BUREAUX INTERNATIONAUX
RÉUNIS POUR LA PROTECTION
DE LA PROPRIÉTÉ INTELLECTUELLE

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UNITED INTERNATIONAL
BUREAUX FOR THE PROTECTION
OF INTELLECTUAL PROPERTY
GENEVA, SWITZERLAND

GENEVE, SUISSE

COMMITTEE OF EXPERTS ON THE ADMINISTRATIVE STRUCTURE OF INTERNATIONAL COOPERATION IN THE FIELD OF INTELLECTUAL PROPERTY

COMITÉ D'EXPERTS CONCERNANT LA STRUCTURE ADMINISTRATIVE DE LA COOPÉRATION INTERNATIONALE DANS LE DOMAINE DE LA PROPRIÉTÉ INTELLECTUELLE

> Geneva, March 22 - April 2, 1965 Genève, 22 mars - 2 avril 1965

NOTE BY THE SECRETARIAT

- (1) The present paper is an essay to reduce to writing the proposals made orally by Mr. Puget (France) in his personal capacity on March 23, 1965.
- (2) These proposals dealt expressly only with some major changes in the Draft of the Working Party (AA/II/3 and 4). Since, however, several Delegations expressed the wish that there should be an indication, as precise as possible, of which provisions of the Draft would have to be changed and in what manner, it appeared to be necessary to try to assess the consequences of the proposals of Mr. Puget in the context of the Draft itself. This is done by means of marking up Articles 2 to 13 of the Draft (see Annex to the present document). (The rest of the Articles are less directly involved.)
- (3) On the basis of the changes, the situation may be summarised as follows:
 - (a) Each Union would have an Assembly which would consist of its Members and which would have exclusive jurisdiction over all matters concerning the Union: its policy, program, budget, and the promotion of the general aims of the Union.
 - (b) The Members of all the Unions would have a joint body-which would be called the Assembly of Assemblies or General Assembly--which, itself, or through the Coordination Committee, would deal with all matters requiring coordination.
 - (c) The Coordination Committee would be a joint emanation of the Assemblies as it would consist of the Member Countries of the Executive Committees of the Paris and Berne Unions.

- (d) WIPO would have a "Conference" the sole function of which would be to hold general debates and formulate recommendations.
- (e) The Organization would have neither a General Conference nor an Executive Board because the Organization, as such, would neither have a program nor a budget, and because such common matters as the election of the Director-General would come under the jurisdiction of the General Assembly of all States Members of Unions or the Coordination Committee.
- (f) It is to be noted that not only the Assemblies but also the General Assembly and the Coordination Committee would consist only of Members of Unions: the General Assembly would contain all of them, and the Coordination Committee some of them.
- (g) Membership in the Organization would not be limited to Members of Unions. States not Members of the Unions ("Third" States), however, would be able to sit as Members only in one body, namely the "Conference" which is a mere "forum," and has no jurisdiction in the administration or development of the Unions. Third States would not be Members of the General Assembly of all Unions, the Assemblies of each Union, and the Coordination Committee.
- (h) Since Third States have no influence on activities, they would not be required to pay any contributions.
- (i) There would be no budget of the Organization as such. The cost of holding "Conferences" would be regarded as one of the common expenses of the Unions and would be included in their budgets.
- (j) All activities of the Organization and the Secretariat would be decided and supervised by the Members of the interested Unions (see the insertion in Article 2, paragraph 2).

ANNEX
To ducum AA D112/ANNEX, PAGO 31

ARTICLE 2: ESTABLISHMENT, OBJECTIVE AND FUNCTIONS

- (1) The World Intellectual Property Organization (hereinafter called to Crganization) is hereby established with the objective of promoting internumber of the cooperation in the field of protection for:
 - (i) authors of literary and artistic works,
 - (ii) 'inventors, particularly in the field of science, industry and agriculture,
 - (iii) performing artists,
 - (iv) industrios and services which utilize or disseminate literary and artistic works, inventions, designs, tradomarks and other commercial designations,
- vious Nations without discrimination as to their economic or social structures and the degree of their industrialization, and fostering the productiondistribution of goods throughout the world.
 - (2) To this end, the Organization, THROUGH THE ASSEMBLIES OF THE RIOUS UNIONS, EACH AS FAR AS IT IS CONCERNED:
 - (i) shall, in the field of intellectual property, encourage the maintenance, implementation and development of the existing international conventions, agreements and treaties, and the conclusion of new conventions, agreements or treaties where appropriate, and perform such duties as may be assigned thereby to the Organization and are consistent with its objectives;
 - (ii) is entrusted with the administrative tasks of the Paris Union, the Special Agreements established in relation with that Union, and the Berne Union;

(Ar cle 2 contd.)

- (iii) may assume the administration of other intellectual property conventions, agreements and treaties, in agreement with the competent organs of such conventions, agreements or treaties;
- (iv) shall assemble information concerning intellectual property, promote and carry out studies in this field, and dissominate the information assembled and the results of the studies;
- (v) shall maintain services facilitating the international protection of intellectual property and, where appropriate, provide for registration in the field of intellectual property and the publication of the data concorning the registrations;
- (vi) shall promote the adoption of measures calculated to simplify the protection of intellectual property throughout the world and to harmonize national legislations;
- (vii) shall advise countries requesting technical assistance in the field of intellectual property; (viii) generally, shall take all necessary action to attain the objective of the Organization.

ARTICLE 3: MEMBERSHIP

Membership in the Organization shall be open to any State which is:

- (i) a party to the Paris Convention or the Berne Convention, * or
 - (ii) a party to any other convention, agreement or treaty the administration of which is entrusted to the Organization,* or
 - (iii) a member of the United Nations or any of its Specialized Agencies, or
 - (iv) a State invited by the General Conference to become a member of the Organization.

^{*} The Experts of some of the Governments asked that items (i) and (ii) be omitted as superfluous.

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ARTICLE 4: HEADQUARTERS

The headquarters of the Organization shall be at Geneva. It may be transferred to another location pursuant to a decision of the General Conference. ASSEMBLY.

ASSEMBLY

ARTICLE 5: GENERAL CONFERENCE

- (1)(a) The General Conference shall consist of the States Members of the Organization. UNIONS.
- (b) The Government of each Member State shall be represented by one delegate who may be assisted by alternate delegates and by advisors
- (2) Subject to the powers reserved to the General Assembles and Executive Committees of the various Unions, the General Conference shall:
 - (i). determine the program of the Organization as such;
 - (ii) adopt the trienmial budget of the Organization as such;
 - (iii) approve the final accounts;
 - (iv) cleet the members of the Executive Board;
 - (v) appoint the Director General;
 - (vi) review and approve reports and activities of COORDINATION COMMITTEE, the Executive Board and the Director General and instruct them in regard to matters concerning which action, study, investigation or report is desired; (vii) establish such committees as may be considered necessary for the work of the Organization; (viii) determine the languages which, in addition to English and French, shall be the working languages
 - (ix) confirm the arrangements made by the Director General for the administration of the conventions, agreements and treaties referred to in Article 2(2)(iii);

of the various organs of the Organization, SECRETARIAT.

- (x) approve any agreement concluded with the United Nations under Articles 57 and 63 of the Charter of the United Nations:
- (xi) exercise such other functions as are provided for in this Convention;
- (xii) take any other appropriate action designed to further the objective of the Organization.

An 11/3-13 Part 18-13

(Article 5 contd.)

- Each Momber State shall have one vote in the General

 Schonor, ASSEMBLY.
- The General Genference shall meet once in every third calendar year in ordinary session upon convocation by the Director General. It shall meet in extraordinary session, upon convocation convocation in Corrector General, at the request of the Executive Beard, or at the request of one-fourth of the Member States. Meetings shall be held at the headquarters of the Organization.
- The General General shall adopt its own rules of presidure.
- (6) The General Conference shall, at each ordinary session, elect a chairman and other officers as provided for by its rules of precedure. It shall, at each session, appoint the necessary working consittees.
- The General Conference may admit, as observers, representatives of States not members of the Organization, and representatives of international organizations, to such of its meetings or meetings of its working committees as it sees fit.
- STATES MEMBERS OF THE ORGANIZATION
 TO THE MEMBERS OF ANY OF THE UNIONS SHALL
 R INVITED TO THE GENERAL ASSEMBLY AS
 ERVERS.

AA/II/13 Annex, Page 7

ARTICLE 5BIS: CONFERENCE

- (1)(a) The Conference shall consist of the States Members of the Organization.
- (b) The Government of each Member State shall be represented by one delegate who may be assisted by alternate delegates and advisors.
- (2) The Conference shall discuss matters of general interest in the field of intellectual property.
- (3) The Conference may adopt recommendations addressed to Governments, to the General Assembly of the Organization, the Assembly of any particular Union, or to the Director General.
 - (4) Each Member State shall have one vote in the Conference.
- (5) The Conference shall meet from time to time as decided by the General Assembly [or the Coordination Committee].
 - (6) The Conference shall adopt its own rules of procedure.
- (7) The Conference shall at each session elect a chairman and other officers as provided for by its rules of procedure. It shall, at each session, appoint the necessary working committees.
- (8) The Conference may admit, as observers, representatives of States not Members of the Organization, and representatives of international organizations, to such of its meetings or meetings of its working committees as it sees fit.

ARTICLE 6: GENERAL ASSEMBLIES OF THE UNIONS

- Each Union shall have a Concret Assembly consisting of the tates Mombers of the Union.
- The Government of each Member State shall be represented by one delegate who may be assisted by alternate delegates and by advisors.
 - The General Assembly of each Union shall:

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: ACTIVITIES

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- (iBIS) determine the program, and adopt the trionnial budget, of the Union in all matters exclusively concorning the operations and the administration-of the Union;
- elect the members of the Executive Committee of the Union where the Union has such a Committee;
- (iii) review and approve reports and activities of its Executive Committee, if any, and shall give instructions to such Committee;
- (iv) rovicw and approve reports and activities of the Director General concerning the Union and give instructions to him in such matters;
- (v) ostablish such committees as may be considered necessary for the work of the Union;
- tako any othor appropriato action designed to further the objectives of the Union.
- Each Member State of the Union shall have one vote in the moral Assembly of the Union.

(Art. 10 6 contd.)

- The General Assemblies of the Unions shall most in ord many session upon convocation by the Director General during the same period and at the same place as the General Conference of the Organization. The General Assembly of each Union shall meet in extenordinary session, upon convocation of the Director General, at the request of its Executive Committee, or at the request of one fourth of the Member States of the Union.
-) Each General Assembly shall adopt its own rules of procedure.
- Each General Assembly shall, at each ordinary session, ele a Chairman and other officers as provided for by its rules of project. It shall, at each session, appoint the necessary working grows.
- Each General Assembly may admit, as observers, representatives of States not members of the Union, and representatives of international organizations, to such of its mootings or meetings of its working groups as it sees fit.
- STATES MEMBERS OF THE ORGANIZATION

 B.T NOT MEMBERS OF THE UNION SHALL BE

 INTED TO THE ASSEMBLY AS OBSERVERS.

ARTICLE 7: VOTING IN THE GENERAL CONFERENCE AND THE GENERAL ASSEMBLIES

- (1) Subject to the provisions of paragraphs (2) to (4) of this ASSEMBLY, THE Article and paragraph (2) of Article 19, the General Conference and the General Assemblies shall make their decisions by a simple majority of the votes cast.
- (2) The following shall require at least two-thirds of the votes cast:
 - (i) invitations addressed to a State to become a member of the Organization (Article 3 (iv));
 - (ii) docisions concerning the transfer of the headquarters of the Organization (Article 4);
 - (iii) adoption of the budgets to the extent that they change the financial obligations of Member States (Articles 5(2)(ii) and 6(2)(iBis));
- (3) The confirmation of arrangements concerning the administration of conventions, agreements and treations referred to in Article 2(2)(iii) shall require at least three-fourths of the votes cast (Article 5(2)(ix)).
- (4) The approval of an agreement with the United Nations under Articles 57 and 63 of the Charter of the United Nations shall require at least nine-tenths of the votes cast (Article 5(2)(x)).
- (5) In counting the votes, abstentions shall not be considered as votes.

ARTICLE 8: EXECUTIVE BOARD

= TO BE OMITTED =

NOTE THAT THE FUNCTIONS PROVIDED FOR IN ART. 8 (6) (N) and (Ni) ARE NOW PROVIDED FOR AS FUNCTIONS OF THE COORDINATION COMMITTEE (ART. 11 (3) (iv) f (v)).

ARTICLE 9: EXECUTIVE COMMITTEES OF THE PARIS UNION AND THE BERNE UNION

- (1) The Paris Union and the Borne Union shall each have an Executive Committee. Each of these Committees shall be governed by the following provisions.
- (2)(a) The Executive Committee shall consist of members elected by the General Assembly from among the States Members of the Union
- (b) The Government of each Member State shall be represented by one delegate who may be assisted by alternate delegates and by advisors.
- (3) The number of the States Members of the Executive Committee shall correspond to one-fourth of the number of the States Members of the Union. In establishing the number of seats to be filled, remainders after division by four shall be disregarded.
- (4) In electing the members of the Executive Committee, the General Assembly shall have regard to a balanced geographical distribution.
- (5) Each member of the Executive Committee shall serve from the close of the session of the General Assembly which elected it to the close of the next ordinary session of the General Assembly. However, members may be re-elected, but not more than two thirds of them. At each election, and until the limit of two thirds may have been attained, the names of the States Members of the Executive Committee shall be called in alphabetical order and the General Assembly shall vote on each separately whether to re-elect it or not. It shall be decided by let drawn before each election whether the names of the States shall be called on the basis of the English or the French alphabetical list of their names; furthermore, the letter of the alphabet with which the calling for possible re-election will start shall be drawn by let.
- FURTHERHORE, THE MEMBER STATE ON WHOSE TERRITORY
 THE ORGANIZATION HAS ITS HEADQUARTERS SHALL,
 SUBJECT TO THE PROVISIONS OF ARTICLE 13(8),
 HAVE AN EX OFFICIO SEAT. [CF. DOCUMENT AA] II/4,
 PAR. (6)

Article 9 contd.)

- (6) The Executive Committee shall:
 - (i) propare the agenda of the General Assembly;
 - (ii) submit proposals to the General Assembly respecting the draft program and triennial budget of the Union prepared by the Director General;
 - (iii) approve, within the limits of the program and the triennial budget, the specific yearly budgets and programs prepared by the Director General;
 - (iv) submit, with appropriate comments, to the General Assembly the periodical reports of the Director General and the yearly audit reports on the accounts;
 - (v) in accordance with the decisions of the General Assembly and having regard to circumstances arising between two ordinary sessions of that Assembly, take all necessary measures to ensure the execution of the program of the Union by the Director General;
 - (vi) porform such other functions as are provided for in this Convention.
- (7) The Executive Committee shall meet at least once every year upon convocation by the Director General.
- (8) Each member of the Executive Committee shall have one voto.

 Decisions shall be made by simple majority. More than half of the votes

 cast by the members present and voting shall constitute a simple majority.

 Abstentions shall not be considered as votes.
- (9) The Executive Committee shall establish its own rules of procedure subject to the provisions of this Convention and the decisions of the General Assembly.
- (10) The Executive Committee shall elect a chairman and other efficers, and appoint the necessary working groups as provided in its rules of procedure.

ARTICLE 10: EXECUTIVE COMMITTEES OF OTHER UNIONS

The General Assembly of any Union other than the Paris and Berne Unions may also establish an Executive Committee of its own.

ARTICLE 11: CCORDINATION COMMITTEE

- (1)(a) There shall be a Coordination Committee comprising the States sembers of the Executive Board of the Organization, of the Executive Committee of the Paris Union, and of the Executive Committee of the Borne nion.
- (b) The Government of each State Member of the Coordination

 Committee shall be represented by one or two delogates who may be assisted

 by alternate delogates and by advisors.
- (2) If the other Unions administered by the Organization wish to represented as such in the Coordination Committee, their representatives must be appointed from among the representatives of States Members of the Coordination Committee.
- (3) The nature of the functions of the Coordination Committee is purely advisory. In particular, it shall give advice to the other organs of the Organization and the Unions on administrative, financial and other natters of common interest to the Organization and the Unions or cartain of them.
- (4) The Coordination Committee shall most at least once every year upon invitation by the Director General.
- (5) Each State Member of the Coordination Committee shall have one vote.

^{*} The Exports of some of the Governments doubted the necessity of ostablishing a Coordination Committee. They expressed the view that the functions referred to in paragraph (3) could be better carried out by the Executive Board.

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NB: The following text replaces paragraph (3) of Article 11.

- (3) The Coordination Committee shall:
 - (i) give advice to the General Assembly and the organs of the various Unions on administrative, financial and other matters of common interest to the Unions or certain of them [cf. old Article 11(3)];

 - (iii) prepare the agenda of the Conference;
 - (iv) when the term of office of the Director General is about to expire, or, when there is a vacancy in the post of the Director General, nominate a candidate for appointment to such position by the General Assembly [cf. old Article 8(6)(v)];
 - (v) if the post of the Director General becomes vacant between two sessions of the General Assembly, appoint an Acting Director General whose term of office shall last until the new Director General assumes office [cf. old Article 8(6)(vi)];
 - (vi) perform such other functions as are provided for in this Convention [cf. old Article 8(6)(viii)].

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(Article 11 contd.)

- (6)(a) The Coordination Committee shall express its opinions by simple majority. More than half of the votes cast by the members present and voting shall constitute a simple majority. Abstentions shall not be considered as votes.
- (b) Even if a simple majority is obtained, any member of the Coordination Committee may, immediately after the vote, request that the votes already expressed be the object of a special recount in the following manner:
 - three separate lists shall be drawn up indicating, respectively, the names of the States members of the Executive Beard, of the Executive Committee of the Paris Union, and of the Executive Committee of the Berne Union,
 - the voto of each State shall be inscribed opposite its name in each list in which it appears.

Should this special recount indicate that simple majority has not been obtained in each of these lists, the proposal for an expression of opinion shall not be considered as carried.

- (7) Subject to the provisions of this Convention, the Coordination Committee shall establish its own rules of procedure.
- (8) Any State Member of the Organization, which is not a Member of the Coordination Committee, may be represented at the meetings of the Committee by observers, having the right to take part in the debates but without the right to vote.

ARTICLE 12: SECRETARIAT

- (1) The Secretariat shall comprise a Director General, two or more Deputy Directors General, and other staff members as required.
- (2) The Director General shall be appointed under such condi-ASSEMBLY tions as the General Conference may approve and shall be eligible for reappointment.
- (3) The Director General shall be the chief administrative officer of the Organization and shall represent the Organization in all cases.
- (4) The Director General shall prepare and communicate to Member States, the Executive Board, the Executive Committees, and the Coordination Committee, the draft budgets and programs which concern them, and periodical reports on the activities and finances of the VARIOUS ORGAN Organization and the various Unions.
- (5) The Director General, or a staff member designated by him, shall participate, without the right to vote, in all meetings of the ASSEMBLY, General Conference, the General Assemblies, the Executive Board, the Executive Committees, the Coordination Committee, and any other committee or working group. He, or a staff member designated by him, shall be ox officio Secretary of all these bodies.
- the efficient performance of the tasks of the Organization. He shall appoint the Deputy Directors General with the approval of the Execution Corner The Corner The Conditions of employment shall be fixed by the staff regulations to be approved by the Executive Board on the proposal of the Director General. The paramount consideration in the employment of the staff and in the determination of the conditions of service shall be the necessity of securing the highest standards of efficiency, competence, and integrity. Due regard shall be paid to the importance of recruiting the staff on as wide a geographical basis as possible.

(Articlo 12 contd.)

and of the staff shall be exclusively international. In the discharge of their duties they shall not seek or receive instructions from any government or from any authority external to the Organization. They shall refrain from any action which might prejudice their position as international officials. Each Member State undertakes to respect the exclusively international character of the responsibilities of the Director General and the staff, and not to seek to influence them in the discharge of their duties.

FINANCES ARTICLE 13:

- (1)(a) There shall be a budget of the Organization, and separate : dgots of the Unions.
- (b) In the budget of the Organization, there shall appear the oper-expenses of the Organization itself and its share in the common ...ponses...
- (c) In the budget of each Union there shall appear the proper mpenses of the Union itself and its share in the common expenses.
- (d) Expenses not attributable exclusively to the Organization

 DEXPENSES CONNECTED WITH THE PREPARATION AND HOLDING OF SESSIONS OF THE
 to any given Union along shall be considered as common expenses. Common expenses shall be assessed among the Organization and the variis Unions in proportion to the interest each of them has in such exmses.

CONFERENC

- The budget of the Organization and the budgets of the Unions (2)hall be established with due regard to the requirements of coordination.
- The budget of the Organization and the budgets of the Unions (3)enall be financed from the following sources:
 - (i) contributions of the Member States towards the budgets of the Organization, the Paris Union, the Berne Union, and such other Unions which are financed by contributions;
 - focs charged for services performed by tho Socrotariat;
 - salo of, or royalties on, the publications of the Socrotariat;
 - (iv) gifts, boquests and subventions;
 - rents, interests, and other similar . miscellancous income.

(Article 13 contd.)

(4)(a) For the purpose of establishing its contributions towards the budgets referred to in paragraph (3)(i), each Member State shall belong to a class, and shall pay its annual contributions on the basis of a fixed number of units as follows:

Class	I 25
Class	II 20
Class	III
Class	IV 10
Class	V 5
Class	VI
(Class	VII

- (b) Each State shall, concurrently with taking action as provided in Article 20, paragraph (1), indicate the class to which it wishes to belong with due regard to the size of its national economy. The class indicated shall apply to all the contributions of the State.

 CORDINATION COMMITTEE

 If a State fails to indicate a class, the Executive Board shall assign one to it. Any State may change class. If the change is to a lower class, the State must announce it to an ordinary session of the General ASSEMBLY.

 Conference. Any change shall take effect at the beginning of the calendar year following the session.
- shall be calculated separately for each budget referred to in paragraph (3)(i) and in the following manner: the units applicable to each State according to the class to which it belongs shall be added together; the amount of the total yearly contributions inscribed in the budget shall be divided by the sum resulting from the said addition; the quotient of this division shall be multiplied, for the given State, by the number of the units applicable to it. The product of this multiplication shall be the amount of the yearly contribution of the given State.
- (d) The contributions of the Member States shall be due on the first of January of each year.

As to the question of adding Class VII, see the Explanatory Statement (decument AA/II/2, pare 55)

crticle 13 contd.)

- (c) A Member State which is in arrears in the payment of any its financial contributions shall have no vote in any organs of the ganization and of the Unions if the amount of its arrears equals or acceds the amount of the contributions due from it for the preceding we full years. The General conference may, nevertheless, permit such State to vote if it is satisfied that the failure to pay is due to conditions beyond the control of such State.
- (5)(a) The amount of the fees charged for international registration shall be fixed by the Director General with the consent of the merel Assembly of the Union establishing the registration service. The fees of each registration service shall be fixed at a level at east sufficient to cover the expenses of the Secretariat caused by the maintenance of such service.
- (b) The amount of other fees charged for services shall be stablished by the Director General who shall report on them to the empetent organs.
- (6) The Organization, with the approval of the Executive Board,

 ay receive gifts, bequests and subventions, directly from governments,

 ablic or private institutions, associations or private persons.
- (7) The Organization shall have a working capital fund as pro-
 - (8) Reserved: (See Introductory Report, document AA/II/2, par.59).
 - (9) Reserved See Introductory Report, document Ah/II/2, par 59).

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[Article 13 continued]

- "(8)(a) If the working capital fund is insufficient, the Member State on the territory of which the Organization has its Headquarters shall grant advances. The amount of these advances and the conditions in which they are granted shall be the subject of agreement, in each case, between the Member State in question and the Organization. As long as it remains under the obligation to grant advances, this Member State shall have an ex-officio seat on the Executive Committees of the Unions of which such State is a Member, and on the Coordination Committee.
- (b) The Member State is question and the Organization shall have the possibility of denouncing the obligation to grant advances, by means of a notification in writing. This denunciation shall take effect three years after the end of the year in which it was notified." [Cf. document AA/II/4, paragraph (2).]