under PCT Rule 92*bis*, see the “Practical Advice” in *PCT Newsletter* No. 01/2016, and *PCT Applicant’s Guide*, paragraphs 11.018 to 11.022.

<b>PCT Seminar Calendar</b>			
(http://www.wipo.int/pct/en/seminar/seminar.pdf)			
(situation on 1 February 2016)			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>11-12 February 2016</b> <b>Bucharest (RO)</b> <i>(a limited number of places are available to the public)</i>	English	PCT seminar WIPO speakers: Ms. Bonvallet and Mr. Henninger	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Ms. Mihaela Teodorescu) Tel: (40-0) 77 386 7751 Fax: (40-0) 31 436 0665 E-mail: office@mteodorescu.ro
<b>25-26 February 2016</b> <b>Phnom Penh, Cambodia</b>	English/ Khmer	PCT National Seminar WIPO speaker: Ms. Aeri	Department of Intellectual Property, Ministry of Commerce of Cambodia Tel: (+855) 12 826 166 E-mail: simsokheng@yahoo.com Internet: www.cambodiaip.gov.kh
<b>29 February 2016</b> <b>Munich (DE)</b>	German	PCT seminar – "Best Practices" WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>5 March 2016</b> <b>Istanbul (TR)</b>	English	PCT training workshop in the framework of the Istanbul International Invention Festival (ISIF) (3-6 March 2016) WIPO speaker: Mr. Sim	International Federation of Inventors' Associations (IFIA) (Mr. Bijan Nasiri Azam) E-mail: bijan.nasiri@ifia.com Internet: http://www.ifia.com
<b>4 April 2016</b> <b>Silicon Valley (Santa Clara), CA (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>6 April 2016</b> <b>San Diego (Del Mar), CA (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>8 April 2016</b> <b>Houston, TX (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>12 April 2016</b> <b>Frankfurt (DE)</b>	German	PCT seminar – "Recent Developments in the PCT System" WIPO speaker: Mr. Reischle-Park Other speaker: Mr. Naumann (formerly European Patent Office)	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>19 April 2016</b> <b>The Hague (NL)</b>	English	PCT presentation in the framework of the annual conference of Platform Formalities Officers WIPO speaker: Mr. Reischle-Park	Platform Formalities Officers (Mr. Arthur van Steenberg) E-mail: secretariaat@formalitiesofficers.nl

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<b>PCT Seminar Calendar [continued]</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>9 May 2016 Istanbul (TR)</b> <i>(a limited number of places are available to the public)</i>	English	PCT seminar in the framework of the second year of basic training WIPO speaker: Mr. Sim	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Ms. Selda Arkan) Tel: (90-212) 293 32 42 Fax: (90-212) 244 51 21 E-mail: selda.arkan@alfapatent.com.tr; alfa@alfapatent.com.tr
<b>10 May 2016 Osaka (JP)</b>	Japanese	Workshop on the PCT system WIPO speakers: Mr. Tachibana and Mr. Hasebe	Japan Patent Attorneys Association (JPAA) Tel: (81-3) 35 19 23 60 Fax: (81-3) 35 81 12 05
<b>11-12 May 2016 Munich (DE)</b>	German	Basic PCT Seminar WIPO speakers: Mr. Reischle-Park and Ms. Happe	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>12 May 2016 Nagoya (JP)</b>	Japanese	Workshop on the PCT system WIPO speakers: Mr. Tachibana and Mr. Hasebe	Japan Patent Attorneys Association (JPAA) Tel: (81-3) 35 19 23 60 Fax: (81-3) 35 81 12 05
<b>16 May 2016 Tokyo (JP)</b>	Japanese	Workshop on the PCT system WIPO speakers: Mr. Tachibana and Mr. Hasebe	Japan Patent Attorneys Association (JPAA) Tel: (81-3) 35 19 23 60 Fax: (81-3) 35 81 12 05
<b>9-10 June 2016 Stockholm (SE)</b>	English	PCT seminar WIPO speakers: Mr. Reischle-Park and Mr. Henninger	IP-akademin (Ms. Rut Herbjørnsen) Tel: (46-708) 808 342 E-mail: info@ipakademin.se Internet: www.ipakademin.se
<b>16-17 June 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>15 September 2016 Lisbon (PT)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. João Amaral) Tel: (351) 218 818 100 Fax: (351) 218 878 508 E-mail: jamaral@inpi.pt Internet: www.inpi.pt
<b>3 October 2016 Zurich (CH)</b>	German	Seminar on the latest developments concerning the PCT (CEIPI Course) WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Hanjörg Kley) Tel : (41-52) 226 00 00 Fax: (41-52) 226 00 09 E-mail: hansjoerg@kley.ch
<b>6 October 2016 Barcelona (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Antonio López-Carrasco Comajuncosas) Tel : (34-93) 123 31 01 E-mail: antonio.lopez-carrascocomajuncosas@telefonica.com

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**PCT Seminar Calendar [continued]**

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>12-13 October 2016 Munich (DE)</b>	German	Advanced PCT Seminar WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>28 October 2016 London (GB)</b>	English	PCT seminar within the framework of the 15 <sup>th</sup> Annual Conference for Senior Patent Administrators (27-28 October 2016) WIPO speaker: to be announced	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>2-3 November 2016 Munich (DE)</b>	German	PCT update/conference WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>18-19 November 2016 Cracow (PL)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl
<b>24-25 November 2016 Dresden (DE)</b>	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>1-2 December 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>6-7 December 2016 Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>8 December 2016 Paris (FR)</b>	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (see Paris, above)

<b>PCT Webinars</b>			
(http://www.wipo.int/pct/en/seminar/webinars/index.htm)			
<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>11 February 2016</b> 15:00–16:00 CET (9:00–10:00 New York time)	English	PCT Overview WIPO speaker: Mr. Sim	<a href="https://attendee.gotowebinar.com/register/920038716703731969">https://attendee.gotowebinar.com/register/920038716703731969</a>
<b>16 February 2016</b> 17:30–18:30 CET or <b>18 February 2016</b> 08:30–09:30 CET	English	PATENTSCOPE webinar: Presentation of the tool CLIR WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/6741615235066804993">https://attendee.gotowebinar.com/rt/6741615235066804993</a>
<b>25 February 2016</b> 15:00–16:00 CET (9:00–10:00 New York time)	English	General Introduction to ePCT WIPO speaker: Mr. Sim	<a href="https://attendee.gotowebinar.com/register/8879517739383573505">https://attendee.gotowebinar.com/register/8879517739383573505</a>
<b>10 March 2016</b> 15:00–16:00 CET (9:00–10:00 New York time)	English	ePCT-Filing WIPO speaker: Mr. Sim	<a href="https://attendee.gotowebinar.com/register/1731830141723463681">https://attendee.gotowebinar.com/register/1731830141723463681</a>
<b>15 March 2016</b> 17:30–18:30 CET or <b>17 March 2016</b> 08:30–09:30 CET	English	PATENTSCOPE webinar: How to build complex queries in PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/8798711330682238977">https://attendee.gotowebinar.com/rt/8798711330682238977</a>
<b>17 March 2016</b> 15:00–16:00 CET (10:00–11:00 New York time)	English	ePCT Actions WIPO speaker: Mr. Sim	<a href="https://attendee.gotowebinar.com/register/7140008980381588737">https://attendee.gotowebinar.com/register/7140008980381588737</a>

<b>PCT Events Without WIPO speakers<sup>1</sup></b>			
<b>Dates and location</b>	<b>Language of event</b>	<b>Nature of seminar; Speakers</b>	<b>Organizer and contact numbers</b>
<b>10 March 2016</b> <b>Augsburg (DE)</b>	German	PCT seminar: “PCT Procedure” Speaker: Ms. Huppertz	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: <a href="mailto:info@ipforip.de">info@ipforip.de</a> Internet: <a href="http://www.ipforip.de">www.ipforip.de</a>
<b>5 April 2016</b> <b>San Diego (Del Mar), CA (US)</b>	English	PCT Boot Camp Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: <a href="mailto:contact@pctlearningcenter.org">contact@pctlearningcenter.org</a> Internet: <a href="http://pctlearningcenter.org">pctlearningcenter.org</a>

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.

## PCT Fee Tables

(amounts on 5 February 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

**Key to abbreviations used in fee tables:**

eq	equivalent of –	BRL	Brazilian real	HUF	Hungarian forint	MXN	Mexican peso	TND	Tunisian dinar
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TTD	Trinidad and Tobago dollar
ISA	International Searching Authority	CAD	Canadian dollar	ILS	New Israeli sheqel	NOK	Norwegian krone	UAH	Ukrainian hryvnia
RO	receiving Office	CHF	Swiss franc	INR	Indian rupee	NZD	New Zealand dollar	USD	US dollar
		CLP	Chilean peso	IRR	Iranian rial	PEN	Nuevo sol	VND	Vietnamese dong
		CNY	Yuan renminbi	ISK	Icelandic krona	PGK	Kina	XAF	CFR franc BEAC
		COP	Colombian peso	JPY	Japanese yen	PHP	Philippine peso	ZAR	South African rand
		CUC	Cuban convertible peso	KES	Kenyan shilling	PLN	Polish zloty	ZWD	Zimbabwe dollar
		CZK	Czech koruna	KGS	Kyrgyz som	QAR	Qatari riyal		
ALL	Albanian lek	DKK	Danish krone	KPW	Won	RON	New leu		
AMD	Armenian dram	DZD	Algerian dinar	KRW	Won	RSD	Serbian dinar		
AUD	Australian dollar	EGP	Egyptian pound	KZT	Kazakh tenge	RUB	Russian rouble		
AZN	Azerbaijani manat	EUR	Euro	LSL	Lesotho loti	SDG	Sudanese pound		
BAM	Convertible mark	GBP	Pound sterling	LYD	Libyan dinar	SEK	Swedish krona		
BGN	Bulgarian lev	GHS	Ghanaian cedi	MAD	Moroccan dirham	SGD	Singapore dollar		
BHD	Bahraini dinar	GTQ	Quetzal	MKD	Macedonian denar	THB	Baht		
BND	Brunei dollar	HRK	Croatian kuna	MWK	Malawian kwacha	TJS	Tajik somoni		

**Table I(a) — Transmittal and international filing fees**  
(amounts on 5 February 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1, 2</sup> (CHF 1,330)		Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)		E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
							Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available									CA
AL	ALL	9,000	CHF	1,330	15	–	–	–	–	EP
AM	AMD	32,000	USD	1,363	15	–	–	–	–	EP RU
AP	USD	50	USD	1,363	15	–	–	–	–	AT EP SE
	(or eq in local currency)									
AT	EUR	52	EUR	1,219	14	–	183	275	–	EP
AU	AUD	200	AUD	1,922	22	–	289	434	–	AU KR
AZ	AZN	35.40	USD	1,363	15	–	205	307	–	EP RU
BA	BAM	50	EUR	1,219	14	–	–	–	–	EP
BE	EUR	120	EUR	1,219	14	–	–	–	–	EP
BG	BGN	80	BGN eq CHF	1,330	eq CHF 15	–	–	–	–	EP RU
BH	BHD	70	USD	1,363	15	–	–	–	–	AT EP US
BN	BND	150	BND eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	–	AU JP EP
BR	BRL <sup>8</sup>	online: 175 on paper: 260	BRL eq CHF	1,330	eq CHF 15	–	eq CHF 200	–	–	AT BR EP SE US
BW	USD	32	USD	1,363	15	–	–	–	–	EP
BY	USD	50	USD	1,363	15	–	–	–	–	EP RU
BZ	BZD	300	USD	1,363	15	–	–	–	–	CA EP
CA	CAD	300	CAD	1,782	20	–	268	402	–	CA
CH	CHF	100	CHF	1,330	15	–	–	–	–	EP
CL	CLP eq USD <sup>9</sup>	130	CLP eq USD <sup>9</sup>	1,363	eq USD <sup>9</sup> 15	–	eq USD 205	eq USD 307	–	CL EP ES KR US
CN	CNY	500	CNY eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	–	CN

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 5 February 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
CO	COP 952,000 <sup>10</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR CL EP ES RU
CR	USD 250	USD 1,363	15	–	–	–	CL EP ES
CU	CUC 200	CUC 1,363	15	–	205	307	AT BR CL EP ES RU
CY	EUR 191	EUR 1,219	14	–	–	–	EP
CZ	CZK 1,500	EUR 1,219	14	–	183	275	EP
DE	EUR 90	EUR 1,219	14	–	183	275	EP
DK	DKK 1,500	DKK 9,090	100	–	1,370	2,050	EP SE XN
DM	Information not yet available						
DO	USD 275	USD 1,363	15	–	–	–	CL EP ES US
DZ	DZD None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	AT EP
EA	RUB 1,600	USD 1,363	15	–	205	307	EP RU
EC	USD <sup>11</sup> –	USD 1,363	15	–	–	–	CL EP ES
EE	EUR 120	EUR 1,219	14	–	183	275	EP
EG	USD 142	USD 1,363	15	–	–	–	AT EG EP US
EP	EUR 130	EUR 1,219	14	92	183	275	EP
ES	EUR 74.99	EUR 1,219	14	–	183	275	EP ES
FI	EUR 135	EUR 1,219	14	–	183	275	EP FI SE
FR	EUR 62	EUR 1,219	14	–	183	275	EP
GB	GBP 75	GBP 899	10	–	135	203	EP
GD	Information not yet available						
GE	USD <sup>12</sup> 100	USD 1,363	15	–	–	–	AT EP IL RU US
GH	GHS <sup>13</sup> 2,500 or 5,000	USD 1,363	15	–	–	–	AT AU CN EP SE
GR	EUR 115	EUR 1,219	14	–	–	–	EP
GT	GTQ eq USD 250	USD 1,363	15	–	–	–	AT BR CL EP ES US
HN	USD 200	USD 1,363	15	–	–	–	EP ES
HR	HRK 200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
HU	HUF 11,800	HUF 380,800	HUF 4,300	–	57,300	85,900	EP RU
IB	CHF <sup>14</sup> 100 or EUR <sup>14</sup> 92 or USD <sup>14</sup> 102	CHF 1,330 or EUR 1,219 or USD 1,363	15 14 15	– – –	200 183 205	300 275 307	See footnote 15
ID	IDR 1,000,000	IDR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR RU SG
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363	15	–	205	307	EP IL US
IN	INR 17,600 (filing by natural person: 3,250; filing by small entity: 8,800)	USD 1,363	15	–	205	307	AT AU CN EP IN SE US
IR	IRR <sup>11</sup> –	IRR eq CHF 1,330	eq CHF 15	–	–	–	CN EP IN RU
IS	ISK 15,000	ISK 172,800	1,900	–	26,000	39,000	EP SE XN
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>16</sup>	JPY 164,200	1,900	–	–	37,000	EP JP (from 01.04.16: SG)
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363	15	–	–	–	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363	15	–	–	–	EP RU
KN	Information not yet available						
KN	EP						
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>17</sup> KR
KZ	KZT 10,264.80	USD 1,363	15	–	–	–	EP RU
LR	USD 45	USD 1,363	15	–	–	–	AT AU CN EP SE
LS	LSL <sup>11</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	EUR 92	EUR 1,219	14	–	183	275	EP RU

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 5 February 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>		E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
							Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
LU	EUR	19	EUR	1,219		14	–	–	–	EP
LV	EUR	70	EUR	1,219		14	–	183	275	EP RU
LY	LYD <sup>11</sup>	–	CHF	1,330		15	–	–	–	AT EP
MA	MAD	600 <sup>18</sup>	CHF	1,330		15	–	–	–	AT EP RU SE
MC	EUR	54 <sup>19</sup>	EUR	1,219		14	–	–	–	EP
MD	EUR	100	USD	1,363		15	–	–	–	EP RU
MK	MKD	2,700	MKD eq CHF	1,330	eq CHF	15	–	–	–	EP
MN		None	CHF	1,330		15	–	–	–	EP KR RU
MT	EUR	55	EUR	1,219		14	–	–	–	EP
MW	MWK	6,000	USD	1,363		15	–	–	–	EP
MX	USD	323.70 <sup>20</sup>	USD	1,363		15	–	205	307	CL EP ES SE SG US
MY	MYR	500 (e-filing) <sup>21</sup> 550 (paper filing) <sup>21</sup>	MYR eq CHF	1,330	eq CHF	15	–	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD	200	USD	1,363		15	–	–	–	EP ES
NL	EUR	50	EUR	1,219		14	–	183	275	EP
NO	NOK	800	NOK	11,460		130	–	1,720	2,590	EP SE XN
NZ	NZD	207	NZD	2,094		24	–	315	472	AU EP KR US
OA	XAF <sup>11</sup>	–	XAF eq CHF	1,330	eq CHF	15	–	–	–	AT EP RU SE
PA	USD	200	USD	1,363		15	–	–	–	BR CL EP ES US
PE	PEN	233.35	PEN eq USD	1,363	eq USD	15	–	–	–	AT BR CL EP ES KR US
PG	PGK	250	USD	1,363		15	–	–	–	AU
PH	PHP	3,500	USD	1,363		15	–	205	307	AU EP JP KR US
PL	PLN	300	PLN eq CHF	1,330	eq CHF	15	–	eq CHF 200	eq CHF 300	EP
PT	EUR	20.82	EUR	1,219		14	–	183	275	EP
QA	QAR	400	QAR eq USD	1,363	eq USD	15	–	eq USD 205	eq USD 307	EG EP US
RO	RON	360	EUR	1,219		14	–	183	275	EP RU
RS	RSD	7,300 <sup>22</sup>	RSD eq CHF	1,330	eq CHF	15	–	–	–	EP
RU	RUB	850	USD	1,363		15	–	205	307	EP RU
RW	Information not yet available									
SA	USD	100	USD	1,363		15	–	205	307	CA EG EP KR RU
SC	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP
SD	SDG	50	SDG eq CHF	1,330	eq CHF	15	–	–	–	EG EP
SE	SEK	1,200	SEK	11,380		130	–	1,710	2,570	EP SE XN
SG	SGD	150	SGD	1,934		22	–	291	436	AT AU EP JP KR SG
SI	EUR	91	EUR	1,219		14	–	–	–	EP
SK	EUR	66 <sup>23</sup>	EUR	1,219		14	–	183	275	EP
SM	EUR	70	EUR	1,219		14	–	–	–	EP
SV	USD	200	USD	1,363		15	–	–	–	CL EP ES
SY	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	AT EP RU
TH	THB	3,000	THB eq CHF	1,330	eq CHF	15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
TM	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
TN	TND <sup>11</sup>	–	CHF	1,330		15	–	–	–	EP
TR		None	CHF	1,330		15	–	eq CHF 200	eq CHF 300	EP
TT	TTD	750	USD	1,363		15	–	–	–	AT EP SE US
UA	UAH or eq EUR or USD <sup>24</sup>	1,300	USD (or eq UAH or EUR)	1,363		15	–	–	–	EP RU UA
US	USD	240 <sup>25</sup> small entity <sup>26</sup> : 120 <sup>25</sup> micro entity <sup>26</sup> : 60 <sup>25</sup>	USD	1,363		15	102	205	–	AU EP IL JP KR RU US
UZ	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
VN	VND eq USD	150	VND eq CHF	1,330	eq CHF	15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR	500	ZAR (from 1.4.16: 20,680)	18,540 (230)		210 (230)	– (–)	2,790 (3,110)	4,180 (4,670)	AT AU EP US
ZM	USD	50	USD	1,363		15	–	–	–	AT SE
ZW	ZWD	6,000	ZWD eq USD	1,363	eq USD	15	–	–	–	AT AU CN EP RU

**Table I(b) — Search fees**

(amounts on 5 February 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>								
AT <sup>27</sup>	EUR 1,864 * (from 1.4.16:	CHF 2,034 ZAR 31,450)	KRW 2,419,000	SGD 2,960	USD 2,084	ZAR*	28,360		
AU	AUD 2,200 USD 1,560 * (from 1.4.16:	CHF 1,522 ZAR* 21,220 ZAR 23,900)	EUR 1,395	KRW 1,810,000	NZD 2,397	SGD 2,210			
BR <sup>28</sup>	Online: * (from 1.3.16:	BRL 1,685 EUR 382)	CHF 422	EUR* 412	USD 432				
	On paper: * (from 1.3.16:	BRL 2,525 EUR 573)	CHF 632	EUR* 617	USD 647				
CA	CAD 1,600 * (from 1.3.16:	CHF 1,194 USD 1,147)	EUR 1,094	USD*	1,223				
CL	USD 2,000	CHF 1,952	EUR 1,789						
	In case of filing by an natural person or a legal entity <sup>2</sup> :		USD 400	CHF 390	EUR 358				
	In case of filing by a university <sup>29</sup> :		USD 300	CHF 293	EUR 268				
CN	CNY 2,100	CHF 323	EUR 296	USD 330					
EG <sup>30</sup>	EGP 4,000	CHF 499	EUR 457	USD 511					
EP <sup>31</sup>	EUR 1,875 JPY 252,600 USD 2,097 * (from 1.4.16:	CHF 2,046 NOK 17,640 ZAR* 28,530 ZAR 31,640)	DKK 13,990 NZD 3,222	GBP 1,384 SEK 17,500	HUF 585,800 SGD 2,980	ISK 266,000			
ES <sup>31,32</sup>	EUR 1,875	CHF 2,046	USD 2,097						
FI	EUR 1,875	CHF 2,046	USD 2,097						
IL	ILS* 3,554 * (from 1.3.16:	CHF* 895 ILS 3,529)	EUR* 820 CHF 905	USD* 917 EUR 837	USD 912)				
IN	INR 10,000 In case of filing by an individual:	CHF 150 INR 2,500	EUR 137 CHF 37	USD 154 EUR 34	USD 38				
JP <sup>16</sup>	JPY* 70,000 * (from 1.4.16: For international applications in Japanese: JPY 70,000 For international applications in English: JPY 156,000	CHF* 567 CHF 567 CHF 1,264	EUR* 520 EUR 520 EUR 1,158	KRW* 674,000 KRW 674,000	SGD* 825 SGD 825	USD* 581 USD 581			
				(other equivalent amounts have not yet been established))					
KR	For international applications in Korean: KRW 450,000 USD 388 * (from 1.4.16:	AUD 547 NZD 559)	CHF 379	EUR 347	NZD* 596	SGD 550			
	For international applications in English: KRW 1,300,000 USD 1,120 * (from 1.4.16:	AUD 1,580 NZD 1,616)	CHF 1,093	EUR 1,002	NZD* 1,722	SGD 1,590			
RU	For international applications in Russian: RUB 6,750 * (from 1.3.16: ** (from 1.4.16:	CHF* 102 CHF 92 HUF 26,800)	EUR* 93 EUR 85) USD 93)	HUF** 29,100	USD** 104				
	For international applications in English: RUB 28,000 * (from 1.3.16: ** (from 1.4.16:	CHF* 422 CHF 383 HUF 111,100)	EUR* 387 EUR 353) USD 388)	HUF** 120,900	USD** 433				
SE	SEK 17,500 USD 2,097	CHF 2,046	DKK 13,990	EUR 1,875	ISK 266,000	NOK 17,640			
SG	SGD 2,240	CHF 1,540	EUR 1,411	USD 1,578					
UA	EUR 300	CHF 325	USD 328						
US	USD 2,080 * (from 1.4.16: For small entity <sup>26</sup> : * (from 1.4.16: For micro entity <sup>26</sup> : * (from 1.4.16:	CHF 2,030 ZAR 31,430) USD 1,040 ZAR 15,710) USD 520 ZAR 7,860)	EUR 1,860	NZD 3,200	ZAR* 28,300				
			CHF 1,015	EUR 930	NZD 1,600	ZAR* 14,150			
			CHF 508	EUR 465	NZD 800	ZAR* 7,080			
XN	DKK 13,990 USD 2,097	CHF 2,046	EUR 1,875	ISK 266,000	NOK 17,640	SEK 17,500			



**Table I(c) — Supplementary search fees**  
(amounts on 5 February 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 33</sup>
AT	– for a search of the German-language documentation: CHF 928	CHF 200
	– for a search of the European and North American documentation: CHF 1,299	
	– for a search of the PCT minimum documentation: CHF 1,855	
EP	CHF 2,046	CHF 200
FI	CHF 2,046	CHF 200
RU	CHF 178 (285) <sup>34</sup> (from 1.3.16: CHF 162 (259)) <sup>34</sup>	CHF 200
SE	CHF 2,046	CHF 200
SG	CHF 1,540	CHF 200
UA	– for a search of only the PCT minimum documentation: CHF 108	CHF 200
	– for a search of only the Russian language documentation of the former USSR and the Ukrainian language documentation: CHF 163	
	– for a search of only the European and North American documentation: CHF 217	
XN	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 590	

**Table II — Preliminary examination fees**  
(amounts on 5 February 2016, unless otherwise indicated)

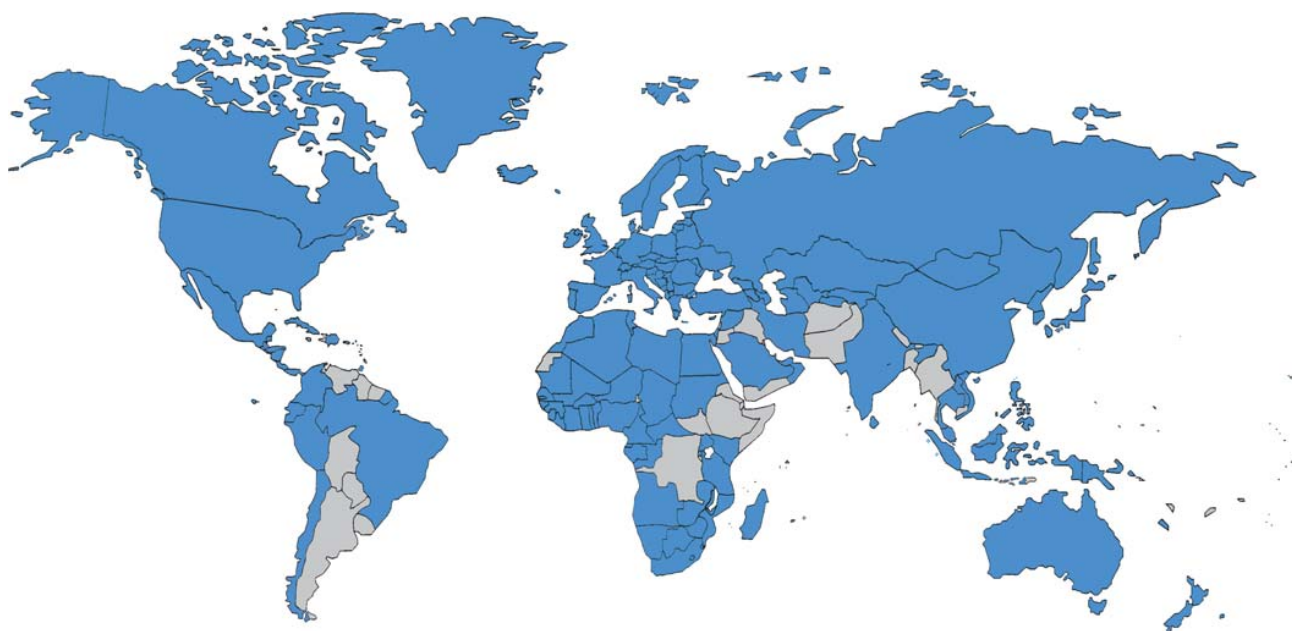
IPEA	Preliminary examination fee <sup>35</sup>	Handling fee <sup>2, 35</sup> (CHF 200)
AT <sup>27</sup>	EUR 1,749	EUR 183
AU	AUD 590 820 <sup>36</sup>	AUD 289
BR <sup>28</sup>	Online: BRL 630	BRL eq CHF 200
	On paper: BRL 945	
CA	CAD 800	CAD 268
CL	USD 1,500	USD 205
	in case of filing by a natural person or a legal entity <sup>2</sup> : USD 400	
	in case of filing by a university <sup>29</sup> : USD 300	
CN	CNY 1,500	CNY eq CHF 200
EG <sup>30</sup>	EGP 3,000	USD 205
EP <sup>31</sup>	EUR 1,930	EUR 183
ES	EUR 589.49 <sup>32</sup>	EUR 183
FI	EUR 600	EUR 183
IL	ILS 1,523 (from 1.3.16: 1,512)	ILS 794
IN	INR 12,000; in case of filing by an individual: INR 3,000	USD 205
JP	JPY* 26,000 <sup>16</sup>	JPY 24,700
	* (from 1.4.16: For international applications in Japanese: JPY 26,000 For international applications in English: JPY 58,000)	
KR	KRW 450,000	KRW 238,000
RU	For international applications in Russian: RUB 2,700 <sup>37</sup> 4,050 <sup>38</sup>	USD 205
	For international applications in English: RUB 10,500 <sup>37</sup> 15,750 <sup>38</sup>	
SE	SEK 5,000	SEK 1,710
SG	SGD 830	SGD 291
UA	– if the international search report has been prepared by this ISA: EUR 160	EUR 183
	– if the international search report has been prepared by another ISA: EUR 180	
US	USD 600 760 <sup>39</sup>	USD 205
	For small entity <sup>26</sup> : USD 300 380 <sup>39</sup>	
	For micro entity <sup>26</sup> : USD 150 190 <sup>39</sup>	
XN	DKK 5,000	DKK 1,370

[See over page for footnotes to fee tables]

**Footnotes to fee tables:**

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

## PCT Contracting States and Two-letter Codes (148 on 1 October 2016)



AE United Arab Emirates	CR Costa Rica	IN India	ML Mali (OA) <sup>2</sup>	SI Slovenia (EP) <sup>2</sup>
AG Antigua and Barbuda	CY Cyprus (EP) <sup>2</sup>	IR Iran (Islamic Republic of)	MN Mongolia	SK Slovakia (EP)
AL Albania (EP)	CZ Czech Republic (EP)	IS Iceland (EP)	MR Mauritania (OA) <sup>2</sup>	SL Sierra Leone (AP)
AM Armenia (EA)	DE Germany (EP)	IT Italy (EP) <sup>2</sup>	MT Malta (EP) <sup>2</sup>	SM San Marino (EP)
AO Angola	DK Denmark (EP)	JP Japan	MW Malawi (AP)	SN Senegal (OA) <sup>2</sup>
AT Austria (EP)	DM Dominica	KE Kenya (AP)	MX Mexico	ST Sao Tome and Principe (AP <sup>5</sup> )
AU Australia	DO Dominican Republic	KG Kyrgyzstan (EA)	MY Malaysia	SV El Salvador
AZ Azerbaijan (EA)	DZ Algeria	KM Comoros (OA) <sup>2</sup>	MZ Mozambique (AP)	SY Syrian Arab Republic
BA Bosnia and Herzegovina <sup>1</sup>	EC Ecuador	KN Saint Kitts and Nevis	NA Namibia (AP)	SZ Swaziland (AP) <sup>2</sup>
BB Barbados	EE Estonia (EP)	KP Democratic People's Republic of Korea	NE Niger (OA) <sup>2</sup>	TD Chad (OA) <sup>2</sup>
BE Belgium (EP) <sup>2</sup>	EG Egypt	KR Republic of Korea	NG Nigeria	TG Togo (OA) <sup>2</sup>
BF Burkina Faso (OA) <sup>2</sup>	ES Spain (EP)	KZ Kazakhstan (EA)	NI Nicaragua	TH Thailand
BG Bulgaria (EP)	FI Finland (EP)	LA Lao People's Democratic Republic	NL Netherlands (EP) <sup>2</sup>	TJ Tajikistan (EA)
BH Bahrain	FR France (EP) <sup>2</sup>	LC Saint Lucia	NO Norway (EP)	TM Turkmenistan (EA)
BJ Benin (OA) <sup>2</sup>	GA Gabon (OA) <sup>2</sup>	LI Liechtenstein (EP)	NZ New Zealand	TN Tunisia
BN Brunei Darussalam	GB United Kingdom (EP)	LK Sri Lanka	OM Oman	TR Turkey (EP)
BR Brazil	GD Grenada	LR Liberia (AP)	PA Panama	TT Trinidad and Tobago
BW Botswana (AP)	GE Georgia	LS Lesotho (AP)	PE Peru	TZ United Republic of Tanzania (AP)
BY Belarus (EA)	GH Ghana (AP)	LT Lithuania (EP) <sup>2</sup>	PG Papua New Guinea	UA Ukraine
BZ Belize	GM Gambia (AP)	LU Luxembourg (EP)	PH Philippines	UG Uganda (AP)
CA Canada	GN Guinea (OA) <sup>2</sup>	LV Latvia (EP) <sup>2</sup>	PL Poland (EP)	US United States of America
CF Central African Republic (OA) <sup>2</sup>	GQ Equatorial Guinea (OA) <sup>2</sup>	LY Libya	PT Portugal (EP)	VC Saint Vincent and the Grenadines
CG Congo (OA) <sup>2</sup>	GR Greece (EP) <sup>2</sup>	MA Morocco <sup>3</sup>	QA Qatar	VN Viet Nam
CH Switzerland (EP)	GT Guatemala	MC Monaco (EP) <sup>2</sup>	RO Romania (EP)	ZA South Africa
CI Côte d'Ivoire (OA) <sup>2</sup>	GW Guinea-Bissau (OA) <sup>2</sup>	MD Republic of Moldova <sup>4</sup>	RS Serbia (EP)	ZM Zambia (AP)
CL Chile	HN Honduras	ME Montenegro <sup>1</sup>	RU Russian Federation (EA)	ZW Zimbabwe (AP)
CM Cameroon (OA) <sup>2</sup>	HR Croatia (EP)	MG Madagascar	RW Rwanda (AP)	
CN China	HU Hungary (EP)	MK The former Yugoslav Republic of Macedonia (EP)	SA Saudi Arabia	
CO Colombia	ID Indonesia		SC Seychelles	
	IE Ireland (EP) <sup>2</sup>		SD Sudan (AP)	
	IL Israel		SE Sweden (EP)	
			SG Singapore	

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

<sup>3</sup> Validation of European patent possible for international applications filed on or after 1 March 2015.

<sup>4</sup> Validation of European patent possible for international applications filed on or after 1 November 2015.

<sup>5</sup> Only international applications filed on or after 19 August 2014. Include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:** This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.

# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

March 2016 | No. 03/2016

## PCT Filings in 2015

In 2015, the use of the PCT continued to grow, with approximately 218,000 PCT applications filed<sup>1</sup>. This represents a 1.7% increase over 2014.

While applicants based in the United States of America (U.S.) continued to file the largest annual number of PCT applications in 2015, as it has done now for the last 38 years, the U.S. experienced a negative growth rate with 6.7% fewer filings in 2015. This is most likely due to an unusually large number of filings in 2014 that was linked to changes in the U.S. patent system brought about by the America Invents Act (see *PCT Newsletter* No. 07-08/2012, cover page). A large increase in filings by China-based applicants accounted for much of the overall growth in 2015, with a growth rate of 16.8%, and the Republic of Korea also saw double-digit growth with a 11.5% increase.

The top 10 PCT filing countries in 2015 were the same as in 2014, with the United States of America (26.3% of all filings), Japan (20.3%) and China (13.7%) continuing to hold the top three places. Filings from the three Asian countries of Japan, China and the Republic of Korea made up 40.7% of all PCT filings, and filings from the member States of the European Patent Convention, when grouped together, made up 27%. The total for each country in the top 10, followed by that country's percentage share of all filings, were as follows:

1. United States of America	57,385	26.3%
2. Japan	44,235	20.3%
3. China	29,846	13.7%
4. Germany	18,072	8.3%
5. Republic of Korea	14,626	6.7%
6. France	8,476	3.9%
7. United Kingdom	5,313	2.4%
8. Netherlands	4,357	2.0%
9. Switzerland	4,280	2.0%
10. Sweden	3,858	1.8%

<sup>1</sup> It is important to note that this total, as well as the figures which follow, are provisional, due to the fact that not all PCT applications that were filed with national and regional Offices in 2015 have been received at the International Bureau, and the final figures will only be available later in the year.

For information on the number of filings from other countries, as well as a comparison with filings in 2014, see Annex 1 of WIPO Press Release PR/2016/788 at:

[http://www.wipo.int/pressroom/en/articles/2016/article\\_0002.html](http://www.wipo.int/pressroom/en/articles/2016/article_0002.html)

The top three applicants were the same as in 2014: Huawei Technologies Co., Ltd. (CN) was the top PCT user, with 3,898 PCT applications published in 2015, Qualcomm Incorporated (US) was second with 2,442, followed by ZTE Corporation (CN) with 2,155.

The top ten applicants are listed below, with the number of PCT applications that were published in their names in 2015:

1. Huawei Technologies Co. Ltd (CN)	3,898
2. Qualcomm Incorporated (US)	2,442
3. ZTE Corporation (CN)	2,155
4. Samsung Electronics Co., Ltd (KR)	1,683
5. Mitsubishi Electric Corporation (JP)	1,593
6. Telefonaktiebolaget LM Ericsson (publ) (SE)	1,481
7. LG Electronics Inc. (KR)	1,457
8. Sony Corporation (JP)	1,381
9. Koninklijke Philips Electronics NV (NL)	1,378
10. Hewlett-Packard Development Company, L.P. (US)	1,310

A list of the top 50 PCT applicants has been published in the press release (Annex 2). Of the top 10 educational institutions, eight were from the U.S., one was from China and one was from Japan. Further details can be seen in the press release (Annex 3).

As far as PCT filings by field of technology are concerned, following the trend of 2014, computer technology, with 16,385 published PCT applications, accounted for the largest share of PCT applications with 8.2% of the total, followed closely by digital communication with 16,047, and then by electrical machinery, apparatus, energy (14,612) and medical technology (12,633). Detailed information regarding the distribution of fields of technology of published applications can be found in the press release (Annex 4).

The publication of the final figures for 2015 filings (in the form of the Yearly Review of the PCT) will be announced in the *PCT Newsletter* later in the year.

## **PCT Information Update**

### **AT Austria (who can act as agent)**

There has been a change in the address and Internet address of the Österreichische Patentanwaltskammer, from which the list of patent attorneys who are entitled to act as agent before the Austrian Patent Office as receiving and designated (or elected) Office may be



obtained. The new address is: Österreichische Patentanwaltskammer, Linke Wienzeile 4/1/9, A-1060 Wien, Austria (<http://www.patentanwalt.at>).

(Updating of *PCT Applicant's Guide*, Annex B1 (AT) and National Chapter, Summary (AT))

### **BE Belgium (location and mailing address)**

The location and mailing address and the e-mail address of the Intellectual Property Office (Belgium) have changed, as follows:

Location and  
mailing address:           Atrium C  
                                      Rue du Progrès 50  
                                      B-1210 Bruxelles  
                                      Belgium

E-mail                           opridie-tech@economie.fgov.be

(Updating of *PCT Applicant's Guide*, Annex B1 (BE))

### **CA Canada (e-mail address)**

The e-mail address of the Canadian Intellectual Property Office has changed, as follows:

E-mail:                        ic.contact-contact.ic@canada.ca

(Updating of *PCT Applicant's Guide*, Annex B1 (CA))

### **CN China (telephone and fax numbers; e-mail address)**

The telephone numbers of the State Intellectual Property Office of the People's Republic of China have changed, and the Office has deleted one of its fax numbers and notified its e-mail address. The numbers and address to be used are as follows:

Telephone:                   (86-10) 62 35 66 55 (customer service)  
                                      (86-10) 62 08 84 76 (PCT international phase matters)  
                                      (86-10) 62 08 83 00 (PCT national phase matters)

Fax:                           (86-10) 62 01 94 51 (PCT international phase matters)

E-mail:                        pct\_affairs@sipo.gov.cn

(Updating of *PCT Applicant's Guide*, Annex B1 (CN))

### **EP European Patent Office (fees)**

As from 1 April 2016, there will be a change in the amount of the fee for requesting restoration of the right of priority, payable to the European Patent Office (EPO) as receiving Office. The new amount will be EUR 640.

As from the same date, there will be a change in the amounts of the following national fees, payable to the EPO as designated (or elected) Office (other fees not indicated will not change):

Designation fee for one or more EPO Contracting States designated: .....	EUR	585
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## Claims fee:

- for the 16th and each subsequent claim up to the limit of 50: ..... [No change]
- for the 51st and each subsequent claim: ..... EUR 585

## Search fee:

- for (international) applications filed before 1 July 2005: ..... EUR 885
- for (international) applications filed on or after 1 July 2005: ..... EUR 1,300

## Fee for further processing:

- in the event of late payment of a fee: ..... [No change]
- other cases: ..... EUR 255

## Examination fee:

- for (international) applications filed before 1 July 2005: ..... EUR 1,825
- for (international) applications filed on or after 1 July 2005 for which no supplementary European search report is drawn up: ..... EUR 1,825
- for all other (international) applications filed on or after 1 July 2005: ..... EUR 1,635

Renewal fee for the third year: ..... EUR 470

(Updating of *PCT Applicant's Guide*, Annex C (EP) and National Chapter, Summary (EP))

### FI Finland (provisional protection after international publication)

There has been a change in the provisions concerning provisional protection in Finland after international publication, as follows:

Where the designation is made for the purposes of a national patent:

After international publication, the furnishing of a translation into Finnish or Swedish or, if the international application was filed in Finnish or Swedish, a copy of the international application as filed or, if the application or translation was filed in English a translation of the claims into Finnish or Swedish, gives the applicant provisional protection in the sense that he, upon grant of the patent, is entitled to damages. These are limited to what is judged reasonable under the circumstances and the protection is limited to what is claimed in both the application and the patent. See Sections 33, 58 and 60 of the Patent Law.

Where the designation is made for the purposes of a European patent:

Compensation reasonable in the circumstances, on condition that any national requirements relating to the translation into Finnish (or into Swedish if the applicant's

own language is Swedish) of the claims of the application have been met, and upon grant of the patent. Protection is limited to what is claimed in both the application and the patent.

(Updating of *PCT Applicant's Guide*, Annex B1 (FI))

### **HU Hungary (special requirements for entry into the national phase; who can act as agent)**

There has been a change in one of the requirements under PCT Rule 51*bis* of the Hungarian Intellectual Property Office as receiving Office and designated (or elected) Office. The requirement concerning the appointment of an agent now reads as follows:

Appointment of an agent if the applicant has neither a residence nor his principal place of business within the territory of the European Union or in a State party to the Agreement on the European Economic Area

There has also been a change as to who can as agent – any authorized representative, such as a Hungarian patent attorney or attorney-at-law or registered European patent attorney may now act as agent. The list of registered patent attorneys is available on the website of the Hungarian Chamber of Patent Attorneys (HCPA) at:

<http://www.szabadalmikamara.hu/index.aspx?MN=Tisztsegviselok&LN=English>

(Updating of *PCT Applicant's Guide*, Annex C (HU) and National Chapter, Summary (HU))

### **JP Japan (fees)**

The Japan Patent Office has notified the following fee, payable to it as receiving Office in respect of international applications filed on or after 1 April 2016:

Fee for transmitting copies of earlier search and other documents:.....	JPY	1,700
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The amount of the following national fee, payable to the Office as designated (or elected) Office, will change with effect from 1 April 2016:

Filing fee (for patent): .....	JPY	14,000
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(Updating of *PCT Applicant's Guide*, Annex C (JP) and National Chapter, Summary (JP))

### **LV Latvia (institutions with which deposits of microorganisms and other biological material may be made)**

The address of the Microbial Strain Collection of Latvia (MSCL), an International Depositary Authority under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure, has changed, as follows:

Microbial Strain Collection of Latvia (MSCL)  
Jelgavas str. 1  
Riga, LV-1004  
Latvia

(Updating of *PCT Applicant's Guide*, Annex L)

**MD Republic of Moldova (fees)**

The State Agency on Intellectual Property (Republic of Moldova) has notified the following new component of the national fee, payable to it as designated (or elected) Office:

For patent:

Fee for claiming priority: ..... EUR 100

The following Internet address has been included as a footnote to the information on exemptions, reductions or refunds of the national fee, as a reference for further information:

<http://agepi.gov.md/en/inventions/fees>

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (MD))

**ME Montenegro (location and mailing address; telephone and fax numbers; e-mail and Internet addresses; competent receiving Office)**

The location and mailing address, the telephone and fax numbers and the e-mail and Internet addresses of the Intellectual Property Office (Montenegro) have changed, as follows:

Location and  
mailing address: Rimski trg br. 46  
81 000 Podgorica  
Montenegro

Telephone: (382) 20 234 591

Fax: (382) 20 234 592

E-mail: ziscg@t-com.me

Internet: www.ziscg.me

The Office has notified the International Bureau (IB) that, with effect from 8 August 2015, it acts as receiving Office, in addition to the receiving Office of the IB, for nationals and residents of Montenegro. Information on the requirements of the Office as receiving Office has been published in the *PCT Applicant's Guide* (see Annex C (ME)) on the PCT website at:

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexc/ax\\_c\\_me.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexc/ax_c_me.pdf)

(Updating of *PCT Applicant's Guide*, Annex B1 (ME))

**RU Russian Federation (institutions with which deposits of microorganisms and other biological material may be made)**

The Government of the Russian Federation has notified the IB that the status of the National Research Center of Antibiotics (NRCA) as an international depository authority (IDA) under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure will terminate on 31 March 2016. It will therefore no longer be possible to deposit microorganisms and other biological material with that IDA. Information on

the termination of activity of the NRCA is available on the website of the Federal Service for Intellectual Property (Rospatent) at:

<http://www.rupto.ru>

(Updating of *PCT Applicant's Guide*, Annex L)

### **SE Sweden (fees)**

There has been a change in the footnote relating to the due date for payment of the annual fee for the first three years, a component of the national fee payable to the Swedish Patent and Registration Office as designated (or elected) Office. The footnote now reads:

These fees are due on the last day of the month containing the second anniversary (24 months) of the international filing date; where PCT Article 22 or 39(1) applies, they are payable within two months after performing the acts for entering the national phase, unless the 24-month time limit has not yet expired.

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (SE))

### **TR Turkey (national security provisions)**

The Turkish Patent Institute has provided details to the IB concerning restrictions in its national legislation which are applicable when filing international applications with other Offices – see the *PCT Applicant's Guide*, Annex B1 (TR), and the table entitled “International applications and national security considerations” at:

[http://www.wipo.int/pct/en/texts/nat\\_sec.html](http://www.wipo.int/pct/en/texts/nat_sec.html)

### **US United States of America (competent International Searching and Preliminary Examining Authorities)**

The United States Patent and Trademark Office has specified, with effect from 1 April 2016, the Intellectual Property Office of Singapore, in addition to the Australian Patent Office, the European Patent Office, the Federal Service for Intellectual Property (Rospatent) (Russian Federation), the Israel Patent Office, the Japan Patent Office, the Korean Intellectual Property Office and the United States Patent and Trademark Office (USPTO), as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of the United States of America with the USPTO (or the IB) as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (US))

### **Search fee and other fees relating to international search (European Patent Office, Japan Patent Office, Intellectual Property Office of Singapore)**

Further to the information published in *PCT Newsletter* No. 02/2016 regarding a new amount of the search fee payable, with effect from 1 April 2016, to the Japan Patent Office (JPO) for an international search carried out in English, equivalent amounts in SGD and USD of that fee have been established (in addition to the equivalent amounts already established in CHF and EUR), as indicated in Fee Table I(b).

Also, following the specification, with effect from 1 April 2016, by the JPO of the Intellectual Property Office of Singapore (IPOS) as competent International Searching and Preliminary Examining Authority for international applications filed in English by nationals and residents of

Japan with the JPO (or the IB) as receiving Office (see *PCT Newsletter* No. 02/2016, page 7), an equivalent amount in JPY has been established for the search fee for an international search carried out by IPOS. This amount, applicable from that date, is JPY 186,300.

As from 1 April 2016, there will be a change in the amount of the protest fee payable to the European Patent Office as International Searching Authority. The new amount will be EUR 875.

(Updating of *PCT Applicant's Guide*, Annex D (EP), (JP) and (SG))

### **Fees relating to the supplementary search (European Patent Office)**

As from 1 April 2016, there will be a change in the amount of the review fee payable to the European Patent Office as Authority specified for supplementary search. The new amount will be EUR 875.

(Updating of *PCT Applicant's Guide*, Annex SISA (EP))

### **Fees relating to preliminary examination (European Patent Office)**

As from 1 April 2016, there will be a change in the amount of the protest fee payable to the European Patent Office as International Preliminary Examining Authority. The new amount will be EUR 875.

(Updating of *PCT Applicant's Guide*, Annex E (EP))

## **Distance Learning Course on the PCT**

### **Availability of course certificate**

A course certificate can now be automatically generated upon successful completion of the basic distance learning course on the PCT – “DL101 PCT Distance Learning Course: Introduction to the Patent Cooperation Treaty”. It is recalled that the course provides an introduction and general overview of the PCT System and is updated regularly in all ten PCT languages. It is entirely self-study, with assessment questions to measure understanding and progress. If you are interested in taking part in the course, which is free of charge, you may register on the following web page of the WIPO Academy at:

<http://welc.wipo.int>

## **New/Updated PCT Resources**

### ***PCT Newsletter* index 2015**

The 2015 annual index for the *PCT Newsletter*, which contains two separate indexes, one by alphabetical order of subject and one by alphabetical order of countries and Offices, is now available in PDF format at:

[http://www.wipo.int/edocs/pctndocs/en/2015/pct\\_news\\_2015\\_14.pdf](http://www.wipo.int/edocs/pctndocs/en/2015/pct_news_2015_14.pdf)

## **PCT Legal Text Index**

The PCT Legal Text Index, which provides references to the Articles, Rules, Administrative Instructions, Forms and various PCT Guidelines, has been updated with references to legal texts in force as of 1 July 2015, and is available in English at:

[http://www.wipo.int/pct/en/texts/pdf/legal\\_index.pdf](http://www.wipo.int/pct/en/texts/pdf/legal_index.pdf)

## **Archives of PCT webinars**

It is recalled that video archives and PowerPoint presentations of past PCT webinars are available at:

<http://www.wipo.int/pct/en/seminar/webinars/index.html>

Archives of the following recent webinars are available:

- ePCT-Filing (10 March 2016)
- General Introduction to the PCT (25 February 2016)
- PCT Overview (11 February 2016)
- Update on the Patent Cooperation Treaty (12 November 2015)

and an archive of the webinar on ePCT actions (to be held on 17 March 2016) will be posted shortly. Furthermore, the recordings and PowerPoint presentations of the 2015 PCT update webinars are also available in Arabic, Chinese, German, Japanese, Korean, Portuguese and Spanish by clicking on the respective links under “Webinars in other Languages” on the right hand side of the above-mentioned web page. The French versions will be available shortly.

## **Quality reports**

In accordance with the PCT International Search and Preliminary Examination Guidelines, paragraphs 21.26 and 21.27, the International Searching and Preliminary Examining Authorities make annual reports on the quality management systems which they have implemented for their work as International Authorities. The complete set of reports for 2015, including an initial report prepared by the recently appointed Visegrad Patent Institute (VPI), is now available at:

<http://www.wipo.int/pct/en/quality/authorities.html>

## **More resources available in languages other than English**

Information on international applications and national security considerations is now available in Arabic and Portuguese at, respectively:

[http://www.wipo.int/pct/ar/texts/nat\\_sec.html](http://www.wipo.int/pct/ar/texts/nat_sec.html)

[http://www.wipo.int/pct/pt/texts/nat\\_sec.html](http://www.wipo.int/pct/pt/texts/nat_sec.html)

## Warning About Requests for Payment of Fees

### New invitations

Further to the many warnings that have been published in the *PCT Newsletter* about invitations to PCT applicants and agents to pay fees that do not come from the International Bureau of WIPO (IB) and are unrelated to the processing of international applications under the PCT, new invitations have been identified originating from “**IIP – International Intellectual Property Office**” and “**IPTI – International Patents & Trademark Index**”. To view examples of the invitations, as well as the many other examples which have been brought to the attention of WIPO by PCT users, and for further information on such requests in general, see:

[http://www.wipo.int/pct/en/warning/pct\\_warning.html](http://www.wipo.int/pct/en/warning/pct_warning.html)

PCT applicants and agents should note that it is the IB alone which publishes all PCT applications promptly after the expiration of 18 months from the priority date (see PCT Article 21(2)(a)); there is no separate fee for such international publication, and the legal effects of international publication are set out in PCT Article 29.

If they have not already done so, PCT applicants and agents are advised to bring this information to the attention of the people responsible for handling payments of fees within their organizations, as well as to the attention of inventors who might also receive such requests. In case of doubt in relation to any such invitation, please do not hesitate to contact the IB at:

Telephone: (+41-22) 338 83 38

Fax: (+41-22) 338 83 39

E-mail: [pct.legal@wipo.int](mailto:pct.legal@wipo.int)

We would also like to encourage PCT applicants, agents or inventors (PCT users) to take action with their governments or consumer protection associations. A suggested text for making complaints and a list of “Government authorities/consumer protection associations receiving complaints” are available at the above-mentioned web page.

## PCT in the News

A link to the following articles in the *WIPO Magazine* (No. 1/2016) has been added to the “PCT in the News” page of the PCT website.

### Tackling energy poverty the Nokero way

Steve Katsaros, a former US patent agent, and his team at Nokero (standing for “no kerosene”) have been tackling energy poverty by developing and distributing low-cost, eco-friendly solar-powered lights to some of the 1.3 billion people in the world who still live without access to electricity, many of whom rely on kerosene, an expensive, poor-quality and polluting lighting source, to illuminate their homes. He discusses the role that intellectual property rights play in his business, and, as far as patenting is concerned, explains: “because we operate in so many different markets, we use WIPO’s Patent Cooperation Treaty (PCT). Every start-up has limited funds and the PCT is a great mechanism for delaying patent filing costs, allowing time to test the market and overcome any unforeseen technical problems. Without the PCT, protecting an invention in international markets would be a high-risk strategy with huge upfront costs”.



So far, Nokero has distributed over 1.4 million lights across 120 countries. In recognition of its efforts in addressing development challenges, Nokero was a 2013 winner of the “Patents for Humanity” competition run by the United States Patent and Trademark Office.

### Five tips for managing your patent assets

Jason M. Schwent, a Partner at Thompson Coburn LLP (United States of America), presents five tips for managing patent assets. His first tip is to prioritize patenting and maximize patent assets, noting that “Maximizing patent assets also means educating your management on the costs associated with patenting technology. Patent acquisition is not cheap, although there are systems such as the Patent Cooperation Treaty (PCT) which offer a cost-effective means of protecting patents internationally... Patenting costs need to be seen as an investment in the company and not expenses to be cut.”

The full articles and other extracts from the *WIPO Magazine* are available at:

[http://www.wipo.int/pct/en/news/pct\\_news.html](http://www.wipo.int/pct/en/news/pct_news.html)

The *WIPO Magazine*, Issue No. 1/2016 is available at:

[http://www.wipo.int/wipo\\_magazine/en/pdf/2016/wipo\\_pub\\_121\\_2016\\_01.pdf](http://www.wipo.int/wipo_magazine/en/pdf/2016/wipo_pub_121_2016_01.pdf)

## Practical Advice

### The extent to which the physical requirements prescribed in the PCT Regulations must be complied with, and possible inconsistencies in the way in which such compliance is checked.

*Q: My clients have the option of filing with three different receiving Offices, and I have filed PCT applications on their behalf with each of those Offices. Even though I know that it is not good practice, I occasionally have to file an application in a hurry, in order to meet the priority year deadline, while being aware that some of the physical requirements under PCT Rule 11 have probably not been fully complied with, but knowing that any such defects can be corrected later without affecting the international filing date. However, I have noticed that there is sometimes an inconsistency in the extent to which each Office requests the correction of physical defects. Sometimes I think that there is a defect in an application that will be objected to, but am not requested to correct that defect, whereas I might be requested to correct a similar defect in a different application. Why is there an inconsistency in the way in which the physical requirements of PCT applications are checked?*

**A:** It is recalled that the receiving Office checks, in accordance PCT Article 14(1)(a)(v), whether the international application contains any defects with regard to the physical requirements as prescribed under PCT Rule 11, and if the receiving Office finds any such defects, it invites the applicant to correct the international application within the time limit prescribed in the invitation.

If the physical requirements of the PCT are complied with in the international phase, then the international application, when it enters the national phase, should normally be accepted without the need to correct any physical requirements (according to PCT Article 27(1): “No national law shall require compliance with requirements relating to the form or contents of the international application different from or additional to those which are provided for in this Treaty and the Regulations”).

Note, however, that even though PCT Rule 11 lists a significant amount of physical requirements relating to PCT applications, there is in fact a “ceiling” on how strictly these Rules are supposed to be applied in the context of the PCT. PCT Rule 26.3 states: “Where the international application is filed in a language of publication, the receiving Office shall check (i) the international application for compliance with the physical requirements referred to in Rule 11 **only to the extent that compliance therewith is necessary for the purpose of reasonably uniform international publication** [emphasis added];...” and PCT Rule 26.3bis likewise states: “The receiving Office shall not be required to issue the invitation under Article 14(1)(b) to correct a defect under Rule 11 where the physical requirements referred to in that Rule are complied with to the extent required under Rule 26.3”. Formality examiners therefore have the role of attempting to balance the PCT Rule 11 requirements with this standard, which is not always easy and may result in inconsistencies of treatment (or not) of any defects.

Uniformity of practice, and application of the standards which are set out in the PCT Regulations, Administrative Instructions under the PCT and PCT Receiving Office Guidelines is a challenge—with more than 100 national and regional patent offices, as well as the International Bureau (IB), which act as PCT receiving Offices, and levels of experience of the formality examiners in those offices which differ widely and can suffer from high turnover rates, it is an ongoing challenge for the PCT to have all offices treat applications the same way. But the formality examiners of the IB are indeed supposed to play the role of “second pair of eyes” in order to ensure that approaches to such issues are more uniformly applied, stepping in to spot and prompt correction of issues which may not have initially been noticed by the formality examiner at the receiving Office. This double-check by the IB is provided for under PCT Rule 28.1, and if, in the opinion of the IB, the PCT application contains any of the defects referred to in PCT Article 14(1)(a)(i), (ii) or (v), it will send Form PCT/IB/313 (“Notification of Defects in the International Application”) to the receiving Office, pointing out what those defects are.

It is certain that if the PCT formality rules were more strictly applied, there would be greater compliance on the part of applicants. On the other hand, many PCT applications would also be considered withdrawn under PCT Article 14(1)(b) and the PCT seeks to avoid such situations. The PCT Receiving Office Guidelines in fact state (paragraph 159): “In any event, in view of Rule 26.3, the receiving Office should, in general, not declare the international application withdrawn for failure to comply with the physical requirements under Rule 11; only in extreme cases of non-compliance with those requirements should the receiving Office make such declaration.” The “reasonably uniform international publication” standard, which tempers the application of the physical requirements in PCT Rule 11, is manifestly a difficult one for PCT receiving Offices to apply, since only the IB actually does the publishing of PCT applications. For applicants, compliance with the PCT Rule 11 requirements is supposed to provide them with a safe harbor—they should not be asked to make corrections if they comply with them, even if not all of the requirements are strictly applied.

Although the current PCT system may not be as satisfying as one in which all requirements were strictly enforced, and that inefficiencies can certainly result where broader standards are in play, this is the PCT system as it stands today, trying to balance uniformity with reasonableness, especially when taking into account the diversity of all the Offices under the PCT, and the likewise diversity of the applicants who use the PCT system in all of its member States.

Remember that, even if the receiving Office fails to invite you to correct certain physical defects in the application, if there are such “defects” that you would like to correct, there is nothing to prevent you from sending replacement sheets containing the correction to the receiving Office on your own initiative (see the PCT Receiving Office Guidelines, paragraph 209), asking the receiving Office, in an accompanying letter, to replace the “defective” sheets with the replacement sheets, clearly drawing attention to the differences between the two sets of sheets (see PCT Rule 26.4). It is recommended that you send any such request to the receiving Office as early as possible, to allow enough time for it to be forwarded to the IB and then processed by the IB before the completion of technical preparations for international publication. As an extra precaution, you could also follow-up with the receiving Office to check whether the sheets have been submitted promptly to the IB.

<b>PCT Seminar Calendar</b>			
(http://www.wipo.int/pct/en/seminar/seminar.pdf)			
(situation on 16 March 2016)			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>1 April 2016 Guatemala City (GT)</b>	Spanish	WIPO Seminar on the PCT WIPO speaker: Mr. Hernández Vigaud Other speaker: Mr. Crew Araya (Chile), Mr. Mazariegos (RPI, Guatemala)	Registro de la Propiedad Intelectual, Ministerio de Economía Tel: (502) 232 470 70
<b>4 April 2016 Silicon Valley (Santa Clara), CA (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: <a href="mailto:contact@pctlearningcenter.org">contact@pctlearningcenter.org</a> Internet: <a href="http://pctlearningcenter.org">pctlearningcenter.org</a>
<b>6 April 2016 San Diego (Del Mar), CA (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: <a href="mailto:contact@pctlearningcenter.org">contact@pctlearningcenter.org</a> Internet: <a href="http://pctlearningcenter.org">pctlearningcenter.org</a>
<b>8 April 2016 Houston, TX (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: <a href="mailto:contact@pctlearningcenter.org">contact@pctlearningcenter.org</a> Internet: <a href="http://pctlearningcenter.org">pctlearningcenter.org</a>
<b>12 April 2016 Frankfurt (DE)</b>	German	PCT seminar – “Recent Developments in the PCT System” WIPO speaker: Mr. Reischle-Park Other speaker: Mr. Naumann (formerly European Patent Office)	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: <a href="mailto:info@ipforip.de">info@ipforip.de</a> Internet: <a href="http://www.ipforip.de">www.ipforip.de</a>
<b>13 April 2016 Nashville, TN (US)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Bryan Other WIPO speakers on other IP topics: Mr. Bisson, Mr. Forno, Mr. MacStravic and Mr. Ruggerio	WIPO, United States Patent and Trademark Office and Vanderbilt University Law School For further information and link to registration form: <a href="http://www.aipla.org/learningcenter/2016WIP OSeminars/Pages/default.aspx">http://www.aipla.org/learningcenter/2016WIP OSeminars/Pages/default.aspx</a>
<b>15 April 2016 Atlanta, GA (US)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Bryan Other WIPO speakers on other IP topics: Mr. Bisson, Mr. Forno, Mr. MacStravic and Mr. Ruggerio	WIPO, United States Patent and Trademark Office and the IP Section of the Georgia State Bar For further information and link to registration form: <a href="http://www.aipla.org/learningcenter/2016WIP OSeminars/Pages/default.aspx">http://www.aipla.org/learningcenter/2016WIP OSeminars/Pages/default.aspx</a>
<b>19 April 2016 The Hague (NL)</b>	English	PCT presentation in the framework of the annual conference of Platform Formalities Officers WIPO speaker: Mr. Reischle-Park	Platform Formalities Officers (Mr. Arthur van Steenberg) E-mail: <a href="mailto:secretariaat@formalitiesofficers.nl">secretariaat@formalitiesofficers.nl</a>

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<b>PCT Seminar Calendar [continued]</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>9 May 2016 Istanbul (TR)</b> <i>(a limited number of places are available to the public)</i>	English	PCT seminar in the framework of the second year of basic training WIPO speaker: Mr. Sim	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Ms. Selda Arkan) Tel: (90-212) 293 32 42 Fax: (90-212) 244 51 21 E-mail: selda.arkan@alfapatent.com.tr; alfa@alfapatent.com.tr
<b>10 May 2016 Osaka (JP)</b>	Japanese	Workshop on the PCT system WIPO speakers: Mr. Tachibana and Mr. Hasebe	Japan Patent Attorneys Association (JPAA) Tel: (81-3) 35 19 23 60 Fax: (81-3) 35 81 12 05
<b>11-12 May 2016 Munich (DE)</b>	German	Basic PCT Seminar WIPO speakers: Mr. Reischle-Park and Ms. Happe	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>12 May 2016 Nagoya (JP)</b>	Japanese	Workshop on the PCT system WIPO speakers: Mr. Tachibana and Mr. Hasebe	Japan Patent Attorneys Association (JPAA) Tel: (81-3) 35 19 23 60 Fax: (81-3) 35 81 12 05
<b>16 May 2016 Tokyo (JP)</b>	Japanese	Workshop on the PCT system WIPO speakers: Mr. Tachibana and Mr. Hasebe	Japan Patent Attorneys Association (JPAA) Tel: (81-3) 35 19 23 60 Fax: (81-3) 35 81 12 05
<b>9-10 June 2016 Stockholm (SE)</b>	English	PCT seminar WIPO speakers: Mr. Reischle-Park and Mr. Henninger	IP-akademin (Ms. Rut Herbjørnsen) Tel: (46-708) 808 342 E-mail: info@ipakademin.se Internet: www.ipakademin.se
<b>16-17 June 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>16 September 2016 Lisbon (PT)</b> <i>(amended date – previously indicated as 15 September) (a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. João Amaral) Tel: (351) 218 818 100 Fax: (351) 218 878 508 E-mail: jamaral@inpi.pt Internet: www.inpi.pt
<b>3 October 2016 Zurich (CH)</b>	German	Seminar on the latest developments concerning the PCT (CEIPI Course) WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Hanjörg Kley) Tel: (41-52) 226 00 00 Fax: (41-52) 226 00 09 E-mail: hansjoerg@kley.ch
<b>6 October 2016 Barcelona (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Antonio López-Carrasco Comajuncosas) Tel: (34-93) 123 31 01 E-mail: antonio.lopez-carrascocomajuncosas@telefonica.com
<b>12-13 October 2016 Munich (DE)</b>	German	Advanced PCT Seminar WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de

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**PCT Seminar Calendar [continued]**

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>28 October 2016 London (GB)</b>	English	PCT seminar within the framework of the 15 <sup>th</sup> Annual Conference for Senior Patent Administrators (27-28 October 2016) WIPO speaker: Mr. Reischle-Park	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>2-3 November 2016 Munich (DE)</b>	German	PCT update/conference WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>18-19 November 2016 Cracow (PL)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl
<b>24-25 November 2016 Dresden (DE)</b>	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>1-2 December 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>6-7 December 2016 Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms. Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>8 December 2016 Paris (FR)</b>	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (see Paris, above)

**PCT Webinars**

(http://www.wipo.int/pct/en/seminar/webinars/index.htm)

<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>17 March 2016</b> 08:30–09:30 CET	English	PATENTSCOPE webinar: How to build complex queries in PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/8798711330682238977">https://attendee.gotowebinar.com/rt/8798711330682238977</a>
<b>17 March 2016</b> 15:00–16:00 CET (10:00–11:00 New York time)	English	ePCT Actions WIPO speaker: Mr. Sim	<a href="https://attendee.gotowebinar.com/register/7140008980381588737">https://attendee.gotowebinar.com/register/7140008980381588737</a>



<b>PCT Events Without WIPO speakers<sup>1</sup></b>			
<b>Dates and location</b>	<b>Language of event</b>	<b>Nature of seminar; Speakers</b>	<b>Organizer and contact numbers</b>
<b>5 April 2016 San Diego (Del Mar), CA (US)</b>	English	PCT Boot Camp Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: <a href="mailto:contact@pctlearningcenter.org">contact@pctlearningcenter.org</a> Internet: <a href="http://pctlearningcenter.org">pctlearningcenter.org</a>
<b>17 May 2016 Minneapolis, MN (US)</b>	English	Advanced PCT Seminar for Patent Practitioners Speaker from USPTO: to be announced	Schwegman, Lundberg & Woessner For pre-registration, contact: Ms. Mary E. Hirsch Tel: (1-612) 371 21 43 Fax: (1-612) 339 30 61 E-mail: <a href="mailto:mhirsch@slwip.com">mhirsch@slwip.com</a>

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.

## PCT Fee Tables

### (amounts on 1 March 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

#### Key to abbreviations used in fee tables:

eq	equivalent of –	BRL	Brazilian real	HUF	Hungarian forint	MXN	Mexican peso	TND	Tunisian dinar
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TTD	Trinidad and Tobago dollar
ISA	International Searching Authority	CAD	Canadian dollar	ILS	New Israeli sheqel	NOK	Norwegian krone	UAH	Ukrainian hryvnia
RO	receiving Office	CHF	Swiss franc	INR	Indian rupee	NZD	New Zealand dollar	USD	US dollar
		CLP	Chilean peso	IRR	Iranian rial	PEN	Nuevo sol	VND	Vietnamese dong
		CNY	Yuan renminbi	ISK	Icelandic krona	PGK	Kina	XAF	CFA franc BEAC
		COP	Colombian peso	JPY	Japanese yen	PHP	Philippine peso	ZAR	South African rand
		CUC	Cuban convertible peso	KES	Kenyan shilling	PLN	Polish zloty	ZWD	Zimbabwe dollar
		CZK	Czech koruna	KGS	Kyrgyz som	QAR	Qatari riyal		
ALL	Albanian lek	DKK	Danish krone	KPW	Won	RON	New leu		
AMD	Armenian dram	DZD	Algerian dinar	KRW	Won	RSD	Serbian dinar		
AUD	Australian dollar	EGP	Egyptian pound	KZT	Kazakh tenge	RUB	Russian rouble		
AZN	Azerbaijani manat	EUR	Euro	LSL	Lesotho loti	SDG	Sudanese pound		
BAM	Convertible mark	GBP	Pound sterling	LYD	Libyan dinar	SEK	Swedish krona		
BGN	Bulgarian lev	GHG	Ghanaian cedi	MAD	Moroccan dirham	SGD	Singapore dollar		
BHD	Bahraini dinar	GTQ	Quetzal	MKD	Macedonian denar	THB	Baht		
BND	Brunei dollar	HRK	Croatian kuna	MWK	Malawian kwacha	TJS	Tajik somoni		

#### Table I(a) — Transmittal and international filing fees

(amounts on 1 March 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1, 2</sup> (CHF 1,330)		Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
						Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available								CA
AL	ALL	9,000	CHF	1,330	15	–	–	–	EP
AM	AMD	32,000	USD	1,363	15	–	–	–	EP RU
AP	USD	50	USD	1,363	15	–	–	–	AT EP SE
	(or eq in local currency)								
AT	EUR	52	EUR	1,219	14	–	183	275	EP
AU	AUD	200	AUD	1,922	22	–	289	434	AU KR
AZ	AZN	35.40	USD	1,363	15	–	205	307	EP RU
BA	BAM	50	EUR	1,219	14	–	–	–	EP
BE	EUR	120	EUR	1,219	14	–	–	–	EP
BG	BGN	80	BGN eq CHF	1,330	eq CHF 15	–	–	–	EP RU
BH	BHD	70	USD	1,363	15	–	–	–	AT EP US
BN	BND	150	BND eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU JP EP
BR	BRL <sup>8</sup>	online: 175 on paper: 260	BRL eq CHF	1,330	eq CHF 15	–	eq CHF 200	–	AT BR EP SE US
BW	USD	32	USD	1,363	15	–	–	–	EP
BY	USD	50	USD	1,363	15	–	–	–	EP RU
BZ	BZD	300	USD	1,363	15	–	–	–	CA EP
CA	CAD	300	CAD	1,782	20	–	268	402	CA
CH	CHF	100	CHF	1,330	15	–	–	–	EP
CL	CLP eq USD <sup>9</sup>	130	CLP eq USD <sup>9</sup>	1,363	eq USD <sup>9</sup> 15	–	eq USD 205	eq USD 307	CL EP ES KR US
CN	CNY	500	CNY eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 March 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
CO	COP 952,000 <sup>10</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR CL EP ES RU
CR	USD 250	USD 1,363	15	–	–	–	CL EP ES
CU	CUC 200	CUC 1,363	15	–	205	307	AT BR CL EP ES RU
CY	EUR 191	EUR 1,219	14	–	–	–	EP
CZ	CZK 1,500	EUR 1,219	14	–	183	275	EP
DE	EUR 90	EUR 1,219	14	–	183	275	EP
DK	DKK 1,500	DKK 9,090	100	–	1,370	2,050	EP SE XN
DM	Information not yet available						
DO	USD 275	USD 1,363	15	–	–	–	CL EP ES US
DZ	DZD None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	AT EP
EA	RUB 1,600	USD 1,363	15	–	205	307	EP RU
EC	USD <sup>11</sup> –	USD 1,363	15	–	–	–	CL EP ES
EE	EUR 120	EUR 1,219	14	–	183	275	EP
EG	USD 142	USD 1,363	15	–	–	–	AT EG EP US
EP	EUR 130	EUR 1,219	14	92	183	275	EP
ES	EUR 74.99	EUR 1,219	14	–	183	275	EP ES
FI	EUR 135	EUR 1,219	14	–	183	275	EP FI SE
FR	EUR 62	EUR 1,219	14	–	183	275	EP
GB	GBP 75	GBP 899	10	–	135	203	EP
GD	Information not yet available						
GE	USD <sup>12</sup> 100	USD 1,363	15	–	–	–	AT EP IL RU US
GH	GHS <sup>13</sup> 2,500 or 5,000	USD 1,363	15	–	–	–	AT AU CN EP SE
GR	EUR 115	EUR 1,219	14	–	–	–	EP
GT	GTQ eq USD 250	USD 1,363	15	–	–	–	AT BR CL EP ES US
HN	USD 200	USD 1,363	15	–	–	–	EP ES
HR	HRK 200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
HU	HUF 11,800	HUF 380,800	HUF 4,300	–	57,300	85,900	EP RU
IB	CHF <sup>14</sup> 100 or EUR <sup>14</sup> 92 or USD <sup>14</sup> 102	CHF 1,330 or EUR 1,219 or USD 1,363	15 14 15	– – –	200 183 205	300 275 307	See footnote 15
ID	IDR 1,000,000	IDR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR RU SG
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363	15	–	205	307	EP IL US
IN	INR 17,600 (filing by natural person: 3,250; filing by small entity: 8,800)	USD 1,363	15	–	205	307	AT AU CN EP IN SE US
IR	IRR <sup>11</sup> –	IRR eq CHF 1,330	eq CHF 15	–	–	–	CN EP IN RU
IS	ISK 15,000	ISK 172,800	1,900	–	26,000	39,000	EP SE XN
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>16</sup>	JPY 164,200	1,900	–	–	37,000	EP JP (from 01.04.16: SG)
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363	15	–	–	–	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363	15	–	–	–	EP RU
KN	Information not yet available						
KN	EP						
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>17</sup> KR
KZ	KZT 10,264.80	USD 1,363	15	–	–	–	EP RU
LR	USD 45	USD 1,363	15	–	–	–	AT AU CN EP SE
LS	LSL <sup>11</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	EUR 92	EUR 1,219	14	–	183	275	EP RU

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 March 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
						Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
LU	EUR	19	EUR	1,219	14	–	–	–	EP
LV	EUR	70	EUR	1,219	14	–	183	275	EP RU
LY	LYD <sup>11</sup>	–	CHF	1,330	15	–	–	–	AT EP
MA	MAD	600 <sup>18</sup>	CHF	1,330	15	–	–	–	AT EP RU SE
MC	EUR	54 <sup>19</sup>	EUR	1,219	14	–	–	–	EP
MD	EUR	100	USD	1,363	15	–	–	–	EP RU
MK	MKD	2,700	MKD eq CHF	1,330	eq CHF 15	–	–	–	EP
MN		None	CHF	1,330	15	–	–	–	EP KR RU
MT	EUR	55	EUR	1,219	14	–	–	–	EP
MW	MWK	6,000	USD	1,363	15	–	–	–	EP
MX	USD	323.70 <sup>20</sup>	USD	1,363	15	–	205	307	CL EP ES SE SG US
MY	MYR	500 (e-filing) <sup>21</sup> 550 (paper filing) <sup>21</sup>	MYR eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD	200	USD	1,363	15	–	–	–	EP ES
NL	EUR	50	EUR	1,219	14	–	183	275	EP
NO	NOK	800	NOK	11,460	130	–	1,720	2,590	EP SE XN
NZ	NZD	207	NZD	2,094	24	–	315	472	AU EP KR US
OA	XAF <sup>11</sup>	–	XAF eq CHF	1,330	eq CHF 15	–	–	–	AT EP RU SE
PA	USD	200	USD	1,363	15	–	–	–	BR CL EP ES US
PE	PEN	233.35	PEN eq USD	1,363	eq USD 15	–	–	–	AT BR CL EP ES KR US
PG	PGK	250	USD	1,363	15	–	–	–	AU
PH	PHP	3,500	USD	1,363	15	–	205	307	AU EP JP KR US
PL	PLN	300	PLN eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
PT	EUR	20.82	EUR	1,219	14	–	183	275	EP
QA	QAR	400	QAR eq USD	1,363	eq USD 15	–	eq USD 205	eq USD 307	EG EP US
RO	RON	360	EUR	1,219	14	–	183	275	EP RU
RS	RSD	7,300 <sup>22</sup>	RSD eq CHF	1,330	eq CHF 15	–	–	–	EP
RU	RUB	850	USD	1,363	15	–	205	307	EP RU
RW	Information not yet available								
SA	USD	100	USD	1,363	15	–	205	307	CA EG EP KR RU
SC	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	EP
SD	SDG	50	SDG eq CHF	1,330	eq CHF 15	–	–	–	EG EP
SE	SEK	1,200	SEK	11,380	130	–	1,710	2,570	EP SE XN
SG	SGD	150	SGD	1,934	22	–	291	436	AT AU EP JP KR SG
SI	EUR	91	EUR	1,219	14	–	–	–	EP
SK	EUR	66 <sup>23</sup>	EUR	1,219	14	–	183	275	EP
SM	EUR	70	EUR	1,219	14	–	–	–	EP
SV	USD	200	USD	1,363	15	–	–	–	CL EP ES
SY	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	AT EP RU
TH	THB	3,000	THB eq CHF	1,330	eq CHF 15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>11</sup>	–	USD	1,363	15	–	–	–	EP RU
TM	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	EP RU
TN	TND <sup>11</sup>	–	CHF	1,330	15	–	–	–	EP
TR		None	CHF	1,330	15	–	eq CHF 200	eq CHF 300	EP
TT	TTD	750	USD	1,363	15	–	–	–	AT EP SE US
UA	UAH or eq EUR or USD <sup>24</sup>	1,300	USD (or eq UAH or EUR)	1,363	15	–	–	–	EP RU UA
US	USD	240 <sup>25</sup> small entity <sup>26</sup> : 120 <sup>25</sup> micro entity <sup>26</sup> : 60 <sup>25</sup>	USD	1,363	15	102	205	–	AU EP IL JP KR RU US (from 01.04.16: SG)
UZ	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	EP RU
VN	VND eq USD	150	VND eq CHF	1,330	eq CHF 15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR	500	ZAR (from 1.4.16: 20,680)	18,540 (230)	210 (230)	– (–)	2,790 (3,110)	4,180 (4,670)	AT AU EP US
ZM	USD	50	USD	1,363	15	–	–	–	AT SE
ZW	ZWD	6,000	ZWD eq USD	1,363	eq USD 15	–	–	–	AT AU CN EP RU

**Table I(b) — Search fees**

(amounts on 1 March 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>									
AT <sup>27</sup>	EUR 1,864 * (from 1.4.16: ZAR 31,450)	CHF 2,034	KRW 2,419,000	SGD 2,960	USD 2,084	ZAR*	28,360			
AU	AUD 2,200 USD 1,560 * (from 1.4.16: ZAR 23,900)	CHF 1,522 ZAR* 21,220	EUR 1,395	KRW 1,810,000	NZD 2,397	SGD 2,210				
BR <sup>28</sup>	Online: On paper:	BRL 1,685 BRL 2,525	CHF 422 CHF 632	EUR 382 EUR 573	USD 432 USD 647					
CA	CAD 1,600	CHF 1,194	EUR 1,094	USD 1,147						
CL	USD 2,000 In case of filing by a natural person or a legal entity <sup>2</sup> : In case of filing by a university <sup>29</sup> :	CHF 1,952	EUR 1,789	USD 400 USD 300	CHF 390 CHF 293	EUR 358 EUR 268				
CN	CNY 2,100	CHF 323	EUR 296	USD 330						
EG <sup>30</sup>	EGP 4,000	CHF 499	EUR 457	USD 511						
EP <sup>31</sup>	EUR 1,875 JPY 252,600 USD 2,097 * (from 1.4.16: ZAR 31,640)	CHF 2,046 NOK 17,640 ZAR* 28,530	DKK 13,990 NZD 3,222	GBP 1,384 SEK 17,500	HUF 585,800 SGD 2,980	ISK 266,000				
ES <sup>31,32</sup>	EUR 1,875	CHF 2,046	USD 2,097							
FI	EUR 1,875	CHF 2,046	USD 2,097							
IL	ILS 3,529	CHF 905	EUR 837	USD 912						
IN	INR 10,000 In case of filing by an individual:	CHF 150	EUR 137	USD 154	CHF 37	EUR 34	USD 38			
JP <sup>16</sup>	JPY* 70,000 * (from 1.4.16: For international applications in Japanese: JPY 70,000 For international applications in English: JPY 156,000	CHF* 567 CHF 567	EUR* 520 EUR 520	KRW* 674,000 KRW 674,000	SGD* 825 SGD 825	USD* 581 USD 581				
KR	For international applications in Korean: KRW 450,000 USD 388 * (from 1.4.16: NZD 559) For international applications in English: KRW 1,300,000 USD 1,120 * (from 1.4.16: NZD 1,616)	AUD 547	CHF 379	EUR 347	NZD* 596	SGD 550				
RU	For international applications in Russian: RUB 6,750 * (from 1.4.16: HUF 26,800) For international applications in English: RUB 28,000 * (from 1.4.16: HUF 111,100)	CHF 92	EUR 85	HUF* 29,100	USD* 104					
SE	SEK 17,500 USD 2,097	CHF 2,046	DKK 13,990	EUR 1,875	ISK 266,000	NOK 17,640				
SG	SGD 2,240 (from 1.4.16: JPY 186,300)	CHF 1,540	EUR 1,411	USD 1,578						
UA	EUR 300	CHF 325	USD 328							
US	USD 2,080 * (from 1.4.16: ZAR 31,430) For small entity <sup>26</sup> : * (from 1.4.16: ZAR 15,710) For micro entity <sup>26</sup> : * (from 1.4.16: ZAR 7,860)	CHF 2,030 USD 1,040 ZAR 15,710 USD 520 ZAR 7,860	EUR 1,860	NZD 3,200	ZAR* 28,300	USD 433				
XN	DKK 13,990 USD 2,097	CHF 2,046	EUR 1,875	ISK 266,000	NOK 17,640	SEK 17,500				

**Table I(c) — Supplementary search fees**  
(amounts on 1 March 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 33</sup>
AT	– for a search of the German-language documentation: CHF 928	CHF 200
	– for a search of the European and North American documentation: CHF 1,299	
	– for a search of the PCT minimum documentation: CHF 1,855	
EP	CHF 2,046	CHF 200
FI	CHF 2,046	CHF 200
RU	CHF 162 (259) <sup>34</sup>	CHF 200
SE	CHF 2,046	CHF 200
SG	CHF 1,540	CHF 200
UA	– for a search of only the PCT minimum documentation: CHF 108	CHF 200
	– for a search of only the Russian language documentation of the former USSR and the Ukrainian language documentation: CHF 163	
	– for a search of only the European and North American documentation: CHF 217	
XN	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 590	

**Table II — Preliminary examination fees**  
(amounts on 1 March 2016, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>35</sup>	Handling fee <sup>2, 35</sup> (CHF 200)
AT <sup>27</sup>	EUR 1,749	EUR 183
AU	AUD 590 820 <sup>36</sup>	AUD 289
BR <sup>28</sup>	Online: BRL 630 On paper: BRL 945	BRL eq CHF 200
CA	CAD 800	CAD 268
CL	USD 1,500 in case of filing by a natural person or a legal entity <sup>2</sup> : USD 400 in case of filing by a university <sup>29</sup> : USD 300	USD 205
CN	CNY 1,500	CNY eq CHF 200
EG <sup>30</sup>	EGP 3,000	USD 205
EP <sup>31</sup>	EUR 1,930	EUR 183
ES	EUR 589.49 <sup>32</sup>	EUR 183
FI	EUR 600	EUR 183
IL	ILS 1,512	ILS 794
IN	INR 12,000; in case of filing by an individual: INR 3,000	USD 205
JP	JPY* 26,000 <sup>16</sup> * (from 1.4.16: For international applications in Japanese: JPY 26,000 For international applications in English: JPY 58,000)	JPY 24,700
KR	KRW 450,000	KRW 238,000
RU	For international applications in Russian: RUB 2,700 <sup>37</sup> 4,050 <sup>38</sup> For international applications in English: RUB 10,500 <sup>37</sup> 15,750 <sup>38</sup>	USD 205
SE	SEK 5,000	SEK 1,710
SG	SGD 830	SGD 291
UA	– if the international search report has been prepared by this ISA: EUR 160 – if the international search report has been prepared by another ISA: EUR 180	EUR 183
US	USD 600 760 <sup>39</sup> For small entity <sup>26</sup> : USD 300 380 <sup>39</sup> For micro entity <sup>26</sup> : USD 150 190 <sup>39</sup>	USD 205
XN	DKK 5,000	DKK 1,370

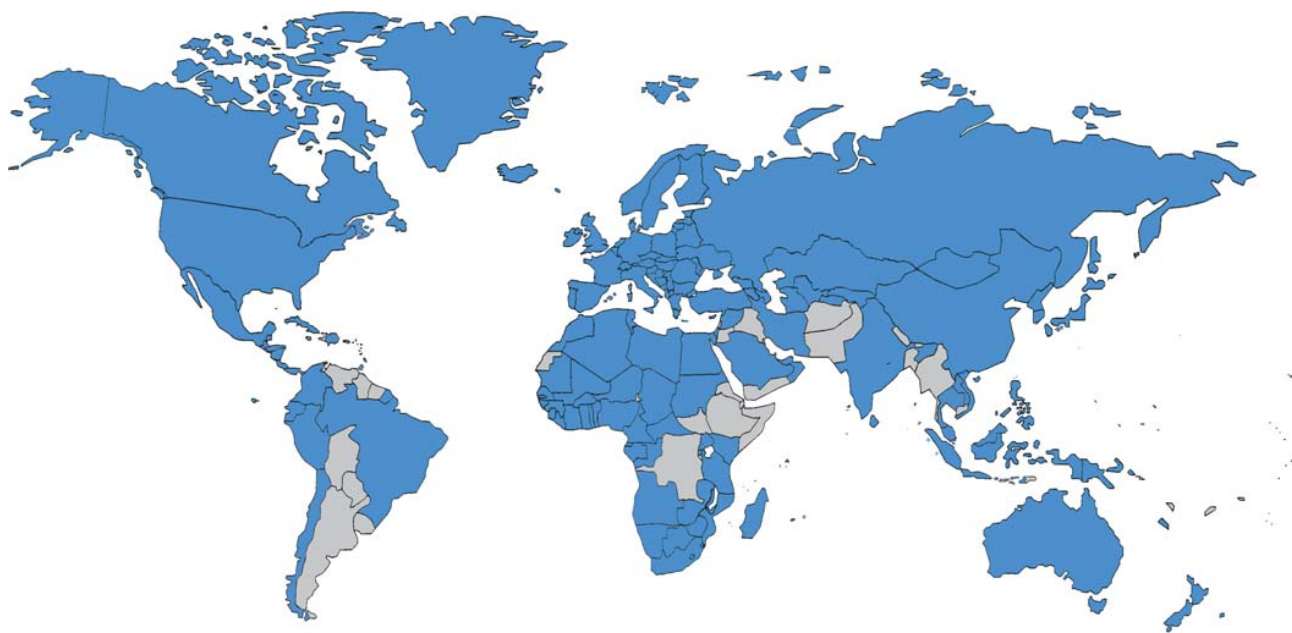
[See over page for footnotes to fee tables]



*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

## PCT Contracting States and Two-letter Codes (148 on 1 T &amp; OCFI)



AE United Arab Emirates	CR Costa Rica	IN India	ML Mali (OA) <sup>2</sup>	SI Slovenia (EP) <sup>2</sup>
AG Antigua and Barbuda	CU Cuba	IR Iran (Islamic Republic of)	MN Mongolia	SK Slovakia (EP)
AL Albania (EP)	CY Cyprus (EP) <sup>2</sup>	IS Iceland (EP)	MR Mauritania (OA) <sup>2</sup>	SL Sierra Leone (AP)
AM Armenia (EA)	CZ Czech Republic (EP)	IT Italy (EP) <sup>2</sup>	MT Malta (EP) <sup>2</sup>	SM San Marino (EP)
AO Angola	DE Germany (EP)	JP Japan	MW Malawi (AP)	SN Senegal (OA) <sup>2</sup>
AT Austria (EP)	DK Denmark (EP)	KE Kenya (AP)	MX Mexico	ST Sao Tome and Principe (AP <sup>5</sup> )
AU Australia	DM Dominica	KG Kyrgyzstan (EA)	MY Malaysia	SV El Salvador
AZ Azerbaijan (EA)	DO Dominican Republic	KM Comoros (OA) <sup>2</sup>	MZ Mozambique (AP)	SY Syrian Arab Republic
BA Bosnia and Herzegovina <sup>1</sup>	DZ Algeria	KN Saint Kitts and Nevis	NA Namibia (AP)	SZ Swaziland (AP) <sup>2</sup>
BB Barbados	EC Ecuador	KP Democratic People's Republic of Korea	NE Niger (OA) <sup>2</sup>	TD Chad (OA) <sup>2</sup>
BE Belgium (EP) <sup>2</sup>	EE Estonia (EP)	KR Republic of Korea	NG Nigeria	TG Togo (OA) <sup>2</sup>
BF Burkina Faso (OA) <sup>2</sup>	EG Egypt	KZ Kazakhstan (EA)	NI Nicaragua	TH Thailand
BG Bulgaria (EP)	ES Spain (EP)	LA Lao People's Democratic Republic	NL Netherlands (EP) <sup>2</sup>	TJ Tajikistan (EA)
BH Bahrain	FI Finland (EP)	LC Saint Lucia	NO Norway (EP)	TM Turkmenistan (EA)
BJ Benin (OA) <sup>2</sup>	FR France (EP) <sup>2</sup>	LI Liechtenstein (EP)	NZ New Zealand	TN Tunisia
BN Brunei Darussalam	GA Gabon (OA) <sup>2</sup>	LR Liberia (AP)	OM Oman	TR Turkey (EP)
BR Brazil	GB United Kingdom (EP)	LS Lesotho (AP)	PA Panama	TT Trinidad and Tobago
BW Botswana (AP)	GD Grenada	LT Lithuania (EP) <sup>2</sup>	PE Peru	TZ United Republic of Tanzania (AP)
BY Belarus (EA)	GE Georgia	LU Luxembourg (EP)	PG Papua New Guinea	UA Ukraine
BZ Belize	GH Ghana (AP)	LV Latvia (EP) <sup>2</sup>	PH Philippines	UG Uganda (AP)
CA Canada	GM Gambia (AP)	LY Libya	PL Poland (EP)	US United States of America
CF Central African Republic (OA) <sup>2</sup>	GN Guinea (OA) <sup>2</sup>	MA Morocco <sup>3</sup>	PT Portugal (EP)	UZ Uzbekistan
CG Congo (OA) <sup>2</sup>	GQ Equatorial Guinea (OA) <sup>2</sup>	MC Monaco (EP) <sup>2</sup>	QA Qatar	VC Saint Vincent and the Grenadines
CH Switzerland (EP)	GR Greece (EP) <sup>2</sup>	MD Republic of Moldova <sup>4</sup>	RO Romania (EP)	VN Viet Nam
CI Côte d'Ivoire (OA) <sup>2</sup>	GT Guatemala	ME Montenegro <sup>1</sup>	RS Serbia (EP)	ZA South Africa
CL Chile	GW Guinea-Bissau (OA) <sup>2</sup>	MG Madagascar	RU Russian Federation (EA)	ZM Zambia (AP)
CM Cameroon (OA) <sup>2</sup>	HN Honduras	MK The former Yugoslav Republic of Macedonia (EP)	RW Rwanda (AP)	ZW Zimbabwe (AP)
CN China	HR Croatia (EP)		SA Saudi Arabia	
CO Colombia	HU Hungary (EP)		SC Seychelles	
	ID Indonesia		SD Sudan (AP)	
	IE Ireland (EP) <sup>2</sup>		SE Sweden (EP)	
	IL Israel		SG Singapore	

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

<sup>3</sup> Validation of European patent possible for international applications filed on or after 1 March 2015.

<sup>4</sup> Validation of European patent possible for international applications filed on or after 1 November 2015.

<sup>5</sup> Only international applications filed on or after 19 August 2014 include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:** This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.

# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

April 2016 | No. 04/2016

## Electronic Filing and Processing of International Applications

### Islamic Republic of Iran to begin receiving and processing international applications in electronic form

The Intellectual Property Center (Islamic Republic of Iran), in its capacity as receiving Office, has notified the International Bureau under PCT Rule 89*bis*.1(d) that it is prepared to receive and process international applications in electronic form with effect from 26 April 2016. The Office will accept international applications filed using the ePCT-Filing function in the ePCT Portal.

The applicable electronic filing fee reductions listed in item 4 of the Schedule of Fees are indicated in Fee Table I(a) and the notification containing the requirements and practices of the Offices with regard to the filing of international applications in electronic form will be published in the *Official Notices (PCT Gazette)* shortly, at:

[http://www.wipo.int/pct/en/official\\_notices/index.html](http://www.wipo.int/pct/en/official_notices/index.html)

The acceptance of ePCT-Filings by the Intellectual Property Center will bring to 37 the total number of receiving Offices which do so<sup>1</sup>.

(Updating of *PCT Applicant's Guide*, Annex C (IR))

## PCT User Survey

The second PCT User Survey was conducted in 2015 with the objectives of measuring satisfaction levels with the PCT services directly provided by the International Bureau (IB), comparing satisfaction levels against the results of the first such survey conducted in 2008/09 ("PCT Survey 2009"), and measuring satisfaction levels with PCT-related services provided by Offices and Authorities other than WIPO.

The Survey, which was available in nine languages, was completed by over 1,000 respondents who expressed high levels of satisfaction with the PCT System as a whole, as well as with the IB and other Offices/Authorities, and their responses demonstrated improvements over the baselines established in the PCT Survey 2009. The main results were as follows:

- the overall global satisfaction indicator for the PCT information products and services provided by WIPO was 89% (11% increase over the 2009 results);
- the PCT training provided by WIPO received a user satisfaction rating of over 90%;

<sup>1</sup> ePCT-Filing is now available for online filing with the following receiving Offices: RO/IB, RO/AT, RO/AU, RO/AZ, RO/BN, RO/BR, RO/CA, RO/CL, RO/CO, RO/CU, RO/CZ, RO/DK, RO/DZ, RO/EA, RO/EE, RO/EP, RO/FI, RO/HU, RO/ID, RO/IN, (from 26 April 2016: RO/IR), RO/IS, RO/LV, RO/MX, RO/MY, RO/NO, RO/NZ, RO/PH, RO/PL, RO/PT, RO/QA, RO/RU, RO/SA, RO/SE, RO/SG, RO/TR and RO/ZA.

- the PCT services provided by other Offices and Authorities as a whole, and in their various capacities as receiving Office, International Searching Authority, and International Preliminary Examining Authority, received a user satisfaction rating of 83%; and
- a number of specific suggestions for improvement were received from PCT users concerning the PCT portion of the WIPO website, the *PCT Applicant's Guide*, PCT training, ePCT, language-specific services, and the payment of fees to WIPO.

The IB is already taking steps to address the areas where users have suggested improvements to the services provided by the IB. Specific issues and comments made by survey respondents in respect of the PCT services provided by individual Offices in the various PCT capacities will be communicated to each Office concerned.

For further details, see document PCT/WG/9/11 (“PCT User Survey”) and the annexed Executive Summary at:

[http://www.wipo.int/meetings/en/details.jsp?meeting\\_id=39464](http://www.wipo.int/meetings/en/details.jsp?meeting_id=39464)

We would like to sincerely thank those of you who took the time to complete the survey. Wherever possible, the IB will try to take those comments into account. If you missed the opportunity to take part in the survey, please note that PCT users may send comments and feedback at any time to the e-mail address of the PCT Legal Division: [pct.legal@wipo.int](mailto:pct.legal@wipo.int), and the next survey will take place in 2017.

## **Changes to the PCT Publication Schedule**

### **Publication of 6 May 2016**

Due to the fact that Thursday, 5 May 2016, will be a non-working day at WIPO, the PCT applications (as well as any *Official Notices (PCT Gazette)*) which would normally have been published on that day will be published on Friday, 6 May 2016. The date of completion of technical preparations for that publication will, however, remain unchanged, and any changes which should be taken into account for the purposes of international publication should be received by the International Bureau (IB) by midnight (Central European Time (CET)) on Tuesday, 19 April 2016.

## **PCT-SAFE Update**

### **Release of new version of the PCT-SAFE Client software**

A new version of the PCT-SAFE Client software (version 3.51.073.249, dated 1 April 2016) is now available and can be downloaded at:

[http://www.wipo.int/pct-safe/en/download/download\\_client.html](http://www.wipo.int/pct-safe/en/download/download_client.html)

Further details on this new version of PCT-SAFE are available in the “Release notes” and the “What’s new” document on the above-mentioned web page.



## **PCT Information Update**

### **BR Brazil (fax number; means of telecommunication)**

The fax number of the National Institute of Industrial Property (Brazil) has changed. The new number is: (55-21) 3037 33 98

The Office has informed the International Bureau (IB) that it no longer accepts the filing of documents by fax.

(Updating of *PCT Applicant's Guide*, Annex B1 (BR))

### **GT Guatemala (fees)**

There has been a change in the amount of the fee for requesting restoration of the right of priority, payable to the Registry of Intellectual Property (Guatemala) as receiving Office. The new amount is USD 500.

(Updating of *PCT Applicant's Guide*, Annex C (GT))

### **IR Islamic Republic of Iran (electronic filing; fees)**

For information on the acceptance, with effect from 26 April 2016, by the Intellectual Property Center (Islamic Republic of Iran) as receiving Office of international applications filed using ePCT-Filing, as well as information on the electronic filing fee reductions listed in item 4 of the Schedule of Fees that will be applicable, see "Electronic Filing and Processing of International Applications", above.

### **JP Japan (fees)**

As from 1 June 2016, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in JPY to the Japan Patent Office as receiving Office, as well as a change in the equivalent amount in JPY of the applicable fee reduction listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of *PCT Applicant's Guide*, Annex C (JP))

### **NZ New Zealand (special requirements for entry into the national phase; criteria applied in respect of requests for restoration of the right of priority)**

The requirements under PCT Rule 51 *bis* of the Intellectual Property Office of New Zealand as designated (or elected) Office relating to the furnishing of the name and address of the inventor and an address for service in New Zealand have changed. The applicant is now required to furnish the following:

- name and address of each inventor if they have not been furnished in the "Request" part of the international application; and
- address for service in New Zealand (no representation by an agent is required). An electronic communication address must be provided by all persons communicating with the Office.

Note that the first requirement may be satisfied if the corresponding declaration has been made in accordance with PCT Rule 4.17.

The Office has notified the IB that, in its capacity as receiving Office and designated (or elected) Office, it now applies both the “due care” and the “unintentional” criteria in respect of requests for restoration of the right of priority.

(Updating of *PCT Applicant’s Guide*, Annex C (NZ), and National Chapter, Summary (NZ), and the “Restoration of the right of priority” table)

### **RO Romania (fees)**

The amount of the transmittal fee, payable to the State Office for Inventions and Trademarks (Romania) as receiving Office, has changed to RON 441.

(Updating of *PCT Applicant’s Guide*, Annex C (RO))

### **TH Thailand (telephone number)**

One of the phone numbers of the Department of Intellectual Property (DIP) (Thailand) should no longer be used. The number to be used is: (66–2) 547 4304

(Updating of *PCT Applicant’s Guide*, Annex B1 (TH))

### **US United States of America (competent International Searching and Preliminary Examining Authorities)**

In connection with the specification by the United States Patent and Trademark Office (USPTO) of the Israel Patent Office (ILPO) as competent International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) for certain international applications filed by nationals and residents of the United States of America with the USPTO as receiving Office (RO/US), the ILPO has notified the IB that the conditions under which it will act as an ISA and IPEA for such international applications have changed with effect from 10 April 2016, as follows:

- the maximum number of international applications that it will search during the relevant fiscal quarter has increased from 75 to 100; and
- the bar on the scope of classifications has been lifted – the condition that the ILPO would not act as an ISA for applications with one or more claims directed to the field of business methods as defined by specified areas of the International Patent Classification has been removed.

The requirements that the international application be filed in English, and that it be filed with RO/US (and not RO/IB), continue to apply.

### **Search fee (Australian Patent Office, European Patent Office, Japan Patent Office, Korean Intellectual Property Office, National Institute of Industrial Property (Brazil))**

As from 1 June 2016, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Australian Patent Office .....	CHF, EUR, KRW
European Patent Office .....	JPY
Japan Patent Office .....	CHF, EUR, KRW, USD



Korean Intellectual Property Office .....AUD, SGD

National Institute of Industrial Property (Brazil).....CHF, EUR

As from 1 July 2016, there will be changes in the equivalent amounts payable in USD for an international search carried out by the National Institute of Industrial Property (Brazil), as indicated in Fee Table I(b).

The above-mentioned changes are indicated in Fee Table I(b).

(Updating of *PCT Applicant's Guide*, Annex D (AU, BR, EP, JP, KR))

### **Fees relating to preliminary examination (Japan Patent Office)**

As from 1 June 2016, there will be a change in the amount of the handling fee payable to the Japan Patent Office as International Preliminary Examining Authority. The new amount will be JPY 22,800.

(Updating of *PCT Applicant's Guide*, Annex E (JP))

## **New/updated PCT Resources**

### **Meeting documents**

#### *PCT Committee for Technical Cooperation*

Documents which have been prepared for the upcoming twenty-ninth session of the PCT Committee for Technical Cooperation (CTC), which will be held in Geneva during the period from 17 to 20 May 2016, are available at:

[http://www.wipo.int/meetings/en/details.jsp?meeting\\_id=39465](http://www.wipo.int/meetings/en/details.jsp?meeting_id=39465)

#### *PCT Working Group*

Documents which have been prepared for the upcoming ninth session of the PCT Working Group, which will be held in Geneva during the period from 17 to 20 May 2016, are available at:

[http://www.wipo.int/meetings/en/details.jsp?meeting\\_id=39464](http://www.wipo.int/meetings/en/details.jsp?meeting_id=39464)

### **PCT webinars**

An archive of the recent webinar in English relating to ePCT Actions, which took place on 17 March 2016, is now available at:

<http://www.wipo.int/pct/en/seminar/webinars/index.html>

Furthermore, recordings of PCT update webinars, which was given in various languages in January and February 2016, together with the accompanying PowerPoint presentations, have been added to the webinars page of the PCT website in the following languages (the English version of this webinar was already announced in *PCT Newsletter* No. 03/2016):

Arabic <http://www.wipo.int/pct/ar/seminar/webinars/index.html>

Chinese <http://www.wipo.int/pct/zh/seminar/webinars/index.html>

German: <http://www.wipo.int/pct/de/seminar/webinars/index.html>

Japanese:	<a href="http://www.wipo.int/pct/ja/seminar/webinars/index.html">http://www.wipo.int/pct/ja/seminar/webinars/index.html</a>
Korean	<a href="http://www.wipo.int/pct/en/seminar/webinars/index.html">http://www.wipo.int/pct/en/seminar/webinars/index.html</a>
Portuguese:	<a href="http://www.wipo.int/pct/pt/seminar/webinars/index.html">http://www.wipo.int/pct/pt/seminar/webinars/index.html</a>
Spanish:	<a href="http://www.wipo.int/pct/es/seminar/webinars/index.html">http://www.wipo.int/pct/es/seminar/webinars/index.html</a>

The webinars include information on the decisions taken by the 47<sup>th</sup> session of the Assembly of the PCT Union which was held in October 2015, an update on the ePCT System, and information on the future development of the PCT System.

## PATENTSCOPE Search Service

### Two new languages available in CLIR

It is now possible to search patent information in Danish and Polish using CLIR (Cross-Lingual Information Retrieval), the multilingual tool of the PATENTSCOPE search system. CLIR is therefore now available in the following 14 languages: Chinese, Danish, Dutch, English, French, German, Italian, Japanese, Korean, Polish, Portuguese, Russian, Spanish and Swedish.

In order to carry out a search, simply enter one or more keywords in one of the languages supported by CLIR, and the tool will first look for synonyms and will then translate both the synonyms and your query into 13 languages, thereby expanding the scope of the search. For detailed information on the use of CLIR, please see the PowerPoint presentation “Presentation of the tool CLIR available in PATENTSCOPE”, dated February 2016, at:

<http://www.wipo.int/patentscope/en/webinar/>

## Practical Advice

### Time limit for correcting physical defects in the international application

*Q: I filed an international application containing informal drawings and would now like to submit formal drawings prepared by a professional. What is the time limit for submitting replacement drawings?*

*A:* Normally, where informal drawings have been submitted at the time of filing and they do not meet the requirements under PCT Rule 44.1(a), the receiving Office will, in accordance with PCT Article 14.1(b)), invite you as soon as possible, preferably within one month from the receipt of the international application (PCT Rule 26.1), to correct any such defects. However, if the receiving Office fails to invite you, initially, to correct the defects in the drawings, but the International Bureau (IB) subsequently, during its secondary formality check, draws the attention of the receiving Office to those defects, an invitation to correct may then be sent to you by the receiving Office. In such a case, this might be later than one month from the receipt of the application. This, and the following, would also apply to the correction of defects contained in the sheets containing the description or claims.

If you are invited to correct the drawings, the receiving Office will issue an invitation to correct (PCT Form PCT/RO/106) within a time limit of two months from the date of the invitation. This time limit may be extended by the receiving Office at its discretion (see PCT Rule 26.2). However, if deciding to extend the time limit, the receiving Office must take into account:

- the fact that corrections which may be relevant to the international search are needed by the International Searching Authority (ISA) before the establishment of the international search report<sup>2</sup>; and
- the fact that the replacement sheets must be received by the IB before the completion of technical preparations for international publication<sup>3</sup>.

Therefore, the closer it is to the scheduled time for the establishment of the ISR or the scheduled international publication date, the less likely the receiving Office is to grant a further extension of time.

If the receiving Office fails to invite you to correct the drawings, however, there is nothing to prevent you from sending replacement sheets containing the formal drawings to the receiving Office on your own initiative (see the PCT Receiving Office Guidelines, paragraph 209), asking the receiving Office, in an accompanying letter, to replace the informal drawings with the formal drawings, clearly pointing out the differences between the two sets of sheets (see PCT Rule 26.4). It is recommended that you send any such request to the receiving Office as early as possible, to allow enough time for it to be forwarded to the ISA, for the purposes of international search, and to the IB, so that it can be processed by the IB before the completion of technical preparations for international publication.

It is important to note that, when correcting physical defects in drawings under PCT Rule 26 before the receiving Office in the international phase, any modification made to correct those defects should not go beyond the disclosure in the international application as filed.

Note also that by submitting a set of formal drawings to correct physical defects, this will not result in a change in the international filing date, unless the revised set includes drawings which were not previously filed with the international application. If this is the case, and the drawings are submitted within the applicable time limit under PCT Rule 20.7, the inclusion of the new drawings would result in a change in the international filing date (which would be changed to the date on which the new drawings are received by the receiving Office (PCT Rule 20.5(c)), unless you are in a position to make use of incorporation by reference under PCT Rule 20.6.

For information on the importance of correcting defects in drawings, see the “Practical Advice” that was published in *PCT Newsletter* No. 01/2005. For information on the extent to which the physical requirements prescribed in the PCT Regulations must be complied with, and possible inconsistencies in the way in which such compliance is checked, see the “Practical Advice” that was published in *PCT Newsletter* No. 03/2016. Further details on the formality requirements for drawings can be found in the *PCT Applicant’s Guide*, paragraphs 5.128 to 5.163, at:

<http://www.wipo.int/pct/guide/en/gdvol1/pdf/gdvol1.pdf>

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<sup>2</sup> The international search report should be established within three months from the receipt of the search copy by the ISA or nine months from the priority date, whichever time limit expires later (PCT Rule 42.1).

<sup>3</sup> Technical preparations for international publication are generally completed 15 calendar days before the actual publication date of the international application. The international application is published promptly after the expiration of 18 months from the priority date, except where early publication has been requested (PCT Article 21(1) and (2)).

<b>PCT Seminar Calendar</b>			
(http://www.wipo.int/pct/en/seminar/seminar.pdf)			
(situation on 13 April 2016)			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>13 April 2016 Nashville, TN (US)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Bryan Other WIPO speakers on other IP topics: Mr. Bisson, Mr. Forno, Mr. MacStravic and Mr. Ruggerio	WIPO, United States Patent and Trademark Office and Vanderbilt University Law School For further information and link to registration form: <a href="http://www.aipla.org/learningcenter/2016WIP OSeminars/Pages/default.aspx">http://www.aipla.org/learningcenter/2016WIP OSeminars/Pages/default.aspx</a>
<b>15 April 2016 Atlanta, GA (US)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Bryan Other WIPO speakers on other IP topics: Mr. Bisson, Mr. Forno, Mr. MacStravic and Mr. Ruggerio	WIPO, United States Patent and Trademark Office and the IP Section of the Georgia State Bar For further information and link to registration form: <a href="http://www.aipla.org/learningcenter/2016WIP OSeminars/Pages/default.aspx">http://www.aipla.org/learningcenter/2016WIP OSeminars/Pages/default.aspx</a>
<b>19 April 2016 The Hague (NL)</b>	English	PCT presentation in the framework of the annual conference of Platform Formalities Officers WIPO speaker: Mr. Reischle-Park	Platform Formalities Officers (Mr. Arthur van Steenberg) E-mail: <a href="mailto:secretariaat@formalitiesofficers.nl">secretariaat@formalitiesofficers.nl</a>
<b>3 May 2016 Copenhagen (DK)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speakers: Mr. Bryan Other WIPO speakers on other IP topics: Ms. Roenning, Mr. Mazenc, Mr. Ruggerio	Danish Patent and Trademark Office (Ms. Signe Louise Hansen) E-mail: <a href="mailto:slh@dkpto.dk">slh@dkpto.dk</a>
<b>9 May 2016 Istanbul (TR)</b> <i>(a limited number of places are available to the public)</i>	English	PCT seminar in the framework of the second year of basic training WIPO speaker: Mr. Sim	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Ms. Selda Arkan) Tel: (90-212) 293 32 42 Fax: (90-212) 244 51 21 E-mail: <a href="mailto:selda.arkan@alfapatent.com.tr">selda.arkan@alfapatent.com.tr</a> ; <a href="mailto:alfa@alfapatent.com.tr">alfa@alfapatent.com.tr</a>
<b>10 May 2016 Osaka (JP)</b>	Japanese	Workshop on the PCT system WIPO speakers: Mr. Tachibana and Mr. Hasebe	Japan Patent Attorneys Association (JPAA) Tel: (81-3) 35 19 23 60 Fax: (81-3) 35 81 12 05
<b>11-12 May 2016 Munich (DE)</b>	German	Basic PCT Seminar WIPO speakers: Mr. Reischle-Park and Ms. Happe	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: <a href="mailto:c.weber@forum-institut.de">c.weber@forum-institut.de</a>
<b>12 May 2016 Nagoya (JP)</b>	Japanese	Workshop on the PCT system WIPO speakers: Mr. Tachibana and Mr. Hasebe	Japan Patent Attorneys Association (JPAA) Tel: (81-3) 35 19 23 60 Fax: (81-3) 35 81 12 05
<b>16 May 2016 Tokyo (JP)</b>	Japanese	Workshop on the PCT system WIPO speakers: Mr. Tachibana and Mr. Hasebe	Japan Patent Attorneys Association (JPAA) Tel: (81-3) 35 19 23 60 Fax: (81-3) 35 81 12 05
<b>24-25 May 2016 Riyadh (SA)</b>	Arabic	National PCT User Day Workshop WIPO speaker: Mr. Jazairy	Saudi Patent Office (Mr. Ibrahim Al-Khamis) E-mail: <a href="mailto:Mr_AlKhamis@hotmail.com">Mr_AlKhamis@hotmail.com</a>
<b>9-10 June 2016 Stockholm (SE)</b>	English	PCT seminar WIPO speakers: Mr. Reischle-Park and Mr. Henninger	IP-akademin (Ms. Rut Herbjørnsen) Tel: (46-708) 808 342 E-mail: <a href="mailto:info@ipakademin.se">info@ipakademin.se</a> Internet: <a href="http://www.ipakademin.se">www.ipakademin.se</a>
<b>16-17 June 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: <a href="mailto:angela.spall@falconbury.co.uk">angela.spall@falconbury.co.uk</a>

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<b>PCT Seminar Calendar [continued]</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>17 June 2016 Madrid (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT training course WIPO speaker: Ms. Bonvallet	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Francisco Bernardo) E-mail: fbernardo@abgpatentes.com
<b>25-26 July 2015 Alexandria, VA (US)</b>	English	20 <sup>th</sup> Annual Patent Cooperation Treaty Seminar for attorneys, patent agents and paralegals WIPO speaker: Ms. Bonvallet	American Intellectual Property Law Association (AIPLA) Tel: (1-703) 415 07 80 Fax: (1-703) 415 07 86 E-mail: aipla@aipla.org Internet: www.aipla.org
<b>16 September 2016 Lisbon (PT)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. João Amaral) Tel: (351) 218 818 100 Fax: (351) 218 878 508 E-mail: jamaral@inpi.pt Internet: www.inpi.pt
<b>3 October 2016 Zurich (CH)</b>	German	Seminar on the latest developments concerning the PCT (CEIPI Course) WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Hanjörg Kley) Tel : (41-52) 226 00 00 Fax: (41-52) 226 00 09 E-mail: hansjoerg@kley.ch
<b>6 October 2016 Barcelona (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Antonio López-Carrasco Comajuncosas) Tel : (34-93) 123 31 01 E-mail: antonio.lopez-carrascocomajuncosas@telefonica.com
<b>12-13 October 2016 Munich (DE)</b>	German	Advanced PCT Seminar WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>28 October 2016 London (GB)</b>	English	PCT seminar within the framework of the 15 <sup>th</sup> Annual Conference for Senior Patent Administrators (27-28 October 2016) WIPO speaker: Mr. Reischle-Park	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>2-3 November 2016 Munich (DE)</b>	German	PCT update/conference WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>18-19 November 2016 Cracow (PL)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl
<b>24-25 November 2016 Dresden (DE)</b>	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de

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**PCT Seminar Calendar [continued]**

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>1-2 December 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>6-7 December 2016 Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms. Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>8 December 2016 Paris (FR)</b>	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (see Paris, above)

**PCT Webinars**

(<http://www.wipo.int/pct/en/seminar/webinars/index.html>)  
(<http://www.wipo.int/patentscope/en/webinar/>)

<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>24 May 2016</b> 17:30–18:30 CET or <b>26 May 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Result list and analysis tools in PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendeegotowebinar.com/register/8618398022167909121">https://attendeegotowebinar.com/register/8618398022167909121</a> <a href="https://attendeegotowebinar.com/register/4293618567913703684">https://attendeegotowebinar.com/register/4293618567913703684</a>
<b>28 June 2016</b> 17:30–18:30 CET or <b>30 June 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Translation tools in PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendeegotowebinar.com/register/49533371491012609">https://attendeegotowebinar.com/register/49533371491012609</a> <a href="https://attendeegotowebinar.com/register/913982607409326852">https://attendeegotowebinar.com/register/913982607409326852</a>

**PCT Events Without WIPO speakers<sup>1</sup>**

<b>Dates and location</b>	<b>Language of event</b>	<b>Nature of seminar; Speakers</b>	<b>Organizer and contact numbers</b>
<b>17 May 2016 Minneapolis, MN (US)</b>	English	Advanced PCT Seminar for Patent Practitioners Speaker from USPTO: Mr. Thomas (Special Program Examiner, International Patent Legal Administration)	Schwegman, Lundberg & Woessner For pre-registration, contact: Ms. Mary E. Hirsch Tel: (1-612) 371 21 43 Fax: (1-612) 339 30 61 E-mail: mhirsch@slwip.com
<b>22 June 2016 Cleveland, OH (US)</b>	English	PCT Boot Camp Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>24 June 2016 Chicago, IL (US)</b>	English	PCT Boot Camp Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (see Cleveland, above)

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.



## PCT Fee Tables

(amounts on 1 April 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

**Key to abbreviations used in fee tables:**

eq	equivalent of –	BRL	Brazilian real	HUF	Hungarian forint	MXN	Mexican peso	TND	Tunisian dinar
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TTD	Trinidad and Tobago dollar
ISA	International Searching Authority	CAD	Canadian dollar	ILS	New Israeli sheqel	NOK	Norwegian krone	UAH	Ukrainian hryvnia
RO	receiving Office	CHF	Swiss franc	INR	Indian rupee	NZD	New Zealand dollar	USD	US dollar
		CLP	Chilean peso	IRR	Iranian rial	PEN	Nuevo sol	VND	Vietnamese dong
		CNY	Yuan renminbi	ISK	Icelandic krona	PKG	Kina	XAF	CFA franc BEAC
		COP	Colombian peso	JPY	Japanese yen	PHP	Philippine peso	ZAR	South African rand
		CUC	Cuban convertible peso	KES	Kenyan shilling	PLN	Polish zloty	ZWD	Zimbabwe dollar
		CZK	Czech koruna	KGS	Kyrgyz som	QAR	Qatari riyal		
ALL	Albanian lek	DKK	Danish krone	KPW	Won	RON	New leu		
AMD	Armenian dram	DZD	Algerian dinar	KRW	Won	RSD	Serbian dinar		
AUD	Australian dollar	EGP	Egyptian pound	KZT	Kazakh tenge	RUB	Russian rouble		
AZN	Azerbaijani manat	EUR	Euro	LSL	Lesotho loti	SDG	Sudanese pound		
BAM	Convertible mark	GBP	Pound sterling	LYD	Libyan dinar	SEK	Swedish krona		
BGN	Bulgarian lev	GHS	Ghanaian cedi	MAD	Moroccan dirham	SGD	Singapore dollar		
BHD	Bahraini dinar	GTQ	Quetzal	MKD	Macedonian denar	THB	Baht		
BND	Brunei dollar	HRK	Croatian kuna	MWK	Malawian kwacha	TJS	Tajik somoni		

**Table I(a) — Transmittal and international filing fees**  
(amounts on 1 April 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1, 2</sup> (CHF 1,330)		Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)		E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
							Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available									CA
AL	ALL	9,000	CHF	1,330	15	–	–	–	–	EP
AM	AMD	32,000	USD	1,363	15	–	–	–	–	EP RU
AP	USD	50	USD	1,363	15	–	–	–	–	AT EP SE
	(or eq in local currency)									
AT	EUR	52	EUR	1,219	14	–	183	275	–	EP
AU	AUD	200	AUD	1,922	22	–	289	434	–	AU KR
AZ	AZN	35.40	USD	1,363	15	–	205	307	–	EP RU
BA	BAM	50	EUR	1,219	14	–	–	–	–	EP
BE	EUR	120	EUR	1,219	14	–	–	–	–	EP
BG	BGN	80	BGN eq CHF	1,330	eq CHF 15	–	–	–	–	EP RU
BH	BHD	70	USD	1,363	15	–	–	–	–	AT EP US
BN	BND	150	BND eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	–	AU JP EP
BR	BRL <sup>8</sup>	online: 175 on paper: 260	BRL eq CHF	1,330	eq CHF 15	–	eq CHF 200	–	–	AT BR EP SE US
BW	USD	32	USD	1,363	15	–	–	–	–	EP
BY	USD	50	USD	1,363	15	–	–	–	–	EP RU
BZ	BZD	300	USD	1,363	15	–	–	–	–	CA EP
CA	CAD	300	CAD	1,782	20	–	268	402	–	CA
CH	CHF	100	CHF	1,330	15	–	–	–	–	EP
CL	CLP eq USD <sup>9</sup>	130	CLP eq USD <sup>9</sup>	1,363	eq USD <sup>9</sup> 15	–	eq USD 205	eq USD 307	–	CL EP ES KR US
CN	CNY	500	CNY eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	–	CN

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**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 April 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
CO	COP 952,000 <sup>10</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR CL EP ES RU
CR	USD 250	USD 1,363	15	–	–	–	CL EP ES
CU	CUC 200	CUC 1,363	15	–	205	307	AT BR CL EP ES RU
CY	EUR 191	EUR 1,219	14	–	–	–	EP
CZ	CZK 1,500	EUR 1,219	14	–	183	275	EP
DE	EUR 90	EUR 1,219	14	–	183	275	EP
DK	DKK 1,500	DKK 9,090	100	–	1,370	2,050	EP SE XN
DM	Information not yet available						
DO	USD 275	USD 1,363	15	–	–	–	CL EP ES US
DZ	DZD None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	AT EP
EA	RUB 1,600	USD 1,363	15	–	205	307	EP RU
EC	USD <sup>11</sup> –	USD 1,363	15	–	–	–	CL EP ES
EE	EUR 120	EUR 1,219	14	–	183	275	EP
EG	USD 142	USD 1,363	15	–	–	–	AT EG EP US
EP	EUR 130	EUR 1,219	14	92	183	275	EP
ES	EUR 74.99	EUR 1,219	14	–	183	275	EP ES
FI	EUR 135	EUR 1,219	14	–	183	275	EP FI SE
FR	EUR 62	EUR 1,219	14	–	183	275	EP
GB	GBP 75	GBP 899	10	–	135	203	EP
GD	Information not yet available						
GE	USD <sup>12</sup> 100	USD 1,363	15	–	–	–	AT EP IL RU US
GH	GHS <sup>13</sup> 2,500 or 5,000	USD 1,363	15	–	–	–	AT AU CN EP SE
GR	EUR 115	EUR 1,219	14	–	–	–	EP
GT	GTQ eq USD 250	USD 1,363	15	–	–	–	AT BR CL EP ES US
HN	USD 200	USD 1,363	15	–	–	–	EP ES
HR	HRK 200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
HU	HUF 11,800	HUF 380,800	HUF 4,300	–	57,300	85,900	EP RU
IB	CHF <sup>14</sup> 100 or EUR <sup>14</sup> 92 or USD <sup>14</sup> 102	CHF 1,330 or EUR 1,219 or USD 1,363	15 14 15	– – –	200 183 205	300 275 307	See footnote 15
ID	IDR 1,000,000	IDR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR RU SG
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363	15	–	205	307	EP IL US
IN	INR 17,600 (filing by natural person: 3,250; filing by small entity: 8,800)	USD 1,363	15	–	205	307	AT AU CN EP IN SE US
IR	IRR <sup>11</sup> –	IRR eq CHF 1,330	eq CHF 15	–	– (from 26.4.16: eq CHF 200)	– (from 26.4.16: eq CHF 300)	CN EP IN RU
IS	ISK 15,000	ISK 172,800	1,900	–	26,000	39,000	EP SE XN
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>16</sup>	JPY 164,200 (from 1.6.16: 151,300)	1,900 (1,700)	– (–)	– (–)	37,000 (34,100)	EP JP SG
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363	15	–	–	–	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363	15	–	–	–	EP RU
KN	Information not yet available						
KN	EP						
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>17</sup> KR
KZ	KZT 10,264.80	USD 1,363	15	–	–	–	EP RU
LR	USD 45	USD 1,363	15	–	–	–	AT AU CN EP SE
LS	LSL <sup>11</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	EUR 92	EUR 1,219	14	–	183	275	EP RU

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**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 April 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
						Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
LU	EUR	19	EUR	1,219	14	–	–	–	EP
LV	EUR	70	EUR	1,219	14	–	183	275	EP RU
LY	LYD <sup>11</sup>	–	CHF	1,330	15	–	–	–	AT EP
MA	MAD	600 <sup>18</sup>	CHF	1,330	15	–	–	–	AT EP RU SE
MC	EUR	54 <sup>19</sup>	EUR	1,219	14	–	–	–	EP
MD	EUR	100	USD	1,363	15	–	–	–	EP RU
ME	EUR <sup>11</sup>	–	EUR	1,219	14	–	–	–	EP
MK	MKD	2,700	MKD eq CHF	1,330	eq CHF 15	–	–	–	EP
MN	None	None	CHF	1,330	15	–	–	–	EP KR RU
MT	EUR	55	EUR	1,219	14	–	–	–	EP
MW	MWK	6,000	USD	1,363	15	–	–	–	EP
MX	USD	323.70 <sup>20</sup>	USD	1,363	15	–	205	307	CL EP ES SE SG US
MY	MYR 500 (e-filing) <sup>21</sup> 550 (paper filing) <sup>21</sup>		MYR eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD	200	USD	1,363	15	–	–	–	EP ES
NL	EUR	50	EUR	1,219	14	–	183	275	EP
NO	NOK	800	NOK	11,460	130	–	1,720	2,590	EP SE XN
NZ	NZD	207	NZD	2,094	24	–	315	472	AU EP KR US
OA	XAF <sup>11</sup>	–	XAF eq CHF	1,330	eq CHF 15	–	–	–	AT EP RU SE
PA	USD	200	USD	1,363	15	–	–	–	BR CL EP ES US
PE	PEN	233.35	PEN eq USD	1,363	eq USD 15	–	–	–	AT BR CL EP ES KR US
PG	PGK	250	USD	1,363	15	–	–	–	AU
PH	PHP	3,500	USD	1,363	15	–	205	307	AU EP JP KR US
PL	PLN	300	PLN eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
PT	EUR	20.82	EUR	1,219	14	–	183	275	EP
QA	QAR	400	QAR eq USD	1,363	eq USD 15	–	eq USD 205	eq USD 307	EG EP US
RO	RON	441	EUR	1,219	14	–	183	275	EP RU
RS	RSD	7,300 <sup>22</sup>	RSD eq CHF	1,330	eq CHF 15	–	–	–	EP
RU	RUB	850	USD	1,363	15	–	205	307	EP RU
RW	Information not yet available								
SA	USD	100	USD	1,363	15	–	205	307	CA EG EP KR RU
SC	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	EP
SD	SDG	50	SDG eq CHF	1,330	eq CHF 15	–	–	–	EG EP
SE	SEK	1,200	SEK	11,380	130	–	1,710	2,570	EP SE XN
SG	SGD	150	SGD	1,934	22	–	291	436	AT AU EP JP KR SG
SI	EUR	91	EUR	1,219	14	–	–	–	EP
SK	EUR	66 <sup>23</sup>	EUR	1,219	14	–	183	275	EP
SM	EUR	70	EUR	1,219	14	–	–	–	EP
SV	USD	200	USD	1,363	15	–	–	–	CL EP ES
SY	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	AT EP RU
TH	THB	3,000	THB eq CHF	1,330	eq CHF 15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>11</sup>	–	USD	1,363	15	–	–	–	EP RU
TM	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	EP RU
TN	TND <sup>11</sup>	–	CHF	1,330	15	–	–	–	EP
TR	None	None	CHF	1,330	15	–	eq CHF 200	eq CHF 300	EP
TT	TTD	750	USD	1,363	15	–	–	–	AT EP SE US
UA	UAH or eq EUR or USD <sup>24</sup>	1,300	USD (or eq UAH or EUR)	1,363	15	–	–	–	EP RU UA
US	USD small entity <sup>26</sup> micro entity <sup>26</sup>	240 <sup>25</sup> 120 <sup>25</sup> 60 <sup>25</sup>	USD	1,363	15	102	205	–	AU EP IL JP KR SG RU US
UZ	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	EP RU
VN	VND eq USD	150	VND eq CHF	1,330	eq CHF 15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR	500	ZAR	20,680	230	–	3,110	4,670	AT AU EP US
ZM	USD	50	USD	1,363	15	–	–	–	AT SE
ZW	ZWD	6,000	ZWD eq USD	1,363	eq USD 15	–	–	–	AT AU CN EP RU

**Table I(b) — Search fees**  
(amounts on 1 April 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>								
AT <sup>27</sup>	EUR 1,864	CHF 2,034	KRW 2,419,000	SGD 2,960	USD 2,084	ZAR 31,450			
	* (from 1.4.16: ZAR 31,450)								
AU	AUD 2,200	CHF* 1,522	EUR 1,395	KRW* 1,810,000	NZD 2,397	SGD 2,210			
	USD 1,560	ZAR 23,900							
	* (from 1.6.16: CHF 1,616 EUR 1,481 KRW 1,963,000)								
BR <sup>28</sup>	Online: BRL 1,685	CHF* 422	EUR* 382	USD** 432					
	On paper: BRL 2,525	CHF* 632	EUR* 573	USD** 647					
	* (from 1.6.16: Online: CHF 449 EUR 411								
	On paper: CHF 673 EUR 617)								
	** (from 1.7.16: Online: USD 468								
	On paper: USD 701)								
CA	CAD 1,600	CHF 1,194	EUR 1,094	USD 1,147					
CL	USD 2,000	CHF 1,952	EUR 1,789						
	In case of filing by an natural person or a legal entity <sup>2</sup> : USD 400 CHF 390 EUR 358								
	In case of filing by a university <sup>29</sup> : USD 300 CHF 293 EUR 268								
CN	CNY 2,100	CHF 323	EUR 296	USD 330					
EG <sup>30</sup>	EGP 4,000	CHF 499	EUR 457	USD 511					
EP <sup>31</sup>	EUR 1,875	CHF 2,046	DKK 13,990	GBP 1,384	HUF 585,800	ISK 266,000			
	JPY* 252,600	NOK 17,640	NZD 3,222	SEK 17,500	SGD 2,980				
	USD 2,097	ZAR 31,640							
	* (from 1.6.16: JPY 233,900)								
ES <sup>31,32</sup>	EUR 1,875	CHF 2,046	USD 2,097						
FI	EUR 1,875	CHF 2,046	USD 2,097						
IL	ILS 3,529	CHF 905	EUR 837	USD 912					
IN	INR 10,000	CHF 150	EUR 137	USD 154					
	In case of filing by an individual: INR 2,500	CHF 37	EUR 34	USD 38					
JP <sup>16</sup>	For international applications in Japanese: JPY 70,000	CHF* 567	EUR* 520	KRW* 674,000	SGD 825	USD* 581			
	* (from 1.6.16: CHF 615 EUR 561 KRW 769,000 USD 618)								
	For international applications in English: JPY 156,000	CHF* 1,264	EUR* 1,158	SGD 1,839	USD* 1,295				
	* (from 1.6.16: CHF 1,371 EUR 1,251 USD 1,378)								
KR	For international applications in Korean: KRW 450,000	AUD* 547	CHF 379	EUR 347	NZD 559	SGD* 550			
	USD 388								
	* (from 1.6.16: AUD 504 SGD 520)								
	For international applications in English: KRW 1,300,000	AUD* 1,580	CHF 1,093	EUR 1,002	NZD 1,616	SGD* 1,590			
	USD 1,120								
	* (from 1.6.16: AUD 1,457 SGD 1,490)								
RU	For international applications in Russian: RUB 6,750	CHF 92	EUR 85	HUF 26,800	USD 93				
	For international applications in English: RUB 28,000	CHF 383	EUR 353	HUF 111,100	USD 388				
SE	SEK 17,500	CHF 2,046	DKK 13,990	EUR 1,875	ISK 266,000	NOK 17,640			
	USD 2,097								
SG	SGD 2,240	CHF 1,540	EUR 1,411	JPY 186,300	USD 1,578				
UA	EUR 300	CHF 325	USD 328						
US	USD 2,080	CHF 2,030	EUR 1,860	NZD 3,200	ZAR 31,430				
	For small entity <sup>26</sup> : USD 1,040	CHF 1,015	EUR 930	NZD 1,600	ZAR 15,710				
	For micro entity <sup>26</sup> : USD 520	CHF 508	EUR 465	NZD 800	ZAR 7,860				
XN	DKK 13,990	CHF 2,046	EUR 1,875	ISK 266,000	NOK 17,640	SEK 17,500			
	USD 2,097								

**Table I(c) — Supplementary search fees**

(amounts on 1 April 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 33</sup>
AT	– for a search of the German-language documentation: CHF 928	CHF 200
	– for a search of the European and North American documentation: CHF 1,299	
	– for a search of the PCT minimum documentation: CHF 1,855	
EP	CHF 2,046	CHF 200
FI	CHF 2,046	CHF 200
RU	CHF 162 (259) <sup>34</sup>	CHF 200
SE	CHF 2,046	CHF 200
SG	CHF 1,540	CHF 200
UA	– for a search of only the PCT minimum documentation: CHF 108	CHF 200
	– for a search of only the Russian language documentation of the former USSR and the Ukrainian language documentation: CHF 163	
	– for a search of only the European and North American documentation: CHF 217	
XN	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 590	

**Table II — Preliminary examination fees**

(amounts on 1 April 2016, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>35</sup>	Handling fee <sup>2, 35</sup> (CHF 200)
AT <sup>27</sup>	EUR 1,749	EUR 183
AU	AUD 590 820 <sup>36</sup>	AUD 289
BR <sup>28</sup>	Online: BRL 630	BRL eq CHF 200
	On paper: BRL 945	
CA	CAD 800	CAD 268
CL	USD 1,500	USD 205
	in case of filing by a natural person or a legal entity <sup>2</sup> : USD 400 in case of filing by a university <sup>29</sup> : USD 300	
CN	CNY 1,500	CNY eq CHF 200
EG <sup>30</sup>	EGP 3,000	USD 205
EP <sup>31</sup>	EUR 1,930	EUR 183
ES	EUR 589.49 <sup>32</sup>	EUR 183
FI	EUR 600	EUR 183
IL	ILS 1,512	ILS 794
IN	INR 12,000; in case of filing by an individual: INR 3,000	USD 205
JP	For international applications in Japanese: JPY 26,000 <sup>16</sup>	JPY 24,700 (from 1.6.16: 22,800)
	For international applications in English: JPY 58,000	
KR	KRW 450,000	KRW 238,000
RU	For international applications in Russian: RUB 2,700 <sup>37</sup> 4,050 <sup>38</sup>	USD 205
	For international applications in English: RUB 10,500 <sup>37</sup> 15,750 <sup>38</sup>	
SE	SEK 5,000	SEK 1,710
SG	SGD 830	SGD 291
UA	– if the international search report has been prepared by this ISA: EUR 160	EUR 183
	– if the international search report has been prepared by another ISA: EUR 180	
US	USD 600 760 <sup>39</sup>	USD 205
	For small entity <sup>26</sup> : USD 300 380 <sup>39</sup>	
	For micro entity <sup>26</sup> : USD 150 190 <sup>39</sup>	
XN	DKK 5,000	DKK 1,370

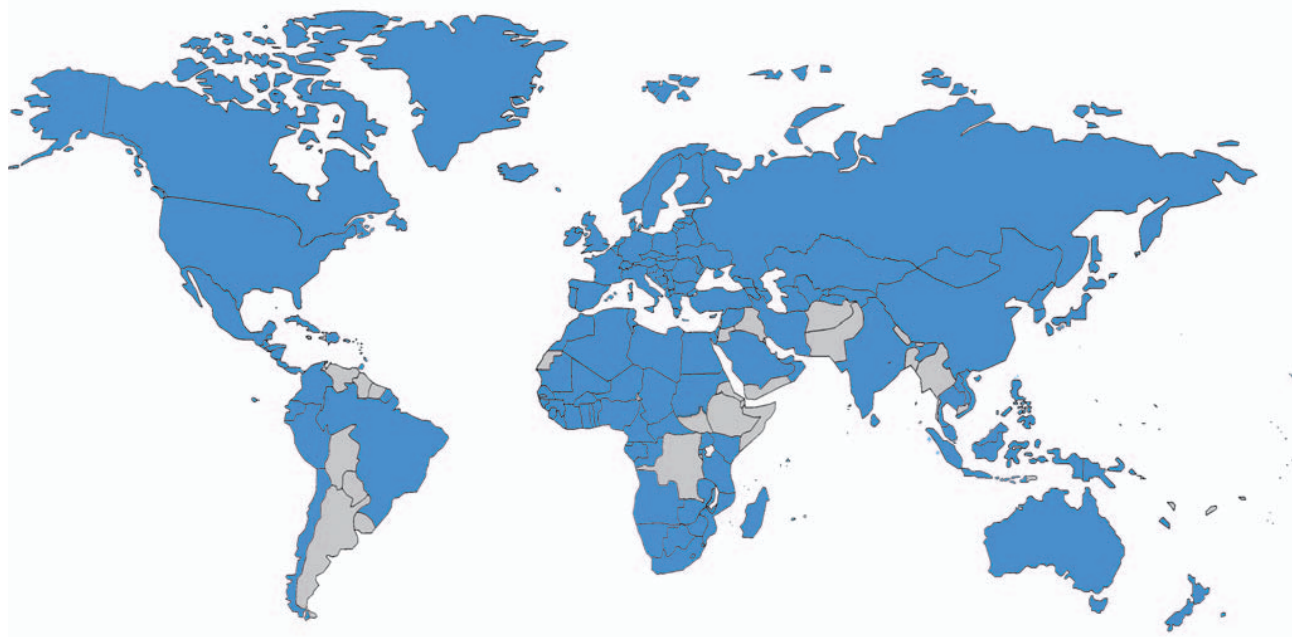
[See over page for footnotes to fee tables]

*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).



## PCT Contracting States and Two-letter Codes (148 on 1 April 2016)



AE United Arab Emirates	CR Costa Rica	IN India	ML Mali (OA) <sup>2</sup>	SI Slovenia (EP) <sup>2</sup>
AG Antigua and Barbuda	CU Cuba	IR Iran (Islamic Republic of)	MN Mongolia	SK Slovakia (EP)
AL Albania (EP)	CY Cyprus (EP) <sup>2</sup>	IS Iceland (EP)	MR Mauritania (OA) <sup>2</sup>	SL Sierra Leone (AP)
AM Armenia (EA)	CZ Czech Republic (EP)	IT Italy (EP) <sup>2</sup>	MT Malta (EP) <sup>2</sup>	SM San Marino (EP)
AO Angola	DE Germany (EP)	JP Japan	MW Malawi (AP)	SN Senegal (OA) <sup>2</sup>
AT Austria (EP)	DK Denmark (EP)	KE Kenya (AP)	MX Mexico	ST Sao Tome and Principe (AP) <sup>5</sup>
AU Australia	DM Dominica	KG Kyrgyzstan (EA)	MY Malaysia	SV El Salvador
AZ Azerbaijan (EA)	DO Dominican Republic	KM Comoros (OA) <sup>2</sup>	MZ Mozambique (AP)	SY Syrian Arab Republic
BA Bosnia and Herzegovina <sup>1</sup>	DZ Algeria	KN Saint Kitts and Nevis	NA Namibia (AP)	SZ Swaziland (AP) <sup>2</sup>
BB Barbados	EC Ecuador	KP Democratic People's Republic of Korea	NE Niger (OA) <sup>2</sup>	TD Chad (OA) <sup>2</sup>
BE Belgium (EP) <sup>2</sup>	EE Estonia (EP)	KR Republic of Korea	NG Nigeria	TG Togo (OA) <sup>2</sup>
BF Burkina Faso (OA) <sup>2</sup>	EG Egypt	KZ Kazakhstan (EA)	NI Nicaragua	TH Thailand
BG Bulgaria (EP)	ES Spain (EP)	LA Lao People's Democratic Republic	NL Netherlands (EP) <sup>2</sup>	TJ Tajikistan (EA)
BH Bahrain	FI Finland (EP)	LC Saint Lucia	NO Norway (EP)	TM Turkmenistan (EA)
BJ Benin (OA) <sup>2</sup>	FR France (EP) <sup>2</sup>	LI Liechtenstein (EP)	NZ New Zealand	TN Tunisia
BN Brunei Darussalam	GA Gabon (OA) <sup>2</sup>	LK Sri Lanka	OM Oman	TR Turkey (EP)
BR Brazil	GB United Kingdom (EP)	LR Liberia (AP)	PA Panama	TT Trinidad and Tobago
BW Botswana (AP)	GD Grenada	LS Lesotho (AP)	PE Peru	TZ United Republic of Tanzania (AP)
BY Belarus (EA)	GE Georgia	LT Lithuania (EP) <sup>2</sup>	PG Papua New Guinea	UA Ukraine
BZ Belize	GH Ghana (AP)	LU Luxembourg (EP)	PH Philippines	UG Uganda (AP)
CA Canada	GM Gambia (AP)	LV Latvia (EP) <sup>2</sup>	PL Poland (EP)	US United States of America
CF Central African Republic (OA) <sup>2</sup>	GN Guinea (OA) <sup>2</sup>	LY Libya	PT Portugal (EP)	UZ Uzbekistan
CG Congo (OA) <sup>2</sup>	GQ Equatorial Guinea (OA) <sup>2</sup>	MA Morocco <sup>3</sup>	QA Qatar	VC Saint Vincent and the Grenadines
CH Switzerland (EP)	GR Greece (EP) <sup>2</sup>	MC Monaco (EP) <sup>2</sup>	RO Romania (EP)	VN Viet Nam
CI Côte d'Ivoire (OA) <sup>2</sup>	GT Guatemala	MD Republic of Moldova <sup>4</sup>	RS Serbia (EP)	ZA South Africa
CL Chile	HU Hungary (EP)	ME Montenegro <sup>1</sup>	RU Russian Federation (EA)	ZM Zambia (AP)
CM Cameroon (OA) <sup>2</sup>	ID Indonesia	MG Madagascar	RW Rwanda (AP)	ZW Zimbabwe (AP)
CN China	IE Ireland (EP) <sup>2</sup>	MK The former Yugoslav Republic of Macedonia (EP)	SA Saudi Arabia	
CO Colombia	IL Israel		SC Seychelles	
			SD Sudan (AP)	
			SE Sweden (EP)	
			SG Singapore	

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

3 Validation of European patent possible for international applications filed on or after 1 March 2015.

4 Validation of European patent possible for international applications filed on or after 1 November 2015.

5 Only international applications filed on or after 19 August 2014. Include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:** This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.

# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

May 2016 | No. 05/2016

## **PCT Working Group**

The ninth session of the PCT Working Group was held in Geneva from 17 to 20 May 2016.

### **Agreed changes to the PCT Regulations**

The Working Group agreed to forward a number of proposed amendments to the PCT Regulations to the Assembly of the International Patent Cooperation Union (PCT Assembly) for approval at its session in October 2016:

- the deadline for requesting supplementary international search would be extended from 19 to 22 months from the priority date of the international application (see document PCT/WG/9/6 and paragraph 123 of document PCT/WG/9/27);
- the requirement for receiving Offices to forward details of search or classification results from earlier applications to the International Searching Authority (ISA), in general without the express permission of the applicant, adopted by the PCT Assembly in October 2015 to enter into force on 1 July 2017, would be clarified to set out the relationship between this provision and the confidentiality requirements of a receiving Office under PCT Article 30(2)(a), as applicable by virtue of PCT Article 30(3) (see document PCT/WG/9/5); and
- two incompatibility provisions where all notifications had been withdrawn would be deleted (see document PCT/WG/9/12).

### **Electronic services**

The Working Group noted the following documents relating to the development of electronic services:

- a document setting out priorities for the development of ePCT, which would include the launch of a new “look and feel” later in 2016 (see document PCT/WG/9/17);
- a document highlighting the potential of the WIPO CASE (Centralized Access to Search and Examination) system to share information on search and examination regarding patent applications between Offices (see document PCT/WG/9/4); and
- a report on a pilot program at the European Patent Office (EPO) to implement eSearchCopy for the electronic transmittal of search copies via the International Bureau (IB) (see document PCT/WG/9/23).

The Working Group also provided preliminary views on a “proof of concept” system for preparing national phase entries using ePCT and invited the IB to issue a PCT Circular to



provide further explanation of the system and seek comments from Offices and users which would be taken into account in any pilot system (document PCT/WG/9/24).

### **Training of Examiners**

The Working Group discussed the results of a survey regarding the training of substantive patent examiners and approved a set of recommendations for further work by the IB. The follow-up to the survey will include efforts by the IB to improve the coordination and increase the transparency of the training provided by Offices, to encourage Offices to provide more training activities, to enhance access to existing self-study materials and enrich their diversity and content, to compile competency models used by Offices in examiner training with a view to developing an inventory of competencies required for substantive patent examiners in Offices of various sizes, and to explore the development of a learning management system (see document PCT/WG/9/18).

### **Extension of Appointment of International Searching and Preliminary Examining Authorities**

The Working Group agreed on the procedures and timetable to be followed for extending the appointment of existing International Authorities for a further 10-year period, with effect from 1 January 2018 (see document PCT/WG/9/14).

### **PCT Fees**

The Working Group invited the Secretariat to provide a supplement to the study on possible fee reductions for universities and public research institutes that had been presented at the eighth session of the Working Group (document PCT/WG/8/11), outlining various additional hypothetical scenarios and providing information on how, for the purposes of the study, the IB had identified the relevant universities and institutes from among all applicants (see document PCT/WG/9/25 and paragraph 42 of document PCT/WG/9/27).

The Working Group also invited the Secretariat to provide additional information on the impact of proposed measures intended to reduce the number of fee reductions being claimed by applicants to whom a fee reduction was not intended to be applicable (see document PCT/WG/9/10 and paragraph 55 of document PCT/WG/9/27).

The Working Group noted an analysis by the IB of issues relating to the possible implementation of a hedging strategy for PCT fees, along with an update on developments relating to the possible introduction of a “netting structure” for PCT fee transactions (see document PCT/WG/9/9).

### **Content of PCT Published Applications**

The Working Group invited the Korean Intellectual Property Office to work with the IB to develop detailed proposals to include national classification symbols such as the Common Patent Classification (CPC) used by the Office acting as ISA on the front page of published international applications, in addition to the International Patent Classification (IPC) (see document PCT/WG/9/26).

The Working Group invited the IB to consult with Offices via circular on an interim solution to allow color drawings filed as part of an international application to be made available, potentially beginning in 2017, and to investigate the issues involved in implementing the full processing of

international applications with color drawings in the international phase, at least when filed in XML format (see document PCT/WG/9/19).

The Working Group also invited the IB to undertake a consultation to seek feedback on issues relating to the quality and length of abstracts, especially from designated Offices and representatives of applicants and patent information users, to help inform further discussions on this subject (see document PCT/WG/9/16).

The Working Group noted a report on the technical assessment of the transition to WIPO Standard ST.26 for the presentation of nucleotide and amino acid sequence listings using XML (see document PCT/WG/9/15).

### Other Issues

The Working Group did not agree on an IB proposal to amend the Regulations to specifically allow applicants to remove an erroneously filed element or part of an application and insert the correct element or part. Consequently, the Working Group invited the IB to carry out an assessment of the compatibility of these proposed provisions with the Patent Law Treaty for consideration at its next session (see document PCT/WG/9/13 and paragraph 130 of document PCT/WG/9/27).

The Working Group similarly noted a lack of agreement on a proposal to expressly require receiving Offices not to cancel same day priority claims in the international phase, and thus allowing their outcome to be determined in the national phase under the applicable national laws. Member states felt that efforts should instead focus on modifying the Receiving Office Guidelines and the *PCT Applicant's Guide* to clarify the differing practices applied by receiving Offices and designated Offices in this area (see document PCT/WG/9/3).

The Working Group also noted reports concerning:

- the twenty-third session of the Meeting of International Authorities Under the PCT (see document PCT/WG/9/2 and *PCT Newsletter* No. 02/2016);
- coordination of technical assistance under the PCT (see document PCT/WG/9/8);
- the PCT User Survey (document PCT/WG/9/11 and *PCT Newsletter* No. 04/2016);
- a third pilot on collaborative search and examination to take place over the next three years (document PCT/WG/9/20);
- the use of the PCT Direct service in operation since 1 November 2014 at the EPO, which allows applicants, when filing an international application claiming priority of an earlier application which has already been searched by the EPO, to provide comments on the search opinion drawn up on the earlier application (see document PCT/WG/9/21);
- actions planned by the PCT minimum documentation task force (see document PCT/WG/9/22); and
- the adoption of a revised WIPO Standard ST.14 for the inclusion of references in cited patent documents (see document PCT/WG/9/7).

## Summary and Documents

The summary by the Chair is available from the same page as the working documents on the WIPO website at:

[www.wipo.int/meetings/en/details.jsp?meeting\\_code=pct/wg/9](http://www.wipo.int/meetings/en/details.jsp?meeting_code=pct/wg/9)

A draft report of the meeting will also be made available on that page in due course.

## PCT Committee for Technical Cooperation

The twenty-ninth session of the PCT Committee for Technical Cooperation was held in Geneva during the period from 17 to 20 May 2016, the same period as the ninth session of the PCT Working Group. The Committee discussed the application of the Turkish Patent Institute (TPI) to be appointed as an International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) under the PCT and agreed to recommend the appointment of the TPI as ISA and IPEA to the Assembly of the PCT Union.

For further information, see the Summary by the Chair at:

[http://www.wipo.int/edocs/mdocs/pct/en/pct\\_ctc\\_29/pct\\_ctc\\_2J\\_3.pdf](http://www.wipo.int/edocs/mdocs/pct/en/pct_ctc_29/pct_ctc_2J_3.pdf)

## Visegrad Patent Institute to Begin Operating as an International Searching and Preliminary Examining Authority

Following the appointment, at the 47th session of the Assembly of the PCT Union which was held in October 2015, of the Visegrad Patent Institute (VPI) (two-letter code: XV) as International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) under the PCT (see *PCT Newsletter* No. 10/2015), the Office has notified WIPO that it will begin operating as ISA and IPEA with effect from 1 July 2016. Furthermore, it will also act as an Authority specified for supplementary search (SISA).

It is recalled that the VPI is an intergovernmental organization for cooperation in the field of patents, consisting of the national patent Offices of the four Visegrad countries: the Czech Republic, Hungary, Poland and Slovakia, and is the first International Authority in the Central European and Baltic Region.

For information on the specification by the Industrial Property Office (Czech Republic), the Hungarian Intellectual Property Office, the Industrial Property Office (Slovakia) and the Patent Office of the Republic of Poland of the VPI as competent ISA and IPEA for international applications filed with the respective Offices or the International Bureau as receiving Office, see "PCT Information Update".

Once the relevant information has been confirmed, further information on the Office in its capacity as ISA, SISA and IPEA will be published in the *PCT Applicant's Guide*, Annexes D, SISA and E, and the fees payable to the Office will be published in the PCT Fee Tables.

## Intellectual Property Department, Ministry of Commerce and Industry (Oman) to act as receiving Office

The Intellectual Property Department, Ministry of Commerce and Industry (Oman) has notified the International Bureau (IB) that, with effect from 1 June 2016, it will act as receiving Office, in addition to the receiving Office of the IB, for nationals and residents of Oman. Information on

the requirements of the Office as receiving Office will be published in the *PCT Applicant's Guide* (see Annex C (OM)) shortly.

## Electronic Filing and Processing of International Applications

### New: use of the ePCT system for preparing the request form as part of an international application filed with the USPTO as receiving Office

As from 1 June 2016, applicants filing international applications with the United States Patent and Trademark Office (USPTO) as receiving Office (RO/US) will, subject to the limitations discussed below, be able to use ePCT (in addition to PCT-SAFE) to generate a .zip file containing a validated request form, and then submit the .zip file electronically to RO/US as part of an international application filed using the USPTO's electronic filing system (EFS-Web). As with PCT-SAFE, the .zip file generated by ePCT, which will contain the request form in character coded format, will also entitle the applicant to the reduction in the international filing fee indicated in item 4(b) of the PCT Schedule of Fees (currently 205 US dollars).

However, attention is drawn to the United States Federal Register Notice (Vol. 81, No. 88 / Friday, May 6, 2016, page 27417) at:

<https://www.gpo.gov/fdsys/pkg/FR-2016-05-06/pdf/2016-10733.pdf>

which sets out a limitation based on the fact that the information entered in ePCT is stored on the IB's servers which are outside of the United States of America. Note, in particular, that a foreign filing license from the USPTO does not authorize the export of subject matter into ePCT for the purposes of generating a request form for upload to EFS-Web and filing with RO/US. Consequently, if the applicant intends to file an international application with RO/US via EFS-Web, the ePCT system should be used to create the request form for upload via EFS-Web **only for international applications in relation to which the technical data loaded into ePCT** (which will usually simply mean the title) **is not subject to relevant export controls**. Full details of the restricted categories can be found on the website of the Bureau of Industry and Security at:

<https://www.bis.doc.gov/index.php/regulations/export-administration-regulations-ear>

The main reasons for controls, as defined in the Commerce Control List, are:

- Chemical and Biological Weapons
- Nuclear Nonproliferation
- National Security
- Missile Technology
- Regional Stability
- Firearms Convention
- Crime Control
- Anti-Terrorism

Note that "dual use" technologies may fall within the various categories even if their disclosed purpose is not relevant to the above reasons for controls.

US applicants are reminded that, if they have either obtained a foreign filing license or else made an application to the USPTO at least six months earlier without any order of secrecy being made, the Commerce Control List restrictions do not apply to the use of ePCT-Filing to prepare and file applications to the receiving Office of the International Bureau (RO/IB). For filing with the RO/IB, all the benefits of ePCT-Filing are available to them, such as the complete validation of the full content of the international application, including the specification, which is contained in the direct online filing package to RO/IB. Moreover, when filing with the RO/IB using ePCT-Filing, it is possible to pay the fees online either by credit card or by debiting a WIPO current account at the time of filing, or post-filing if preferred.

Some of the general advantages of using ePCT are as follows:

- ePCT is a web-based application that does not require installation and subsequent periodic updates of any software on the user's computer;
- unlike PCT-SAFE, which only validates data making up the request form against the version of the software installed on the user's computer, ePCT makes all of the business rule validations and fee calculations in real-time and checks them against the database of the IB, which holds the most up-to-date PCT reference data. As a result, applications prepared in this way are less likely to require correction post-filing, and their processing is thus more efficient and cost-effective for applicants, the receiving Office and the IB; and
- even before the filing package is downloaded from ePCT (for example, for submission to RO/US via EFS-Web), the international application will be automatically associated with the user's WIPO account without any need for ePCT access rights to be set up independently or requested separately, and it will therefore be possible to share access rights with other users prior to filing, if required. As a result, once the IB receives the record copy from RO/US, all users with access rights to the international application will be able to enjoy secure electronic access to the file as held by the IB and benefit from the full suite of functions available in ePCT, such as online file management and monitoring of certain time limits, electronic upload of subsequent documents in electronic form and interactive "Actions" with built-in business validations, such as requests under PCT Rule 92*bis*.

For further details of all the features available in ePCT, please refer to:

[http://www.wipo.int/pct/en/epct/pdf/pct\\_wipo\\_accounts\\_user\\_guide.pdf](http://www.wipo.int/pct/en/epct/pdf/pct_wipo_accounts_user_guide.pdf)

### **Bulgaria and Oman to begin receiving and processing international applications in electronic form**

The Patent Office of the Republic of Bulgaria and the Intellectual Property Department, Ministry of Commerce and Industry (Oman), in their capacities as receiving Offices (RO/BG and RO/OM), have notified the IB under PCT Rule 89*bis*.1(d) that they are prepared to receive and process international applications in electronic form with effect from 1 May 2016 and 1 June 2016, respectively. RO/BG accepts international applications filed using the ePCT-Filing function in the ePCT Portal as well as EPO online filing, and RO/OM will accept international applications filed using the ePCT-Filing function in the ePCT Portal.

The applicable electronic filing fee reductions listed in item 4 of the Schedule of Fees are indicated in Fee Table I(a) in respect of Bulgaria, but have not yet been confirmed for Oman.

The notification containing the requirements and practices of the Patent Office of the Republic of Bulgaria with regard to the filing of international applications in electronic form was published in the *Official Notices (PCT Gazette)* on 21 April 2016, and the notification containing the requirements and practices of the Intellectual Property Department, Ministry of Commerce and Industry (Oman) will be published shortly at:

[http://www.wipo.int/pct/en/official\\_notices/index.html](http://www.wipo.int/pct/en/official_notices/index.html)

(Updating of *PCT Applicant's Guide*, Annex C (BG))

### **Slovakia: Industrial Property Office (Slovakia) to accept ePCT-Filings**

The Industrial Property Office (Slovakia) as receiving Office (RO/SK) has notified the International Bureau (IB) that, with effect from 1 July 2016, it will accept international applications filed using the ePCT-Filing function in the ePCT Portal.

A revised notification containing the requirements and practices of RO/SK with regard to the filing of international applications in electronic form will be published in the *Official Notices (PCT Gazette)* shortly at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

This information updates the *PCT Applicant's Guide*, Annex C (SK).

The acceptance of ePCT-Filings by the Patent Office of the Republic of Bulgaria, the Intellectual Property Department, Ministry of Commerce and Industry (Oman) and the Industrial Property Office (Slovakia) will bring to 40 the total number of receiving Offices which do so<sup>1</sup>.

### **Brazil: National Institute of Industrial Property (Brazil) accepts XML format for ePCT-Filings**

The National Institute of Industrial Property (Brazil) as receiving Office (RO/BR) has notified the International Bureau (IB) that, with effect from 1 May 2016, it accepts international applications filed in XML format, in addition to PDF format, in respect of ePCT-Filings. The fee reduction under Item 4(c) of the Schedule of Fees is therefore applicable in respect of international applications filed with RO/BR with effect from 1 May 2016. The applicable amount of the reduction in respect of such applications is the BRL equivalent amount of CHF 300.

A revised notification containing the requirements and practices of RO/BR with regard to the filing of international applications in electronic form will be published in the *Official Notices (PCT Gazette)* shortly at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

This information updates the *PCT Applicant's Guide*, Annex C (BR).

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<sup>1</sup> ePCT-Filing is now available for online filing with the following receiving Offices: RO/IB, RO/AT, RO/AU, RO/AZ, RO/BG, RO/BN, RO/BR, RO/CA, RO/CL, RO/CO, RO/CU, RO/CZ, RO/DK, RO/DZ, RO/EA, RO/EE, RO/EP, RO/FI, RO/HU, RO/ID, RO/IN, RO/IR, RO/IS, RO/LV, RO/MX, RO/MY, RO/NO, RO/NZ, (from 1 June 2016: RO/OM), RO/PH, RO/PL, RO/PT, RO/QA, RO/RU, RO/SA, RO/SE, RO/SG, (from 1 July 2016: RO/SK), RO/TR and RO/ZA.



## **WIPO Digital Access Service for Priority Documents**

It is recalled that by using the WIPO Digital Access Service for Priority Documents (DAS), a PCT applicant can request the International Bureau (IB) to retrieve a copy of an earlier application from DAS for use as a priority document, instead of providing or arranging for the provision of a certified copy. On 1 July 2012, a new procedure (the “New Route”) was introduced for accessing Offices to retrieve priority documents from DAS by using access codes. Applicants can provide the access code directly to the Office of second filing, instead of the more complex procedure of giving that Office authorization to retrieve a priority document.

### **Intellectual Property Office of New Zealand**

The Intellectual Property Office of New Zealand has notified the IB that, with effect from 30 May 2016, it will be possible for it to deposit and retrieve priority documents according to the New Route (in accordance with paragraphs 10 and 12 of the modified Framework Provisions that came into effect on 1 July 2012), both as a depositing Office and as an accessing Office. For further information, see:

<http://www.wipo.int/das/en/notifications.html#nz>

## **Amendments to the PCT Regulations**

It is recalled that the Assembly of the PCT Union adopted, during its meeting which was held in Geneva from 5 to 14 October 2015, amendments to the PCT Regulations which will enter into force on 1 July 2016 or 1 July 2017 (for further information on those amendments, see *PCT Newsletter* No. 10/2015).

The consolidated texts of the Regulations under the PCT which will enter into force on 1 July 2016, are now available in PDF format in Chinese, English, French and Spanish (on the right hand side of the page) at, respectively:

<http://www.wipo.int/pct/zh/texts/index.html>

<http://www.wipo.int/pct/en/texts/index.html>

<http://www.wipo.int/pct/fr/texts/index.html>

<http://www.wipo.int/pct/es/texts/index.html>

Other languages will follow shortly.

## **Budapest Treaty**

### **Accession by Colombia**

Colombia deposited its instrument of accession to the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure on 26 April 2016, bringing the total number of States party to that Treaty to 80. The Budapest Treaty will enter into force with respect to Colombia on 26 July 2016.

## Information about the Budapest Treaty

An updated document containing a summary of the Budapest Treaty and Regulations, as well as an explanation of the main advantages of the Treaty (WO/INF/12 Rev.23), is available in English, French and Spanish at:

[http://www.wipo.int/treaties/en/registration/budapest/pdf/wo\\_inf\\_12.pdf](http://www.wipo.int/treaties/en/registration/budapest/pdf/wo_inf_12.pdf)

[http://www.wipo.int/treaties/fr/registration/budapest/pdf/wo\\_inf\\_12.pdf](http://www.wipo.int/treaties/fr/registration/budapest/pdf/wo_inf_12.pdf)

[http://www.wipo.int/treaties/es/registration/budapest/pdf/wo\\_inf\\_12.pdf](http://www.wipo.int/treaties/es/registration/budapest/pdf/wo_inf_12.pdf)

## PCT Information Update

### AU Australia (fees)

As from 1 July 2016, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in AUD to the Australian Patent Office as receiving Office, as well as a change in the equivalent amounts in AUD of the applicable fee reductions listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of *PCT Applicant's Guide*, Annex C (AU))

### BG Bulgaria (electronic filing; fees)

For information on the acceptance, with effect from 1 May 2016, by the Patent Office of the Republic of Bulgaria as receiving Office of international applications filed using ePCT-Filing and EPO online filing, as well as information on the electronic filing fee reductions listed in item 4 of the Schedule of Fees that will be applicable, see "Electronic Filing and Processing of International Applications", above.

### BN Brunei Darussalam (name of Office; location and mailing address; telephone number; e-mail and Internet addresses)

The name, location and mailing address, telephone number, and e-mail and Internet addresses of the Brunei Intellectual Property Office (BruIPO) have changed, as follows:

Name of Office: Brunei Darussalam Intellectual Property Office (BruIPO)

Location and mailing address: D & T Building, Simpang 32-37  
Anggerek Desa Technology Park  
Jalan Berakas BB3713  
Brunei Darussalam

Telephone: (673) 238 0966

E-mail: [enquiries@bruipo.gov.bn](mailto:enquiries@bruipo.gov.bn)

Internet: [www.bruipo.gov.bn](http://www.bruipo.gov.bn)

(Updating of *PCT Applicant's Guide*, Annex B1 (BN))

## **BR Brazil (electronic filing; fees)**

For information on the acceptance, with effect from 1 May 2016, by the National Institute of Industrial Property (Brazil) as receiving Office of international applications in XML format, in addition to PDF format, filed using ePCT-Filing, as well as information on the electronic filing fee reductions listed in item 4 of the Schedule of Fees that will be applicable, see “Electronic Filing and Processing of International Applications”, above.

## **CZ Czech Republic (competent International Searching and Preliminary Examining Authorities)**

The Industrial Property Office (Czech Republic) has specified, with effect from 1 July 2016, the Visegrad Patent Institute (VPI), in addition to the European Patent Office (EPO), as competent ISA and IPEA for international applications filed by nationals and residents of the Czech Republic with the VPI, or with the IB, as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (CZ))

## **GB United Kingdom (fees)**

As from 1 July 2016, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in GBP to the Intellectual Property Office<sup>2</sup> (United Kingdom) as receiving Office, as well as a change in the equivalent amounts in GBP of the applicable fee reductions listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of *PCT Applicant's Guide*, Annex C (GB))

## **HU Hungary (competent International Searching and Preliminary Examining Authorities)**

The Hungarian Intellectual Property Office has specified, with effect from 1 July 2016, the Visegrad Patent Institute (VPI), in addition to the European Patent Office (EPO), as competent International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) for international applications filed by nationals and residents of Hungary with the VPI, or with the International Bureau (IB), as receiving Office.

Furthermore, the Office has, with effect from the same date, withdrawn its notification specifying the Federal Service for Intellectual Property (Rospatent) (Russian Federation) as competent ISA and IPEA for international applications filed by nationals and residents of Hungary with the VPI, or with the IB, as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (HU))

## **OM Oman (competent receiving Office; electronic filing)**

For information on the notification that the Intellectual Property Department, Ministry of Commerce and Industry (Oman) will start acting as receiving Office for nationals and residents of Oman, see “Intellectual Property Department, Ministry of Commerce and Industry (Oman) to act as receiving Office”, above, and for information on the acceptance by the Office of international applications filed using ePCT-Filing, see “Electronic Filing and Processing of International Applications”, above.

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<sup>2</sup> The Intellectual Property Office is an operating name of the Patent Office.

**PE Peru (e-mail address)**

The e-mail address of the National Institute for the Defense of Competition and Intellectual Property Protection (Peru) has changed to: [mcastro@indecopi.gob.pe](mailto:mcastro@indecopi.gob.pe)

(Updating of *PCT Applicant's Guide*, Annex B1 (PE))

**PL Poland (competent International Searching and Preliminary Examining Authorities)**

The Patent Office of the Republic of Poland has specified, with effect from 1 July 2016, the Visegrad Patent Institute (VPI), in addition to the European Patent Office (EPO), as competent ISA and IPEA for international applications filed by nationals and residents of Poland with the VPI, or with the IB, as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (PL))

**SK Slovakia (e-mail notifications; competent International Searching and Preliminary Examining Authorities)**

The Industrial Property Office (Slovakia) has notified the IB that it does not send notifications via e-mail in respect of international applications.

The Office has specified, with effect from 1 July 2016, the VPI, in addition to the EPO, as competent ISA and IPEA for international applications filed by nationals and residents of Slovakia with the VPI, or with the IB, as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (SK))

**TR Turkey (fees)**

The amounts of the following national fees, payable to the Turkish Patent Institute as designated (or elected) Office, have changed with effect from 1 January 2016:

	<i>Online</i>	<i>On paper</i>
For patent and utility model:		
Filing fee: .....	TRY 660	990
Fee for grant of letters: .....	TRY 290	435
First annual fee: .....	TRY 200	300
Fee for reinstatement of rights: .....	TRY 1,100	1,650

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (TR))

**Search fee (Australian Patent Office, Canadian Intellectual Property Office, Egyptian Patent Office, European Patent Office, Federal Service for Intellectual Property (Rospatent) (Russian Federation), Japan Patent Office)**

As from 1 July 2016, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Australian Patent Office .....	USD
Canadian Intellectual Property Office .....	USD

Egyptian Patent Office.....	CHF, EUR, USD
European Patent Office .....	GBP
Federal Service for Intellectual Property (Rospatent) (Russian Federation).....	USD
Japan Patent Office .....	KRW

(Updating of *PCT Applicant's Guide*, Annex D (AU, CA, EG, EP, JP and RU))

### Fees relating to preliminary examination (Australian Patent Office)

As from 1 June 2016, there will be a change in the amount of the handling fee payable to the Australian Patent Office as International Preliminary Examining Authority. The new amount will be AUD 272.

(Updating of *PCT Applicant's Guide*, Annex E (AU))

## New/updated PCT Resources

### PCT webinar in French

The recording of a PCT update webinar in French, together with the accompanying PowerPoint presentation, has been added to the webinars page of the PCT website at:

<http://www.wipo.int/pct/fr/seminar/webinars/index.html>

The webinar includes information on the decisions taken by the 47<sup>th</sup> session of the Assembly of the PCT Union which was held in October 2015, an update on the ePCT System, and information on the future development of the PCT System. (The Arabic, Chinese, English, German, Japanese, Korean, Portuguese and Spanish versions of this webinar were already announced in *PCT Newsletter* Nos. 03 and 04/2016).

## PATENTSCOPE Search Service

### New search fields available in PATENTSCOPE

The following four new search fields have been created to search detailed information regarding PCT applications published on PATENTSCOPE:

- International Searching Authority (ISA): the ISA field allows you to retrieve all PCT applications in respect of which a particular ISA performed the international search and issued the associated International Search Report;
- International Search Report (ISR): the ISR field allows you to retrieve all PCT applications published with either an ISR or with an Article 17(2)(a) declaration;
- International Preliminary Examination (IPE): the IPE field allows you to retrieve PCT applications in respect of which an International Preliminary Examination Report has been published (or not); and
- Supplementary International Search (SIS): the SIS field allows you to see whether or not a supplementary international search report (or declaration) is available.

Thanks to these extra fields, you can now make your searches more targeted and specific. As with all PATENTSCOPE fields, these new fields can be used in combination with other fields using Boolean logic. For further information on how to carry out the searches, together with examples of search terms, please see the PATENTSCOPE news article published at:

[http://www.wipo.int/patentscope/en/news/pctdb/2016/news\\_0002.html](http://www.wipo.int/patentscope/en/news/pctdb/2016/news_0002.html)

## Practical Advice

### Note about this month's Practical Advice author and invitation to contribute to future articles

This month, the Practical Advice column was kindly authored by an experienced, US-based patent attorney with many years of PCT experience, to whom we are very grateful. Other experienced PCT practitioners are welcome to contribute proposed Practical Advice articles as it could be very useful for readers to see things from the user's perspective. Please send any proposals to the PCT Legal Division of WIPO at: [pct.legal@wipo.int](mailto:pct.legal@wipo.int)

### The importance of monitoring deadlines and events in respect of an international application during the international phase, and suggestions for which events to monitor

*Q. I am new to the PCT system and I have been asked to monitor deadlines and the receipt of certain forms during the international phase in respect of a number of PCT applications. Can you give me some guidance as to what I should monitor?*

A. There are many deadlines and events to be aware of during the international phase of the PCT, and it is for this reason that it is very useful to have an efficient monitoring system set up in order to avoid missing any of those deadlines, especially for firms with very large patent portfolios. Such a monitoring system, usually referred to as a "docketing system", often takes the form of software specifically designed to monitor deadlines, and alert the applicant (or his or her agent) of such deadlines. The extent to which an applicant wishes to docket events is a matter of preference. Below are some suggestions as to which events it may be useful to docket during the international phase.

First, before filing an international application, if an earlier application was filed the priority of which is to be claimed in a later-filed PCT application, you should docket a date quite some time prior to 12 months from the date of filing of that earlier application, in order to ensure that the PCT application is filed within that 12-month priority period.

Once the PCT application has been filed, you may wish to check for the subsequent arrival of the following forms:

- Form PCT/RO/105, which records the international filing date and the international application number, and is sent promptly after the according of that date and number.
- Form PCT/RO/102, which confirms that the fees have been paid, or notifies the applicant that either the fees paid were incorrect or were not paid at all, whatever the case may be; if the transmittal fee (if any), search fee and/or international filing fee have still not been paid by the expiration of the time limit indicated in that form, you will receive Form PCT/RO/133 and will need to monitor the new time limit of one month from the date of mailing of the latter form, and ensure that the fees are paid within that new time limit to avoid the international application being considered withdrawn.



- Form PCT/IB/301, which confirms that the International Bureau (IB) has received the Record Copy from the receiving Office.
- Form PCT/IB/304, which notifies you of the date of receipt by the IB of the certified copy of an earlier application, the priority of which is claimed.
- Form PCT/ISA/202, which notifies you of the date of receipt by the International Searching Authority (ISA) of the Search Copy. This date is useful as it allows you to calculate the expected date for the establishment of the international search report (ISR) and Written Opinion of the ISA (the time limit is either three months from the receipt of the search copy by the ISA, or nine months from the priority date, whichever time limit expires later (PCT Rule 42.1)). You may then wish to docket the “estimated establishment date”.

As for each of these documents, upon its arrival, you may wish to check whether the information listed on the forms matches your own information, where you have such information, for example as to the international filing date that has been accorded. For the purposes of any follow-up, note that the form number indicates the Office which prepares the form. For example, Forms PCT/RO/105 and PCT/RO/102 are prepared by the receiving Office, Form PCT/IB/301 is prepared by the IB, and Form PCT/ISA/202 is prepared by the ISA. With regard to possible time periods for docketing of those forms:

- Forms PCT/RO/105 and PCT/RO/102: depending upon processing time at the receiving Office involved, the applicant might wish to docket one or two weeks after the filing date to check for their arrival; and
- Forms PCT/IB/301 and PCT/ISA/202: the applicant might wish to docket to check for their arrival one or two weeks after arrival of the Forms PCT/RO/105 and PCT/RO/102.

You may also wish to docket the following deadlines:

- 16 months from the priority date: for the filing of amendments to the claims under PCT Article 19. Once the ISR has been received, you should add two months to the date of transmittal indicated on the report, check whether this falls later than the 16-month time limit, and change the docketed date where applicable.
- 18 months from the priority date: for the scheduled date for international publication of the international application, which should take place promptly thereafter, provided that early publication has not been requested (PCT Article 21(2)).
- 22 months from the priority date: for the filing of a demand for international preliminary examination. You should bear in mind, however, that the applicable time limit may be later where the expiration of three months from the date of transmittal of the ISR (and the written opinion of the ISA) falls later than that 22-month time limit. Once the ISR has been received, you should add three months to the date of transmittal, check whether this falls later than the 22-month time limit, and change the docketed date where applicable.
- 30 months from the priority date: for entry into the national (or regional) phase (in respect of most designated (or elected) Offices). You should be aware of the time limit applicable in respect of each Office before which you wish to enter the national phase, and ensure that all the necessary requirements for entry into the national phase are met within that time limit. The 30-month time limit also marks the end of the time limit for

requesting changes under PCT Rule 92*bis* – any such requests will not be recorded if received by the IB after this time limit.

It is, of course, very advantageous to make use of the ePCT system to assist in managing the PCT application during the international phase. Thus, after Form PCT/IB/301 arrives, you may wish to check to make sure that you have access to the PCT application in the ePCT system.

It is recalled that ePCT has a very useful “Timeline” function which calculates the above-mentioned dates (and others) automatically. For example after the IB has processed the ISR, the “Timeline” function will show the (possibly recalculated) due date for the filing of Article 19 amendments and for the filing of the demand and Article 34 amendments. Moreover, you can set up automated e-mail notifications to remind you in advance about time limits that are about to expire, or important events that are imminent in your international applications, for example, “The time limit for submitting Article 19 amendments expires in 2 weeks” or “Technical preparations for publication are scheduled to close in 2 weeks.” This is, of course, yet another reason why it is desirable to make use of the ePCT system. For further information on the ePCT Timeline, and examples of other deadlines that you can also docket, see the ePCT User Guide at:

[http://www.wipo.int/pct/en/epct/pdf/pct\\_wipo\\_accounts\\_user\\_guide.pdf](http://www.wipo.int/pct/en/epct/pdf/pct_wipo_accounts_user_guide.pdf)

<b>PCT Seminar Calendar</b>			
(http://www.wipo.int/pct/en/seminar/seminar.pdf)			
(situation on 23 May 2016)			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>24-25 May 2016 Riyadh (SA)</b>	Arabic	National PCT User Day Workshop WIPO speaker: Mr. Jazairy	Saudi Patent Office (Mr. Ibrahim Al-Khamis) E-mail: Mr_Alkhams@hotmail.com
<b>9-10 June 2016 Stockholm (SE)</b>	English	PCT seminar WIPO speakers: Mr. Reischle-Park and Mr. Henninger	IP-akademin (Ms. Rut Herbjørnsen) Tel: (46-708) 808 342 E-mail: info@ipakademin.se Internet: www.ipakademin.se
<b>16 June 2016 Moscow (RU)</b>	Russian	PCT seminar WIPO speaker: Ms. Largo	Innopraktika (Ms. Anastasia Moskina) Tel: (7-495) 932 92 39 (Ms. Tatiana Istomina) Tel: (7-916) 722 62 88 E-mail: for registration (deadline 14 June 2016): ip@cnir.msu.ru; ip@cnir.ru
<b>16-17 June 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>17 June 2016 Madrid (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT training course WIPO speaker: Ms. Bonvallet	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Francisco Bernardo) E-mail: fbernardo@abgpatentes.com
<b>25-26 July 2015 Alexandria, VA (US)</b>	English	20 <sup>th</sup> Annual Patent Cooperation Treaty Seminar for attorneys, patent agents and paralegals WIPO speaker: Ms. Bonvallet	American Intellectual Property Law Association (AIPLA) Tel: (1-703) 415 07 80 Fax: (1-703) 415 07 86 E-mail: aipla@aipla.org Internet: www.aipla.org
<b>16 September 2016 Lisbon (PT)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. João Amaral) Tel: (351) 218 818 100 Fax: (351) 218 878 508 E-mail: jamaral@inpi.pt Internet: www.inpi.pt
<b>3 October 2016 Zurich (CH)</b>	German	Seminar on the latest developments concerning the PCT (CEIPI Course) WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Hanjörg Kley) Tel : (41-52) 226 00 00 Fax: (41-52) 226 00 09 E-mail: hansjoerg@kley.ch
<b>6 October 2016 Barcelona (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Antonio López-Carrasco Comajuncosas) Tel : (34-93) 123 31 01 E-mail: antonio.lopez-carrascocomajuncosas@telefonica.com

[continued on next page]

**PCT Seminar Calendar [continued]**

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>12-13 October 2016 Munich (DE)</b>	German	Advanced PCT Seminar WIPO speaker: Mr. Reischle-Park	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>28 October 2016 London (GB)</b>	English	PCT seminar within the framework of the 15 <sup>th</sup> Annual Conference for Senior Patent Administrators (27-28 October 2016) WIPO speaker: Mr. Reischle-Park	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>2-3 November 2016 Munich (DE)</b>	German	PCT update/conference WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: c.weber@forum-institut.de
<b>18-19 November 2016 Cracow (PL)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl
<b>24-25 November 2016 Dresden (DE)</b>	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>1-2 December 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>6-7 December 2016 Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms. Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>8 December 2016 Paris (FR)</b>	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (see Paris, above)

<b>PCT Webinars</b>			
(http://www.wipo.int/pct/en/seminar/webinars/index.html) (http://www.wipo.int/patentscope/en/webinar/)			
<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>24 May 2016</b> 17:30–18:30 CET or <b>26 May 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Result list and analysis tools in PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/register/8618398022167909121">https://attendee.gotowebinar.com/register/8618398022167909121</a> <a href="https://attendee.gotowebinar.com/register/4293618567913703684">https://attendee.gotowebinar.com/register/4293618567913703684</a>
<b>28 June 2016</b> 15:00–16:00 CET (09:00–10:00 New York time)	English	July 2016 PCT Rule Changes WIPO speaker: Mr. Reischle-Park	<a href="https://attendee.gotowebinar.com/register/2820671011892979970">https://attendee.gotowebinar.com/register/2820671011892979970</a>
<b>28 June 2016</b> 17:30–18:30 CET or <b>30 June 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Translation tools in PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/register/49533371491012609">https://attendee.gotowebinar.com/register/49533371491012609</a> <a href="https://attendee.gotowebinar.com/register/913982607409326852">https://attendee.gotowebinar.com/register/913982607409326852</a>
<b>19 July 2016</b> 17:30–18:30 CET or <b>21 July 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: The browse menu in PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/register/3702900449420654083">https://attendee.gotowebinar.com/register/3702900449420654083</a> <a href="https://attendee.gotowebinar.com/register/5793677884729792771">https://attendee.gotowebinar.com/register/5793677884729792771</a>

<b>PCT Events Without WIPO speakers<sup>1</sup></b>			
<b>Dates and location</b>	<b>Language of event</b>	<b>Nature of seminar; Speakers</b>	<b>Organizer and contact numbers</b>
<b>22 June 2016</b> <b>Cleveland, OH (US)</b>	English	PCT Boot Camp Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: <a href="mailto:contact@pctlearningcenter.org">contact@pctlearningcenter.org</a> Internet: <a href="http://pctlearningcenter.org">pctlearningcenter.org</a>
<b>24 June 2016</b> <b>Chicago, IL (US)</b>	English	PCT Boot Camp Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (see Cleveland, above)

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.

## PCT Fee Tables (amounts on 1 May 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

**Key to abbreviations used in fee tables:**

eq	equivalent of –	BRL	Brazilian real	HUF	Hungarian forint	MXN	Mexican peso	TND	Tunisian dinar
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TTD	Trinidad and Tobago dollar
ISA	International Searching Authority	CAD	Canadian dollar	ILS	New Israeli sheqel	NOK	Norwegian krone	UAH	Ukrainian hryvnia
RO	receiving Office	CHF	Swiss franc	INR	Indian rupee	NZD	New Zealand dollar	USD	US dollar
		CLP	Chilean peso	IRR	Iranian rial	PEN	Nuevo sol	VND	Vietnamese dong
		CNY	Yuan renminbi	ISK	Icelandic krona	PKG	Kina	XAF	CFA franc BEAC
		COP	Colombian peso	JPY	Japanese yen	PHP	Philippine peso	ZAR	South African rand
		CUC	Cuban convertible peso	KES	Kenyan shilling	PLN	Polish zloty	ZWD	Zimbabwe dollar
		CZK	Czech koruna	KGS	Kyrgyz som	QAR	Qatari riyal		
		DKK	Danish krone	KPW	Won	RON	New leu		
ALL	Albanian lek	DZD	Algerian dinar	KRW	Won	RSD	Serbian dinar		
AMD	Armenian dram	EGP	Egyptian pound	KZT	Kazakh tenge	RUB	Russian rouble		
AUD	Australian dollar	EUR	Euro	LSL	Lesotho loti	SDG	Sudanese pound		
AZN	Azerbaijani manat	GBP	Pound sterling	LYD	Libyan dinar	SEK	Swedish krona		
BAM	Convertible mark	GHS	Ghanaian cedi	MAD	Moroccan dirham	SGD	Singapore dollar		
BGN	Bulgarian lev	GTQ	Guatemalan quetzal	MKD	Macedonian denar	THB	Baht		
BHD	Bahraini dinar	HRK	Croatian kuna	MWK	Malawian kwacha	TJS	Tajik somoni		
BND	Brunei dollar								

**Table I(a) — Transmittal and international filing fees**  
(amounts on 1 May 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1, 2</sup> (CHF 1,330)	Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
					Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available							CA
AL	ALL	9,000	CHF 1,330	15	–	–	–	EP
AM	AMD	32,000	USD 1,363	15	–	–	–	EP RU
AP	USD	50 (or eq in local currency)	USD 1,363	15	–	–	–	AT EP SE
AT	EUR	52	EUR 1,219	14	–	183	275	EP
AU	AUD	200	AUD 1,922 (from 1.7.16: 1,811)	22 (20)	– (–)	289 (272)	434 (408)	AU KR
AZ	AZN	35.40	USD 1,363	15	–	205	307	EP RU
BA	BAM	50	EUR 1,219	14	–	–	–	EP
BE	EUR	120	EUR 1,219	14	–	–	–	EP
BG	BGN	80	BGN eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP RU
BH	BHD	70	USD 1,363	15	–	–	–	AT EP US
BN	BND	150	BND eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU JP EP
BR	BRL <sup>8</sup>	online: 175 on paper: 260	BRL eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR EP SE US
BW	USD	32	USD 1,363	15	–	–	–	EP
BY	USD	50	USD 1,363	15	–	–	–	EP RU
BZ	BZD	300	USD 1,363	15	–	–	–	CA EP
CA	CAD	300	CAD 1,782	20	–	268	402	CA
CH	CHF	100	CHF 1,330	15	–	–	–	EP
CL	CLP eq USD <sup>9</sup>	130	CLP eq USD <sup>9</sup> 1,363	eq USD <sup>9</sup> 15	–	eq USD 205	eq USD 307	CL EP ES KR US
CN	CNY	500	CNY eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN

[continued on next page]



**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 May 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
CO	COP 952,000 <sup>10</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR CL EP ES RU
CR	USD 250	USD 1,363	15	–	–	–	CL EP ES
CU	CUC 200	CUC 1,363	15	–	205	307	AT BR CL EP ES RU
CY	EUR 191	EUR 1,219	14	–	–	–	EP
CZ	CZK 1,500	EUR 1,219	14	–	183	275	EP (from 1.7.16: XV)
DE	EUR 90	EUR 1,219	14	–	183	275	EP
DK	DKK 1,500	DKK 9,090	100	–	1,370	2,050	EP SE XN
DM	Information not yet available						
DO	USD 275	USD 1,363	15	–	–	–	CL EP ES US
DZ	DZD None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	AT EP
EA	RUB 1,600	USD 1,363	15	–	205	307	EP RU
EC	USD <sup>11</sup> –	USD 1,363	15	–	–	–	CL EP ES
EE	EUR 120	EUR 1,219	14	–	183	275	EP
EG	USD 142	USD 1,363	15	–	–	–	AT EG EP US
EP	EUR 130	EUR 1,219	14	92	183	275	EP
ES	EUR 74.99	EUR 1,219	14	–	183	275	EP ES
FI	EUR 135	EUR 1,219	14	–	183	275	EP FI SE
FR	EUR 62	EUR 1,219	14	–	183	275	EP
GB	GBP 75	GBP 899 (from 1.7.16: 980)	10 (11)	– (–)	135 (147)	203 (221)	EP
GD	Information not yet available						
GE	USD <sup>12</sup> 100	USD 1,363	15	–	–	–	AT EP IL RU US
GH	GHS <sup>13</sup> 2,500 or 5,000	USD 1,363	15	–	–	–	AT AU CN EP SE
GR	EUR 115	EUR 1,219	14	–	–	–	EP
GT	GTQ eq USD 250	USD 1,363	15	–	–	–	AT BR CL EP ES US
HN	USD 200	USD 1,363	15	–	–	–	EP ES
HR	HRK 200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
HU	HUF 11,800	HUF 380,800	HUF 4,300	–	57,300	85,900	EP RU (from 1.7.16: EP XV)
IB	CHF <sup>14</sup> 100 or EUR <sup>14</sup> 92 or USD <sup>14</sup> 102	CHF 1,330 or EUR 1,219 or USD 1,363	15 14 15	– – –	200 183 205	300 275 307	See footnote 15
ID	IDR 1,000,000	IDR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR RU SG
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363	15	–	205	307	EP IL US
IN	INR 17,600 (filing by natural person: 3,250; filing by small entity: 8,800)	USD 1,363	15	–	205	307	AT AU CN EP IN SE US
IR	IRR <sup>11</sup> –	IRR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN EP IN RU
IS	ISK 15,000	ISK 172,800	1,900	–	26,000	39,000	EP SE XN
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>16</sup>	JPY 164,200 (from 1.6.16: 151,300)	1,900 (1,700)	– (–)	– (–)	37,000 (34,100)	EP JP SG
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363	15	–	–	–	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363	15	–	–	–	EP RU
KN	Information not yet available						
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>17</sup> KR
KZ	KZT 10,264.80	USD 1,363	15	–	–	–	EP RU
LR	USD 45	USD 1,363	15	–	–	–	AT AU CN EP SE
LS	LSL <sup>11</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	EUR 92	EUR 1,219	14	–	183	275	EP RU

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 May 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>		E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
							Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
LU	EUR	19	EUR	1,219		14	–	–	–	EP
LV	EUR	70	EUR	1,219		14	–	183	275	EP RU
LY	LYD <sup>11</sup>	–	CHF	1,330		15	–	–	–	AT EP
MA	MAD	600 <sup>18</sup>	CHF	1,330		15	–	–	–	AT EP RU SE
MC	EUR	54 <sup>19</sup>	EUR	1,219		14	–	–	–	EP
MD	EUR	100	USD	1,363		15	–	–	–	EP RU
ME	EUR <sup>11</sup>	–	EUR	1,219		14	–	–	–	EP
MK	MKD	2,700	MKD eq CHF	1,330	eq CHF	15	–	–	–	EP
MN		None	CHF	1,330		15	–	–	–	EP KR RU
MT	EUR	55	EUR	1,219		14	–	–	–	EP
MW	MWK	6,000	USD	1,363		15	–	–	–	EP
MX	USD	323.70 <sup>20</sup>	USD	1,363		15	–	205	307	CL EP ES SE SG US
MY	MYR 500 (e-filing) <sup>21</sup> 550 (paper filing) <sup>21</sup>		MYR eq CHF	1,330	eq CHF	15	–	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD	200	USD	1,363		15	–	–	–	EP ES
NL	EUR	50	EUR	1,219		14	–	183	275	EP
NO	NOK	800	NOK	11,460		130	–	1,720	2,590	EP SE XN
NZ	NZD	207	NZD	2,094		24	–	315	472	AU EP KR US
OA	XAF <sup>11</sup>	–	XAF eq CHF	1,330	eq CHF	15	–	–	–	AT EP RU SE
(from 1.6.16) OM	Information not yet available									
PA	USD	200	USD	1,363		15	–	–	–	BR CL EP ES US
PE	PEN	233.35	PEN eq USD	1,363	eq USD	15	–	–	–	AT BR CL EP ES KR US
PG	PGK	250	USD	1,363		15	–	–	–	AU
PH	PHP	3,500	USD	1,363		15	–	205	307	AU EP JP KR US
PL	PLN	300	PLN eq CHF	1,330	eq CHF	15	–	eq CHF 200	eq CHF 300	EP (from 1.7.16: XV)
PT	EUR	20.82	EUR	1,219		14	–	183	275	EP
QA	QAR	400	QAR eq USD	1,363	eq USD	15	–	eq USD 205	eq USD 307	EG EP US
RO	RON	441	EUR	1,219		14	–	183	275	EP RU
RS	RSD	7,300 <sup>22</sup>	RSD eq CHF	1,330	eq CHF	15	–	–	–	EP
RU	RUB	850	USD	1,363		15	–	205	307	EP RU
RW	Information not yet available									
SA	USD	100	USD	1,363		15	–	205	307	CA EG EP KR RU
SC	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP
SD	SDG	50	SDG eq CHF	1,330	eq CHF	15	–	–	–	EG EP
SE	SEK	1,200	SEK	11,380		130	–	1,710	2,570	EP SE XN
SG	SGD	150	SGD	1,934		22	–	291	436	AT AU EP JP KR SG
SI	EUR	91	EUR	1,219		14	–	–	–	EP
SK	EUR	66 <sup>23</sup>	EUR	1,219		14	–	183	275	EP (from 1.7.16: XV)
SM	EUR	70	EUR	1,219		14	–	–	–	EP
SV	USD	200	USD	1,363		15	–	–	–	CL EP ES
SY	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	AT EP RU
TH	THB	3,000	THB eq CHF	1,330	eq CHF	15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
TM	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
TN	TND <sup>11</sup>	–	CHF	1,330		15	–	–	–	EP
TR		None	CHF	1,330		15	–	eq CHF 200	eq CHF 300	EP
TT	TTD	750	USD	1,363		15	–	–	–	AT EP SE US
UA	UAH or eq EUR or USD <sup>24</sup>	1,300	USD (or eq UAH or EUR)	1,363		15	–	–	–	EP RU UA
US	USD small entity <sup>26</sup> : micro entity <sup>26</sup>	240 <sup>25</sup> 120 <sup>25</sup> 60 <sup>25</sup>	USD	1,363		15	102	205	–	AU EP IL JP KR SG RU US
UZ	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
VN	VND eq USD	150	VND eq CHF	1,330	eq CHF	15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR	500	ZAR	20,680		230	–	3,110	4,670	AT AU EP US
ZM	USD	50	USD	1,363		15	–	–	–	AT SE
ZW	ZWD	6,000	ZWD eq USD	1,363	eq USD	15	–	–	–	AT AU CN EP RU

**Table I(b) — Search fees**  
(amounts on 1 May 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>											
AT <sup>27</sup>	EUR	1,864	CHF	2,034	KRW	2,419,000	SGD	2,960	USD	2,084	ZAR	31,450
AU	AUD	2,200	CHF*	1,522	EUR	1,395	KRW*	1,810,000	NZD	2,397	SGD	2,210
	USD**	1,560	ZAR	23,900								
	*	(from 1.6.16:	CHF	1,616	EUR	1,481	KRW	1,963,000)				
	**	(from 1.7.16:	USD	1,674)								
BR <sup>28</sup>	Online:		BRL	1,685	CHF*	422	EUR*	382	USD**	432		
	On paper:		BRL	2,525	CHF*	632	EUR*	573	USD**	647		
	*	(from 1.6.16:										
	Online:		CHF	449	EUR	411	On paper:		CHF	673	EUR	617)
	**	(from 1.7.16:										
	Online:		USD	468			On paper:		USD	701)		
CA	CAD	1,600	CHF	1,194	EUR	1,094	USD*	1,147				
	*	(from 1.7.16:	USD	1,261)								
CL	USD	2,000	CHF	1,952	EUR	1,789						
	In case of filing by an natural person or a legal entity <sup>2</sup> :				USD	400	CHF	390	EUR	358		
	In case of filing by a university <sup>29</sup> :				USD	300	CHF	293	EUR	268		
CN	CNY	2,100	CHF	323	EUR	296	USD	330				
EG <sup>30</sup>	EGP	4,000	CHF*	499	EUR*	457	USD*	511				
	*	(from 1.7.16:	CHF	430	EUR	395	USD	451)				
EP <sup>31</sup>	EUR	1,875	CHF	2,046	DKK	13,990	GBP*	1,384	HUF	585,800	ISK	266,000
	JPY**	252,600	NOK	17,640	NZD	3,222	SEK	17,500	SGD	2,980		
	USD	2,097	ZAR	31,640								
	*	(from 1.7.16:	GBP	1,503)								
	**	(from 1.6.16:	JPY	233,900)								
ES <sup>31,32</sup>	EUR	1,875	CHF	2,046	USD	2,097						
FI	EUR	1,875	CHF	2,046	USD	2,097						
IL	ILS	3,529	CHF	905	EUR	837	USD	912				
IN	INR	10,000	CHF	150	EUR	137	USD	154				
	In case of filing by an individual:		INR	2,500	CHF	37	EUR	34	USD	38		
JP <sup>16</sup>	For international applications in Japanese:											
	JPY	70,000	CHF*	567	EUR*	520	KRW*,**	674,000	SGD	825	USD*	581
	*	(from 1.6.16:	CHF	615	EUR	561	KRW	769,000	USD	618)		
	**	(from 1.7.16:	KRW	725,000)								
	For international applications in English:											
	JPY	156,000	CHF*	1,264	EUR*	1,158	SGD	1,839	USD*	1,295		
	*	(from 1.6.16:	CHF	1,371	EUR	1,251	USD	1,378)				
KR	For international applications in Korean:											
	KRW	450,000	AUD*	547	CHF	379	EUR	347	NZD	559	SGD*	550
	USD	388										
	*	(from 1.6.16:	AUD	504	SGD	520)						
	For international applications in English:											
	KRW	1,300,000	AUD*	1,580	CHF	1,093	EUR	1,002	NZD	1,616	SGD*	1,590
	USD	1,120										
	*	(from 1.6.16:	AUD	1,457	SGD	1,490)						
RU	For international applications in Russian:											
	RUB	6,750	CHF	92	EUR	85	HUF	26,800	USD*	93		
	*	(from 1.7.16:	USD	101)								
	For international applications in English:											
	RUB	28,000	CHF	383	EUR	353	HUF	111,100	USD*	388		
	*	(from 1.7.16:	USD	420)								
SE	SEK	17,500	CHF	2,046	DKK	13,990	EUR	1,875	ISK	266,000	NOK	17,640
	USD	2,097										
SG	SGD	2,240	CHF	1,540	EUR	1,411	JPY	186,300	USD	1,578		
UA	EUR	300	CHF	325	USD	328						
US	USD	2,080	CHF	2,030	EUR	1,860	NZD	3,200	ZAR	31,430		
	For small entity <sup>26</sup> :		USD	1,040	CHF	1,015	EUR	930	NZD	1,600	ZAR	15,710
	For micro entity <sup>26</sup> :		USD	520	CHF	508	EUR	465	NZD	800	ZAR	7,860
XN	DKK	13,990	CHF	2,046	EUR	1,875	ISK	266,000	NOK	17,640	SEK	17,500
	USD	2,097										

**Table I(c) — Supplementary search fees**

(amounts on 1 May 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 33</sup>
AT	– for a search of the German-language documentation: CHF 928	CHF 200
	– for a search of the European and North American documentation: CHF 1,299	
	– for a search of the PCT minimum documentation: CHF 1,855	
EP	CHF 2,046	CHF 200
FI	CHF 2,046	CHF 200
RU	CHF 162 (259) <sup>34</sup>	CHF 200
SE	CHF 2,046	CHF 200
SG	CHF 1,540	CHF 200
UA	– for a search of only the PCT minimum documentation: CHF 108	CHF 200
	– for a search of only the Russian language documentation of the former USSR and the Ukrainian language documentation: CHF 163	
	– for a search of only the European and North American documentation: CHF 217	
XN	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 590	

**Table II — Preliminary examination fees**

(amounts on 1 May 2016, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>35</sup>	Handling fee <sup>2, 35</sup> (CHF 200)
AT <sup>27</sup>	EUR 1,749	EUR 183
AU	AUD 590 820 <sup>36</sup>	AUD 289 (from 1.7.16: 272)
BR <sup>28</sup>	Online: BRL 630	BRL eq CHF 200
	On paper: BRL 945	
CA	CAD 800	CAD 268
CL	USD 1,500	USD 205
	in case of filing by a natural person or a legal entity <sup>2</sup> : USD 400 in case of filing by a university <sup>29</sup> : USD 300	
CN	CNY 1,500	CNY eq CHF 200
EG <sup>30</sup>	EGP 3,000	USD 205
EP <sup>31</sup>	EUR 1,930	EUR 183
ES	EUR 589.49 <sup>32</sup>	EUR 183
FI	EUR 600	EUR 183
IL	ILS 1,512	ILS 794
IN	INR 12,000; in case of filing by an individual: INR 3,000	USD 205
JP	For international applications in Japanese: JPY 26,000 <sup>16</sup>	JPY 24,700 (from 1.6.16: 22,800)
	For international applications in English: JPY 58,000	
KR	KRW 450,000	KRW 238,000
RU	For international applications in Russian: RUB 2,700 <sup>37</sup> 4,050 <sup>38</sup>	USD 205
	For international applications in English: RUB 10,500 <sup>37</sup> 15,750 <sup>38</sup>	
SE	SEK 5,000	SEK 1,710
SG	SGD 830	SGD 291
UA	– if the international search report has been prepared by this ISA: EUR 160	EUR 183
	– if the international search report has been prepared by another ISA: EUR 180	
US	USD 600 760 <sup>39</sup>	USD 205
	For small entity <sup>26</sup> : USD 300 380 <sup>39</sup>	
	For micro entity <sup>26</sup> : USD 150 190 <sup>39</sup>	
XN	DKK 5,000	DKK 1,370

[See over page for footnotes to fee tables]

*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).







# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

June 2016 | No. 06/2016

## New PCT Contracting State

### Kuwait (country code: KW)

On 9 June 2016, Kuwait deposited its instrument of accession to the PCT, thus becoming the 149<sup>th</sup> Contracting State of the PCT, and on 9 September 2016, will become bound by the PCT. Consequently, any international application filed on or after 9 September 2016 will automatically include the designation of Kuwait. Also, because Kuwait will be bound by Chapter II of the PCT, it will automatically be elected in any demand filed in respect of an international application filed on or after 9 September 2016.

Furthermore, nationals and residents of Kuwait will be entitled, as from 9 September 2016, to file international applications under the PCT.

## Upcoming Entry into Force of Amendments to the PCT Regulations

It is recalled that the Assembly of the PCT Union adopted, during its meeting which was held in Geneva from 5 to 14 October 2015, amendments to the PCT Regulations which will enter into force on 1 July 2016 and 1 July 2017. The amendments that will enter into force on 1 July 2016, and will apply to international applications filed on or after that date, are as follows:

- *PCT Rules 9, 48 and 94*: Applicants will be able to make a reasoned request that information be omitted from the published version of an international application or the associated files if it does not obviously serve the purpose of informing the public about the international application, it would prejudice the personal or economic interests of any person and there is no prevailing public interest to have access to that information. This will allow the removal of certain irrelevant information, normally included accidentally in the international application or associated documents.
- *PCT Rules 26bis and 48*: Receiving Offices will normally be required to forward to the International Bureau (IB) copies of documents submitted in connection with a request for restoration of the right of priority. However, under the same conditions as apply in the proposed amendments described above, the applicant will be able to make a reasoned request that certain documents not be forwarded. The main difference is that it is more likely in this case that the relevant information would have been provided deliberately, to establish that the conditions for “due care” had been met. Applicants should note that if key information is not made available, it is more likely that their request for restoration of the right of priority will be reviewed and that they will be required to provide equivalent information again to the designated Offices in the national phase.

- *PCT Rule 82quater*: The *force majeure* provisions will be extended to allow time limits to be extended where a time limit had been missed because of a general failure of electronic communications services in the area where the interested party resides. Such an outage will not automatically excuse a failure to meet a time limit. It will be necessary to provide evidence that the outage took place, allege that the outage prevented the time limit being met, and that relevant action was subsequently taken as soon as reasonably possible. Note that this will also apply to international applications filed before 1 July 2016 where the event occurred on or after that date.
- *PCT Rule 92*: An enabling provision will be included, under which the IB will allow applicants to write to the IB in languages other than English and French. The intention is initially to permit correspondence in the language of publication (or English or French, as at present) where that correspondence is made through ePCT. The arrangements will be extended to all communications once the IB is certain that it has the capacity to handle the work efficiently and that there would be no adverse consequences for designated Offices or third parties. Note that this will also apply to international applications filed before 1 July 2016 where such correspondence is received by the IB on or after that date.

### **Consolidated texts of the PCT Regulations**

It is recalled that the consolidated texts of the Regulations under the PCT which will enter into force on 1 July 2016, are available in Chinese, English, French and Spanish (on the right hand side of the page) at:

<http://www.wipo.int/pct/zh/texts/index.html>

<http://www.wipo.int/pct/en/texts/index.html>

<http://www.wipo.int/pct/fr/texts/index.html>

<http://www.wipo.int/pct/es/texts/index.html>

The texts in Arabic and Russian have recently been made available (on the right hand side of the page) at:

<http://www.wipo.int/pct/ar/texts/index.html>

<http://www.wipo.int/pct/ru/texts/index.html>

Other languages will follow shortly.

### **PowerPoint presentation on amendments to the PCT Regulations**

A PowerPoint presentation describing the amendments to the PCT Regulations that will enter into force on 1 July 2016 is now available in Chinese, English, French, German, Japanese, Portuguese, Russian and Spanish at, respectively:

[http://www.wipo.int/pct/zh/texts/ppt/rule\\_changes\\_archive.html](http://www.wipo.int/pct/zh/texts/ppt/rule_changes_archive.html)

[http://www.wipo.int/pct/en/texts/ppt/rule\\_changes\\_archive.html](http://www.wipo.int/pct/en/texts/ppt/rule_changes_archive.html)

[http://www.wipo.int/pct/fr/texts/ppt/rule\\_changes\\_archive.html](http://www.wipo.int/pct/fr/texts/ppt/rule_changes_archive.html)

[http://www.wipo.int/pct/de/texts/ppt/rule\\_changes\\_archive.html](http://www.wipo.int/pct/de/texts/ppt/rule_changes_archive.html)

[http://www.wipo.int/pct/ja/texts/ppt/rule\\_changes\\_archive.html](http://www.wipo.int/pct/ja/texts/ppt/rule_changes_archive.html)

[http://www.wipo.int/pct/pt/texts/ppt/rule\\_changes\\_archive.html](http://www.wipo.int/pct/pt/texts/ppt/rule_changes_archive.html)

[http://www.wipo.int/pct/ru/texts/ppt/rule\\_changes\\_archive.html](http://www.wipo.int/pct/ru/texts/ppt/rule_changes_archive.html)

[http://www.wipo.int/pct/es/texts/ppt/rule\\_changes\\_archive.html](http://www.wipo.int/pct/es/texts/ppt/rule_changes_archive.html)

## **ePCT Update**

A new release of the ePCT system (version 3.3) was deployed on 30 May 2016. This latest version of the ePCT system will be the last version with the current interface, as a new look and feel for ePCT is currently being developed and will be launched before the end of 2016, along with additional options for secure login as a more efficient alternative to digital certificates.

Some of the new features of the latest release of the ePCT system are discussed below.

### **ePCT for applicants**

The ePCT-Filing function in the ePCT portal includes the following new features:

- priority claims – where possible, the date of filing of the earlier application that has been indicated is validated against the year component of the earlier application number. This validation has been introduced to minimize errors in the indication of the date of the earlier application;
- payment of fees – it is now possible to indicate that you intend to pay the fees to the International Bureau (IB) by bank transfer (in which case you will automatically receive an e-mail after filing with the payment details required to make the transfer); and
- it is now possible to add draft international applications to a portfolio prior to filing.

Other new ePCT features include:

- in ePCT private services, the possibility to search by file reference for an international application to which you have access rights;
- the ability to remove all ePCT access rights for an eHandshake user in one operation;
- in ePCT private services, workbench filter options are saved and applied again by default the next time you log in; and
- any draft translations of the title and abstract that are under preparation by the IB are now flagged as being subject to change.

For further information on the above changes, including relevant screen shots, as well as information on other changes included in this latest release, please see:

[http://www.wipo.int/pct/en/epct/pdf/epct\\_whats\\_new.pdf](http://www.wipo.int/pct/en/epct/pdf/epct_whats_new.pdf)

### **ePCT for receiving Offices, designated Offices and International Authorities**

The new version of ePCT for Offices (version 3.3) offers a number of new features for receiving Offices (ROs), designated Offices (DOs) and International Searching Authorities (ISAs) and International Preliminary Examining Authorities (IPEAs), as well as various improvements to existing modules. Some of the new features are:

- ROs: a new Office action allowing the RO to manage fees through one single screen, and the possibility to carry out a search of international applications filed at the receiving Office which have not yet been received by the IB;
- DOs: the addition of an action allowing national Offices to notify the IB about national phase events, such as publication, grant, refusal, withdrawal and expiration, so that this information can be made available on PATENTSCOPE, as well as in the IB's national phase information databases;
- ISAs: the possibility to prepare through ePCT Office actions the international search report and the written opinion of the ISA, as well as other ISA forms; and
- IPEAs: a new management report enabling IPEAs to identify outstanding international preliminary examination reports.

For further details on these and other changes, please see:

[http://www.wipo.int/pct/en/epct/pdf/epct\\_office\\_whats\\_new.pdf](http://www.wipo.int/pct/en/epct/pdf/epct_office_whats_new.pdf)

For further information on ePCT in general, including how to get started, please go to:

<https://pct.wipo.int/LoginForms/epct.jsp>

or contact the PCT eServices Help Desk at:

e-mail: [epct@wipo.int](mailto:epct@wipo.int)

telephone: (+41–22) 338 9523

or via the

“contact us” link at: <http://www.wipo.int/contact/en/area.jsp?area=patentscope&area2=epct>

## **Electronic Filing and Processing of International Applications**

### **United States of America: reminder about new use of the ePCT system for preparing the request form as part of an international application filed with the USPTO**

It is recalled that it is now possible to use ePCT for the preparation, validation and download of an e-filing zip file for subsequent submission of the request form to the United States Patent and Trademark Office (USPTO) as receiving Office via EFS-Web (see *PCT Newsletter* No. 05/2016, page 5, for further information).

### **China: new electronic filing software accepted by the State Intellectual Property Office of the People's Republic of China**

On 1 April 2016, the State Intellectual Property Office of the People's Republic of China (SIPO), in its capacity as receiving Office, started accepting international applications filed using its own “CEPCT software”, in addition to PCT-SAFE.

A revised notification containing the requirements and practices of SIPO with regard to the filing of international applications in electronic form will be published in the *Official Notices (PCT Gazette)* shortly at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

This information will update the *PCT Applicant's Guide*, Annex C (CN).

## Israel – use of the ePCT system for preparing the request form as part of an international application filed with the Israel Patent Office

As from 20 July 2016, the Israel Patent Office (ILPO) in its capacity as receiving Office (RO/IL) will be prepared to receive and process international applications filed using ePCT-Filing (in addition to the PCT-SAFE software) in accordance with the Israel Patent Law and Regulations. Applicants filing international applications with RO/IL will be able to use ePCT to generate a .zip file containing a validated request form, and then submit the .zip file electronically as part of an international application filed using the ILPO's electronic filing website. Applicants will have full online inspection, as well as ability to further correspond electronically.

A revised notification containing the requirements and practices of RO/IL with regard to the filing of international applications in electronic form will be published in the *Official Notices (PCT Gazette)* shortly at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

This information will update the *PCT Applicant's Guide*, Annex C (IL).

## Australia and Slovakia: the Australian Patent Office and the Industrial Property Office (Slovakia) will no longer accept PCT-SAFE filings

The Australian Patent Office, which has been accepting international applications filed using the ePCT-Filing function in the ePCT Portal since April 2014, and the Industrial Property Office (Slovakia), which will start accepting such filings with effect from 1 July 2016, have notified the International Bureau that they will no longer accept international applications in electronic form which have been filed using the PCT-SAFE software, with effect from 1 September 2016 and 1 October 2016, respectively. Furthermore, the Industrial Property Office (Slovakia) will no longer accept EPO online filing with effect from 1 October 2016.

This information updates *PCT Applicant's Guide*, Annex C (AU and SK).

## Combined July-August Issue

The next issue of the *PCT Newsletter* will be a joint July-August issue that will be published at the end of July. If, between the publication of that issue and the September issue, there is any important PCT news that users should be aware of, we will announce it by way of the PCT e-mail updating service. If you have not already subscribed to this service, which notifies PCT users when each issue of the *PCT Newsletter* becomes available and makes other *ad hoc* announcements when necessary, you can subscribe to it, free of charge, at our e-mail platform at:

[https://www3.wipo.int/newsletters/en/#pct\\_newsletter](https://www3.wipo.int/newsletters/en/#pct_newsletter)

Please note that, if there are any changes to the PCT Seminar Calendar or the PCT Fee Tables before the publication of the September issue, they will be updated in August at, respectively:

<http://www.wipo.int/pct/en/seminar/seminar.pdf>

<http://www.wipo.int/pct/en/fees.pdf>

## PCT Information Update

### AP African Regional Intellectual Property Organization (ARIPO) (fees)

The amounts of the following national fees, payable to the African Regional Intellectual Property Organization (ARIPO), have changed with effect from 1 January 2016 (other fees not indicated have not changed):

For patent:

Filing fee:

- online: .....	USD	200
- on paper:.....	USD	250

For utility model:

Filing fee:

- online: .....	USD	80
- on paper:.....	USD	100

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (AP))

### AU Australia (electronic filing)

For information on the non-acceptance by the Australian Patent Office, in its capacity as receiving Office, of international applications filed in electronic form using the PCT-SAFE software, see "Electronic Filing and Processing of International Applications," above.

### CN China (electronic filing)

For information on the acceptance by the State Intellectual Property Office of the People's Republic of China (SIPO), in its capacity as receiving Office, of international applications filed using its own "CEPCT software", see "Electronic Filing and Processing of International Applications," above.

### IL Israel (electronic filing)

For information on the acceptance by the Israel Patent Office, in its capacity as receiving Office, of .zip files containing validated request forms prepared using ePCT-Filing, see "Electronic Filing and Processing of International Applications," above.

### KR Republic of Korea (e-mail notifications)

The Korean Intellectual Property Office has clarified the procedure that applicants should follow to obtain notifications from the Office by e-mail. Applicants who provide an e-mail authorization in their international application will now be sent by e-mail:

- an encrypted copy of the International Search Report (PCT/ISA/210) which can be opened using a password which appears at the bottom of the Notification of Receipt of Search Copy (PCT/ISA/202); and



- an encrypted copy of the International Preliminary Report on Patentability (Chapter II of the Patent Cooperation Treaty) (PCT/IPEA/409) which can be opened using a password which appears at the bottom of the Notification of Receipt of Demand by Competent International Preliminary Examining Authority (PCT/IPEA/402).

(Updating of *PCT Applicant's Guide*, Annexes D (KR) (new footnote 1) and E (KR) (new footnote 1))

### **MX Mexico (competent International Searching and Preliminary Examining Authorities)**

The Mexican Institute of Industrial Property, in its capacity as receiving Office, has specified, with effect from 1 July 2016, the Korean Intellectual Property Office, in addition to the European Patent Office, the Intellectual Property Office of Singapore, the National Institute of Industrial Property (Chile), the Spanish Patent and Trademark Office, the Swedish Patent and Registration Office and the United States Patent and Trademark Office as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of Mexico with the Mexican Institute of Industrial Property, or with the International Bureau (IB), as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (MX))

### **OM Oman (general information)**

Further to the information published in *PCT Newsletter* No. 05/2016, information on the requirements of the Intellectual Property Department, Ministry of Commerce and Industry (Oman) as receiving Office has been published in the *PCT Applicant's Guide* (Annex C (OM)) on the PCT website at:

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexc/ax\\_c\\_om.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexc/ax_c_om.pdf)

### **PA Panama (summary of requirements for entry into the national phase)**

Information on the requirements of the Directorate General of the Industrial Property Registry (DIGERPI) (Panama) as designated (or elected) Office has been published in the *PCT Applicant's Guide* (National Chapter, Summary (PA)) on the PCT website at:

<http://www.wipo.int/pct/guide/en/gdvol2/annexes/pa.pdf>

### **SK Slovakia (electronic filing)**

For information on the non-acceptance by the Industrial Property Office (Slovakia), in its capacity as receiving Office, of international applications filed in electronic form using the PCT-SAFE software or EPO online filing, see “Electronic Filing and Processing of International Applications,” above.

### **SY Syrian Arab Republic (competent International Searching and Preliminary Examining Authorities)**

The Directorate of Commercial and Industrial Property (Syrian Arab Republic) has specified, with effect from 30 May 2016, the Egyptian Patent Office, in addition to the Austrian Patent Office, the European Patent Office and the Federal Service for Intellectual Property (Rospatent) (Russian Federation) as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of the Syrian Arab

Republic with the Directorate of Commercial and Industrial Property, or with the IB, as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (SY))

### **XV Visegrad Patent Institute (fees, information on requirements of the Office as International Searching Authority, Authority specified for supplementary search and International Preliminary Examining Authority)**

Further to the notification by the Visegrad Patent Institute that it would begin functioning as an International Searching Authority, an Authority specified for supplementary search and an International Preliminary Examining Authority with effect from 1 July 2016 (see *PCT Newsletter* No. 05/2016), information on the fees payable to the Office, as well as the requirements of the Office, will be available shortly in the *PCT Applicant's Guide*, Annexes D (XV), SISA (XV) and E (XV) at, respectively:

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexd/ax\\_d\\_xv.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexd/ax_d_xv.pdf)

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexsisa/ax\\_sisa\\_xv.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexsisa/ax_sisa_xv.pdf)

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexe/ax\\_e\\_xv.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexe/ax_e_xv.pdf)

### **Search fee (Federal Service for Intellectual Property (Rospatent) (Russian Federation)), Japan Patent Office)**

As from 1 August 2016, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Federal Service for Intellectual Property (Rospatent) (Russian Federation) .....	CHF, EUR
Japan Patent Office .....	SGD

(Updating of *PCT Applicant's Guide*, Annex D (JP, RU))

### **Supplementary search fee (Federal Service for Intellectual Property (Rospatent) (Russian Federation))**

As from 1 August 2016, there will be a change in the amounts payable in CHF for a supplementary international search carried out by the Federal Service for Intellectual Property (Rospatent) (Russian Federation). The new amounts are indicated in Fee Table I(c).

(Updating of *PCT Applicant's Guide*, Annex SISA (RU))

### **Advanced PCT Seminar at WIPO Headquarters**

An advanced PCT seminar on the procedural aspects of the international and national phases, recent and future PCT developments, the filing and management of PCT applications in ePCT, as well as information on PATENTSCOPE, will be held at WIPO Headquarters in Geneva on 26 and 27 September 2016. The seminar is aimed at patent administrators, paralegals and other users who are already familiar with the PCT System, and the speakers will include experienced staff from across the PCT sector at WIPO.

There is no registration fee for the seminar, which is limited to 50 participants, and the registration deadline is 12 September 2016. Further details and information about on-line registration will be available shortly on the PCT website, and information may also be obtained by sending an e-mail to: [pct.our@wipo.int](mailto:pct.our@wipo.int)

## New/updated PCT Resources

### Modified PCT Regulations (in Arabic and Russian)

See “Upcoming Entry into Force of Amendments to the PCT Regulations”, above.

### PowerPoint presentation on amendments to the PCT Regulations

See “Upcoming Entry into Force of Amendments to the PCT Regulations”, above.

## Practical Advice

### Requests for recording of changes under PCT Rule 92*bis* using ePCT: situations where access to the international application will be suspended, and the extent to which access will be blocked

Q: The practical advice of *PCT Newsletter* No. 01/2016 mentions that, when carrying out an ePCT Action to request the recording of a change under PCT Rule 92*bis*, access to the full contents of the international application may be suspended pending the processing of the PCT Rule 92*bis* change request by the IB. Is this the case for all 92*bis* changes? Also, will the suspension completely block all access to the international application?

A: Depending on the type of change, the recording of which is requested under PCT Rule 92*bis* (“92*bis* request”) using the dedicated Action in ePCT, all online access to that international application, including to anyone who already had ePCT access rights, may be automatically suspended by the system as soon as the request is submitted. The suspension of access to all users who have access rights to the international application in ePCT will remain in effect only for the time that is required for the International Bureau (IB) to process the change and check whether any individual access rights need to be deactivated due to the change requested, for example, a request to remove an applicant or agent from the record. The types of change which will result in automatic suspension of online access in ePCT are:

- a change in the name, person and/or the address of an applicant or applicant/inventor;
- a change of name and/or person of an inventor only;
- a change in the name, person and/or address of the agent; and
- a change in the type of party (legal entity/natural person); or
- the deletion of an applicant, inventor or an agent

However, the following types of change will **not** result in an automatic suspension of online access to the international application:

- the addition of a new party;
- a change of address of an inventor only; or

- a change in relation to:
  - nationality;
  - residence;
  - country of address;
  - telephone number, fax number or e-mail address;
  - notification method;
  - applicable designations; or
  - the file reference of the international application.

After clicking on the “Submit 92*bis* Change Request” button, the user is warned in the case where access will be temporarily suspended, with the message:

“Due to confidentiality reasons, submitting a Rule 92*bis* request will temporarily suspend online access to the IA via ePCT pending processing by the IB”

and is given the opportunity to add another change, before continuing with the submission which will suspend access.

Note that, even if access to the full contents of the international application is suspended pending processing of the change request by the IB, under the “File View” you may consult any documents that you yourself previously uploaded to the file of the international application in ePCT, including the document relating to your 92*bis* request.

Please note that if a 92*bis* request is submitted using the “Upload Documents” function in the ePCT system, online access will be automatically suspended pending processing by the IB ***in all cases, regardless of the type of change requested***. It is therefore preferable to use the Actions tab to submit a 92*bis* request.

The suspension of online access to an international application takes place as a security measure for the protection of the applicant. For example, in the case of a change of person of the applicant or agent, this suspension removes any potential problem which may arise, for example, where the appointment of an agent has been revoked by the applicant or his/her new agent, but that agent might still have online access rights to the international application via ePCT. As long as online access is suspended, no external user will be able to access that application online via ePCT until such time as the request for change has been fully processed by the IB, including the verification of ePCT access rights. The IB prefers to err on the side of caution and suspend all access rights until it has had the opportunity to examine the nature of the change requested, and, where necessary, carry out a follow-up investigation, for example by contacting the applicant, agent or common representative, as the case may be, directly.

When access to the contents of the international application via ePCT has been suspended, the “IA Status” column of the Workbench shows “access suspended”. If you try to view the contents of an international application in the “IA Number” tab while access is suspended, the international application will appear showing only the international filing date and the IA Status “Access suspended”. No other confidential data or documents will be available. Also, the only documents that will be visible in the File View are those which were previously uploaded by you.

Even though you will not be able to make use of any of the ePCT Actions while access is suspended, it is still possible to use the “Send ePCT Message” feature, or to upload documents to the IA concerned using the “Upload Documents” tab.

Once the *92bis* request has been properly checked by the IB, it will reset the access rights accordingly in the system. If the *92bis* request is straightforward, and is made during a period of normal workload at the IB, this process should be completed within two working days.

For further information on *92bis* requests made using ePCT, as well as on the suspension of access to the international application in ePCT, please see the “ePCT User Guide” at:

[http://www.wipo.int/pct/en/epct/pdf/pct\\_wipo\\_accounts\\_user\\_guide.pdf](http://www.wipo.int/pct/en/epct/pdf/pct_wipo_accounts_user_guide.pdf)

<b>PCT Seminar Calendar</b> ( <a href="http://www.wipo.int/pct/en/seminar/seminar.pdf">http://www.wipo.int/pct/en/seminar/seminar.pdf</a> ) (situation on 14 June 2016)			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>16 June 2016 Moscow (RU)</b>	Russian	PCT seminar WIPO speaker: Ms. Largo	Innopraktika (Ms. Anastasia Moskina) Tel: (7-495) 932 92 39 (Ms. Tatiana Istomina) Tel: (7-916) 722 62 88 E-mail: for registration (deadline 14 June 2016): ip@cnir.msu.ru; ip@cnir.ru
<b>16-17 June 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>17 June 2016 Madrid (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT training course WIPO speaker: Ms. Bonvallet	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Francisco Bernardo) E-mail: fbernardo@abgpatentes.com
<b>22-24 June 2016 San Salvador (SV)</b>	Spanish	PCT seminar WIPO speaker: Mr. Hernández Vigaud	Registro de la Propiedad Intelectual –Centro National de Registros (CNR) Tel: (503) 259 35 000
<b>25-26 July 2015 Alexandria, VA (US)</b>	English	20 <sup>th</sup> Annual Patent Cooperation Treaty Seminar for attorneys, patent agents and paralegals WIPO speaker: Ms. Bonvallet	American Intellectual Property Law Association (AIPLA) Tel: (1-703) 415 07 80 Fax: (1-703) 415 07 86 E-mail: aipla@aipla.org Internet: www.aipla.org
<b>4 August 2016 Oak Brook, IL (US)</b>	English	PCT Practice Lecture Speaker on behalf of WIPO: Mr. Oppedahl	Patent Resources Group, Inc. (Ms. Theresa Woods) Tel: (1-703) 682 48 60 Fax: (1-703) 892 45 10 E-mail: twoods@patentresources.com Internet: <a href="http://www.patentresources.com">http://www.patentresources.com</a>
<b>16 September 2016 Lisbon (PT)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: Mr. Sim	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. João Amaral) Tel: (351) 218 818 100 Fax: (351) 218 878 508 E-mail: jamaral@inpi.pt Internet: www.inpi.pt
<b>22 September 2016 Philadelphia, PA (US)</b>	English	PCT Practice Lecture Speaker on behalf of WIPO: Mr. Oppedahl	Patent Resources Group, Inc. (Ms. Theresa Woods) Tel: (1-703) 682 48 60 Fax: (1-703) 892 45 10 E-mail: twoods@patentresources.com Internet: <a href="http://www.patentresources.com">http://www.patentresources.com</a>
<b>26-27 September 2016 Geneva (CH)</b>	English	Advanced Seminar on the Patent Cooperation Treaty (PCT): procedural aspects of the international and national phases; recent and future PCT developments; filing and management of PCT applications in ePCT; PATENTSCOPE. Note that attendance is limited to 50 people. No registration fee. WIPO speakers: to be announced	Organized by the World Intellectual Property Organization (WIPO) at its Geneva Headquarters Information about on-line registration will follow shortly Deadline for registrations: 12 September 2016 E-mail: <a href="mailto:pct.our@wipo.int">pct.our@wipo.int</a>



<b>PCT Seminar Calendar [continued]</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>3 October 2016 Zurich (CH)</b>	German	Seminar on the latest developments concerning the PCT (CEIPI Course) WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Hansjörg Kley) Tel : (41-52) 226 00 00 Fax: (41-52) 226 00 09 E-mail: hansjoerg@kley.ch
<b>6 October 2016 Barcelona (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: Ms. Bonvallet	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Antonio López-Carrasco Comajuncosas) Tel : (34-93) 123 31 01 E-mail: antonio.lopez-carrascocomajuncosas@telefonica.com
<b>12-13 October 2016 Munich (DE)</b>	German	Advanced PCT Seminar WIPO speaker: Mr. Reischle-Park Other speaker: Mr. Wolff (former staff member of the PCT Legal Division, WIPO, former member of the Boards of Appeal of the European Patent Office, now retired)	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de
<b>17 October 2016 Boston, MA (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Smith	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>19 October 2016 Washington, DC (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Smith	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>20-21 October 2016 Chicago (US)</b>	English	PCT seminar WIPO speaker: Mr. Reischle Speaker on behalf of WIPO: Mr. Oppedahl	The John Marshall Law School Department of Event Management Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: Events@jmls.edu
<b>21 October 2016 Chicago, IL (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Smith	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>28 October 2016 London (GB)</b>	English	PCT seminar within the framework of the 15 <sup>th</sup> Annual Conference for Senior Patent Administrators (27-28 October 2016) WIPO speaker: Mr. Reischle-Park	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>2-3 November 2016 Munich (DE)</b>	German	PCT update/conference WIPO speaker: to be announced	Forum Institut für Management GmbH (see Munich, above)
<b>23-24 November 2016 Cracow (PL)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Mr. Henninger	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl

[continued on next page]

**PCT Seminar Calendar [continued]**

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>24-25 November 2016 Dresden (DE)</b>	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>1-2 December 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (see London, above)
<b>6-7 December 2016 Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms. Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>8 December 2016 Paris (FR)</b>	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (see Paris, above)

**PCT Webinars**

(<http://www.wipo.int/pct/en/seminar/webinars/index.html>)  
(<http://www.wipo.int/patentscope/en/webinar/>)

<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>28 June 2016</b> 15:00–16:00 CET (09:00–10:00 New York time)	English	July 2016 PCT Rule Changes WIPO speaker: Mr. Reischle-Park	<a href="https://attendee.gotowebinar.com/register/2820671011892979970">https://attendee.gotowebinar.com/register/2820671011892979970</a>
<b>28 June 2016</b> 17:30–18:30 CET or <b>30 June 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Translation tools in PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/register/49533371491012609">https://attendee.gotowebinar.com/register/49533371491012609</a> <a href="https://attendee.gotowebinar.com/register/913982607409326852">https://attendee.gotowebinar.com/register/913982607409326852</a>
<b>19 July 2016</b> 17:30–18:30 CET or <b>21 July 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: The browse menu in PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/register/3702900449420654083">https://attendee.gotowebinar.com/register/3702900449420654083</a> <a href="https://attendee.gotowebinar.com/register/5793677884729792771">https://attendee.gotowebinar.com/register/5793677884729792771</a>

**PCT Events Without WIPO speakers<sup>1</sup>**

<b>Dates and location</b>	<b>Language of event</b>	<b>Nature of seminar; Speakers</b>	<b>Organizer and contact numbers</b>
<b>22 June 2016 Cleveland, OH (US)</b>	English	PCT Boot Camp Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>24 June 2016 Chicago, IL (US)</b>	English	PCT Boot Camp Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (see Cleveland, above)

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.

## PCT Fee Tables

(amounts on 1 June 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

*Key to abbreviations used in fee tables:*

eq	equivalent of –	BRL	Brazilian real	HUF	Hungarian forint	MXN	Mexican peso	TJS	Tajik somoni
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TND	Tunisian dinar
		CAD	Canadian dollar	ILS	New Israeli sheqel	NOK	Norwegian krone	TTD	Trinidad and Tobago dollar
ISA	International Searching Authority	CHF	Swiss franc	INR	Indian rupee	NZD	New Zealand dollar	UAH	Ukrainian hryvnia
		CLP	Chilean peso	IRR	Iranian rial	OMR	Omani rial	USD	US dollar
RO	receiving Office	CNY	Yuan renminbi	ISK	Icelandic krona	PEN	Nuevo sol	VND	Vietnamese dong
		COP	Colombian peso	JPY	Japanese yen	PGK	Kina	XAF	CFA franc BEAC
		CUC	Cuban convertible peso	KES	Kenyan shilling	PHP	Philippine peso	ZAR	South African rand
		CZK	Czech koruna	KGS	Kyrgyz som	PLN	Polish zloty	ZWD	Zimbabwe dollar
		DKK	Danish krone	KPW	Won	QAR	Qatari riyal		
ALL	Albanian lek	DZD	Algerian dinar	KRW	Won	RON	New leu		
AMD	Armenian dram	EGP	Egyptian pound	KZT	Kazakh tenge	RSD	Serbian dinar		
AUD	Australian dollar	EUR	Euro	LSL	Lesotho loti	RUB	Russian rouble		
AZN	Azerbaijani manat	GBP	Pound sterling	LYD	Libyan dinar	SDG	Sudanese pound		
BAM	Convertible mark	GHS	Ghanaian cedi	MAD	Moroccan dirham	SEK	Swedish krona		
BGN	Bulgarian lev	GTQ	Quetzal	MKD	Macedonian denar	SGD	Singapore dollar		
BHD	Bahraini dinar	HRK	Croatian kuna	MWK	Malawian kwacha	THB	Baht		
BND	Brunei dollar								

**Table I(a) — Transmittal and international filing fees**

(amounts on 1 June 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1, 2</sup> (CHF 1,330)	Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
					Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available							CA
AL	ALL	9,000	CHF 1,330	15	–	–	–	EP
AM	AMD	32,000	USD 1,363	15	–	–	–	EP RU
AP	USD	50 (or eq in local currency)	USD 1,363	15	–	–	–	AT EP SE
AT	EUR	52	EUR 1,219	14	–	183	275	EP
AU	AUD	200	AUD 1,922 (from 1.7.16: 1,811)	22 (20)	– (–)	289 (272)	434 (408)	AU KR
AZ	AZN	35.40	USD 1,363	15	–	205	307	EP RU
BA	BAM	50	EUR 1,219	14	–	–	–	EP
BE	EUR	120	EUR 1,219	14	–	–	–	EP
BG	BGN	80	BGN eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP RU
BH	BHD	70	USD 1,363	15	–	–	–	AT EP US
BN	BND	150	BND eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU JP EP
BR	BRL <sup>8</sup>	online: 175 on paper: 260	BRL eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR EP SE US
BW	USD	32	USD 1,363	15	–	–	–	EP
BY	USD	50	USD 1,363	15	–	–	–	EP RU
BZ	BZD	300	USD 1,363	15	–	–	–	CA EP
CA	CAD	300	CAD 1,782	20	–	268	402	CA
CH	CHF	100	CHF 1,330	15	–	–	–	EP
CL	CLP eq USD <sup>9</sup>	130	CLP eq USD <sup>9</sup> 1,363	eq USD <sup>9</sup> 15	–	eq USD 205	eq USD 307	CL EP ES KR US
CN	CNY	500	CNY eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 June 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
CO	COP 952,000 <sup>10</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR CL EP ES RU
CR	USD 250	USD 1,363	15	–	–	–	CL EP ES
CU	CUC 200	CUC 1,363	15	–	205	307	AT BR CL EP ES RU
CY	EUR 191	EUR 1,219	14	–	–	–	EP
CZ	CZK 1,500	EUR 1,219	14	–	183	275	EP (from 1.7.16: XV)
DE	EUR 90	EUR 1,219	14	–	183	275	EP
DK	DKK 1,500	DKK 9,090	100	–	1,370	2,050	EP SE XN
DM	Information not yet available						
DO	USD 275	USD 1,363	15	–	–	–	CL EP ES US
DZ	DZD None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	AT EP
EA	RUB 1,600	USD 1,363	15	–	205	307	EP RU
EC	USD <sup>11</sup> –	USD 1,363	15	–	–	–	CL EP ES
EE	EUR 120	EUR 1,219	14	–	183	275	EP
EG	USD 142	USD 1,363	15	–	–	–	AT EG EP US
EP	EUR 130	EUR 1,219	14	92	183	275	EP
ES	EUR 74.99	EUR 1,219	14	–	183	275	EP ES
FI	EUR 135	EUR 1,219	14	–	183	275	EP FI SE
FR	EUR 62	EUR 1,219	14	–	183	275	EP
GB	GBP 75	GBP 899 (from 1.7.16: 980)	10 (11)	– (–)	135 (147)	203 (221)	EP
GD	Information not yet available						
GE	USD <sup>12</sup> 100	USD 1,363	15	–	–	–	AT EP IL RU US
GH	GHS <sup>13</sup> 2,500 or 5,000	USD 1,363	15	–	–	–	AT AU CN EP SE
GR	EUR 115	EUR 1,219	14	–	–	–	EP
GT	GTQ eq USD 250	USD 1,363	15	–	–	–	AT BR CL EP ES US
HN	USD 200	USD 1,363	15	–	–	–	EP ES
HR	HRK 200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
HU	HUF 11,800	HUF 380,800	HUF 4,300	–	57,300	85,900	EP RU (from 1.7.16: EP XV)
IB	CHF <sup>14</sup> 100 or EUR <sup>14</sup> 92 or USD <sup>14</sup> 102	CHF 1,330 or EUR 1,219 or USD 1,363	15 14 15	– – –	200 183 205	300 275 307	See footnote 15
ID	IDR 1,000,000	IDR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR RU SG
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363	15	–	205	307	EP IL US
IN	INR 17,600 (filing by natural person: 3,250; filing by small entity: 8,800)	USD 1,363	15	–	205	307	AT AU CN EP IN SE US
IR	IRR <sup>11</sup> –	IRR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN EP IN RU
IS	ISK 15,000	ISK 172,800	1,900	–	26,000	39,000	EP SE XN
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>16</sup>	JPY 151,300	1,700	–	–	34,100	EP JP SG
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363	15	–	–	–	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363	15	–	–	–	EP RU
KN	Information not yet available						
KN	EP						
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>17</sup> KR
KZ	KZT 10,264.80	USD 1,363	15	–	–	–	EP RU
LR	USD 45	USD 1,363	15	–	–	–	AT AU CN EP SE
LS	LSL <sup>11</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	EUR 92	EUR 1,219	14	–	183	275	EP RU

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 June 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>		E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
							Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
LU	EUR	19	EUR	1,219		14	–	–	–	EP
LV	EUR	70	EUR	1,219		14	–	183	275	EP RU
LY	LYD <sup>11</sup>	–	CHF	1,330		15	–	–	–	AT EP
MA	MAD	600 <sup>18</sup>	CHF	1,330		15	–	–	–	AT EP RU SE
MC	EUR	54 <sup>19</sup>	EUR	1,219		14	–	–	–	EP
MD	EUR	100	USD	1,363		15	–	–	–	EP RU
ME	EUR <sup>11</sup>	–	EUR	1,219		14	–	–	–	EP
MK	MKD	2,700	MKD eq CHF	1,330	eq CHF	15	–	–	–	EP
MN		None	CHF	1,330		15	–	–	–	EP KR RU
MT	EUR	55	EUR	1,219		14	–	–	–	EP
MW	MWK	6,000	USD	1,363		15	–	–	–	EP
MX	USD	323.70 <sup>20</sup>	USD	1,363		15	–	205	307	CL EP ES SE SG US (from 1.7.16: KR)
MY	MYR 500 (e-filing) <sup>21</sup> 550 (paper filing) <sup>21</sup>		MYR eq CHF	1,330	eq CHF	15	–	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD	200	USD	1,363		15	–	–	–	EP ES
NL	EUR	50	EUR	1,219		14	–	183	275	EP
NO	NOK	800	NOK	11,460		130	–	1,720	2,590	EP SE XN
NZ	NZD	207	NZD	2,094		24	–	315	472	AU EP KR US
OA	XAF <sup>11</sup>	–	XAF eq CHF	1,330	eq CHF	15	–	–	–	AT EP RU SE
OM	OMR	40	OMR eq USD	1,363	eq USD	15	–	eq USD 205	eq USD 307	AT AU EP US
PA	USD	200	USD	1,363		15	–	–	–	BR CL EP ES US
PE	PEN	233.35	PEN eq USD	1,363	eq USD	15	–	–	–	AT BR CL EP ES KR US
PG	PGK	250	USD	1,363		15	–	–	–	AU
PH	PHP	3,500	USD	1,363		15	–	205	307	AU EP JP KR US
PL	PLN	300	PLN eq CHF	1,330	eq CHF	15	–	eq CHF 200	eq CHF 300	EP (from 1.7.16: XV)
PT	EUR	20.82	EUR	1,219		14	–	183	275	EP
QA	QAR	400	QAR eq USD	1,363	eq USD	15	–	eq USD 205	eq USD 307	EG EP US
RO	RON	441	EUR	1,219		14	–	183	275	EP RU
RS	RSD	7,300 <sup>22</sup>	RSD eq CHF	1,330	eq CHF	15	–	–	–	EP
RU	RUB	850	USD	1,363		15	–	205	307	EP RU
RW	Information not yet available									
SA	USD	100	USD	1,363		15	–	205	307	CA EG EP KR RU
SC	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP
SD	SDG	50	SDG eq CHF	1,330	eq CHF	15	–	–	–	EG EP
SE	SEK	1,200	SEK	11,380		130	–	1,710	2,570	EP SE XN
SG	SGD	150	SGD	1,934		22	–	291	436	AT AU EP JP KR SG
SI	EUR	91	EUR	1,219		14	–	–	–	EP
SK	EUR	66 <sup>23</sup>	EUR	1,219		14	–	183	275	EP (from 1.7.16: XV)
SM	EUR	70	EUR	1,219		14	–	–	–	EP
SV	USD	200	USD	1,363		15	–	–	–	CL EP ES
SY	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	AT EG EP RU
TH	THB	3,000	THB eq CHF	1,330	eq CHF	15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
TM	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
TN	TND <sup>11</sup>	–	CHF	1,330		15	–	–	–	EP
TR		None	CHF	1,330		15	–	eq CHF 200	eq CHF 300	EP
TT	TTD	750	USD	1,363		15	–	–	–	AT EP SE US
UA	UAH or eq EUR or USD <sup>24</sup>	1,300	USD (or eq UAH or EUR)	1,363		15	–	–	–	EP RU UA
US	USD small entity <sup>26</sup> micro entity <sup>26</sup>	240 <sup>25</sup> 120 <sup>25</sup> 60 <sup>25</sup>	USD	1,363		15	102	205	–	AU EP IL JP KR SG RU US
UZ	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
VN	VND eq USD	150	VND eq CHF	1,330	eq CHF	15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR	500	ZAR	20,680		230	–	3,110	4,670	AT AU EP US
ZM	USD	50	USD	1,363		15	–	–	–	AT SE
ZW	ZWD	6,000	ZWD eq USD	1,363	eq USD	15	–	–	–	AT AU CN EP RU

**Table I(b) — Search fees**

(amounts on 1 June 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>											
AT <sup>27</sup>	EUR	1,864	CHF	2,034	KRW	2,419,000	SGD	2,960	USD	2,084	ZAR	31,450
AU	AUD	2,200	CHF	1,616	EUR	1,481	KRW	1,963,000	NZD	2,397	SGD	2,210
	USD*	1,560	ZAR	23,900								
	* (from 1.7.16:		USD	1,674)								
BR <sup>28</sup>	Online:		BRL	1,685	CHF	449	EUR	411	USD*	432		
	On paper:		BRL	2,525	CHF	673	EUR	617	USD*	647		
	* (from 1.7.16:											
	Online:		USD	468			On paper:		USD	701)		
CA	CAD	1,600	CHF	1,194	EUR	1,094	USD*	1,147				
	* (from 1.7.16:		USD	1,261)								
CL	USD	2,000	CHF	1,952	EUR	1,789						
	In case of filing by an natural person or a legal entity <sup>2</sup> :				USD	400	CHF	390	EUR	358		
	In case of filing by a university <sup>29</sup> :				USD	300	CHF	293	EUR	268		
CN	CNY	2,100	CHF	323	EUR	296	USD	330				
EG <sup>30</sup>	EGP	4,000	CHF*	499	EUR*	457	USD*	511				
	* (from 1.7.16:		CHF	430	EUR	395	USD	451)				
EP <sup>31</sup>	EUR	1,875	CHF	2,046	DKK	13,990	GBP*	1,384	HUF	585,800	ISK	266,000
	JPY	233,900	NOK	17,640	NZD	3,222	SEK	17,500	SGD	2,980		
	USD	2,097	ZAR	31,640								
	* (from 1.7.16:		GBP	1,503)								
ES <sup>31,32</sup>	EUR	1,875	CHF	2,046	USD	2,097						
FI	EUR	1,875	CHF	2,046	USD	2,097						
IL	ILS	3,529	CHF	905	EUR	837	USD	912				
IN	INR	10,000	CHF	150	EUR	137	USD	154				
	In case of filing by an individual:				INR	2,500	CHF	37	EUR	34	USD	38
JP <sup>16</sup>	For international applications in Japanese:											
	JPY	70,000	CHF	615	EUR	561	KRW*	769,000	USD	618		
	* (from 1.7.16:		KRW	725,000)								
	For international applications in English:											
	JPY	156,000	CHF	1,371	EUR	1,251	SGD*	1,839	USD	1,378		
	* (from 1.8.16:		SGD	1,968)								
KR	For international applications in Korean:											
	KRW	450,000	AUD	504	CHF	379	EUR	347	NZD	559	SGD	520
	USD	388										
	For international applications in English:											
	KRW	1,300,000	AUD	1,457	CHF	1,093	EUR	1,002	NZD	1,616	SGD	1,490
	USD	1,120										
RU	For international applications in Russian:											
	RUB	6,750	CHF*	92	EUR*	85	HUF	26,800	USD**	93		
	* (from 1.8.16:		CHF	99	EUR	92						
	** (from 1.7.16:		USD	101)								
	For international applications in English:											
	RUB	28,000	CHF*	383	EUR*	353	HUF	111,100	USD**	388		
	* (from 1.8.16:		CHF	409	EUR	381)						
	** (from 1.7.16:		USD	420)								
SE	SEK	17,500	CHF	2,046	DKK	13,990	EUR	1,875	ISK	266,000	NOK	17,640
	USD	2,097										
SG	SGD	2,240	CHF	1,540	EUR	1,411	JPY	186,300	USD	1,578		
UA	EUR	300	CHF	325	USD	328						
US	USD	2,080	CHF	2,030	EUR	1,860	NZD	3,200	ZAR	31,430		
	For small entity <sup>26</sup> :		USD	1,040	CHF	1,015	EUR	930	NZD	1,600	ZAR	15,710
	For micro entity <sup>26</sup> :		USD	520	CHF	508	EUR	465	NZD	800	ZAR	7,860
XN	DKK	13,990	CHF	2,046	EUR	1,875	ISK	266,000	NOK	17,640	SEK	17,500
	USD	2,097										
(from 1.7.16:)												
(XV	EUR	1,875	CHF	2,046	HUF	585,800	USD	2,097)				



**Table I(c) — Supplementary search fees**

(amounts on 1 June 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 33</sup>
AT	– for a search of the German-language documentation: CHF 928	CHF 200
	– for a search of the European and North American documentation: CHF 1,299	
	– for a search of the PCT minimum documentation: CHF 1,855	
EP	CHF 2,046	CHF 200
FI	CHF 2,046	CHF 200
RU	CHF 162 (259) <sup>34</sup> (from 1.8.16: 172 (276) <sup>34</sup> )	CHF 200
SE	CHF 2,046	CHF 200
SG	CHF 1,540	CHF 200
UA	– for a search of only the PCT minimum documentation: CHF 108	CHF 200
	– for a search of only the Russian language documentation of the former USSR and the Ukrainian language documentation: CHF 163	
	– for a search of only the European and North American documentation: CHF 217	
XN	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 590	
<i>(from 1.7.16:)</i>		
(XV)	– for a full search: CHF 2,046	CHF 200)
	– for searches only of documentation in Czech, Hungarian, Polish and Slovak: CHF 546	

**Table II — Preliminary examination fees**

(amounts on 1 June 2016, unless otherwise indicated)

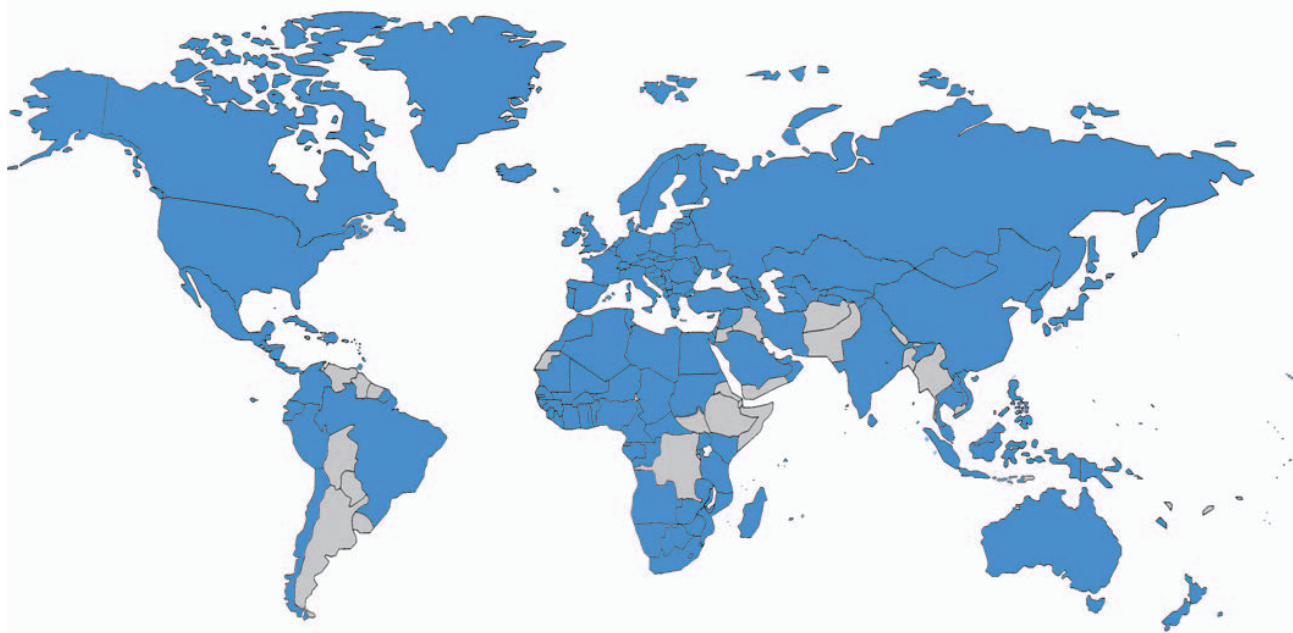
IPEA	Preliminary examination fee <sup>35</sup>	Handling fee <sup>2, 35</sup> (CHF 200)
AT <sup>27</sup>	EUR 1,749	EUR 183
AU	AUD 590 820 <sup>36</sup>	AUD 289 (from 1.7.16: 272)
BR <sup>28</sup>	Online: BRL 630	BRL eq CHF 200
	On paper: BRL 945	
CA	CAD 800	CAD 268
CL	USD 1,500	USD 205
	in case of filing by a natural person or a legal entity <sup>2</sup> : USD 400 in case of filing by a university <sup>29</sup> : USD 300	
CN	CNY 1,500	CNY eq CHF 200
EG <sup>30</sup>	EGP 3,000	USD 205
EP <sup>31</sup>	EUR 1,930	EUR 183
ES	EUR 589.49 <sup>32</sup>	EUR 183
FI	EUR 600	EUR 183
IL	ILS 1,512	ILS 794
IN	INR 12,000; in case of filing by an individual: INR 3,000	USD 205
JP	For international applications in Japanese: JPY 26,000 <sup>16</sup>	JPY 22,800
	For international applications in English: JPY 58,000	
KR	KRW 450,000	KRW 238,000
RU	For international applications in Russian: RUB 2,700 <sup>37</sup> 4,050 <sup>38</sup>	USD 205
	For international applications in English: RUB 10,500 <sup>37</sup> 15,750 <sup>38</sup>	
SE	SEK 5,000	SEK 1,710
SG	SGD 830	SGD 291
UA	– if the international search report has been prepared by this ISA: EUR 160	EUR 183
	– if the international search report has been prepared by another ISA: EUR 180	
US	USD 600 760 <sup>39</sup>	USD 205
	For small entity <sup>26</sup> : USD 300 380 <sup>39</sup>	
	For micro entity <sup>26</sup> : USD 150 190 <sup>39</sup>	
XN	DKK 5,000	DKK 1,370
<i>(from 1.7.16:)</i>		
(XV)	EUR 1,930	EUR 183)

[See over page for footnotes to fee tables]

*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table (b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

## PCT Contracting States and Two-letter Codes (149 on 9 June 2016)



AE United Arab Emirates	CR Costa Rica	IN India	Yugoslav Republic of Macedonia (EP)	SE Sweden (EP)
AG Antigua and Barbuda	CY Cyprus (EP) <sup>2</sup>	IR Iran (Islamic Republic of)	ML Mali (OA) <sup>2</sup>	SG Singapore
AL Albania (EP)	CZ Czech Republic (EP)	IS Iceland (EP)	MN Mongolia	SI Slovenia (EP) <sup>2</sup>
AM Armenia (EA)	DE Germany (EP)	IT Italy (EP) <sup>2</sup>	MR Mauritania (OA) <sup>2</sup>	SK Slovakia (EP)
AO Angola	DK Denmark (EP)	JP Japan	MT Malta (EP) <sup>2</sup>	SL Sierra Leone (AP)
AT Austria (EP)	DM Dominica	KE Kenya (AP)	MW Malawi (AP)	SM San Marino (EP)
AU Australia	DO Dominican Republic	KG Kyrgyzstan (EA)	MX Mexico	SN Senegal (OA) <sup>2</sup>
AZ Azerbaijan (EA)	DZ Algeria	KN Comoros (OA) <sup>2</sup>	MY Malaysia	ST Sao Tome and Principe (AP) <sup>5</sup>
BA Bosnia and Herzegovina <sup>1</sup>	EC Ecuador	KM Saint Kitts and Nevis	MZ Mozambique (AP)	SV El Salvador
BB Barbados	EE Estonia (EP)	KP Democratic People's Republic of Korea	NA Namibia (AP)	SY Syrian Arab Republic
BE Belgium (EP) <sup>2</sup>	EG Egypt	KR Republic of Korea	NE Niger (OA) <sup>2</sup>	SZ Swaziland (AP) <sup>2</sup>
BF Burkina Faso (OA) <sup>2</sup>	ES Spain (EP)	<b>KW Kuwait</b> (from 9 September 2016)	NG Nigeria	TD Chad (OA) <sup>2</sup>
BG Bulgaria (EP)	FI Finland (EP)	KZ Kazakhstan (EA)	NI Nicaragua	TG Togo (OA) <sup>2</sup>
BH Bahrain	FR France (EP) <sup>2</sup>	LA Lao People's Democratic Republic	NL Netherlands (EP) <sup>2</sup>	TH Thailand
BJ Benin (OA) <sup>2</sup>	GA Gabon (OA) <sup>2</sup>	LC Saint Lucia	NO Norway (EP)	TJ Tajikistan (EA)
BN Brunei Darussalam	GB United Kingdom (EP)	LI Liechtenstein (EP)	NZ New Zealand	TM Turkmenistan (EA)
BR Brazil	GD Grenada	LK Sri Lanka	OM Oman	TN Tunisia
BW Botswana (AP)	GE Georgia	LR Liberia (AP)	PA Panama	TR Turkey (EP)
BY Belarus (EA)	GH Ghana (AP)	LS Lesotho (AP)	PE Peru	TT Trinidad and Tobago
BZ Belize	GM Gambia (AP)	LT Lithuania (EP) <sup>2</sup>	PG Papua New Guinea	TZ United Republic of Tanzania (AP)
CA Canada	GN Guinea (OA) <sup>2</sup>	LU Luxembourg (EP)	PH Philippines	UA Ukraine
CF Central African Republic (OA) <sup>2</sup>	GQ Equatorial Guinea (OA) <sup>2</sup>	LV Latvia (EP) <sup>2</sup>	PL Poland (EP)	UG Uganda (AP)
CG Congo (OA) <sup>2</sup>	GR Greece (EP) <sup>2</sup>	LY Libya	PT Portugal (EP)	US United States of America
CH Switzerland (EP)	GT Guatemala	MA Morocco <sup>3</sup>	QA Qatar	UZ Uzbekistan
CI Côte d'Ivoire (OA) <sup>2</sup>	GW Guinea-Bissau (OA) <sup>2</sup>	MC Monaco (EP) <sup>2</sup>	RO Romania (EP)	VC Saint Vincent and the Grenadines
CL Chile	HN Honduras	MD Republic of Moldova <sup>4</sup>	RS Serbia (EP)	VN Viet Nam
CM Cameroon (OA) <sup>2</sup>	HR Croatia (EP)	ME Montenegro <sup>1</sup>	RU Russian Federation (EA)	ZA South Africa
CN China	HU Hungary (EP)	MG Madagascar	RW Rwanda (AP)	ZM Zambia (AP)
CO Colombia	ID Indonesia	MK The former Yugoslav Republic of Macedonia (EP)	SA Saudi Arabia	ZW Zimbabwe (AP)
	IE Ireland (EP) <sup>2</sup>		SC Seychelles	
	IL Israel		SD Sudan (AP)	

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

<sup>3</sup> Validation of European patent possible for international applications filed on or after 1 March 2015.

<sup>4</sup> Validation of European patent possible for international applications filed on or after 1 November 2015.

<sup>5</sup> Only international applications filed on or after 19 August 2014 will include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:** This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.

# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

July-August 2016 | No. 07-08/2016

## **New PCT Contracting State**

### **Djibouti (country code: DJ)**

On 23 June 2016, Djibouti deposited its instrument of accession to the PCT, thus becoming the 150<sup>th</sup> Contracting State of the PCT, and on 23 September 2016, will become bound by the PCT. Consequently, any international application filed on or after 23 September 2016 will automatically include the designation of Djibouti. Also, because Djibouti will be bound by Chapter II of the PCT, it will automatically be elected in any demand filed in respect of an international application filed on or after 23 September 2016.

Furthermore, nationals and residents of Djibouti will be entitled, as from 23 September 2016, to file international applications under the PCT.

## **World Trade Organization**

### **Liberia and Afghanistan become Members**

Liberia (country code: LR) (on 14 July 2016) and Afghanistan (country code: AF) (on 29 July 2016) have become Members of the World Trade Organization (WTO), thus bringing the total number of Members to 164. Liberia is already party to the Paris Convention for the Protection of Industrial Property and a member State of the PCT. However, Afghanistan is neither party to the Paris Convention nor a member State of the PCT. This means that the priority of an earlier application filed in Afghanistan can now be claimed in an international application since, according to PCT Rule 4.10(a), it is possible to claim in an international application the priority of one or more earlier applications filed in, or for, any country party to the Paris Convention or in, or for, any Member of the WTO that is not party to that Convention.

The table of States party to the PCT and the Paris Convention and Members of the WTO has been updated accordingly at:

[http://www.wipo.int/pct/en/texts/pdf/pct\\_paris\\_wto.pdf](http://www.wipo.int/pct/en/texts/pdf/pct_paris_wto.pdf)

## **PCT Statistics 2015**

### **The PCT Yearly Review, 2016 edition**

The 2016 edition of the PCT Yearly Review summarizes PCT activities and developments in 2015, and contains a comprehensive set of statistics relating to PCT filings (including filings by top countries of origin, by top applicants and by technical field) and the performance of the international patent system in 2015, as well as statistics relating to national phase entries in 2014.

This edition contains a special theme which analyzes the frequency with which applicants choose the PCT route rather than the “Paris route”<sup>1</sup>. It takes a close look at the trend in the number of international patent families<sup>2</sup>, analyzing their growth in absolute terms, their distribution and average size by filing route, at the global level and for the top five countries of origin. It provides similar information for each of the 35 fields of technology and for the 100 applicants worldwide with the largest numbers of international patent families.

The PCT Yearly Review is available in English, in PDF format, at:

<http://www.wipo.int/publications/en/details.jsp?id=4052>

where there is also a link to the data and graphs associated with the Review, which contain:

- images of all the graphs and tables (including titles, sources and notes); and
- details of the data behind all graphs and tables.

French and Spanish translations of the Review are under preparation.

## **PCT Patent Prosecution Highway (PCT-PPH) Pilots**

### **New two-way PCT-PPH pilot program**

On 1 July 2016, a new two-way PCT-PPH pilot program started between the Australian Patent Office (IP Australia) and the European Patent Office. Under this program, accelerated processing in the national phase in one country is available on the basis of a PCT application with a positive written opinion from either the International Searching Authority (ISA) or the International Preliminary Examining Authority (IPEA), or a positive international preliminary report on patentability (IPRP) (Chapter II) (that is, where at least one of the claims has been determined as patentable), issued by the Office of the other country in its capacity as ISA/IPEA.

For further information, see:

<https://www.ipaustralia.gov.au/patents/applying-patent/standard-patent-application-process/examination-standard-patent/ipa-epo-pph>

<http://www.epo.org/law-practice/legal-texts/official-journal/2016/06/a54.html>

### **New one-way PCT-PPH pilot programs**

The Mexican Institute of Industrial Property has notified the International Bureau that the following Offices should be added to the list of International Searching and Preliminary Examining Authorities with which it has a PCT-PPH pilot program in operation:

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<sup>1</sup> The Paris route enables applicants who have filed an application in one Office of a State party to the Paris Convention to file subsequent applications claiming priority of the earlier application in the Offices of other States which are party to the Paris Convention.

<sup>2</sup> Patent families are a set of interrelated patent applications which are filed in one or more Offices, so as to protect the same invention. International patent families are those for which the patent applicants have filed at least one application in an Office other than the Office in which the earlier filing took place.



- Canadian Intellectual Property Office
- Spanish Patent and Trademark Office
- United States Patent and Trademark Office

Under these programs, accelerated processing in the national phase in Mexico is available on the basis of a PCT application with a positive written opinion from either the ISA or the IPEA, or a positive IPRP (Chapter II), issued by one of the above-mentioned Offices. Further information is available at:

[http://www.impi.gob.mx/servicios/patentes/Paginas/ProgramadelProcedimientoAceleradodePatentes\(PPH\).aspx](http://www.impi.gob.mx/servicios/patentes/Paginas/ProgramadelProcedimientoAceleradodePatentes(PPH).aspx)

Furthermore, on 1 July 2016, within the framework of a joint declaration of the Intellectual Property Offices of the Pacific Alliance, the following Offices started a one-way PCT-PPH pilot program with the National Institute of Industrial Property (Chile):

- Mexican Institute of Industrial Property
- National Institute for the Defense of Competition and Intellectual Property Protection (Peru) (INDECOPI)
- Superintendence of Industry and Commerce (Colombia)

Further information on the use of these PCT-PPH pilot programs is available at:

<http://www.inapi.cl/portal/publicaciones/608/w3-propertyvalue-911.html>

[http://www.impi.gob.mx/servicios/patentes/Paginas/ProgramadelProcedimientoAceleradodePatentes\(PPH\).aspx](http://www.impi.gob.mx/servicios/patentes/Paginas/ProgramadelProcedimientoAceleradodePatentes(PPH).aspx)

<https://www.indecopi.gob.pe/documents/20791/368017/Lineamiento+PPH+Peru-AP.pdf/ae4a05ad-f3ec-4e41-ac19-f0e865443906>

[http://www.sic.gov.co/drupal/sites/default/files/files/guia\\_de\\_colombia\\_pph2016\(1\).pdf](http://www.sic.gov.co/drupal/sites/default/files/files/guia_de_colombia_pph2016(1).pdf)

The PCT-PPH page of the PCT website will be updated shortly to include information on these new pilots at:

[http://www.wipo.int/pct/en/filing/pct\\_pph.html](http://www.wipo.int/pct/en/filing/pct_pph.html)

### **Israel Patent Office: Extension of “PCT Direct” Pilot**

It is recalled that on 1 April 2015, the Israel Patent Office (ILPO), in its capacity as receiving Office (RO/IL) and International Searching Authority (ISA) (ISA/IL), launched a new service called "PCT Direct", aimed at further improving the efficiency and quality of the procedure before the ISA/IL (see *PCT Newsletter* No. 04/2015). The service was initially only available for international applications filed with RO/IL.

As from 1 July 2016, the service was extended to other receiving Offices. As a result, PCT applicants who have chosen the ILPO as ISA may now use the service and submit “PCT Direct” letters, irrespective of the receiving Office chosen.



Under “PCT Direct”, an applicant filing an international application claiming the priority of an earlier Israeli application already searched by the ILPO is able to react to any objections raised in the search opinion drawn up for the priority application. If ISA/IL is able to benefit from the earlier search of the Israeli application when establishing the international search report and written opinion in the international phase, 50% of the paid search fee will be refunded.

Note that, in order for international applications to be processed under “PCT Direct”, the following requirements must be met:

- the informal comments should be filed with the receiving Office together with the international application;
- the ILPO should be selected as ISA;
- the international application should claim priority of an earlier application searched by the ILPO; and
- the PCT Direct letter and any reply to the earlier search opinion should be submitted to the receiving Office as a single document in PDF format and the words “PCT Direct document” should be indicated under “other” in Box IX of the PCT request form (Form PCT/RO/101).

### **USPTO: Accelerated Examination for Patent Applications Pertaining to Cancer Immunotherapy**

On 29 June 2016, the United States Patent and Trademark Office (USPTO) began a pilot program to provide for earlier review of patent applications pertaining to cancer immunotherapy (the “Cancer Immunotherapy Pilot Program”). Applications containing a claim or claims to a method of treating a cancer using immunotherapy will be advanced out of turn for examination in the national phase, without the need to meet all the current requirements of the USPTO’s accelerated examination program, provided that the applicant files a grantable petition to make the application special under the pilot program. PCT applications which have entered the national phase in the United States of America under 35 U.S.C. 371 may also benefit from this program.

For further information, please refer to the USPTO notice at:

<https://www.federalregister.gov/articles/2016/06/29/2016-15533/cancer-immunotherapy-pilot-program#h-4>

Information on this pilot program will also be included in the US National Chapter of the *PCT Applicant’s Guide*.

### **Power of Attorney Waiver**

#### **Notification under PCT Rules 90.4(d) and 90.5(c) (Intellectual Property Office (Belgium))**

The Intellectual Property Office (Belgium), in its capacity as receiving Office, has informed the International Bureau that it waives the requirements under PCT Rules 90.4(b) and 90.5(a)(ii) to submit a separate power of attorney and/or a copy of a general power of attorney.

For background information on power of attorney waivers, see *PCT Newsletter* No. 01/2004, page 2, at:

[http://www.wipo.int/edocs/pctndocs/en/2004/pct\\_news\\_2004\\_1.pdf](http://www.wipo.int/edocs/pctndocs/en/2004/pct_news_2004_1.pdf)

(Updating of the *PCT Applicant's Guide*, Annex C (BE) and the table "Offices (or Authorities) which have notified WIPO of waiver(s) of the power of attorney requirement under PCT Rule 90.4(b) and/or 90.5(a)(ii)")

### **Eligibility for Reductions in Certain PCT Fees**

The lists of States discussed below, whose nationals and/or residents are eligible for certain PCT fee reductions, have been updated with effect from 1 July 2016 and are available at:

<http://www.wipo.int/pct/en/fees/index.html>

Please note that the list of States whose nationals and/or residents are eligible for a 90% reduction in the international filing fee, the supplementary search handling fee and the handling fee has not changed.

### **Applicability of 75% reduction in certain fees at the European Patent Office**

The following States have been deleted from the list of States whose nationals and/or residents are eligible for a 75% reduction in certain fees payable to the European Patent Office (that is, States classified as low-income and lower-middle-income economies by the World Bank):

Mongolia  
Paraguay

### **Applicability of 75% reduction in international search fee at the Spanish Patent and Trademark Office**

The following States have been added to the list of States whose nationals and/or residents are eligible for a 75% reduction in the search fee payable to the Spanish Patent and Trademark Office in its capacity as International Searching Authority (that is, the States classified as low-income, lower-middle-income and upper-middle-income economies by the World Bank, which are not party to the European Patent Convention):

Equatorial Guinea  
Russian Federation  
Venezuela, Bolivarian Republic of

It is recalled that, in order to file an international application, at least one applicant must be a national of, or a resident in, a PCT Contracting State (PCT Article 9(1)). Applicants from non-PCT Contracting States must therefore file a PCT application together with an applicant who is a national of and/or resides in a PCT Contracting State, and they may only benefit from a fee reduction if both (or all) applicants are entitled to the reduction.

## **PCT-SAFE Update**

### **Release of new version of the PCT-SAFE Client software**

A new version of the PCT-SAFE Client software (version 3.51.074.250, dated 1 July 2016) is now available and can be downloaded at:

[http://www.wipo.int/pct-safe/en/download/download\\_client.html](http://www.wipo.int/pct-safe/en/download/download_client.html)

Further details on this new version of PCT-SAFE are available in the “Release notes” and the “What’s new” document on the above-mentioned web page.

## **PCT Information Update**

### **BE Belgium (who can act as agent)**

The Intellectual Property Office (Belgium) has notified changes concerning whether an agent is required by it – an agent is not required if the applicant resides in or has an effective industrial or commercial establishment in a member State of the European Union; an agent is required if the applicant is a non-resident<sup>3</sup>.

Furthermore, the Office has notified changes concerning its requirements as to who can act as agent before it – any person registered to practice as a representative in Belgium (the list of registered representatives is furnished free of charge on request) can now act as such; also, any attorney-at-law registered on the list of Belgian attorneys-at-law or on the list of probationary attorneys, any attorney-at-law and any patent agent who is a national of a member State of the European Union and authorized to practice in Belgium by law or an international convention may now act in the same way as a registered representative before the Office.

(Updating of *PCT Applicant’s Guide*, Annex C (BE))

### **DE Germany (telephone number)**

There has been a change in the telephone numbers of the German Patent and Trademark Office, as follows:

Telephone: (49-89) 2195-0  
(49-89) 2195-1000 (Customer Care and Services)

(Updating of *PCT Applicant’s Guide*, Annex B1 (DE))

### **EC Ecuador (fees)**

The amounts of the filing fee components of the national fee, payable to the Ecuadorian Institute of Intellectual Property, have changed, as follows:

For patent:

Filing fee: ..... USD 2,816.13

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<sup>3</sup> Any natural or legal person may file an international application, pay the filing fee and receive a receipt of deposit (see article XI.62, paragraph 3, subparagraph 2 of the Code of Economic Law).

For utility model:

Filing fee: ..... USD 136.00

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (EC))

**HU Hungary (language of filing; institutions with which deposits of microorganisms and other biological material may be made)**

Further to the withdrawal by the Hungarian Intellectual Property Office (HIPO) of its notification specifying the Federal Service for Intellectual Property (Rospatent) (Russian Federation) as competent International Searching and Preliminary Examining Authority, HIPO, in its capacity as receiving Office, has notified the International Bureau that, with effect from 1 July 2016, it no longer accepts Russian as a language in which the international application and the request may be filed.

The address of the National Collection of Agricultural and Industrial Microorganisms (NCAIM), an International Depository Authority under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure, has changed, as follows:

National Collection of Agricultural and Industrial Microorganisms (NCAIM)  
 Faculty of Food Science  
 Szent István University  
 Somlói út 14-16  
 1118 Budapest  
 Hungary

(Updating of *PCT Applicant's Guide*, Annex C (HU) and Annex L)

**ID Indonesia (fees)**

The amount of the fee for the priority document, payable to the Directorate General of Intellectual Property (Indonesia) as receiving Office, has changed to: IDR 250,000.

(Updating of *PCT Applicant's Guide*, Annex C (ID))

**JP Japan (fees)**

As from 1 October 2016, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in JPY to the Japan Patent Office as receiving Office, as well as a change in the equivalent amount in JPY of the fee reduction listed under item 4(c) of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of *PCT Applicant's Guide*, Annex C (JP))

**KZ Kazakhstan (fees)**

Further to the information published in *PCT Newsletter* No. 12/2015, page 6, in relation to changes in fees payable to the National Institute of Intellectual Property (NIIP) (Kazakhstan) as designated (or elected) Office, please note that the annual fee for the first three years has also changed in respect of patents. The new amount is KZT 20,320.16 per year.

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (KZ))

**KR Republic of Korea (institutions with which deposits of microorganisms and other biological material may be made)**

The address of the Korean Collection for Type Cultures (KCTC), an International Depository Authority under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure, has changed, as follows:

Korean Collection for Type Cultures (KCTC)  
181, Ipsin-gil, Jeongeup-si  
Jeollabuk-do, 56212  
Republic of Korea

(Updating of *PCT Applicant's Guide*, Annex L)

**NA Namibia (Internet address)**

The Internet address of the Registration of Companies, Close Corporations and Industrial Property Rights Office (Namibia) has changed, as follows:

[www.grnnet.gov.na](http://www.grnnet.gov.na)

(Updating of *PCT Applicant's Guide*, Annex B1 (NA))

**NZ New Zealand (fees)**

As from 1 October 2016, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in NZD to the Intellectual Property Office of New Zealand as receiving Office, as well as a change in the equivalent amounts in NZD of the applicable fee reductions listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of *PCT Applicant's Guide*, Annex C (NZ))

**PT Portugal (e-mail address; fees)**

The e-mail address of the National Institute of Industrial Property (Portugal) has changed, as follows:

[servico.publico@inpi.pt](mailto:servico.publico@inpi.pt)

The amounts of the following fees, payable to the Office as receiving Office, have changed with effect from 1 July 2016:

**Transmittal fee:**

– where the international application is filed online .....	EUR	10.46
– where the international application is filed on paper.....	EUR	20.92
Fee for priority document:.....	EUR	41.83
<b>Fee for requesting restoration of the right of priority:</b>		
– where the request is filed online.....	EUR	156.85
– where the request is filed on paper .....	EUR	313.72

The amounts of the following national fees, payable to the Office as designated (or elected) Office, have also changed with effect from the same date:

	<i>Online</i>	<i>On paper</i>
For patent (includes publication and examination): .....	EUR 52.28	104.57
For utility model (includes publication and examination): .....	EUR 52.28	104.57

(Updating of *PCT Applicant's Guide*, Annexes B1 and C (PT) and National Chapter, Summary (PT))

### **Search fee (Austrian Patent Office, European Patent Office, Intellectual Property Office of Singapore, Japan Patent Office, National Institute of Industrial Property (Brazil), United States Patent and Trademark Office)**

As from 1 September 2016, there will be a change in the equivalent amounts payable in CHF and EUR for an international search carried out by the National Institute of Industrial Property (Brazil).

As from 1 October 2016, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Austrian Patent Office.....	SGD
European Patent Office .....	JPY, NZD, SGD
Intellectual Property Office of Singapore .....	CHF, EUR, JPY
Japan Patent Office .....	CHF, EUR, KRW, USD
National Institute of Industrial Property (Brazil).....	USD
United States Patent and Trademark Office .....	NZD

The above-mentioned changes are indicated in Fee Table I(b).

(Updating of *PCT Applicant's Guide*, Annexes D (AT, BR, EP, JP, SG and US))

### **Supplementary search fee (Intellectual Property Office of Singapore, Visegrad Patent Institute (*corrigendum*))**

As from 1 October 2016, there will be a change in the amount payable in CHF for a supplementary international search carried out by the Intellectual Property Office of Singapore. The new amount is indicated in Fee Table I(c).

(Updating of *PCT Applicant's Guide*, Annex SISA (SG))

The supplementary search fee, applicable with effect from 1 July 2016, for searches of documentation in Czech, Hungarian, Polish and Slovak carried out by the Visegrad Patent Institute was erroneously indicated in PCT Fee Table I(c) on 1 June 2016 as CHF 546. The correct amount is CHF 600.



## Handling fee (Japan Patent Office)

As from 1 October 2016, there will be a change in the equivalent amount of the handling fee, payable in JPY to the Japan Patent Office as International Preliminary Examining Authority, as indicated in Fee Table II.

(Updating of *PCT Applicant's Guide*, Annex E (JP))

## Changes to the PCT Publication Schedule

### Publication of 8 September 2016

Due to the fact that Thursday, 8 September 2016, will be a non-working day at WIPO, the PCT applications (as well as any *Official Notices (PCT Gazette)*) which would normally have been published on that day will be published on Friday, 9 September 2016. The date of completion of technical preparations for that publication will not change, however – any changes which should be taken into account for the purposes of international publication should be received by the International Bureau by midnight (Central European Time (CET)) on Tuesday, 23 August 2016.

## Amended PCT Regulations

### Patent Cooperation Treaty and Regulations (paper version)

Updated paper versions of the Arabic, Chinese, English, French, Russian and Spanish versions of the Patent Cooperation Treaty (PCT) and Regulations under the PCT, as in force from 1 July 2016, are now available for purchase. Orders can be made online by clicking on the language required in the box on the right-hand side of the page at:

<http://www.wipo.int/publications/en/details.jsp?id=4055&plang=EN>

Other languages will be available in the near future.

### Updated Texts of the PCT Regulations (online)

The updated text of the Regulations under the PCT, as in force from 1 July 2016, is now available in German, Japanese and Portuguese at, respectively:

<http://www.wipo.int/pct/de/texts/index.html>

<http://www.wipo.int/pct/ja/texts/index.html>

<http://www.wipo.int/pct/pt/texts/index.html>

It is recalled that the corresponding consolidated texts in Arabic, Chinese, English, French, Russian and Spanish are also available, free of charge, at, respectively:

<http://www.wipo.int/pct/ar/texts/index.html>

<http://www.wipo.int/pct/zh/texts/index.html>

<http://www.wipo.int/pct/en/texts/index.html>

<http://www.wipo.int/pct/fr/texts/index.html>

<http://www.wipo.int/pct/ru/texts/index.html>

<http://www.wipo.int/pct/es/texts/index.html>

The texts in English, French and Spanish are also available in HTML format.

### **Modified Administrative Instructions under the PCT**

With effect from 1 July 2016, Sections 104, 111, 217, 325, 413 and Annex D of the Administrative Instructions under the PCT have been modified and Section 501 has been deleted. The *addendum* to the Administrative Instructions containing these modifications, as in force from 1 July 2016, is available on the PCT website in English, French and Spanish, in PDF format at, respectively:

[http://www.wipo.int/pct/en/texts/pdf/ai\\_16add.pdf](http://www.wipo.int/pct/en/texts/pdf/ai_16add.pdf)

[http://www.wipo.int/pct/fr/texts/pdf/ai\\_16add.pdf](http://www.wipo.int/pct/fr/texts/pdf/ai_16add.pdf)

[http://www.wipo.int/pct/es/texts/pdf/ai\\_16add.pdf](http://www.wipo.int/pct/es/texts/pdf/ai_16add.pdf)

The revised consolidated versions of the Administrative Instructions are available in English and French, in HTML format, at, respectively:

[http://www.wipo.int/pct/en/texts/ai/ai\\_index.html](http://www.wipo.int/pct/en/texts/ai/ai_index.html)

[http://www.wipo.int/pct/fr/texts/ai/ai\\_index.html](http://www.wipo.int/pct/fr/texts/ai/ai_index.html)

The above-mentioned changes are explained in more detail in PCT Circular Letter C. PCT 1478, which was sent to PCT Offices and is available at:

<http://www.wipo.int/pct/en/circulars/2016/1478.pdf>

### **New/modified PCT Forms (with Effect from 1 July 2016)**

The new/modified forms mentioned below are available at:

<http://www.wipo.int/pct/en/forms/index.html>

#### **Forms relating to the receiving Office**

The following forms have been modified:

PCT/RO/112	(Notification Concerning Expressions, etc., Not to Be Used in the International Application)
PCT/RO/118	(Notification Concerning Documents Transmitted)
PCT/RO/159	(Notification of Decision on Request to Restore Right of Priority)

and the following form is new:

PCT/RO/130                      (Invitation to Request Omission of Information from International Publication)

The modified/new forms, in editable PDF format, are available in English, French, German and Spanish.

### **Forms relating to the International Searching Authority**

The following form has been modified:

PCT/ISA/218                      (Notification Concerning Expressions, etc., Not to Be Used in the International Application)

and the following form is new:

PCT/ISA/215                      (Invitation to Request Omission of Information from International Publication)

The modified/new forms are available in English, French, German and Spanish.

### **Forms relating to the International Bureau**

The following form has been modified:

PCT/IB/375                      (Supplementary Search Request)

and the following forms are new:

PCT/IB/322                      (Notification Concerning Expressions, etc., Not to Be Used in the International Application)

PCT/IB/383                      (Invitation to Request Omission of Information from International Publication and/or Public File Access)

PCT/IB/384                      (Request for Omission of Information from International Publication and/or Public File Access)

PCT/IB/385                      (Notification of Decision to Omit Information from International Publication and/or Public File Access)

PCT/IB/386                      (Notification of Decision Not to Omit Information from International Publication and/or Public File Access)

The modified/new forms are available in English and French.

### **Forms relating to the International Preliminary Examining Authority**

The following form has been modified:

PCT/IPEA/401                      (Demand)

The modified form, in editable PDF format, is available in Chinese, English, French, German, Japanese, Portuguese and Spanish. Other language versions will be available shortly.

## Forms relating to the Authority Specified for Supplementary Search

The following forms are new:

PCT/SISA/511	(Notification Concerning Expressions, etc., Not to Be Used in the International Application)
PCT/SISA/512	(Invitation to Request Omission of Information from International Publication)

The forms are available in English, French and German.

## Modified PCT Receiving Office Guidelines

Modifications have been made, with effect from 1 July 2016, to paragraphs 30, 211, 214 and 333 of the PCT Receiving Office Guidelines. For further details, please see PCT Circular Letter C. PCT 1478 at:

<http://www.wipo.int/pct/en/circulars/2016/1478.pdf>

The *addendum* to the Guidelines containing these modifications is available on the PCT website in English, French and Spanish, in PDF format at, respectively:

[http://www.wipo.int/pct/en/texts/pdf/ro\\_14add.pdf](http://www.wipo.int/pct/en/texts/pdf/ro_14add.pdf)

[http://www.wipo.int/pct/fr/texts/pdf/ro\\_14add.pdf](http://www.wipo.int/pct/fr/texts/pdf/ro_14add.pdf)

[http://www.wipo.int/pct/es/texts/pdf/ro\\_14add.pdf](http://www.wipo.int/pct/es/texts/pdf/ro_14add.pdf)

Furthermore, revised consolidated versions of the Guidelines are also available in HTML format, in English and French at, respectively:

<http://www.wipo.int/pct/en/texts/ro/index.html>

<http://www.wipo.int/pct/fr/texts/ro/index.html>

## Modified PCT International Search and Preliminary Examination Guidelines

Modifications have been made, with effect from 1 July 2016, to paragraphs 4.32, 15.35, 19.50, 22.50, 22.51 and 22.52 of the PCT International Search and Preliminary Examination Guidelines, and further modifications have been made to paragraphs 15.88A, 22.52A and 22.52B. For further details, please see PCT Circular Letter C. PCT 1478 at:

<http://www.wipo.int/pct/en/circulars/2016/1478.pdf>

The *addendum* to the Guidelines containing these modifications is available on the PCT website in English and French at, respectively:

[http://www.wipo.int/pct/en/texts/pdf/ispe\\_4add.pdf](http://www.wipo.int/pct/en/texts/pdf/ispe_4add.pdf)

[http://www.wipo.int/pct/fr/texts/pdf/ispe\\_4add.pdf](http://www.wipo.int/pct/fr/texts/pdf/ispe_4add.pdf)

and will be available shortly in Spanish at:

[http://www.wipo.int/pct/es/texts/pdf/ispe\\_4add.pdf](http://www.wipo.int/pct/es/texts/pdf/ispe_4add.pdf)

## **New/updated PCT Resources**

### **Modified PCT Regulations, Administrative Instructions under the PCT, PCT Forms, PCT Receiving Office Guidelines and PCT International Search and Preliminary Examination Guidelines**

See under separate headings, above.

#### ***PCT Applicant's Guide***

The English and French versions of the “Introduction to the International Phase” part of the *PCT Applicant's Guide*, which contains detailed information on the international phase of the PCT, are in the process of being updated to take into account the 1 July 2016 amendments to the PCT Regulations, as well as other updates, and will be published shortly at, respectively:

<http://www.wipo.int/pct/en/appguide/>

<http://www.wipo.int/pct/fr/appguide/>

#### **ISA and IPEA Agreements**

##### ***Visegrad Patent Institute***

The Agreement between the International Bureau of WIPO and the Visegrad Patent Institute, as in force from 1 July 2016, relating to the functioning of the Office as an International Searching and Preliminary Examining Authority under the PCT, has been published in English and French at, respectively:

[http://www.wipo.int/pct/en/texts/agreements/ag\\_xv.pdf](http://www.wipo.int/pct/en/texts/agreements/ag_xv.pdf)

[http://www.wipo.int/pct/fr/texts/agreements/ag\\_xv.pdf](http://www.wipo.int/pct/fr/texts/agreements/ag_xv.pdf)

#### **Distance Learning Course: Introduction to the Patent Cooperation Treaty**

The basic distance learning course on the PCT (DL101PCT) has been updated in all ten PCT publication languages. The updates take into account the rule changes which entered into force on 1 July 2016, the current status of Offices providing international search and preliminary examination under the PCT, and minor editorial revisions.

The course, which provides an introduction and general overview of the PCT System, is entirely self-study, with assessment questions to measure understanding and progress. A course certificate may be downloaded after successful completion of all the course modules. If you are interested in taking the course, which is free of charge, you may register on the following web page of the WIPO Academy at:

<http://welc.wipo.int>

## Advanced PCT Seminar at WIPO Headquarters (Still a Few Places Available)

It is recalled that an advanced PCT seminar on the procedural aspects of the international and national phases, recent and future PCT developments, and the filing and management of PCT applications in ePCT, as well as information on PATENTSCOPE, will be held at WIPO Headquarters in Geneva on 26 and 27 September 2016. The seminar is aimed at patent administrators, paralegals and other users who are already familiar with the PCT System, and the speakers will include experienced staff from across the PCT sector at WIPO. Registration and further details on the seminar are available at:

[https://www3.wipo.int/registration/en/form.jsp?meeting\\_id=40645](https://www3.wipo.int/registration/en/form.jsp?meeting_id=40645)

There is no registration fee for the seminar, which is limited to 50 participants. The registration deadline is 12 September 2016. Further information on the seminar may be obtained by sending an e-mail to: [pct.our@wipo.int](mailto:pct.our@wipo.int)

## PATENTSCOPE Search System

It is recalled that in 2015, over 2.8 million bibliographic data records (titles and abstracts) from the United Kingdom national patent collection were added to the PATENTSCOPE search system (see *PCT Newsletter* No. 10/2015, page 7). It is now also possible to search keywords in the description and claims of the United Kingdom patent collection, which includes about 2.3 million full text records, dating back as far as 1859.

## Warning About Requests for Payment of Fees

### New invitations

Further to the many warnings that have been published in the *PCT Newsletter* about invitations to PCT applicants and agents to pay fees that do not come from the International Bureau of WIPO (IB) and are unrelated to the processing of international applications under the PCT, new invitations have been identified originating from “**IPWTO – Intellectual Property World Trade Organization**” and “**PATR Servis – Worldwide Patent Service**”. To view examples of the invitations, as well as the many other examples which have been brought to the attention of WIPO by PCT users, and for further information on such requests in general, see:

[http://www.wipo.int/pct/en/warning/pct\\_warning.html](http://www.wipo.int/pct/en/warning/pct_warning.html)

PCT applicants and agents should note that it is the IB alone which publishes all PCT applications promptly after the expiration of 18 months from the priority date (see PCT Article 21(2)(a)); there is no separate fee for such international publication, and the legal effects of international publication are set out in PCT Article 29.

If they have not already done so, PCT applicants and agents are advised to bring this information to the attention of the people responsible for handling payments of fees within their organizations, as well as to the attention of inventors who might also receive such requests. In case of doubt in relation to any such invitation, please do not hesitate to contact the IB at:

Telephone: (+41-22) 338 83 38

Fax: (+41-22) 338 83 39

E-mail: [pct.legal@wipo.int](mailto:pct.legal@wipo.int)



We would also like to encourage PCT applicants, agents or inventors (PCT users) to take action with their governments or consumer protection associations. A suggested text for making complaints and a list of “Government authorities/consumer protection associations receiving complaints” are available at the above-mentioned web page.

## Practical Advice

### Omission of certain information from public file access

*Q: An international application was filed at the beginning of July 2016, claiming the priority of an earlier application filed at the end of July 2015. The application was recently assigned to a new applicant, and I have been appointed by that applicant to act as agent for the application. After the assignment took place, I immediately submitted to the International Bureau requests under PCT Rule 92bis for the recording of myself as agent and the recording of a change of applicant, in support of which I submitted a power of attorney and the assignment document. However, shortly afterwards, I realized that the assignment document contains confidential information, namely, information about the monetary value of the assignment transaction, which I had forgotten to take out of the document before submission. Is it possible to remove this information from public access, and if so, how do I do this, and what time limits apply?*

A: Requests for recording changes under PCT Rule 92bis, as well as any documentary evidence, such as assignments, are normally available to the public as part of the file of the international application once the application has been published (see PCT Article 30(1)(a) and Rule 94.1(b)). This means that the sensitive information that you refer to would be accessible to the public once the international application has been published (that is, at the end of January 2017).

On 1 July 2016, amendments to PCT Rule 94 (“Access to Files”) entered into force in respect of international applications filed on or after that date. The amendments enable applicants to request the omission from public file access of certain sensitive information contained in the file of the international application, provided that the necessary requirements have been met. Similar amendments were also made to PCT Rule 48 to enable the applicant to request omission of certain information from publication (for example, if certain sensitive information, such as a person’s credit card number, were to appear in the description of the international application); however, the provisions of PCT Rule 48 are not relevant to the case at hand since the assignment is a document which would not form part of the international publication of the international application. Your case would, however, be covered by PCT Rule 94 as the assignment document would form part of the file of the international application.

Under new paragraph (e) of PCT Rule 94.1, upon receipt of a reasoned request by the applicant, the IB will not provide public access to any information contained in its file, if it finds that:

- the information does not obviously serve the purpose of informing the public about the international application;
- public access to such information would clearly prejudice the personal or economic interests of any person; and
- there is no prevailing public interest to have access to that information.

In order to make a request for omission, you need to submit to the IB:

- a reasoned request for omission, preferably by using Form PCT/IB/384;
- a replacement sheet or replacement sheets from which the relevant information has been removed; and
- an accompanying letter drawing attention to the differences between the replaced sheet(s) and the replacement sheet(s).

You should preferably send the request for omission of information to the IB through ePCT, but you can also send it by fax or by post.

Although there is no specific time limit for making a request for omission of information from public access, it is in your best interest to ensure that the request is received by the IB before completion of technical preparations for international publication – if the request for omission is received by the IB after completion of technical preparations for international publication, the IB may not be able to prevent the information from being made publicly available, at least for a certain amount of time.

A request for omission of information from public file access can either be a spontaneous request made by the applicant, or it may follow a notification sent to the applicant by the IB, in which the IB invites the applicant to request omission of the information if it is in the applicant's interest to do so, and if it would qualify for omission from public file access under PCT Rule 94.1(e) (see Form PCT/IB/383). In the latter case, the IB invites the applicant to file such a request within one month from the date of mailing of the invitation, or prior to the completion of technical preparations for international publication, whichever time limit expires later (PCT Rule 94.1(e)). You may, however, request omission of information from public file access at any time after the expiration of the above-mentioned time limit, even if the information has already been made available to the public. In this case, the IB would act as quickly as possible to remove such public access to the information (provided that the necessary requirements have been met).

After examining your request for omission of information from public file access, the IB will decide whether or not the criteria under PCT Rule 94.1(e) have been satisfied and will take the following action:

- where the IB grants such a request, it will remove the information originally submitted from public access, and will promptly notify you of this using Form PCT/IB/385. Where that information is also contained in the file of the international application held by the receiving Office, the International Searching Authority (ISA), the Authority specified for supplementary search (SISA) and/or the International Preliminary Examining Authority (IPEA), the IB will also send a copy of that notification to each Office/Authority accordingly (PCT Rule 94.1(f)), so that those Offices are aware of your request to remove public access to such information, and will therefore not give access to such information either. Furthermore, the information concerned will not be communicated to designated or elected Offices;
- where the IB decides not to omit the information from public file access, the IB will notify you by way of Form PCT/IB/386, giving the reason(s) for the decision. The information will be visible in the respective files of the Offices, and will be communicated to the designated or elected Offices.

Please note that your request for omission of information from public file access, as well as the IB's notification of their decision about whether to grant the omission (whether it is positive (Form PCT/IB/385) or negative (Form PCT/IB/386)), would not be visible to the public.

As mentioned above, similar rule changes also entered into force on 1 July 2016 to enable applicants to request omission of information from international publication (see PCT Rule 48.2(l to n)). In this case, a request for omission must be received by the IB prior to the completion of technical preparations for international publication. The IB will decide whether or not the criteria under PCT Rule 48.2(l) have been satisfied. Where the IB grants such a request, it will omit the information from international publication. In addition, it will not provide public access to any such information, nor will it provide public access to any document contained in its file relating to the request. Where the information is also contained in the file of the international application held by the ISA, the SISA and/or IPEA, it will notify each Office accordingly (PCT Rule 48.2(n)).

It is important to note that you should always carefully consider whatever documents you submit as evidence to the IB, for example, in the context of requests under PCT Rule 92*bis* or even requests for restoration of the right of priority under PCT Rule 26*bis*.3 sent to the IB as receiving Office, as all such documents would, by default, become part of the file of the international application and would be accessible to the public after international publication. It may be possible to submit such documents in a "cleaned-up" form in the first place, if the sensitive information is irrelevant in the context of the submission, in order to avoid the most sensitive information being published or made available to the public. In any case, you should be aware that all the applicable requirements under PCT Rules 48.2(l) and 94.1(e) would need to be met in order for you to be able to rely on these new provisions to avoid international publication of, or public access to, the information concerned.

<b>PCT Seminar Calendar</b> ( <a href="http://www.wipo.int/pct/en/seminar/seminar.pdf">http://www.wipo.int/pct/en/seminar/seminar.pdf</a> ) (situation on 1 August 2016)			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>4 August 2016 Oak Brook, IL (US)</b>	English	PCT Practice Lecture Speaker on behalf of WIPO: Mr. Oppedahl	Patent Resources Group, Inc. (Ms. Theresa Woods) Tel: (1-703) 682 48 60 Fax: (1-703) 892 45 10 E-mail: <a href="mailto:twoods@patentresources.com">twoods@patentresources.com</a> Internet: <a href="http://www.patentresources.com">http://www.patentresources.com</a>
<b>16 September 2016 Lisbon (PT)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: Mr. Sim	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. João Amaral) Tel: (351) 218 818 100 Fax: (351) 218 878 508 E-mail: <a href="mailto:jamaral@inpi.pt">jamaral@inpi.pt</a> Internet: <a href="http://www.inpi.pt">www.inpi.pt</a>
<b>19-20 September 2016 Djibouti (DJ)</b>	French	National PCT Workshop: Global Vision for the Benefit of a New Contracting State WIPO speaker: Mr. Jazairy	Office de la Propriété Industrielle et Commerciale (ODPIC) (Djibouti) (Mr. Bandjir Omar Bandjir) Tel: (253-21) 35 60 11 Fax: (253-21) 35 60 92 E-mail: <a href="mailto:bandjiromar@gmail.com">bandjiromar@gmail.com</a>
<b>21-22 September 2016 Djibouti (DJ)</b>	French	Sub-Regional PCT Workshop, including Roundtables on PCT Collaboration WIPO speaker: Mr. Jazairy Other speaker: Expert from the Industrial and Commercial Property Office of Morocco (OMPIC)	Office de la Propriété Industrielle et Commerciale (ODPIC) (Djibouti) (see Djibouti, above)
<b>22 September 2016 Philadelphia, PA (US)</b>	English	PCT Practice Lecture Speaker on behalf of WIPO: Mr. Oppedahl	Patent Resources Group, Inc. (Ms. Theresa Woods) Tel: (1-703) 682 48 60 Fax: (1-703) 892 45 10 E-mail: <a href="mailto:twoods@patentresources.com">twoods@patentresources.com</a> Internet: <a href="http://www.patentresources.com">http://www.patentresources.com</a>
<b>26-27 September 2016 Geneva (CH)</b>	English	Advanced Seminar on the Patent Cooperation Treaty (PCT): procedural aspects of the international and national phases; recent and future PCT developments; filing and management of PCT applications in ePCT; PATENTSCOPE. Note that attendance is limited to 50 people. No registration fee. WIPO speakers: to be announced	Organized by the World Intellectual Property Organization (WIPO) at its Geneva Headquarters Online registration and further information: <a href="https://www3.wipo.int/registration/en/form.jsp?meeting_id=40645">https://www3.wipo.int/registration/en/form.jsp?meeting_id=40645</a> Deadline for registrations: 12 September 2016 E-mail: <a href="mailto:pct.our@wipo.int">pct.our@wipo.int</a>
<b>27-28 September 2016 Constantine (DZ)</b>	French	National PCT Workshop and Advanced ePCT Training WIPO speaker: Mr. Jazairy	Institut National Algérien de la Propriété Industrielle (INAPI) (Mr. Lotfi Boudjedar) Tel: (213-551) 03 71 59 Fax: (213-21) 73 96 44 E-mail: <a href="mailto:l.boudjedar@inapi.dz">l.boudjedar@inapi.dz</a>

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<b>PCT Seminar Calendar [continued]</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>3 October 2016 Zurich (CH)</b>	German	Seminar on the latest developments concerning the PCT (CEIPI Course) WIPO speaker: to be announced	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Hansjörg Kley) Tel : (41-52) 226 00 00 Fax: (41-52) 226 00 09 E-mail: hansjoerg@kley.ch
<b>4-5 October 2016 Helsinki (FI)</b>	Finnish	Seminar on the PCT for patent administrators, including practical training on PCT electronic filing, and other PCT electronic services WIPO speaker: Ms. Metcalfe	Aalto University Executive Education Ltd. (Ms. Lisbeth Edlund) Tel : (358-50) 351 9058 E-mail: lisbeth.edlund@aaltoee.fi Internet: www.aalto.fi/patenttiassistentti
<b>6 October 2016 Barcelona (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: Ms. Bonvallet	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Antonio López-Carrasco Comajuncosas) Tel : (34-93) 123 31 01 E-mail: antonio.lopez-carrascocomajuncosas@telefonica.com
<b>12-13 October 2016 Munich (DE)</b>	German	Advanced PCT Seminar WIPO speaker: Mr. Reischle-Park Other speaker: Mr. Wolff (former staff member of the PCT Legal Division, WIPO, former member of the Boards of Appeal of the European Patent Office, now retired)	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de
<b>17 October 2016 Boston, MA (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>19 October 2016 Washington, DC (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>20-21 October 2016 Chicago (US)</b>	English	PCT seminar WIPO speaker: Mr. Reischle Speaker on behalf of WIPO: Mr. Oppedahl	The John Marshall Law School Department of Event Management Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: Events@jmls.edu
<b>21 October 2016 Chicago, IL (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>28 October 2016 London (GB)</b>	English	PCT seminar within the framework of the 15 <sup>th</sup> Annual Conference for Senior Patent Administrators (27-28 October 2016) WIPO speaker: Mr. Reischle-Park	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk

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**PCT Seminar Calendar [continued]**

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>2-3 November 2016 Munich (DE)</b>	German	PCT update/conference WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de
<b>23-24 November 2016 Cracow (PL)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Mr. Henninger	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bodgan@agh.edu.pl
<b>24-25 November 2016 Dresden (DE)</b>	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>1-2 December 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>6-7 December 2016 Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms. Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>8 December 2016 Paris (FR)</b>	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (see Paris, above)
<b>15-16 June 2017 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: to be announced	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>12-13 July 2017 Munich (DE)</b>	German	Presentation on the PCT – Update International Patent Law WIPO speaker: Mr. Reischle-Park	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de



<b>PCT Webinars</b>			
(http://www.wipo.int/pct/en/seminar/webinars/index.html) (http://www.wipo.int/patentscope/en/webinar/)			
<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>16 August 2016</b> 17:30–18:30 CET or <b>18 August 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: IPC and PATENTSCOPE WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/8215068571987061252">https://attendee.gotowebinar.com/rt/8215068571987061252</a>
<b>27 September 2016</b> 17:30–18:30 CET or <b>29 September 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Chemical Structure Search WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/6570654375885586692">https://attendee.gotowebinar.com/rt/6570654375885586692</a>
<b>25 October 2016</b> 17:30–18:30 CET or <b>27 October 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Options & help menus in details WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/3847993105651763204">https://attendee.gotowebinar.com/rt/3847993105651763204</a>
<b>22 November 2016</b> 17:30–18:30 CET or <b>24 November 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Complex queries WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/2191351239500238084">https://attendee.gotowebinar.com/rt/2191351239500238084</a>
<b>6 December 2016</b> 17:30–18:30 CET or <b>8 December 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Retrospective of 2016 and plans for 2017 WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/5339380573171764740">https://attendee.gotowebinar.com/rt/5339380573171764740</a>

## PCT Fee Tables (amounts on 1 August 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

**Key to abbreviations used in fee tables:**

eq	equivalent of –	BRL	Brazilian real	HUF	Hungarian forint	MXN	Mexican peso	TJS	Tajik somoni
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TND	Tunisian dinar
ISA	International Searching Authority	CAD	Canadian dollar	ILS	New Israeli sheqel	NOK	Norwegian krone	TTD	Trinidad and Tobago dollar
RO	receiving Office	CHF	Swiss franc	INR	Indian rupee	NZD	New Zealand dollar	UAH	Ukrainian hryvnia
		CLP	Chilean peso	IRR	Iranian rial	OMR	Omani rial	USD	US dollar
		CNY	Yuan renminbi	ISK	Icelandic krona	PEN	Nuevo sol	VND	Vietnamese dong
		COP	Colombian peso	JPY	Japanese yen	PGK	Kina	XAF	CFA franc BEAC
		CUC	Cuban convertible peso	KES	Kenyan shilling	PHP	Philippine peso	ZAR	South African rand
		CZK	Czech koruna	KGS	Kyrgyz som	PLN	Polish zloty	ZWD	Zimbabwe dollar
ALL	Albanian lek	DKK	Danish krone	KPW	Won	QAR	Qatari riyal		
AMD	Armenian dram	DZD	Algerian dinar	KRW	Won	RON	New leu		
AUD	Australian dollar	EGP	Egyptian pound	KZT	Kazakh tenge	RSD	Serbian dinar		
AZN	Azerbaijani manat	EUR	Euro	LSL	Lesotho loti	RUB	Russian rouble		
BAM	Convertible mark	GBP	Pound sterling	LYD	Libyan dinar	SDG	Sudanese pound		
BGN	Bulgarian lev	GHS	Ghanaian cedi	MAD	Moroccan dirham	SEK	Swedish krona		
BHD	Bahraini dinar	GTQ	Quetzal	MKD	Macedonian denar	SGD	Singapore dollar		
BND	Brunei dollar	HRK	Croatian kuna	MWK	Malawian kwacha	THB	Baht		

**Table I(a) — Transmittal and international filing fees**

(amounts on 1 August 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1, 2</sup> (CHF 1,330)	Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
					Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available							CA
AL	ALL	9,000	CHF 1,330	15	–	–	–	EP
AM	AMD	32,000	USD 1,363	15	–	–	–	EP RU
AP	USD	50 (or eq in local currency)	USD 1,363	15	–	–	–	AT EP SE
AT	EUR	52	EUR 1,219	14	–	183	275	EP
AU	AUD	200	AUD 1,811	20	–	272	408	AU KR
AZ	AZN	35.40	USD 1,363	15	–	205	307	EP RU
BA	BAM	50	EUR 1,219	14	–	–	–	EP
BE	EUR	120	EUR 1,219	14	–	–	–	EP
BG	BGN	80	BGN eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP RU
BH	BHD	70	USD 1,363	15	–	–	–	AT EP US
BN	BND	150	BND eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU JP EP
BR	BRL <sup>8</sup>	online: 175 on paper: 260	BRL eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR EP SE US
BW	USD	32	USD 1,363	15	–	–	–	EP
BY	USD	50	USD 1,363	15	–	–	–	EP RU
BZ	BZD	300	USD 1,363	15	–	–	–	CA EP
CA	CAD	300	CAD 1,782	20	–	268	402	CA
CH	CHF	100	CHF 1,330	15	–	–	–	EP
CL	CLP eq USD <sup>9</sup>	130	CLP eq USD <sup>9</sup> 1,363	eq USD <sup>9</sup> 15	–	eq USD 205	eq USD 307	CL EP ES KR US
CN	CNY	500	CNY eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 August 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
CO	COP 952,000 <sup>10</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR CL EP ES RU
CR	USD 250	USD 1,363	15	–	–	–	CL EP ES
CU	CUC 200	CUC 1,363	15	–	205	307	AT BR CL EP ES RU
CY	EUR 191	EUR 1,219	14	–	–	–	EP
CZ	CZK 1,500	EUR 1,219	14	–	183	275	EP XV
DE	EUR 90	EUR 1,219	14	–	183	275	EP
DK	DKK 1,500	DKK 9,090	100	–	1,370	2,050	EP SE XN
DM	Information not yet available						
DO	USD 275	USD 1,363	15	–	–	–	CL EP ES US
DZ	DZD None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	AT EP
EA	RUB 1,600	USD 1,363	15	–	205	307	EP RU
EC	USD <sup>11</sup> –	USD 1,363	15	–	–	–	CL EP ES
EE	EUR 120	EUR 1,219	14	–	183	275	EP
EG	USD 142	USD 1,363	15	–	–	–	AT EG EP US
EP	EUR 130	EUR 1,219	14	92	183	275	EP
ES	EUR 74.99	EUR 1,219	14	–	183	275	EP ES
FI	EUR 135	EUR 1,219	14	–	183	275	EP FI SE
FR	EUR 62	EUR 1,219	14	–	183	275	EP
GB	GBP 75	GBP 980	11	–	147	221	EP
GD	Information not yet available						
GE	USD <sup>12</sup> 100	USD 1,363	15	–	–	–	AT EP IL RU US
GH	GHS <sup>13</sup> 2,500 or 5,000	USD 1,363	15	–	–	–	AT AU CN EP SE
GR	EUR 115	EUR 1,219	14	–	–	–	EP
GT	GTQ eq USD 250	USD 1,363	15	–	–	–	AT BR CL EP ES US
HN	USD 200	USD 1,363	15	–	–	–	EP ES
HR	HRK 200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
HU	HUF 11,800	HUF 380,800	HUF 4,300	–	57,300	85,900	EP XV
IB	CHF <sup>14</sup> 100 or EUR <sup>14</sup> 92 or USD <sup>14</sup> 102	CHF 1,330 or EUR 1,219 or USD 1,363	15 14 15	– – –	200 183 205	300 275 307	See footnote 15
ID	IDR 1,000,000	IDR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR RU SG
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363	15	–	205	307	EP IL US
IN	INR 17,600 (filing by natural person: 3,250; filing by small entity: 8,800)	USD 1,363	15	–	205	307	AT AU CN EP IN SE US
IR	IRR <sup>11</sup> –	IRR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN EP IN RU
IS	ISK 15,000	ISK 172,800	1,900	–	26,000	39,000	EP SE XN
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>16</sup>	JPY 151,300 (from 1.10.16:143,700)	1,700 (1,600)	– (–)	– (–)	34,100 (32,400)	EP JP SG
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363	15	–	–	–	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363	15	–	–	–	EP RU
KN	Information not yet available						
KN	EP						
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>17</sup> KR
KZ	KZT 10,264.80	USD 1,363	15	–	–	–	EP RU
LR	USD 45	USD 1,363	15	–	–	–	AT AU CN EP SE
LS	LSL <sup>11</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	EUR 92	EUR 1,219	14	–	183	275	EP RU

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 August 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>		E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
							Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
LU	EUR	19	EUR	1,219		14	–	–	–	EP
LV	EUR	70	EUR	1,219		14	–	183	275	EP RU
LY	LYD <sup>11</sup>	–	CHF	1,330		15	–	–	–	AT EP
MA	MAD	600 <sup>18</sup>	CHF	1,330		15	–	–	–	AT EP RU SE
MC	EUR	54 <sup>19</sup>	EUR	1,219		14	–	–	–	EP
MD	EUR	100	USD	1,363		15	–	–	–	EP RU
ME	EUR <sup>11</sup>	–	EUR	1,219		14	–	–	–	EP
MK	MKD	2,700	MKD eq CHF	1,330	eq CHF	15	–	–	–	EP
MN		None	CHF	1,330		15	–	–	–	EP KR RU
MT	EUR	55	EUR	1,219		14	–	–	–	EP
MW	MWK	6,000	USD	1,363		15	–	–	–	EP
MX	USD	323.70 <sup>20</sup>	USD	1,363		15	–	205	307	CL EP ES KR SE SG US
MY	MYR	500 (e-filing) <sup>21</sup> 550 (paper filing) <sup>21</sup>	MYR eq CHF	1,330	eq CHF	15	–	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD	200	USD	1,363		15	–	–	–	EP ES
NL	EUR	50	EUR	1,219		14	–	183	275	EP
NO	NOK	800	NOK	11,460		130	–	1,720	2,590	EP SE XN
NZ	NZD	207	NZD	2,094 (from 1.10.16: 1,945)		24 (22)	– (–)	315 (292)	472 (439)	AU EP KR US
OA	XAF <sup>11</sup>	–	XAF eq CHF	1,330	eq CHF	15	–	–	–	AT EP RU SE
OM	OMR	40	OMR eq USD	1,363	eq USD	15	–	eq USD 205	eq USD 307	ATAU EP US
PA	USD	200	USD	1,363		15	–	–	–	BR CL EP ES US
PE	PEN	233.35	PEN eq USD	1,363	eq USD	15	–	–	–	ATBR CLEPESKRUS
PG	PGK	250	USD	1,363		15	–	–	–	AU
PH	PHP	3,500	USD	1,363		15	–	205	307	AU EP JP KR US
PL	PLN	300	PLN eq CHF	1,330	eq CHF	15	–	eq CHF 200	eq CHF 300	EP XV
PT	EUR	10.46 (online filing) 20.92 (paper filing)	EUR	1,219		14	–	183	275	EP
QA	QAR	400	QAR eq USD	1,363	eq USD	15	–	eq USD 205	eq USD 307	EG EP US
RO	RON	441	EUR	1,219		14	–	183	275	EP RU
RS	RSD	7,300 <sup>22</sup>	RSD eq CHF	1,330	eq CHF	15	–	–	–	EP
RU	RUB	850	USD	1,363		15	–	205	307	EP RU
RW	Information not yet available									
SA	USD	100	USD	1,363		15	–	205	307	CA EG EP KR RU
SC	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP
SD	SDG	50	SDG eq CHF	1,330	eq CHF	15	–	–	–	EG EP
SE	SEK	1,200	SEK	11,380		130	–	1,710	2,570	EP SE XN
SG	SGD	150	SGD	1,934		22	–	291	436	AT AU EP JP KR SG
SI	EUR	91	EUR	1,219		14	–	–	–	EP
SK	EUR	66 <sup>23</sup>	EUR	1,219		14	–	183	275	EP XV
SM	EUR	70	EUR	1,219		14	–	–	–	EP
SV	USD	200	USD	1,363		15	–	–	–	CL EP ES
SY	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	AT EG EP RU
TH	THB	3,000	THB eq CHF	1,330	eq CHF	15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
TM	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
TN	TND <sup>11</sup>	–	CHF	1,330		15	–	–	–	EP
TR		None	CHF	1,330		15	–	eq CHF 200	eq CHF 300	EP
TT	TTD	750	USD	1,363		15	–	–	–	AT EP SE US
UA	UAH or eq EUR or USD <sup>24</sup>	1,300	USD (or eq UAH or EUR)	1,363		15	–	–	–	EP RU UA
US	USD	240 <sup>25</sup> small entity <sup>26</sup> 120 <sup>25</sup> micro entity <sup>26</sup> 60 <sup>25</sup>	USD	1,363		15	102	205	–	AU EP IL JP KR SG RU US
UZ	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
VN	VND eq USD	150	VND eq CHF	1,330	eq CHF	15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR	500	ZAR	20,680		230	–	3,110	4,670	AT AU EP US
ZM	USD	50	USD	1,363		15	–	–	–	AT SE
ZW	ZWD	6,000	ZWD eq USD	1,363	eq USD	15	–	–	–	AT AU CN EP RU

**Table I(b) — Search fees**

(amounts on 1 August 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>									
AT <sup>27</sup>	EUR 1,864 * (from 1.10.16: SGD 2,780)	CHF 2,034	KRW 2,419,000	SGD* 2,960	USD 2,084	ZAR 31,450				
AU	AUD 2,200 USD 1,674	CHF 1,616 ZAR 23,900	EUR 1,481	KRW 1,963,000	NZD 2,397	SGD 2,210				
BR <sup>28</sup>	Online: * (from 1.9.16: CHF 502) ** (from 1.10.16: USD 518) On paper: * (from 1.9.16: CHF 752) ** (from 1.10.16: USD 776)	BRL 1,685 CHF 502 USD 518	CHF* 449 EUR 464 )	EUR* 411	USD** 468					
CA	CAD 1,600	CHF 1,194	EUR 1,094	USD 1,261						
CL	USD 2,000 In case of filing by an natural person or a legal entity <sup>2</sup> : In case of filing by a university <sup>29</sup> :	CHF 1,952	EUR 1,789	USD 400 USD 300	CHF 390 CHF 293	EUR 358 EUR 268				
CN	CNY 2,100	CHF 323	EUR 296	USD 330						
EG <sup>30</sup>	EGP 4,000	CHF 430	EUR 395	USD 451						
EP <sup>31</sup>	EUR 1,875 JPY* 233,900 ZAR 31,640 * (from 1.10.16: JPY 213,000)	CHF 2,046 NOK 17,640	DKK 13,990 NZD* 3,222	GBP 1,503 SEK 17,500	HUF 585,800 SGD* 2,980	ISK 266,000 USD 2,097				
ES <sup>31,32</sup>	EUR 1,875	CHF 2,046	USD 2,097							
FI	EUR 1,875	CHF 2,046	USD 2,097							
IL	ILS 3,529	CHF 905	EUR 837	USD 912						
IN	INR 10,000 In case of filing by an individual:	CHF 150	EUR 137 INR 2,500	USD 154 CHF 37	EUR 34	USD 38				
JP <sup>16</sup>	For international applications in Japanese: JPY 70,000 * (from 1.10.16: CHF 648) For international applications in English: JPY 156,000 * (from 1.10.16: CHF 1,444)	CHF* 615 CHF 648	EUR* 561 EUR 616	KRW* 725,000 KRW 810,000	USD* 618 USD 686					
KR	For international applications in Korean: KRW 450,000 USD 388 For international applications in English: KRW 1,300,000 USD 1,120	AUD 504	CHF 379	EUR 347	NZD 559	SGD 520				
RU	For international applications in Russian: RUB 6,750 For international applications in English: RUB 28,000	CHF 99 CHF 409	EUR 92 EUR 381	USD 101 USD 420						
SE	SEK 17,500 USD 2,097	CHF 2,046	DKK 13,990	EUR 1,875	ISK 266,000	NOK 17,640				
SG	SGD 2,240 * (from 1.10.16: CHF 1,621)	CHF* 1,540 CHF 1,621	EUR* 1,411 EUR 1,501	JPY* 186,300 JPY 167,500)	USD 1,578					
UA	EUR 300	CHF 325	USD 328							
US	USD 2,080 * (from 1.10.16: NZD 2,980) For small entity <sup>26</sup> : * (from 1.10.16: NZD 1,490) For micro entity <sup>26</sup> : * (from 1.10.16: NZD 740)	CHF 2,030 NZD 2,980 USD 1,040 NZD 1,490 USD 520 NZD 740)	EUR 1,860	NZD* 3,200	ZAR 31,430					
XN	DKK 13,990 USD 2,097	CHF 2,046	EUR 1,875	ISK 266,000	NOK 17,640	SEK 17,500				
XV	EUR 1,875	CHF 2,046	HUF 585,800	USD 2,097						

**Table I(c) — Supplementary search fees**

(amounts on 1 August 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 33</sup>
AT	– for a search of the German-language documentation: CHF 928	CHF 200
	– for a search of the European and North American documentation: CHF 1,299	
	– for a search of the PCT minimum documentation: CHF 1,855	
EP	CHF 2,046	CHF 200
FI	CHF 2,046	CHF 200
RU	CHF 172 (276) <sup>34</sup>	CHF 200
SE	CHF 2,046	CHF 200
SG	CHF 1,540 (from 1.10.16: CHF 1,621)	CHF 200
UA	– for a search of only the PCT minimum documentation: CHF 108	CHF 200
	– for a search of only the Russian language documentation of the former USSR and the Ukrainian language documentation: CHF 163	
	– for a search of only the European and North American documentation: CHF 217	
XN	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 590	
XV	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Czech, Hungarian, Polish and Slovak: CHF 600	

**Table II — Preliminary examination fees**

(amounts on 1 August 2016, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>35</sup>	Handling fee <sup>2, 35</sup> (CHF 200)
AT <sup>27</sup>	EUR 1,749	EUR 183
AU	AUD 590 820 <sup>36</sup>	AUD 272
BR <sup>28</sup>	Online: BRL 630	BRL eq CHF 200
	On paper: BRL 945	
CA	CAD 800	CAD 268
CL	USD 1,500	USD 205
	in case of filing by a natural person or a legal entity <sup>2</sup> : USD 400	
	in case of filing by a university <sup>29</sup> : USD 300	
CN	CNY 1,500	CNY eq CHF 200
EG <sup>30</sup>	EGP 3,000	USD 205
EP <sup>31</sup>	EUR 1,930	EUR 183
ES	EUR 589.49 <sup>32</sup>	EUR 183
FI	EUR 600	EUR 183
IL	ILS 1,512	ILS 794
IN	INR 12,000; in case of filing by an individual: INR 3,000	USD 205
JP	For international applications in Japanese: JPY 26,000 <sup>16</sup>	JPY 22,800 (from 1.10.16: 21,600)
	For international applications in English: JPY 58,000	
KR	KRW 450,000	KRW 238,000
RU	For international applications in Russian: RUB 2,700 <sup>37</sup> 4,050 <sup>38</sup>	USD 205
	For international applications in English: RUB 10,500 <sup>37</sup> 15,750 <sup>38</sup>	
SE	SEK 5,000	SEK 1,710
SG	SGD 830	SGD 291
UA	– if the international search report has been prepared by this ISA: EUR 160	EUR 183
	– if the international search report has been prepared by another ISA: EUR 180	
US	USD 600 760 <sup>39</sup>	USD 205
	For small entity <sup>26</sup> : USD 300 380 <sup>39</sup>	
	For micro entity <sup>26</sup> : USD 150 190 <sup>39</sup>	
XN	DKK 5,000	DKK 1,370
XV	EUR 1,930	EUR 183

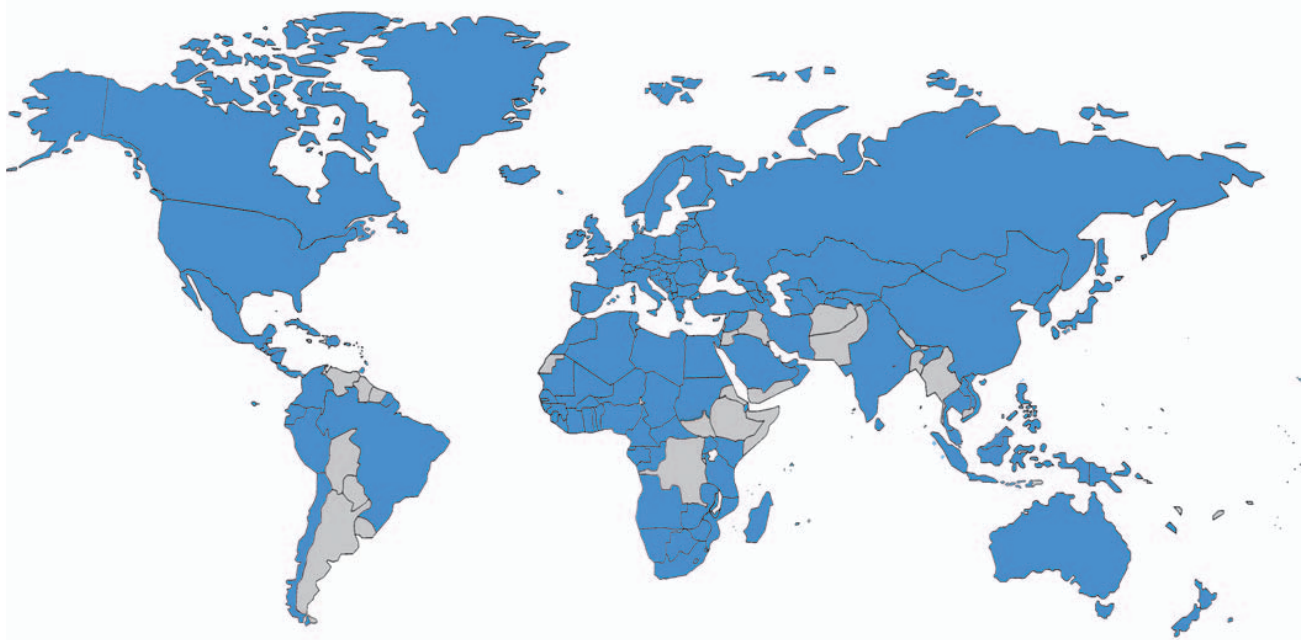
[See over page for footnotes to fee tables]



*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

## PCT Contracting States and Two-letter Codes (150 on 1 August 2016)



AE United Arab Emirates	CJ Cuba	IR Iran (Islamic Republic of)	MK The former Yugoslav Republic of Macedonia (EP)	SE Sweden (EP)
AG Antigua and Barbuda	CY Cyprus (EP) <sup>2</sup>	IS Iceland (EP)	ML Mali (OA) <sup>2</sup>	SG Singapore
AL Albania (EP)	CZ Czech Republic (EP)	IT Italy (EP) <sup>2</sup>	MN Mongolia	SI Slovenia (EP) <sup>2</sup>
AM Armenia (EA)	DE Germany (EP)	JP Japan	MR Mauritania (OA) <sup>2</sup>	SK Slovakia (EP)
AO Angola	<b>DJ <i>Djibouti</i></b> (from 23 September 2016)	KE Kenya (AP)	MT Malta (EP) <sup>2</sup>	SL Sierra Leone (AP)
AT Austria (EP)	DK Denmark (EP)	KG Kyrgyzstan (EA)	MW Malawi (AP)	SM San Marino (EP)
AU Australia	DM Dominica	KM Comoros (OA) <sup>2</sup>	MX Mexico	SN Senegal (OA) <sup>2</sup>
AZ Azerbaijan (EA)	DO Dominican Republic	KN Saint Kitts and Nevis	MY Malaysia	ST Sao Tome and Principe (AP) <sup>5</sup>
BA Bosnia and Herzegovina <sup>1</sup>	DZ Algeria	KP Democratic People's Republic of Korea	MZ Mozambique (AP)	SV El Salvador
BB Barbados	EC Ecuador	KR Republic of Korea	NA Namibia (AP)	SY Syrian Arab Republic
BE Belgium (EP) <sup>2</sup>	EE Estonia (EP)	KS South Korea	NE Niger (OA) <sup>2</sup>	SZ Swaziland (AP) <sup>2</sup>
BF Burkina Faso (OA) <sup>2</sup>	EG Egypt	KW <b><i>Kuwait</i></b> (from 9 September 2016)	NG Nigeria	TD Chad (OA) <sup>2</sup>
BG Bulgaria (EP)	ES Spain (EP)	KZ Kazakhstan (EA)	NI Nicaragua	TG Togo (OA) <sup>2</sup>
BH Bahrain	FI Finland (EP)	LA Lao People's Democratic Republic	NL Netherlands (EP) <sup>2</sup>	TH Thailand
BJ Benin (OA) <sup>2</sup>	FR France (EP) <sup>2</sup>	LC Saint Lucia	NO Norway (EP)	TJ Tajikistan (EA)
BN Brunei Darussalam	GA Gabon (OA) <sup>2</sup>	LI Liechtenstein (EP)	NZ New Zealand	TM Turkmenistan (EA)
BR Brazil	GB United Kingdom (EP)	LK Sri Lanka	OM Oman	TN Tunisia
BW Botswana (AP)	GD Grenada	LR Liberia (AP)	PA Panama	TR Turkey (EP)
BY Belarus (EA)	GE Georgia	LS Lesotho (AP)	PE Peru	TT Trinidad and Tobago
BZ Belize	GH Ghana (AP)	LT Lithuania (EP) <sup>2</sup>	PG Papua New Guinea	TZ United Republic of Tanzania (AP)
CA Canada	GM Gambia (AP)	LU Luxembourg (EP)	PH Philippines	UA Ukraine
CF Central African Republic (OA) <sup>2</sup>	GN Guinea (OA) <sup>2</sup>	LV Latvia (EP) <sup>2</sup>	PL Poland (EP)	UG Uganda (AP)
CG Congo (OA) <sup>2</sup>	GQ Equatorial Guinea (OA) <sup>2</sup>	LY Libya	PT Portugal (EP)	US United States of America
CH Switzerland (EP)	GR Greece (EP) <sup>2</sup>	MA Morocco <sup>3</sup>	QA Qatar	UZ Uzbekistan
CI Côte d'Ivoire (OA) <sup>2</sup>	GT Guatemala	MC Monaco (EP) <sup>2</sup>	RO Romania (EP)	VC Saint Vincent and the Grenadines
CL Chile	GW Guinea-Bissau (OA) <sup>2</sup>	MD Republic of Moldova <sup>4</sup>	RS Serbia (EP)	VN Viet Nam
CM Cameroon (OA) <sup>2</sup>	HN Honduras	ME Montenegro <sup>1</sup>	RU Russian Federation (EA)	ZA South Africa
CN China	HR Croatia (EP)	MG Madagascar	SA Saudi Arabia	ZM Zambia (AP)
CO Colombia	HU Hungary (AP)		SC Seychelles	ZW Zimbabwe (AP)
CR Costa Rica	ID Indonesia		SD Sudan (AP)	
	IE Ireland (EP) <sup>2</sup>			
	IL Israel			
	IN India			

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

3 Validation of European patent possible for international applications filed on or after 1 March 2015.

4 Validation of European patent possible for international applications filed on or after 1 November 2015.

5 Only international applications filed on or after 19 August 2014 will include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:** This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015 and 1 July 2016, respectively). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.

# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

September 2016 | No. 09/2016

## New PCT Contracting State

### Cambodia (country code: KH)

On 8 September 2016, Cambodia deposited its instrument of accession to the PCT, thus becoming the 151<sup>st</sup> Contracting State of the PCT, and on 8 December 2016, will become bound by the PCT. Consequently, any international application filed on or after 8 December 2016 will automatically include the designation of Cambodia. Also, because Cambodia will be bound by Chapter II of the PCT, it will automatically be elected in any demand filed in respect of an international application filed on or after 8 December 2016.

Furthermore, nationals and residents of Cambodia will be entitled, as from 8 December 2016, to file international applications under the PCT.

Cambodia has made a declaration that, pursuant to PCT Article 64(5), it does not consider itself bound by PCT Article 59.

## Payment of Fees to the International Bureau: Checks will no Longer be Accepted

Following the introduction of restrictions with regard to the processing of checks by the International Bureau's (IB) banking partners, the IB will no longer accept payment by check with effect from 1 January 2017. Any check received on or after this date will be systematically returned to the issuer.

This concerns, in particular, the payment of the fees which are indicated in the *PCT Applicant's Guide*, Annexes B2 (IB) and C (IB). This includes the fees payable to it in its capacity as receiving Office (such as the transmittal fee, the search fee and the international filing fee), the fees payable for supplementary international search, and a number of other fees which are payable to it in particular circumstances (such as the fee for early publication under PCT Rule 48.4(a) and the fee for a certified copy of a published international application).

For information on the other ways in which fees can be paid to the IB, see "Modes of payment" on the PCT fees page at:

<http://www.wipo.int/pct/en/fees/index.html>

## PCT Information Update

### AU Australia (fees)

As from 10 October 2016, the amount of the fee for the priority document, payable to the Australian Patent Office as receiving Office, will change to AUD 50.

(Updating of *PCT Applicant's Guide*, Annex C (AU))

## **BW Botswana (location; fees)**

The location of the Companies and Intellectual Property Authority (CIPA) (Botswana) has changed, as follows:

Location: Plot 181  
Kgale Mews  
Gaborone  
Botswana

The amount of the following national fee payable to CIPA as designated (or elected) Office has changed (other fees not indicated have not changed):

For utility model certificate:

Annual fee for the first year: .....BWP 50<sup>1</sup> 100<sup>2</sup>

(Updating of *PCT Applicant's Guide*, Annex B1 (BW) and National Chapter, Summary (BW))

## **CZ Czech Republic (number of copies required; translation requirements)**

The Industrial Property Office (Czech Republic) has changed its requirement concerning the number of copies of the international application on paper to be filed with it as receiving Office – it now requires two copies instead of three.

Furthermore, the Office has notified the International Bureau (IB) that, if the language in which the international application is filed is not a language of publication and no translation is required for the purposes of international search (PCT Rule 12.3(a)), the applicant will have to furnish a translation of the application into English (PCT Rule 12.4(a)).

(Updating of *PCT Applicant's Guide*, Annex C (CZ))

## **GB United Kingdom (fees)**

As from 1 November 2016, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in GBP to the Intellectual Property Office<sup>3</sup> (United Kingdom) as receiving Office, as well as a change in the equivalent amounts in GBP of the applicable fee reductions listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of *PCT Applicant's Guide*, Annex C (GB))

## **IS Iceland (fees)**

As from 1 November 2016, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in ISK to the Icelandic Patent Office as receiving Office, as well as a change in the equivalent amounts in ISK of the applicable fee reductions listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of *PCT Applicant's Guide*, Annex C (IS))

<sup>1</sup> Applicable in the case of filings by individuals and small entities whose number of employees does not exceed 100 persons.

<sup>2</sup> Applicable in the case of filings by companies other than small entities.

<sup>3</sup> Intellectual Property Office is an operating name of the Patent Office.



### **KW Kuwait (general information; summary of requirements for entry into the national phase; competent International Searching and Preliminary Examining Authorities)**

It is recalled that Kuwait became bound by the PCT on 9 September 2016. General information about Kuwait as a PCT Contracting State, as well as information on the requirements of the Ministry of Commerce and Industry, Trademarks and Patent Department (Kuwait) as designated (or elected) Office, have been published in the *PCT Applicant's Guide* – see Annex B1 (KW) and the National Chapter, Summary (KW) at:

<http://www.wipo.int/pct/guide/en/>

The Ministry of Commerce and Industry, Trademarks and Patent Department (Kuwait) has delegated its receiving Office functions to the International Bureau, and has specified the Egyptian Patent Office and the European Patent Office as competent International Searching and Preliminary Examining Authorities, for international applications filed by nationals and residents of Kuwait with the International Bureau as receiving Office. (Note that the European Patent Office is a competent International Preliminary Examining Authority only if the international search is being or has been carried out by that Office.)

(Updating of *PCT Applicant's Guide*, Annex C (IB))

### **NO Norway (furnishing of copies of international applications)**

The Norwegian Industrial Property Office has changed its requirement regarding whether a copy of the international application is to be furnished to it as designated (or elected) Office. The applicant should now only send a copy of the international application if he/she has not received Form PCT/IB/308 and the Office has not received a copy of the international application from the IB under PCT Article 20. This may be the case where the applicant expressly requests an earlier start of the national phase under PCT Article 23(2).

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (NO))

### **QA Qatar (location and mailing address; e-mail)**

The location and mailing address of the Intellectual Property Department (Qatar) have changed, and the Office has notified an additional e-mail address, as follows:

Location:	Ministry of Economy and Commerce Lussail City P.O. Box 1968 Doha Qatar
E-mail:	aalsada@mec.gov.qa kjalhitmi@mec.gov.qa

(Updating of *PCT Applicant's Guide*, Annex B1 (QA))

### **SG Singapore (fees; language accepted for international search and preliminary examination)**

As from 1 October 2016, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in SGD to the Intellectual Property Office of Singapore (IPOS) as receiving Office, as well as a change in the equivalent amounts in SGD of

the applicable fee reductions listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

IPOS, in its capacity as International Searching and Preliminary Examining Authority, has notified the IB that, for international applications filed with the IB as receiving Office, it will, for the purposes of international search and preliminary examination, respectively, accept, with effect from 1 October 2016, international applications filed in Chinese, in addition to those filed in English. Note, however, that English will remain the only language in which the international application may be filed with IPOS as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C, D and E (SG))

### **SK Slovakia (translation requirements)**

The Industrial Property Office (Slovakia) has notified the IB that, if the language in which the international application is filed is not a language of publication and no translation is required for the purposes of international search (PCT Rule 12.3(a)), the applicant will have to furnish a translation of the application into English (PCT Rule 12.4(a)).

(Updating of *PCT Applicant's Guide*, Annex C (SK))

### **ZA South Africa (fees)**

As from 1 November 2016, there will be a change in the equivalent amounts of the international filing fee and the fee per sheet over 30, payable in ZAR to the Companies and Intellectual Property Commission (CIPC) (South Africa) as receiving Office, as well as a change in the equivalent amounts in ZAR of the applicable fee reductions listed in item 4 of the Schedule of Fees, as indicated in Fee Table I(a).

(Updating of *PCT Applicant's Guide*, Annex C (ZA))

### **Search fee (Austrian Patent Office, European Patent Office, Japan Patent Office, Nordic Patent Institute, Swedish Patent and Trademark Office, United States Patent and Trademark Office)**

As from 1 November 2016, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Austrian Patent Office.....	ZAR
European Patent Office .....	GBP, ISK, ZAR
Japan Patent Office .....	KRW
Nordic Patent Institute .....	ISK
Swedish Patent and Registration Office .....	ISK
United States Patent and Trademark Office .....	ZAR

The above-mentioned changes are indicated in Fee Table I(b).

(Updating of *PCT Applicant's Guide*, Annexes D (AT, EP, JP, SE, US and XN))



## Handling fee (Intellectual Property Office of Singapore)

As from 1 October 2016, there will be a change in the equivalent amount of the handling fee, payable in SGD to the Intellectual Property Office of Singapore as International Preliminary Examining Authority, as indicated in Fee Table II.

(Updating of *PCT Applicant's Guide*, Annex E (SG))

## New/updated PCT Resources

### *PCT Applicant's Guide*

The English version of the "Introduction to the International Phase" part of the *PCT Applicant's Guide*, which contains detailed information on the international phase of the PCT, and which has been updated to take into account the 1 July 2016 amendments to the PCT Regulations, as well as other updates, is now available at:

<http://www.wipo.int/pct/en/appguide/>

The French version will be available shortly at:

<http://www.wipo.int/pct/fr/appguide/>

### Meeting documents

#### *PCT Assembly*

Documents which have been prepared for the forty-eighth (28th extraordinary) session of the International Patent Cooperation Union (PCT Union) (PCT Assembly), to be held in Geneva during the period from 3 to 11 October 2016, are available at:

[http://www.wipo.int/meetings/en/details.jsp?meeting\\_id=39951](http://www.wipo.int/meetings/en/details.jsp?meeting_id=39951)

#### *PCT Committee for Technical Cooperation*

The report of the twenty-ninth session of the PCT Committee for Technical Cooperation, which was held in Geneva from 17 to 20 May 2016, is now available on the WIPO website, along with other documents for the session, at:

[http://www.wipo.int/meetings/en/details.jsp?meeting\\_id=39465](http://www.wipo.int/meetings/en/details.jsp?meeting_id=39465)

### Learn the PCT Video Series: How to File your International Application

The National Institute of Industrial Property (INPI) (Brazil) has kindly prepared a Portuguese-subtitled version of the *Learn the PCT* video series: "How to File your International Application". It is recalled that this is a series of 29 short videos (approximately 15 minutes each) presented by Matthias Reischle-Park, Deputy Director, PCT Legal Division, WIPO, which provides a basic introduction to important aspects and issues in the international phase and national phase of PCT processing.

The following page contains a link to the subtitled videos on the INPI website:

<http://www.wipo.int/pct/pt/training/index.html>

The original videos (without subtitles) are available at:

<http://www.wipo.int/pct/en/training/index.html>

Other Offices may wish to likewise prepare subtitled versions of the videos in other languages.

### **PCT Circulars in Spanish**

PCT Circulars are now issued in Spanish, in addition to English and French. Spanish versions of the collection of Circulars issued in 2016 are available at:

<http://www.wipo.int/pct/es/circulars/index.html>

### **Direct filing at the RO/IB**

Minor updates have been made to the information on direct filing at the International Bureau as receiving Office (RO/IB), in all 10 PCT publication languages, at:

<http://www.wipo.int/pct/en/filing/filing.html>

### **Amended PCT Regulations**

#### **Patent Cooperation Treaty and Regulations (paper version)**

The German, Italian and Portuguese versions of the Patent Cooperation Treaty (PCT) and Regulations under the PCT, as in force from 1 July 2016, are now available for purchase, in addition to the Arabic, Chinese, English, French, Russian and Spanish versions. Orders can be made online by clicking on the language required in the box on the right-hand side of the page at:

<http://www.wipo.int/publications/en/details.jsp?id=4055&plang=EN>

It is recalled that the Treaty and Regulations in Arabic, Chinese, English, French, German, Italian (appears in the right-hand margin), Japanese, Portuguese, Russian and Spanish, as in force from 1 July 2016, are also available on the PCT website, free of charge, at:

<http://www.wipo.int/pct/en/texts/index.html>

### **Budapest Treaty**

#### **General information about the Budapest Treaty**

The WIPO-administered Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure plays an important role in the field of biotechnological inventions. Where an invention involves a microorganism or other biological material (hereinafter referred to as “microorganism”), or the use of it, which is not available to the public, it may not be sufficient to provide only a written disclosure of it in the patent application – it is also necessary, in numerous countries, to deposit a sample of the microorganism with a specialized institution.

The Budapest Treaty facilitates this process by eliminating the need to deposit such a sample in each country in which patent protection is sought. It provides that a deposit with any International Depositary Authority (IDA) would be sufficient for the purposes of patent

procedures before national patent Offices of all Contracting States of the Treaty, as well as before any regional patent Office that recognizes the effects of the Treaty. In the case of PCT applications, information about the deposit would also need to be included in the application. The Treaty therefore offers applicants an efficient, streamlined and cost-effective means of meeting the disclosure requirements associated with patenting inventions involving microorganisms.

More information about the Budapest Treaty is available at:

<http://www.wipo.int/budapest>

### 2015 Statistics on the Budapest Treaty

On 31 August 2016, there were a total of 80 Contracting States of the Budapest Treaty and 45 IDAs. The Contracting State which most recently joined is Colombia, for which the Treaty entered into force on 26 July 2016.

The statistics relating to deposits and samples furnished under the Budapest Treaty in 2015, which are based on replies received from 43 IDAs, are now available at:

<http://www.wipo.int/ipstats/en/statistics/micros/>

Some of the highlights of the 2015 statistics are given below.

Overall deposits in 2015 (4,893) remained at a similar level compared to 2014 (4,952), and samples furnished totaled 2,673, representing an increase of 10% compared to 2014.

The number of deposits filed with the top eight IDAs in 2015 were as follows (the percentage change compared to 2014 is shown in parentheses):

1. China General Microbiological Culture Collection Center (CGMCC) (CN)	1,645	(+3%)
2. China Center for Type Culture Collection (CCTCC) (CN)	1,055	(+13%)
3. American Type Culture Collection (ATCC) (US)	653	(-33%)
4. Korean Collection for Type Cultures (KCTC) (KR)	231	(+14%)
5. Leibniz-Institut DSMZ – Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH (DSMZ) (DE)	217	(+29%)
6. National Collections of Industrial, Food and Marine Bacteria (NCIMB) (GB)	157	(+7%)
7. Agricultural Research Service Culture Collection (NRRL) (US)	155	(+53%)
8. Korean Culture Center of Microorganisms (KCCM) (KR)	145	(-2 %)

As far as top countries of deposit are concerned, deposits in China and the United States of America represented 71.7% (55.2% and 16.5%, respectively) of the deposits in 2015, and 55.65% (20.5% and 35.15%, respectively) of the overall total for the period between 1981 (the year in which the Budapest Treaty become operational) and the end of 2015.

## **WIPO Pearl Update: Language Interface and Database Improvements**

It is recalled that WIPO Pearl, WIPO's multilingual terminology portal, gives free access to a wealth of scientific and technical terms derived from PCT applications and national patent documents, as well as to key PCT legal terms, in all 10 publication languages of the PCT. It helps to promote accurate and consistent use of terms across those languages, and makes it easier to search and share scientific and technical knowledge.

The following improvements have recently been made to WIPO Pearl.

### **New interface languages**

The WIPO Pearl interface is now available in French, Japanese and Spanish, in addition to English. Users can select their language preference at the top right-hand side of the screen. Arabic, Chinese and Russian versions are under preparation.

### **Improved terminology database**

The terminology database has been updated with 5,000 new terms and 1,000 new concept relations. The database now contains over 120,000 patent terms and 17,000 patent concepts, all entered and validated by WIPO-PCT language experts (translators and terminologists). Nearly 12,000 concepts are linked to other concepts in the database, and these relationships can be browsed in the Concept Map Search.

### **New concepts/terms resulting from collaboration with a university**

The above-mentioned database update also includes 105 concepts and 440 terms resulting from collaboration with Masters students in terminology at the School of Applied Language and Intercultural Studies (SALIS), Dublin City University, Ireland. The students, working with Chinese, English, French, German, Japanese or Spanish, provided WIPO with bilingual terminology records in the field of organic electronics, which have been incorporated following validation at WIPO.

Any universities interested in taking part in a terminology collaboration of this kind are invited to contact WIPO at the following e-mail address: [wipopearl@wipo.int](mailto:wipopearl@wipo.int)

WIPO Pearl is available at:

<http://www.wipo.int/reference/en/wipopearl/>

## **Practical Advice**

### **Differences between international search and supplementary international search**

*Q: I would like to minimize the likelihood of new prior art being cited in the national phase, and have seen that it is possible to request a supplementary international search on an international application. Please could you explain to me what the differences are between an international search and a supplementary international search?*

**A:** International search is automatically carried out on all international applications (except in the case where the International Searching Authority (ISA) declares that no international search report (ISR) will be established under PCT Article 17.2). Supplementary international search is an optional search which can be requested in addition to the international search.

The main international search covers the PCT minimum documentation as well as additional documentation which would be searched by the ISA in its role as a national (or regional) Office. Some Authorities specified for supplementary search (SISAs) offer a full search, covering their entire documentation as they would do if they were conducting the main international search, but some may offer different scopes of search, and may therefore charge different levels of supplementary search fees. For example, they might offer a limited search covering documents in a particular language or languages, or in a particular technical field, in addition to offering a full search. Requesting a supplementary international search could therefore expand both the linguistic and the technical scope of the search, which should further reduce the risk of new prior art being cited in the national phase.

Some of the other differences between the two types of search are described below.

#### *How to request a search; language of search request*

The applicant does not need to specifically request that an international search be carried out – the filing of the international application, in effect, constitutes a request to perform an international search. Supplementary international search is optional and must be specifically requested. This should preferably be done by completing Form PCT/IB/375 (Supplementary Search Request), which is available in editable PDF format on the PCT website at: <http://www.wipo.int/pct/en/forms/index.html>. The form can then be uploaded in ePCT via the Upload Documents feature.

Only one international search will be carried out by the ISA. However, in the case of supplementary international search, if the applicant wishes to have separate supplementary international searches carried out by more than one SISA and is willing to pay the extra fees that this will entail, it is possible to request more than one supplementary international search on the same international application. A separate supplementary search request form would need to be submitted for each SISA.

Note that the supplementary search request should be submitted to the International Bureau (IB) in either English or French.

#### *Choice of searching authorities*

In the case of an international search, the Offices which are available for the applicant to choose as ISA are essentially determined by the receiving Office with which the international application in question is filed and, in most cases, it is a fairly short list (and in some cases there is only one competent ISA). When requesting supplementary international search, however, the applicant is free to choose any ISA which has notified the IB that it is prepared to carry out supplementary international searches, provided that it is not the ISA which is carrying out/has carried out the main international search (PCT Rule 45*bis*.9(b)). Following the recent addition of three more Offices (the Intellectual Property Office of Singapore, the State Intellectual Property Service of Ukraine and the Visegrad Patent Institute), there are currently nine Offices which act as SISAs (out of the 21 active ISAs), as follows:

- AT Austrian Patent Office
- EP European Patent Office
- FI Finnish Patent and Registration Office
- RU Russian Federal Service for Intellectual Property, Patents and Trademarks
- SE Swedish Patent and Registration Office

- SG Intellectual Property Office of Singapore
- UA State Intellectual Property Service of Ukraine
- XN Nordic Patent Institute
- XV Visegrad Patent Institute

Please note that certain SISAs may have specified limitations and conditions as to the subject matter for which supplementary international searches will be carried out beyond those limitations that have been made in respect of the main international search and, in addition, limitations as to the total number of supplementary international searches which will be carried out in a given period. For information on any limitations as to the scope of the supplementary international search, please see the *PCT Applicant's Guide*, Annex SISA, for the respective Offices.

#### *Time limit for requesting a search*

The request for international search is effectively made at the time of filing the international application. However, the applicant has until the expiration of 19 months from the priority date to submit the request for supplementary international search<sup>4</sup>, and so has time to carefully consider whether requesting supplementary international search would be the appropriate course of action for the application concerned. Due to the fact that being in possession of the results of the main international search may help the applicant to determine whether a supplementary international search would be useful, in many cases, where time permits, requests for supplementary international search are submitted only once the applicant has received the ISR.

#### *Fees payable for a search*

Each ISA and SISA sets its own fees. In some cases the fee payable for a supplementary international search carried out by a particular Office is the same as that which is payable for an international search carried out by it – for example, this is the case for the European Patent Office, the Finnish Patent and Registration Office and the Swedish Patent and Registration Office in their capacities as ISAs and SISAs. In the case of some Offices, however, different fees are payable. For example, the supplementary search fee may be lower where the search is being carried out only in certain languages, since less documentation will need to be searched. Note that the Russian Federal Service for Intellectual Property, Patents and Trademarks in its capacity as SISA offers a full search of the PCT minimum documentation at a higher rate than its usual search of documents from the Commonwealth of Independent States specifically in the case where a declaration has been made by the ISA that no international search would be established because the subject matter concerns methods for treatment of the human or animal body.

For details of the fees payable at each Office, please see PCT Fee Table I(b). In the case of the supplementary international search, a supplementary search handling fee (currently set at CHF 200) is also payable for the services provided by the IB.

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<sup>4</sup> The PCT Assembly, when it meets in October 2016, is going to consider extending that time limit to 22 months from the priority date. If adopted, the consequential amendment to PCT Rule 45bis.1(a) would enter into force in July 2017 and would apply to international applications in respect of which the time limit for filing a request for supplementary international search under PCT Rule 45bis.1(a) as in force until 30 June 2017 had not yet expired on 1 July 2017.



The fee payable for the international search is collected by the receiving Office, whereas the fees payable for the supplementary international search are both collected by the IB.

### *Unity of invention*

In the case of the main international search, if the ISA considers that unity of invention is lacking, it can ask the applicant to pay additional fees for the searching of multiple inventions (PCT Rule 40.1). However, in the case of the supplementary international search, this option does not exist – if the SISA finds that the international application does not comply with the requirement of unity of invention, it will not invite the applicant to pay additional fees, and will only search one invention, the first one mentioned in the claims (Rule 45*bis*.6(a)). The applicant can, however, when completing Box No. IV of the supplementary search request form, request the SISA to restrict the supplementary international search to one of the inventions which is not the main invention as identified by the ISA (as referred to in PCT Article 17(3)(a)) (see PCT Rule 45*bis*.1(d)).

In the situation where the ISA has found that the international application does not comply with the requirement of unity of invention, and the ISR is available to the SISA before it starts the supplementary international search, it may exclude from the search any claims which were not the subject of the main international search (PCT Rule 45*bis*.5(d)). The SISA is not obliged to agree with the ISA, however, and may make its own finding on unity of invention.

### *Time limits for the establishment of search reports*

The ISA should establish the ISR either three months from the date of receipt of the search copy by the ISA (usually within approximately 16 months from the priority date if priority is claimed) or nine months from the priority date, whichever time limit expires later (PCT Rule 42.1). As far as the supplementary international search report (SISR) is concerned, the SISA will begin the supplementary international search upon receipt of the supplementary search request and only once the ISR has been established. It will nevertheless start the search 22 months from the priority date, at the latest, if the ISR is late (PCT Rule 45*bis*.5(a)). The SISR is to be established by 28 months from the priority date (PCT Rule 45*bis*.7(a)).

### *Contents of the search reports*

The SISR is generally similar in contents and appearance to the main ISR. It does not, however, contain comments on the title of the invention or the abstract, nor does it contain the classification of the subject matter. Furthermore, it need not repeat relevant prior art documents which have already been cited in the ISR, unless this is necessary because of new relevance when read in conjunction with other documents discovered during the supplementary international search. Sometimes, given that no written opinion is established with the SISR (unlike the main international search), the SISR may contain more detailed explanations concerning citations of documents than those which appear in the main ISR, since such additional details are helpful for a full understanding of the prior art. Furthermore, it may contain additional comments on the scope of the supplementary search which has been conducted, which is of particular relevance when the supplementary search has been carried out without the benefit of the main ISR.

### *Publication of the search results*

The ISR is published as part of the international application, promptly after the expiration of 18 months from the priority date (PCT Article 21.2(a)). The SISR, however, will not be published *per se*, as part of the international publication. Nevertheless, it will be made available

to the public on PATENTSCOPE, as soon as it has been received by the IB, provided that the international application has already been published. Both the ISR and any SISRs will be communicated to the designated Offices when the international application enters the national phase.

For further details on international search and supplementary international search, please see the *PCT Applicant's Guide*, Introduction to the International Phase, Chapters 7 and 8, respectively; for details about the ISAs and SISAs, please refer to the *PCT Applicant's Guide*, Annexes D and SISA, respectively at:

<http://www.wipo.int/pct/en/appguide/>

For further information on supplementary international search, please also see the notes to the supplementary search request form and *PCT Newsletters* Nos. 12/2008, cover page, 04/2011, page 9 and 01/2012, page 10.

## PCT Seminar Calendar

(<http://www.wipo.int/pct/en/seminar/seminar.pdf>)

(situation on 1 September 2016)

Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
<b>16 September 2016</b> <b>Lisbon (PT)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: Mr. Sim	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. João Amaral) Tel: (351) 218 818 100 Fax: (351) 218 878 508 E-mail: <a href="mailto:jamaral@inpi.pt">jamaral@inpi.pt</a> Internet: <a href="http://www.inpi.pt">www.inpi.pt</a>
<b>19-20 September 2016</b> <b>Djibouti (DJ)</b>	French	National PCT Workshop: Global Vision for the Benefit of a New Contracting State WIPO speaker: Mr. Jazairy	Office de la Propriété Industrielle et Commerciale (ODPIC) (Djibouti) (Mr. Bandjir Omar Bandjir) Tel: (253-21) 35 60 11 Fax: (253-21) 35 60 92 E-mail: <a href="mailto:bandjiromar@gmail.com">bandjiromar@gmail.com</a>
<b>20 September 2016</b> <b>Vienna (AT)</b>	German/ English	PCT presentation within the framework of the Roving Seminar on WIPO Services and Initiatives WIPO speaker: Ms. Weiss Other WIPO speakers on other IP topics: Mr. Höpperger, Mr. Ruggerio, Mr. Riechel	WIPO in cooperation with the Austrian Patent Office (Mr. Robert Ullrich) E-mail: <a href="mailto:Robert.Ullrich@patentamt.at">Robert.Ullrich@patentamt.at</a> (Ms. Susanna Kernthaler) E-mail: <a href="mailto:Susanna.Kernthaler@patentamt.at">Susanna.Kernthaler@patentamt.at</a>
<b>21-22 September 2016</b> <b>Djibouti (DJ)</b>	French	Sub-Regional PCT Workshop, including Roundtables on PCT Collaboration WIPO speaker: Mr. Jazairy Other speaker: Expert from the Industrial and Commercial Property Office of Morocco (OMPIC)	Office de la Propriété Industrielle et Commerciale (ODPIC) (Djibouti) (see Djibouti, above)
<b>22 September 2016</b> <b>Samara (RU)</b>	English/ Russian	Seminar on the PCT WIPO speaker: Ms. Aeri	WIPO in cooperation with the Federal Service for Intellectual Property (Rospatent) (Russian Federation) and Samara Regional Universal Scientific Library (Ms. Tatiana Kobzar) Tel: (7-846) 263 44 59 E-mail: <a href="mailto:kobzar@libsmr.ru">kobzar@libsmr.ru</a>
<b>22 September 2016</b> <b>Philadelphia, PA (US)</b>	English	PCT Practice Lecture Speaker on behalf of WIPO: Mr. Oppedahl	Patent Resources Group, Inc. (Ms. Theresa Woods) Tel: (1-703) 682 48 60 Fax: (1-703) 892 45 10 E-mail: <a href="mailto:twoods@patentresources.com">twoods@patentresources.com</a> Internet: <a href="http://www.patentresources.com">http://www.patentresources.com</a>
<b>26-27 September 2016</b> <b>Geneva (CH)</b>	English	Advanced Seminar on the Patent Cooperation Treaty (PCT): procedural aspects of the international and national phases; recent and future PCT developments; filing and management of PCT applications in ePCT; PATENTSCOPE. Note that attendance is limited to 50 people. No registration fee. WIPO speakers: Mr. Bryan, Mr. Reischle-Park, Ms. Bonvallet, Mr. Fujita, Mr. Sim, Mr. Yu, Ms. Amman, Ms. Chatel, Mr. Henninger, Mr. Ben Ghachem Other speaker: Mr. Quarch (European Patent Office)	Organized by the World Intellectual Property Organization (WIPO) at its Geneva Headquarters Online registration and further information: <a href="https://www3.wipo.int/registration/en/form.jsp?meeting_id=40645">https://www3.wipo.int/registration/en/form.jsp?meeting_id=40645</a> Deadline for registrations: 16 September 2016 E-mail: <a href="mailto:pct.our@wipo.int">pct.our@wipo.int</a>

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<b>PCT Seminar Calendar [continued]</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>26 to 27 September 2016</b> <b>Ufa (RU)</b>	English/ Russian	Seminar on the PCT WIPO speaker: Ms. Aeri	WIPO in cooperation with the Federal Service for Intellectual Property (Rospatent) (Russian Federation) and Bakshir State University (Ms. Guzel Shangaraeva) Tel: (7-347) 229 96 63 E-mail: bsupatent@yandex.ru
<b>27-28 September 2016</b> <b>Constantine (DZ)</b>	French	National PCT Workshop and Advanced ePCT Training WIPO speaker: Mr. Jazairy	Institut National Algérien de la Propriété Industrielle (INAPI) (Mr. Lotfi Boudjedjar) Tel: (213-551) 03 71 59 Fax: (213-21) 73 96 44 E-mail: l.boudjedjar@inapi.dz
<b>3 October 2016</b> <b>Zurich (CH)</b>	German	Seminar on the latest developments concerning the PCT (CEIPI Course) WIPO speaker: Mr. Hansjörg Kley	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Hansjörg Kley) Tel : (41-52) 226 00 00 Fax: (41-52) 226 00 09 E-mail: hansjoerg@kley.ch
<b>4-5 October 2016</b> <b>Helsinki (FI)</b>	Finnish	Seminar on the PCT for patent administrators, including practical training on PCT electronic filing, and other PCT electronic services WIPO speaker: Ms. Metcalfe	Aalto University Executive Education Ltd. (Ms. Lisbeth Edlund) Tel : (358-50) 351 9058 E-mail: lisbeth.edlund@aaltoee.fi Internet: www.aaltoee.fi/patenttiassistentti
<b>6 October 2016</b> <b>Barcelona (ES)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speaker: Ms. Bonvallet	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Antonio López-Carrasco Comajuncosas) Tel : (34-93) 123 31 01 E-mail: antonio.lopez-carrascomajuncosas@telefonica.com
<b>12-13 October 2016</b> <b>Munich (DE)</b>	German	Advanced PCT Seminar WIPO speaker: Mr. Reischle-Park Other speaker: Mr. Wolff (former staff member of the PCT Legal Division, WIPO, former member of the Boards of Appeal of the European Patent Office, now retired)	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de
<b>13 October 2016</b> <b>Ikast (DK)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Marlow Other WIPO speakers on other IP topics: Ms. Roenning, Mr. Ruggerio, Ms. Kitsara	Danish Patent and Trademark Office (Ms. Signe Louise Hansen) Tel: (45-43) 50 81 17
<b>13 October 2016</b> <b>Moscow (RU)</b>	English	Presentations on the PCT, WIPO Green and WIPO Global Databases at the Patent School Skolkovo WIPO speaker: Mr. Bryan and Mr. Diaconescu	IP Center Skolkovo (Ms. Zhanna Grishaeva Shpanova) Tel: (+7-495) 956 00 33 ext. 2870 E-mail: ZGrishaeva@sk.ru Internet: http://ip.sk.ru
<b>17 October 2016</b> <b>Oslo (NO)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Ms. Weiss Other WIPO speakers on other IP topics: Ms. Roenning, Mr. Vazquez Lopez and Ms. Ammann	Norwegian Industrial Property Office (Ms. Bente Fjeldberg) Tel: (47-2) 238 73 44 E-mail: bente.fjeldberg@patentstyret.no
<b>17 October 2016</b> <b>Boston, MA (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org

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<b>PCT Seminar Calendar [continued]</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>19 October 2016 Washington, DC (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>20 October 2016 Tokyo (JP)</b>	Japanese	PCT seminar WIPO speaker: Mr. Fujita	University Technology Transfer Association, Japan (UNITT) (Mr. Fukuda) Tel: (81-3) 54 02 18 57 Fax: (81-3) 54 02 18 59 Internet: http://unitt.jp/
<b>20-21 October 2016 Chicago (US)</b>	English	PCT seminar WIPO speaker: Mr. Reischle-Park Speaker on behalf of WIPO: Mr. Oppedahl	The John Marshall Law School Department of Event Management Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: Events@jmls.edu
<b>21 October 2016 Chicago, IL (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org
<b>24 October 2016 Fukuoka (JP)</b>	Japanese	PCT seminar WIPO speaker: Mr. Fujita	University Technology Transfer Association, Japan (UNITT) (see Tokyo, above)
<b>24-25 October 2016 Boston, MA (US)</b>	English	PCT seminar WIPO speaker: Mr. Reischle-Park	Boston Patent Law Association (Mr. Keith Toms) E-mail: activities@bpla.org Internet: www.bpla.org
<b>27 October 2016 Kanazawa (JP)</b>	Japanese	PCT seminar WIPO speaker: Mr. Fujita	University Technology Transfer Association, Japan (UNITT) (see Tokyo, above)
<b>28 October 2016 London (GB)</b>	English	PCT seminar within the framework of the 15 <sup>th</sup> Annual Conference for Senior Patent Administrators (27-28 October 2016) WIPO speaker: Mr. Reischle-Park	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>2-3 November 2016 Munich (DE)</b>	German	PCT update/conference WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de
<b>23-24 November 2016 Cracow (PL)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Mr. Henninger	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl
<b>24-25 November 2016 Dresden (DE)</b>	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>1-2 December 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk

**PCT Seminar Calendar [continued]**

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>6-7 December 2016 Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms. Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>8 December 2016 Paris (FR)</b>	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (see Paris, above)
<b>17-18 May 2017 Munich (DE)</b>	German	Basic PCT seminar WIPO speakers: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de
<b>15-16 June 2017 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: to be announced	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>12-13 July 2017 Munich (DE)</b>	German	Presentation on the PCT – Update International Patent Law WIPO speaker: Mr. Reischle-Park	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de

**PCT Events Without WIPO speakers<sup>1</sup>**

<b>Dates and location</b>	<b>Language of event</b>	<b>Nature of seminar; Speakers</b>	<b>Organizer and contact numbers</b>
<b>15 September 2016 Cleveland, OH (US)</b>	English	PCT Boot Camp Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org

**PCT Webinars**

(http://www.wipo.int/pct/en/seminar/webinars/index.html)

(http://www.wipo.int/patentscope/en/webinar/)

<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>27 September 2016</b> 17:30–18:30 CET or <b>29 September 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Chemical Structure Search WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/6570654375885586692">https://attendee.gotowebinar.com/rt/6570654375885586692</a>
<b>25 October 2016</b> 17:30–18:30 CET or <b>27 October 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Options & help menus in details WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/3847993105651763204">https://attendee.gotowebinar.com/rt/3847993105651763204</a>
<b>22 November 2016</b> 17:30–18:30 CET or <b>24 November 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Complex queries WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/2191351239500238084">https://attendee.gotowebinar.com/rt/2191351239500238084</a>
<b>6 December 2016</b> 17:30–18:30 CET or <b>8 December 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Retrospective of 2016 and plans for 2017 WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/5339380573171764740">https://attendee.gotowebinar.com/rt/5339380573171764740</a>

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.



## PCT Fee Tables

(amounts on 1 September 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of –	BRL	Brazilian real	HUF	Hungarian forint	MXN	Mexican peso	TJS	Tajik somoni
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TND	Tunisian dinar
ISA	International Searching Authority	CAD	Canadian dollar	ILS	New Israeli sheqel	NOK	Norwegian krone	TTD	Trinidad and Tobago dollar
RO	receiving Office	CHF	Swiss franc	INR	Indian rupee	NZD	New Zealand dollar	UAH	Ukrainian hryvnia
		CLP	Chilean peso	IRR	Iranian rial	OMR	Omani rial	USD	US dollar
		CNY	Yuan renminbi	ISK	Icelandic krona	PEN	Nuevo sol	VND	Vietnamese dong
		COP	Colombian peso	JPY	Japanese yen	PGK	Kina	XAF	CFA franc BEAC
		CUC	Cuban convertible peso	KES	Kenyan shilling	PHP	Philippine peso	ZAR	South African rand
		CZK	Czech koruna	KGS	Kyrgyz som	PLN	Polish zloty	ZWD	Zimbabwe dollar
ALL	Albanian lek	DKK	Danish krone	KPW	Won	QAR	Qatari riyal		
AMD	Armenian dram	DZD	Algerian dinar	KRW	Won	RON	New leu		
AUD	Australian dollar	EGP	Egyptian pound	KZT	Kazakh tenge	RSD	Serbian dinar		
AZN	Azerbaijani manat	EUR	Euro	LSL	Lesotho loti	RUB	Russian rouble		
BAM	Convertible mark	GBP	Pound sterling	LYD	Libyan dinar	SDG	Sudanese pound		
BGN	Bulgarian lev	GHS	Ghanaian cedi	MAD	Moroccan dirham	SEK	Swedish krona		
BHD	Bahraini dinar	GTQ	Quetzal	MKD	Macedonian denar	SGD	Singapore dollar		
BND	Brunei dollar	HRK	Croatian kuna	MWK	Malawian kwacha	THB	Baht		

**Table I(a) — Transmittal and international filing fees**  
(amounts on 1 September 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1, 2</sup> (CHF 1,330)	Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
					Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available							CA
AL	ALL	9,000	CHF 1,330	15	–	–	–	EP
AM	AMD	32,000	USD 1,363	15	–	–	–	EP RU
AP	USD	50	USD 1,363	15	–	–	–	AT EP SE
	(or eq in local currency)							
AT	EUR	52	EUR 1,219	14	–	183	275	EP
AU	AUD	200	AUD 1,811	20	–	272	408	AU KR
AZ	AZN	35.40	USD 1,363	15	–	205	307	EP RU
BA	BAM	50	EUR 1,219	14	–	–	–	EP
BE	EUR	120	EUR 1,219	14	–	–	–	EP
BG	BGN	80	BGN eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP RU
BH	BHD	70	USD 1,363	15	–	–	–	AT EP US
BN	BND	150	BND eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU JP EP
BR	BRL <sup>8</sup>	online: 175 on paper: 260	BRL eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR EP SE US
BW	USD	32	USD 1,363	15	–	–	–	EP
BY	USD	50	USD 1,363	15	–	–	–	EP RU
BZ	BZD	300	USD 1,363	15	–	–	–	CA EP
CA	CAD	300	CAD 1,782	20	–	268	402	CA
CH	CHF	100	CHF 1,330	15	–	–	–	EP
CL	CLP eq USD <sup>9</sup>	130	CLP eq USD <sup>9</sup> 1,363	eq USD <sup>9</sup> 15	–	eq USD 205	eq USD 307	CL EP ES KR US
CN	CNY	500	CNY eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 September 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
CO	COP 952,000 <sup>10</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR CL EP ES RU
CR	USD 250	USD 1,363	15	–	–	–	CL EP ES
CU	CUC 200	CUC 1,363	15	–	205	307	AT BR CL EP ES RU
CY	EUR 191	EUR 1,219	14	–	–	–	EP
CZ	CZK 1,500	EUR 1,219	14	–	183	275	EP XV
DE	EUR 90	EUR 1,219	14	–	183	275	EP
DK	DKK 1,500	DKK 9,090	100	–	1,370	2,050	EP SE XN
DM	Information not yet available						
DO	USD 275	USD 1,363	15	–	–	–	CL EP ES US
DZ	DZD None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	AT EP
EA	RUB 1,600	USD 1,363	15	–	205	307	EP RU
EC	USD <sup>11</sup> –	USD 1,363	15	–	–	–	CL EP ES
EE	EUR 120	EUR 1,219	14	–	183	275	EP
EG	USD 142	USD 1,363	15	–	–	–	AT EG EP US
EP	EUR 130	EUR 1,219	14	92	183	275	EP
ES	EUR 74.99	EUR 1,219	14	–	183	275	EP ES
FI	EUR 135	EUR 1,219	14	–	183	275	EP FI SE
FR	EUR 62	EUR 1,219	14	–	183	275	EP
GB	GBP 75	GBP 980 (from 1.11.16: 1,053)	11 (12)	(–)	147 (158)	221 (238)	EP
GD	Information not yet available						
GE	USD <sup>12</sup> 100	USD 1,363	15	–	–	–	AT EP IL RU US
GH	GHS <sup>13</sup> 2,500 or 5,000	USD 1,363	15	–	–	–	AT AU CN EP SE
GR	EUR 115	EUR 1,219	14	–	–	–	EP
GT	GTQ eq USD 250	USD 1,363	15	–	–	–	AT BR CL EP ES US
HN	USD 200	USD 1,363	15	–	–	–	EP ES
HR	HRK 200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
HU	HUF 11,800	HUF 380,800	HUF 4,300	–	57,300	85,900	EP XV
IB	CHF <sup>14</sup> 100	CHF 1,330	15	–	200	300	See footnote 15
	or EUR <sup>14</sup> 92	or EUR 1,219	14	–	183	275	
	or USD <sup>14</sup> 102	or USD 1,363	15	–	205	307	
ID	IDR 1,000,000	IDR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR RU SG
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363	15	–	205	307	EP IL US
IN	INR 17,600	USD 1,363	15	–	205	307	AT AU CN EP IN SE US
	(filing by natural person: 3,250; filing by small entity: 8,800)						
IR	IRR <sup>11</sup> –	IRR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN EP IN RU
IS	ISK 15,000	ISK 172,800	1,900	–	26,000	39,000	EP SE XN
		(from 1.11.16: 161,500)	(1,800)	(–)	(24,300)	(36,400)	
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>16</sup>	JPY 151,300 (from 1.10.16: 143,700)	1,700 (1,600)	– (–)	– (–)	34,100 (32,400)	EP JP SG
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363	15	–	–	–	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363	15	–	–	–	EP RU
KN	Information not yet available						
KN	EP						
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>17</sup> KR
KZ	KZT 10,264.80	USD 1,363	15	–	–	–	EP RU
LR	USD 45	USD 1,363	15	–	–	–	AT AU CN EP SE
LS	LSL <sup>11</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	EUR 92	EUR 1,219	14	–	183	275	EP RU

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 September 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
						Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
LU	EUR	19	EUR	1,219	14	–	–	–	EP
LV	EUR	70	EUR	1,219	14	–	183	275	EP RU
LY	LYD <sup>11</sup>	–	CHF	1,330	15	–	–	–	AT EP
MA	MAD	600 <sup>18</sup>	CHF	1,330	15	–	–	–	AT EP RU SE
MC	EUR	54 <sup>19</sup>	EUR	1,219	14	–	–	–	EP
MD	EUR	100	USD	1,363	15	–	–	–	EP RU
ME	EUR <sup>11</sup>	–	EUR	1,219	14	–	–	–	EP
MK	MKD	2,700	MKD eq CHF	1,330	eq CHF 15	–	–	–	EP
MN		None	CHF	1,330	15	–	–	–	EP KR RU
MT	EUR	55	EUR	1,219	14	–	–	–	EP
MW	MWK	6,000	USD	1,363	15	–	–	–	EP
MX	USD	323.70 <sup>20</sup>	USD	1,363	15	–	205	307	CL EP ES KR SE SG US
MY	MYR	500 (e-filing) <sup>21</sup> 550 (paper filing) <sup>21</sup>	MYR eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD	200	USD	1,363	15	–	–	–	EP ES
NL	EUR	50	EUR	1,219	14	–	183	275	EP
NO	NOK	800	NOK	11,460	130	–	1,720	2,590	EP SE XN
NZ	NZD	207	NZD	2,094 (from 1.10.16: 1,945)	24 (22)	– (–)	315 (292)	472 (439)	AU EP KR US
OA	XAF <sup>11</sup>	–	XAF eq CHF	1,330	eq CHF 15	–	–	–	AT EP RU SE
OM	OMR	40	OMR eq USD	1,363	eq USD 15	–	eq USD 205	eq USD 307	ATAU EP US
PA	USD	200	USD	1,363	15	–	–	–	BR CL EP ES US
PE	PEN	233.35	PEN eq USD	1,363	eq USD 15	–	–	–	AT BR CL EP ES KR US
PG	PGK	250	USD	1,363	15	–	–	–	AU
PH	PHP	3,500	USD	1,363	15	–	205	307	AU EP JP KR US
PL	PLN	300	PLN eq CHF	1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP XV
PT	EUR	10.46 (online filing) 20.92 (paper filing)	EUR	1,219	14	–	183	275	EP
QA	QAR	400	QAR eq USD	1,363	eq USD 15	–	eq USD 205	eq USD 307	EG EP US
RO	RON	441	EUR	1,219	14	–	183	275	EP RU
RS	RSD	7,300 <sup>22</sup>	RSD eq CHF	1,330	eq CHF 15	–	–	–	EP
RU	RUB	850	USD	1,363	15	–	205	307	EP RU
RW	Information not yet available								
SA	USD	100	USD	1,363	15	–	205	307	CA EG EP KR RU
SC	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	EP
SD	SDG	50	SDG eq CHF	1,330	eq CHF 15	–	–	–	EG EP
SE	SEK	1,200	SEK	11,380	130	–	1,710	2,570	EP SE XN
SG	SGD	150	SGD	1,934 (from 1.10.16: 1,837)	22 (21)	– (–)	291 (276)	436 (414)	AT AU EP JP KR SG
SI	EUR	91	EUR	1,219	14	–	–	–	EP
SK	EUR	66 <sup>23</sup>	EUR	1,219	14	–	183	275	EP XV
SM	EUR	70	EUR	1,219	14	–	–	–	EP
SV	USD	200	USD	1,363	15	–	–	–	CL EP ES
SY	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	AT EG EP RU
TH	THB	3,000	THB eq CHF	1,330	eq CHF 15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>11</sup>	–	USD	1,363	15	–	–	–	EP RU
TM	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	EP RU
TN	TND <sup>11</sup>	–	CHF	1,330	15	–	–	–	EP
TR		None	CHF	1,330	15	–	eq CHF 200	eq CHF 300	EP
TT	TTD	750	USD	1,363	15	–	–	–	AT EP SE US
UA	UAH or eq EUR or USD <sup>24</sup>	1,300	USD (or eq UAH or EUR)	1,363	15	–	–	–	EP RU UA
US	USD	240 <sup>25</sup> small entity <sup>26</sup> : 120 <sup>25</sup> micro entity <sup>26</sup> : 60 <sup>25</sup>	USD	1,363	15	102	205	–	AU EP IL JP KR SG RU US
UZ	USD <sup>11</sup>	–	USD	1,363	15	–	–	–	EP RU
VN	VND eq USD	150	VND eq CHF	1,330	eq CHF 15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR	500	ZAR	20,680 (from 1.11.16: 19,100)	230 (220)	– (–)	3,110 (2,870)	4,670 (4,310)	AT AU EP US
ZM	USD	50	USD	1,363	15	–	–	–	AT SE
ZW	ZWD	6,000	ZWD eq USD	1,363	eq USD 15	–	–	–	AT AU CN EP RU

**Table I(b) — Search fees**  
(amounts on 1 September 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>											
AT <sup>27</sup>	EUR	1,864	CHF	2,034	KRW	2,419,000	SGD*	2,960	USD	2,084	ZAR**	31,450
	*	(from 1.10.16:	SGD	2,780)								
	**	(from 1.11.16:	ZAR	28,940)								
AU	AUD	2,200	CHF	1,616	EUR	1,481	KRW	1,963,000	NZD	2,397	SGD	2,210
	USD	1,674	ZAR	23,900								
BR <sup>28</sup>	Online:		BRL	1,685	CHF	502	EUR	464	USD*	468		
	*	(from 1.10.16:	USD	518)								
	On paper:		BRL	2,525	CHF	752	EUR	695	USD*	701		
	*	(from 1.10.16:	USD	776)								
CA	CAD	1,600	CHF	1,194	EUR	1,094	USD	1,261				
CL	USD	2,000	CHF	1,952	EUR	1,789						
	In case of filing by an natural person or a legal entity <sup>2</sup> :		USD	400	CHF	390	EUR	358				
	In case of filing by a university <sup>29</sup> :		USD	300	CHF	293	EUR	268				
CN	CNY	2,100	CHF	323	EUR	296	USD	330				
EG <sup>30</sup>	EGP	4,000	CHF	430	EUR	395	USD	451				
EP <sup>31</sup>	EUR	1,875	CHF	2,046	DKK	13,990	GBP**	1,503	HUF	585,800	ISK**	266,000
	JPY*	233,900	NOK	17,640	NZD*	3,222	SEK	17,500	SGD*	2,980	USD	2,097
	ZAR**	31,640										
	*	(from 1.10.16:	JPY	213,000	NZD	2,953	SGD	2,800)				
	**	(from 1.11.16:	GBP	1,617	ISK	250,000	ZAR	29,110)				
ES <sup>31,32</sup>	EUR	1,875	CHF	2,046	USD	2,097						
FI	EUR	1,875	CHF	2,046	USD	2,097						
IL	ILS	3,529	CHF	905	EUR	837	USD	912				
IN	INR	10,000	CHF	150	EUR	137	USD	154				
	In case of filing by an individual:				INR	2,500	CHF	37	EUR	34	USD	38
JP <sup>16</sup>	For international applications in Japanese:											
	JPY	70,000	CHF*	615	EUR*	561	KRW*, **725,000	USD*	618			
	*	(from 1.10.16:	CHF	648	EUR	616	KRW	810,000	USD	686)		
	**	(from 1.11.16:	KRW	756,000)								
	For international applications in English:											
	JPY	156,000	CHF*	1,371	EUR*	1,251	SGD	1,968	USD*	1,378		
	*	(from 1.10.16:	CHF	1,444	EUR	1,373	USD	1,530)				
KR	For international applications in Korean:											
	KRW	450,000	AUD	504	CHF	379	EUR	347	NZD	559	SGD	520
	USD	388										
	For international applications in English:											
	KRW	1,300,000	AUD	1,457	CHF	1,093	EUR	1,002	NZD	1,616	SGD	1,490
	USD	1,120										
RU	For international applications in Russian:											
	RUB	6,750	CHF	99	EUR	92	USD	101				
	For international applications in English:											
	RUB	28,000	CHF	409	EUR	381	USD	420				
SE	SEK	17,500	CHF	2,046	DKK	13,990	EUR	1,875	ISK*	266,000	NOK	17,640
	USD	2,097	*	(from 1.11.16:	ISK	250,000)						
SG	SGD	2,240	CHF*	1,540	EUR*	1,411	JPY*	186,300	USD	1,578		
	*	(from 1.10.16:	CHF	1,621	EUR	1,501	JPY	167,500)				
UA	EUR	300	CHF	325	USD	328						
US	USD	2,080	CHF	2,030	EUR	1,860	NZD*	3,200	ZAR**	31,430		
	*	(from 1.10.16:	NZD	2,980)								
	**	(from 1.11.16:	ZAR	28,280)								
	For small entity <sup>26</sup> :		USD	1,040	CHF	1,015	EUR	930	NZD*	1,600	ZAR**	15,710
	*	(from 1.10.16:	NZD	1,490)								
	**	(from 1.11.16:	ZAR	14,140)								
	For micro entity <sup>26</sup> :		USD	520	CHF	508	EUR	465	NZD*	800	ZAR**	7,860
	*	(from 1.10.16:	NZD	740)								
	**	(from 1.11.16:	ZAR	7,070)								
XN	DKK	13,990	CHF	2,046	EUR	1,875	ISK*	266,000	NOK	17,640	SEK	17,500
	USD	2,097	*	(from 1.11.16:	ISK	250,000)						
XV	EUR	1,875	CHF	2,046	HUF	585,800	USD	2,097				

**Table I(c) — Supplementary search fees**  
(amounts on 1 September 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 33</sup>
AT	– for a search of the German-language documentation: CHF 928	CHF 200
	– for a search of the European and North American documentation: CHF 1,299	
	– for a search of the PCT minimum documentation: CHF 1,855	
EP	CHF 2,046	CHF 200
FI	CHF 2,046	CHF 200
RU	CHF 172 (276) <sup>34</sup>	CHF 200
SE	CHF 2,046	CHF 200
SG	CHF 1,540 (from 1.10.16: CHF 1,621)	CHF 200
UA	– for a search of only the PCT minimum documentation: CHF 108	CHF 200
	– for a search of only the Russian language documentation of the former USSR and the Ukrainian language documentation: CHF 163	
	– for a search of only the European and North American documentation: CHF 217	
XN	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 590	
XV	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Czech, Hungarian, Polish and Slovak: CHF 600	

**Table II — Preliminary examination fees**  
(amounts on 1 September 2016, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>35</sup>	Handling fee <sup>2, 35</sup> (CHF 200)
AT <sup>27</sup>	EUR 1,749	EUR 183
AU	AUD 590 820 <sup>36</sup>	AUD 272
BR <sup>28</sup>	Online: BRL 630	BRL eq CHF 200
	On paper: BRL 945	
CA	CAD 800	CAD 268
CL	USD 1,500	USD 205
	in case of filing by a natural person or a legal entity <sup>2</sup> : USD 400	
	in case of filing by a university <sup>29</sup> : USD 300	
CN	CNY 1,500	CNY eq CHF 200
EG <sup>30</sup>	EGP 3,000	USD 205
EP <sup>31</sup>	EUR 1,930	EUR 183
ES	EUR 589.49 <sup>32</sup>	EUR 183
FI	EUR 600	EUR 183
IL	ILS 1,512	ILS 794
IN	INR 12,000; in case of filing by an individual: INR 3,000	USD 205
JP	For international applications in Japanese: JPY 26,000 <sup>16</sup>	JPY 22,800 (from 1.10.16: 21,600)
	For international applications in English: JPY 58,000	
KR	KRW 450,000	KRW 238,000
RU	For international applications in Russian: RUB 2,700 <sup>37</sup> 4,050 <sup>38</sup>	USD 205
	For international applications in English: RUB 10,500 <sup>37</sup> 15,750 <sup>38</sup>	
SE	SEK 5,000	SEK 1,710
SG	SGD 830	SGD 291 (from 1.10.16: 276)
UA	– if the international search report has been prepared by this ISA: EUR 160	EUR 183
	– if the international search report has been prepared by another ISA: EUR 180	
US	USD 600 760 <sup>39</sup>	USD 205
	For small entity <sup>26</sup> : USD 300 380 <sup>39</sup>	
	For micro entity <sup>26</sup> : USD 150 190 <sup>39</sup>	
XN	DKK 5,000	DKK 1,370
XV	EUR 1,930	EUR 183

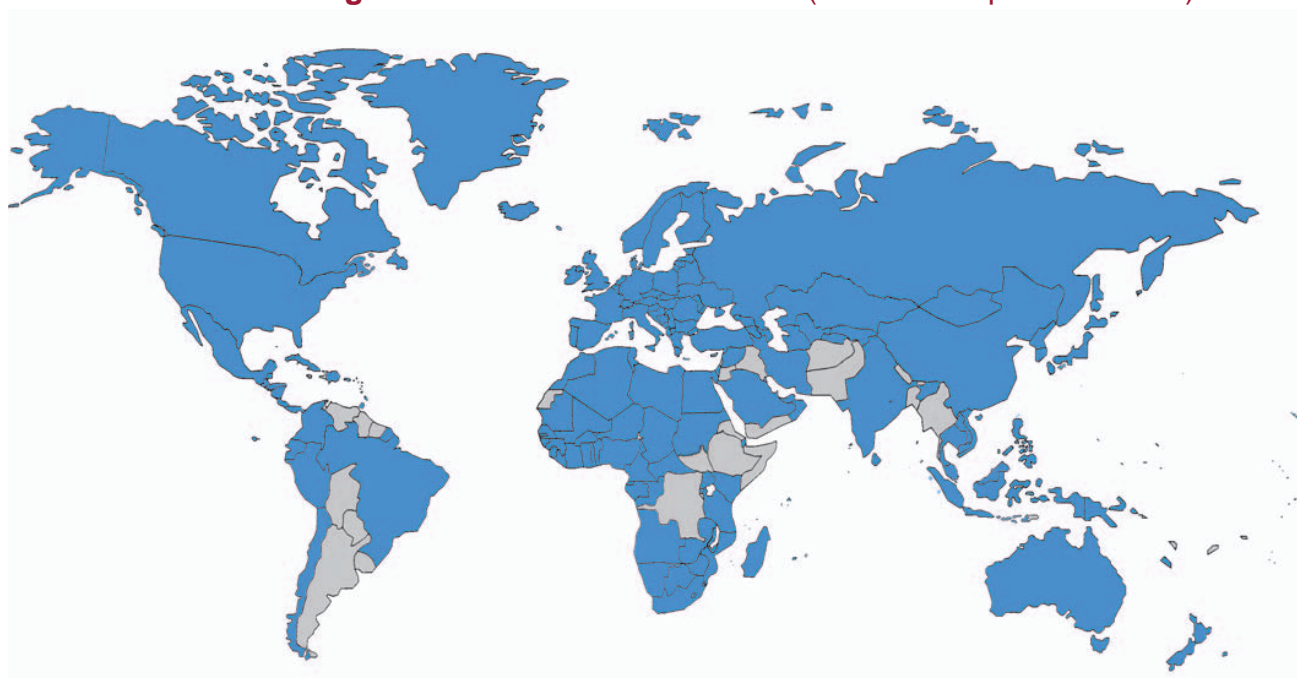
[See over page for footnotes to fee tables]

*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).



## PCT Contracting States and Two-letter Codes (151 on 8 September 2016)



AE United Arab Emirates	CJ Cuba	IR Iran (Islamic Republic of)	ME Montenegro <sup>1</sup>	SC Seychelles
AG Antigua and Barbuda	CY Cyprus (EP) <sup>2</sup>	IS Iceland (EP)	MG Madagascar	SD Sudan (AP)
AL Albania (EP)	CZ Czech Republic (EP)	IT Italy (EP) <sup>2</sup>	MK The former Yugoslav Republic of Macedonia (EP)	SE Sweden (EP)
AM Armenia (EA)	<b>DJ Djiibouti</b> (from 23 September 2016)	JP Japan	ML Mali (OA) <sup>2</sup>	SG Singapore
AO Angola	DK Denmark (EP)	KE Kenya (AP)	MN Mongolia	SI Slovenia (EP) <sup>2</sup>
AT Austria (EP)	DM Dominica	<b>KH Cambodia</b> (from 8 December 2016)	MR Mauritania (OA) <sup>2</sup>	SK Slovakia (EP)
AU Australia	DO Dominican Republic	KM Comoros (OA) <sup>2</sup>	MT Malta (EP) <sup>2</sup>	SL Sierra Leone (AP)
AZ Azerbaijan (EA)	DZ Algeria	KN Saint Kitts and Nevis	MW Malawi (AP)	SM San Marino (EP)
BA Bosnia and Herzegovina <sup>1</sup>	EC Ecuador	KP Democratic People's Republic of Korea	MX Mexico	SN Senegal (OA) <sup>2</sup>
BB Barbados	EE Estonia (EP)	KR Republic of Korea	MY Malaysia	ST Sao Tome and Principe (AP) <sup>5</sup>
BE Belgium (EP) <sup>2</sup>	EG Egypt	<b>KW Kuwait</b> (from 9 September 2016)	MZ Mozambique (AP)	SV El Salvador
BF Burkina Faso (OA) <sup>2</sup>	ES Spain (EP)	LA Lao People's Democratic Republic	NA Namibia (AP)	SY Syrian Arab Republic
BG Bulgaria (EP)	FI Finland (EP)	LC Saint Lucia	NE Niger (OA) <sup>2</sup>	SZ Swaziland (AP) <sup>2</sup>
BH Bahrain	FR France (EP) <sup>2</sup>	LI Liechtenstein (EP)	NG Nigeria	TD Chad (OA) <sup>2</sup>
BJ Benin (OA) <sup>2</sup>	GA Gabon (OA) <sup>2</sup>	LK Sri Lanka	NL Netherlands (EP) <sup>2</sup>	TG Togo (OA) <sup>2</sup>
BN Brunei Darussalam	GB United Kingdom (EP)	LR Liberia (AP)	NO Norway (EP)	TH Thailand
BR Brazil	GD Grenada	LS Lesotho (AP)	NZ New Zealand	TJ Tajikistan (EA)
BW Botswana (AP)	GE Georgia	LT Lithuania (EP) <sup>2</sup>	OM Oman	TM Turkmenistan (EA)
BY Belarus (EA)	GH Ghana (AP)	LV Latvia (EP) <sup>2</sup>	PA Panama	TN Tunisia
BZ Belize	GM Gambia (AP)	LY Libya	PE Peru	TR Turkey (EP)
CA Canada	GN Guinea (OA) <sup>2</sup>	MA Morocco <sup>3</sup>	PG Papua New Guinea	TT Trinidad and Tobago
CF Central African Republic (OA) <sup>2</sup>	GR Greece (EP) <sup>2</sup>	MC Monaco (EP) <sup>2</sup>	PH Philippines	TZ United Republic of Tanzania (AP)
CG Congo (OA) <sup>2</sup>	GT Guatemala	MD Republic of Moldova <sup>4</sup>	PL Poland (EP)	UA Ukraine
CH Switzerland (EP)	GW Guinea-Bissau (OA) <sup>2</sup>		PT Portugal (EP)	UG Uganda (AP)
CI Côte d'Ivoire (OA) <sup>2</sup>	HN Honduras		QA Qatar	US United States of America
CL Chile	HR Croatia (EP)		RO Romania (EP)	UZ Uzbekistan
CM Cameroon (OA) <sup>2</sup>	HU Hungary (EP)		RS Serbia (EP)	VC Saint Vincent and the Grenadines
CN China	ID Indonesia		RU Russian Federation (EA)	VN Viet Nam
CO Colombia	IE Ireland (EP) <sup>2</sup>		RW Rwanda (AP)	ZA South Africa
CR Costa Rica	IL Israel		SA Saudi Arabia	ZM Zambia (AP)
	IN India			ZW Zimbabwe (AP)

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

<sup>3</sup> Validation of European patent possible for international applications filed on or after 1 March 2015.

<sup>4</sup> Validation of European patent possible for international applications filed on or after 1 November 2015.

<sup>5</sup> Only international applications filed on or after 19 August 2014 will include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:** This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015 and 1 July 2016, respectively). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.

# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

October 2016 | No. 10/2016

## Assembly of the PCT Union

The 48th session of the Assembly of the PCT Union (PCT Assembly) was held in Geneva during the period from 3 to 11 October 2016, as part of the meetings of the Assemblies of the Member States of WIPO. The documents referred to in the summary of the meeting, below, are available from the WIPO website at:

PCT Assembly documents (including the report once it becomes available):

[http://www.wipo.int/meetings/en/details.jsp?meeting\\_code=pct/a/48](http://www.wipo.int/meetings/en/details.jsp?meeting_code=pct/a/48)

PCT Working Group documents:

[http://www.wipo.int/meetings/en/details.jsp?meeting\\_code=pct/wg/9](http://www.wipo.int/meetings/en/details.jsp?meeting_code=pct/wg/9)

The Assembly appointed the Turkish Patent Institute (TPI) as an International Searching and Preliminary Examining Authority under the PCT (document PCT/A/48/4). The appointment will become effective from a future date to be notified by the Office when it is ready to begin operations.

The Assembly adopted amendments to the PCT Regulations, as set out in the annexes to document PCT/A/48/3. The amendments consist of the following:

- PCT Rule 45*bis*: The deadline for requesting supplementary international search has been extended from 19 to 22 months from the priority date. For further information, see document PCT/WG/9/6 and paragraph 123 of document PCT/WG/9/27.
- PCT Rule 23*bis*: The requirement for receiving Offices to forward details of search or classification results from earlier applications to the International Searching Authority, in general without the express permission of the applicant, has been clarified to set out the relationship between this provision and the confidentiality requirements of a receiving Office under PCT Article 30(2)(a), as applicable by virtue of PCT Article 30(3). For further information, see document PCT/WG/9/5.
- PCT Rules 4.10(d) and 51*bis*.1(f): These two “incompatibility provisions” have been deleted following withdrawal of the remaining notifications of incompatibility under those provisions. For further information, see document PCT/WG/9/12.

These amendments will enter into force on 1 July 2017.

The Assembly also noted reports on the work being undertaken by the PCT Working Group (document PCT/A/48/1) and the Quality Subgroup of the PCT Meeting of International Authorities (document PCT/A/48/2), and approved recommendations on further work. These matters were reported in *PCT Newsletter* Nos. 05/2016 and 02/2016, respectively.

## Electronic Filing and Processing of International Applications

### Dominican Republic and Panama to begin receiving and processing international applications in electronic form

The National Office of Industrial Property (Dominican Republic) and the Directorate General of the Industrial Property Registry (DIGERPI) (Panama), in their capacities as receiving Offices (RO/DO and RO/PA), have notified the International Bureau under PCT Rule 89*bis*.1(d) that they are prepared to receive and process international applications in electronic form with effect from 1 November 2016. Both Offices will accept international applications filed using the ePCT-Filing function in the ePCT Portal. The applicable electronic filing fee reductions listed in item 4 of the Schedule of Fees are indicated in Fee Table I(a) in respect of those Offices.

The notifications containing the requirements and practices of the above-mentioned Offices with regard to the filing of international applications in electronic form will be published in the *Official Notices (PCT Gazette)* on 20 October 2016 at:

[http://www.wipo.int/pct/en/official\\_notices/index.html](http://www.wipo.int/pct/en/official_notices/index.html)

(Updating of *PCT Applicant's Guide*, Annex C (DO and PA))

### New possibility of online transmittal of post-filing documents to the EPO via ePCT

As from 1 November 2016, it will be possible for applicants to use ePCT private services for the online transmittal to the European Patent Office (EPO) of post-filing documents relating to the international application under PCT Rule 89*bis*.2, including the demand for international preliminary examination and any amendments under PCT Article 34(2)(b). It will also be possible to indicate the payment of fees related to the demand.

For further information, see the "Notice from the European Patent Office dated 23 September 2016 concerning the online filing of subsequently filed documents under the PCT, including the demand under PCT Chapter II", published in the *Official Journal of the EPO*, at:

<http://www.epo.org/law-practice/legal-texts/official-journal/2016/09/2016-09.pdf>

## PCT Information Update

### CZ Czech Republic (name of country)

The International Bureau has been notified that the name "Czechia" shall be used instead of the name "Czech Republic"; the two-letter code "CZ" has not changed.

(Updating of several parts of the *PCT Applicant's Guide*)

### DJ Djibouti (general information; summary of requirements of the Office as receiving Office and of the requirements for entry into the national phase)

It is recalled that Djibouti became bound by the PCT on 23 September 2016. General information about Djibouti as a PCT Contracting State, as well as information on the requirements of the Office of Industrial Property and Commerce of Djibouti (ODPIC) as receiving Office and as designated (or elected) Office, have been published in the *PCT Applicant's Guide* – see Annex B1 (DJ), C (DJ) and the National Chapter, Summary (DJ) at, respectively:

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexb1/ax\\_b\\_dj.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexb1/ax_b_dj.pdf)

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexc/ax\\_c\\_dj.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexc/ax_c_dj.pdf)

<http://www.wipo.int/pct/guide/en/gdvol2/annexes/dj.pdf>

Furthermore, the Office has notified its requirements concerning the deposit of microorganisms and other biological material, as indicated in the *PCT Applicant's Guide*, Annex L at:

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexl/anx\\_l.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexl/anx_l.pdf)

## **DO Dominican Republic (electronic filing; fees)**

For information on the acceptance, with effect from 1 November 2016, by the National Office of Industrial Property (Dominican Republic) as receiving Office of international applications filed using ePCT-Filing, as well as information on the electronic filing fee reductions listed in item 4 of the Schedule of Fees that will be applicable, see “Electronic Filing and Processing of International Applications”, above.

## **GB United Kingdom (number of copies required; institutions with which deposits of microorganisms and other biological material may be made)**

The Intellectual Property Office<sup>1</sup> (United Kingdom) has changed its requirement concerning the number of copies of the international application on paper to be filed with it as receiving Office – it now requires one copy instead of three.

The address of the Culture Collection of Algae and Protozoa (CCAP), an international depositary authority under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure, has changed, as follows:

Culture Collection of Algae and Protozoa (CCAP)  
SAMS Ltd.  
Scottish Marine Institute  
Oban, Argyll PA37 1QA  
Scotland

(Updating of *PCT Applicant's Guide*, Annex C (GB) and Annex L)

## **HR Croatia (fees; who can act as agent)**

The State Intellectual Property Office (Croatia) has notified changes concerning its requirements as to who can act as agent before it as receiving Office and as designated (or elected) Office – the following persons may now act as such:

1. Any natural person who is a citizen of Croatia or of a Contracting State of the Agreement on the European Economic Area (hereinafter: the EEA), having permanent residence in Croatia or in a Contracting State of the EEA, holding a university degree in technical or natural sciences and having passed the professional examination for patent representatives before the Office<sup>2</sup>;

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<sup>1</sup> Intellectual Property Office is an operating name of the Patent Office.

<sup>2</sup> A list of patent representatives is available on the website of the Office at: [www.dziv.hr/en/representation-before-sipo/patent-representatives/](http://www.dziv.hr/en/representation-before-sipo/patent-representatives/)

2. Any natural person who is a citizen of Croatia or of a Contracting State of the EEA, having permanent residence in Croatia or in a Contracting State of the EEA, holding a university degree in an area other than technical or natural sciences, having at least five years of working experience in jobs relating to the acquisition and maintenance of industrial property rights, obtained after completing the studies and having passed the professional examination for patent representatives before the Office;<sup>2</sup>
3. An attorney entered in the Register of Attorneys maintained by the Croatian Bar Association who passed the professional examination for patent representatives before the Office, or a law firm employing such an attorney or cooperating with him pursuant to some other contractual relationship;
4. Any legal person with a registered office in Croatia or in a Contracting State of the EEA, employing at least one person meeting the conditions referred to in point 1 or 2 of this paragraph or cooperating with such person pursuant to some other contractual relationship and performing the activities of representation before the Office as its registered activity.<sup>2</sup>

The Office, in its capacity as designated (or elected) Office, has also notified a change concerning the exemptions, reductions or refunds of the national fee – the filing fee is reduced by 50% if the application is filed by electronic means; the same reduction applies where the text of the patent application filed on paper has been furnished additionally on an electronic carrier (for example, CD-ROM or DVD).

(Updating of *PCT Applicant's Guide*, Annex C (HR) and National Phase, Summary (HR))

#### **IN India (telephone number and e-mail address)**

The Indian Patent Office has notified an additional telephone number and e-mail address for its New Delhi branch, and the deletion of one of the e-mail addresses for the Kolkata branch. The telephone numbers and e-mail addresses to be used are now as follows:

Telephone:	Kolkata:	(91-33) 23 67 19 87, (91-33) 23 67 50 91
	New Delhi:	(91-11) 25 30 02 00, 28 03 43 10, 25 30 03 35 (PCT Section)
E-mail :	Kolkata :	kolkata-patent@nic.in
	New Delhi:	delhi-patent@nic.in patentin-pct@nic.in (PCT Section)

(Updating of *PCT Applicant's Guide*, Annex B1 (IN))

#### **MX Mexico (location and mailing address; e-mail address; telephone numbers; fees)**

The location and mailing address, the e-mail address and the telephone numbers of the Mexican Institute of Industrial Property have changed, as follows:

Location and mailing address:	Arenal 550 Col. Pueblo Santa Maria Tepepan C.P. 16020 Cuidad de México Mexico
E-mail:	dp@impi.gob.mx



Telephone: (52-5) 334 07 24, 334 07 00 (ext. 10606, 10024, 10010)

The amounts of the following national fees, payable to the Office as designated (or elected) Office, have changed with effect from 1 January 2016:

For patent:

Filing fee: ..... MXN 6,147.40<sup>3</sup> or MXN 3,803.12<sup>4</sup>

For utility model:

Filing fee: ..... MXN 2,162.13<sup>3</sup> or MXN 1,380.70<sup>4</sup>

(Updating of *PCT Applicant's Guide*, Annex B1 (MX) and National Phase, Summary (MX))

### **PA Panama (electronic filing; fees)**

For information on the acceptance, with effect from 1 November 2016, by the Directorate General of the Industrial Property Registry (DIGERPI) (Panama) as receiving Office of international applications filed using ePCT-Filing, as well as information on the electronic filing fee reductions listed in item 4 of the Schedule of Fees that will be applicable, see "Electronic Filing and Processing of International Applications", above.

### **SK Slovakia (Internet address)**

The Industrial Property Office (Slovakia) has notified an additional Internet address, as follows:

Internet: [www.indprop.gov.sk](http://www.indprop.gov.sk)  
[www.upv.sk](http://www.upv.sk)

(Updating of *PCT Applicant's Guide*, Annex B1 (SK))

### **UA Ukraine (mailing address)**

The mailing address of the State Intellectual Property Service of Ukraine (SIPSU) has changed, as follows:

Dezhavne Pidpryemstvo "Ukrainsky Instytut Promyslovoi Vlanosti"  
The State Enterprise "Ukrainian Intellectual Property Institute"  
1, Hlazunova Street  
Kyiv 42  
01601, Ukraine

(Updating of *PCT Applicant's Guide*, Annex B1 (UA))

### **Search fee (Australian Patent Office and Korean Intellectual Property Office)**

As from 1 December 2016, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

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<sup>3</sup> Payable where the national phase is entered under PCT Article 22.

<sup>4</sup> Payable where the national phase is entered under PCT Article 39(1).



Australian Patent Office ..... KRW

Korean Intellectual Property Office ..... AUD, SGD

The above-mentioned changes are indicated in Fee Table I(b).

(Updating of *PCT Applicant's Guide*, Annexes D (AU and KR))

## **PCT-SAFE Update**

### **Release of new version of the PCT-SAFE Client software**

A new version of the PCT-SAFE Client software (version 3.51.075.251, dated 1 October 2016) is now available and can be downloaded at:

[http://www.wipo.int/pct-safe/en/download/download\\_client.html](http://www.wipo.int/pct-safe/en/download/download_client.html)

Further details on this new version of PCT-SAFE are available in the “Release notes” and the “What’s new” document on the above-mentioned web page.

## **New/Updated PCT Resources**

### **Seminar materials**

Seminar materials, covering all aspects of the PCT procedure, have been updated in English, Japanese and Spanish to take into account the amendments to the PCT Regulations which entered into force on 1 July 2016 and are available at, respectively:

[http://www.wipo.int/pct/en/seminar/basic\\_1/document.pdf](http://www.wipo.int/pct/en/seminar/basic_1/document.pdf)

[http://www.wipo.int/pct/es/seminar/basic\\_1/document.pdf](http://www.wipo.int/pct/es/seminar/basic_1/document.pdf)

[http://www.wipo.int/pct/ja/seminar/basic\\_1/document.pdf](http://www.wipo.int/pct/ja/seminar/basic_1/document.pdf)

Other languages will follow shortly.

In addition, seminar materials, based on an advanced PCT seminar which was held at WIPO Headquarters in Geneva on 26 and 27 September 2016, are now available in English at:

[http://www.wipo.int/pct/en/seminar/basic\\_1/advanced\\_seminar.pdf](http://www.wipo.int/pct/en/seminar/basic_1/advanced_seminar.pdf)

The information included in the document covers the procedural aspects of the international and national phases, the filing and management of PCT applications in ePCT, and recent and future PCT developments.

### **Warning about requests for payment of fees: legal actions against fraudulent entities**

Information about successful legal actions against fraudulent entities is now available at:

<http://www.wipo.int/pct/en/warning/judgments/successes.html>

This includes a Judgement of a Florida Court and a Ruling of the Supreme Court of Czechia (formerly Czech Republic).

## **IPO closed dates search engine**

A new design of the search engine for intellectual property Offices' closed dates, which features improved navigation, is available in Chinese, English, French and Spanish at, respectively:

<http://www.wipo.int/pct/dc/closeddates/page/index.xhtml?lang=ZH>

<http://www.wipo.int/pct/dc/closeddates/faces/page/index.xhtml>

<http://www.wipo.int/pct/dc/closeddates/page/index.xhtml?lang=FR>

<http://www.wipo.int/pct/dc/closeddates/page/index.xhtml?lang=ES>

## **PCT forms**

Form PCT/IB/384 (Request for Omission of Information from International Publication and/or Public File Access) is now available in editable PDF format in English and French at, respectively:

[http://www.wipo.int/pct/en/forms/ib/editable/ed\\_ib384.pdf](http://www.wipo.int/pct/en/forms/ib/editable/ed_ib384.pdf)

[http://www.wipo.int/pct/fr/forms/ib/editable/ed\\_ib384.pdf](http://www.wipo.int/pct/fr/forms/ib/editable/ed_ib384.pdf)

## **PATENTSCOPE Search System**

### **WIPO Translate Now Available for Bibliographic Data and Long Japanese and French Documents**

WIPO Translate, the machine translation tool developed by WIPO and available within the PATENTSCOPE user interface, now offers translation of full-length documents into Japanese and French from English, and vice versa. This feature was introduced last year for long Chinese texts.

It is recalled that WIPO Translate also offers translation of bibliographic data from/to any of the following languages: German, English, Spanish, French, Japanese, Korean, Russian and Chinese. Bibliographic data in languages other than English will first be translated into English and then into any of the languages supported. It is, for example, now possible to translate bibliographic data in Chinese into Russian using WIPO Translate.

As for previous versions, WIPO translate has been trained exclusively on patent texts and operated with a secure https protocol. Note that independent evaluation tools show that it is more accurate than other publicly available solutions and, as a result, users can get a better, clearer idea of the nature of an invention than may be possible with external translation tools.

## **PCT in the News**

A link to the following article in the *WIPO Magazine* (No. 5/2016) has been added to the "PCT in the News" page of the PCT website.

### **Francis Gurry on the challenges for multilateralism in the field of intellectual property**

During the WIPO Assemblies, which took place between 3 and 11 October 2016, the Director General of WIPO, Francis Gurry, shared his views on the challenges for multilateralism in the field of intellectual property. About the PCT, he stated:

“We are seeing a huge amount of change in the IP system. One obvious example is the shift in the geography of innovation. Three Asian countries are now among the top five patent filing countries in WIPO’s PCT System. Japan and China are in second and third position after the United States, and the Republic of Korea is in fifth position after Germany. This is a huge change that we have seen unfold over the last 20 years. A similar shift has occurred in the areas of scientific and creative production. That is an area of great change that will continue.

The full article and other extracts from the *WIPO Magazine* are available at:

[http://www.wipo.int/pct/en/news/pct\\_news.html](http://www.wipo.int/pct/en/news/pct_news.html)

The *WIPO Magazine*, Issue No. 5/2016 is available at:

[http://www.wipo.int/wipo\\_magazine/en/2016/05/](http://www.wipo.int/wipo_magazine/en/2016/05/)

## Practical Advice

### Requesting early publication of an international application

*Q: I am the agent for an applicant in respect of an international application which is to be filed shortly. The applicant is keen to have the application published early, so that the invention will become part of the prior art as early as possible. If I request early publication of the application under PCT Article 21(2)(b)), are there any issues that I should be aware of?*

*A:* The international application becomes part of the prior art as soon as it is published (see PCT Rule 34.1(b)(ii)). Clearly, earlier publication means that your competitors will be able to see the contents of your application earlier than is normally the case. Furthermore, early publication of your application may be cited against you in relation to your later-filed applications in the same field, and so early publication needs to be considered very carefully. Nevertheless, for many applicants, it may bring significant advantages. Earlier publication may result in your application being able to be cited as prior art with effect from an earlier date than would normally be the case in any future search of a patent application filed by a third party. This may more easily allow you to prevent a third party from obtaining a patent for the same or a closely-related invention. It will put you at an advantage over competitors, and may be especially useful in respect of countries where you have no intention of entering the national phase. Earlier publication also means that your invention will be made available to the public earlier, and may confer earlier provisional protection before certain designated (or elected) Offices and under certain conditions.

International publication normally takes place promptly after the expiration of 18 months from the priority date (PCT Article 21(2)(a)), although it can take place earlier than this if you request the International Bureau (IB) to publish the international application before the expiration of the above-mentioned time limit (PCT Article 21(2)(b)). You can do this by submitting an online request to the IB using the Action “Request for Early Publication” in ePCT private services. After signing and submitting the request for early publication, a message is displayed to confirm that the ePCT Action has been successfully submitted to the IB for processing. Early publication of the international application can also be requested by way of a signed letter uploaded into ePCT public services or sent by fax or mail to the IB.

The IB will do its best to publish the international application as soon as possible after receipt of the request for early publication, provided that any necessary fees have been paid. Note,

however, that publication will not be immediate, as the IB will, for operational reasons, need some time to prepare your application for publication. For example, if the abstract has not yet been translated, the PCT Translation Division would need time to do this. The IB will acknowledge receipt of your request for early publication, and will notify you of the new scheduled publication date.

Requesting early publication of the international application may, however, affect certain procedures during the international phase, and you are advised to take the following considerations into account before making such a request.

#### *Filing the international application with the IB as receiving Office (RO/IB)*

If you would like to request that international publication takes place as early as possible in the international phase, you may wish to consider the advantage of filing with the RO/IB – this could reduce the processing time prior to publication by eliminating the amount of time that a national or regional Office acting as receiving Office might take to transmit the record copy to the IB. For information on filing an international application at the RO/IB, please see “Direct filing of PCT applications with the International Bureau as PCT receiving Office (RO/IB)” at:

<http://www.wipo.int/pct/en/filing/filing.html>

#### *Request for early publication where the ISR is not yet available to the IB*

If you request early publication of the international application too early, the International Searching Authority (ISA) may not have had time to carry out the international search, and so the international application will have to be published without the international search report (ISR) (or, as the case may be, declaration under PCT Article 17(2) that the ISA will not carry out the search). If the ISR is not yet available for publication together with the international application, the IB will charge a special publication fee, the amount of which is indicated in the *PCT Applicant's Guide, Annex B (IB)* (at the time of writing, it is CHF 200). International publication would take place as soon as possible after receipt of the request for early publication and after payment of the special fee. The international application would then be republished later, upon receipt of the ISR, and, since you would still have two months from the establishment of the ISR or 16 months from the priority date (whichever time limit expires later), to make amendments to the claims under PCT Article 19 (see PCT Rule 46.1), if the IB receives such amendments within that time limit, the international application would be republished again.

#### *Request for early publication where the International Search Report (ISR) is already available to the IB*

If the ISR is already available to the IB, there is no fee payable for making a request for early publication. International publication will be effected by the IB as soon as possible after receipt of the applicant's request for early publication. As mentioned above, you would still have two months from the establishment of the ISR or 16 months from the priority date (whichever time limit expires later) to make PCT Article 19 amendments, and if the IB receives such amendments within that time limit, the international application would be republished.

#### *Actions which may have to be taken earlier than usual*

If you request early publication of the international application, there are certain actions which may need to be taken before the (earlier) completion of technical preparations for publication (which usually occurs 15 days prior to the date of international publication). Some of the actions

that you would need to take in good time before completion of technical preparations are discussed below.

- Requesting a change under PCT Rule 92*bis* concerning the applicant, agent, common representative or inventor if that change is to be reflected in the international publication.
- Correction of physical defects in the international application: any physical defects in the international application should be corrected before the request for early publication is made if you wish any such correction to be included in the published international application. Notwithstanding, if the receiving Office invites you to make a correction giving a time limit which expires after completion of technical preparations for international publication, and you submit the correction after completion of technical preparations for publication, any such correction would be the subject of a republication of the international application.
- Correction or addition of a priority claim: if you need to request a correction or an addition of a priority claim under PCT Rule 26*bis*.1(a), this should be done before requesting early publication of the international application – if you do it afterwards, the request for correction/addition will be considered not to have been submitted unless the request for early publication is withdrawn before completion of technical preparations for international publication (PCT Rule 26*bis*.1(b)).
- Requesting restoration of the right of priority in the international phase: if you wish to request restoration of the right of priority under PCT Rule 26*bis*.3<sup>5</sup>, all the requirements for the restoration request<sup>6</sup> must be complied with before the completion of technical preparations for international publication of the international application (if that date falls prior to the expiration of the time limit for requesting restoration of the right of priority of two months from the date on which the priority period expired) (PCT Rule 26*bis*.3(e)).
- Inclusion of references to deposits of biological material: although there are some designated (or elected) Offices which require the submission of indications regarding deposited biological material already at the time of filing the international application, please note that there are also some Offices which require such indications to be submitted by the time the request for early publication is made (PCT Rule 13*bis*.4(c)). For details on the requirements of the designated Offices in this respect, please refer to the *PCT Applicant's Guide*, Annex L.
- Translation of the international application for the purposes of international publication: if the language of filing of the international application is not a language of publication, you should ensure that the translation is furnished before completion of technical preparations for publication, if the latter occurs prior to the expiration of 14 months from the priority date (PCT Rule 12.4(a)).

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<sup>5</sup> That is, if you are claiming the priority of an earlier application, and you have failed to file the international application within the priority period, but you do file it within the period of two months from that date, and can justify that such failure occurred in spite of due care required by the circumstances having been taken, or was unintentional.

<sup>6</sup> That is, the submission of the request for restoration to the receiving Office (including a statement of the reasons for the failure to file the international application within the priority period), the submission of any request for the addition of any missing priority claim and the payment of any applicable fee.

- Withdrawal of the international application, a designation or a priority claim under PCT Rules 90*bis*.1, 90*bis*.2 or 90*bis*.3, in sufficient time to prevent international publication thereof.

Note that the time limit under PCT Rule 26*ter* for adding declarations referred to in PCT Rule 4.17, as well as the time limit for furnishing the priority document under PCT Rule 17.1(a), are unaffected by a request for early publication.

#### *Early entry into the national phase*

You may also wish to consider requesting early entry into the national phase before certain designated (or elected) Offices (see PCT Articles 23(G) and 40(G)), in order to obtain the early grant of a patent. This may be particularly advantageous with regard to those Offices which do not grant provisional protection after international publication. Note that, although international publication of an international application is not a condition for national phase entry, the national law of certain designated (or elected) Offices may require that this has taken place before they will start national examination of the application. For further information on early national phase entry, see *PCT Newsletter* Nos. 08/2006 and 10/2011.



## PCT Seminar Calendar

(<http://www.wipo.int/pct/en/seminar/seminar.pdf>)  
(situation on 19 October 2016)

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>19 October 2016 Washington, DC (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: <a href="mailto:contact@pctlearningcenter.org">contact@pctlearningcenter.org</a> Internet: <a href="http://pctlearningcenter.org">pctlearningcenter.org</a>
<b>20 October 2016 Tokyo (JP)</b>	Japanese	PCT seminar WIPO speaker: Mr. Fujita	University Technology Transfer Association, Japan (UNITT) (Mr. Fukuda) Tel: (81-3) 54 02 18 57 Fax: (81-3) 54 02 18 59 Internet: <a href="http://unitt.jp/">http://unitt.jp/</a>
<b>20-21 October 2016 Chicago, IL (US)</b>	English	PCT seminar WIPO speaker: Mr. Reischle-Park Speaker on behalf of WIPO: Mr. Oppedahl	The John Marshall Law School Department of Event Management Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: <a href="mailto:Events@jmls.edu">Events@jmls.edu</a>
<b>21 October 2016 Chicago, IL (US)</b>	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: <a href="mailto:contact@pctlearningcenter.org">contact@pctlearningcenter.org</a> Internet: <a href="http://pctlearningcenter.org">pctlearningcenter.org</a>
<b>24 October 2016 Fukuoka (JP)</b>	Japanese	PCT seminar WIPO speaker: Mr. Fujita	University Technology Transfer Association, Japan (UNITT) (see Tokyo, above)
<b>24 October 2016 Boston, MA (US)</b>	English	PCT seminar WIPO speaker: Mr. Reischle-Park	Boston Patent Law Association (Mr. Keith Toms) E-mail: <a href="mailto:activities@bpla.org">activities@bpla.org</a> Internet: <a href="http://www.bpla.org">www.bpla.org</a>
<b>27 October 2016 Kanazawa (JP)</b>	Japanese	PCT seminar WIPO speaker: Mr. Fujita	University Technology Transfer Association, Japan (UNITT) (see Tokyo, above)
<b>27-28 October 2016 San José (CR)</b>	Spanish	PCT Seminar WIPO speaker: Mr. Hernández Vigaud Other speaker: Mr. H. Crew, INAPI, Chile	Registry of Industrial Property (Costa Rica) (Ms. Karen Quesada B.) E-mail: <a href="mailto:kquesada@rnp.go.cr">kquesada@rnp.go.cr</a>
<b>28 October 2016 London (GB)</b>	English	PCT seminar within the framework of the 15 <sup>th</sup> Annual Conference for Senior Patent Administrators (27-28 October 2016) WIPO speaker: Mr. Reischle-Park	Management Forum Ltd. (Ms. Angela Spall) E-mail: <a href="mailto:angela.spall@falconbury.co.uk">angela.spall@falconbury.co.uk</a>
<b>28 October 2016 Nuremberg (DE)</b>	English	PCT training workshop during the iENA international trade fair "Ideas – Inventions – New Products" (27-30 October 2016) WIPO speaker: Ms. Weiss	International Federation of Inventors' Associations (Mr. Bijan Nasiri) E-mail: <a href="mailto:bijan.nasiri@ifia.com">bijan.nasiri@ifia.com</a> Internet: <a href="http://www.ifia.com">www.ifia.com</a>
<b>31 October 2016 San Jose, CA (US)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Bryan Other WIPO speakers on other IP topics: Mr. Sandage, Mr. Forno and Ms Kitsara Other speakers – please see: <a href="http://www.aipf.com/event-2344636">http://www.aipf.com/event-2344636</a>	WIPO, United States Patent and Trademark Office, Silicon Valley Intellectual Property Law Association, San Francisco Intellectual Property Law Association, American Intellectual Property Law Association, and Association of Intellectual Property Firms Information and registration: <a href="http://www.aipf.com/event-2344636">http://www.aipf.com/event-2344636</a>

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**PCT Seminar Calendar [continued]**

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>2 November 2016 Tallinn (EE)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Henninger Other WIPO speakers on other IP topics: Mr. Carrasco Pradas, Mr. Vazquez Lopez, Ms. Halgand, Ms. Ammann	Estonian Patent Office E-mail: patendiamet@epa.ee
<b>2 November 2016 San Diego, CA (US)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Bryan Other WIPO speakers on other IP topics: Mr. Sandage, Mr. Forno and Ms Kitsara Other speakers: please see: <a href="http://www.aipf.com/event-2344642">http://www.aipf.com/event-2344642</a>	WIPO, United States Patent and Trademark Office, San Diego Intellectual Property Law Association, Los Angeles Intellectual Property Law Association, American Intellectual Property Law Association, and Association of Intellectual Property Firms Information and registration: <a href="http://www.aipf.com/event-2344642">http://www.aipf.com/event-2344642</a>
<b>4 November 2016 Salt Lake City, UT (US)</b>	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Bryan Other WIPO speakers on other IP topics: Mr. Sandage, Mr. Forno and Ms Kitsara Other speakers: please see: <a href="http://www.aipf.com/event-2344644">http://www.aipf.com/event-2344644</a>	WIPO, United States Patent and Trademark Office, Utah Bar IP Section - Utah State Bar, American Intellectual Property Law Association, and Association of Intellectual Property Firms Information and registration: <a href="http://www.aipf.com/event-2344644">http://www.aipf.com/event-2344644</a>
<b>23-24 November 2016 Cracow (PL)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Mr. Henninger	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl
<b>24-25 November 2016 Dresden (DE)</b>	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>28 November 2016 Chiba (JP)</b>	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division Tel: (81-3) 35 81 11 01 ext. 2107 Internet: <a href="https://www.jpo.go.jp/torikumi/ibento/ibento2/h27_chiteki_setumeikai.htm">https://www.jpo.go.jp/torikumi/ibento/ibento2/h27_chiteki_setumeikai.htm</a>
<b>1-2 December 2016 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>2 December 2016 Tokyo (JP)</b>	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division (see Chiba, above)
<b>5 December 2016 Osaka (JP)</b>	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division (see Chiba, above)
<b>6-7 December 2016 Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms. Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr

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**PCT Seminar Calendar [continued]**

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>7 December 2016 Aichi (JP)</b>	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division (see Chiba, above)
<b>8 December 2016 Paris (FR)</b>	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>17-18 May 2017 Munich (DE)</b>	German	Basic PCT seminar WIPO speakers: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de
<b>15-16 June 2017 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: to be announced	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>12-13 July 2017 Munich (DE)</b>	German	Presentation on the PCT – Update International Patent Law WIPO speaker: Mr. Reischle-Park	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de

**PCT Webinars**

(<http://www.wipo.int/pct/en/seminar/webinars/index.html>)  
(<http://www.wipo.int/patentscope/en/webinar/>)

<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>25 October 2016</b> 17:30–18:30 CET or <b>27 October 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Options & help menus in details WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/3847993105651763204">https://attendee.gotowebinar.com/rt/3847993105651763204</a>
<b>22 November 2016</b> 17:30–18:30 CET or <b>24 November 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Complex queries WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/2191351239500238084">https://attendee.gotowebinar.com/rt/2191351239500238084</a>
<b>6 December 2016</b> 17:30–18:30 CET or <b>8 December 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Retrospective of 2016 and plans for 2017 WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/5339380573171764740">https://attendee.gotowebinar.com/rt/5339380573171764740</a>

**PCT Events Without WIPO speakers<sup>1</sup>**

<b>Dates and location</b>	<b>Language of event</b>	<b>Nature of seminar; Speakers</b>	<b>Organizer and contact numbers</b>
<b>28 November 2016 Bologna (IT)</b>	Italian	Seminar: “PCT at the EPO” Speakers: Ms. Guglielmetti, (Italian Patent and Trademark Office), Ms. Giancane, (European Patent Office) and Mr. Santi, (Barzanò & Zanardo, Rome) and Mr. Pizzoli (Collegio Italiano dei Consulenti in Proprietà Industriale)	European Patent Academy, International Federation of Intellectual Property Attorneys, Italy, and Italian Patent and Trademark Office Tel: (49-89) 23 99 54 54 E-mail: academy@epo.org Internet: <a href="https://www.epo.org/learning-events/events/search/details.html?id=13428">https://www.epo.org/learning-events/events/search/details.html?id=13428</a>

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.

## PCT Fee Tables

(amounts on 1 October 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of –	BRL	Brazilian real	HRK	Croatian kuna	MWK	Malawian kwacha	THB	Baht
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	HUF	Hungarian forint	MXN	Mexican peso	TJS	Tajik somoni
ISA	International Searching Authority	CAD	Canadian dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TND	Tunisian dinar
RO	receiving Office	CHF	Swiss franc	ILS	New Israeli sheqel	NOK	Norwegian krone	TTD	Trinidad and Tobago dollar
		CLP	Chilean peso	INR	Indian rupee	NZD	New Zealand dollar	UAH	Ukrainian hryvnia
		CNY	Yuan renminbi	IRR	Iranian rial	OMR	Omani rial	USD	US dollar
		COP	Colombian peso	ISK	Icelandic krona	PEN	Nuevo sol	VND	Vietnamese dong
		CUC	Cuban convertible peso	JPY	Japanese yen	PGK	Kina	XAF	CFA franc BEAC
		CZK	Czech koruna	KES	Kenyan shilling	PHP	Philippine peso	XAF	CFA franc BEAC
ALL	Albanian lek	DJF	Djibouti franc	KGS	Kyrgyz som	PLN	Polish zloty	ZAR	South African rand
AMD	Armenian dram	DKK	Danish krone	KPW	Won	QAR	Qatari riyal	ZWD	Zimbabwe dollar
AUD	Australian dollar	DZD	Algerian dinar	KRW	Won	RON	New leu		
AZN	Azerbaijani manat	EGP	Egyptian pound	KZT	Kazakh tenge	RSD	Serbian dinar		
BAM	Convertible mark	EUR	Euro	LSL	Lesotho loti	RUB	Russian rouble		
BGN	Bulgarian lev	GBP	Pound sterling	LYD	Libyan dinar	SDG	Sudanese pound		
BHD	Bahraini dinar	GHS	Ghanaian cedi	MAD	Moroccan dirham	SEK	Swedish krona		
BND	Brunei dollar	GTQ	Quetzal	MKD	Macedonian denar	SGD	Singapore dollar		

**Table I(a) — Transmittal and international filing fees**  
(amounts on 1 October 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1, 2</sup> (CHF 1,330)	Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available						CA
AL	ALL 9,000	CHF 1,330	15	–	–	–	EP
AM	AMD 32,000	USD 1,363	15	–	–	–	EP RU
AP	USD 50 (or eq in local currency)	USD 1,363	15	–	–	–	AT EP SE
AT	EUR 52	EUR 1,219	14	–	183	275	EP
AU	AUD 200	AUD 1,811	20	–	272	408	AU KR
AZ	AZN 35.40	USD 1,363	15	–	205	307	EP RU
BA	BAM 50	EUR 1,219	14	–	–	–	EP
BE	EUR 120	EUR 1,219	14	–	–	–	EP
BG	BGN 80	BGN eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP RU
BH	BHD 70	USD 1,363	15	–	–	–	AT EP US
BN	BND 150	BND eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU JP EP
BR	BRL <sup>8</sup> online: 175 on paper: 260	BRL eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR EP SE US
BW	USD 32	USD 1,363	15	–	–	–	EP
BY	USD 50	USD 1,363	15	–	–	–	EP RU
BZ	BZD 300	USD 1,363	15	–	–	–	CA EP
CA	CAD 300	CAD 1,782	20	–	268	402	CA
CH	CHF 100	CHF 1,330	15	–	–	–	EP
CL	CLP eq USD <sup>9</sup> 130	CLP eq USD <sup>9</sup> 1,363	eq USD <sup>9</sup> 15	–	eq USD 205	eq USD 307	CL EP ES KR US
CN	CNY 500	CNY eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 October 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
CO	COP 952,000 <sup>10</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR CL EP ES RU
CR	USD 250	USD 1,363	15	–	–	–	CL EP ES
CU	CUC 200	CUC 1,363	15	–	205	307	AT BR CL EP ES RU
CY	EUR 191	EUR 1,219	14	–	–	–	EP
CZ	CZK 1,500	EUR 1,219	14	–	183	275	EP XV
DE	EUR 90	EUR 1,219	14	–	183	275	EP
DJ	USD 100 or eq DJF	USD 1,363	15	–	–	–	EG EP
DK	DKK 1,500	DKK 9,090	100	–	1,370	2,050	EP SE XN
DM	Information not yet available						
DO	USD 275	USD 1,363	15	–	(from 1.11.16: 205)	(from 1.11.16: 307)	CL EP ES US
DZ	DZD None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	AT EP
EA	RUB 1,600	USD 1,363	15	–	205	307	EP RU
EC	USD <sup>11</sup> –	USD 1,363	15	–	–	–	CL EP ES
EE	EUR 120	EUR 1,219	14	–	183	275	EP
EG	USD 142	USD 1,363	15	–	–	–	AT EG EP US
EP	EUR 130	EUR 1,219	14	92	183	275	EP
ES	EUR 74.99	EUR 1,219	14	–	183	275	EP ES
FI	EUR 135	EUR 1,219	14	–	183	275	EP FI SE
FR	EUR 62	EUR 1,219	14	–	183	275	EP
GB	GBP 75	GBP 980 (from 1.11.16: 1,053)	11 (12)	– (–)	147 (158)	221 (238)	EP
GD	Information not yet available						
GE	USD <sup>12</sup> 100	USD 1,363	15	–	–	–	AT EP IL RU US
GH	GHS <sup>13</sup> 2,500 or 5,000	USD 1,363	15	–	–	–	AT AU CN EP SE
GR	EUR 115	EUR 1,219	14	–	–	–	EP
GT	GTQ eq USD 250	USD 1,363	15	–	–	–	AT BR CL EP ES US
HN	USD 200	USD 1,363	15	–	–	–	EP ES
HR	HRK 200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
HU	HUF 11,800	HUF 380,800	HUF 4,300	–	57,300	85,900	EP XV
IB	CHF <sup>14</sup> 100	CHF 1,330	15	–	200	300	See footnote 15
	or EUR <sup>14</sup> 92	or EUR 1,219	14	–	183	275	
	or USD <sup>14</sup> 102	or USD 1,363	15	–	205	307	
ID	IDR 1,000,000	IDR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR RU SG
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363	15	–	205	307	EP IL US
IN	INR 17,600 (filing by natural person: 3,250; filing by small entity: 8,800)	USD 1,363	15	–	205	307	AT AU CN EP IN SE US
IR	IRR <sup>11</sup> –	IRR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN EP IN RU
IS	ISK 15,000	ISK 172,800 (from 1.11.16: 161,500)	1,900 (1,800)	– (–)	26,000 (24,300)	39,000 (36,400)	EP SE XN
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>16</sup>	JPY 143,700	1,600	–	–	32,400	EP JP SG
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363	15	–	–	–	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363	15	–	–	–	EP RU
KN	Information not yet available						
KN	EP						
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>17</sup> KR
KZ	KZT 10,264.80	USD 1,363	15	–	–	–	EP RU
LR	USD 45	USD 1,363	15	–	–	–	AT AU CN EP SE
LS	LSL <sup>11</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 October 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>		E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
							Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
LT	EUR	92	EUR	1,219		14	–	183	275	EP RU
LU	EUR	19	EUR	1,219		14	–	–	–	EP
LV	EUR	70	EUR	1,219		14	–	183	275	EP RU
LY	LYD <sup>11</sup>	–	CHF	1,330		15	–	–	–	AT EP
MA	MAD	600 <sup>18</sup>	CHF	1,330		15	–	–	–	AT EP RU SE
MC	EUR	54 <sup>19</sup>	EUR	1,219		14	–	–	–	EP
MD	EUR	100	USD	1,363		15	–	–	–	EP RU
ME	EUR <sup>11</sup>	–	EUR	1,219		14	–	–	–	EP
MK	MKD	2,700	MKD eq CHF	1,330	eq CHF	15	–	–	–	EP
MN	None		CHF	1,330		15	–	–	–	EP KR RU
MT	EUR	55	EUR	1,219		14	–	–	–	EP
MW	MWK	6,000	USD	1,363		15	–	–	–	EP
MX	USD	323.70 <sup>20</sup>	USD	1,363		15	–	205	307	CL EP ES KR SE SG US
MY	MYR 500 (e-filing) <sup>21</sup> 550 (paper filing) <sup>21</sup>		MYR eq CHF	1,330	eq CHF	15	–	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD	200	USD	1,363		15	–	–	–	EP ES
NL	EUR	50	EUR	1,219		14	–	183	275	EP
NO	NOK	800	NOK	11,460		130	–	1,720	2,590	EP SE XN
NZ	NZD	207	NZD	1,945		22	–	292	439	AU EP KR US
OA	XAF <sup>11</sup>	–	XAF eq CHF	1,330	eq CHF	15	–	–	–	AT EP RU SE
OM	OMR	40	OMR eq USD	1,363	eq USD	15	–	eq USD 205	eq USD 307	ATAU EP US
PA	USD	200	USD	1,363		15	–	–	–	BR CL EP ES US
PE	PEN	233.35	PEN eq USD	1,363	eq USD	15	–	(from 1.11.16: 205)	(from 1.11.16: 307)	AT BR CL EP ES KR US
PG	PGK	250	USD	1,363		15	–	–	–	AU
PH	PHP	3,500	USD	1,363		15	–	205	307	AU EP JP KR US
PL	PLN	300	PLN eq CHF	1,330	eq CHF	15	–	eq CHF 200	eq CHF 300	EP XV
PT	EUR 10.46 (online filing) EUR 20.92 (paper filing)		EUR	1,219		14	–	183	275	EP
QA	QAR	400	QAR eq USD	1,363	eq USD	15	–	eq USD 205	eq USD 307	EG EP US
RO	RON	441	EUR	1,219		14	–	183	275	EP RU
RS	RSD	7,300 <sup>22</sup>	RSD eq CHF	1,330	eq CHF	15	–	–	–	EP
RU	RUB	850	USD	1,363		15	–	205	307	EP RU
RW	Information not yet available									
SA	USD	100	USD	1,363		15	–	205	307	CA EG EP KR RU
SC	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP
SD	SDG	50	SDG eq CHF	1,330	eq CHF	15	–	–	–	EG EP
SE	SEK	1,200	SEK	11,380		130	–	1,710	2,570	EP SE XN
SG	SGD	150	SGD	1,837		21	–	276	414	AT AU EP JP KR SG
SI	EUR	91	EUR	1,219		14	–	–	–	EP
SK	EUR	66 <sup>23</sup>	EUR	1,219		14	–	183	275	EP XV
SM	EUR	70	EUR	1,219		14	–	–	–	EP
SV	USD	200	USD	1,363		15	–	–	–	CL EP ES
SY	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	AT EG EP RU
TH	THB	3,000	THB eq CHF	1,330	eq CHF	15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
TM	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
TN	TND <sup>11</sup>	–	CHF	1,330		15	–	–	–	EP
TR	None		CHF	1,330		15	–	eq CHF 200	eq CHF 300	EP
TT	TTD	750	USD	1,363		15	–	–	–	AT EP SE US
UA	UAH or eq EUR or USD <sup>24</sup>	1,300	USD (or eq UAH or EUR)	1,363		15	–	–	–	EP RU UA
US	USD small entity <sup>26</sup> : micro entity <sup>26</sup> :	240 <sup>25</sup> 120 <sup>25</sup> 60 <sup>25</sup>	USD	1,363		15	102	205	–	AU EP IL JP KR SG RU US
UZ	USD <sup>11</sup>	–	USD	1,363		15	–	–	–	EP RU
VN	VND eq USD	150	VND eq CHF	1,330	eq CHF	15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR	500	ZAR (from 1.11.16: 19,100)	20,680		230 (220)	– (–)	3,110 (2,870)	4,670 (4,310)	AT AU EP US
ZM	USD	50	USD	1,363		15	–	–	–	AT SE
ZW	ZWD	6,000	ZWD eq USD	1,363	eq USD	15	–	–	–	AT AU CN EP RU



**Table I(b) — Search fees**

(amounts on 1 October 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>										
AT <sup>27</sup>	EUR 1,864	CHF 2,034	KRW 2,419,000	SGD 2,780	USD 2,084	ZAR*	31,450				
	* (from 1.11.16: ZAR 28,940)										
AU	AUD 2,200	CHF 1,616	EUR 1,481	KRW 1,963,000	NZD 2,397	SGD 2,210					
	USD 1,674	ZAR 23,900									
	* (from 1.12.16: KRW 1,858,000)										
BR <sup>28</sup>	Online:	BRL 1,685	CHF 502	EUR 464	USD 518						
	On paper:	BRL 2,525	CHF 752	EUR 695	USD 776						
CA	CAD 1,600	CHF 1,194	EUR 1,094	USD 1,261							
CL	USD 2,000	CHF 1,952	EUR 1,789								
	In case of filing by an natural person or a legal entity <sup>2</sup> :	USD 400	CHF 390	EUR 358							
	In case of filing by a university <sup>29</sup> :	USD 300	CHF 293	EUR 268							
CN	CNY 2,100	CHF 323	EUR 296	USD 330							
EG <sup>30</sup>	EGP 4,000	CHF 430	EUR 395	USD 451							
EP <sup>31</sup>	EUR 1,875	CHF 2,046	DKK 13,990	GBP* 1,503	HUF 585,800	ISK* 266,000					
	JPY 213,000	NOK 17,640	NZD 2,953	SEK 17,500	SGD 2,800	USD 2,097					
	ZAR* 31,640										
	* (from 1.11.16: GBP 1,617	ISK 250,000	ZAR 29,110)								
ES <sup>31,32</sup>	EUR 1,875	CHF 2,046	USD 2,097								
FI	EUR 1,875	CHF 2,046	USD 2,097								
IL	ILS 3,529	CHF 905	EUR 837	USD 912							
IN	INR 10,000	CHF 150	EUR 137	USD 154							
	In case of filing by an individual:	INR 2,500	CHF 37	EUR 34	USD 38						
JP <sup>16</sup>	For international applications in Japanese:										
	JPY 70,000	CHF 648	EUR 616	KRW* 810,000	USD 686						
	* (from 1.11.16: KRW 756,000)										
	For international applications in English:										
	JPY 156,000	CHF 1,444	EUR 1,373	SGD 1,968	USD 1,530						
KR	For international applications in Korean:										
	KRW 450,000	AUD* 504	CHF 379	EUR 347	NZD 559	SGD* 520					
	USD 388										
	* (from 1.12.16: AUD 533	SGD 550)									
	For international applications in English:										
	KRW 1,300,000	AUD* 1,457	CHF 1,093	EUR 1,002	NZD 1,616	SGD* 1,490					
	USD 1,120										
	* (from 1.12.16: AUD 1,539	SGD 1,580)									
RU	For international applications in Russian:										
	RUB 6,750	CHF 99	EUR 92	USD 101							
	For international applications in English:										
	RUB 28,000	CHF 409	EUR 381	USD 420							
SE	SEK 17,500	CHF 2,046	DKK 13,990	EUR 1,875	ISK* 266,000	NOK 17,640					
	USD 2,097	* (from 1.11.16: ISK 250,000)									
SG	SGD 2,240	CHF 1,621	EUR 1,501	JPY 167,500	USD 1,578						
UA	EUR 300	CHF 325	USD 328								
US	USD 2,080	CHF 2,030	EUR 1,860	NZD 2,980	ZAR* 31,430						
	* (from 1.11.16: ZAR 28,280)										
	For small entity <sup>26</sup> :	USD 1,040	CHF 1,015	EUR 930	NZD 1,490	ZAR* 15,710					
	* (from 1.11.16: ZAR 14,140)										
	For micro entity <sup>26</sup> :	USD 520	CHF 508	EUR 465	NZD 740	ZAR* 7,860					
	* (from 1.11.16: ZAR 7,070)										
XN	DKK 13,990	CHF 2,046	EUR 1,875	ISK* 266,000	NOK 17,640	SEK 17,500					
	USD 2,097	* (from 1.11.16: ISK 250,000)									
XV	EUR 1,875	CHF 2,046	HUF 585,800	USD 2,097							

**Table I(c) — Supplementary search fees**  
(amounts on 1 October 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 33</sup>
AT	– for a search of the German-language documentation: CHF 928	CHF 200
	– for a search of the European and North American documentation: CHF 1,299	
	– for a search of the PCT minimum documentation: CHF 1,855	
EP	CHF 2,046	CHF 200
FI	CHF 2,046	CHF 200
RU	CHF 172 (276) <sup>34</sup>	CHF 200
SE	CHF 2,046	CHF 200
SG	CHF 1,621	CHF 200
UA	– for a search of only the PCT minimum documentation: CHF 108	CHF 200
	– for a search of only the Russian language documentation of the former USSR and the Ukrainian language documentation: CHF 163	
	– for a search of only the European and North American documentation: CHF 217	
XN	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish: CHF 590	
XV	– for a full search: CHF 2,046	CHF 200
	– for searches only of documentation in Czech, Hungarian, Polish and Slovak: CHF 600	

**Table II — Preliminary examination fees**  
(amounts on 1 October 2016, unless otherwise indicated)

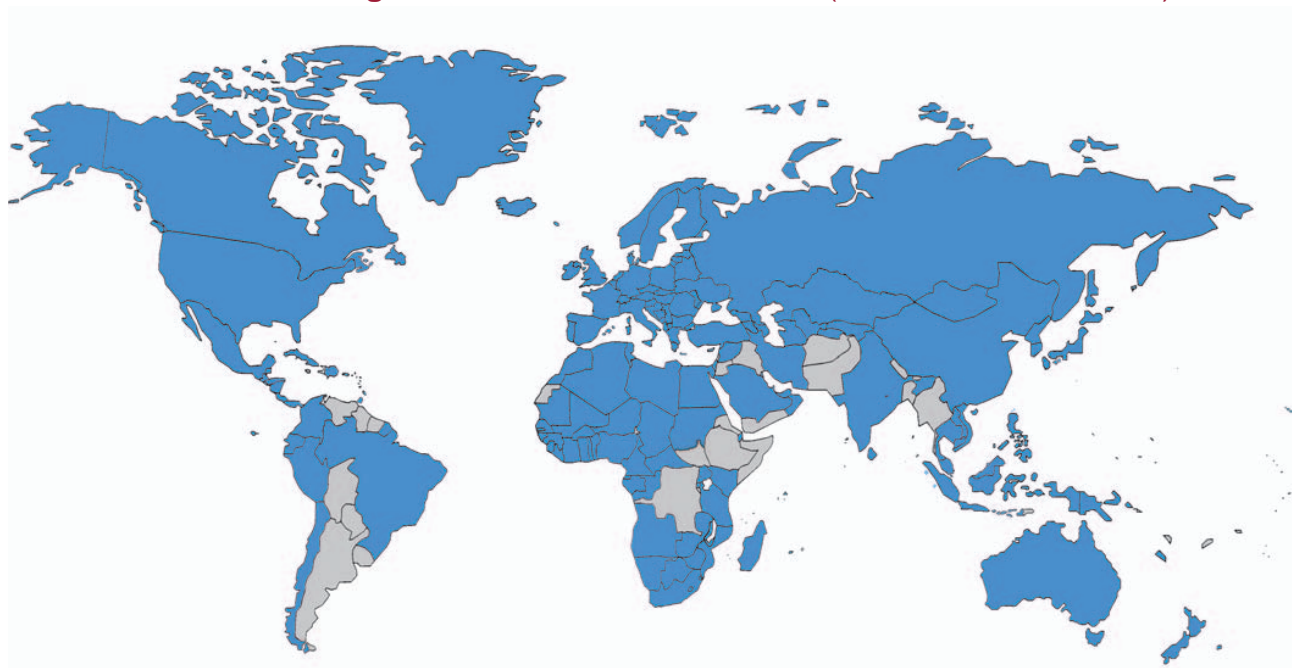
IPEA	Preliminary examination fee <sup>35</sup>	Handling fee <sup>2, 35</sup> (CHF 200)
AT <sup>27</sup>	EUR 1,749	EUR 183
AU	AUD 590 820 <sup>36</sup>	AUD 272
BR <sup>28</sup>	Online: BRL 630	BRL eq CHF 200
	On paper: BRL 945	
CA	CAD 800	CAD 268
CL	USD 1,500	USD 205
	in case of filing by a natural person or a legal entity <sup>2</sup> : USD 400	
	in case of filing by a university <sup>29</sup> : USD 300	
CN	CNY 1,500	CNY eq CHF 200
EG <sup>30</sup>	EGP 3,000	USD 205
EP <sup>31</sup>	EUR 1,930	EUR 183
ES	EUR 589.49 <sup>32</sup>	EUR 183
FI	EUR 600	EUR 183
IL	ILS 1,512	ILS 794
IN	INR 12,000; in case of filing by an individual: INR 3,000	USD 205
JP	For international applications in Japanese: JPY 26,000 <sup>16</sup>	JPY 21,600
	For international applications in English: JPY 58,000	
KR	KRW 450,000	KRW 238,000
RU	For international applications in Russian: RUB 2,700 <sup>37</sup> 4,050 <sup>38</sup>	USD 205
	For international applications in English: RUB 10,500 <sup>37</sup> 15,750 <sup>38</sup>	
SE	SEK 5,000	SEK 1,710
SG	SGD 830	SGD 276
UA	– if the international search report has been prepared by this ISA: EUR 160	EUR 183
	– if the international search report has been prepared by another ISA: EUR 180	
US	USD 600 760 <sup>39</sup>	USD 205
	For small entity <sup>26</sup> : USD 300 380 <sup>39</sup>	
	For micro entity <sup>26</sup> : USD 150 190 <sup>39</sup>	
XN	DKK 5,000	DKK 1,370
XV	EUR 1,930	EUR 183

[See over page for footnotes to fee tables]

*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

## PCT Contracting States and Two-letter Codes (151 on 1 October 2016)



AE United Arab Emirates	CU Cuba	IR Iran (Islamic Republic of)	MG Madagascar	SD Sudan (AP)
AG Antigua and Barbuda	CY Cyprus (EP) <sup>2</sup>	IS Iceland (EP)	MK The former Yugoslav Republic of Macedonia (EP)	SE Sweden (EP)
AL Albania (EP)	CZ Czechia (EP)	IT Italy (EP) <sup>2</sup>	ML Mali (OA) <sup>2</sup>	SG Singapore
AM Armenia (EA)	DE Germany (EP)	JP Japan	MN Mongolia	SI Slovenia (EP) <sup>2</sup>
AO Angola	DJ Djibouti	KE Kenya (AP)	MR Mauritania (OA) <sup>2</sup>	SK Slovakia (EP)
AT Austria (EP)	DK Denmark (EP)	KG Kyrgyzstan (EA)	MT Malta (EP) <sup>2</sup>	SL Sierra Leone (AP)
AU Australia	DM Dominica	<b>KH Cambodia</b> (from 8 December 2016)	MW Malawi (AP)	SM San Marino (EP)
AZ Azerbaijan (EA)	DO Dominican Republic	KM Comoros (OA) <sup>2</sup>	MX Mexico	SN Senegal (OA) <sup>2</sup>
BA Bosnia and Herzegovina <sup>1</sup>	DZ Algeria	KN Saint Kitts and Nevis	MY Malaysia	ST Sao Tome and Principe (AP) <sup>5</sup>
BB Barbados	EC Ecuador	KP Democratic People's Republic of Korea	MZ Mozambique (AP)	SV El Salvador
BE Belgium (EP) <sup>2</sup>	EE Estonia (EP)	KR Republic of Korea	NA Namibia (AP)	SY Syrian Arab Republic
BF Burkina Faso (OA) <sup>2</sup>	EG Egypt	LA Lao People's Democratic Republic	NE Niger (OA) <sup>2</sup>	SZ Swaziland (AP) <sup>2</sup>
BG Bulgaria (EP)	ES Spain (EP)	LC Saint Lucia	NG Nigeria	TD Chad (OA) <sup>2</sup>
BH Bahrain	FI Finland (EP)	LI Liechtenstein (EP)	NI Nicaragua	TG Togo (OA) <sup>2</sup>
BJ Benin (OA) <sup>2</sup>	FR France (EP) <sup>2</sup>	LK Sri Lanka	NL Netherlands (EP) <sup>2</sup>	TH Thailand
BN Brunei Darussalam	GA Gabon (OA) <sup>2</sup>	LR Liberia (AP)	NO Norway (EP)	TJ Tajikistan (EA)
BR Brazil	GB United Kingdom (EP)	LS Lesotho (AP)	NZ New Zealand	TM Turkmenistan (EA)
BW Botswana (AP)	GD Grenada	LV Latvia (EP) <sup>2</sup>	OM Oman	TN Tunisia
BY Belarus (EA)	GE Georgia	LY Libya	PA Panama	TR Turkey (EP)
BZ Belize	GH Ghana (AP)	MA Morocco <sup>3</sup>	PE Peru	TT Trinidad and Tobago
CA Canada	GM Gambia (AP)	MC Monaco (EP) <sup>2</sup>	PG Papua New Guinea	TZ United Republic of Tanzania (AP)
CF Central African Republic (OA) <sup>2</sup>	GN Guinea (OA) <sup>2</sup>	MD Republic of Moldova <sup>4</sup>	PH Philippines	UA Ukraine
CG Congo (OA) <sup>2</sup>	GQ Equatorial Guinea (OA) <sup>3</sup>	ME Montenegro <sup>1</sup>	PL Poland (EP)	UG Uganda (AP)
CH Switzerland (EP)	GR Greece (EP) <sup>2</sup>		PT Portugal (EP)	US United States of America
CI Côte d'Ivoire (OA) <sup>2</sup>	GT Guatemala		QA Qatar	UZ Uzbekistan
CL Chile	GW Guinea-Bissau (OA) <sup>2</sup>		RO Romania (EP)	VC Saint Vincent and the Grenadines
CM Cameroon (OA) <sup>2</sup>	HN Honduras		RS Serbia (EP)	VN Viet Nam
CN China	HR Croatia (EP)		RU Russian Federation (EA)	ZA South Africa
CO Colombia	HU Hungary (AP)		RW Rwanda (AP)	ZM Zambia (AP)
CR Costa Rica	ID Indonesia		SA Saudi Arabia	ZW Zimbabwe (AP)
	IE Ireland (EP) <sup>2</sup>		SC Seychelles	
	IL Israel			
	IN India			

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

<sup>3</sup> Validation of European patent possible for international applications filed on or after 1 March 2015.

<sup>4</sup> Validation of European patent possible for international applications filed on or after 1 November 2015.

<sup>5</sup> Only international applications filed on or after 19 August 2014 will include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:** This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015 and 1 July 2016, respectively). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.

# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

November 2016 | No. 11/2016

## Notifications of Incompatibility under PCT Rule 23bis.2(b) and (e)

It is recalled that in October 2015, the PCT Assembly adopted new PCT Rule 23bis concerning the transmittal by the receiving Office of documents relating to earlier search or classification to the International Searching Authority (ISA), which will enter into force on 1 July 2017. New PCT Rule 23bis.2(a) states:

“For the purposes of Rule 41.2, where the international application claims the priority of one or more earlier applications filed with the same Office as that which is acting as the receiving Office and that Office has carried out an earlier search in respect of such an earlier application or has classified such earlier application, the receiving Office shall, subject to paragraphs (b), (d) and (e), transmit to the International Searching Authority, together with the search copy, a copy of the results of any such earlier search, in whatever form (for example, in the form of a search report, a listing of cited prior art or an examination report) they are available to the Office, and a copy of the results of any such earlier classification effected by the Office, if already available. The receiving Office may also transmit to the International Searching Authority any further documents relating to such an earlier search which it considers useful to that Authority for the purposes of carrying out the international search.”

In view of the differences in national laws concerning the making available of information relating to unpublished applications, receiving Offices were given the possibility to submit notifications of incompatibility, as outlined below.

### Notification under PCT Rule 23bis.2(b)

New Rule 23bis.2(b) permits a receiving Office to notify the International Bureau (IB) by 14 April 2016 that it may, **on request of the applicant** submitted together with the international application, decide not to transmit the results of an earlier search to the International Searching Authority.

The Offices (in their capacity as receiving Offices) of the following States have notified the IB of such incompatibility:

DE	Germany
FI	Finland
SE	Sweden

### Notification under PCT Rule 23bis.2(e)

New Rule 23bis.2(e) permits the receiving Office to notify the IB by 14 April 2016 if the transmission of the copies referred to in PCT Rule 23bis.2(a), or the transmission of such copies



in a particular form, such as those referred to in PCT Rule 23*bis*.2(a), **without the authorization by the applicant** is not compatible, on 14 October 2015, with the national law applied by the receiving Office.

The Offices (in their capacity as receiving Offices) of the following States have notified the IB of such incompatibility, and of the extent to which it applies:

AU	Australia
CH	Switzerland
CZ	Czechia
FI	Finland
HU	Hungary
IL	Israel
JP	Japan
NO	Norway
SE	Sweden
SG	Singapore
US	United States of America

Details on the extent to which such incompatibility applies in the case of each Office concerned were published in the *Official Notices (PCT Gazette)* on 20 October 2016, at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

This information updates the table of “PCT Reservations, Declarations, Notifications and Incompatibilities” at:

[http://www.wipo.int/pct/en/texts/reservations/res\\_incomp.html](http://www.wipo.int/pct/en/texts/reservations/res_incomp.html)

The full text of new PCT Rule 23*bis* can be seen in document PCT/A/47/9, Annex II, pages 4 and 5, at:

[http://www.wipo.int/edocs/mdocs/govbody/en/pct\\_a\\_47/pct\\_a\\_47\\_9.pdf](http://www.wipo.int/edocs/mdocs/govbody/en/pct_a_47/pct_a_47_9.pdf)

and for background information on new PCT Rule 23*bis*, see document PCT/WG/8/18 and paragraphs 60 to 70 of document PCT/WG/8/25 at:

[http://www.wipo.int/meetings/en/details.jsp?meeting\\_id=35593](http://www.wipo.int/meetings/en/details.jsp?meeting_id=35593)

## **Electronic Filing and Processing of International Applications**

### **Japan Patent Office**

The Japan Patent Office (JPO), in its capacity as receiving Office (RO/JP), has notified the International Bureau (IB) that, with effect from 1 January 2017, it will no longer accept the filing of international applications in electronic form using the PCT-SAFE software. As from that date, the JPO PAS will be the only means of electronic filing before RO/JP.



Furthermore, the JPO, in its capacity as receiving Office, International Searching Authority and International Preliminary Examining Authority, is prepared to receive and process subsequently filed documents filed in electronic form to the extent supported by JPO PAS.

A revised notification containing the requirements and practices of RO/JP with regard to the filing of international applications in electronic form was published in the *Official Notices (PCT Gazette)* on 27 October 2016 at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

### Finnish Patent and Registration Office

The Finnish Patent and Registration Office (PRH), in its capacity as receiving Office (RO/FI), has notified the IB that, with effect from 1 January 2017, it will no longer accept the filing of international applications in electronic form using the PCT-SAFE software. It is recalled that RO/FI also accepts XML and PDF files filed using ePCT-Filing or EPO Online Filing.

A revised notification containing the requirements and practices of RO/FI with regard to the filing of international applications in electronic form was published in the *Official Notices (PCT Gazette)* on 10 November 2016 at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

### European Patent Office

Further to the information that was published in *PCT Newsletter* No. 10/2016 regarding the new possibility, since 1 November 2016, for applicants to use ePCT **private** services for the online transmittal to the European Patent Office (EPO) of post-filing documents relating to the international application under PCT Rule 89*bis*.2, please see below some practical information regarding such transmission.

For submitting all post-filing documents to the EPO, in its capacity as receiving Office (RO), International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA), the applicant can use the ePCT "Upload documents" function, selecting RO/EP, ISA/EP or IPEA/EP as recipient, where applicable. As far as submitting the demand for international preliminary examination via the ePCT Action is concerned, if the competent IPEA selected is EP, the demand and any attachments will be routed direct to IPEA/EP and will no longer transit via the IB with Form PCT/IB/368.

Note that documents submitted to RO/EP, ISA/EP and IPEA/EP via ePCT private services are routed to the EPO via the PCT Electronic Data Interchange (EDI) protocol, which is a secure daily online batch transmission, meaning that the documents will be available in the EPO's electronic processing system within a maximum of 24 hours from the time of upload in ePCT private services. The documents are considered received by the EPO at the time when they are uploaded and are immediately available via ePCT private services to users with access rights to the international application, including EPO staff who are registered ePCT users.

Users are reminded that, when using ePCT **public** services, documents can be submitted only to the International Bureau (IB). In order to benefit from all the advantages of ePCT private services, including initial filing of PCT applications and transmittal of subsequently filed documents to participating receiving Offices and international authorities via ePCT, applicants need to set up additional authentication for their WIPO account using a digital certificate, or an EPO smart card. For further details please visit:

[http://www.wipo.int/pct/en/epct/pdf/epct\\_getting\\_started.pdf](http://www.wipo.int/pct/en/epct/pdf/epct_getting_started.pdf)

A revised notification containing the requirements and practices of the EPO with regard to the filing of international applications in electronic form was published in the *Official Notices (PCT Gazette)* on 3 November 2016 at:

[http://www.wipo.int/pct/en/official\\_notices/officialnotices.pdf](http://www.wipo.int/pct/en/official_notices/officialnotices.pdf)

## **PCT Patent Prosecution Highway (PCT-PPH) Pilots**

### **European Patent Office and the Superintendence of Industry and Commerce of Colombia**

On 1 October 2016, a new one-way PCT-PPH pilot program started between the Superintendence of Industry and Commerce of Colombia and the European Patent Office (EPO). Under this program, faster examination in the national phase in Colombia is available on the basis of a PCT application with a positive written opinion from either the International Searching Authority (ISA) or the International Preliminary Examining Authority (IPEA), or a positive international preliminary report on patentability (IPRP) (Chapter II), issued by the EPO in its capacity as ISA/IPEA.

Further information on the above-mentioned PCT-PPH agreement is available at:

<http://www.epo.org/law-practice/legal-texts/official-journal/2016/09/a75/2016-a75.pdf> and

<http://www.sic.gov.co/drupal/sites/default/files/files/Guia%20de%20Colombia%20PPH%20EPO%202.pdf>  
(in Spanish)

The PCT-PPH page of the PCT website has been updated to include information on this new pilot at:

[http://www.wipo.int/pct/en/filing/pct\\_pph.html](http://www.wipo.int/pct/en/filing/pct_pph.html)

## **Non-Working Days at the International Bureau and Publication Schedule at the End of the Year**

For the purposes of computing time limits under PCT Rule 80.5, the days on which the International Bureau (IB) will not be open for business are, for the period from 1 January to 31 December 2017, the following:

All Saturdays and Sundays, and

2 January 2017

14 and 17 April 2017

25 May 2017

5 June 2017

7 September 2017

25 December 2017

29 December 2017

Note that the days indicated above concern only the IB and not the national or regional Offices. The closed dates in 2017 for other Offices, where available, can be found on the PCT website at:

<http://www.wipo.int/pct/dc/closeddates/faces/page/index.xhtml>

### **Non-working days at the International Bureau at the end of this year**

The non-working days at the International Bureau (IB) during December 2016 and January 2017, in addition to the weekends, will be:

Monday, 26 December 2016 and  
Friday, 30 December 2016 and Monday, 2 January 2017.

The IB will therefore be open for business from Tuesday, 27 December to Thursday, 29 December 2016, and will then be open as usual from Tuesday, 3 January 2017.

The dates of operation of the PCT Information Service and the PCT eServices Help Desk, as well as information on the publication schedule, are given below.

#### *PCT Information Service*

The PCT Information Service will be closed from Monday, 26 December 2016 to Monday, 2 January 2017, inclusive. It will reopen on Tuesday, 3 January 2017 at 9:00 (Central European time (CET)).

Please note, however, that if you call the PCT Information Service (telephone number: (+41-22) 338 83 38) during the holiday period, there will be a recorded message providing a telephone number for cases requiring urgent attention. It is recalled that the PCT Information Service answers general inquiries about the filing of international applications and the procedure to be followed during the international phase of the PCT (questions relating to specific applications should be addressed to the PCT Processing Service). For further information, see:

<http://www.wipo.int/pct/en/infoline.html>

#### *PCT eServices Help Desk*

The schedule for the PCT eServices Help Desk during the end-of-year holiday period will be as follows:

Monday, 26 December 2016:	Closed
Tuesday, 27 December 2016 to Thursday, 29 December 2016:	Open as usual, from 9:00 to 18:00 CET
Friday, 30 December 2016 to Monday 2 January 2017:	Closed
Tuesday, 3 January 2017, onwards:	Open as usual, from 9:00 to 18:00 CET

It is recalled that the PCT eServices Help Desk responds to inquiries related to services for the purpose of preparing, filing and managing applications electronically: ePCT (<https://pct.wipo.int>), PCT-SAFE (<http://www.wipo.int/pct-safe/en/index.html>), WIPO digital certificates and Digital Access Service (DAS) (<http://www.wipo.int/das/en/>).

## Publication schedule

During the forthcoming holiday period, there will be no changes to the publication schedule for PCT applications. They will be published, as usual, on Thursdays (that is, on Thursday, 29 December 2016 and Thursday, 5 January 2017), and there will be no change to the deadlines for receipt of changes which should be taken into account for the purposes of international publication (which will be at midnight (CET) on, respectively, Tuesday, 13 December 2016 and Tuesday, 20 December 2016).

## WIPO Digital Access Service for Priority Documents

It is recalled that, by using the WIPO Digital Access Service for Priority Documents (DAS), a PCT applicant can request the International Bureau (IB) to retrieve a copy of an earlier application from DAS for use as a priority document, instead of providing or arranging for the provision of a certified copy. Two further Offices will join the DAS service soon, as explained below.

### Industrial and Commercial Property Office of Morocco

The Industrial and Commercial Property Office of Morocco has notified the IB that it will commence operation as both a depositing and an accessing Office with effect from 1 December 2016. As a depositing Office, it will make available from its digital library all national and international patent applications filed at the Office on or after 1 December 2016, provided that the applicant has specifically requested that those documents be made available to the service. As an accessing Office, it will recognize priority documents available to it through DAS for the purposes of any application for which the time limit for furnishing the priority document has not expired by 1 December 2016.

For further information, see:

<http://www.wipo.int/das/en/notifications.html#TCE>

### Estonian Patent Office

The Estonian Patent Office has notified the IB that it will commence operation as both a depositing and an accessing Office with effect from 1 January 2017. As a depositing Office, it will make available from its digital library all national patent and utility model applications filed at the Office on or after 1 January 2017, provided that the applicant has specifically requested that those documents be made available to the service. As an accessing Office, it will recognize priority documents available to it through DAS for the purposes of any application for which the time limit for furnishing the priority document has not expired by 1 January 2017.

For further information, see:

<http://www.wipo.int/das/en/notifications.html#O0>

## PCT Information Update

### International filing fee, search fee, supplementary search fee and handling fee (various Offices)

On 1 January 2017, there will be changes in the equivalent amounts, in certain currencies, of the international filing fee, the fee per sheet over 30, the electronic filing reductions (where applicable) listed in item 4 of the Schedule of Fees, the search fee, the supplementary search fee and the handling fee, as indicated in the PCT Fee Tables.

These changes update the following annexes of the *PCT Applicant's Guide* (<http://www.wipo.int/pct/guide/en/>), which will be updated shortly:

- **Annex C** (receiving Offices): AM, AP, AU, AZ, BH, BW, BY, BZ, CA, CL, CR, CU, DJ, DO, EA, EC, EG, GB, GE, GH, GT, HN, HU, IB, IL, IN, IS, JP, KE, KG, KZ, LR, MD, MW, MX, NI, NO, NZ, PA, PE, PG, PH, QA, RU, SA, SC, SE, SG, SV, SY, TJ, TM, TT, UA, US, UZ, ZA, ZM, ZW;
- **Annex D** (International Searching Authorities): AT, AU, BR, CA, CL, CN, EG, EP, ES, FI, IL, IN, JP, KR, RU, SE, SG, UA, US, XN, XV;
- **Annex SISA** (International Searching Authorities (Supplementary Search)): RU, SG, UA; and
- **Annex E** (International Preliminary Examining Authorities): AU, CA, CL, EG, IL, IN, JP, KR, RU, SE, SG, US, XN.

### BN Brunei Darussalam (Internet address)

The Internet address of the Brunei Darussalam Intellectual Property Office (BruiPO) has changed, as follows.

Internet: [www.energy.gov.bn/bruipo/Home.aspx](http://www.energy.gov.bn/bruipo/Home.aspx)

(Updating of *PCT Applicant's Guide*, Annex B1 (BN))

### DJ Djibouti (means of telecommunication; evidence of mailing a document)

The Office of Industrial Property and Commerce of Djibouti (ODPIC) has informed the International Bureau (IB) of additional details concerning the filing of documents by means of telecommunication – all kinds of documents may be filed by e-mail, and the original of the document must be furnished within one month from the date of the transmission if the transmitted document is the international application or a replacement sheet containing corrections or amendments of the international application.

In respect of the Office's willingness to accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used, it has notified the IB that it accepts such evidence provided that the delivery service is DHL or Federal Express.

(Updating of *PCT Applicant's Guide*, Annex B1 (DJ))

### **EP European Patent Office (electronic filing)**

For information on the new possibility for applicants to use ePCT private services for the online transmittal to the European Patent Office of post-filing documents relating to the international application under PCT Rule 89bis.2, please see “Electronic Filing and Processing of International Applications,” above.

### **FI Finland (electronic filing)**

For information on the non-acceptance by the Finnish Patent and Registration Office, in its capacity as receiving Office, of international applications filed in electronic form using the PCT-SAFE software, with effect from 1 January 2017, see “Electronic Filing and Processing of International Applications,” above.

### **HN Honduras (summary of requirements for entry into the national phase)**

Information on the requirements of the Directorate General of Intellectual Property (Honduras) as designated (or elected) Office has been published in the *PCT Applicant's Guide* (National Chapter, Summary (HN)) on the PCT website at:

<http://www.wipo.int/pct/guide/en/gdvol2/annexes/hn.pdf>

### **IB International Bureau (fees)**

As from 1 January 2017, there will be a change in the equivalent amount in USD of the transmittal fee, payable to the IB as receiving Office. The new amount will be USD 103.

(Updating of *PCT Applicant's Guide*, Annex C (IB))

### **JP Japan (electronic filing)**

For information on the non-acceptance by the Japan Patent Office, in its capacity as receiving Office, of international applications filed in electronic form using the PCT-SAFE software, with effect from 1 January 2017, see “Electronic Filing and Processing of International Applications,” above.

### **MX Mexico (competent International Searching and Preliminary Examining Authorities)**

The Mexican Institute of Industrial Property, in its capacity as receiving Office, has specified, with effect from 25 October 2016, the Austrian Patent Office, in addition to the European Patent Office, the Intellectual Property Office of Singapore, the Korean Intellectual Property Office, the National Institute of Industrial Property (Chile), the Spanish Patent and Trademark Office, the Swedish Patent and Registration Office and the United States Patent and Trademark Office, as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of Mexico with the Mexican Institute of Industrial Property, or with the International Bureau (IB), as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (MX))

### **OM Oman (competent International Searching and Preliminary Examining Authorities)**

The Intellectual Property Department, Ministry of Commerce and Industry (Oman) has specified, with effect from 31 October 2016, the Egyptian Patent Office, in addition to the Australian Patent



Office, the Austrian Patent Office, the European Patent Office and the United States Patent and Trademark Office, as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of Oman with the Intellectual Property Department, Ministry of Commerce and Industry (Oman), or with the IB, as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (OM))

### US United States of America (competent International Searching and Preliminary Examining Authorities)

Reference is made to the specification by the United States Patent and Trademark Office (USPTO), with effect from 1 October 2014, of the Israel Patent Office (ILPO) as competent International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) for certain international applications filed by nationals and residents of the United States of America with the USPTO as receiving Office (RO/US) (see *PCT Newsletter* Nos. 07-08, 10 and 11/2014 and 04/2016). The ILPO has notified the IB that the conditions under which it will act as an ISA and IPEA for international applications filed by U.S. applicants will change with effect from 1 January 2017.

The ILPO will, as from that date, be prepared to act as ISA and IPEA for international applications filed in English by national and residents of the United States of America with the IB as receiving Office (RO/IB), in addition to international applications filed with RO/US. Note that the maximum number of international applications that it will search during the relevant fiscal quarter will remain at 100.

### Warning About Requests for Payment of Fees

#### New invitations

Further to the many warnings that have been published in the *PCT Newsletter* about invitations to PCT applicants and agents to pay fees that do not come from the International Bureau of WIPO (IB) and are unrelated to the processing of international applications under the PCT, new invitations have been identified originating from “**IRPT – International Register of Patents & Trademarks**” and “**IRO – Intellectual Property Office**”. To view examples of the invitations, as well as the many other examples which have been brought to the attention of WIPO by PCT users, and for further information on such requests in general, see:

[http://www.wipo.int/pct/en/warning/pct\\_warning.html](http://www.wipo.int/pct/en/warning/pct_warning.html)

PCT applicants and agents should note that it is the IB alone which publishes all PCT applications promptly after the expiration of 18 months from the priority date (see PCT Article 21(2)(a)); there is no separate fee for such international publication, and the legal effects of international publication are set out in PCT Article 29.

If they have not already done so, PCT applicants and agents are advised to bring this information to the attention of the people responsible for handling payments of fees within their organizations, as well as to the attention of inventors who might also receive such requests. In case of doubt in relation to any such invitation, please do not hesitate to contact the IB at:

Telephone: (+41-22) 338 83 38  
Fax: (+41-22) 338 83 39  
E-mail: [pct.legal@wipo.int](mailto:pct.legal@wipo.int)

We would also like to encourage PCT applicants, agents or inventors (PCT users) to take action with their governments or consumer protection associations. A suggested text for making complaints and a list of “Government authorities/consumer protection associations receiving complaints” are available at the above-mentioned web page.

## **New/updated PCT Resources**

### **Demand Form (in Russian)**

The Russian version of the demand form (PCT/IPEA/401) has been revised with effect from 1 July 2016, and is available at:

[http://www.wipo.int/pct/ru/forms/demand/ed\\_demand.pdf](http://www.wipo.int/pct/ru/forms/demand/ed_demand.pdf)

### **PCT Reservations, Declarations, Notifications and Incompatibilities**

The table “PCT Reservations, Declarations, Notifications and Incompatibilities” has been updated with effect from 20 October 2016. For further information, see “Notifications of Incompatibility under PCT Rule 23*bis*.2(b) and (e)”, above.

## **PATENTSCOPE Search System**

### **WIPO Translate: incorporation of new technology**

WIPO Translate now incorporates cutting-edge neural machine translation technology to render highly technical patent documents into a second language in a style and syntax that more closely mirrors common usage, out-performing other translation tools built on previous technologies.

WIPO has initially “trained” the new technology to translate Chinese, Japanese and Korean patent documents into English (patent applications in those languages accounted for some 55% of worldwide filings in 2014) and users can already try out the Chinese-English translation facility on the public beta test platform. The high level of accuracy of the Chinese-English translation is the result of the training of the neural machine translation tool, which compared 60 million sentences from Chinese patent documents provided to WIPO’s PATENTSCOPE database by the State Intellectual Property Office of the People’s Republic of China with their translations as filed at the United States Patent and Trademark Office.

WIPO plans to extend the neural machine translation service to Japanese-, Korean- and French-language patent applications, with other languages to follow. The PATENTSCOPE database integrates with other translation engines freely available on the internet and continues to use existing statistical-based translation technology for languages where it performs well.

A brief introduction to the new translation tool technology is given by the Director General of WIPO, Francis Gurry, at:

<https://www.youtube.com/watch?v=fL1VCKWKj6U&feature=youtu.be>

and more detailed information is given in Press Release PR/2016/799 at:

[http://www.wipo.int/pressroom/en/articles/2016/article\\_0014.html](http://www.wipo.int/pressroom/en/articles/2016/article_0014.html)

### **Chemical structure search**

In October 2016, a new chemical structure search feature was launched which allows users to search for chemical information in patent documents contained in PATENTSCOPE. The search feature recognizes the names of chemical compounds in patent texts and recognizes their structure from embedded drawings in patent texts. It applies to the title, abstract, claim and description fields.

The new feature is currently available for published PCT applications in English and German (from 1978), and the national collection of the United States of America (from 1979), and will become available for other languages and collections in the future.

For further information, please see the announcement at:

[http://www.wipo.int/patentscope/en/news/pctdb/2016/news\\_0008.html](http://www.wipo.int/patentscope/en/news/pctdb/2016/news_0008.html)

and for more detailed information on how to use the chemical structure search feature, please see the user guide at:

[https://patentscope.wipo.int/search/help/en/chemsearch\\_help.pdf](https://patentscope.wipo.int/search/help/en/chemsearch_help.pdf)

### **PowerPoint presentations**

Between January and October 2016, the following webinars on the PATENTSCOPE search system were given:

- Overview of PATENTSCOPE (January 2016)
- Presentation of the CLIR tool available in PATENTSCOPE (February 2016)
- Complex queries in PATENTSCOPE (March 2016)
- Simple and Field Combination Searches in PATENTSCOPE (April 2016)
- Result list and analysis tools in PATENTSCOPE (May 2016)
- Translation tools in PATENTSCOPE (June 2016)
- The Browse menu in PATENTSCOPE (July 2016)
- IPC and PATENTSCOPE (August 2016)
- Chemical structure search (September 2016)
- Options and Help menus in PATENTSCOPE (October 2016)

The PowerPoint slides that were used for those webinars are available at:

<http://www.wipo.int/patentscope/en/webinar/>

Further webinars on the PATENTSCOPE search system will be taking place in the near future. Please refer to the list of PCT webinars at the end of the PCT Seminar Calendar.

## WIPO Pearl Update: New Interface Language

The WIPO Pearl interface is now available in Russian, in addition to English, French, Japanese and Spanish. Users can select their language preference at the top right-hand side of the screen. Arabic, Chinese, German, Korean and Portuguese versions are under development.

It is recalled that WIPO Pearl, WIPO's multilingual terminology portal, gives free access to a wealth of scientific and technical terms derived from PCT applications and national patent documents, as well as to key PCT legal terms, in all 10 publication languages of the PCT. It helps to promote accurate and consistent use of terms across those languages, and makes it easier to search and share scientific and technical knowledge.

WIPO Pearl is available at:

<http://www.wipo.int/reference/en/wipopearl/>

## Revision of PCT-EPO Guidelines

The EPO publication "*Guidelines for Search and Examination at the EPO as PCT Authority*" has been revised, with effect from 1 November 2016, and is now available on the EPO website at:

<http://www.epo.org/law-practice/legal-texts/guidelines-pct.html>

The *Guidelines* give instructions on the practice and procedure to be followed in various aspects of the handling of international applications before the EPO as ISA and IPEA.

## Practical Advice

### Situations where declarations under PCT Rule 4.17 should not be submitted

*Q: I have filed an international application on behalf of a corporate applicant and, in order to facilitate the procedure for entry into the national phase, I included a "Declaration as to the Applicant's Entitlement to Apply for and Be Granted a Patent" together with my international application. The applicant has now assigned the rights to the application to another company. I will remain the agent of record and will request the International Bureau to record the change of applicant under PCT Rule 92bis. May I also submit a second declaration to include the name of the new applicant?*

**A:** You should not submit a new Declaration as to the Applicant's Entitlement to Apply for and Be Granted a Patent under PCT Rule 4.17(ii) (hereinafter: declaration under PCT Rule 4.17(ii)) to take into account the fact that a new applicant will replace the original applicant. This is because that declaration is only applicable to those events which occurred before, or on, the international filing date, and not to those which occur after the international filing date.

The declarations under PCT Rule 4.17 must be worded as shown in Sections 211 to 215, respectively, of the Administrative Instructions under the PCT, subject to any necessary inclusion, omission, repetition and re-ordering of any items that are permitted (depending on the declaration concerned). In the case of the declaration under PCT Rule 4.17(ii), the declaration is made that, **as at the international filing date**, the applicant specified in the declaration was

entitled to apply for and be granted a patent.<sup>1</sup> The inclusion, omission, repetition and re-ordering of the matters listed under Section 212 are permitted, as is necessary to explain the applicant's entitlement, however, you are not permitted to delete the wording "as at the international filing date".

You should therefore, at this stage, only submit to the International Bureau (IB) a request for the recording of a change of applicant under PCT Rule 92*bis*, together with a new power of attorney in which the new applicant appoints you as agent. Where there has been a change of applicant after the filing of the international application, any designated (or elected) Office would, however, be entitled under PCT Rule 51*bis*.1(a)(ii) to require any evidence regarding the new applicant's entitlement to apply for and be granted a patent, and so you may be required, upon entry into the national phase, or within a time limit permitted by the designated Office concerned, to submit such a declaration or other evidence directly to such Offices.

For information on which Offices would require any evidence as to the entitlement to apply for or be granted a patent, please see the Summary of each National Chapter of the *PCT Applicant's Guide*, which lists the special requirements, if any, which must be complied with in connection with entry into the national phase, and indicates whether the Office concerned will invite the applicant to comply or, if there is no invitation, what the applicable time limit is for such compliance. It is, nevertheless, highly recommended that any special requirement be complied with at the time of performing the acts for entry into the national phase, because this is more efficient and avoids the risk of forgetting to comply with the requirement later. Note that some designated Offices do not require such evidence where the change has been the subject of a request for recording a change and was reflected in a notification from the IB (Form PCT/IB/306 "Notification of the Recording of a Change").

For further information on the filing of declarations under PCT Rule 4.17 in general, please see:

- the *PCT Applicant's Guide*, International Phase, paragraphs 5.074 to 5.083A, 6.045 to 6.050, and National Phase, paragraphs 5.003 to 5.005, at:

<http://www.wipo.int/pct/en/appguide/>

- Sections 211 to 215 of the Administrative Instructions at:

<http://www.wipo.int/pct/en/texts/pdf/ai.pdf>

- the Notes to Box No. VIII of the request form at:

[http://www.wipo.int/pct/en/forms/request/ed\\_request.pdf](http://www.wipo.int/pct/en/forms/request/ed_request.pdf)

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<sup>1</sup> Where an applicant submits a declaration under PCT Rule 4.17(iii) as to the applicant's entitlement to claim priority of the earlier application (for example, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application), this should also show the situation as at the international filing date.

## PCT Seminar Calendar

(<http://www.wipo.int/pct/en/seminar/seminar.pdf>)

(situation on 7 November 2016)

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>8-10 November 2016</b> <b>Riyadh (SA)</b>	Arabic-English	Advanced workshop on the PCT and the ePCT system WIPO speakers: Mr. Aloui	Saudi Patent Office (Mr. Sami A. AlSodais) Tel: (966-11) 481 43 42, 481 33 44, 481 38 30 E-mail: ssodais@kacst.edu.sa (Mr. Mohammed Altharwy) Tel: (966-11) 481 43 47 Fax: (966-11) 481 33 76 E-mail: mtharwi@kacst.edu.sa
<b>16 November 2016</b> <b>Budapest (HU)</b>	English/Hungarian	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Henninger Other WIPO speakers on other IP topics: Mr. Bisson, Ms. Halgand, Mr. Vázquez López and Mr. Diaconescu	WIPO and the Hungarian Patent Office (Ms. Veronika Cserba) E-mail: hipo@hipo.gov.hu
<b>23-24 November 2016</b> <b>Cracow (PL)</b> <i>(a limited number of places are available to the public)</i>	English	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Mr. Henninger	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl
<b>24-25 November 2016</b> <b>Dresden (DE)</b>	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de
<b>28 November 2016</b> <b>Chiba (JP)</b>	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division Tel: (81-3) 35 81 11 01 ext. 2107 Internet: <a href="https://www.jpo.go.jp/torikumi/ibento/ibento2/h27_chiteki_setumeikai.htm">https://www.jpo.go.jp/torikumi/ibento/ibento2/h27_chiteki_setumeikai.htm</a>
<b>1-2 December 2016</b> <b>London (GB)</b> <b>[Cancelled]</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Marlow and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>2 December 2016</b> <b>Tokyo (JP)</b>	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division Tel: (81-3) 35 81 11 01 ext. 2107 Internet: <a href="https://www.jpo.go.jp/torikumi/ibento/ibento2/h27_chiteki_setumeikai.htm">https://www.jpo.go.jp/torikumi/ibento/ibento2/h27_chiteki_setumeikai.htm</a>
<b>5 December 2016</b> <b>Osaka (JP)</b>	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division (see Tokyo, above)
<b>6-7 December 2016</b> <b>Paris (FR)</b>	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms. Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>7 December 2016</b> <b>Aichi (JP)</b>	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division (see Tokyo, above)

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<b>PCT Seminar Calendar [continued]</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>8 December 2016 Paris (FR)</b>	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr
<b>17-18 May 2017 Munich (DE)</b>	German	Basic PCT seminar WIPO speakers: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de
<b>15-16 June 2017 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: to be announced	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk
<b>12-13 July 2017 Munich (DE)</b>	German	Presentation on the PCT – Update International Patent Law WIPO speaker: Mr. Reischle-Park	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de
<b>19-20 October Munich (DE)</b>	German	Advanced PCT seminar WIPO speakers: to be announced	Forum Institut für Management GmbH (see Munich, above)

<b>PCT Webinars</b>			
<a href="http://www.wipo.int/pct/en/seminar/webinars/index.html">http://www.wipo.int/pct/en/seminar/webinars/index.html</a> <a href="http://www.wipo.int/patentscope/en/webinar/">http://www.wipo.int/patentscope/en/webinar/</a>			
<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>22 November 2016</b> 17:30–18:30 CET or <b>24 November 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Complex queries WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/2191351239500238084">https://attendee.gotowebinar.com/rt/2191351239500238084</a>
<b>6 December 2016</b> 17:30–18:30 CET or <b>8 December 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Retrospective of 2016 and plans for 2017 WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/5339380573171764740">https://attendee.gotowebinar.com/rt/5339380573171764740</a>

<b>PCT Events Without WIPO speakers<sup>1</sup></b>			
<b>Dates and location</b>	<b>Language of event</b>	<b>Nature of seminar; Speakers</b>	<b>Organizer and contact numbers</b>
<b>28 November 2016 Bologna (IT)</b>	Italian	Seminar: “PCT at the EPO” Speakers: Ms. Guglielmetti, (Italian Patent and Trademark Office), Ms. Giancane, (European Patent Office) and Mr. Santi, (Barzanò & Zanardo, Rome) and Mr. Pizzoli (Collegio Italiano dei Consulenti in Proprietà Industriale)	European Patent Academy, International Federation of Intellectual Property Attorneys, Italy, and Italian Patent and Trademark Office Tel: (49-89) 23 99 54 54 E-mail: academy@epo.org Internet: <a href="https://www.epo.org/learning-events/events/search/details.html?id=13428">https://www.epo.org/learning-events/events/search/details.html?id=13428</a>

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.

## PCT Fee Tables

(amounts on 3 November 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

*Key to abbreviations used in fee tables:*

eq	equivalent of –	BRL	Brazilian real	HRK	Croatian kuna	MWK	Malawian kwacha	THB	Baht
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	HUF	Hungarian forint	MXN	Mexican peso	TJS	Tajik somoni
ISA	International Searching Authority	CAD	Canadian dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TND	Tunisian dinar
RO	receiving Office	CHF	Swiss franc	ILS	New Israeli sheqel	NOK	Norwegian krone	TTD	Trinidad and Tobago dollar
		CLP	Chilean peso	INR	Indian rupee	NZD	New Zealand dollar	UAH	Ukrainian hryvnia
		CNY	Yuan renminbi	IRR	Iranian rial	OMR	Omani rial	USD	US dollar
		COP	Colombian peso	ISK	Icelandic krona	PEN	Nuevo sol	VND	Vietnamese dong
		CUC	Cuban convertible peso	JPY	Japanese yen	PGK	Kina	XAF	CFA franc BEAC
		CZK	Czech koruna	KES	Kenyan shilling	PHP	Philippine peso	ZAR	South African rand
ALL	Albanian lek	DJF	Djibouti franc	KGS	Kyrgyz som	PLN	Polish zloty	ZWD	Zimbabwe dollar
AMD	Armenian dram	DKK	Danish krone	KPW	Won	QAR	Qatari riyal		
AUD	Australian dollar	DZD	Algerian dinar	KRW	Won	RON	New leu		
AZN	Azerbaijani manat	EGP	Egyptian pound	KZT	Kazakh tenge	RSD	Serbian dinar		
BAM	Convertible mark	EUR	Euro	LSL	Lesotho loti	RUB	Russian rouble		
BGN	Bulgarian lev	GBP	Pound sterling	LYD	Libyan dinar	SDG	Sudanese pound		
BHD	Bahraini dinar	GHS	Ghanaian cedi	MAD	Moroccan dirham	SEK	Swedish krona		
BND	Brunei dollar	GTQ	Quetzal	MKD	Macedonian denar	SGD	Singapore dollar		

**Table I(a) — Transmittal and international filing fees**

(amounts on 3 November 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1, 2</sup> (CHF 1,330)	Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
					Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available							CA
AL	ALL	9,000	CHF 1,330	15	–	–	–	EP
AM	AMD	32,000	USD 1,363 <i>(from 1.1.17: 1,367)</i>	15 <i>(15)</i>	– <i>(–)</i>	– <i>(–)</i>	– <i>(–)</i>	EP RU
AP	USD	50 <i>(or eq in local currency)</i>	USD 1,363 <i>(from 1.1.17: 1,367)</i>	15 <i>(15)</i>	– <i>(–)</i>	– <i>(–)</i>	– <i>(–)</i>	AT EP SE
AT	EUR	52	EUR 1,219	14	–	183	275	EP
AU	AUD	200	AUD 1,811 <i>(from 1.1.17: 1,781)</i>	20 <i>(20)</i>	– <i>(–)</i>	272 <i>(268)</i>	408 <i>(402)</i>	AU KR
AZ	AZN	35.40	USD 1,363 <i>(from 1.1.17: 1,367)</i>	15 <i>(15)</i>	– <i>(–)</i>	205 <i>(206)</i>	307 <i>(308)</i>	EP RU
BA	BAM	50	EUR 1,219	14	–	–	–	EP
BE	EUR	120	EUR 1,219	14	–	–	–	EP
BG	BGN	80	BGN eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP RU
BH	BHD	70	USD 1,363 <i>(from 1.1.17: 1,367)</i>	15 <i>(15)</i>	– <i>(–)</i>	– <i>(–)</i>	– <i>(–)</i>	AT EP US
BN	BND	150	BND eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU JP EP
BR	BRL <sup>8</sup>	online: 175 on paper: 260	BRL eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR EP SE US
BW	USD	32	USD 1,363 <i>(from 1.1.17: 1,367)</i>	15 <i>(15)</i>	– <i>(–)</i>	– <i>(–)</i>	– <i>(–)</i>	EP
BY	USD	50	USD 1,363 <i>(from 1.1.17: 1,367)</i>	15 <i>(15)</i>	– <i>(–)</i>	– <i>(–)</i>	– <i>(–)</i>	EP RU

*[continued on next page]*

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 3 November 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>		Fee per sheet over 30 <sup>1,2,3</sup>		E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
							Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
BZ	BZD	300	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	– (–)	CA EP	
CA	CAD	300	CAD 1,782 (from 1.1.17: 1,792)	20 (20)	– (–)	268 (269)	402 (404)	–	CA	
CH	CHF	100	CHF 1,330	15	–	–	–	–	EP	
CL	CLP eq USD <sup>9</sup>	130	CLP eq USD <sup>9</sup> 1,363 (from 1.1.17: 1,367)	eq USD <sup>9</sup> 15 (15)	– (–)	eq USD 205 (206)	eq USD 307 (308)	–	CL EP ES KR US	
CN	CNY	500	CNY eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	–	CN	
CO	COP	952,000 <sup>10</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	–	AT BR CL EP ES RU	
CR	USD	250	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	–	CL EP ES	
CU	CUC	200	CUC 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	–	AT BR CL EP ES RU	
CY	EUR	191	EUR 1,219	14	–	–	–	–	EP	
CZ	CZK	1,500	EUR 1,219	14	–	183	275	–	EP XV	
DE	EUR	90	EUR 1,219	14	–	183	275	–	EP	
DJ	USD	100	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	–	EG EP	
DK	DKK	1,500	DKK 9,090 (from 1.1.17: 9,070)	100 (100)	– (–)	1,370 (1,360)	2,050 (2,050)	–	EP SE XN	
DM	Information not yet available									
DO	USD	275	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	–	CL EP ES US	
DZ	DZD	None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	–	AT EP	
EA	RUB	1,600	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	–	EP RU	
EC	USD <sup>11</sup>	–	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	–	CL EP ES	
EE	EUR	120	EUR 1,219	14	–	183	275	–	EP	
EG	USD	142	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	–	AT EG EP US	
EP	EUR	130	EUR 1,219	14	92	183	275	–	EP	
ES	EUR	74.99	EUR 1,219	14	–	183	275	–	EP ES	
FI	EUR	135	EUR 1,219	14	–	183	275	–	EP FI SE	
FR	EUR	62	EUR 1,219	14	–	183	275	–	EP	
GB	GBP	75	GBP 1,053 (from 1.1.17: 1,063)	12 (12)	– (–)	158 (160)	238 (240)	–	EP	
GD	Information not yet available									
GE	USD <sup>12</sup>	100	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	–	AT EP IL RU US	
GH	GHS <sup>13</sup> 2,500 or 5,000		USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	–	AT AU CN EP SE	
GR	EUR	115	EUR 1,219	14	–	–	–	–	EP	
GT	GTQ eq USD	250	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	–	AT BR CL EP ES US	
HN	USD	200	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	–	EP ES	
HR	HRK	200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	–	EP	
HU	HUF	11,800	HUF 380,800 (from 1.1.17: 374,700)	4,300 4,200	– (–)	57,300 (56,300)	85,900 (84,500)	–	EP XV	
IB	CHF <sup>14</sup> or EUR <sup>14</sup> or USD <sup>14</sup> (from 1.1.17: 103)	100 92 102	CHF 1,330 or EUR 1,219 or USD 1,363 (from 1.1.17: 1,367)	15 14 15 (15)	– – – (–)	200 183 205 (206)	300 275 307 (308)	–	See footnote 15	
ID	IDR	1,000,000	IDR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	–	AU EP JP KR RU SG	

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 3 November 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	EP IL US
IN	INR 17,600 (filing by natural person: 3,250; filing by small entity: 8,800)	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	AT AU CN EP IN SE US
IR	IRR <sup>11</sup> –	IRR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN EP IN RU
IS	ISK 15,000	ISK 161,500 (from 1.1.17: 155,600)	1,800 (1,800)	– (–)	24,300 (23,400)	36,400 (35,100)	EP SE XN
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>16</sup>	JPY 143,700 (from 1.1.17: 138,800)	1,600 (1,600)	– (–)	– (–)	32,400 (31,300)	EP JP SG
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
KN	Information not yet available						EP
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>17</sup> KR
KZ	KZT 10,264.80	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
LR	USD 45	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT AU CN EP SE
LS	LSL <sup>11</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	EUR 92	EUR 1,219	14	–	183	275	EP RU
LU	EUR 19	EUR 1,219	14	–	–	–	EP
LV	EUR 70	EUR 1,219	14	–	183	275	EP RU
LY	LYD <sup>11</sup> –	CHF 1,330	15	–	–	–	AT EP
MA	MAD 600 <sup>18</sup>	CHF 1,330	15	–	–	–	AT EP RU SE
MC	EUR 54 <sup>19</sup>	EUR 1,219	14	–	–	–	EP
MD	EUR 100	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
ME	EUR <sup>11</sup> –	EUR 1,219	14	–	–	–	EP
MK	MKD 2,700	MKD eq CHF 1,330	eq CHF 15	–	–	–	EP
MN	None	CHF 1,330	15	–	–	–	EP KR RU
MT	EUR 55	EUR 1,219	14	–	–	–	EP
MW	MWK 6,000	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP
MX	USD 323.70 <sup>20</sup>	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	AT CL EP ES KR SE SG US
MY	MYR 500 (e-filing) <sup>21</sup> 550 (paper filing) <sup>21</sup>	MYR eq CHF 1,330	eq CHF 15	– (–)	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD 200	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP ES
NL	EUR 50	EUR 1,219	14	–	183	275	EP
NO	NOK 800	NOK 11,460 (from 1.1.17: 10,910)	130 (120)	– (–)	1,720 (1,640)	2,590 (2,460)	EP SE XN
NZ	NZD 207	NZD 1,945 (from 1.1.17: 1,880)	22 (21)	– (–)	292 (283)	439 (424)	AU EP KR US
OA	XAF <sup>11</sup> –	XAF eq CHF 1,330	eq CHF 15	–	–	–	AT EP RU SE
OM	OMR 40	OMR eq USD 1,363 (from 1.1.17: 1,367)	eq USD 15 (15)	– (–)	eq USD 205 (206)	eq USD 307 (308)	AT AU EG EP US

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 3 November 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
PA	USD 200	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	BR CL EP ES US
PE	PEN 233.35	PEN eq USD 1,363 (from 1.1.17: 1,367)	eq USD 15 (15)	– (–)	– (–)	– (–)	AT BR CL EP ES KR US
PG	PGK 250	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AU
PH	PHP 3,500	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	AU EP JP KR US
PL	PLN 300	PLN eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP XV
PT	EUR 10.46 (online filing) EUR 20.92 (paper filing)	EUR 1,219	14	–	183	275	EP
QA	QAR 400	QAR eq USD 1,363 (from 1.1.17: 1,367)	eq USD 15 (15)	– (–)	eq USD 205 (206)	eq USD 307 (308)	EG EP US
RO	RON 441	EUR 1,219	14	–	183	275	EP RU
RS	RSD 7,300 <sup>22</sup>	RSD eq CHF 1,330	eq CHF 15	–	–	–	EP
RU	RUB 850	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	EP RU
RW	Information not yet available						
SA	USD 100	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	CA EG EP KR RU
SC	USD <sup>11</sup> –	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP
SD	SDG 50	SDG eq CHF 1,330	eq CHF 15	–	–	–	EG EP
SE	SEK 1,200	SEK 11,380 (from 1.1.17: 11,710)	130 (130)	– (–)	1,710 (1,760)	2,570 (2,640)	EP SE XN
SG	SGD 150	SGD 1,837 (from 1.1.17: 1,866)	21 (21)	– (–)	276 (281)	414 (421)	AT AU EP JP KR SG
SI	EUR 91	EUR 1,219	14	–	–	–	EP
SK	EUR 66 <sup>23</sup>	EUR 1,219	14	–	183	275	EP XV
SM	EUR 70	EUR 1,219	14	–	–	–	EP
SV	USD 200	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	CL EP ES
SY	USD <sup>11</sup> –	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT EG EP RU
TH	THB 3,000	THB eq CHF 1,330	eq CHF 15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>11</sup> –	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
TM	USD <sup>11</sup> –	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
TN	TND <sup>11</sup> –	CHF 1,330	15	–	–	–	EP
TR	None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	EP
TT	TTD 750	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT EP SE US
UA	UAH or eq EUR or USD <sup>24</sup> 1,300	USD (or eq UAH or EUR) 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU UA
US	USD 240 <sup>25</sup> small entity <sup>26</sup> : 120 <sup>25</sup> micro entity <sup>26</sup> : 60 <sup>25</sup>	USD 1,363 (from 1.1.17: 1,367)	15 (15)	102 (103)	205 (206)	– (–)	AU EP IL JP KR SG RU US
UZ	USD <sup>11</sup> –	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
VN	VND eq USD 150	VND eq CHF 1,330	eq CHF 15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR 500	ZAR 19,100 (from 1.1.17: 18,610)	220 (210)	– (–)	2,870 (2,800)	4,310 (4,200)	AT AU EP US
ZM	USD 50	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT SE
ZW	ZWD 6,000	ZWD eq USD 1,363 (from 1.1.17: 1,367)	eq USD 15 (15)	– (–)	– (–)	– (–)	AT AU CN EP RU

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**Table I(b) — Search fees**  
(amounts on 3 November 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>						
<b>AT</b> <sup>27</sup>	<b>EUR</b> 1,864 * (from 1.1.17: <b>KRW</b> 2,309,000)	<b>CHF</b> 2,034 <b>KRW</b> 2,309,000	<b>KRW</b> * 2,419,000 <b>SGD</b> 2,854	<b>SGD</b> * 2,780 <b>USD</b> 2,091	<b>USD</b> * 2,084 <b>ZAR</b> 28,470)	<b>ZAR</b> * 28,940	
<b>AU</b>	<b>AUD</b> 2,200 <b>USD</b> 1,674** * (from 1.12.16: <b>KRW</b> 1,858,000) ** (from 1.1.17: <b>CHF</b> 1,643 <b>USD</b> 1,688	<b>CHF</b> ** 1,616 <b>ZAR</b> ** 23,900 <b>KRW</b> 1,858,000) <b>CHF</b> 1,643 <b>ZAR</b> 22,990)	<b>EUR</b> ** 1,481 <b>EUR</b> 1,505	<b>KRW</b> ** 1,963,000 <b>KRW</b> 1,864,000	<b>NZD</b> ** 2,397 <b>NZD</b> 2,322	<b>SGD</b> ** 2,210 <b>SGD</b> 2,304	
<b>BR</b> <sup>28</sup>	Online: * (from 1.1.17: <b>CHF</b> 511 On paper: * (from 1.1.17: <b>CHF</b> 766	<b>BRL</b> 1,685 <b>CHF</b> 511 <b>BRL</b> 2,525 <b>CHF</b> 766	<b>CHF</b> * 502 <b>EUR</b> 468 <b>CHF</b> * 752 <b>EUR</b> 702	<b>EUR</b> * 464 <b>USD</b> 525) <b>EUR</b> * 695 <b>USD</b> 787)	<b>USD</b> * 518 <b>USD</b> * 776		
<b>CA</b>	<b>CAD</b> 1,600 * (from 1.1.17: <b>CHF</b> 1,187	<b>CHF</b> * 1,194 <b>CHF</b> 1,187	<b>EUR</b> * 1,094 <b>EUR</b> 1,088	<b>USD</b> * 1,261 <b>USD</b> 1,220)			
<b>CL</b>	<b>USD</b> 2,000 * (from 1.1.17: <b>CHF</b> 1,946 In case of filing by an natural person or a legal entity <sup>2</sup> : * (from 1.1.17: <b>CHF</b> 389 In case of filing by a university <sup>29</sup> : * (from 1.1.17: <b>CHF</b> 292	<b>CHF</b> * 1,952 <b>CHF</b> 1,946 <b>CHF</b> 389 <b>CHF</b> 292	<b>EUR</b> * 1,789 <b>EUR</b> 1,783) <b>EUR</b> 357) <b>EUR</b> 267)	<b>USD</b> 400 <b>USD</b> 300	<b>CHF</b> * 390 <b>CHF</b> * 293	<b>EUR</b> * 358 <b>EUR</b> * 268	
<b>CN</b>	<b>CNY</b> 2,100 * (from 1.1.17: <b>CHF</b> 306	<b>CHF</b> * 323 <b>CHF</b> 306	<b>EUR</b> * 296 <b>EUR</b> 281	<b>USD</b> * 330 <b>USD</b> 315)			
<b>EG</b> <sup>30</sup>	<b>EGP</b> 4,000 * (from 1.1.17: <b>CHF</b> 439	<b>CHF</b> * 430 <b>CHF</b> 439	<b>EUR</b> * 395 <b>EUR</b> 403	<b>USD</b> * 451 <b>USD</b> 452)			
<b>EP</b> <sup>31</sup>	<b>EUR</b> 1,875 <b>JPY</b> * 213,000 <b>ZAR</b> * 29,110 * (from 1.1.17: <b>DKK</b> 13,960 <b>JPY</b> 213,600 <b>ZAR</b> 28,640)	<b>CHF</b> 2,046 <b>NOK</b> * 17,640 <b>DKK</b> 13,960 <b>NOK</b> 16,780	<b>DKK</b> * 13,990 <b>NZD</b> * 2,953 <b>GBP</b> 1,636 <b>NZD</b> 2,892	<b>GBP</b> * 1,617 <b>SEK</b> * 17,500 <b>HUF</b> 576,500 <b>SEK</b> 18,010	<b>HUF</b> * 585,800 <b>SGD</b> * 2,800 <b>ISK</b> 239,400 <b>SGD</b> 2,870	<b>ISK</b> * 250,000 <b>USD</b> * 2,097 <b>USD</b> 2,103	
<b>ES</b> <sup>31,32</sup>	<b>EUR</b> 1,875 * (from 1.1.17: <b>USD</b> 2,103)	<b>CHF</b> 2,046 <b>USD</b> 2,103)	<b>USD</b> * 2,097				
<b>FI</b>	<b>EUR</b> 1,875 * (from 1.1.17: <b>USD</b> 2,103)	<b>CHF</b> 2,046 <b>USD</b> 2,103)	<b>USD</b> * 2,097				
<b>IL</b>	<b>ILS</b> 3,529 * (from 1.1.17: <b>CHF</b> 913	<b>CHF</b> * 905 <b>CHF</b> 913	<b>EUR</b> * 837 <b>EUR</b> 836	<b>USD</b> * 912 <b>USD</b> 938)			
<b>IN</b>	<b>INR</b> 10,000 * (from 1.1.17: <b>CHF</b> 146 In case of filing by an individual:	<b>CHF</b> * 150 <b>CHF</b> 146	<b>EUR</b> * 137 <b>EUR</b> 134 <b>INR</b> 2,500	<b>USD</b> * 154 <b>USD</b> 150) <b>CHF</b> 37	<b>EUR</b> 34	<b>USD</b> 38	
<b>JP</b> <sup>16</sup>	For international applications in Japanese: <b>JPY</b> 70,000 * (from 1.1.17: <b>CHF</b> 671 For international applications in English: <b>JPY</b> 156,000 * (from 1.1.17: <b>CHF</b> 1,495	<b>CHF</b> * 648 <b>CHF</b> 671 <b>CHF</b> * 1,444 <b>CHF</b> 1,495	<b>EUR</b> * 616 <b>EUR</b> 615 <b>EUR</b> * 1,373 <b>EUR</b> 1,370	<b>KRW</b> * 756,000 <b>KRW</b> 761,000 <b>SGD</b> * 1,968 <b>SGD</b> 2,097	<b>USD</b> * 686 <b>USD</b> 689) <b>USD</b> * 1,530 <b>USD</b> 1,536)		
<b>KR</b>	For international applications in Korean: <b>KRW</b> 450,000 <b>USD</b> 388 * (from 1.12.16: <b>AUD</b> 533 ** (from 1.1.17: <b>AUD</b> 531 <b>USD</b> 408) For international applications in English: <b>KRW</b> 1,300,000 <b>USD</b> ** 1,120 * (from 1.12.16: <b>AUD</b> 1,539 ** (from 1.1.17: <b>AUD</b> 1,534 <b>USD</b> 1,177)	<b>AUD</b> *, ** 504 <b>AUD</b> 533 <b>AUD</b> 531	<b>CHF</b> ** 379 <b>SGD</b> 550) <b>CHF</b> 397	<b>EUR</b> ** 347 <b>EUR</b> 363 <b>EUR</b> ** 1,002 <b>EUR</b> 1,050	<b>NZD</b> ** 559 <b>NZD</b> 560 <b>NZD</b> ** 1,616 <b>NZD</b> 1,619	<b>SGD</b> *, ** 520 <b>SGD</b> 556 <b>SGD</b> *, ** 1,490 <b>SGD</b> 1,607	

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**Table I(b) — Search fees [continued]**  
(amounts on 3 November 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>											
RU	For international applications in Russian:											
	RUB	6,750	CHF*	99	EUR*	92	USD*	101				
	* (from 1.1.17:		CHF	105	EUR	96	USD	108)				
	For international applications in English:											
SE	RUB	28,000	CHF*	409	EUR*	381	USD*	420				
	* (from 1.1.17:		CHF	437	EUR	400	USD	449)				
	SEK	17,500	CHF	2,046	DKK*	13,990	EUR	1,875	ISK*	250,000	NOK*	17,640
	USD*	2,097										
* (from 1.1.17:		DKK	13,960	ISK	239,400	NOK	16,780	SEK	18,010	USD	2,103)	
SG	SGD	2,240	CHF*	1,621	EUR*	1,501	JPY*	167,500	USD*	1,578		
	* (from 1.1.17:		CHF	1,597	EUR	1,463	JPY	166,700	USD	1,641)		
	EUR	300	CHF*	325	USD*	328						
* (from 1.1.17:		CHF	327	USD	337)							
US	USD	2,080	CHF*	2,030	EUR*	1,860	NZD*	2,980	ZAR*	28,280		
	* (from 1.1.17:		CHF	2,024	EUR	1,854	NZD	2,861	ZAR	28,320)		
	For small entity <sup>26</sup> :								ZAR*	14,140		
	* (from 1.1.17:		USD	1,040	CHF*	1,015	EUR*	930	NZD*	1,490		
	* (from 1.1.17:		CHF	1,012	EUR	927	NZD	1,430	ZAR	14,160)		
	For micro entity <sup>26</sup> :								ZAR*	7,070		
	* (from 1.1.17:		USD	520	CHF*	508	EUR*	465	NZD*	740		
* (from 1.1.17:		CHF	506	EUR	464	NZD	715	ZAR	7,080)			
XN	DKK*	13,990	CHF	2,046	EUR	1,875	ISK*	250,000	NOK*	17,640	SEK*	17,500
	USD*	2,097										
	* (from 1.1.17:		DKK	13,960	ISK	239,400	NOK	16,780	SEK	18,010	USD	2,103)
XV	EUR	1,875	CHF	2,046	HUF*	585,800	USD*	2,097				
	* (from 1.1.17:		HUF	576,500	USD	2,103)						

**Table I(c) — Supplementary search fees**  
(amounts on 3 November 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 33</sup>	
AT	– for a search of the German-language documentation:	CHF 200	
	CHF 928		
	– for a search of the European and North American documentation:	CHF 1,299	
	CHF 1,855		
EP	CHF 2,046	CHF 200	
FI	CHF 2,046	CHF 200	
RU	CHF 172 (276) <sup>34</sup> (from 1.1.17: 184 (294) <sup>34</sup> )	CHF 200	
SE	CHF 2,046	CHF 200	
SG	CHF 1,621 (from 1.1.17: 1,597)	CHF 200	
UA	– for a search of only the PCT minimum documentation:	CHF 200	
	CHF 108		
	(from 1.1.17:	CHF 109)	
	– for a search of only the Russian language documentation of the former USSR and the Ukrainian language documentation:	CHF 163	
	CHF 164)		
	(from 1.1.17:	CHF 217	
– for a search of only the European and North American documentation:	CHF 218)		
CHF 218)			
XN	– for a full search:	CHF 200	
	CHF 2,046		
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish:	CHF 590	
	CHF 590		
XV	– for a full search:	CHF 200	
	CHF 2,046		
	– for searches only of documentation in Czech, Hungarian, Polish and Slovak:	CHF 600	
	CHF 600		

**Table II — Preliminary examination fees**  
(amounts on 3 November 2016, unless otherwise indicated)

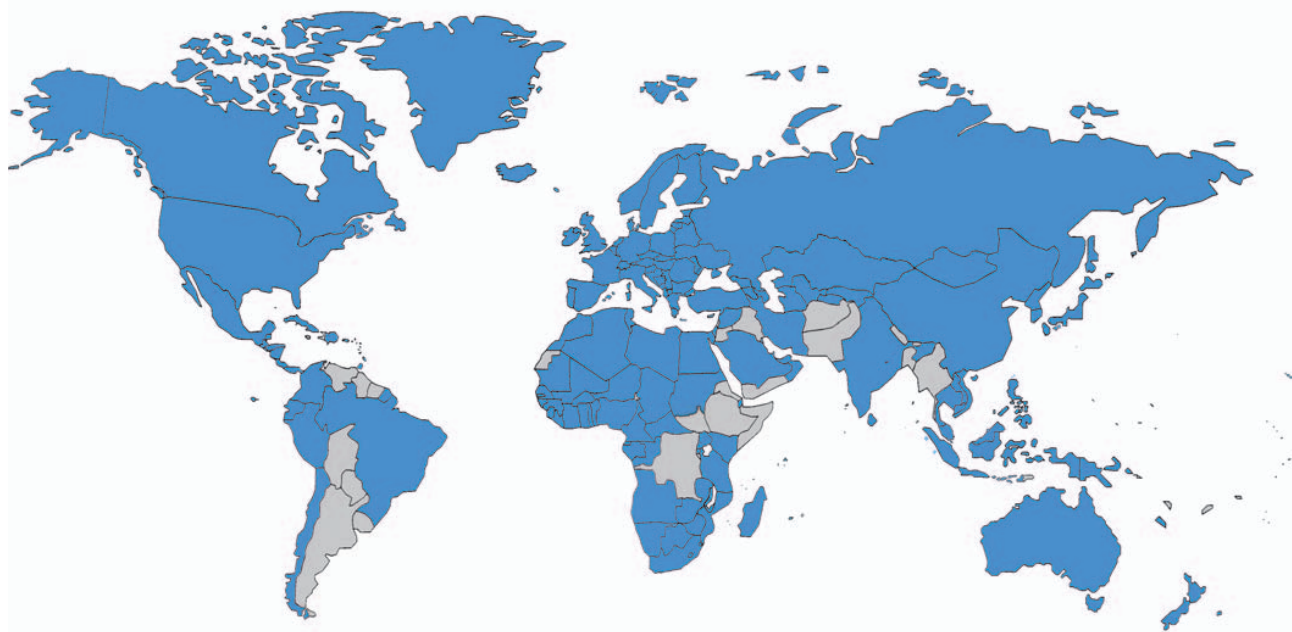
<b>IPEA</b>	<b>Preliminary examination fee<sup>35</sup></b>			<b>Handling fee<sup>2, 35</sup> (CHF 200)</b>	
<b>AT<sup>27</sup></b>	<b>EUR</b>	1,749		<b>EUR</b>	183
<b>AU</b>	<b>AUD</b>	590	820 <sup>36</sup>	<b>AUD</b>	272 (from 1.1.17: <b>AUD</b> 268)
<b>BR<sup>28</sup></b>	Online: <b>BRL</b>	630		<b>BRL eq CHF</b>	200
	On paper: <b>BRL</b>	945			
<b>CA</b>	<b>CAD</b>	800		<b>CAD</b>	268 (from 1.1.17: <b>CAD</b> 269)
<b>CL</b>	<b>USD</b>	1,500		<b>USD</b>	205 (from 1.1.17: <b>USD</b> 206)
		in case of filing by a natural person or a legal entity <sup>2</sup> : <b>USD</b> 400			
		in case of filing by a university <sup>29</sup> : <b>USD</b> 300			
<b>CN</b>	<b>CNY</b>	1,500		<b>CNY eq CHF</b>	200
<b>EG<sup>30</sup></b>	<b>EGP</b>	3,000		<b>USD</b>	205 (from 1.1.17: <b>USD</b> 206)
<b>EP<sup>31</sup></b>	<b>EUR</b>	1,930		<b>EUR</b>	183
<b>ES</b>	<b>EUR</b>	589.49 <sup>32</sup>		<b>EUR</b>	183
<b>FI</b>	<b>EUR</b>	600		<b>EUR</b>	183
<b>IL</b>	<b>ILS</b>	1,512		<b>ILS</b>	794 (from 1.1.17: <b>ILS</b> 773)
<b>IN</b>	<b>INR</b> 12,000; in case of filing by an individual: <b>INR</b> 3,000			<b>USD</b>	205 (from 1.1.17: <b>USD</b> 206)
<b>JP</b>	For international applications in Japanese: <b>JPY</b> 26,000 <sup>16</sup>			<b>JPY</b>	21,600 (from 1.1.17: <b>JPY</b> 20,900)
	For international applications in English: <b>JPY</b> 58,000				
<b>KR</b>	<b>KRW</b>	450,000		<b>KRW</b>	238,000 (from 1.1.17: <b>KRW</b> 227,000)
<b>RU</b>	For international applications in Russian: <b>RUB</b> 2,700 <sup>37</sup> 4,050 <sup>38</sup>			<b>USD</b>	205 (from 1.1.17: <b>USD</b> 206)
	For international applications in English: <b>RUB</b> 10,500 <sup>37</sup> 15,750 <sup>38</sup>				
<b>SE</b>	<b>SEK</b>	5,000		<b>SEK</b>	1,710 (from 1.1.17: <b>SEK</b> 1,760)
<b>SG</b>	<b>SGD</b>	830		<b>SGD</b>	276 (from 1.1.17: <b>SGD</b> 281)
<b>UA</b>	– if the international search report has been prepared by this ISA: <b>EUR</b> 160			<b>EUR</b>	183
	– if the international search report has been prepared by another ISA: <b>EUR</b> 180				
<b>US</b>	<b>USD</b> 600	760 <sup>39</sup>		<b>USD</b>	205 (from 1.1.17: <b>USD</b> 206)
	For small entity <sup>26</sup> : <b>USD</b> 300	380 <sup>39</sup>			
	For micro entity <sup>26</sup> : <b>USD</b> 150	190 <sup>39</sup>			
<b>XN</b>	<b>DKK</b>	5,000		<b>DKK</b>	1,370 (from 1.1.17: <b>DKK</b> 1,360)
<b>XV</b>	<b>EUR</b>	1,930		<b>EUR</b>	183

[See over page for footnotes to fee tables]

*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

## PCT Contracting States and Two-letter Codes (151 on 1 Nov 2016)



AE United Arab Emirates	CJ Cuba	IR Iran (Islamic Republic of)	MG Madagascar	SD Sudan (AP)
AG Antigua and Barbuda	CY Cyprus (EP) <sup>2</sup>	IS Iceland (EP)	MK The former Yugoslav Republic of Macedonia (EP)	SE Sweden (EP)
AL Albania (EP)	DE Germany (EP)	IT Italy (EP) <sup>2</sup>	ML Mali (OA) <sup>2</sup>	SG Singapore
AM Armenia (EA)	DJ Djibouti	JP Japan	MN Mongolia	SI Slovenia (EP) <sup>2</sup>
AO Angola	DK Denmark (EP)	KE Kenya (AP)	MR Mauritania (OA) <sup>2</sup>	SK Slovakia (EP)
AT Austria (EP)	DM Dominica	KG Kyrgyzstan (EA)	MT Malta (EP) <sup>2</sup>	SL Sierra Leone (AP)
AU Australia	DO Dominican Republic	<b>KH Cambodia (from 8 December 2016)</b>	MW Malawi (AP)	SM San Marino (EP)
AZ Azerbaijan (EA)	DZ Algeria	KM Comoros (OA) <sup>2</sup>	MX Mexico	SN Senegal (OA) <sup>2</sup>
BA Bosnia and Herzegovina <sup>1</sup>	EC Ecuador	KN Saint Kitts and Nevis	MY Malaysia	ST Sao Tome and Principe (AP) <sup>5</sup>
BB Barbados	EE Estonia (EP)	KP Democratic People's Republic of Korea	MZ Mozambique (AP)	SV El Salvador
BE Belgium (EP) <sup>2</sup>	EG Egypt	KR Republic of Korea	NA Namibia (AP)	SY Syrian Arab Republic
BF Burkina Faso (OA) <sup>2</sup>	ES Spain (EP)	KW Kuwait	NE Niger (OA) <sup>2</sup>	SZ Swaziland (AP) <sup>2</sup>
BG Bulgaria (EP)	FI Finland (EP)	KZ Kazakhstan (EA)	NG Nigeria	TD Chad (OA) <sup>2</sup>
BH Bahrain	FR France (EP) <sup>2</sup>	LA Lao People's Democratic Republic	NI Nicaragua	TG Togo (OA) <sup>2</sup>
BJ Benin (OA) <sup>2</sup>	GA Gabon (OA) <sup>2</sup>	LC Saint Lucia	NL Netherlands (EP) <sup>2</sup>	TH Thailand
BN Brunei Darussalam	GB United Kingdom (EP)	LI Liechtenstein (EP)	NO Norway (EP)	TJ Tajikistan (EA)
BR Brazil	GD Grenada	LK Sri Lanka	NZ New Zealand	TM Turkmenistan (EA)
BW Botswana (AP)	GE Georgia	LR Liberia (AP)	OM Oman	TN Tunisia
BY Belarus (EA)	GH Ghana (AP)	LS Lesotho (AP)	PA Panama	TR Turkey (EP)
BZ Belize	GM Gambia (AP)	LT Lithuania (EP) <sup>2</sup>	PE Peru	TT Trinidad and Tobago
CA Canada	GN Guinea (OA) <sup>2</sup>	LU Luxembourg (EP)	PG Papua New Guinea	TZ United Republic of Tanzania (AP)
CF Central African Republic (OA) <sup>2</sup>	GR Greece (EP) <sup>2</sup>	LV Latvia (EP) <sup>2</sup>	PH Philippines	UA Ukraine
CG Congo (OA) <sup>2</sup>	GT Guatemala	LY Libya	PL Poland (EP)	UG Uganda (AP)
CH Switzerland (EP)	GW Guinea-Bissau (OA) <sup>2</sup>	MA Morocco <sup>3</sup>	PT Portugal (EP)	US United States of America
CI Côte d'Ivoire (OA) <sup>2</sup>	HN Honduras	MC Monaco (EP) <sup>2</sup>	QA Qatar	UZ Uzbekistan
CL Chile	HR Croatia (EP)	MD Republic of Moldova <sup>4</sup>	RO Romania (EP)	VC Saint Vincent and the Grenadines
CM Cameroon (OA) <sup>2</sup>	HU Hungary (EP)	ME Montenegro <sup>1</sup>	RS Serbia (EP)	VN Viet Nam
CO Colombia	ID Indonesia		RU Russian Federation (EA)	ZA South Africa
CR Costa Rica	IE Ireland (EP) <sup>2</sup>		RW Rwanda (AP)	ZM Zambia (AP)
	IL Israel		SA Saudi Arabia	ZW Zimbabwe (AP)
	IN India		SC Seychelles	

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

3 Validation of European patent possible for international applications filed on or after 1 March 2015.

4 Validation of European patent possible for international applications filed on or after 1 November 2015.

5 Only international applications filed on or after 19 August 2014 will include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:** This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015 and 1 July 2016, respectively). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.

# PCT NEWSLETTER

[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

December 2016 | No. 12/2016

## **WIPO Pearl Update: New Interface Languages; Database and Feature Improvements**

It is recalled that WIPO Pearl, WIPO's multilingual terminology portal, provides free access to a wealth of scientific and technical terms derived from PCT applications and national patent documents, as well as to key PCT legal terms, in all 10 PCT publication languages. It helps to promote accurate and consistent use of terms across those languages, and makes it easier to search and share scientific and technical knowledge.

WIPO Pearl is available at:

<http://www.wipo.int/reference/en/wipopearl/>

### **New interface languages**

The WIPO Pearl interface is now available in Chinese and Portuguese, in addition to English, French, Japanese, Russian and Spanish. Users can select their language preference at the top right-hand side of the screen. Arabic, German and Korean versions are under development.

### **Improved database**

The terminology database has been updated with 7,000 new terms (new total: 127,000) and 500 new concept relations (new total: 17,500), which have all been entered and validated by WIPO-PCT language experts. About 12,500 concepts are linked to other concepts in the database, and these relationships can be browsed in the Concept Map Search.

### **New feature: Concept Path Search**

The Concept Path Search, a new feature in Concept Map Search, allows users to find the path between two concepts, showing all the related concepts in between. This is especially helpful when concept maps are large and complex. An enhancement to Concept Path Search which is currently under development will allow all terms found in a concept path to be sent directly to PATENTSCOPE for use in a combined keyword search.

### **PCT legal terms**

All PCT legal terms in Arabic, Chinese, English, French, German, Japanese, Russian and Spanish have been reviewed and validated by PCT legal experts at WIPO and awarded the highest term reliability score of "4", thus providing a highly reliable reference for PCT legal terminology in different languages.



## Collaboration with universities

Terminology collaborations are currently underway with the following universities:

- University of Geneva, Switzerland
- Université Grenoble Alpes, France
- Aristotle University of Thessaloniki, Greece
- University of Illinois at Urbana-Champaign, USA

As part of their coursework, terminology students are providing WIPO with bi- or trilingual terminology records in specific technical fields for inclusion in WIPO Pearl following their validation. Any other universities interested in a terminology collaboration of this kind can contact WIPO at the following e-mail address: [wipopearl@wipo.int](mailto:wipopearl@wipo.int)

## PCT Information Update

### AE United Arab Emirates (fees)

The amount of the following national fee, payable to the Industrial Property Directorate, Ministry of Economy (United Arab Emirates) as designated (or elected) Office, has changed:

For patent or utility model:

Filing fee: .....AED 2,000 (1,000)<sup>1</sup>

(Updating of *PCT Applicant's Guide*, National Chapter, Summary (AE))

### AZ Azerbaijan (fees)

The amounts of the following fees, payable to the State Committee for Standardization, Metrology and Patent of the Republic of Azerbaijan as receiving Office, have changed:

Transmittal fee: .....AZN 35.40

Fee for priority document: .....AZN 23.60

(Updating of *PCT Applicant's Guide*, Annex C (AZ))

### BA Bosnia and Herzegovina (location and mailing address)

The location and mailing address of the Institute for Intellectual Property of Bosnia and Herzegovina branch Office in Banja Luka has changed, as follows:

Akademika Jovana Surutke 13/III  
78000 Banja Luka  
Bosnia and Herzegovina

(Updating of *PCT Applicant's Guide*, Annex B1 (BA))

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<sup>1</sup> The amount in parentheses is applicable in case of filing by a natural person.



**CU Cuba (telephone number; evidence of mailing a document; types of protection; number of copies required; requirements concerning agent; special provisions concerning the deposit of microorganisms and other biological material; fees)**

The Cuban Intellectual Property Office has deleted two of its telephone numbers and has notified two additional numbers. The telephone numbers to be used are now as follows:

(537) 862 4379, 862 43 95, 866 05 57, 866 05 59

There has been a change in the requirements as to whether the Office would accept evidence of mailing of a document, in case of loss or delay, where a delivery service other than the postal authorities is used; it no longer accepts such evidence.

The Office has notified the International Bureau (IB) of a change concerning the types of protection available in Cuba via the PCT – patents or utility models are now available (patents of addition, inventors' certificates and inventors' certificates of addition are no longer available). This updates the table of "Types of Protection Available via the PCT in PCT Contracting States" published on the PCT website at:

<http://www.wipo.int/pct/en/texts/pdf/typesprotection.pdf>

The Office, in its capacity as receiving Office, has changed its requirement concerning the number of copies of the international application on paper to be filed with it: it now requires one copy, instead of three. There has also been a change in the requirement of the Office as to whether an agent is required by it as receiving Office: an agent is required if the applicant does not have a domicile or a real and effective industrial or commercial establishment in Cuba. Furthermore, the amount of the fee for priority document, payable to the Office as receiving Office, has changed to CUC 100.

The Office has also notified its requirements concerning the deposit of microorganisms and other biological material. For further information, please see the *PCT Applicant's Guide*, Annex L, at:

[http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexl/anx\\_l.pdf](http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexl/anx_l.pdf)

(Updating of *PCT Applicant's Guide*, Annex B1 (CU), Annex C (CU) and Annex L)

**DJ Djibouti (competent International Searching and Preliminary Examining Authorities)**

The Office of Industrial Property and Commerce of Djibouti (ODPIC) has specified, with effect from 1 December 2016, the Austrian Patent Office, in addition to the Egyptian Patent Office and the European Patent Office, as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of Djibouti with the ODPIC, or with the IB, as receiving Office.

(Updating of *PCT Applicant's Guide*, Annex C (DJ))

**EP European Patent Office (competence to act as International Preliminary Examining Authority)**

The European Patent Office (EPO) has notified the IB that the Visegrad Patent Institute should be added to footnote 1 of Annex E (EP) of the *PCT Applicant's Guide*, which concerns the conditions under which it is competent to act as International Preliminary Examining Authority. The footnote should now read as follows:

The EPO may act as International Preliminary Examining Authority only if the international search is or has been performed by the EPO, the Austrian Patent Office, the Finnish Patent and Registration Office (PRH), the Nordic Patent Institute, the Spanish Patent and Trademark Office, the Swedish Patent and Registration Office or the Visegrad Patent Institute.

(Updating of *PCT Applicant's Guide*, Annex E (EP))

### IS Iceland (fees)

As from 1 January 2017, there will be a change in the amount of the following fees, payable to the Icelandic Patent Office as receiving Office:

Transmittal fee:.....	ISK	17,300
Fee for priority document:.....	ISK	4,600
Fee for requesting restoration of the right of priority: .....	ISK	41,400

The amount of the following components of the national fee, payable to the Office as designated (or elected) Office, will change with effect from the same date:

Application fee .....	ISK	64,400
Claim fee for each claim in excess of 10: .....	ISK	4,100
Additional fee for late furnishing of translation: .....	ISK	17,300
Annual fee for the first three years.....	ISK	33,000

(Updating of *PCT Applicant's Guide*, Annex C (IS) and National Chapter, Summary (IS))

### KH Cambodia (general information)

It is recalled that Cambodia became bound by the PCT on 8 December 2016. General information about Cambodia as a PCT Contracting State has been published in the *PCT Applicant's Guide* – see Annex B1 (KH) at:

<http://www.wipo.int/pct/guide/en/>

### PL Poland (language of filing)

The Polish Patent Office, in its capacity as receiving Office, has notified the IB that, with effect from 1 July 2016, it accepts international applications filed in Polish, in addition to English, French and German.

Note that if the language in which the international application is filed is not accepted by the International Searching Authority, the applicant will have to furnish a translation (PCT Rule 12.3); if the language in which the international application is filed is not a language of publication and no translation is required for the purposes of international search (PCT Rule 12.3(a)), the applicant will have to furnish a translation of the application into English (PCT Rule 12.4(a)).

(Updating of *PCT Applicant's Guide*, Annex C (PL))

**RO Romania (provisional protection; fees)**

The State Office for Inventions and Trademarks (Romania) has notified changes concerning the provisions relating to provisional protection after international publication, where the designation is made for the purposes of a national patent. The text should now refer to Articles 22, 33 and 56(3) and (4) of the Patent Law (Law No. 64/1991).

As from 1 January 2017, there will be changes in the amounts of the following fees, payable to the Office as receiving Office:

Transmittal fee:.....RON 445

Fee for priority document:.....RON 89 plus copying costs

(Updating of *PCT Applicant's Guide*, Annexes B1 and C (RO))

**XV Visegrad Patent Institute (telephone and fax numbers; means of telecommunication; evidence of mailing a document)**

The telephone number of the Visegrad Patent Institute has changed, and the Office has a new fax number, as follows:

Telephone: (361) 613 51 30

Fax: (361) 799 01 88

The Office has informed the IB that it now accepts the filing of all kinds of documents by fax. The original of the document must be furnished in all cases within 14 days of the transmission.

The Office has also informed the IB that it now accepts evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used.

(Updating of *PCT Applicant's Guide*, Annex B1 (XV))

**Search fee (Egyptian Patent Office)**

As from 1 February 2017, there will be a change in the equivalent amounts payable in CHF, EUR and USD for an international search carried out by the Egyptian Patent Office, as indicated in Fee Table I(b).

(Updating of *PCT Applicant's Guide*, Annex D (EG))

**Preliminary examination fee and other fees relating to international preliminary examination (Visegrad Patent Institute)**

As from 1 February 2017, there will be a change in the amount of the following fees, payable in EUR to the Visegrad Patent Institute as International Preliminary Examining Authority:

Preliminary examination fee: .....EUR 900

Additional preliminary examination fee: .....EUR 900

(Updating of *PCT Applicant's Guide*, Annex E (XV))

## **PCT-SAFE Update**

### **Release of new version of the PCT-SAFE Client software**

A new version of the PCT-SAFE Client software (version 3.51.076.252, dated 1 January 2017) is now available and can be downloaded at:

[http://www.wipo.int/pct-safe/en/download/download\\_client.html](http://www.wipo.int/pct-safe/en/download/download_client.html)

Further details on this new version of PCT-SAFE are available in the “Release notes” and the “What’s new” document on the above-mentioned web page.

## **New/Updated PCT Resources**

### **Seminar materials**

Further to the information published in *PCT Newsletter* No. 10/2016, seminar materials covering all aspects of the PCT procedure have now been updated in German, in addition to English, French, Japanese and Spanish, to take into account the amendments to the PCT Regulations which entered into force on 1 July 2016 and are available at:

[http://www.wipo.int/pct/de/seminar/basic\\_1/document.pdf](http://www.wipo.int/pct/de/seminar/basic_1/document.pdf)

### **PCT Applicant's Guide in Japanese, Russian and Spanish**

Updated versions of the *PCT Applicant's Guide* in Japanese (dated April 2016), Russian and Spanish (both dated August 2016) are now available at, respectively:

<http://www.wipo.int/pct/ja/appguide/index.jsp>

<http://www.wipo.int/pct/ru/appguide/index.jsp>

<http://www.wipo.int/pct/es/appguide/index.jsp>

## **Korean Intellectual Property Office: New Information Regarding International Search Status**

The Korean Intellectual Property Office (KIPO) has introduced a new system for obtaining information concerning the status of the international search for PCT applications in respect of which KIPO has been selected as International Searching Authority (ISA). The various status messages which applicants may receive through this service are:

- the ISA has not yet received the search copy of the PCT application;
- the international search of your PCT application is ongoing;
- the ISR of your PCT application has been established; or
- your PCT application has been withdrawn.

To see the current status of a PCT application in respect of which KIPO has been selected as ISA, go to:

[http://www.kipo.go.kr/kpo/user.tdf?a=user.english.pct.status.BoardApp&c=1001&catmenu=ek05\\_04\\_01](http://www.kipo.go.kr/kpo/user.tdf?a=user.english.pct.status.BoardApp&c=1001&catmenu=ek05_04_01)

or go to the KIPO website at: <http://www.kipo.go.kr>, select English, and then select “PCT Status” under “PCT Service”. You should then enter the PCT application number, and either the eight-digit password which is indicated on Form PCT/ISA/202, or the applicant’s or agent’s file reference number which was indicated on the request form (PCT/RO/101).

Note that status information may also be obtained through the Intellectual Property Korea Center (IPKC) in Vienna, Virginia, in the United States of America, which acts as a KIPO PCT Help Desk for PCT applicants. Further information can be obtained at:

Internet: <http://www.ipkcenter.com>

E-mail: [ipck@ipkcenter.com](mailto:ipck@ipkcenter.com)

### **Inventor Assistance Program**

WIPO has launched a first-of-its-kind global program in which patent attorneys provide *pro bono* assistance to inventors in developing countries who want to file a patent for their invention but lack the means to do so. WIPO, in cooperation with the World Economic Forum, officially unveiled the Inventor Assistance Program on 17 October 2016 in Geneva, following a successful pilot effort in Colombia, the Philippines and Morocco.

The program aims to help inventors and small enterprises with limited finances to pursue patent protection that will help them successfully commercialize a product or new solution. Qualified attorneys help by providing free legal assistance to inventors who would otherwise be unable to afford the legal costs of obtaining a patent. Research shows that many patent applications are rejected on procedural issues that IP lawyers can help to avoid.

For further information on the program, please see Press Release PR/2016/798 and frequently asked questions at, respectively:

[http://www.wipo.int/pressroom/en/articles/2016/article\\_0013.html](http://www.wipo.int/pressroom/en/articles/2016/article_0013.html)

<http://www.wipo.int/iap/en/faqs.html>

### **WIPO Statistics on Female Inventors**

New WIPO statistics are now available which give a global view on female inventorship as reflected in PCT applications. Although the results show an improvement in the representation of women in the past 20 years (about 29% of PCT applications currently include at least one woman inventor, compared with 17% in 1995), the data proves that a gender gap exists and needs to be addressed.

The study looks at the difference between the number of filings by female inventors from academic institutions and those from industry, the variations between different technological fields and the variations across different countries.

For further information on the study, see Press Release PR/2016/800 at:

[http://www.wipo.int/pressroom/en/articles/2016/article\\_0015.html](http://www.wipo.int/pressroom/en/articles/2016/article_0015.html)

and the detailed results of the study are available in WIPO's "Economic Research Working Paper No. 33" at:

[http://www.wipo.int/edocs/pubdocs/en/wipo\\_pub\\_econstat\\_wp\\_33.pdf](http://www.wipo.int/edocs/pubdocs/en/wipo_pub_econstat_wp_33.pdf)

## Practical Advice

### Furnishing of assignment documents where there is a change of applicant

*Q: With reference to the Practical Advice that was published in PCT Newsletter No. 11/2016 regarding the assignment of an applicant's rights to another company after the international filing date but before entry into the national phase, would it be necessary to furnish the assignment document when requesting the International Bureau to record the change of applicant under PCT Rule 92bis?*

*A:* If the request for recording the change of applicant under PCT Rule 92bis is made by the agent of record, a power of attorney in favor of the agent of record and signed by the new applicant would be required, although the change would be recorded even if the power of attorney had not yet been furnished. No further evidence of the change, for example an assignment document, is usually required by the International Bureau (IB) in these circumstances.

Note, however, that if a request for recording a change of applicant is made by a new agent who is not yet named in the request, in order for the change of applicant and change of agent to be recorded, a copy of an assignment (that is, the written consent of the applicant of record for the change of applicant) or other documentary evidence supporting the change of applicant must be filed with that request, together with a power of attorney appointing the new agent and signed by the new applicant. The change would not be recorded until those papers had been received.

Even though an assignment or other documentation by means of which the change of applicant was effected may not be required by the IB in the first situation, above, designated (or elected) Offices are entitled under PCT Rule 51bis to require, upon entry into the national phase, or within a time limit fixed by the designated Office concerned after national phase entry, additional evidence or documents in the national phase regarding any change requested during the international phase, such as proof of ownership and transfer of ownership of the application. It may therefore be in the interest of the applicant to submit a copy of an assignment to the IB along with the PCT Rule 92bis request anyway. The assignment document would then be included in the file of the international application and the designated Offices (and any other person) would have access to it on PATENTSCOPE, provided that the application had been published.

For information on which Offices would require a copy of an assignment or any other evidence, please see the Summary of each National Chapter of the *PCT Applicant's Guide*, which lists the special requirements, if any, which must be complied with in connection with entry into the national phase.

Note that if the rights to an invention are assigned to another applicant before or on the international filing date, most Offices will accept a declaration as to the applicant's entitlement to apply for and be granted a patent under PCT Rule 4.17(ii), instead of a copy of an assignment. It is recalled, however, that such a declaration may not be submitted in respect of a change which occurs after the international filing date.



Please note that requests for recording of changes under PCT Rule 92*bis* must be received by the IB before the expiration of 30 months from the priority date. After the expiration of that time limit, any recording of a change would need to be requested direct with the relevant designated (or elected) Offices under the applicable national procedures.

It is recalled that PCT Rule 92*bis* requests are preferably submitted directly to the IB and by using ePCT.

## PCT Seminar Calendar

(<http://www.wipo.int/pct/en/seminar/seminar.pdf>)  
(situation on 15 December 2016)

<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>1 February 2017 Ecully (FR)</b>	French	PCT presentation within the framework of a three-day training course: "L'obtention du brevet" WIPO speaker: Ms. Bonvallet	Centre Paul Roubier (Mme Florence Bouvier) Tel: (33-4) 78 33 07 08 E-mail: <a href="mailto:Bouvier@paulroubier.com">Bouvier@paulroubier.com</a> or use contact form at: <a href="http://www.paulroubier.com/contacts/contact_s.html">http://www.paulroubier.com/contacts/contact_s.html</a> Further information: <a href="http://www.paulroubier.com/formations/programme-module-2.asp">http://www.paulroubier.com/formations/programme-module-2.asp</a>
<b>17-18 May 2017 Munich (DE)</b>	German	Basic PCT seminar WIPO speakers: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: <a href="mailto:s.schilling@forum-institut.de">s.schilling@forum-institut.de</a>
<b>15-16 June 2017 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: to be announced	Management Forum Ltd. (Ms. Angela Spall) E-mail: <a href="mailto:angela.spall@falconbury.co.uk">angela.spall@falconbury.co.uk</a>
<b>12-13 July 2017 Munich (DE)</b>	German	Presentation on the PCT – Update International Patent Law WIPO speaker: Mr. Reischle-Park	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: <a href="mailto:s.schilling@forum-institut.de">s.schilling@forum-institut.de</a>
<b>19-20 October 2017 Munich (DE)</b>	German	Advanced PCT seminar WIPO speakers: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: <a href="mailto:s.schilling@forum-institut.de">s.schilling@forum-institut.de</a>
<b>29-30 November 2017 London (GB)</b>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: to be announced	Management Forum Ltd. (Ms. Angela Spall) E-mail: <a href="mailto:angela.spall@falconbury.co.uk">angela.spall@falconbury.co.uk</a>

## PCT Webinars

(<http://www.wipo.int/pct/en/seminar/webinars/index.html>)  
(<http://www.wipo.int/patentscope/en/webinar/>)

<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>24 January 2017</b> 17:30–18:30 CET or <b>26 January 2017</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Chemical structure search WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/269558848807832578">https://attendee.gotowebinar.com/rt/269558848807832578</a>
<b>14 February 2017</b> 17:30–18:30 CET or <b>16 February 2017</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Overview of the PATENTSCOPE Search System WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/5509443139183672322">https://attendee.gotowebinar.com/rt/5509443139183672322</a>
<b>14 March 2017</b> 17:30–18:30 CET or <b>16 March 2017</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Complex queries WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/6583739666799904258">https://attendee.gotowebinar.com/rt/6583739666799904258</a>

*[continued on next page]*

<b>PCT Webinars [continued]</b>			
<b>Date and time</b>	<b>Language of webinar</b>	<b>Nature of webinar; WIPO speakers</b>	<b>Registration</b>
<b>25 April 2017</b> 17:30–18:30 CET or <b>27 April 2017</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Result list and analysis tools WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/3051306774409543428">https://attendee.gotowebinar.com/rt/3051306774409543428</a>
<b>9 May 2017</b> 17:30–18:30 CET or <b>11 May 2017</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Cross-lingual Information Retrieval tool (CLIR) WIPO speaker: Ms. Ammann	<a href="https://attendee.gotowebinar.com/rt/524572978687305220">https://attendee.gotowebinar.com/rt/524572978687305220</a>

<b>PCT Events Without WIPO speakers<sup>1</sup></b>			
<b>Dates and location</b>	<b>Language of event</b>	<b>Nature of seminar; Speakers</b>	<b>Organizer and contact numbers</b>
<b>7 March 2017</b> <b>Los Angeles, CA (US)</b>	English	“The Essential PCT”: PCT Boot Camp (morning) and PCT Strategies session (afternoon) (either of these sessions can also be taken separately) Speaker: Mr. Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: <a href="mailto:contact@pctlearningcenter.org">contact@pctlearningcenter.org</a> Internet: <a href="http://pctlearningcenter.org">pctlearningcenter.org</a>
<b>13 March 2017</b> <b>San Francisco, CA (US)</b>	English	As above	As above

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.

## PCT Fee Tables

(amounts on 1 December 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

*Key to abbreviations used in fee tables:*

eq	equivalent of –	BRL	Brazilian real	HRK	Croatian kuna	MWK	Malawian kwacha	THB	Baht
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	HUF	Hungarian forint	MXN	Mexican peso	TJS	Tajik somoni
ISA	International Searching Authority	CAD	Canadian dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TND	Tunisian dinar
RO	receiving Office	CHF	Swiss franc	ILS	New Israeli sheqel	NOK	Norwegian krone	TTD	Trinidad and Tobago dollar
		CLP	Chilean peso	INR	Indian rupee	NZD	New Zealand dollar	UAH	Ukrainian hryvnia
		CNY	Yuan renminbi	IRR	Iranian rial	OMR	Omani rial	USD	US dollar
		COP	Colombian peso	ISK	Icelandic krona	PEN	Nuevo sol	VND	Vietnamese dong
<i>Currencies:</i>		CUC	Cuban convertible peso	JPY	Japanese yen	PGK	Kina	XAF	CFA franc BEAC
		CZK	Czech koruna	KES	Kenyan shilling	PHP	Philippine peso	ZAR	South African rand
ALL	Albanian lek	DJF	Djibouti franc	KGS	Kyrgyz som	PLN	Polish zloty	ZWD	Zimbabwe dollar
AMD	Armenian dram	DKK	Danish krone	KPW	Won	QAR	Qatari riyal		
AUD	Australian dollar	DZD	Algerian dinar	KRW	Won	RON	New leu		
AZN	Azerbaijani manat	EGP	Egyptian pound	KZT	Kazakh tenge	RSD	Serbian dinar		
BAM	Convertible mark	EUR	Euro	LSL	Lesotho loti	RUB	Russian rouble		
BGN	Bulgarian lev	GBP	Pound sterling	LYD	Libyan dinar	SDG	Sudanese pound		
BHD	Bahraini dinar	GHS	Ghanaian cedi	MAD	Moroccan dirham	SEK	Swedish krona		
BND	Brunei dollar	GTQ	Quetzal	MKD	Macedonian denar	SGD	Singapore dollar		

**Table I(a) — Transmittal and international filing fees**  
(amounts on 1 December 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1, 2</sup> (CHF 1,330)	Fee per sheet over 30 <sup>1, 2, 3</sup> (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
					Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available							CA
AL	ALL	9,000	CHF 1,330	15	–	–	–	EP
AM	AMD	32,000	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
AP	USD	50 (or eq in local currency)	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT EP SE
AT	EUR	52	EUR 1,219	14	–	183	275	EP
AU	AUD	200	AUD 1,811 (from 1.1.17: 1,781)	20 (20)	– (–)	272 (268)	408 (402)	AU KR
AZ	AZN	35.40	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	EP RU
BA	BAM	50	EUR 1,219	14	–	–	–	EP
BE	EUR	120	EUR 1,219	14	–	–	–	EP
BG	BGN	80	BGN eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP RU
BH	BHD	70	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT EP US
BN	BND	150	BND eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU JP EP
BR	BRL <sup>8</sup>	online: 175 on paper: 260	BRL eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR EP SE US
BW	USD	32	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP
BY	USD	50	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU

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**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 December 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
					Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
BZ	BZD	300	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	CA EP
CA	CAD	300	CAD 1,782 (from 1.1.17: 1,792)	20 (20)	– (–)	268 (269)	402 (404)	CA
CH	CHF	100	CHF 1,330	15	–	–	–	EP
CL	CLP eq USD <sup>9</sup>	130	CLP eq USD <sup>9</sup> 1,363 (from 1.1.17: 1,367)	eq USD <sup>9</sup> 15 (15)	– (–)	eq USD 205 (206)	eq USD 307 (308)	CL EP ES KR US
CN	CNY	500	CNY eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN
CO	COP	952,000 <sup>10</sup>	COP eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AT BR CL EP ES RU
CR	USD	250	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	CL EP ES
CU	CUC	200	CUC 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	AT BR CL EP ES RU
CY	EUR	191	EUR 1,219	14	–	–	–	EP
CZ	CZK	1,500	EUR 1,219	14	–	183	275	EP XV
DE	EUR	90	EUR 1,219	14	–	183	275	EP
DJ	USD or eq DJF	100	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT EG EP
DK	DKK	1,500	DKK 9,090 (from 1.1.17: 9,070)	100 (100)	– (–)	1,370 (1,360)	2,050 (2,050)	EP SE XN
DM	Information not yet available							
DO	USD	275	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	CL EP ES US
DZ	DZD	None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	AT EP
EA	RUB	1,600	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	EP RU
EC	USD <sup>11</sup>	–	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	CL EP ES
EE	EUR	120	EUR 1,219	14	–	183	275	EP
EG	USD	142	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT EG EP US
EP	EUR	130	EUR 1,219	14	92	183	275	EP
ES	EUR	74.99	EUR 1,219	14	–	183	275	EP ES
FI	EUR	135	EUR 1,219	14	–	183	275	EP FI SE
FR	EUR	62	EUR 1,219	14	–	183	275	EP
GB	GBP	75	GBP 1,053 (from 1.1.17: 1,063)	12 (12)	– (–)	158 (160)	238 (240)	EP
GD	Information not yet available							
GE	USD <sup>12</sup>	100	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT EP IL RU US
GH	GHS <sup>13</sup>	2,500 or 5,000	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT AU CN EP SE
GR	EUR	115	EUR 1,219	14	–	–	–	EP
GT	GTQ eq USD	250	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT BR CL EP ES US
HN	USD	200	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP ES
HR	HRK	200	HRK eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
HU	HUF	11,800	HUF 380,800 (from 1.1.17: 374,700)	4,300 4,200	– (–)	57,300 (56,300)	85,900 (84,500)	EP XV
IB	CHF <sup>14</sup> or EUR <sup>14</sup> or USD <sup>14</sup> (from 1.1.17: 103)	100 92 102	CHF 1,330 or EUR 1,219 or USD 1,363 (from 1.1.17: 1,367)	15 14 15 (15)	– – – (–)	200 183 205 (206)	300 275 307 (308)	See footnote 15
ID	IDR	1,000,000	IDR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP JP KR RU SG

[continued on next page]

**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 December 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
IE	EUR 76	EUR 1,219	14	–	–	–	EP
IL	ILS 551	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	EP IL US
IN	INR 17,600 (filing by natural person: 3,250; filing by small entity: 8,800)	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	AT AU CN EP IN SE US
IR	IRR <sup>11</sup> –	IRR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	CN EP IN RU
IS	ISK 15,000 (from 1.1.17: 17,300)	ISK 161,500 (from 1.1.17: 155,600)	1,800 (1,800)	– (–)	24,300 (23,400)	36,400 (35,100)	EP SE XN
IT	EUR 30.99	EUR 1,219	14	–	–	–	EP
JP	JPY 10,000 <sup>16</sup>	JPY 143,700 (from 1.1.17: 138,800)	1,600 (1,600)	– (–)	– (–)	32,400 (31,300)	EP JP SG
KE	USD 250 (or KES equiv) plus cost of mailing	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
KH	Information not yet available						
KN	Information not yet available						EP
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	–	–	–	AT CN RU
KR	KRW 45,000	CHF 1,330	15	–	–	300	AT AU JP <sup>17</sup> KR
KZ	KZT 10,264.80	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
LR	USD 45	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT AU CN EP SE
LS	LSL <sup>11</sup> –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	EUR 92	EUR 1,219	14	–	183	275	EP RU
LU	EUR 19	EUR 1,219	14	–	–	–	EP
LV	EUR 70	EUR 1,219	14	–	183	275	EP RU
LY	LYD <sup>11</sup> –	CHF 1,330	15	–	–	–	AT EP
MA	MAD 600 <sup>18</sup>	CHF 1,330	15	–	–	–	AT EP RU SE
MC	EUR 54 <sup>19</sup>	EUR 1,219	14	–	–	–	EP
MD	EUR 100	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
ME	EUR <sup>11</sup> –	EUR 1,219	14	–	–	–	EP
MK	MKD 2,700	MKD eq CHF 1,330	eq CHF 15	–	–	–	EP
MN	None	CHF 1,330	15	–	–	–	EP KR RU
MT	EUR 55	EUR 1,219	14	–	–	–	EP
MW	MWK 6,000	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP
MX	USD 323.70 <sup>20</sup>	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	AT CL EP ES KR SE SG US
MY	MYR 500 (e-filing) <sup>21</sup> 550 (paper filing) <sup>21</sup>	MYR eq CHF 1,330	eq CHF 15	– (–)	eq CHF 200	eq CHF 300	AU EP JP KR
NI	USD 200	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP ES
NL	EUR 50	EUR 1,219	14	–	183	275	EP
NO	NOK 800	NOK 11,460 (from 1.1.17: 10,910)	130 (120)	– (–)	1,720 (1,640)	2,590 (2,460)	EP SE XN
NZ	NZD 207	NZD 1,945 (from 1.1.17: 1,880)	22 (21)	– (–)	292 (283)	439 (424)	AU EP KR US
OA	XAF <sup>11</sup> –	XAF eq CHF 1,330	eq CHF 15	–	–	–	AT EP RU SE
OM	OMR 40	OMR eq USD 1,363 (from 1.1.17: 1,367)	eq USD 15 (15)	– (–)	eq USD 205 (206)	eq USD 307 (308)	ATAU EGP US

[continued on next page]



**Table I(a) — Transmittal and international filing fees [continued]**

(amounts on 1 December 2016, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2</sup>	Fee per sheet over 30 <sup>1,2,3</sup>	E-filing reductions according to Schedule of Fees:			Competent ISA(s) <sup>4</sup>
				Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
PA	USD 200	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	BR CL EP ES US
PE	PEN 233.35	PEN eq USD 1,363 (from 1.1.17: 1,367)	eq USD 15 (15)	– (–)	– (–)	– (–)	AT BR CL EP ES KR US
PG	PGK 250	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AU
PH	PHP 3,500	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	AU EP JP KR US
PL	PLN 300	PLN eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP XV
PT	EUR 10.46 (online filing) EUR 20.92 (paper filing)	EUR 1,219	14	–	183	275	EP
QA	QAR 400	QAR eq USD 1,363 (from 1.1.17: 1,367)	eq USD 15 (15)	– (–)	eq USD 205 (206)	eq USD 307 (308)	EG EP US
RO	RON 441 (from 1.1.17: 445)	EUR 1,219	14	–	183	275	EP RU
RS	RSD 7,300 <sup>22</sup>	RSD eq CHF 1,330	eq CHF 15	–	–	–	EP
RU	RUB 850	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	EP RU
RW	Information not yet available						
SA	USD 100	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	205 (206)	307 (308)	CA EG EP KR RU
SC	USD <sup>11</sup> –	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP
SD	SDG 50	SDG eq CHF 1,330	eq CHF 15	–	–	–	EG EP
SE	SEK 1,200	SEK 11,380 (from 1.1.17: 11,710)	130 (130)	– (–)	1,710 (1,760)	2,570 (2,640)	EP SE XN
SG	SGD 150	SGD 1,837 (from 1.1.17: 1,866)	21 (21)	– (–)	276 (281)	414 (421)	AT AU EP JP KR SG
SI	EUR 91	EUR 1,219	14	–	–	–	EP
SK	EUR 66 <sup>23</sup>	EUR 1,219	14	–	183	275	EP XV
SM	EUR 70	EUR 1,219	14	–	–	–	EP
SV	USD 200	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	CL EP ES
SY	USD <sup>11</sup> –	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT EG EP RU
TH	THB 3,000	THB eq CHF 1,330	eq CHF 15	–	–	–	AU CN EP JP KR US
TJ	TJS <sup>11</sup> –	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
TM	USD <sup>11</sup> –	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
TN	TND <sup>11</sup> –	CHF 1,330	15	–	–	–	EP
TR	None	CHF 1,330	15	–	eq CHF 200	eq CHF 300	EP
TT	TTD 750	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT EP SE US
UA	UAH or eq EUR or USD <sup>24</sup> 1,300	USD (or eq UAH or EUR) 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU UA
US	USD 240 <sup>25</sup> small entity <sup>26</sup> : 120 <sup>25</sup> micro entity <sup>26</sup> : 60 <sup>25</sup>	USD 1,363 (from 1.1.17: 1,367)	15 (15)	102 (103)	205 (206)	– (–)	AU EP IL JP KR SG RU US
UZ	USD <sup>11</sup> –	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	EP RU
VN	VND eq USD 150	VND eq CHF 1,330	eq CHF 15	–	–	–	AT AU EP JP KR RU SE SG
ZA	ZAR 500	ZAR 19,100 (from 1.1.17: 18,610)	220 (210)	– (–)	2,870 (2,800)	4,310 (4,200)	AT AU EP US
ZM	USD 50	USD 1,363 (from 1.1.17: 1,367)	15 (15)	– (–)	– (–)	– (–)	AT SE
ZW	ZWD 6,000	ZWD eq USD 1,363 (from 1.1.17: 1,367)	eq USD 15 (15)	– (–)	– (–)	– (–)	AT AU CN EP RU

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**Table I(b) — Search fees**

(amounts on 1 December 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>													
AT <sup>27</sup>	EUR	1,864	CHF	2,034	KRW*	2,419,000	SGD*	2,780	USD*	2,084	ZAR*	28,940		
	* (from 1.1.17: EUR 1,864)		* (from 1.1.17: CHF 2,309,000)		* (from 1.1.17: KRW 2,854)		* (from 1.1.17: SGD 2,091)		* (from 1.1.17: USD 28,470)					
AU	AUD	2,200	CHF*	1,616	EUR*	1,481	KRW*	1,858,000	NZD*	2,397	SGD*	2,210		
	USD*	1,674	ZAR*	23,900										
* (from 1.1.17: AUD 2,200)		* (from 1.1.17: CHF 1,643)		* (from 1.1.17: EUR 1,505)		* (from 1.1.17: KRW 1,864,000)		* (from 1.1.17: NZD 2,322)		* (from 1.1.17: SGD 2,304)				
BR <sup>28</sup>	Online:		BRL	1,685	CHF*	502	EUR*	464	USD*	518				
	* (from 1.1.17: BRL 1,685)		* (from 1.1.17: CHF 511)		* (from 1.1.17: EUR 468)		* (from 1.1.17: USD 525)							
On paper:		BRL	2,525	CHF*	752	EUR*	695	USD*	776					
* (from 1.1.17: BRL 2,525)		* (from 1.1.17: CHF 766)		* (from 1.1.17: EUR 702)		* (from 1.1.17: USD 787)								
CA	CAD	1,600	CHF*	1,194	EUR*	1,094	USD*	1,261						
	* (from 1.1.17: CAD 1,600)		* (from 1.1.17: CHF 1,187)		* (from 1.1.17: EUR 1,088)		* (from 1.1.17: USD 1,220)							
CL	USD	2,000	CHF*	1,952	EUR*	1,789								
	* (from 1.1.17: USD 2,000)		* (from 1.1.17: CHF 1,946)		* (from 1.1.17: EUR 1,783)									
	In case of filing by an natural person or a legal entity <sup>2</sup> :							USD	400	CHF*	390	EUR*	358	
	* (from 1.1.17: USD 400)		* (from 1.1.17: CHF 389)		* (from 1.1.17: EUR 357)									
In case of filing by a university <sup>29</sup> :							USD	300	CHF*	293	EUR*	268		
* (from 1.1.17: USD 300)		* (from 1.1.17: CHF 292)		* (from 1.1.17: EUR 267)										
CN	CNY	2,100	CHF*	323	EUR*	296	USD*	330						
	* (from 1.1.17: CNY 2,100)		* (from 1.1.17: CHF 306)		* (from 1.1.17: EUR 281)		* (from 1.1.17: USD 315)							
EG <sup>30</sup>	EGP	4,000	CHF*, **	430	EUR*, **	395	USD*, **	451						
	* (from 1.1.17: EGP 4,000)		* (from 1.1.17: CHF 439)		* (from 1.1.17: EUR 403)		* (from 1.1.17: USD 452)							
	** (from 1.2.17: EGP 4,000)		** (from 1.2.17: CHF 229)		** (from 1.2.17: EUR 213)		** (from 1.2.17: USD 225)							
EP <sup>31</sup>	EUR	1,875	CHF	2,046	DKK*	13,990	GBP*	1,617	HUF*	585,800	ISK*	250,000		
	JPY*	213,000	NOK*	17,640	NZD*	2,953	SEK*	17,500	SGD*	2,800	USD*	2,097		
	ZAR*	29,110												
	* (from 1.1.17: EUR 1,875)		* (from 1.1.17: CHF 2,046)		* (from 1.1.17: DKK 13,960)		* (from 1.1.17: GBP 1,636)		* (from 1.1.17: HUF 576,500)		* (from 1.1.17: ISK 239,400)			
	JPY	213,600	NOK	16,780	NZD	2,892	SEK	18,010	SGD	2,870	USD	2,103		
ZAR	28,640													
ES <sup>31,32</sup>	EUR	1,875	CHF	2,046	USD*	2,097								
	* (from 1.1.17: EUR 1,875)		* (from 1.1.17: CHF 2,103)		* (from 1.1.17: USD 2,097)									
FI	EUR	1,875	CHF	2,046	USD*	2,097								
	* (from 1.1.17: EUR 1,875)		* (from 1.1.17: CHF 2,103)		* (from 1.1.17: USD 2,097)									
IL	ILS	3,529	CHF*	905	EUR*	837	USD*	912						
	* (from 1.1.17: ILS 3,529)		* (from 1.1.17: CHF 913)		* (from 1.1.17: EUR 836)		* (from 1.1.17: USD 938)							
IN	INR	10,000	CHF*	150	EUR*	137	USD*	154						
	* (from 1.1.17: INR 10,000)		* (from 1.1.17: CHF 146)		* (from 1.1.17: EUR 134)		* (from 1.1.17: USD 150)							
In case of filing by an individual:							INR	2,500	CHF	37	EUR	34	USD	38
JP <sup>16</sup>	For international applications in Japanese:													
	JPY	70,000	CHF*	648	EUR*	616	KRW*	756,000	USD*	686				
	* (from 1.1.17: JPY 70,000)		* (from 1.1.17: CHF 671)		* (from 1.1.17: EUR 615)		* (from 1.1.17: KRW 761,000)		* (from 1.1.17: USD 689)					
	For international applications in English:													
JPY	156,000	CHF*	1,444	EUR*	1,373	SGD*	1,968	USD*	1,530					
* (from 1.1.17: JPY 156,000)		* (from 1.1.17: CHF 1,495)		* (from 1.1.17: EUR 1,370)		* (from 1.1.17: SGD 2,097)		* (from 1.1.17: USD 1,536)						
KR	For international applications in Korean:													
	KRW	450,000	AUD*	533	CHF*	379	EUR*	347	NZD*	559	SGD*	550		
	USD	388												
	* (from 1.1.17: KRW 450,000)		* (from 1.1.17: AUD 531)		* (from 1.1.17: CHF 397)		* (from 1.1.17: EUR 363)		* (from 1.1.17: NZD 560)		* (from 1.1.17: SGD 556)			
	For international applications in English:													
	KRW	1,300,000	AUD*	1,539	CHF*	1,093	EUR*	1,002	NZD*	1,616	SGD*	1,580		
USD*	1,120													
* (from 1.1.17: KRW 1,300,000)		* (from 1.1.17: AUD 1,534)		* (from 1.1.17: CHF 1,145)		* (from 1.1.17: EUR 1,050)		* (from 1.1.17: NZD 1,619)		* (from 1.1.17: SGD 1,607)				
USD	1,177													

[continued on next page]

**Table I(b) — Search fees [continued]**  
(amounts on 1 December 2016, unless otherwise indicated)

ISA	Search fee <sup>1</sup>											
RU	For international applications in Russian:											
	RUB	6,750	CHF*	99	EUR*	92	USD*	101				
	* (from 1.1.17:		CHF	105	EUR	96	USD	108)				
	For international applications in English:											
SE	SEK*	17,500	CHF	2,046	DKK*	13,990	EUR	1,875	ISK*	250,000	NOK*	17,640
	USD*	2,097										
	* (from 1.1.17:		SEK	18,010	DKK	13,960	ISK	239,400	NOK	16,780	USD	2,103)
SG	SGD	2,240	CHF*	1,621	EUR*	1,501	JPY*	167,500	USD*	1,578		
	* (from 1.1.17:		CHF	1,597	EUR	1,463	JPY	166,700	USD	1,641)		
UA	EUR	300	CHF*	325	USD*	328						
	* (from 1.1.17:		CHF	327	USD	337)						
US	USD	2,080	CHF*	2,030	EUR*	1,860	NZD*	2,980	ZAR*	28,280		
	* (from 1.1.17:		CHF	2,024	EUR	1,854	NZD	2,861	ZAR	28,320)		
	For small entity <sup>26</sup> :											
	USD	1,040	CHF*	1,015	EUR*	930	NZD*	1,490	ZAR*	14,140		
	* (from 1.1.17:		CHF	1,012	EUR	927	NZD	1,430	ZAR	14,160)		
	For micro entity <sup>26</sup> :											
USD	520	CHF*	508	EUR*	465	NZD*	740	ZAR*	7,070			
* (from 1.1.17:		CHF	506	EUR	464	NZD	715	ZAR	7,080)			
XN	DKK*	13,990	CHF	2,046	EUR	1,875	ISK*	250,000	NOK*	17,640	SEK*	17,500
	USD*	2,097										
	* (from 1.1.17:		DKK	13,960	ISK	239,400	NOK	16,780	SEK	18,010	USD	2,103)
XV	EUR	1,875	CHF	2,046	HUF*	585,800	USD*	2,097				
	* (from 1.1.17:		HUF	576,500	USD	2,103)						

**Table I(c) — Supplementary search fees**  
(amounts on 1 December 2016, unless otherwise indicated)

ISA	Supplementary search fee	Supplementary search handling fee <sup>2, 33</sup>	
AT	– for a search of the German-language documentation:	CHF 200	
	CHF 928		
	– for a search of the European and North American documentation:	CHF 1,299	
	CHF 1,855		
EP	CHF 2,046	CHF 200	
FI	CHF 2,046	CHF 200	
RU	CHF 172 (276) <sup>34</sup> (from 1.1.17: 184 (294) <sup>34</sup> )	CHF 200	
SE	CHF 2,046	CHF 200	
SG	CHF 1,621 (from 1.1.17: 1,597)	CHF 200	
UA	– for a search of only the PCT minimum documentation:	CHF 108	
	(from 1.1.17:	CHF 109)	
	– for a search of only the Russian language documentation of the former USSR and the Ukrainian language documentation:	CHF 163	
	(from 1.1.17:	CHF 164)	
	– for a search of only the European and North American documentation:	CHF 217	
	(from 1.1.17:	CHF 218)	
XN	– for a full search:	CHF 2,046	
	– for searches only of documentation in Danish, Icelandic, Norwegian and Swedish:	CHF 590	
XV	– for a full search:	CHF 2,046	
	– for searches only of documentation in Czech, Hungarian, Polish and Slovak:	CHF 600	

**Table II — Preliminary examination fees**  
(amounts on 1 December 2016, unless otherwise indicated)

<b>IPEA</b>	<b>Preliminary examination fee<sup>35</sup></b>			<b>Handling fee<sup>2, 35</sup> (CHF 200)</b>	
<b>AT<sup>27</sup></b>	<b>EUR</b>	1,749		<b>EUR</b>	183
<b>AU</b>	<b>AUD</b>	590	820 <sup>36</sup>	<b>AUD</b>	272 (from 1.1.17: <b>AUD</b> 268)
<b>BR<sup>28</sup></b>	Online: <b>BRL</b>	630		<b>BRL</b> eq <b>CHF</b>	200
	On paper: <b>BRL</b>	945			
<b>CA</b>	<b>CAD</b>	800		<b>CAD</b>	268 (from 1.1.17: <b>CAD</b> 269)
<b>CL</b>	<b>USD</b>	1,500		<b>USD</b>	205 (from 1.1.17: <b>USD</b> 206)
	in case of filing by a natural person or a legal entity <sup>2</sup> : <b>USD</b> 400				
	in case of filing by a university <sup>29</sup> : <b>USD</b> 300				
<b>CN</b>	<b>CNY</b>	1,500		<b>CNY</b> eq <b>CHF</b>	200
<b>EG<sup>30</sup></b>	<b>EGP</b>	3,000		<b>USD</b>	205 (from 1.1.17: <b>USD</b> 206)
<b>EP<sup>31</sup></b>	<b>EUR</b>	1,930		<b>EUR</b>	183
<b>ES</b>	<b>EUR</b>	589.49 <sup>32</sup>		<b>EUR</b>	183
<b>FI</b>	<b>EUR</b>	600		<b>EUR</b>	183
<b>IL</b>	<b>ILS</b>	1,512		<b>ILS</b>	794 (from 1.1.17: <b>ILS</b> 773)
<b>IN</b>	<b>INR</b> 12,000; in case of filing by an individual: <b>INR</b> 3,000			<b>USD</b>	205 (from 1.1.17: <b>USD</b> 206)
<b>JP</b>	For international applications in Japanese: <b>JPY</b> 26,000 <sup>16</sup>			<b>JPY</b>	21,600 (from 1.1.17: <b>JPY</b> 20,900)
	For international applications in English: <b>JPY</b> 58,000				
<b>KR</b>	<b>KRW</b>	450,000		<b>KRW</b>	238,000 (from 1.1.17: <b>KRW</b> 227,000)
<b>RU</b>	For international applications in Russian: <b>RUB</b> 2,700 <sup>37</sup> 4,050 <sup>38</sup>			<b>USD</b>	205 (from 1.1.17: <b>USD</b> 206)
	For international applications in English: <b>RUB</b> 10,500 <sup>37</sup> 15,750 <sup>38</sup>				
<b>SE</b>	<b>SEK</b>	5,000		<b>SEK</b>	1,710 (from 1.1.17: <b>SEK</b> 1,760)
<b>SG</b>	<b>SGD</b>	830		<b>SGD</b>	276 (from 1.1.17: <b>SGD</b> 281)
<b>UA</b>	– if the international search report has been prepared by this ISA: <b>EUR</b> 160			<b>EUR</b>	183
	– if the international search report has been prepared by another ISA: <b>EUR</b> 180				
<b>US</b>	<b>USD</b>	600	760 <sup>39</sup>	<b>USD</b>	205 (from 1.1.17: <b>USD</b> 206)
	For small entity <sup>26</sup> : <b>USD</b> 300 380 <sup>39</sup>				
	For micro entity <sup>26</sup> : <b>USD</b> 150 190 <sup>39</sup>				
<b>XN</b>	<b>DKK</b>	5,000		<b>DKK</b>	1,370 (from 1.1.17: <b>DKK</b> 1,360)
<b>XV</b>	<b>EUR</b>	1,930	(from 1.2.17: <b>EUR</b> 900)	<b>EUR</b>	183

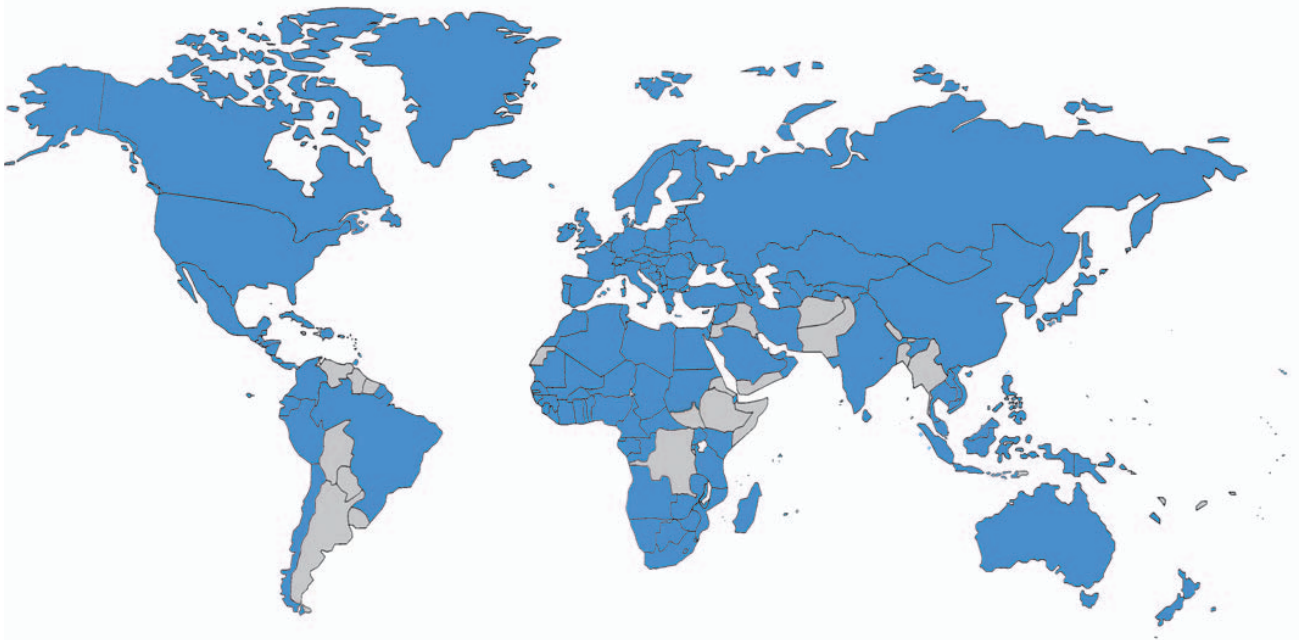
[See over page for footnotes to fee tables]

**Footnotes to fee tables:**

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: [http://www.wipo.int/pct/en/newslett/2009/newslett\\_09.pdf](http://www.wipo.int/pct/en/newslett/2009/newslett_09.pdf)).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: <http://www.wipo.int/pct/en/fees>
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: [http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\\_keigen.htm](http://www.jpo.go.jp/tetuzuki/ryoukin/chusho_keigen.htm)
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: <http://www.wipo.int/pct/en/fees>).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: [http://www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: <http://www.wipo.int/pct/en/fees>
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: <http://www.wipo.int/pct/guide/en/index.html>
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).



## PCT Contracting States and Two-letter Codes (151 on 8 December 2016)



AE United Arab Emirates	CU Cuba	IR Iran (Islamic Republic of)	MK The former Yugoslav Republic of Macedonia (EP)	SE Sweden (EP)
AG Antigua and Barbuda	CY Cyprus (EP) <sup>2</sup>	IS Iceland (EP)	ML Mali (OA) <sup>2</sup>	SG Singapore
AL Albania (EP)	CZ Czechia (EP)	IT Italy (EP) <sup>2</sup>	MN Mongolia	SI Slovenia (EP) <sup>2</sup>
AM Armenia (EA)	DE Germany (EP)	JP Japan	MR Mauritania (OA) <sup>2</sup>	SK Slovakia (EP)
AO Angola	DJ Djibouti	KE Kenya (AP)	MT Malta (EP) <sup>2</sup>	SL Sierra Leone (AP)
AT Austria (EP)	DK Denmark (EP)	KG Kyrgyzstan (EA)	MW Malawi (AP)	SM San Marino (EP)
AU Australia	DM Dominica	KH Cambodia	MX Mexico	SN Senegal (OA) <sup>2</sup>
AZ Azerbaijan (EA)	DO Dominican Republic	KM Comoros (OA) <sup>2</sup>	MY Malaysia	ST Sao Tome and Principe (AP) <sup>5</sup>
BA Bosnia and Herzegovina <sup>1</sup>	EC Ecuador	KN Saint Kitts and Nevis	MZ Mozambique (AP)	SV El Salvador
BB Barbados	EE Estonia (EP)	KP Democratic People's Republic of Korea	NA Namibia (AP)	SY Syrian Arab Republic
BE Belgium (EP) <sup>2</sup>	EG Egypt	KR Republic of Korea	NE Niger (OA) <sup>2</sup>	SZ Swaziland (AP) <sup>2</sup>
BF Burkina Faso (OA) <sup>2</sup>	ES Spain (EP)	KW Kuwait	NG Nigeria	TD Chad (OA) <sup>2</sup>
BG Bulgaria (EP)	FI Finland (EP)	KZ Kazakhstan (EA)	NI Nicaragua	TG Togo (OA) <sup>2</sup>
BH Bahrain	FR France (EP) <sup>2</sup>	LA Lao People's Democratic Republic	NL Netherlands (EP) <sup>2</sup>	TH Thailand
BJ Benin (OA) <sup>2</sup>	GA Gabon (OA) <sup>2</sup>	LC Saint Lucia	NO Norway (EP)	TJ Tajikistan (EA)
BN Brunei Darussalam	GB United Kingdom (EP)	LI Liechtenstein (EP)	NZ New Zealand	TM Turkmenistan (EA)
BR Brazil	GD Grenada	LK Sri Lanka	OM Oman	TN Tunisia
BW Botswana (AP)	GE Georgia	LR Liberia (AP)	PA Panama	TR Turkey (EP)
BY Belarus (EA)	GH Ghana (AP)	LS Lesotho (AP)	PE Peru	TT Trinidad and Tobago
BZ Belize	GM Gambia (AP)	LT Lithuania (EP) <sup>2</sup>	PG Papua New Guinea	TZ United Republic of Tanzania (AP)
CA Canada	GN Guinea (OA) <sup>2</sup>	LV Latvia (EP) <sup>2</sup>	PH Philippines	UA Ukraine
CF Central African Republic (OA) <sup>2</sup>	GR Greece (EP) <sup>2</sup>	LY Libya	PL Poland (EP)	UG Uganda (AP)
CG Congo (OA) <sup>2</sup>	GT Guatemala	MA Morocco <sup>3</sup>	PT Portugal (EP)	US United States of America
CH Switzerland (EP)	GW Guinea-Bissau (OA) <sup>2</sup>	MC Monaco (EP) <sup>2</sup>	QA Qatar	UZ Uzbekistan
CI Côte d'Ivoire (OA) <sup>2</sup>	HN Honduras	MD Republic of Moldova <sup>4</sup>	RO Romania (EP)	VC Saint Vincent and the Grenadines
CL Chile	HR Croatia (EP)	ME Montenegro <sup>1</sup>	RS Serbia (EP)	VN Viet Nam
CM Cameroon (OA) <sup>2</sup>	HU Hungary (EP)	MG Madagascar	RU Russian Federation (EA)	ZA South Africa
CN China	ID Indonesia		RW Rwanda (AP)	ZM Zambia (AP)
CO Colombia	IE Ireland (EP) <sup>2</sup>		SA Saudi Arabia	ZW Zimbabwe (AP)
CR Costa Rica	IL Israel		SC Seychelles	
	IN India		SD Sudan (AP)	

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

3 Validation of European patent possible for international applications filed on or after 1 March 2015.

4 Validation of European patent possible for international applications filed on or after 1 November 2015.

5 Only international applications filed on or after 19 August 2014 will include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:** This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015 and 1 July 2016, respectively). The request and demand forms can be printed from the website, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.