

Комитет по стандартам ВОИС (КСВ)

Двенадцатая сессия
Женева, 16–19 сентября 2024 года

ПРЕДЛОЖЕНИЕ О ПЕРЕСМОТРЕ СТАНДАРТОВ ВОИС ST.27, ST.61 И ST.87

Документ подготовлен Международным бюро

РЕЗЮМЕ

1. Целевая группа по правовому статусу (ЦГПС) предлагает пересмотреть стандарты ВОИС ST.27, ST.61 и ST.87, касающиеся связанных с правовым статусом событий. Изменения в стандарте ВОИС ST.87 были предложены Целевой группой в целях расширения объема дополнительных данных, указанных в приложении II к данному стандарту. Остальные предлагаемые изменения призваны обеспечить согласованность трех упомянутых стандартов.

СПРАВОЧНАЯ ИНФОРМАЦИЯ

2. Целевая группа по правовому статусу работает в рамках задачи № 47, имеющей следующее описание:

«Подготовить необходимые предложения по пересмотру и обновлению стандартов ВОИС ST.27, ST.87 и ST.61; подготовить дополнительные материалы в целях содействия использованию этих стандартов сообществом ИС; и оказать помощь Целевой группе по XML для ИС в разработке XML-компонентов для данных событий, касающихся правового статуса».

3. ЦГПС работала в тесном сотрудничестве с Целевой группой по XML для ПС над обновлением приложения II стандарта ВОИС ST.87 («Дополнительные данные о событии») с тем, чтобы содействовать разработке XML-схемы для событий, касающихся правового статуса промышленных образцов.

4. Ведомства ЦГПС назначили экспертов в области промышленных образцов для проведения обзора приложения II стандарта ВОИС ST.87 с целью определить, имеются ли среди уже описанных в данном приложении дополнительных данных какие-либо пробелы по каждой из перечисленных категорий. Согласованные изменения, предлагаемые в настоящем документе, являются результатом этого обзора.

5. После того как изменения в стандарте ВОИС ST.87 были согласованы ЦГПС, Международное бюро в своем качестве руководителя Целевой группы провело обзор стандартов ВОИС ST.27 и ST.61 и предложило внести в эти два стандарта изменения с тем, чтобы обеспечить их соответствие пересмотренному стандарту ВОИС ST.87.

ПРЕДЛАГАЕМЫЕ ИЗМЕНЕНИЯ В СТАНДАРТЕ ВОИС ST.87

6. ЦГПС подготовила предложение по пересмотру стандарта ВОИС ST.87 для рассмотрения и, при необходимости, утверждения КСВ, которое воспроизведено в приложении I к настоящему документу в режиме отображения изменений. Так, если текст в приложении I зачеркнут, это означает, что его предлагается исключить; если подчеркнут — добавить.

7. Предлагаемые изменения в стандарте ВОИС ST.87 могут быть резюмированы следующим образом:

- (a) обновление перечня ссылок в целях добавления ссылки на стандарт ВОИС ST.96;
- (b) пояснение в пункте 48 касательно дополнительных данных, представленных в приложении II;
- (c) обновление приложения II в целях добавления новых дополнительных данных по представленным категориям; и
- (d) некоторые редакционные обновления.

ПРЕДЛАГАЕМЫЕ ИЗМЕНЕНИЯ В СТАНДАРТАХ ВОИС ST.27 И ST.61

8. Международное бюро подготовило предложение по пересмотру стандартов ВОИС ST.27 и ST.61 в результате пересмотра стандарта ВОИС ST.87 и для обеспечения согласованности этих стандартов, касающихся правового статуса. Все изменения полностью указаны в приложениях II и III к настоящему документу, соответственно, в режиме отображения изменений. Так, если текст в приложениях II и III зачеркнут, это означает, что его предлагается исключить; если подчеркнут — добавить.

9. Предлагаемые изменения в стандартах ВОИС ST.27 и ST.61 можно резюмировать следующим образом:

- (a) обновление перечня ссылок в целях добавления ссылки на стандарт ВОИС ST.87;
- (b) пояснение в пункте 48 касательно дополнительных данных, представленных в приложении II;
- (c) обновление приложения II в целях добавления новых дополнительных данных по представленным категориям; и
- (d) некоторые редакционные обновления.

УКАЗАНИЕ ВЕРСИИ

10. Поскольку ни один из касающихся правового статуса стандартов не содержит какой-либо информации о версиях, необходимость предлагать новый номер версии для стандартов ВОИС ST.27, ST.61 и ST.87 отсутствует. Дата публикации этих стандартов считается достаточной.

11. КСВ предлагается:

(a) принять к сведению содержание настоящего документа и приложений к нему;

(b) рассмотреть и утвердить предлагаемые изменения в стандарте ВОИС ST. 87, которые описаны в пункте 7 выше и приводятся в приложении I к настоящему документу;

(c) рассмотреть и утвердить предлагаемые изменения в стандарте ВОИС ST. 27, которые описаны в пункте 9 выше и приводятся в приложении II к настоящему документу; и

(d) рассмотреть и утвердить предлагаемые изменения в стандарте ВОИС ST. 61, которые описаны в пункте 9 выше и приводятся в приложении III к настоящему документу.

[Приложение I следует]

STANDARD ST.87

RECOMMENDATION FOR THE EXCHANGE OF INDUSTRIAL DESIGN LEGAL STATUS DATA

*Proposal for revision by the Committee on WIPO Standards (CWS)
at its twelfth session*

Editorial Note by the International Bureau

IPOs may begin implementing ST.87 to exchange legal status data. The Legal Status Task Force will continue revising titles and descriptions for categories and events as needed while IPOs continue to improve their mappings.

[...]

REFERENCES

1. The following WIPO Standards are relevant to this Standard:

| | |
|-------------------------------------|---|
| WIPO Standard ST.2 | Standard Manner for Designating Calendar Dates by Using the Gregorian Calendar |
| WIPO Standard ST.3 | Recommended Standard on Two-Letter Codes for the Representation of States, Other Entities and Intergovernmental Organizations |
| WIPO Standard ST.13 | Numbering of Applications for IPRs |
| WIPO Standard ST.16 | Identification of Different Kinds of Patent Documents |
| WIPO Standard ST.27 | Recommendation for the Exchange of Patent Legal Status Data |
| WIPO Standard ST.80 | Bibliographic data relating to industrial designs |
| WIPO Standard ST.96 | <u>Processing of intellectual property information using XML</u> |

[...]

48. The minimum supplementary event data related to a category is listed in Annex II. There are two groups of data: ~~data numbered (1) through (3) is a common supplementary event data set~~ which an IPO may provide for any national/regional/international event regardless of the category it maps to; and supplementary event data which numbered from (4) onwards is specific to that category. For further details refer to Annex II.

IMPLEMENTATION

49. IPOs are encouraged to implement this Standard as soon as possible to facilitate the effective exchange of harmonized legal status data for applications and IP rights. Implementing this Standard will require that IPOs map national/regional/international events to the events defined in the Standard or at the very least a category.

50. It is recommended that when implementing this Standard, an announcement be made and the International Bureau of WIPO be informed by providing a mapping table of national/regional/international events to the events in this Standard on the basis of the model template available in Annex IV.

51. IPOs are requested to provide a title and description of their national/regional/international event codes in English such that users can obtain more information about the specific national/regional/international event. It is recommended that IPOs provide this information either along with the legal status data, or by providing the uniform resource identifier (URI) of their national/regional IP register or some other forum where this information is publicly available.

52. This Standard suggests that the frequency of exchange of legal status data should occur at one month intervals at a minimum, ideally at weekly intervals.

[...]

ANNEX II

SUPPLEMENTARY EVENT DATA

*Proposal for revision by the Committee on WIPO Standards (CWS)
at its twelfth session on September 16, 2024*

1. Each status event code may be accompanied by supplementary event data. There is supplementary event data that is specific to events in a particular category and there is common supplementary event data which is common to all events. The common supplementary event data includes (1) the effective country or region, (2) the gazette issue number, (3) comment (i.e., free text), (4) a prior relevant event date, and (5) a relevant rule. The "effective country or region", is the country or region where the event has legal effect, which is particularly relevant for regional IPOs where the effect of an event, such as discontinuation due to non-payment of renewal fees, only has effect in some of the countries where the IP right is active. The "gazette issue number" is the issue of the national/regional gazette where the particulars of the national/regional/international event are made public. IPOs will be able to provide additional associated data which is not specified in the "comment" entry. The "prior relevant event date" is the date of a prior event which is relevant to the current event, such as the start of a fee payment time period which has expired. The "relevant rule" is an Office-specific rule, regulation, law, or other principle which was applied to reach the event outcome.

2. The Table below shows what supplementary data fields may be used for different categories. The first row shows common elements that may be used in any category, and subsequent rows show the data elements specific to each category. Note that the supplementary data elements indicated in this Standard are general descriptions of the types of data that may be provided. The particular formats and allowed values for supplementary data are defined in data representation standards, such as WIPO ST.96 for eXtensible Markup Language (XML).

| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|--|
| n/a | <p><u>All categories</u></p> <p>This shows common data elements that may be used in any category.</p> | <ol style="list-style-type: none"> 1. Effective country or region 2. Gazette issue number 3. Comment (i.e., free text) 4. Prior Relevant Event Date 5. Relevant Rule (e.g., rule number) |
| A | <p><u>Application filing</u></p> <p>This category is a group of events related to the filing of an application. It includes, for example, when a national or regional IPO or the International Bureau of the World Intellectual Property Organization receives an application for the registration of an industrial design together with any additional indications, elements, documentation and/or fees necessary to obtain a filing date under national or regional law or convention or the Hague Agreement as applicable. This category also includes events related to the filing of a divisional application, continuation, continuation in part, or an application for conversion.</p> | <ol style="list-style-type: none"> 1. Related Document Identification (e.g., parent document ID) 2. Priority Date 3. International or Regional Application Filing Date 4. Regional Filing Date 5. Name of Applicants Applicant Data (e.g., name, contact info) 6. Divided Applications 7. Number of designs |
| B | <p><u>Application discontinuation</u></p> <p>This category is a group of events related to the discontinuation of an application. It includes, for example, when an application has been voluntarily withdrawn by the applicant, was deemed to be withdrawn, abandoned or lapsed or was refused by the IPO. The events in this category may move an application from the filing stage, examination stage or pre-registration challenge stage into the termination likely/termination stage.</p> | <ol style="list-style-type: none"> 1. Reason Not In Force |
| C | <p><u>Application revival</u></p> <p>This category is a group of events related to the revival, reinstatement or restoration of an application after it has been discontinued. It includes, for example, when an application was revived following a request for application revival after payment of an outstanding fee, responding to an outstanding action or decision which had resulted in the application being discontinued, or following an appeal. The events in this category may move an application from the termination likely/termination stage into the filing stage, examination stage or pre-registration challenge stage.</p> | <ol style="list-style-type: none"> 1. Discontinuation Date 2. Reason Not In Force 3. Reason for Revival 4. Prior Use Rights Indicator |

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|---|--|---|---|
| D | <u>Search and examination</u> | <p><u>Search and examination</u></p> <p>This category is a group of events related to the examination proceeding and prior art searches that occur before registration of an industrial design and/or the grant of an IP right. It includes, for example, a formality examination or a substantive examination. It also includes a request for a prior art search and an announcement of the intention of the IPO to register the industrial design and/or grant an IP right. The events in this category may move an application from the filing stage or the pre-registration challenge stage into the examination stage.</p> | <ol style="list-style-type: none"> 1. Search Category 2. Requester of Search (e.g., applicant, third party, or independently by the IPO) 3. Requester of Examination (e.g., applicant, third party, or independently by the IPO) 4. Publication Data 5. Priority Data 6. Related Documents Data (e.g., regional filing data, international filing data) 7. Applicant Data 8. <u>Type of Examination (e.g., substantive)</u> 9. <u>Examination Report Data</u> 10. <u>Search Report Data</u> 11. <u>Deferment Expiry Date (e.g., when the deferment of examination will expire)</u> |
| E | <u>Pre-registration review request</u> | <p><u>Pre-registration review request</u></p> <p>This category is a group of events related to the request for a pre-registration review. It includes, for example, a request for a pre-registration opposition, pre-registration re-examination, or pre-registration limitation. It also includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an application from the examination stage or the termination likely/termination stage into the pre-registration challenge stage.</p> | <ol style="list-style-type: none"> 1. <u>Decision Details of Review Authority Details Court/Tribunal (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> 2. Party Name(s) 3. Representative's Name(s) & Contact Details 4. Review Procedure Data (e.g., opposition date, reference, language) 5. <u>Prior Use Rights Indicator</u> |
| F | <u>Industrial design registration</u> | <p><u>Industrial design registration</u></p> <p>This category is a group of events which relate to the effective grant date of an IP right and/or entry of the industrial design into the IPO's register. It includes, for example, when an industrial design is registered and/or an IP right was granted following an examination, an appeal, pre-registration review or inadmissibility, rejection or withdrawal of a pre-registration review request. The events in this category may move an application from the examination stage or the pre-registration challenge stage into the registration stage.</p> | <ol style="list-style-type: none"> 1. Name of Registered Owner 2. Reference to Pre-registration Review Decision (e.g., court or tribunal order following pre-registration review) 3. Priority Data 4. <u>International Design Registration Data</u> 5. <u>Duration of exclusive right</u> 6. <u>Classification Data</u> |

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| H | <u>IP right cessation</u> | <p><u>IP right cessation</u></p> <p>This category is a group of events related to the cessation of an IP right. It includes, for example, the cessation of an IP right following an IP right review, an appeal, a refusal to reinstate, or a lapse or expiry. The events in this category may move an IP right from the registration stage or the post-registration challenge stage into the termination likely/termination stage.</p> | <ol style="list-style-type: none"> 1. Legal Effect Start Time 2. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body Decision Authority Category (e.g., national court, tribunal, IPO))</u> 3. Reason Not In Force |
|---|---------------------------|--|---|

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| K | <u>IP right revival</u> | <p><u>IP right revival</u></p> <p>This category is a group of events related to the revival, reinstatement or restoration of an IP right after its cessation. It includes, for example, the request for the revival and the decision to revive an IP right, including by way of an appeal. The events in this category may move an IP right from the termination likely/termination stage into the registration stage or the post-registration challenge stage.</p> | <ol style="list-style-type: none"> 1. Reinstatement Reason Category (e.g., following payment of fee) 2. Cessation Date 3. Expiry Date 4. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> Decision Authority Category (e.g., court, tribunal, IPO) 5. <u>Prior Use Right Indicator</u> |
| L | <u>IP right review request</u> | <p><u>IP right review request</u></p> <p>This category is a group of events related to a request for a review after registration of an industrial design and/or the grant of an IP right. It includes, for example, a request for a post-registration opposition, post-registration examination, limitation, reissue, surrender, or invalidation. It also includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an IP right from the registration stage or the termination likely/termination stage into the post-registration challenge stage.</p> | <ol style="list-style-type: none"> 1. Party Name(s) 2. Representative's Name(s) & Contact Details 3. Review Procedure Details (e.g., opposition date, reference, language) 4. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> 5. Reason for Right Review Request |
| M | <u>IP right maintenance</u> | <p><u>IP right maintenance</u></p> <p>This category is a group of events related to the maintenance of a granted IP right in full or amended form as the <u>outcome of a post-registration challenge</u>. It includes, for example, an IP right being maintained in full or amended form following a full or partial renewal, an appeal, an IP right review or the inadmissibility, rejection or withdrawal of a request for an IP right review. The events in this category may occur in the registration stage or may move an IP right from the post-registration challenge stage into the registration stage.</p> | <ol style="list-style-type: none"> 1. IP Right Review Details (i.e., information about the IP right review, including court order details for example) 2. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> Decision Authority Category (e.g., court, tribunal, IPO) 3. Renewal Details (e.g., length of renewal) 4. Post-registration Examination Certificate Number |
| N | <u>Termination</u> | <p><u>Termination</u></p> <p>This category is a group of events related to the termination of an application or an IP right without a possibility of its revival. It includes, for example, when an application or IP right was terminated by the IPO or a court. The events in this category are not available to all IPOs.</p> | <ol style="list-style-type: none"> 1. Reason Not in Force 2. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> Decision Authority Category (e.g., court, tribunal, IPO) |

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| P | Document modification | <p><u>Document modification</u></p> <p>This category is a group of events related to modifications of an application, IP right document or other document, which occur outside the context of a pre-registration review or IP right review. It includes, for example, amendments and corrections of errors in applications and IP right documents. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Publication identification 2. Modified Part of Document Category (e.g. bibliographic information, priority claim, specification, claims, drawings) 3. Modification Category (e.g. amendment or correction) 4. Previously Published (erroneous) Content 5. New (corrected) Content |
| Q | Document publication | <p><u>Document publication</u></p> <p>This category is a group of events related to document publication by the IPO. It includes, for example, publication of an application, IP right document or bibliographic information by the IPO. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Publication Identification |
| R | Party data change | <p><u>Party data change</u></p> <p>This category is a group of events related to the IPO recording changes in party data. It includes, for example, when the IPO records changes to a party concerned with the application or IP right, e.g. the applicant(s), owner(s), creator(s) or representative(s). It also includes events related to the recording of changes in party contact information. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Party Data Change Category (e.g. owner change, creator change, representative change, owner contact information change, creator contact information change, representative contact information change) 2. Previous Party Name/Contact Information 3. Previous Party Country Code 4. New Party Name/Contact Information 5. New Party Country Code 6. Assignment Document Number (e.g. number associated with transfer of IP right) 7. Ownership Transfer Data 8. Legal Proceedings Details (if applicable) 9. Date of Receipt <u>Date of request</u> 10. <u>Requestor Name/Contact information</u> |

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|---|-------------------------------------|---|---|
| S | <p><u>Licensing information</u></p> | <p><u>Licensing information</u></p> <p>This category is a group of events related to the IPO recording licensing information and amendments to such records. It includes, for example, when an IPO records that a license, pledge or security interest has been agreed to, amended, cancelled or transferred. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. License Data (e.g., registration number, <u>license document number</u>, record, type, status, start and end dates) 2. Licensor Data (e.g., name, contact information, country code) 3. Licensee Data (e.g., name, contact information, country code) 4. Licensing Information Amendment Category (i.e., which terms were amended) 5. Territory of License Validity 6. Legal Proceeding Details (if applicable) |
|---|-------------------------------------|---|---|

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|---|--|---|--|
| T | Administrative procedure adjustment | <p><u>Administrative procedure adjustment</u></p> <p>This category is a group of events related to the adjustment of an administrative procedure conducted by the IPO. It includes, for example, granting an extension of an administrative time limit or continued processing of a necessary procedure. It also includes a suspension, stay or interruption of an administrative procedure, or the resumption of a suspended, stayed or interrupted administrative procedure. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Adjustment Category (e.g., time extension, suspension, stay, resumption, interruption, delay in communication services, as-of-right extension granted, IPO disruption, IPO irregularity) 2. Reason for Adjustment (e.g., natural disaster, IPO delay, court delay, applicant/holder/patentee delay) 3. Start and End Date (e.g., date at which the adjustment starts and date at which the adjustment ends) 4. <u>Prior Use Rights Indicator</u> 5. <u>Reasons for the refusal of administrative procedure adjustment (e.g., reasons for the refusal of delay of registration, reasons for refusal of advanced examination or reasons for refusal of extension of time)</u> 6. Confirmation of administrative procedure adjustmentdelay of registration |
| U | Payment | <p><u>Payment</u></p> <p>This category is a group of events related to the payment of fees. It includes, for example, payment of a renewal, maintenance or other designation fee. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Fee Category (e.g., registration fee, maintenance fee, renewal fee, designation fee) 2. Payment Details (e.g., payment status, year of fee payment) 3. Renewal Details (e.g., length of renewal, scope changes, next fee due date) |
| V | Appeal | <p><u>Appeal</u></p> <p>This category is a group of events related to an appeal of a decision made during the prosecution of an IP right. It includes, for example, a request by the applicant, IP right owner, or third party for an administrative or court appeal of any decision made during the prosecution of an IP right and the procedural outcome of such an appeal. The substantive outcome of the appeal may be mapped to an event in another category, for example, application discontinued, application revived, IP right granted, IP right ceased, or IP right maintained. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u>Appellate body 2. Decision Being Appealed 3. Appellate Decision Details 4. Decision Citation |

| | | | |
|---|--|---|--|
| W | Other | <p><u>Other</u></p> <p>This category covers events which cannot be categorized under any other Category. It is recommended to use this category as a last resort for unusual cases, where a liberal interpretation of the description of all other Categories would fail to adequately describe the national/regional/international event (e.g. legacy events or interim/internal events).</p> | <p>1. National/regional/international Event Description</p> |
| Y | <p>Correction and deletion of event information</p> | <p><u>Correction and deletion of event information</u></p> <p>This category is a group of events related to the correction or deletion of erroneous event information that the IPO previously provided. It includes, for example, correction in the status event code of an application or IP right provided under this Standard or the correction or deletion of an event that was announced erroneously in a gazette, IP register or other published documentation. The events in this category may occur during any stage.</p> | <p>1. Status Event Identification (Status Event Code and Date or Unique Identifier)</p> <p>2. Previously Published Erroneous Content</p> <p>3. New Corrected Content</p> <p>4. <u>Reasons for correction</u></p> |

[...]

[Annex II follows]

STANDARD ST.27

RECOMMENDATION FOR THE EXCHANGE OF PATENT LEGAL STATUS DATA

*Revision presented for approval by the Committee on WIPO Standards (CWS)
at its twelfth session*

Editorial Note by the International Bureau

IPOs may begin implementing ST.27 to exchange legal status data. The Legal Status Task Force will continue revising titles and descriptions for categories and events as needed while IPOs continue to improve their mappings.

At its seventh session, the CWS has approved reserving three additional characters in the status event code for each event. These reserved characters are useful for future work on the standard, and are reserved now so that Offices can implement the existing standard with assurance. The Legal Status Task Force will explore proposals for using the reserved characters after the seventh session of the CWS and report any agreed proposals to the CWS. If no proposals are agreed by the Task Force, the reserved characters will remain unused but in place for possible future uses.

[...]

REFERENCES

4. The following WIPO Standards are relevant to this Standard:

| | |
|-------------------------------------|---|
| WIPO Standard ST.2 | Standard Manner for Designating Calendar Dates by Using the Gregorian Calendar |
| WIPO Standard ST.3 | Recommended Standard on Two-Letter Codes for the Representation of States, Other Entities and Intergovernmental Organizations |
| WIPO Standard ST.13 | Numbering of Applications for IPRs |
| WIPO Standard ST.16 | Identification of Different Kinds of Patent Documents |
| WIPO Standard ST.87 | <u>Exchange of Industrial Design Legal Status Data</u> |

[...]

Supplementary event data

50. This Standard recommends that IPOs provide additional information associated with events so that a user may understand the context in which the national/regional event occurred. This Standard provides the minimum supplementary event data that should be exchanged; IPOs can provide further information. Exchanging supplementary event data is optional.

51. The minimum supplementary event data related to a category is listed in Annex II. There are two groups of data: ~~data numbered (1) through (3) is common supplementary event data which is common across all of the categories an IPO may provide for any national/regional event regardless of the category it maps to and supplementary event data which numbered from (4) onwards is specific to that category.~~ For further details refer to Annex II.

IMPLEMENTATION

52. IPOs are encouraged to implement this Standard as soon as possible to facilitate the effective exchange of harmonized legal status data for applications and IP rights. Implementing this Standard will require that IPOs map their national/regional events to the events defined in the Standard or at the very least a category.

53. It is recommended that when implementing this Standard, an announcement be made and the International Bureau of WIPO be informed by providing a mapping table of national/regional events to the events in this Standard on the basis of the model template available in Annex IV.

[...]

ANNEX II

SUPPLEMENTARY EVENT DATA

*Revision ~~approved~~ presented for approval by the Committee on WIPO Standards (CWS)
at its ~~eighth~~ twelfth session on ~~December 4, 2020~~*

1. Each status event code may be accompanied by supplementary event data. There is supplementary event data that is specific to events in a particular category and there is common supplementary event data which is common to all events. The common supplementary event data includes: (1) the effective country or region, (2) the gazette issue number, (3) comment (i.e., free text); (4) a prior relevant event date and (5) a relevant rule. The "effective country or region", is the country or region where the event has legal effect, which is particularly relevant for regional IPOs where the effect of an event, such as discontinuation due to non-payment of renewal fees, only has effect in some of the countries where the IP right is active. The "gazette issue number" is the issue of the national/regional gazette where the particulars of the national/regional event are made public. IPOs will be able to provide additional associated data which is not specified in the "comment" entry. The "prior relevant event date" is the date of a prior event which is relevant to the current event, such as the start of a fee payment time period which has expired. The "relevant rule" is an Office-specific rule, regulation, law, or other principle which was applied to reach the event outcome.

2. The Table below shows what supplementary data fields may be used for different categories. The first row shows common elements that may be used in any category, and subsequent rows show the data elements specific to each category. Note that the supplementary data elements indicated in this Standard are general descriptions of the types of data that may be provided. The particular formats and allowed values for supplementary data are defined in data representation standards, such as WIPO ST.96 for eXtensible Markup Language (XML).

| Category Code | Category Title & Description | Supplementary event data |
|---------------|--|---|
| n/a | <p>All categories</p> <p>This shows common data elements that may be used in any category.</p> | <ol style="list-style-type: none"> 1. Effective country or region 2. Gazette issue number 3. Comment (i.e., free text) 4. Prior Relevant Event Date 5. Relevant Rule (e.g., rule number) |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|--|--|
| A | <p><u>Application filing</u></p> <p>This category is a group of events related to the filing of various types of applications for an IP right. It includes, for example, when a national or regional IPO receives an application for the grant of an IP right, together with any additional documentation and fees necessary to obtain a filing date under national or regional law or convention or the Patent Cooperation Treaty (PCT) as applicable. Types of applications covered include patents for inventions, utility models, supplementary protection certificates, plant patents, design patents, inventors' certificates, utility certificates, and patents of addition. This category also includes events related to the filing of a provisional application, divisional application, continuation, continuation in part, or an application for conversion. It also covers entry of an international application into the national or regional phase.</p> | <ol style="list-style-type: none"> 1. Invention Title 2. (Related Document Identification (e.g., parent document ID)) 3. Priority Date 4. International or Regional Application Filing Data 5. Applicant Data (e.g., name, contact info) 6. Divided Applications |
| B | <p><u>Application discontinuation</u></p> <p>This category is a group of events related to the discontinuation of an application of any type covered by Category A. It includes, for example, when an application has been voluntarily withdrawn by the applicant, was deemed to be withdrawn, abandoned or lapsed or was refused by the IPO. The events in this category may move an application from the filing stage, examination stage or pre-grant challenge stage into the termination likely/termination stage.</p> | <ol style="list-style-type: none"> 1. Reason Not In Force |
| C | <p><u>Application revival</u></p> <p>This category is a group of events related to the revival, reinstatement or restoration of any application covered by Category A after it has been discontinued. It includes, for example, when an application was revived following a request for application revival after payment of an outstanding fee, responding to an outstanding action or decision which had resulted in the application being discontinued, or following an appeal. The events in this category may move an application from the termination likely/termination stage into the filing stage, examination stage or pre-grant challenge stage.</p> | <ol style="list-style-type: none"> 1. Discontinuation Date 2. Reason Not In Force 3. <u>Reason for Revival</u> 4. Prior Use Rights Indicator |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|--|
| D | <p><u>Search and examination</u></p> <p>This category is a group of events related to the examination proceeding and prior art searches for any application covered by Category A. It includes, for example, a formality examination or a substantive examination. It also includes a request for a prior art search and an announcement of the intention of the IPO to grant an IP right. The events in this category may move an application from the filing stage or the pre-grant challenge stage into the examination stage.</p> | <ol style="list-style-type: none"> 1. Search Origin Category (e.g., first, further invention, additional search due to shift in scope of claims) 2. Requester of Search (e.g., applicant, third party, or independently by the IPO) 3. Requester of Examination (e.g., applicant, third party, or independently by the IPO) 4. Publication Data 5. Priority Data 6. Related Documents Data (e.g., regional filing data, international filing data) 7. Applicant Data 8. <u>Type of Examination (e.g., substantive)</u> 9. <u>Examination Report data</u> 10. <u>Search Report data</u> |
| E | <p><u>Pre-grant review request</u></p> <p>This category is a group of events related to the request for a pre-grant review for any application covered by Category A. It includes, for example, a request for a pre-grant opposition, pre-grant re-examination, or pre-grant limitation. It also includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an application from the examination stage or the termination likely/termination stage into the pre-grant challenge stage.</p> | <ol style="list-style-type: none"> 1. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> Details of Court/Tribunal/Review Authority (e.g. e.g., name of court, tribunal, IPO body) 2. Party Name(s) 3. Representative's Details (e.g., name(s) & contact information) 4. Review Procedure Data (e.g., opposition date, reference, language) 5. Prior Use Rights Indicator |
| F | <p><u>IP right grant</u></p> <p>This category is a group of events which relate to the effective grant date of an IP right and/or entry of an IP right into the IPO's register. It includes, for example, when an IP right was granted following an examination, an appeal, pre-grant review or inadmissibility, rejection or withdrawal of a pre-grant review request. Types of IP rights covered include patents of invention, utility models, supplementary protection certificates, and any other IP rights that result from the types of applications covered by Category A. The events in this category may move an application from the examination stage or the pre-grant challenge stage into the grant stage.</p> | <ol style="list-style-type: none"> 1. Invention Title 2. Name of Registered Owner 3. Reference to Pre-grant Review Decision (e.g., court or tribunal order following pre-grant review) 4. Priority Data 5. <u>PCT-related Data</u> 6. Inventor Data 7. Classification Data |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|---|
| G | <p><u>Protection beyond IP right term</u></p> <p>This category is a group of events related to the protection of an IP right beyond its term for any type of IP right covered by Category F. It includes, for example, a request for a patent term adjustment (PTA), patent term extension (PTE) or a supplementary protection certificate (SPC) extension and also includes related events that occur subsequent to such a request. The events in this category occur during the grant stage.</p> | <ol style="list-style-type: none"> 1. Extension Expiry Date (e.g., end date of PTA, PTE, or SPC extension) 2. Active ingredient (generic and brand name) 3. Medical indication (disease state) |
| H | <p><u>IP right cessation</u></p> <p>This category is a group of events related to the cessation of an IP right for any type of IP right covered by Category F. It includes, for example, the cessation of an IP right following an IP right review, an appeal, a refusal to reinstate, or a lapse or expiry. The events in this category may move an IP right from the grant stage or the post-grant challenge stage into the termination likely/termination stage.</p> | <ol style="list-style-type: none"> 1. Legal Effect Start Time 2. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> <u>Decision Authority Category (e.g.e.g., national court, tribunal, IPO)</u> 3. Reason Not In Force |
| K | <p><u>IP right revival</u></p> <p>This category is a group of events related to the revival, reinstatement or restoration of an IP right after its cessation for any type of IP right covered by Category F. It includes, for example, the request for the revival and the decision to revive an IP right, including by way of an appeal. The events in this category may move an IP right from the termination likely/termination stage into the grant stage or the post-grant challenge stage.</p> | <ol style="list-style-type: none"> 1. Reinstatement Reason Category (e.g., following payment of fee) 2. Cessation Date 3. Expiry Date 4. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> 5. <u>Prior Use Right Indicator</u> |
| L | <p><u>IP right review request</u></p> <p>This category is a group of events related to a request for a review of an IP right after its grant for any type of IP right covered by Category F. It includes, for example, a request for a post-grant opposition, re-examination, limitation, reissue, surrender, or invalidation. It also includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an IP right from the grant stage or the termination likely/termination stage into the post-grant challenge stage.</p> | <ol style="list-style-type: none"> 1. Party Name(s) 2. Representative's Details (e.g., name(s) & contact information) 3. Review Procedure Details (e.g., opposition date, reference, language) 4. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> 5. <u>Reason for Right Review Request</u> |
| M | <p><u>IP right maintenance</u></p> <p>This category is a group of events related to the maintenance of a granted IP right in full or amended form as the outcome of a post-grant challenge for any type of IP right covered by Category F. It includes, for example, an IP right being maintained in full or amended form following an appeal, an IP right review or the inadmissibility, rejection or withdrawal of a request for an IP right review. The events in this category may move an IP right from the post-grant challenge stage into the grant stage.</p> | <ol style="list-style-type: none"> 1. IP Right Review Details (i.e., information about the IP right review, including court order details for example) 2. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> <u>Decision Authority Category e.g.e.g., court, tribunal, IPO)</u> |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|--|---|
| N | <p><u>Termination</u></p> <p>This category is a group of events related to the termination of an application of any type covered by Category A or an IP right of any type covered by Category F without a possibility of its revival. It includes, for example, when an application or IP right was terminated by the IPO or a court. The events in this category are not available to all IPOs.</p> | <ol style="list-style-type: none"> 1. Reason Not in Force 2. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> |
| P | <p><u>Document modification</u></p> <p>This category is a group of events related to modifications of an application, IP right document or other document, which occur outside the context of a pre-grant review or IP right review. It includes, for example, amendments and corrections of errors in applications and IP right documents. Documents may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Publication identification 2. Modified Part of Document Category (e.g., bibliographic information, priority claim, specification, claims, drawings) 3. Modification Category (e.g., amendment or correction) 4. Previously Published (erroneous) Content 5. New (corrected) Content |
| Q | <p><u>Document publication</u></p> <p>This category is a group of events related to document publication by the IPO. It includes, for example, publication of an application, IP right document or bibliographic information by the IPO. Documents may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Patent Document Category (ST.16 kind code) 2. Publication Identification |
| R | <p><u>Party data change</u></p> <p>This category is a group of events related to the IPO recording changes in party data. It includes, for example, when the IPO records changes to a party concerned with the application or IP right, e.g., the applicant(s), owner(s), inventor(s) or representative(s). It also includes events related to the recording of changes in party contact information. The data change may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Party Data Change Category (e.g., owner change, inventor change, representative change, owner contact information change, inventor contact information change, representative contact information change) 2. Previous Party Details (e.g., name, contact information, country code) 3. New Party Details (e.g., name, contact information, country code) 4. Ownership Transfer Data (including assignment document number) 5. Legal Proceedings Details (if applicable) 6. <u>Receipt Date of request</u> 7. <u>Requestor Name/Contact information</u> |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|--|---|
| S | <p><u>Licensing and related matters</u></p> <p>This category is a group of events related to the IPO recording licensing information and amendments to such records. It includes, for example, when an IPO records that a license, pledge or security interest has been agreed to, amended, cancelled or transferred. The licensing information may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. License Data (e.g., registration number, <u>license document number</u>, record, type, status, start and end dates) 2. Licensor(s) Data (e.g., name, contact information, country code) 3. Licensee(s) Data (e.g., name, contact information, country code) 4. Licensing Information Amendment Category (i.e., which terms were amended) 5. Territory of License Validity 6. Legal Proceeding Details (if applicable) |
| T | <p><u>Administrative procedure adjustment</u></p> <p>This category is a group of events related to the adjustment of an administrative procedure conducted by the IPO. It includes, for example, granting an extension of an administrative time limit or continued processing of a necessary procedure. It also includes a suspension, stay or interruption of an administrative procedure, or the resumption of a suspended, stayed or interrupted administrative procedure. The adjustment may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Adjustment Category (e.g., time extension, suspension, stay, resumption, interruption, delay in communication services, as-of-right extension granted, IPO disruption, IPO irregularity) 2. Reason for Adjustment (e.g., natural disaster, IPO delay, court delay, applicant/patentee delay) 3. Start and End Date (e.g., date at which the adjustment starts and date at which the adjustment ends) 4. Prior Use Rights Indicator 5. <u>Reasons for the refusal of administrative procedure adjustment (e.g., reasons for the refusal of delay of registration, reasons for refusal of advanced examination or reasons for refusal of extension of time)</u> 6. <u>Confirmation of administrative procedure adjustment</u> |
| U | <p><u>Payment</u></p> <p>This category is a group of events related to the payment of fees. It includes, for example, payment of a renewal, maintenance or other designation fee. The payment may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Fee Category (e.g., registration fee, maintenance fee, renewal fee, designation fee) 2. Payment Details (e.g., payment status, year of fee payment) 3. Renewal Details (e.g., length of renewal, scope changes, next fee due date) |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|---|
| V | <p><u>Appeal</u></p> <p>This category is a group of events related to an appeal of a decision made during the prosecution of an IP right. It includes, for example, a request by the applicant, IP right owner, or third party for an administrative or court appeal of any decision made during the prosecution of an IP right and the procedural outcome of such an appeal. The substantive outcome of the appeal may be mapped to an event in another category, for example, application discontinued, application revived, IP right granted, IP right ceased, or IP right maintained. The appeal may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u>Appellate body 2. Decision Being Appealed 3. Appellate Decision Details 4. Decision Citation |
| W | <p><u>Other</u></p> <p>This category covers events which cannot be categorized under any other Category. It is recommended to use this category as a last resort for unusual cases, where a liberal interpretation of the description of all other Categories would fail to adequately describe the national/regional event (e.g., legacy events or interim/internal events).</p> | <ol style="list-style-type: none"> 1. National/regional Event Description |
| Y | <p><u>Correction and deletion of event information</u></p> <p>This category is a group of events related to the correction or deletion of erroneous event information that the IPO previously provided. It includes, for example, correction in the status event code of an application or IP right provided under this Standard or the correction or deletion of an event that was announced erroneously in a gazette, IP register or other published documentation. The event information may relate to any type of application in Category A or any type of IP right in Category F. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Status Event Identification (Status Event Code and Date; or Unique Identifier) 2. Previously Published Erroneous Content 3. New Corrected Content 4. <u>Reason for correction</u> |

[...]

[End of Annex II follows end of Document]

STANDARD ST.61

RECOMMENDATION FOR THE EXCHANGE OF TRADEMARK LEGAL STATUS DATA

*Revision presented for approval by the Committee on
WIPO Standards (CWS) at its twelfth session*

[...]

Supplementary event data

48. This Standard recommends that IPOs provide additional information associated with events so that a user may understand the context in which the national/regional/international event occurred. This Standard provides the minimum supplementary event data that should be exchanged; IPOs can provide further information. Exchanging supplementary event data is optional.
49. The minimum supplementary event data related to a category is listed in Annex II. There are two groups of data: ~~data in the first row of the table is a common supplementary event data set~~ which an IPO may provide for any national/regional/international event regardless of the category it maps to; and a supplementary event data set in the row for a category which is specific to that category. For further details refer to Annex II.

IMPLEMENTATION

50. IPOs are encouraged to implement this Standard as soon as possible to facilitate the effective exchange of harmonized legal status data for applications and IP rights. Implementing this Standard will require that IPOs map national/regional/international events to the events defined in the Standard or at the very least a category.
51. It is recommended that, when implementing this Standard, an announcement be made and the International Bureau of WIPO be informed, by providing a mapping table of national/regional/international events to the events in this Standard on the basis of the model template available in Annex IV.
52. IPOs are requested to provide a title and description of their national/regional/international event codes in English such that users can obtain more information about the specific national/regional/international event. It is recommended that IPOs provide this information either along with the legal status data, or by providing the uniform resource identifier (URI) of their national/regional IP register or some other forum where this information is publicly available.
53. This Standard suggests that the frequency of exchange of legal status data should occur at one month intervals at a minimum, ideally at weekly intervals.

[...]

ANNEX II

SUPPLEMENTARY EVENT DATA

*Revision presented for approval by the Committee on
WIPO Standards (CWS) at its twelfth session*

1. Each status event code may be accompanied by supplementary event data. There is supplementary event data that is specific to events in a particular category and there is common supplementary event data which is common to all events. The common supplementary event data includes (1) the effective country or region, (2) the gazette issue number, (3) comment (i.e., free text); (4) a prior relevant event date, and (5) a relevant rule. The "effective country or region", is the country or region where the event has legal effect, which is particularly relevant for regional IPOs where the effect of an event, such as discontinuation due to non-payment of renewal fees, only has effect in some of the countries where the IP right is active. The "gazette issue number" is the issue of the national/regional gazette where the particulars of the national/regional event are made public. IPOs will be able to provide additional associated data which is not specified in the "comment" entry. The "prior relevant event date" is the date of a prior event which is relevant to the current event, such as the start of a fee payment time period which has expired. The "relevant rule" is an Office-specific rule, regulation, law, or other principle which was applied to reach the event outcome.

2. The Table below shows what supplementary data fields may be used for different categories. The first row shows common elements that may be used in any category, and subsequent rows show the data elements specific to each category. Note that the supplementary data elements indicated in this Standard are general descriptions of the types of data that may be provided. The particular formats and allowed values for supplementary data are defined in data representation standards, such as WIPO ST.96 for eXtensible Markup Language (XML).

| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|---|
| n/a | All categories This shows common data elements that may be used in any category. | <ol style="list-style-type: none"> 1. Effective country or region 2. Gazette issue number 3. Comment (i.e., free text) 4. Prior Relevant Event Date 5. Relevant Rule (e.g., rule number) |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|--|--|
| A | <p><u>Application filing</u></p> <p>This category is a group of events related to the filing of an application. It covers any event that results in the creation of a new matter number, whether or not a new application document is filed at the IPO. For example, it includes when a national or regional IPO or the International Bureau of the World Intellectual Property Organization receives an application for the registration of a trademark together with any additional indications, elements, documentation and/or fees necessary to obtain a filing date under national or regional law or convention or the Madrid System as applicable. This category also includes events related to the creation of a subsequent designation, divisional application, continuation, or a conversion.</p> | <ol style="list-style-type: none"> 1. Related Document Identification (e.g., parent document ID) 2. Priority Date 3. International or Regional Application Filing Data 4. Applicant Data (e.g., name, contact info) 5. Divided Applications 6. Kind of trademark (e.g., collective mark) 7. Type of trademark (e.g., word or figurative mark) |
| B | <p><u>Application discontinuation</u></p> <p>This category is a group of events related to the discontinuation of an application. It includes, for example, when an application has been voluntarily withdrawn by the applicant, was deemed to be withdrawn, abandoned or lapsed or was refused by the IPO. The events in this category may move an application from the filing stage, examination stage or pre-registration challenge stage into the termination likely / termination stage.</p> | <ol style="list-style-type: none"> 1. Reason Not In Force 2. Court Information |
| C | <p><u>Application revival</u></p> <p>This category is a group of events related to the revival, reinstatement or restoration of an application after it has been discontinued, where permitted by the IP Office. It includes, for example, when an application was revived following a request for application revival after payment of an outstanding fee, responding to an outstanding action or decision which had resulted in the application being discontinued, or following an appeal. The events in this category may move an application from the termination likely / termination stage into the filing stage, examination stage or pre-registration challenge stage.</p> | <ol style="list-style-type: none"> 1. Discontinuation Date 2. Reason Not In Force 3. Reason for Revival 4. <u>Prior Use Rights Indicator</u> |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|---|
| D | <p><u>Search and examination</u></p> <p>This category is a group of events related to the examination proceeding and prior rights searches that occur before the acceptance or rejection of the trademark. It includes, for example, a formality examination or a substantive examination. It also includes a request for a prior rights search and an announcement of the intention of the IPO to register the trademark and/or grant an IP right. The events in this category may move an application from the filing stage or the pre-registration challenge stage into the examination stage.</p> | <ol style="list-style-type: none"> 1. Search Origin Category 2. Requester of Search (e.g., applicant, third party, or independently by the IPO) 3. Requester of Examination (e.g., applicant, third party, or independently by the IPO) 4. Publication Data 5. Priority Data 6. Related Documents Data (e.g., regional filing data, international filing data) 7. Applicant Data 8. Type of Examination (e.g., substantive) 9. Examination Report Data 10. Search Report Data |
| E | <p><u>Pre-registration review request</u></p> <p>This category is a group of events related to the request for a pre-registration review. It includes, for example, a request for a pre-registration opposition, pre-registration re-examination, or pre-registration limitation. It also includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an application from the examination stage or the termination likely / termination stage into the pre-registration challenge stage.</p> | <ol style="list-style-type: none"> 1. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> Details of Court/Tribunal/Review Authority (e.g., name of court, tribunal, IPO body) 2. Party Name(s) 3. Representative's Details (e.g., name(s) & contact information) 4. Review Procedure Data (e.g., opposition date, reference, language) 5. <u>Prior Use Rights Indicator</u> |
| F | <p><u>Trademark registration</u></p> <p>This category is a group of events which relate to the effective grant date of an IP right and/or the entry of the trademark into the IPO's register. It includes, for example, when a trademark is registered and/or an IP right was granted following an examination, an appeal, pre-registration review or inadmissibility, rejection or withdrawal of a pre-registration review request. The events in this category may move an application from the examination stage or the pre-registration challenge stage into the registration stage.</p> | <ol style="list-style-type: none"> 1. Name of Registered Owner 2. Reference to Pre-grant Review Decision (e.g., court or tribunal order following pre-grant review) 3. Priority Data 4. Classification Data 5. Kind of trademark (e.g., collective mark) 6. Type of trademark (e.g., word or figurative mark) 7. <u>International Trademark Registration Data</u> |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|--|
| H | <p><u>IP right cessation</u></p> <p>This category is a group of events related to the cessation of an IP right. It includes, for example, the cessation of an IP right following an IP right review, an appeal, a refusal to reinstate, or a lapse or expiry. The events in this category may move an IP right from the registration stage or the post-registration challenge stage into the termination likely / termination stage.</p> | <ol style="list-style-type: none"> 1. Legal Effect Start Time 2. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> Decision Authority Category (e.g., national court, tribunal, IPO) 3. Reason Not In Force 4. Court Information |
| K | <p><u>IP right revival</u></p> <p>This category is a group of events related to the revival, reinstatement or restoration of an IP right after its cessation. It includes, for example, the request for the revival and the decision to revive an IP right, including by way of an appeal. The events in this category may move an IP right from the termination likely / termination stage into the registration stage or the post-registration challenge stage.</p> | <ol style="list-style-type: none"> 1. Reinstatement Reason Category (e.g., following payment of fee) 2. Cessation Date 3. Expiry Date 4. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> 5. <u>Prior Use Right Indicator</u> |
| L | <p><u>IP right review request</u></p> <p>This category is a group of events related to a request for a review after registration of a trademark and/or the grant of an IP right. It includes, for example, a request for a post-registration opposition, post-registration examination, limitation, reissue, surrender, or invalidation. It also includes when such a request was inadmissible, rejected or withdrawn. The events in this category may move an IP right from the registration stage or the termination likely / termination stage into the post-registration challenge stage.</p> | <ol style="list-style-type: none"> 1. Party Name(s) 2. Representative's Details (e.g., name(s) & contact information) 3. Review Procedure Details (e.g., opposition date, reference, language) 4. Reason for Right Review Request 5. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|---|
| M | <p><u>IP right maintenance</u></p> <p>This category is a group of events related to the maintenance of a granted IP right in full or amended form as the outcome of a post-registration challenge. It includes, for example, an IP right being maintained in full or amended form following an appeal, an IP right review or the inadmissibility, rejection or withdrawal of a request for an IP right review. The events in this category may move an IP right from the post-registration challenge stage into the registration stage.</p> | <ol style="list-style-type: none"> 1. IP Right Review Details (i.e., information about the IP right review, including court order details for example) 2. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> Decision Authority Category e.g., court, tribunal, IPO) |
| N | <p><u>Termination</u></p> <p>This category is a group of events related to the termination of an application or an IP right without a possibility of its revival. It includes, for example, when an application or IP right was terminated by the IPO or a court. The events in this category are not available to all IPOs.</p> | <ol style="list-style-type: none"> 1. Reason Not In Force 2. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u> |
| P | <p><u>Document modification</u></p> <p>This category is a group of events related to modifications of an application, IP right document or other document, which occur outside the context of a pre-registration review or IP right review. It includes, for example, amendments and corrections of errors in applications and IP right documents. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Publication identification 2. Modified Part of Document Category (e.g., a figurative element, NICE classification, bibliographic information or priority claim,) 3. Modification Category (e.g., amendment or correction) 4. Previously Published (erroneous) Content 5. New (corrected) Content |
| Q | <p><u>Document publication</u></p> <p>This category is a group of events related to document publication by the IPO. It includes, for example, publication of an application, IP right document or bibliographic information by the IPO. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Publication Identification |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|---|
| R | <p><u>Party data change</u></p> <p>This category is a group of events related to the IPO recording changes in party data. It includes, for example, when the IPO records changes to a party concerned with the application or IP right, e.g., the applicant(s), owner(s), or representative(s). It also includes events related to the recording of changes in party contact information. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Party Data Change Category (e.g., holder change, representative change, holder contact information change, representative contact information change) 2. Previous Party Details (e.g., name, contact information, country code) 3. New Party Details (e.g., name, contact information, country code) 4. Ownership Transfer Data (including assignment document number) 5. Legal Proceedings Details (if applicable) 6. Goods and Services List Transferred 7. <u>Receipt Date of request</u> 8. <u>Requestor Name/Contact information</u> |
| S | <p><u>Licensing information</u></p> <p>This category is a group of events related to the IPO recording licensing information and amendments to such records. It includes, for example, when an IPO records that a license, pledge or security interest has been agreed to, amended, cancelled or transferred. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. License Data (e.g., registration number, <u>license document number</u>, record type, status, start and end dates) 2. Licensor(s) Data (e.g., name, contact information, country code) 3. Licensee(s) Data (e.g., name, contact information, country code) 4. Licensing Information Amendment Category (i.e., which terms were amended) 5. Territory of License Validity 6. Legal Proceeding Details (if applicable) |

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| <p>T</p> | <p><u>Administrative procedure adjustment</u></p> <p>This category is a group of events related to the adjustment of an administrative procedure conducted by the IPO. It includes, for example, granting an extension of an administrative time limit or continued processing of a necessary procedure. It also includes a suspension, stay or interruption of an administrative procedure, or the resumption of a suspended, stayed or interrupted administrative procedure. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Adjustment Category (e.g., time extension, suspension, stay, resumption, interruption, delay in communication services, as-of-right extension granted, IPO disruption, IPO irregularity) 2. Reason for Adjustment (e.g., natural disaster, IPO delay, court delay, applicant/holder delay) 3. Start and End Date (e.g., date at which the adjustment starts and date at which the adjustment ends) 4. <u>Prior Use Rights Indicator</u> 5. <u>Reasons for the refusal of administrative procedure adjustment (e.g., reasons for the refusal of delay of registration, reasons for refusal of advanced examination or reasons for refusal of extension of time)</u> 6. <u>Confirmation of administrative procedure adjustment</u> |
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| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|---|
| U | <p><u>Payment</u></p> <p>This category is a group of events related to the payment of fees. It includes, for example, payment of a renewal, maintenance or other designation fee. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Fee Category (e.g., registration fee, maintenance fee, renewal fee, designation fee) 2. Payment Details (e.g., payment status, year of fee payment,) 3. Renewal Details (e.g., length of renewal, scope changes, next fee due date) |
| V | <p><u>Appeal</u></p> <p>This category is a group of events related to an appeal of a decision made during the prosecution of an IP right. It includes, for example, a request by the applicant, IP right owner, or third party for an administrative or court appeal of any decision made during the prosecution of an IP right and the procedural outcome of such an appeal. The substantive outcome of the appeal may be mapped to an event in another category, for example, application discontinued, application revived, IP right granted, IP right ceased, or IP right maintained. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. <u>Decision Authority Details (e.g., the authority name and its category such as national court, tribunal, IPO body)</u>Appellate body 2. Decision Being Appealed 3. Appellate Decision Details 4. Decision Citation |
| W | <p><u>Other</u></p> <p>This category covers events which cannot be categorized under any other category. It is recommended to use this category as a last resort for unusual cases, where a liberal interpretation of the description of all other Categories would fail to adequately describe the national/regional/international event (e.g., legacy events or interim/internal events).</p> | <ol style="list-style-type: none"> 1. National or Regional Event Description |

| Category Code | Category Title & Description | Supplementary event data |
|---------------|---|---|
| Y | <p><u>Correction and deletion of event information</u></p> <p>This category is a group of events related to the correction or deletion of erroneous event information that the IPO previously provided. It includes, for example, correction in the status event code of an application or IP right provided under this Standard or the correction or deletion of an event that was announced erroneously in a gazette, IP register or other published documentation. The events in this category may occur during any stage.</p> | <ol style="list-style-type: none"> 1. Status Event Identification (Status Event Code and Date, or Unique Identifier) 2. Previously Published (erroneous) Content 3. New Corrected Content 4. <u>Reason for correction</u> |

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[End of Annex III and of Document]