

CWS/12/19 ORIGINAL: ENGLISH DATE: JULY 18, 2024

Committee on WIPO Standards (CWS)

Twelfth Session Geneva, September 16 to 19, 2024

PROPOSAL FOR REVISION OF WIPO STANDARDS ST.27, ST.61 AND ST.87

Document prepared by the International Bureau

SUMMARY

1. The Legal Status Task Force (LSTF) proposes revisions to WIPO Standards ST.27, ST.61 and ST.87, which are related to legal status events. The revision to WIPO ST.87 was proposed by the Task Force to expand the supplementary data that is captured in Annex II to the Standard. The other proposed revisions are to ensure that the three Standards remain aligned.

BACKGROUND

- 2. The Legal Status Task Force has been working under the framework of Task No. 47 whose description reads:
 - "Ensure the necessary revisions and updates of WIPO Standards ST.27, ST.87, and ST.61; prepare supporting materials to assist the use of those Standards in the IP community; and support the XML4IP Task Force to develop XML components for legal status event data."
- 3. In close collaboration with XML4IP Task Force, the LSTF has worked on updating WIPO Standard ST.87, Annex II (Supplementary Event Data), to support the development of XML schema for industrial design legal status events.
- 4. The LSTF Offices nominated industrial design experts to review Annex II of WIPO ST.87 to determine if there were any gaps in the supplementary data already captured by this Annex for each of the categories listed. The result of that review are the agreed revisions being proposed in the present document.

5. After the revision to WIPO ST.87 was agreed by the LSTF, the International Bureau, as the Task Force Leader, reviewed WIPO Standards ST.27 and ST.61, and proposed changes to the two Standards to ensure that they remain consistent with the revision to ST.87.

PROPOSED REVISION OF WIPO STANDARD ST.87

- 6. The LSTF has prepared a proposal for the revision of WIPO Standard ST.87 for consideration and where appropriate approval by the CWS, which is reproduced in Annex I to the present document using tracked changes. In Annex I, strike-through text indicates a deletion and underlined text indicates an addition.
- 7. The proposed changes to WIPO Standard ST.87 can be summarized as follows:
 - (a) Update to list of references to add reference to WIPO Standard ST.96;
 - (b) Clarification provided within paragraph 48 regarding the supplementary data provided in Annex II:
 - (c) Update to Annex II to provide additional supplementary data for categories provided; and
 - (d) Some editorial updates.

PROPOSED REVISION TO WIPO STANDARDS ST.27 AND ST.61

- 8. The International Bureau has prepared a proposal for revisions of WIPO Standards ST.27 and ST.61 resulting from the revision to WIPO Standard ST.87 and alignment among those legal status Standards. All changes are indicated in full in Annexes II and III respectively to the present document using tracked changes. In Annexes II and III, strike-through text indicates a deletion and underlined text indicates an addition.
- 9. The proposed changes to WIPO Standards ST.27 and ST.61 can be summarized as follows:
 - (a) Update of list of references to add reference to WIPO Standard ST.87;
 - (b) Clarification provided within paragraph 48 regarding the supplementary data provided in Annex II:
 - (c) Update to Annex II to provide additional supplementary data for categories provided; and
 - (d) Some editorial updates.

VERSIONING

10. As none of the legal status Standards have any versioning information, no new version number needs to be proposed for WIPO ST.27, ST.61 or ST.87. The date of publication of these Standards is considered sufficient.

11. The CWS is invited to:

- (a) note the contents of this document and its Annexes;
- (b) consider and approve the proposed revisions to WIPO Standard ST.87 as referred to in paragraph 7 above, and detailed in Annex I to the present document;
- (c) consider and approve the proposed revisions to WIPO Standard ST.27 as referred to in paragraph 9 above, and detailed in Annex II to the present document; and
- (d) consider and approve the proposed revisions to WIPO Standard ST.61 as referred to in paragraph 9 above, and detailed in Annex III to the present document.

[Annex I follows]

CWS/12/19 Annex_I, Page 1

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STANDARD ST.87

RECOMMENDATION FOR THE EXCHANGE OF INDUSTRIAL DESIGN LEGAL STATUS DATA

Proposal for revision by the Committee on WIPO Standards (CWS) at its twelfth session

Editorial Note by the International Bureau

IPOs may begin implementing ST.87 to exchange legal status data. The Legal Status Task Force will continue revising titles and descriptions for categories and events as needed while IPOs continue to improve their mappings.

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REFERENCES

The following WIPO Standards are relevant to this Standard:

WIPO Standard ST.2	Standard Manner for Designating Calendar Dates by Using the Gregorian Calendar	-	Formatted Table
WIPO Standard ST.3	Recommended Standard on Two-Letter Codes for the Representation of States, Other Entities and Intergovernmental Organizations		
WIPO Standard ST.13	Numbering of Applications for IPRs	+	Formatted: Tab stops: 8.89 cm, Left
WIPO Standard ST.16	Identification of Different Kinds of Patent Documents		
WIPO Standard ST.27	Recommendation for the Exchange of Patent Legal Status Data		
WIPO Standard ST.80	Bibliographic data relating to industrial designs		
WIPO Standard ST.96	Processing of intellectual property information using XML	1	Formatted: Indent: Left: 2.03 cm
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48. The minimum supplementary event data related to a category is listed in Annex II. There are two groups of data: data numbered (1) through (3) is a common supplementary event data set which an IPO may provide for any national/regional/international event regardless of the category it maps to; and supplementary event data which numbered from (4) onwards is specific to that category. For further details refer to Annex II.

IMPLEMENTATION

- 49. IPOs are encouraged to implement this Standard as soon as possible to facilitate the effective exchange of harmonized legal status data for applications and IP rights. Implementing this Standard will require that IPOs map national/regional/international events to the events defined in the Standard or at the very least a category.
- 50. It is recommended that when implementing this Standard, an announcement be made and the International Bureau of WIPO be informed by providing a mapping table of national/regional/international events to the events in this Standard on the basis of the model template available in Annex IV.
- 51. IPOs are requested to provide a title and description of their national/regional/international event codes in English such that users can obtain more information about the specific national/regional/international event. It is recommended that IPOs provide this information either along with the legal status data, or by providing the uniform resource identifier (URI) of their national/regional IP register or some other forum where this information is publicly available.
- 52. This Standard suggests that the frequency of exchange of legal status data should occur at one month intervals at a minimum, ideally at weekly intervals.

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ANNEX II

SUPPLEMENTARY EVENT DATA

Proposal for revision by the Committee on WIPO Standards (CWS) at its twelfth session on September 16, 2024

- 1. Each status event code may be accompanied by supplementary event data. There is supplementary event data that is specific to events in a particular category and there is common supplementary event data which is common to all events. The common supplementary event data which is common to all events. The common supplementary event data includes (1) the effective country or region, (2) the gazette issue number, (3) comment (i.e.—, free text), (4) a prior relevant event date, and (5) a relevant rule. The "effective country or region", is the country of region where the event has legal effect, which is particularly relevant for regional IPOs where the effect of an event, such as discontinuation due to non-payment of renewal fees, only has effect in some of the countries where the IP right is active. The "gazette issue number" is the issue of the national/regional gazette where the particulars of the national/regional/international event are made public. IPOs will be able to provide additional associated data which is not specified in the "comment" entry. The "prior relevant event date" is the date of a prior event which is relevant to the current event, such as the start of a fee payment time period which has expired. The "relevant rule" is an Office-specific rule, regulation, law, or other principle which was applied to reach the event outcome.
- 2. The Table below shows what supplementary data fields may be used for different categories. The first row shows common elements that may be used in any category, and subsequent rows show the data elements specific to each category. Note that the supplementary data elements indicated in this Standard are general descriptions of the types of data that may be provided. The particular formats and allowed values for supplementary data are defined in data representation standards, such as WIPO ST.96 for eXtensible Markup Language (XML).

Category	Category Title & Description	Supplementary event data	1 🚣	
Code	Salegory Into a postription	Supplementary event data		Formatted Table
<u>n/a</u>	All categories This shows common data elements that may be used in any category.	Effective country or region Gazette issue number Comment (i.e., free text) Prior Relevant Event Date Relevant Rule (e.g., rule number)		Commented [YYW2]: @Gaspard, All Category Titles should be underlined to differenciate from their description in this document and two other Annexes to the working document. Formatted: Underline Formatted: Underline Formatted: Space After: 10 pt
A	Application filing This category is a group of events related to the filing of an application. It includes, for example, when a national or regional IPO or the International Bureau of the World Intellectual Property Organization receives an application for the registration of an industrial design together with any additional indications, elements, documentation and/or fees necessary to obtain a filing date under national or regional law or convention or the Hague Agreement as applicable. This category also includes events related to the filing of a divisional application, continuation, continuation in part, or an application for conversion.	1. Related Document Identification (e.g., -parent document ID) 2. Priority Date 3. International or Regional Application Filing Data 4. Regional Filing Date 5.4. Name of Applicants Applicant Data (e.g., name, contact info) 5. Divided Applications 6. Number of designs 6.7. WIPO DAS access code		Formatted: French (Canada) Formatted: Font: Font color: Black
В	Application discontinuation This category is a group of events related to the discontinuation of an application. It includes, for example, when an application has been voluntarily withdrawn by the applicant, was deemed to be withdrawn, abandoned or lapsed or was refused by the IPO. The events in this category may move an application from the filing stage, examination stage or pre-registration challenge stage into the termination likely/termination stage.	1. Reason Not In Force 4-2. Not in Force Date		Formatted: English (United States)
С	Application revival This category is a group of events related to the revival, reinstatement or restoration of an application after it has been discontinued. It includes, for example, when an application was revived following a request for application revival after payment of an outstanding fee, responding to an outstanding action or decision which had resulted in the application being discontinued, or following an appeal. The events in this category may move an application from the termination likely/termination stage into the filing stage, examination stage or pre-registration challenge stage.	Discontinuation Date Reason Not In Force Reason for Revival Prior Use Rights Indicator.		Formatted: English (United States)

D	Search and	Search and examination	Search Category	
	Search and examination	Search and examination This category is a group of events related to the examination proceeding and prior art searches that occur before registration of an industrial design and/or the grant of an IP right. It includes, for example, a formality examination or a substantive examination. It also includes a request for a prior art search and an announcement of the intention of the IPO to register the industrial design and/or grant an IP right. The events in this category may move an application from the filing stage or the preregistration challenge stage into the examination stage.	1. Search Category 2. Requester of Search (e.g., applicant, third party, or independently by the IPO) 3. Requester of Examination (e.gl., applicant, third party, or independently by the IPO) 4. Publication Data 5. Priority Data 6. Related Documents Data (e.g., regional filing data, international filing data) 7. Applicant Data 8. Type of Examination (e.g., substantive), 7.9. Examination Report Data	Commented [YYW3]: @gaspard, this 2nd column should be moved. Formatted: Font: Font color: Black
			10. Search Report Data 8-11. Deferment Expiry Date (e.g., when the deferment of examination will expire)	Formatted: Font: Font color: Black Formatted: Font: Font color: Black
	Pre-registration review-request	Pre-registration review request This category is a group of events related to the request for a pre-registration review. It includes, for example, a request for a pre-registration opposition, pre-registration review. It includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an application from the examination stage or the termination likely/termination stage into the pre-registration challenge stage.	Decision Details of Review Authority Details Court/Tribunal (e.g., the authority name and its category such as nationalef court, tribunal, IPO body) Party Name(s) Representative's Name(s) & Contact Details Review Procedure Data (e.g., opposition date, reference, language) 4. Prior Use Rights Indicator	
	Industrial design registration	Industrial design registration This category is a group of events which relate to the effective grant date of an IP right and/or entry of the industrial design into the IPO's register. It includes, for example, when an industrial design is registered and/or an IP right was granted following an examination, an appeal, pre-registration review or inadmissibility, rejection or withdrawal of a pre-registration review request. The events in this category may move an application from the examination stage or the pre-registration challenge stage into the registration stage.	Name of Registered Owner Reference to Pre-registration Review Decision (e.g., court or tribunal order following pre-registration review) Priority Data International Design Registration Data Duration of exclusive right Classification Data	Formatted: Indent: Left: 0.06 cm, Hanging: 0.75 cm, Numbered + Level: 1 + Numbering Style: 1, 2, 3, + Start

				CWS/12/19 Annex, Page 6		
Н	IP-right cessation	IP right cessation This category is a group of events related to the cessation of an IP right. It includes, for example, the cessation of an IP right following an IP right review, an appeal, a refusal to reinstate, or a lapse or expiry. The events in this category may move an IP right from the registration stage or the post-registration challenge stage into the termination likely/termination stage.	1.			Formatted: English (United States)
			3.	Reason Not In Force		Formatted: Font: Font color: Black Formatted: Font: Font color: Black Formatted: Indent: Left: 0.81 cm. No bullets or numbering
				<u> </u>] \	Formatted: Indent: Left: 0.81 cm, No bullets or numbering

К	IP right revival	IP right revival This category is a group of events related to the revival, reinstatement or restoration of an IP right after its cessation. It includes, for example, the request for the revival and the decision to revive an IP right, including by way of an appeal. The events in this category may move an IP right from the termination likely/termination stage into the registration stage or the post-registration challenge stage.	1. Reinstatement Reason Category (e.g., following payment of fee) 2. Cessation Date 3. Expiry Date 4. Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO bodyDecision Authority Category (e.g., court, tribuna, IPO) 3.5. Prior Use Right Indicator
L	IP right review request	IP right review request This category is a group of events related to a request for a review after registration of an industrial design and/or the grant of an IP right. It includes, for example, a request for a post-registration opposition, post-registration examination, limitation, reissue, surrender, or invalidation. It also includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an IP right from the registration stage or the termination likely/termination stage into the post-registration challenge stage.	1. Party Name(s) 2. Representative's Name(s) & Contact Details 3. Review Procedure Details (e.g., opposition date, reference, language) 3.4. Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body) 5. Reason for Right Review
M	IP right maintenance	IP right maintenance This category is a group of events related to the maintenance of a granted IP right in full or amended form as the outcome of a post-registration challenge. It includes, for example, an IP right being maintained in full or amended form following a full or partial renewal, an appeal, an IP right review or the inadmissibility, rejection or withdrawal of a request for an IP right review. The events in this category may occur in the registration stage or may move an IP right from the post-registration challenge stage into the registration stage.	Bequest 1. IP Right Review Details (i.e., information about the IP right review, including court order details for example) 2. Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO bodyDecision Authority Category (e.g., court, tribunal, IPO) 3. Renewal Details (e.g., length of renewal) 4.3. Post-registration Examination Certificate Number
N	Termination	Termination This category is a group of events related to the termination of an application or an IP right without a possibility of its revival. It includes, for example, when an application or IP right was terminated by the IPO or a court. The events in this category are not available to all IPOs.	Reason Not in Force 1-2. Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO bodyDecision Authority Category (e.g., court, tribunal, IPO)

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P	Document modification	Document modification This category is a group of events related to modifications of an application, IP right document or other document, which occur outside the context of a pre-registration review or IP right review. It includes, for example, amendments and corrections of	Publication identification Modified Part of Document Category (e.g. bibliographic information, priority claim, specification, claims, drawings)	
		errors in applications and IP right documents. The events in this category may occur during any stage.	Modification Category (e.g. amendment or correction) Previously Published (erroneous) Content New (corrected) Content	
Q	Document publication	Document publication This category is a group of events related to document publication by the IPO. It includes, for example, publication of an application, IP right document or bibliographic information by the IPO. The events in this category may occur during any stage.	5. 1. Publication Identification ◆	Formatted: Indent: Left: 0.81 cm, No bullets or numberin Formatted: Don't add space between paragraphs of the same style, Numbered + Level: 1 + Numbering Style: 1, 2, 3 + Start at: 1 + Alignment: Left + Aligned at: 0.63 cm + Indent at: 1.27 cm
R	Party data change	Party data change This category is a group of events related to the IPO recording changes in party data. It includes, for example, when the IPO records changes to a party concerned with the application or IP right, e.g. the applicant(s), owner(s), creator(s) or representative(s). It also includes events related to the recording of changes in party contact information. The events in this category may occur during any stage.	1. Party Data Change Category (e.g. owner change, creator change, representative change, owner contact information change, creator contact information change, representative contact information change, representative contact information change) 2. Previous Party Name/Contact Information 3. Previous Party Country Code 4. New Party Name/Contact Information 5. New Party Country Code 6. Assignment Document Number (e.g. number associated with transfer of IP right) 7. Ownership Transfer Datae 8. Legal Proceedings Details (if applicable) 9. Date of Rreceipt Date of request 4.10. Requestor Name/Contact	Formatted: Font: Font color: Black
			information	Formatted: Polit: Polit: Color: Black Formatted: Indent: Left: 0.06 cm, Hanging: 0.75 cm, Numbered + Level: 1 + Numbering Style: 1, 2, 3, + Start at: 1 + Alignment: Left + Aligned at: 0.25 cm + Indent at: 0.89 cm

S	Licensing information	Licensing information This category is a group of events related to the IPO recording licensing information and amendments to such records. It includes, for example, when an IPO records that a license,	1.	License Data (e.g.e.g., registration number, license document number, record, type, status, start and end dates)
		pledge or security interest has been agreed to, amended, cancelled or transferred. The events in this category may occur during any stage.	2.	Licensor Data (e.g.e.g., name, contact information, country code)
			3.	Licensee Data (e.g.e.g., name contact information, country code)
			4.	Licensing Information Amendment Category (i.e., which terms were amended)
			5.	Territory of License Validity
			6.	Legal Proceeding Details (if applicable)
				applicable 1

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Т	Administrative procedure adjustment	Administrative procedure adjustment This category is a group of events related to the adjustment of an administrative procedure conducted by the IPO. It includes, for example, granting an extension of an administrative time limit or continued processing of a necessary procedure. It also includes a suspension, stay or interruption of an administrative procedure, or the resumption of a suspended, stayed or interrupted administrative procedure. The events in this category may occur during any stage.	1. Adjustment Category (e.g., time extension, suspension, stay, resumption, interruption, delay in communication services, as-of-right extension granted, IPO disruption, IPO irregularity) 2. Reason for Adjustment (e.g., natural disaster, IPO delay, court delay, applicant/holder/patentee delay) 3. Start and End Date (e.g., date at which the adjustment starts and date at which the adjustment starts and date at which the adjustment ends) 4. Prior Use Rights Indicator 5. Reasons for the refusal of administrative procedure adjustment (e.g., reasons for the refusal of advanced examination or reasons for refusal of advanced examination or reasons for refusal of extension of time) 6. Confirmation of -administrative procedure adjustment(delay-of registration) Formatted: Indent: Left: 0.63 cm, No bullets or numbering registration.
U	Payment	Payment This category is a group of events related to the payment of fees. It includes, for example, payment of a renewal, maintenance or other designation fee. The events in this category may occur during any stage.	1. Fee Category (e.g., registration fee, maintenance fee, renewal fee, designation fee) 2. Payment Details (e.g., payment status, year of fee payment) 3. Renewal Details (e.g., length of renewal, scope changes, next fee due date) 3.
V	Appeal	Appeal This category is a group of events related to an appeal of a decision made during the prosecution of an IP right. It includes, for example, a request by the applicant, IP right owner, or third party for an administrative or court appeal of any decision made during the prosecution of an IP right and the procedural outcome of such an appeal. The substantive outcome of the appeal may be mapped to an event in another category, for example, application discontinued, application revived, IP right granted, IP right ceased, or IP right maintained. The events in this category may occur during any stage.	1. Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body) Appellate body. 2. Decision Being Appealed 3. Appellate Decision Details 4. Decision Citation

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W	Other	Other This category covers events which cannot be categorized under any other Category. It is recommended to use this category as a last resort for unusual cases, where a liberal interpretation of the description of all other Categories would fail to adequately describe the national/regional/international event (e.g. legacy events or interim/internal events).	1.	National/regional/internationa Event Description	al
Y	Correction and deletion of event information	Correction and deletion of event information This category is a group of events related to the correction or deletion of erroneous event information that the IPO previously provided. It includes, for example, correction in the status event code of an application or IP right provided under this Standard or the correction or deletion of an event that was announced erroneously in a gazette, IP register or other published documentation. The events in this category may occur during any stage.	1. 2. 3. 1.4.	Status Event Identification (Status Event Code and Date or Unique Identifier) Previously Published Erroneous Content New Corrected Content Reasons for correction	*

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[...]

[Annex II follows]

STANDARD ST.27

RECOMMENDATION FOR THE EXCHANGE OF PATENT LEGAL STATUS DATA

Revision presented for approval by the Committee on WIPO Standards (CWS) at its twelfth session

Editorial Note by the International Bureau

IPOs may begin implementing ST.27 to exchange legal status data. The Legal Status Task Force will continue revising titles and descriptions for categories and events as needed while IPOs continue to improve their mappings.

At its seventh session, the CWS has approved reserving three additional characters in the status event code for each event. These reserved characters are useful for future work on the standard, and are reserved now so that Offices can implement the existing standard with assurance. The Legal Status Task Force will explore proposals for using the reserved characters after the seventh session of the CWS and report any agreed proposals to the CWS. If no proposals are agreed by the Task Force, the reserved characters will remain unused but in place for possible future uses.



REFERENCES

4. The following WIPO Standards are relevant to this Standard:

WIPO Standard ST.2	Standard Manner for Designating Calendar Dates by Using the Gregorian Calendar
WIPO Standard ST.3	Recommended Standard on Two-Letter Codes for the Representation of States, Other Entities and Intergovernmental Organizations
WIPO Standard ST.13	Numbering of Applications for IPRs
WIPO Standard ST.16	Identification of Different Kinds of Patent Documents
WIPO Standard ST.87	Exchange of Industrial Design Legal Status Data

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Supplementary event data

- 50. This Standard recommends that IPOs provide additional information associated with events so that a user may understand the context in which the national/regional event occurred. This Standard provides the minimum supplementary event data that should be exchanged; IPOs can provide further information. Exchanging supplementary event data is optional.
- 51. The minimum supplementary event data related to a category is listed in Annex II. There are two groups of data: data numbered (1) through (3) is common supplementary event data which is common across all of the categories an IPO may provide for any national/regional event regardless of the category it maps to; and supplementary data which numbered from (4) onwards is specific to that category. For further details refer to Annex II.

IMPLEMENTATION

- 52. IPOs are encouraged to implement this Standard as soon as possible to facilitate the effective exchange of harmonized legal status data for applications and IP rights. Implementing this Standard will require that IPOs map their national/regional events to the events defined in the Standard or at the very least a category.
- 53. It is recommended that when implementing this Standard, an announcement be made and the International Bureau of WIPO be informed by providing a mapping table of national/regional events to the events in this Standard on the basis of the model template available in Annex IV.

[...]

ANNEX II

SUPPLEMENTARY EVENT DATA

Revision approved presented for approval by the Committee on WIPO Standards (CWS) at its eighth-twelfth session on December 4, 2020

- 1. Each status event code may be accompanied by supplementary event data. There is supplementary event data that is specific to events in a particular category and there is common supplementary event data which is common to all events. The common supplementary event data includes: (1) the effective country or region, (2) the gazette issue number, (3) comment (i.e.j.e., free text): (4) a prior relevant event date and (5) a relevant rule. The "effective country or region", is the country or region where the event has legal effect, which is particularly relevant for regional IPOs where the effect of an event, such as discontinuation due to non-payment of renewal fees, only has effect in some of the countries where the IP right is active. The "gazette issue number" is the issue of the national/regional gazette where the particulars of the national/regional event are made public. IPOs will be able to provide additional associated data which is not specified in the "comment" entry. The "prior relevant event date" is the date of a prior event which is relevant to the current event, such as the start of a fee payment time period which has expired. The "relevant rule" is an Office-specific rule, regulation, law, or other principle which was applied to reach the event outcome.
- 2. The Table below shows what supplementary data fields may be used for different categories. The first row shows common elements that may be used in any category, and subsequent rows show the data elements specific to each category. Note that the supplementary data elements indicated in this Standard are general descriptions of the types of data that may be provided. The particular formats and allowed values for supplementary data are defined in data representation standards, such as WIPO ST.96 for eXtensible Markup Language (XML).

Category Code	Category Title & Description	Supplementary event data
<u>n/a</u>	All categories This shows common data elements that may be used in any category.	1. Effective country or region 2. Gazette issue number 3. Comment (i.e. i.e., free text) 4. Prior Relevant Event Date 5. Relevant Rule (e.g.e.g., rule number)

Category Code	Category Title & Description	Supplementary event data
A	Application filing This category is a group of events related to the filing of various types of applications for an IP right. It includes, for example, when a national or regional IPO receives an application for the grant of an IP right, together with any additional documentation and fees necessary to obtain a filing date under national or regional law or convention or the Patent Cooperation Treaty (PCT) as applicable. Types of applications covered include patents for inventions, utility models, supplementary protection certificates, plant patents, design patents, inventors' certificates, utility certificates, and patents of addition. This category also includes events related to the filing of a provisional application, divisional application, continuation, continuation in part, or an application for conversion. It also covers entry of an international application into the national or regional phase.	1. Invention Title 2. (Related Document Identification (e.g.e.g., parent document ID) 3. Priority Date 4. International or Regional Application Filing Data 5. Applicant Data (e.g.e.g., name, contact info) 6. Divided Applications 6.7. WIPO DAS access code
В	Application discontinuation This category is a group of events related to the discontinuation of an application of any type covered by Category A. It includes, for example, when an application has been voluntarily withdrawn by the applicant, was deemed to be withdrawn, abandoned or lapsed or was refused by the IPO. The events in this category may move an application from the filing stage, examination stage or pre-grant challenge stage into the termination likely/termination stage.	1. Reason Not In Force 4.2. Not in Force Date
С	Application revival This category is a group of events related to the revival, reinstatement or restoration of any application covered by Category A after it has been discontinued. It includes, for example, when an application was revived following a request for application revival after payment of an outstanding fee, responding to an outstanding action or decision which had resulted in the application being discontinued, or following an appeal. The events in this category may move an application from the termination likely/termination stage into the filing stage, examination stage or pre-grant challenge stage.	1. Discontinuation Date 2. Reason Not In Force 2.3. Reason for Revival 3.4. Prior Use Rights Indicator

Category Code	Category Title & Description	Supplementary event data
D	Search and examination This category is a group of events related to the examination proceeding and prior art searches for any application covered by Category A. It includes, for example, a formality examination or a substantive examination. It also includes a request for a prior art search and an announcement of the intention of the IPO to grant an IP right. The events in this category may move an application from the filing stage or the pre-grant challenge stage into the examination stage.	 Search Origin Category (e.g.e.g., first, further invention, additional search due to shift in scope of claims) Requester of Search (e.g.e.g., applicant, third party, or independently by the IPO) Requester of Examination (e.g.e.g., applicant, third party, or independently by the IPO) Publication Data Priority Data Related Documents Data (e.g.e.g., regional filing data, international filing data) Applicant Data Type of Examination (e.g., substantive) Examination Report data Search Report data
E	Pre-grant review request This category is a group of events related to the request for a pre-grant review for any application covered by Category A. It includes, for example, a request for a pre-grant opposition, pre-grant re-examination, or pre-grant limitation. It also includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an application from the examination stage or the termination likely/termination stage into the pre-grant challenge stage.	Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO bodyDetails of Court/TribunalReview Authority (e.g. e.g., name of court, tribunal, IPO body) Party Name(s) Representative's Details (e.g. e.g., name(s) & contact information) Review Procedure Data (e.g. e.g., opposition date, reference, language) Prior Use Rights Indicator
F	IP right grant This category is a group of events which relate to the effective grant date of an IP right and/or entry of an IP right into the IPO's register. It includes, for example, when an IP right was granted following an examination, an appeal, pre-grant review or inadmissibility, rejection or withdrawal of a pre-grant review request. Types of IP rights covered include patents of invention, utility models, supplementary protection certificates, and any other IP rights that result from the types of applications covered by Category A. The events in this category may move an application from the examination stage or the pre-grant challenge stage into the grant stage.	1. Invention Title 2. Name of Registered Owner 3. Reference to Pre-grant Review Decision (e.g.e.g., court or tribunal order following pre-grant review) 4. Priority Data 5. PCT_International Patent Registration Data 6. Inventor Data 7. Classification Data

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Category Code	Category Title & Description	Supplementary event data
G	Protection beyond IP right term This category is a group of events related to the protection of an IP right beyond its term for any type of IP right covered by Category F. It includes, for example, a request for a patent term adjustment (PTA), patent term extension (PTE) or a supplementary protection certificate (SPC) extension and also includes related events that occur subsequent to such a request. The events in this category occur during the grant stage.	Extension Expiry Date (e.g.e.g., end date of PTA, PTE, or SPC extension) Active ingredient (generic and brand name) Medical indication (disease state)
Н	IP right cessation This category is a group of events related to the cessation of an IP right for any type of IP right covered by Category F. It includes, for example, the cessation of an IP right following an IP right review, an appeal, a refusal to reinstate, or a lapse or expiry. The events in this category may move an IP right from the grant stage or the postgrant challenge stage into the termination likely/termination stage.	Legal Effect Start Time Legal Effect Sta
К	IP right revival This category is a group of events related to the revival, reinstatement or restoration of an IP right after its cessation for any type of IP right covered by Category F. It includes, for example, the request for the revival and the decision to revive an IP right, including by way of an appeal. The events in this category may move an IP right from the termination likely/termination stage into the grant stage or the post-grant challenge stage.	1. Reinstatement Reason Category (e.g.e.g., following payment of fee) 2. Cessation Date 3. Expiry Date 4. Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body) 3.5. Prior Use Right Indicator
L	IP right review request This category is a group of events related to a request for a review of an IP right after its grant for any type of IP right covered by Category F. It includes, for example, a request for a post-grant opposition, re-examination, limitation, reissue, surrender, or invalidation. It also includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an IP right from the grant stage or the termination likely/termination stage into the post-grant challenge stage.	1. Party Name(s) 2. Representative's Details (e.g.e.g., name(s) & contact information) 3. Review Procedure Details (e.g.e.g., opposition date, reference, language) 4. Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body) 3.5. Reason for Right Review Request
М	IP right maintenance This category is a group of events related to the maintenance of a granted IP right in full or amended form as the outcome of a post-grant challenge for any type of IP right covered by Category F. It includes, for example, an IP right being maintained in full or amended form following an appeal, an IP right review or the inadmissibility, rejection or withdrawal of a request for an IP right review. The events in this category may move an IP right from the post-grant challenge stage into the grant stage.	IP Right Review Details (i.e.i.e., information about the IP right review, including court order details for example) Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO bodyDecision Authority Category e.g.e.g., court, tribunal, IPO)

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Category Code	Category Title & Description	Supplementary event data
N	Termination This category is a group of events related to the termination of an application of any type covered by Category A or an IP right of any type covered by Category F without a possibility of its revival. It includes, for example, when an application or IP right was terminated by the IPO or a court. The events in this category are not available to all IPOs.	Reason Not in Force Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)
P	Document modification This category is a group of events related to modifications of an application, IP right document or other document, which occur outside the context of a pre-grant review or IP right review. It includes, for example, amendments and corrections of errors in applications and IP right documents. Documents may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.	1. Publication identification 2. Modified Part of Document Category (e.g.e.g., bibliographic information, priority claim, specification, claims, drawings) 3. Modification Category (e.g.e.g., amendment or correction) 4. Previously Published (erroneous) Content 5. New (corrected) Content
Q	Document publication This category is a group of events related to document publication by the IPO. It includes, for example, publication of an application, IP right document or bibliographic information by the IPO. Documents may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.	Patent Document Category (ST.16kind code) Publication Identification
R	Party data change This category is a group of events related to the IPO recording changes in party data. It includes, for example, when the IPO records changes to a party concerned with the application or IP right, e.g.e.g., the applicant(s), owner(s), inventor(s) or representative(s). It also includes events related to the recording of changes in party contact information. The data change may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.	1. Party Data Change Category (e.g.e.g., owner change, inventor change, representative change, owner contact information change, inventor contact information change, inventor contact information change, representative contact information change) 2. Previous Party Details (e.g.e.g., name, contact information, country code) 3. New Party Details (e.g.e.g., name, contact information, country code) 4. Ownership Transfer Data (including assignment document number) 5. Legal Proceedings Details (if applicable) 6. Receipt Date of request 7. Requestor Name/Contact information

Category Code	Category Title & Description	Supplementary event data
S	Licensing and related matters This category is a group of events related to the IPO recording licensing information and amendments to such records. It includes, for example, when an IPO records that a license, pledge or security interest has been agreed to, amended, cancelled or transferred. The licensing information may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.	License Data (e.g.e.g., registration number, license document number, record, type, status, start and end dates) Licensor(s) Data (e.g.e.g., name, contact information, country code) Licensee(s) Data (e.g.e.g., name, contact information, country code) Licensing Information Amendment Category (i.e.j.e., which terms were amended) Territory of License Validity Legal Proceeding Details (if applicable)
Т	Administrative procedure adjustment This category is a group of events related to the adjustment of an administrative procedure conducted by the IPO. It includes, for example, granting an extension of an administrative time limit or continued processing of a necessary procedure. It also includes a suspension, stay or interruption of an administrative procedure, or the resumption of a suspended, stayed or interrupted administrative procedure. The adjustment may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.	1. Adjustment Category (e.g.e.g., time extension, suspension, stay, resumption, interruption, delay in communication services, as-of-right extension granted, IPO disruption, IPO irregularity) 2. Reason for Adjustment (e.g.e.g., natural disaster, IPO delay, court delay, applicant/patentee delay) 3. Start and End Date (e.g.e.g., date at which the adjustment starts and date at which the adjustment ends) 4. Prior Use Rights Indicator 5. Reasons for the refusal of administrative procedure adjustment (e.g., reasons for the refusal of advanced examination or reasons for refusal of extension of time) 6. Confirmation of administrative procedure adjustment 4-
J	Payment This category is a group of events related to the payment of fees. It includes, for example, payment of a renewal, maintenance or other designation fee. The payment may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.	Fee Category (e.g.e.g., registration fee, maintenance fee, renewal fee, designation fee) Payment Details (e.g.e.g., payment status, year of fee payment) Renewal Details (e.g.e.g., length of renewal, scope changes, next fee due date)

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Category Code	Category Title & Description	Supplementary event data
V	Appeal This category is a group of events related to an appeal of a decision made during the prosecution of an IP right. It includes, for example, a request by the applicant, IP right owner, or third party for an administrative or court appeal of any decision made during the prosecution of an IP right and the procedural outcome of such an appeal. The substantive outcome of the appeal may be mapped to an event in another category, for example, application discontinued, application revived, IP right granted, IP right ceased, or IP right maintained. The appeal may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.	Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body) Appellate body Decision Being Appealed Appellate Decision Details Decision Citation
W	Other This category covers events which cannot be categorized under any other Category. It is recommended to use this category as a last resort for unusual cases, where a liberal interpretation of the description of all other Categories would fail to adequately describe the national/regional event (e.g.e.g., legacy events or interim/internal events).	National/regional Event Description
Y	Correction and deletion of event information This category is a group of events related to the correction or deletion of erroneous event information that the IPO previously provided. It includes, for example, correction in the status event code of an application or IP right provided under this Standard or the correction or deletion of an event that was announced erroneously in a gazette, IP register or other published documentation. The event information may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.	Status Event Identification (Status Event Code and Date; or Unique Identifier) Previously Published Erroneous Content New Corrected Content Reason for correction

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[End of Annex III followsand of Document]

STANDARD ST.61

RECOMMENDATION FOR THE EXCHANGE OF TRADEMARK LEGAL STATUS DATA

Revision presented for approval by the Committee on WIPO Standards (CWS) at its twelfth session



Supplementary event data

- 48. This Standard recommends that IPOs provide additional information associated with events so that a user may understand the context in which the national/regional/international event occurred. This Standard provides the minimum supplementary event data that should be exchanged; IPOs can provide further information. Exchanging supplementary event data is optional.
- 49. The minimum supplementary event data related to a category is listed in Annex II. There are two groups of data: data in the first row of the table is a common supplementary event data set which an IPO may provide for any national/regional/international event regardless of the category it maps to; and a supplementary event data set in the row for a categorywhich is specific to that category. For further details refer to Annex II.

IMPLEMENTATION

- 50. IPOs are encouraged to implement this Standard as soon as possible to facilitate the effective exchange of harmonized legal status data for applications and IP rights. Implementing this Standard will require that IPOs map national/regional/international events to the events defined in the Standard or at the very least a category.
- 51. It is recommended that, when implementing this Standard, an announcement be made and the International Bureau of WIPO be informed, by providing a mapping table of national/regional/international events to the events in this Standard on the basis of the model template available in Annex IV.
- 52. IPOs are requested to provide a title and description of their national/regional/international event codes in English such that users can obtain more information about the specific national/regional/international event. It is recommended that IPOs provide this information either along with the legal status data, or by providing the uniform resource identifier (URI) of their national/regional IP register or some other forum where this information is publicly available.
- 53. This Standard suggests that the frequency of exchange of legal status data should occur at one month intervals at a minimum, ideally at weekly intervals.

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Category Code	Category Title & Description	Supp	olementary event data
D	Search and examination	1.	Search Origin Category
	This category is a group of events related to the examination proceeding and prior rights searches that occur before the	2.	Requester of Search (e.g., applicant third party, or independently by the IPO)
	acceptance or rejection of the trademark. It includes, for example, a formality examination or a substantive examination. It also includes a request for a prior rights	3.	Requester of Examination (e.g., applicant, third party, or independently by the IPO)
	search and an announcement of the intention of the IPO to	4.	Publication Data
	register the trademark and/or grant an IP right. The events in	5.	Priority Data
	this category may move an application from the filing stage or the pre-registration challenge stage into the examination stage.	6.	Related Documents Data (e.g., regional filing data, international filing data)
		7.	Applicant Data
		8.	Type of Examination (e.g., substantive)
		9.	Examination Report Data
		10.	Search Report Data
E	Pre-registration review request This category is a group of events related to the request for a pre-registration review. It includes, for example, a request for a pre-registration opposition, pre-registration re-examination, or pre-registration limitation. It also includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an application from the examination stage or the termination likely / termination stage into the pre-registration challenge stage.	1. 2. 3.	Decision Authority Details (e.g., the authority name and its category suc as national court, tribunal, IPO bodyDetails of Court/TribunalReview Authority (e.g., name of court, tribunal, IPO body) Party Name(s) Representative's Details (e.g., name(s) & contact Information) Review Procedure Data (e.g., opposition date, reference, language
		4. <u>5.</u>	Prior Use Rights Indicator
F	Trademark registration	1.	Name of Registered Owner
	This category is a group of events which relate to the effective grant date of an IP right and/or the entry of the	2.	Reference to Pre-grant Review Decision (e.g., court or tribunal orde following pre-grant review)
	trademark into the IPO's register. It includes, for example, when a trademark is registered and/or an IP right was	3.	Priority Data
	granted following an examination, an appeal, pre-registration	4.	Classification Data
	review or inadmissibility, rejection or withdrawal of a pre- registration review request. The events in this category may	5.	Kind of trademark (e.g., collective mark)
	move an application from the examination stage or the pre- registration challenge stage into the registration stage.	<u>6.</u>	_Type of trademark (e.g., word or figurative mark)

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	6-7. International Trademark Registration Data		Formatted: List Paragraph, Space Before: 0 pt, After: 1: pt, No bullets or numbering, Tab stops: Not at 1 cm + 1 cm
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Category Code	Category Title & Description	Supplementary event data
M	IP right maintenance This category is a group of events related to the maintenance of a granted IP right in full or amended form as the outcome of a post-registration challenge. It includes, for example, an IP right being maintained in full or amended form following an appeal, an IP right review or the inadmissibility, rejection or withdrawal of a request for an IP right review. The events in this category may move an IP right from the post-registration challenge stage into the registration stage.	IP Right Review Details (i.e., information about the IP right review, including court order details for example) Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO bodyDecision—Authority Category e.g., court, tribunal, IPO)
N	Termination This category is a group of events related to the termination of an application or an IP right without a possibility of its revival. It includes, for example, when an application or IP right was terminated by the IPO or a court. The events in this category are not available to all IPOs.	Reason Not In Force Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)
P	Document modification This category is a group of events related to modifications of an application, IP right document or other document, which occur outside the context of a pre-registration review or IP right review. It includes, for example, amendments and corrections of errors in applications and IP right documents. The events in this category may occur during any stage.	Publication identification Modified Part of Document Category (e.g., a figurative element, NICE classification, bibliographic information or priority claim,) Modification Category (e.g., amendment or correction) Previously Published (erroneous) Content New (corrected) Content
Q	Document publication This category is a group of events related to document publication by the IPO. It includes, for example, publication of an application, IP right document or bibliographic information by the IPO. The events in this category may occur during any stage.	Publication Identification

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Category Code	Category Title & Description	Supplementary event data
R	Party data change This category is a group of events related to the IPO recording changes in party data. It includes, for example, when the IPO records changes to a party concerned with the application or IP right, e.g., the applicant(s), owner(s), or representative(s). It also includes events related to the recording of changes in party contact information. The events in this category may occur during any stage.	Party Data Change Category (e.g., holder change, representative change, holder contact information change, representative contact information change, representative contact information change) Previous Party Details (e.g., name, contact information, country code) New Party Details (e.g., name, contact information, country code) Ownership Transfer Data (including assignment document number) Legal Proceedings Details (if applicable) Goods and Services List Transferred Receipt Date of request
S	Licensing information This category is a group of events related to the IPO recording licensing information and amendments to such records. It includes, for example, when an IPO records that a license, pledge or security interest has been agreed to, amended, cancelled or transferred. The events in this category may occur during any stage.	License Data (e.g., registration number, license document number, record type, status, start and end dates) Licensor(s) Data (e.g., name, contact information, country code) Licensee(s) Data (e.g., name, contact information, country code) Licensing Information Amendment Category (i.e., which terms were amended) Territory of License Validity Legal Proceeding Details (if applicable)

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T Administrative procedure adjustment

This category is a group of events related to the adjustment of an administrative procedure conducted by the IPO. It includes, for example, granting an extension of an administrative time limit or continued processing of a necessary procedure. It also includes a suspension, stay or interruption of an administrative procedure, or the resumption of a suspended, stayed or interrupted administrative procedure. The events in this category may occur during any stage.

- Adjustment Category (e.g., time extension, suspension, stay, resumption, interruption, delay in communication services, as-of-right extension granted, IPO disruption, IPO irregularity)
- Reason for Adjustment (e.g., natural disaster, IPO delay, court delay, applicant/holder delay)
- Start and End Date (e.g., date at which the adjustment starts and date at which the adjustment ends)
- 4. Prior Use Rights Indicator
- Reasons for the refusal of administrative procedure adjustment (e.g., reasons for the refusal of delay of registration, reasons for refusal of advanced examination or reasons for refusal of extension of time)
- 6. Confirmation of administrative procedure adjustment

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Category Code	Category Title & Description	Supplementary event data
U	Payment This category is a group of events related to the payment of fees. It includes, for example, payment of a renewal, maintenance or other designation fee. The events in this category may occur during any stage.	Fee Category (e.g., registration fee, maintenance fee, renewal fee, designation fee) Payment Details (e.g., payment status, year of fee payment,) Renewal Details (e.g., length of renewal, scope changes, next fee due date)
V	Appeal This category is a group of events related to an appeal of a decision made during the prosecution of an IP right. It includes, for example, a request by the applicant, IP right owner, or third party for an administrative or court appeal of any decision made during the prosecution of an IP right and the procedural outcome of such an appeal. The substantive outcome of the appeal may be mapped to an event in another category, for example, application discontinued, application revived, IP right granted, IP right ceased, or IP right maintained. The events in this category may occur during any stage.	Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)Appellate body Decision Being Appealed Appellate Decision Details Decision Citation
w	Other This category covers events which cannot be categorized under any other category. It is recommended to use this category as a last resort for unusual cases, where a liberal interpretation of the description of all other Categories would fail to adequately describe the national/regional/international event (e.g., legacy events or interim/internal events).	National or Regional Event Description

Category Code	Category Title & Description	Supplementary event data
Y	Correction and deletion of event information	Status Event Identification (Status Event Code and Date, or Unique Identifier)
	This category is a group of events related to the correction or	
	deletion of erroneous event information that the IPO	2. Previously Published
	previously provided. It includes, for example, correction in	(erroneous) Content
	the status event code of an application or IP right provided	3. New Corrected Content
	under this Standard or the correction or deletion of an event	3.4. Reason for correction
	that was announced erroneously in a gazette, IP register or	Treason for correction
	other published documentation. The events in this category	
	may occur during any stage.	

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