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ASSEMBLY

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PROPOSALFORTHEEST ABLISHMENTOFCOMMON REGULATIONSUNDER THE 1999ACT,THE1960A CTANDTHE1934ACT OFTHEHAGUEAGREEME NT

Document prepared by the International Bureau

I. INTRODUCTION

- 1. The Working Group on the Esta blishment of New Regulations under the Hague Agreement Concerning the International Registration of Industrial Designs (herein after referred to as the "Working Group") convened by the Director General, metin Geneva from June 24 to 26,2003, with a view to reaching a consensus on a proposal of the International Bureau for Common Regulation sunder the 1999 Act, the 1960 Act and the 1934 Act of the Hague Agreement.
- $2. \qquad The Working Group discussed the draft Common Regulations proposed by the International Bureau contained in documents H/WG/2 and H/WG/2 Add. It also considered the notes concerning the proposal for Common Regulations contained in document H/WG/3.$
- $3. \quad Following the discussions, a number of amendments to the proposal of the Int Bureau for Common Regulations were approved by the Working Group. The latter further agreed that the draft Common Regulations, a samended and approved by this Working Group, and contained in Annex II to the report of its session (document H/WG/6), should be submitted to the Assembly of the Hague Union for adoption at its next session (paragraph 12 of the report). \\$

4. ThereportofthesessionoftheWorkingGroupisreproducedinAnnexItothepresent document.Thetextofthepro posedCommonRegulations,asamendedandapprovedbythe WorkingGroup,isreproducedinAnnexIItothepresentdocument.

II. PROPOSALFORCOMMON REGULATIONSUNDERTH E1999ACT, THE 1960 ACTANDTHE1934ACOFTHEHAGUEAGREEM ENT

- 5. Thepr oposedCommonRegulationswerepreparedinconnectionwiththe implementationoftheinternationalregistrationprocedureunderthe1999ActoftheHague Agreement,whichisexpectedtoenterintoforceinanearfuture
- 6. Forthetimebeing ,thethreeActsoftheHagueAgreementarecomplementedbytwo setsofRegulations,namely:
- the Regulation sunder the 1999 Act, as adopted by the Diplomatic Conference on July 2,1999 (at the same time as the adoption of the 1999 Act itself), and
- theRe gulationsunderthe1960Actandthe1934Act,asinforceon January 1, 2002.
- 7. InorderthatOfficesofContractingParties,usersofthesystemandtheInternational BureauwouldhaveonlyonesetofRegulationstodealwith,andinorder alsotoprovidea legalframeworkforthecombinedinternationalprocedureunderthe1999,the1960and/orthe 1934Actswithrespecttooneandthesameinternationalapplication ²,itisproposedthat unifiedCommonRegulationsreplaceboththeRegulationsunderthe1999Actandthe Regulationsunderthe1960andthe1934Acts.

Asofthedateofissuingofthisdocument, the 1999 Actisnotyetinforce. It will enterint of orce three months after six States have deposited their instruments of ratification or accession, provided that at least three of those States have a certain volume of activity in the field of industrial designs, as specified in Article 28(2) of the 1999 Act. At present, n inecountries have acceded to the 1999 Act (Estonia, Georgia, Iceland, Kyrgyzstan, Republic of Moldova, Romania, Slovenia, Switzerland and Ukraine). According to the most recent annu al statistics collected by the International Bureau, two of these countries (Switzerland and Slovenia) have the volume of activity in the field of industrial designs required by Article 28(2) of the 1999 Act for such Act to enterint of orce. Therefore, it would be enough that one additional State complying with those conditions join the 1999 Actin 2003 to trigger its entry into force three months later.

Itbeingunderstoodthat,fortheHagueAgreementtocontinuetobeofinterestforusersfollowing theimplementationofthe1999Act,applicantsoriginatingfromaContractingPartyboundbythe threeActsshouldbeallowedtorequestprotectioninallMembersStatesoftheHagueUnion througha *single* internationalapplication.Similarly,applicants originatingfromaContracting PartyboundbytwoActsshouldbeallowed,bymeansofasingleinternationalapplication,to requestprotectioninContractingPartiesboundatleastbyoneofthosetwoActs.

- 8. TheproposedCommonRegulationsarebased,toaverylargeextent,ontheprovisions of the Regulation sunder the 1999 Act, complemented by additional provision sto take into account the specificities of the international procedure under the 1960 Act and/or the 1934 Act. It must therefore be under lined that the inclusion of these new proposed provisions does not entail changes in the international registration proced ure provided for by the Regulations under the 1999 Act and the Regulation sunder the 1960 Act and the 1934 Act.
- 9. Detailed explanatory notes concerning the proposed Common Regulations are contained indocument H/WG/3.

III. UNDERSTANDINGSRE ACHEDBYTHEWORKING GROUPCONCERNING THEPROPOSEDCOMMON REGULATIONS

- 10. The Working Group agreed to propose to the Assembly of the Hague Union that it approve the following consequences related to the recording of changes in ownership in the International Register (see paragraph 11 of the report of the Working Group contained in Annex Ito the present document):
- (a) insofarastherecordingofachangeinownershiptakesplaceduringthecourseof therefusalperiod, and given that such period may differ according to whether a Contracting Partyis designated under the 1960 Actor under the 1999 Act, it would be implicit that the recording of the change in ownership would not have the effect of prolonging, or reducing, therefusal period allowed for a designated Contracting Party to notify a refusal of protection;
- (b) insofarastherecordingofachangeinownershiptakesplaceduringtheperiod fordefermentofpublication, and given that such period under the 1999 Act (up to 30 months) may be longer than the maximum period of deferment provided for by the 1960 Act (12 months), it would be implicit that the recording of the change in ownership would not have the effect of reducing the applicable deferment period where (i) deferment of publication has been requested for a period of more than 12 months under the 1999 Act and (ii) the international registration concerned is transferred during this deferment period to a person having an entitle mentina Contracting Party bound exclusively by the 1960 Act;
- (c) giventhatanindividualfeemayberequiredatthestageofrenewalfor ContractingPartiesdesignatedunderthe1999Act,butthatsuchfeeisnotprovidedforin respectofContractingPartiesdesignatedunderthe1960Actinthecontextofr enewal,it wouldfollowthatthenewholdermayhavetopayindividualfeesfortherenewalina designatedContractingParty,orviceversa;
- (d) whereachangeinownershipisrequestedinrespectofadesignatedContracting PartyboundbyseveralActsand,inaccordancewithRule21(2)(iv),thetransfereeindicatesin therequestfortherecordingofchangeinownershipanentitlementinseveralContracting PartiesboundbydifferentActs,preferenceshouldbegiventothemorerecentcommonActin ordertodeterminetheActgoverningthedesignationofthedesignatedContractingPartywith respecttothenewholder.

- 11. The Working Group also agreed to propose to the Assembly of the Hague Union that it approve the following transitional arrange ments which would result from the entry into force of the Common Regulations (see paragraph 15 of the report of the Working Group contained in Annex I to the present document):
- (a) anyinternational application and anyother communications received by the International Bureau *before* the date of entry into force of the Common Regulations would be governed by the Regulation sunder the 1960 Act and the 1934 Act, as inforce before the date of entry into force of the Common Regulations;
- (b) anyinternational applicationandanyothercommunications received by the International Bureau *onorafter the date* of entry into force of the Common Regulations, and any international registration existing on that date, would be governed by the Common Regulations (including also requests for recording srelating to international registrations bearing a date prior to the date of entry into force of the Common Regulations).

IV. DATEOFENTRYINTOF ORCEOFTHECOMMONR EGULATIONS

- 12. The Working Groupagreed that "the Assembly of the Hague Union should be invited to decide that the Common Regulations should enter into force on April 1,2004, or on the first day of the month following the date of entry into force of the 1999 Act, which ever is the later" (see paragraph 13 of the report of the Working Group contained in Annex Ito the present document).
- 13. ThedateofApril1,2004referredtoinparagraph12abovecorrespondstothedateby whichtheInternationalBureauwillhavecompletedthepreparatory workrequiredforthe implementationoftheproposedCommonRegulations(andinparticularforthe implementationofthenewinternationalprocedureunderthe1999Act).Itistherefore proposedthatsuchdatebetheearliestonwhichtheCommonRegulatio nsmayenterinto force.
- 14. Itshouldbenoted,however,thatthe1999Actmaystillnothaveenteredintoforceon April1,2004. Shouldthatbethecase,andgiventhatthedateofentryintoforceofthe CommonRegulationscouldnotbepri ortothatofthe1999Actitself,itisproposedthatthe dateofentryintoforceoftheCommonRegulationsbethefirstdayofthemonthfollowing thedateofentryintoforceofthe1999Act.
- 15. The Working Group agreed that "the Assembly of the Hague Union should be invited to decide that, as from the date of entry into force of the Common Regulations, the latter should replace both the Regulation sunder the 1994 Act and the 1934 Act "(see paragraph 14 of the report of the Working Group contained in Annex Ito the present document).

16. The Assembly of the Hague Union is invited

- (i) toadopttheCommonRegulations underthe1999Act,the1960Actandthe1934 ActoftheHagueAgreement,asre producedin AnnexII;
- (ii) toapprove the consequences relating to the recording of changes in ownership in the International Register and the transitional arrangements, asset out in paragraphs 10(a) to (d) and 11(a) and (b) above;
- (iii) todecideth attheCommon RegulationsshouldenterintoforceonApril1, 2004,oronthefirstdayofthemonth followingthedateofentryintoforceofthe 1999Act,whicheveristhelater;
- (iv) todecidethat, as from the date of entry into force of the Common R egulations, the latter will replace both the Regulation sunder the 1999 Act and the Regulation sunder the 1960 Act and the 1934 Act.

[Annexesfollow]

ANNEXI

REPORT (WIPOdocumentH/WG/6)

I. INTRODUCTION

- 1. The Working Group on the Establish ment of New Regul at ion sunder the Hague Agreement Concerning the International Registration of Industrial Designs (herein after "the Working Group") metin Geneva from June 24 to 26,2003. The Working Group was convened by the Director General of the World Intellectual Pr with a view to discussing a proposal of the International Bureau for the establishment of Common Regulation sunder the 1999 Act, the 1960 Act and the 1934 Act of the Hague Agreement.
- 2. ThefollowingmemberStatesoftheWorking Groupwererepresentedatthesession: Estonia,France,Germany,Greece,Hungary,Italy,Netherlands,RepublicofMoldova, Romania,Slovenia,Spain,Switzerland,TheformerYugoslavRepublicofMacedoniaand Ukraine (14).
- 3. ThefollowingStateswererep resentedbyobservers:Croatia,Ireland,Japan,Latvia, Mexico,Norway,Portugal,RepublicofKorea,SriLanka,SwedenandtheUnitedStatesof America(11).
- 4. Thefollowing intergovernmental organizations were represented by observers: Benelux Designs Office (BBDM), Commission of the European Communities (CEC) and Office for Harmonization in the Internal Market (OHIM) (3).
- 5. Thefollowing international non-governmental organizations were represented by observers: American Intellectual Property Law As sociation (AIPLA), Centerfor International Industrial Property Studies (CEIPI), Japan Patent Attorneys Association (JPAA), International Chamber of Commerce (ICC), International Council of Societies of Industrial Design (ICSID), International Federation fundastrial Property Attorneys (FICPI) and Union of European Practitioners in Industrial Property (UEPIP) (7).
- 6. ThelistofparticipantsisgiveninAnnexItothisreport.
- 7. Mr.ShozoUemura,DeputyDirectorGeneraloftheWorldIntellectualPropert y Organization(WIPO),openedthesessionandwelcomedthedelegatesonbehalfofthe DirectorGeneralofWIPO.
- 8. The discussions of the Working Group were chaired by Mr. Ernesto Rubio (WIPO).

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MemberStatesoftheWorkingGroupcomprisem emberStatesoftheHagueUnionandany Stateshavingratifiedoraccededtothe1999ActoftheHagueAgreement.

II. DRAFTCOMMONREGULAT IONSUNDERTHE1999 ACT,THE1960A CTAND THE1934ACTOFTHE HAGUEAGREEMENT

- 9. The Working Group discussed the draft Common Regulations proposed by the International Bureau, as contained in documents H/WG/2 and H/WG/2 Add. It also considered the notes concerning the proposal for Common Regulations, as contained in document H/WG/3.
- 10. Followingthediscussions,theWorkingGroupapprovedthedraftCommonRegulations underthe1999Act,the1960Actandthe1934ActoftheHagueAgreement,subjecttothe following:
- $(a) \quad The footnote rela\ ting to the French version of Rule 1 (2) (ii) should be redrafted\ and contained in the published Common Regulations;$
- (b) Rule11(2)shouldbesupplementedbythesubstanceofSection407ofthedraft AdministrativeInstructions;
- (c) Rule14(3)shouldinclu dethewords"otherthananirregularityreferredtoin Article8(2)(b)ofthe1999Act"afterthewords"Whereanirregularity(...);"
- (d) Rule16(3)shouldincludethesubstanceofSection601ofthedraftAdministrative Instructions, which would be seto utintwo subparagraphs (a) and (b). In addition, subparagraph (b) should provide that the International Bureau would indicate, in the unofficial notice referred to in that provision, the date by which the publication feer effected in paragraph (3) should be paid and the reproductions referred to in paragraph (3) should be submitted:
- (e) Rule18(2)(b)shouldinclude the words "asprovided for in the Administrative Instructions" at the end of item (iv);
- (f) IntheEnglishversionofRule23,theword"e xpiration"shouldbereplacedby "expiry;"
- (g) Item(iii)ofRule31(2)(b)shouldbecomeanewsubparagraph(b)anditem(vi)of Rule31(2)(b)shouldbedeleted;
- (h) InRule33,thewords"whichhaveadirectinterestin"shouldbereplacedby"of theCo ntractingPartieswithrespectto";
- (i) Rule35 *bis* should be come Rule32 and the subsequent provisions should be renumbered accordingly;
 - (j) Rule36shouldbedeleted(seeparagraphs13and14below).
 - $11. \quad The Working Group agreed to propose to the Assem \qquad bly of the Hague Union that it approve the inferred consequences related to the recording of changes in ownership in the International Register, as set out in Notes 21.04 and 21.05 of document H/WG/3.$

12. The Working Group agreed that the draft Common Reg ulations, as reproduced in Annex II to this report, should be submitted to the Assembly of the Hague Union for adoption at its next session.

III. DATEOFENTRYINTOF ORCEOFTHECOMMONR EGULATIONS

- 13. The Working Group agreed that the Assembly of the Hague Union should be invited to decide that the Common Regulations should enter into force on April 1,2004, or on the first day of the month following the date of entry into force of the 1999 Act, which ever is the later.
- 14. The Working Group agreed that the Assembly of the Hague Union should be invited to decide that, as from the date of entry into force of the Common Regulations, the latter should replace both the Regulations under the 1960 Act and the 1934 Act.
- 15. The Working Groupagreed to propose to the Assembly of the Hague Union that it approve the transitional arrangements set out in paragraph 15 of document H/WG/3, with an additional reference to "international registration."

IV. DRAFTADMINISTRATIVEINSTR UCTIONSFORTHEAPPLICATIONOFTHE HAGUEAGREEMENT

16. The Working Group discussed the draft Administrative Instructions contained in document H/WG/4. It also considered the notes concerning such Administrative Instructions contained in document H/WG/5. The Secretariattook note of the comments made.

17. This reportwas adopted unanimously by the Working Group on June 26, 2003.

[AnnexIIfollows]

ANNEXII

COMMONREGULATIONSUNDER THE1999ACT,THE19 60ACTANDTHE 1934 ACTOFTHEHAGUEAGR EEMENTCONCERNINGT HEINTERNATIONAL REGISTRATIONOFINDUSTRIALDESIGNS

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CHAPTER1

GENERALPROVISIONS

Rule1

Definitions

- (1) [AbbreviatedExpressions] Forth epurposesoftheseRegulations,
- (i) "1999Act" meansthe Actsigned at Geneva on July 2,1999, of the Hague Agreement;
- (ii) "1960Act" meansthe Actsigned at The Hagueon November 28, 1960, of the Hague Agreement;
- (iii) "1934Act" meanstheActs ignedatLondononJune 2,1934,ofthe HagueAgreement;
- (iv) an expression which is used in these Regulations and is referred to in Article 1 of the 1999 Act has the same meaning as in that Act;
- (v) "AdministrativeInstructions" meanstheAdministrat iveInstructions referredtoinRule 34;
- (vi) "communication" means any international application or any request, declaration, invitation, notification or information relating to or accompanying an international application or an international registrati on that is addressed to the Office of a Contracting Party, the International Bureau, the applicant or the holder by means permitted by these Regulations or the Administrative Instructions;
- (vii) "officialform" means a formestablished by the Internation al Bureau or any form having the same contents and format;
- (viii) "InternationalClassification" meanstheClassificationestablished undertheLocarnoAgreementEstablishinganInternationalClassificationforIndustrial Designs;
- $\hbox{ (ix) "prescribed fee" means the applicable feese to ut in the Schedule of Fees;}$
- (x) "Bulletin" meanstheperiodical bulletin in which the International Bureau effects the publications provided for in the 1999 Act, the 1960 Act, the 1934 Actor these Regulations, whatever the medium used;

- (xi) "ContractingPartydesignatedunderthe1999Act" meansa designatedContractingPartyinrespectofwhichthe1999Actisapplicable,eitherastheonly commonActtowhichthatdesignatedContractingPartyandtheapplicant'sContractingPartyandtheapplicant
- (xii) "ContractingPartydesignatedunderthe1960Act" meansa designatedContractingPartyinrespectofwhichthe1960Actisapplicable, eitherastheonly commonA cttowhichthatdesignatedContractingPartyandtheStateoforiginreferredtoin Article2ofthe1960Actarebound, orbyvirtueofArticle31(1), firstsentence, ofthe1960 Act;
- (xiii) "ContractingPartydesignatedunderthe1934Act"meansa designatedContractingPartyinrespectofwhichthe1934Actisapplicable,astheonly commonActtowhichthatdesignatedContractingPartyandthecontractingcountryreferred toinArticle1ofthe1934Actarebound;
- (xiv) "international application governed exclusively by the 1999 Act" means an international application in respect of which all designated Contracting Parties are Contracting Parties designated under the 1999 Act;
- (xv) "international application governed exclusively by the 1960 Act" means an international application in respect of which all designated Contracting Parties are Contracting Parties designated under the 1960 Act;
- (xvi) "international application governed exclusively by the 1934 Act" means an international application in respect of which all designated Contracting Parties are Contracting Parties designated under the 1934 Act;
- (xvii) "international application governed by both the 1999 Act and the 1960 Act "means an international application in respect of which
 - atleast oneContractingPartyhasbeendesignatedunderthe1999
 Act,
 - atleastoneContractingPartyhasbeendesignatedunderthe1960
 Act,and
 - noContractingPartyhasbeendesignatedunderthe1934Act;
- (xviii) "international application governed by both the 1999 Act and the 1934 Act "means an international application in respect of which
 - atleastoneContractingPartyhasbeendesignatedunderthe1999
 Act
 - atleastoneContractingPartyhasbeendesignatedunderthe1934
 Act,and
 - noContractingPa rtyhasbeendesignatedunderthe1960Act;

- (xix) "international application governed by both the 1960 Act and the 1934 Act" means an international application in respect of which
 - atleastoneContractingPartyhasbeendesignatedunderthe1960
 Act.
 - atleastoneContractingPartyhasbeendesignatedunderthe1934
 Act,and
 - noContractingPartyhasbeendesignatedunderthe1999Act;
- (xx) "international application governed by the 1999 Act, the 1960 Act and the 1934 Act" means an international application in respect of which
 - atleastoneContractingPartyhasbeendesignatedunderthe1999
 Act
 - atleastoneContractingPartyhasbeendesignatedunderthe1960
 Act and
 - atleastoneContractingPartyhasbeendesignatedunderthe1934
 Act

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- (2) [CorrespondenceBetweenSomeExpressionsUsedinthe1999Act,the1960Actand the1934Act] ForthepurposesoftheseRegulations,
- (i) referenceto"international application" or "international registration" shall be deemed, where appropriate, to include a reference to "international deposit" as referred to in the 1960 Act and the 1934 Act;
- (ii) referenceto "applicant" or "holder" shall be deemed, where appropriate, to include a reference to, respectively, "depositor" or "owner" as referred to ithe 1960 Actand the 1934 Act;
- (iii) referenceto "ContractingParty" shall be deemed, where appropriate, to include a reference to a State party to the 1960 Actor to a country party to the 1934 Act;
- (iv) referenceto "Contracting Partywhose Office is an examining Office" shall be deemed, where appropriate, to include a reference to "State having an ovelty examination" as defined in Article 20fthe 1960 Act;
- (v) referenceto"individualdesignationfee"shallbedeemed,where appropriate,toincl udeareferencetothefeementionedinArticle 15(1)2(b)ofthe1960Act;
- $(vi) \quad reference to ``renewal" shall be deemed, where appropriate, to include a reference to ``prolongation" referred to in the 1934 Act.$

Rule2

CommunicationwiththeInternationa lBureau

Communications addressed to the International Bureau shall be effected as specified in the Administrative Instructions.

Rule3

Representation Before the International Bureau

- (1) [Representative; Number of Representatives] (a) The applicant ortheholder may have a representative before the International Bureau.
- (b) Onlyonerepresentative may be appointed in respect of a given international application or international registration. Where the appointment indicates several representatives, only the one indicated first shall be considered to be a representative and be recorded as such.
- (c) Whereapartnershiporfirmcomposedofattorneysorpatentortrademark agentshasbeenindicatedasrepresentativetotheInternationalBureau,itshal lberegardedasone representative.
- (2) [AppointmentoftheRepresentative] (a) Theappointmentofarepresentative made in the international application, provided that the application is signed by the applicant.
- (b) The appointment of a representative may also be made in a separate communication which may relate to one or more specified international applications or international registrations of the same applicant or holder. The said communication shall be signed by the applicant or the holder. The said communication shall be signed by the applicant or the holder. The said communication shall be signed by the applicant or the holder. The said communication shall be signed by the applicant or the holder of the holder of
- (c) Where the International Bureau considers that the appointment of a representative is irregular, it shall not if yac cordingly the applicant or holder and the purported representative.
- (3) [Recording and Notification of Appointment of a Representative e; Effective Date of Appointment] (a) Where the International Bureau finds that the appointment of a representative complies with the applicable requirements, it shall record the fact that the applicant or holder has a representative, as well as the name and address of the representative, in the International Register. In such a case, the effective date of the appointment shall be the date on which the International Bureau received the international application or separate communication in which the representative is appointed.
- (b) TheInternationalBureaushallnotifytherecordingreferredtoin subparagraph (a)toboththeapplicantorholderandtherepresentative.

- (4) [EffectofAppointmentofaRepresentative] (a) ExceptwheretheseRegulations expresslyprovideotherwise, the signature of are presentative recorded underparagraph (3)(a) shall replace the signature of the applicant or holder.
- (b) ExceptwheretheseRegulationsexpresslyrequirethatacommunicationbe addressedtoboththeappl icantorholderandtherepresentative,theInternationalBureaushall addresstotherepresentativerecordedunderparagraph (3)(a)anycommunicationwhich,inthe absenceofarepresentative,wouldhavetobesenttotheapplicantorholder;anycommuni cation soaddressedtothesaidrepresentativeshallhavethesameeffectasifithadbeenaddressedtothe applicantorholder.
- (c) AnycommunicationaddressedtotheInternationalBureaubytherepresentative recordedunderparagraph (3)(a)shallhave thesameeffectasifithadbeenaddressedtothesaid Bureaubytheapplicantorholder.
- (5) [CancellationofRecording;EffectiveDateofCancellation](a) Anyrecording underparagraph (3)(a)shallbecanceledwherecancellationisrequestedinac ommunication signedbytheapplicant,holderorrepresentative. Therecordingshallbecanceled exofficio bythe InternationalBureauwhereanewrepresentativeisappointedorwhereachangeinownershipis recordedandnorepresentativeisappointedby thenewholderoftheinternationalregistration.
- $(b) \quad The cancellation shall be effective from the date on which the International Bureau receives the corresponding communication.$
- (c) TheInternationalBureaushallnotifythecancellationanditseffect ivedateto therepresentativewhoserecordinghasbeencanceledandtotheapplicantorholder.

Rule4

CalculationofTimeLimits

- (1) [PeriodsExpressedinYears] Anyperiodexpressedinyearsshallexpire,inthe relevantsubsequentyear,inthemon thhavingthesamenameandonthedayhavingthesame numberasthemonthandthedayoftheeventfromwhichtheperiodstartstorun,exceptthat, wheretheeventoccurredonFebruary 29andintherelevantsubsequentyearFebruaryendson the 28th,the periodshallexpireonFebruary 28.
- $(2) \quad [\textit{PeriodsExpressedinMonths} \quad] \quad \text{Anyperiodexpressedinmonths shall expire, in the relevant subsequent month, on the day which has the same number as the day of the event from which the period starts to run, except that, where the relevant subsequent month has no day with the same number, the period shall expire on the last day of that month.}$
- (3) [PeriodsExpressedinDays] The calculation of any period expressed in days shall start with the day following the day on which the relevant even to ccurred and shall expire accordingly.

(4) [ExpiryonaDayonWhichtheInternationalBureauoranOfficeIsNotOpentothe Public] IfaperiodexpiresonadayonwhichtheInternationalBureauortheOfficeconcernedis notopentothepublic,theperiodshall,notwithstandingparagraphs (1)to (3),expireonthefirst subsequentdayonwhichtheInternationalBureauortheOfficeconcernedisopentothepublic.

Rule5

IrregularitiesinPostalandDeliveryServices

(1) [CommunicationsSentThroughaPostalService] Failt	ırebyanınterestedpartyto
meetatimelimitforacommunicationaddressedtotheInternationalBurea	uandmailedthrougha
postalserviceshallbeexcusediftheinterestedpartysubmitsevidencesho	wing,tothesatisfaction
oftheInternationalBureau,	

- (i) thatthecommunicationwasmailedatleastfivedayspriortothe expiryofthetimelimit,or,wherethepostalservicewas,onanyofthetendaysprecedingthe dayofexpiryofthetimelimit, interruptedonaccountofwar,revolution,civildisorder,strike, naturalcalamity,orotherlikereason,thatthecommunicationwasmailednotlaterthanfive daysafterpostalservicewasresumed,
- (ii) thatthemailingofthecommunicationwasregist ered,ordetailsofthe mailingwererecorded,bythepostalserviceatthetimeofmailing,and
- (iii) incaseswherenotallclassesofmailnormallyreachtheInternational Bureauwithintwodaysofmailing,thatthecommunicationwasmailedbyaclass ofmail whichnormallyreachestheInternationalBureauwithintwodaysofmailingorbyairmail.
- (2) [CommunicationsSentThroughaDeliveryService] Failurebyaninterestedpartyto meetatimelimitforacommunicationaddressedtotheInternational Bureauandsentthrougha deliveryserviceshallbeexcusediftheinterestedpartysubmitsevidenceshowing,tothe satisfactionoftheInternationalBureau,
- (i) thatthecommunicationwassentatleastfivedayspriortotheexpiry ofthetimelimit, or,wherethedeliveryservicewas,onanyofthetendaysprecedingtheday ofexpiryofthetimelimit,interruptedonaccountofwar,revolution,civildisorder,natural calamity,orotherlikereason,thatthecommunicationwassentnotlaterthanfive daysafter thedeliveryservicewasresumed,and
- $(ii) \quad that details of the sending of the communication were recorded by the delivery service at the time of sending.$
- (3) [LimitationonExcuse] FailuretomeetatimelimitshallbeexcusedunderthisRu le onlyiftheevidencereferredtoinparagraph (1)or (2)andthecommunicationoraduplicate thereofarereceivedbytheInternationalBureaunotlaterthansixmonthsaftertheexpiryofthe timelimit.

Rule6

Languages

- (1) [InternationalApplica tion] TheinternationalapplicationshallbeinEnglishor French.
- (2) [RecordingandPublication] TherecordingintheInternationalRegisterandthe publicationintheBulletinoftheinternationalregistrationandofanydatatobebothrecordedand publishedundertheseRegulationsinrespectofthatinternationalregistrationshallbeinEnglish andFrench.Therecordingandpublicationoftheinternationalregistrationshallindicatethe languageinwhichtheinternationalapplicationwasreceived bytheInternationalBureau.
- (3) [Communications] Anycommunicationconcerninganinternational application or the international registration resulting therefrom shall be
- (i) in Englishor French where such communication is addressed to the International Bureau by the applicant or holder or by an Office;
- (ii) inthelanguageoftheinternationalapplicationwherethe communicationisaddressedbytheInternationalBureautoanOffice,unlessthatOfficehas notifiedtheInternationalBureauthatall suchcommunicationsaretobeinFrench;
- (iii) in the language of the international application where the communication is addressed by the International Bureautothe applicant or holder unless the applicant or holder expresses the wish to receive all such communications in English although the international application was in French, or <math>viceversa.
- (4) [Translation] Thetranslationsneededfortherecordingsandpublicationsunder paragraph (2)shal lbemadebytheInternationalBureau.Theapplicantmayannextothe internationalapplicationaproposedtranslationofanytextmattercontainedintheinternational application.IftheproposedtranslationisnotconsideredbytheInternationalBurea utobecorrect, itshallbecorrectedbytheInternationalBureauafterhavinginvitedtheapplicanttomake,within onemonthfromtheinvitation,observationsontheproposedcorrections.

CHAPTER2

INTERNATIONALAPPLICATIONS ANDINTERNATIONALREGIS TRATIONS

Rule7

Requirements Concerning the International Application

- $(1) \quad [\textit{FormandSignature} \] \ The international applications hall be presented on the official form. The international applications hall be signed by the applicant.$
- (2) [Fees] Thepre scribedfeesapplicabletotheinternational applications hall be paid as provided for in Rules 27 and 28.
- (3) [MandatoryContentsoftheInternationalApplication] Theinternationalapplication shallcontainorindicate
- (i) thenameoftheapplicant, giveninaccordancewiththe AdministrativeInstructions;
- (ii) the address of the applicant, given in accordance with the Administrative Instructions;
- (iii) the Contracting Party or Parties in respect of which the applicant fulfills the conditions to be ethelolder of an international registration;
- (iv) the productor products which constitute the industrial designor in relation to which the industrial design is to be used, with an indication whether the productor products constitute the industrial design is to be used; the productor products shall preferably be identified by using terms appearing in the list of goods of the International Classification;
- (v) thenumberofindustrialdesign sincludedintheinternational application, which may not exceed 100, and the number of reproductions or specimens of the industrial designs accompanying the international application in accordance with Rule or 10:
 - (vi) thedesignatedContractingPart ies;
- (vii) theamountofthefeesbeingpaidandthemethodofpayment,or instructionstodebittherequiredamountoffeestoanaccountopenedwiththeInternational Bureau,andtheidentificationofthepartyeffectingthepaymentorgivingtheinstr uctions.
- (4) [AdditionalMandatoryContentsofanInternationalApplication] (a)Withrespectto ContractingPartiesdesignatedunderthe1999Actinaninternationalapplication,thatapplication shallcontain,inadditiontotheindicationsreferredto inparagraph (3)(iii),theindicationofthe applicant'sContractingParty.

- (b) WhereaContractingPartydesignatedunderthe1999Acthasnotifiedthe DirectorGeneral,inaccordancewithArticle 5(2)(a)ofthe1999Act,thatitslawrequiresoneor moreoftheelementsreferredtoinArticle 5(2)(b)ofthe1999Act,theinternationalapplication shallcontainsuchelementsreferredtoinRule 11.
- (c) WhereRule 8applies,theinternational applications hall contain the indications referred to in Rule 8(2) and, where applicable, be accompanied by the statement or document referred to in that Rule.
- (5) [OptionalContentsofanInternationalApplication](a)Anelementreferredtoin item (i)or(ii)ofArticle 5(2)(b)ofthe1999Actor inArticle8(4)(a)ofthe1960Actmay,atthe optionoftheapplicant,beincludedintheinternationalapplicationevenwherethatelementisnot requiredinconsequenceofanotificationinaccordancewithArticle 5(2)(a)ofthe1999Actorin consequenceofarequirementunderArticle8(4)(a)ofthe1960Act.
- (b) Wheretheapplicanthasarepresentative, the international applications hall state then ame and address of the representative, given in accordance with the Administrative Instructions.
- (c) Wheretheapplicantwishes,underArticle4oftheParisConvention,totake advantageofthepriorityofanearlierfiling,theinternationalapplicationshallcontaina declarationclaimingthepriorityofthatearlierfiling,togetherwithanindication ofthenameof theOfficewheresuchfilingwasmadeandofthedateand,whereavailable,thenumberofthat filingand,wherethepriorityclaimrelatestolessthanalltheindustrialdesignscontainedinthe internationalapplication,theindicationof thoseindustrialdesignstowhichthepriorityclaim relatesordoesnotrelate.
- (d) WheretheapplicantwishestotakeadvantageofArticle 11oftheParis Convention,theinternationalapplicationshallcontainadeclarationthattheproductorproduct s which constitute the industrial designor in which the industrial designisin corporated have been shown at an official or officially recognized international exhibition, together with the place where the exhibition was held and the date on which the products were first exhibited the reand, where less than all the industrial designs contained in the international application are concerned, the indication of those industrial designs to which the declaration relates or does not relate.
- (e) Where the applicant wishes that publication of the industrial design be deferred, the international application shall contain a request for deferment of publication.
- $(f) \qquad The international application may also contain any declaration, statement or other relevant indication as may be specified in the Administrative Instructions.\\$
- (g) Theinternational application may be accompanied by a statement that identifies information known by the applicant to be material to the eligibility for protection of the industrial design concerned.

- (6) [NoAdditionalMatter] If the international application contains any matter other than that required or permitted by the 1999 Act, the 1960 Act, the 1934 Act, these Regulations or the Administrative Instructions, the International Bureau shall delete it exofficio. If the international application is accompanied by any document other than those required or permitted, the International Bureau may dispose of the said document.
- (7) [AllProductstoBeinSameClass] Alltheproducts whichconstitutetheindustrial designstowhichaninternationalapplicationrelates, or in relation to which the industrial designs are to be used, shall be long to the same class of the International Classification.

Rule8

$Special Requirements Concern\ ingthe Applicant$

- (1) [Notification of Special Requirements] (a) Where the law of a Contracting Party bound by the 1999 Actrequires that an application for the protection of an industrial design be filed in the name of the creator of the industrial design, that Contracting Partymay, in a declaration, notify the Director General of that fact.
- (b) The declaration referred to insubparagraph (a) shall specify the formand mandatory contents of any statement or document required for the purposes of paragraph aph(2).
- (2) [IdentityoftheCreatorandAssignmentofInternationalApplication] Wherean internationalapplicationcontainsthedesignationofaContractingPartythathasmadethe declarationreferredtoinparagraph (1),
- (i) itshallalsocontain indicationsconcerningtheidentityofthecreator oftheindustrialdesign,togetherwithastatement,complyingwiththerequirementsspecified inaccordancewithparagraph (1)(b),thatthelatterbelieveshimselftobethecreatorofthe industrialdesi gn;thepersonsoidentifiedasthecreatorshallbedeemedtobetheapplicant forthepurposesofthedesignationofthatContractingParty,irrespectiveofthepersonnamed astheapplicantinaccordancewithRule 7(3)(i);
- $(ii) \ \ where the person ident \ \ if ie das the creator is a person other than the person named as the applicant in accordance with Rule \ \ 7(3)(i), the international application shall be accompanied by a statement or document, complying with the requirements specified in accordance with paragar paragraph (1)(b), to the effect that it has been as signed by the person identified as the creator to the person named as the applicant. The latter person shall be recorded as the holder of the international registration.$

Rule9

Reproductions of the Industrial Design

- (1) [FormandNumberofReproductionsoftheIndustrialDesign](a) Reproductionsof theindustrialdesignshall,attheoptionoftheapplicant,beintheformofphotographsorother graphicrepresentationsoftheindustrialdesignitselfo roftheproductorproductswhich constitutetheindustrialdesign. The same product may be shown from different angles; views from different angles shall be included in different photographsorother graphic representations.
- (b) Anyreproductionshall besubmittedinthenumberofcopiesspecifiedinthe AdministrativeInstructions.
- (2) [RequirementsConcerningReproductions] (a) Reproductionsshallbeofaquality permittingallthedetailsoftheindustrialdesigntobeclearlydistinguishedandp ermitting publication.
- (b) Matter which is shown in a reproduction but for which protection is not sought may be indicated as provided for in the Administrative Instructions.
- (3) [ViewsRequired] (a) Subjecttosubparagraph (b),anyContractingPartyb oundby the 1999Actwhichrequirescertainspecified views of the productor products which constitute the industrial design or in relation to which the industrial design is to be used shall, in a declaration, so notify the Director General, specifying the views that are required and the circumstances in which they are required.
- (b) NoContractingPartymayrequiremorethanoneviewwheretheindustrial designorproductistwo -dimensional,ormorethansixviewswheretheproductis three-dimensional.
- (4) [RefusalonGroundsRelatingtotheReproductionsoftheIndustrialDesign] A ContractingPartymaynotrefusetheeffectsoftheinternationalregistrationonthegroundthat requirementsrelatingtotheformofthereproductionsoftheindustrialde signthatareadditional to,ordifferentfrom,thosenotifiedbythatContractingPartyinaccordancewithparagraph (3)(a) havenotbeensatisfiedunderitslaw.AContractingPartymayhoweverrefusetheeffectsofthe internationalregistrationonthe groundthatthereproductionscontainedintheinternational registrationarenotsufficienttodisclosefullytheindustrialdesign.

Rule10

SpecimensoftheIndustrialDesignWhere DefermentofPublicationIsRequested

- (1) [NumberofSpecimens] Whereaninternationalapplicationgovernedexclusivelyby the 1999 Act contains a request for deferment of publication in respect of atwo -dimensional industrial design and, instead of being accompanied by the reproductions referred to in Rule 9, is accompanied by specimens of the industrial design, the following number of specimens shall accompany the international application:
 - (i) onespecimenfortheInternationalBureau,and
- (ii) onespecimenforeachdesignatedOfficethathasnotifiedthe InternationalBureauunderArticle 10(5)ofthe1999Actthatitwishestoreceivecopiesof internationalregistrations.
- (2) [Specimens] Allthespecimensshallbecontainedinasinglepackage. The specimensmay be folded. The maximum dimensions and weighto specified in the Administrative Instructions.

Rule11

IdentityofCreator;Description;Claim

- (1) [*IdentityofCreator*] Wheretheinternational application contains indications concerning the identity of the creator of the induced ustrial design, his name and address shall be given in accordance with the Administrative Instructions.
- (2) [Description] Wheretheinternational application contains a description, the latter shall concern those features that appear in the reproductions of the industrial design and may not concern technical features of the operation of the industrial design or its possible utilization. If the description exceeds 100 words, an additional fee, asset out in the Schedule of Fees, shall be payable.
- (3) [Claim] AdeclarationunderArticle 5(2)(a)ofthe1999Actthatthelawofa ContractingPartyrequiresaclaiminorderforanapplicationforthegrantofprotectiontoan industrialdesigntobeaccordedafilingdateunderthatlawshallspecifytheexa ctwordingofthe requiredclaim. Wheretheinternational application contains a claim, the wording of that claim shall be asspecified in the said declaration.

Rule12

Fees Concerning the International Application

(1)	[PrescribedFees] (a)	Theinter national applications hall be subject to the payment
ofthefollow	vingfees:	

- (i) abasicfee;
- (ii) astandarddesignationfeeinrespectofeachdesignatedContracting PartythathasnotmadeadeclarationunderArticle 7(2)ofthe1999ActorunderRule 36(1);
- (iii) anindividualdesignationfeeinrespectofeachdesignated ContractingPartythathasmadeadeclarationunderArticle 7(2)ofthe1999Actorunder Rule36(1):
 - (iv) apublicationfee.
- (b) Theamountsofthefeesreferredtoinitems(i),(ii)and(iv)aresetoutinthe ScheduleofFees.
- (2) [WhenFeestoBePaid] Thefeesreferredtoinparagraph (1)are,subjectto paragraph (3),payableatthetimeoffilingtheinternationalapplication,exceptthat,wherethe internationalappli cationcontainsarequestfordefermentofpublication,thepublicationfeemay bepaidlater,inaccordancewithRule 16(3)(a).
- (3) [IndividualDesignationFeePayableinTwoParts] (a) Adeclarationunder Article 7(2)ofthe1999ActorunderRule36(1) mayalsospecifythattheindividualdesignation feetobepaidinrespectoftheContractingPartyconcernedcomprisestwoparts,thefirstpartto bepaidatthetimeoffilingtheinternationalapplicationandthesecondparttobepaidatalater datewhichisdeterminedinaccordancewiththelawoftheContractingPartyconcerned.
- (b) Wheresubparagraph (a)applies,thereferenceinparagraph (1)(iii)toan individualdesignationfeeshallbeconstruedasareferencetothefirstpartoftheindiv idual designationfee.
- (c) Thesecondpartoftheindividualdesignationfeemaybepaideitherdirectlyto theOfficeconcernedorthroughtheInternationalBureau,attheoptionoftheholder.Whereitis paiddirectlytotheOfficeconcerned,theOffi ceshallnotifytheInternationalBureauaccordingly andtheInternationalBureaushallrecordanysuchnotificationintheInternationalRegister. WhereitispaidthroughtheInternationalBureau,theInternationalBureaushallrecordthe paymentinthe InternationalRegisterandnotifytheOfficeconcernedaccordingly.
- (d) Wherethesecondpartoftheindividualdesignationfeeisnotpaidwithinthe applicableperiod,theOfficeconcernedshallnotifytheInternationalBureauandrequestthe InternationalBureautocanceltheinternationalregistrationintheInternationalRegisterwith respect to the Contracting Party concerned. The International Bureaushall proceed accordingly and sonotify the holder.

Rule13

$International Application Filed Thro \quad ughan Of fice$

- (1) [DateofReceiptbyOfficeandTransmittaltotheInternationalBureau] Wherean internationalapplicationgovernedexclusivelybythe1999ActisfiledthroughtheOfficeofthe applicant'sContractingParty,thatOfficeshallnotifyt heapplicantofthedateonwhichit receivedtheapplication.Atthesametimeasittransmitstheinternationalapplicationtothe InternationalBureau,theOfficeshallnotifytheInternationalBureauofthedateonwhichit receivedtheapplication. TheOfficeshallnotifytheapplicantofthefactthatithastransmittedthe internationalapplicationtotheInternationalBureau.
- (2) [*TransmittalFee*] AnOfficethatrequiresatransmittalfee,asprovidedforin Article 4(2)ofthe1999Act,shalln otifytheInternationalBureauoftheamountofsuchfee, whichshouldnotexceedtheadministrativecostsofreceivingandtransmittingtheinternational application,anditsduedate.
- (3) [FilingDateofInternationalApplicationFiledIndirectly]Subj ecttoRule14(2),the filingdateofaninternationalapplicationfiledthroughanOfficeshallbe
- (i) wheretheinternational application is governed exclusively by the 1999 Act, the date on which the international application was received by that Offi ce, provided that it is received by the International Bureau within one month of that date;
- $(ii) \quad in any other case, the date on which the International Bureau receives the international application.$
- (4) [FilingDateWhereApplicant'sContractingPartyR equiresaSecurity Clearance] Notwithstandingparagraph (3),aContractingPartywhoselaw,atthetimethatit becomespartytothe1999Act,requiressecurityclearancemay,inadeclaration,notifythe DirectorGeneralthattheperiodofonemonthrefe rredtointhatparagraphshallbereplacedbya periodofsixmonths.

Rule 14

Examination by the International Bureau

 $(1) \begin{tabular}{l} $[TimeLimitforCorrectingIrregularities \end{tabular}] If the International Bureau finds that the international application does not, at the time of its receipt by the International Bureau, fulfill the applicable requirements, it shall invite the applicant to make the required corrections within three months from the date of the invitations ent by the International Bureau. \\$

- (2) [IrregularitiesEntailingaPostponementoftheFilingDateoftheInternational Application] Wheretheinternationalapplicationhas,onthedateonwhichitisreceivedbythe InternationalBureau,anirregularitywhichisprescribedasanirregularityentailinga postponementofthefilingdateoftheinternationalapplication,thefilingdateshallbethedateon whichthecorrectionofsuchirregularityisreceivedbytheInternationalBureau.The irregularitieswhichareprescribedasentailingapostponementof thefilingdateofthe internationalapplicationarethefollowing:
- $(a) \qquad the international application is not in the prescribed language or rone of the prescribed languages;$
 - (b) anyofthefollowing elements is missing from the international application:
- (i) an expressor implicit indication that international registration under the 1999 Act, the 1960 Actor the 1934 Actis sought;
 - (ii) indicationsallowingtheidentityoftheapplicanttobeestablished;
- (iii) indications sufficient to enable the app licantorits representative, if any, to be contacted;
- (iv) are production, or, in accordance with Article 5(1)(iii) of the 1999 Act, as pecimen, of each industrial design that is the subject of the international application;
 - (v) thedesignation of at least one Contracting Party.
- (3) [International Application Considered Abandoned; Reimbursement of Fees] Where an irregularity, other than an irregularity referred to in Article 8(2)(b) of the 1999 Act, is not remedied within the time limit referred to in paragraph (1), the international application shall be considered abandoned and the International Bureaushall refundancy fees paid in respect of that application, after deduction of an amount corresponding to the basic fee.

Rule15

Registrationofth eIndustrialDesignintheInternationalRegister

- (1) [RegistrationoftheIndustrialDesignintheInternationalRegister] Wherethe InternationalBureaufindsthattheinternationalapplicationconformstotheapplicable requirements,itshallregister theindustrialdesignintheInternationalRegisterandsenda certificatetotheholder.
 - (2) [ContentsoftheRegistration] Theinternationalregistrationshallcontain
- $(i) \quad all the data contained in the international application, except any priority claim under Rule \quad 7(5)(c) where the date of the earlier filing is more than six months before the filing date of the international application;$
 - (ii) anyreproductionoftheindustrialdesign;

- (iii) thedateoftheinternationalregistration;
- (iv) the number of the international registration;
- (v) therelevant class of the International Classification, as determined by the International Bureau.

Rule16

DefermentofPublication

- (1) [MaximumPeriodofDeferment] (a)Theprescribedperiodfordeferm entof publicationinrespectofaninternationalapplicationgovernedexclusivelybythe1999Actshall be30 monthsfromthefilingdateor,wherepriorityisclaimed,fromtheprioritydateofthe applicationconcerned.
- (b) The maximum period for defer ment of publication in respect of an international application governed exclusively by the 1960 Actor by both the 1999 Act and the 1960 Act shall be 12 months from the filing date or, where priority is claimed, from the priority date of the application concerned.
- (2) [PeriodforWithdrawalofDesignationWhereDefermentIsNotPossibleUnder ApplicableLaw] TheperiodreferredtoinArticle 11(3)(i)ofthe1999Actfortheapplicantto withdrawthedesignationofaContractingPartywhoselawdoesnotal lowthedefermentof publicationshallbeonemonthfromthedateofthenotificationsentbytheInternationalBureau.
- $(3) \enskip [Period for Paying Publication Fee and Submitting Reproductions] (a) The publication feerefer red to in Rule 12(1)(a)(iv) shall be paid, and, where specimens have been submitted in stead of reproductions in accordance with Rule 10, those reproductions shall be submitted, not later than three months before the period of deferment applicable under Article 11(2) of the 1999 Actor under Article 6(4)(a) of the 1960 Act expires or not later than three months before the period of deferment is considered to have expired in accordance with Article 11(4)(a) of the 1999 Actor with Article 6(4)(b) of the 1960 Act.$
- (b) Sixmonthsbeforetheex piryoftheperiodofdefermentofpublicationreferred toinsubparagraph(a),theInternationalBureaushall,bysendinganunofficialnotice,remindthe holderoftheinternationalregistration,whereapplicable,ofthedatebywhichthepublicationfee referredtoinparagraph(3)shallbepaidandthereproductionsreferredtoinparagraph(3)shall besubmitted.
- (4) [RegistrationofReproductions] TheInternationalBureaushallrecordinthe InternationalRegisteranyreproductionsubmittedunderpa ragraph(3).
- (5) [RequirementsNotCompliedWith] Iftherequirementsofparagraph (3)arenot compliedwith, theinternational registrations hall becanceled and shall not be published.

Rule17

Publication of the International Registration

- (1) [TimingofPublication] Theinternationalregistrationshallbepublished
 - (i) wheretheapplicantsorequests, immediately after the registration,
- (ii) wheredefermentofpublicationhasbeenrequestedandtherequesthas notbeendisregarded,immediately afterthedateonwhichtheperiodofdefermentexpiredor isconsideredtohaveexpired,
- (iii) inanyothercase, six months after the date of the international registration or assoon as possible thereafter.
- (2) [ContentsofPublication] Thepublica tionoftheinternationalregistrationinthe Bulletinshallcontain
 - (i) thedatarecordedintheInternationalRegister;
 - (ii) thereproductionorreproductionsoftheindustrialdesign;
- (iii) wherepublicationhasbeendeferred, an indication of the which the period of deferment expired or is considered to have expired.

CHAPTER3

REFUSALSANDINVALIDATIONS

Rule18

NotificationofRefusal

- (1) [PeriodforNotificationofRefusal] (a) The prescribed period for the notification of refusal of the effects of an international registration in accordance with Article 12(2) of the 1999 Actor Article 8(1) of the 1960 Acts hall be six months from the publication of the international registration as provided for by Rule 26(3).
- (b) Notwithstandingsubparagraph (a),anyContractingPartywhoseOfficeisan ExaminingOffice,orwhoselawprovidesforthepossibilityofoppositiontothegrantof protection,may,inadeclaration,notifytheDirectorGeneralthat,whereitisdesignatedunderthe 1999Act,theperiodofsix monthsreferredtointhatsubparagraphshallbereplacedbyaperiod of 12 months.

- (c) Thedeclarationreferredtoinsubparagraph internationalregistrationshallproducetheeffectreferredtoinA thelatest (b)mayalsostatethatthe rticle 14(2)(a)ofthe1999Actat
- (i) atatimespecifiedinthedeclarationwhichmaybelaterthanthedate referredtointhatArticlebutwhichshallnotbemorethansix monthsafterthesaiddateor
- (ii) atatimeatwhichprotection isgrantedaccordingtothelawofthe ContractingPartywhereadecisionregardingthegrantofprotectionwasunintentionallynot communicatedwithintheperiodapplicableundersubparagraph (a)or (b);insuchacase,the OfficeoftheContractingPart yconcernedshallnotifytheInternationalBureauaccordingly andendeavortocommunicatesuchdecisiontotheholderoftheinternationalregistration concernedpromptlythereafter.
- (2) [NotificationofRefusal] (a) Thenotificationofanyrefusalshal lrelatetoone internationalregistration, shall be dated and shall be signed by the Office making the notification.
 - (b) Thenotificationshallcontainorindicate
 - (i) theOfficemakingthenotification,
 - (ii) thenumberoftheinternationalregistrat ion,
- $(iii) \quad all the grounds on which there fusal is based together with a reference to the corresponding essential provisions of the law,$
- (iv) wherethegroundsonwhichtherefusalisbasedrefertosimilarity withanindustrialdesignwhichhasbeent hesubjectofanearliernational,regionalor internationalapplicationorregistration,thefilingdateandnumber,theprioritydate(ifany), theregistrationdateandnumber(ifavailable),acopyofareproductionoftheearlier industrialdesign(if thatreproductionisaccessibletothepublic)andthenameandaddressof theownerofthesaidindustrialdesign,asprovidedforintheAdministrativeInstructions,
- (v) wheretherefusaldoesnotrelatetoalltheindustrialdesignsthatare thesubj ectoftheinternationalregistration,thosetowhichitrelatesordoesnotrelate,
- (vi) whethertherefusalmaybesubjecttorevieworappealand,ifso,the timelimit,reasonableunderthecircumstances,foranyrequestforreviewof,orappeal against,therefusalandtheauthoritytowhichsuchrequestforrevieworappealshalllie,with theindication,whereapplicable,thattherequestforreviewortheappealhastobefiled throughtheintermediaryofarepresentativewhoseaddressiswithint heterritoryofthe ContractingPartywhoseOfficehaspronouncedtherefusal,and
 - (vii) thedateonwhichtherefusalwaspronounced.

- (3) [Notification of Division of International Registration] Where, following a notification of refusal inaccordance with Article 13(2) of the 1999 Act, an international registration is divided before the Office of a designated Contracting Party in order to overcome a ground of refusal stated in that notification, that Office shall not if y the International Bureau of such data concerning the division as shall be specified in the Administrative Instructions.
- (4) [NotificationofWithdrawalofRefusal] (a) Thenotificationofanywithdrawalof refusalshallrelatetooneinternationalregistration,shallbedatedandsha llbesignedbythe Officemakingthenotification.
 - (b) Thenotificationshallcontainorindicate
 - (i) theOfficemakingthenotification,
 - (ii) thenumber of the international registration,
- (iii) wherethewithdrawaldoesnotrelatetoalltheindus trialdesignsto whichtherefusalapplied,thosetowhichitrelatesordoesnotrelate,and
 - (iv) thedateonwhichtherefusalwaswithdrawn.
- (5) [Recording] TheInternationalBureaushallrecordanynotificationreceivedunder paragraph (1)(c)(ii), (2)or(4)intheInternationalRegistertogetherwith,inthecaseofa notificationofrefusal,anindicationofthedateonwhichthenotificationofrefusalwassenttothe InternationalBureau.
- (6) [TransmittalofCopiesofNotifications] TheIntern ationalBureaushalltransmit copiesofnotificationsreceivedunderparagraph (1)(c)(ii),(2)or (4)totheholder.

Rule19

IrregularRefusals

- $(1) \quad [\textit{NotificationNotRegardedasSuch} \quad] \ \, (a) \ \, \text{Anotificationofrefusalshallnotbe} \\ \text{regardedassuchbythe} \quad \text{InternationalBureauandshallnotberecorded} \\ \text{Register} \\$
- (i) ifitdoesnotindicatethenumberoftheinternationalregistration concerned,unlessotherindicationscontainedinthenotificationpermitthesaidregistration beid entified,
 - (ii) ifitdoesnotindicateanygroundsforrefusal,or
- (iii) ifitissenttotheInternationalBureauaftertheexpiryoftheperiod applicableunderRule 18(1).

- (b) Wheresubparagraph (a)applies,theInternationalBureaushall,unless itcannot identifytheinternationalregistrationconcerned,transmitacopyofthenotificationtotheholder, shallinform,atthesametime,theholderandtheOfficethatsentthenotificationthatthe notificationofrefusalisnotregardedassuchby theInternationalBureauandhasnotbeen recordedintheInternationalRegister,andshallindicatethereasonstherefor.
 - (2) [IrregularNotification] Ifthenotificationofrefusal
- (i) isnotsignedonbehalfoftheOfficewhichcommunicatedtheref usal, ordoesnotcomplywiththerequirementsestablishedunderRule 2,
- (ii) doesnotcomply,whereapplicable,withtherequirements of Rule 18(2)(b)(iv),
- (iii) doesnotindicate, where applicable, the authority to which are quest for review or an appeal lies and the applicable time limit, reasonable under the circumstances, for lodging such are questor appeal (Rule 18(2)(b)(vi)),
- (iv) doesnot indicate the date on which there fus always pronounced (Rule 18(2)(b)(vii)),

the International Bureausha llnever the less record there fusal in the International Register and transmit acopy of the notification to the holder. If so requested by the holder, the International Bureaushall invite the Office which communicated there fusal to rectify its notification without delay.

Rule20

Invalidation in Designated Contracting Parties

- (1) [ContentsoftheNotificationofInvalidation] Wheretheeffectsofaninternational registrationareinvalidatedinadesignatedContractingPartyandtheinvalidationisn olonger subjecttoanyrevieworappeal,theOfficeoftheContractingPartywhosecompetentauthority haspronouncedtheinvalidationshall,whereitisawareoftheinvalidation,notifythe InternationalBureauaccordingly.Thenotificationshallindic ate
 - (i) theauthoritywhichpronouncedtheinvalidation,
 - (ii) thefactthattheinvalidationisnolongersubjecttoappeal,
 - (iii) thenumber of the international registration,
- (iv) wheretheinvalidationdoesnotrelatetoalltheindustrialdesig nsthat arethesubjectoftheinternationalregistration,thosetowhichitrelatesordoesnotrelate,
- (v) the date on which the invalidation was pronounced and its effective date.

(2) [RecordingoftheInvalidation] TheInternationalBureaushallre cordtheinvalidation intheInternationalRegister,togetherwiththedatacontainedinthenotificationofinvalidation.

CHAPTER4

CHANGESANDCORRECTIONS

Rule21

RecordingofaChange

- (1) [PresentationoftheRequest] (a) Arequestfortherec ordingshallbepresentedtothe InternationalBureauontherelevantofficialformwheretherequestrelatestoanyofthe following:
- (i) achangeintheownershipoftheinternationalregistrationinrespectof allorsomeoftheindustrialdesignstha tarethesubjectoftheinternationalregistration;
 - (ii) achangeinthenameoraddressoftheholder;
- $(iii) \quad are nunciation of the international registration in respect of any or all of the designated Contracting Parties;$
- (iv) alimitation,inresp ectofanyorallofthedesignatedContracting Parties,tooneorsomeoftheindustrialdesignsthatarethesubjectoftheinternational registration.
- (b) Therequestshallbepresentedbytheholderandsignedbytheholder; however, are questforth erecording of a change in ownership may be presented by the new owner, provided that it is
 - (i) signedbytheholder,or
- (ii) signedbythenewownerandaccompaniedbyanattestationfromthe competentauthorityoftheholder's Contracting Partythat thenewownerappears to be the successorint tleoftheholder.
- $(2) \quad [{\it Contents of the Request} \quad] \ \, {\it The request for the recording of a change shall, in addition} \ \, to the requested change, contain or indicate$
 - (i) thenumber of the international registration oncerned,
- $(ii) \quad the name of the holder, unless the change relates to the name or address of the representative,\\$

(iii)	incaseofachangeintheownershipoftheir	nternationalregistration,
thenameandaddress,gi	veninaccordancewiththeAdministrati	veInstructions,ofthenew
owneroftheinternation	alregistration,	

- (iv) incase of a change in the ownership of the international registration, the Contracting Party or Parties in respect of which the new ownerful fills the conditions to be the holder of an international registration,
- $(v) \quad in case of a change in the ownership of the international registration that does not relate to all the industrial designs and to all the Contracting Parties, the numbers of the industrial designs and the design at edC on tracting Parties to which the change in ownership relates, and$
- (vi) theamountofthefeesbeingpaidandthemethodofpayment,or instructiontodebittherequiredamountoffeestoanaccountopenedwiththeInternational Bureau,andtheidentificat ionofthepartyeffectingthepaymentorgivingtheinstructions.
- (3) [RequestNotAdmissible]Achangeintheownershipofaninternationalregistration maynotberecordedinrespectofadesignatedContractingPartyifthatContractingPartyisnot boundbyanActtowhichtheContractingParty,oroneoftheContractingParties,indicatedunder paragraph (2)(iv)isbound.
- (4) [IrregularRequest] Iftherequestdoesnotcomplywiththeapplicablerequirements, theInternationalBureaushallnotifyt hatfacttotheholderand,iftherequestwasmadebya personclaimingtobethenewowner,tothatperson.
- (5) [TimeAllowedtoRemedyIrregularity] Theirregularitymayberemediedwithin threemonthsfromthedateofthenotificationoftheirregula ritybytheInternationalBureau.If theirregularityisnotremediedwithinthesaidthreemonths,therequestshallbeconsidered abandonedandtheInternationalBureaushallnotifyaccordinglyandatthesametimetheholder and,iftherequestwaspre sentedbyapersonclaimingtobethenewowner,thatperson,andshall refundanyfeespaid,afterdeductionofanamountcorrespondingtoone -halfoftherelevantfees.
- (6) [RecordingandNotificationofaChange] (a) TheInternationalBureaushall, providedthattherequestisinorder,promptlyrecordthechangeintheInternationalRegisterand shallinformtheholder.Inthecaseofarecordingofachangeinownership,theInternational Bureauwillinformboththenewholderandtheprevioushold er.
- (b) The changes hall be recorded as of the date of receipt by the International Bureau of the request complying with the applicable requirements. Where however the request indicates that the changes hould be recorded after another change, or after remeal of the international registration, the International Bureau shall proceed accordingly.

- (7) [RecordingofPartialChangeinOwnership] Assignmentorothertransferofthe internationalregistrationinrespectofsomeonlyoftheindustrialdesigns, orsomeonlyofthe designatedContractingPartiesshallberecordedintheInternationalRegisterunderthenumberof theinternationalregistrationofwhichaparthasbeenassignedorotherwisetransferred;any assignedorotherwisetransferredpartsh allbecanceledunderthenumberofthesaidinternational registrationandrecordedasaseparateinternationalregistration. These parateinternational registrationshallbearthenumberoftheinternationalregistrationofwhichaparthasbeen assignedorotherwisetransferred, togetherwithacapitalletter.
- (8) [RecordingofMergerofInternationalRegistrations] Wherethesameperson becomestheholderoftwoormoreinternationalregistrationsresultingfromapartialchangein ownership,thereg istrationsshallbemergedattherequestofthesaidpersonandparagraphs (1) to (6)shallapply mutatis mutandis. Theinternationalregistrationresultingfromthemergershall bearthenumberoftheinternationalregistrationofwhichaparthadbeen assignedorotherwise transferred,together, whereapplicable, withacapitalletter.

Rule22

Corrections in the International Register

- (1) [Correction] WheretheInternationalBureau,acting ex officioorattherequestofthe holder,considersthat thereisanerrorconcerninganinternationalregistrationintheInternational Register,itshallmodifytheRegisterandinformtheholderaccordingly.
- (2) [RefusalofEffectsofCorrection] TheOfficeofanydesignatedContractingParty shallhavethe righttodeclareinanotificationtotheInternationalBureauthatitrefusesto recognizetheeffectsofthecorrection.Rules 18and 19shallapply mutatismutandis.

CHAPTER5

RENEWALS

Rule 23

UnofficialNoticeofExpiry

Six months before the expiry of a five -year term, the International Bureau shall send to the holder and the representative, if any, a notice indicating the date of expiry of the international registration. The fact that the said notice is not received shall not constitute an excuse for failure to comply with any time limit under Rule 24.

Rule24

DetailsConcerningRenewal

- (1) [Fees] (a) The international registrations hall be renewed upon payment of the following fees:
 - (i) abasicfee;
- (ii) astandarddesignationfee inrespectofeachContractingParty designatedunderthe1999ActthathasnotmadeadeclarationunderArticle 7(2)ofthe1999 Act,andeachContractingPartydesignatedunderthe1960Act,forwhichtheinternational registrationistoberenewed;
- (iii) anindividualdesignationfeeforeachContractingPartydesignated underthe1999ActthathasmadeadeclarationunderArticle 7(2)ofthe1999Actandfor whichtheinternationalregistrationistoberenewed.
- (b) Theamountsofthefeesreferred toinitems(i)and(ii)ofsubparagraph (a)are setoutintheScheduleofFees.
- (c) Thepaymentofthefeesreferredtoinsubparagraph (a)shallbemadeatthe latestonthedateonwhichtherenewaloftheinternationalregistrationisdue. However, itmay stillbemadewithinsixmonthsfromthedateonwhichtherenewaloftheinternational registrationisdue, provided that the surchargespecified in the Schedule of Feesis paid at the same time.
- (d) Ifanypaymentmadeforthepurposesofrenewal isreceivedbythe InternationalBureauearlierthanthreemonthsbeforethedateonwhichtherenewalofthe internationalregistrationisdue,itshallbeconsideredashavingbeenreceivedthreemonths beforethatdate.
- (2) [FurtherDetails] (a) Wheretheholderdoesnotwishtorenewtheinternational registration
 - (i) inrespectofadesignatedContractingParty,or
- (ii) inrespectofanyoftheindustrialdesignsthatarethesubjectofthe internationalregistration,

payment of the required f ees shall be accompanied by a statement indicating the Contracting Party or the numbers of the industrial designs for which the international registration is not to be renewed.

(b) Wheretheholderwishestorenewtheinternationalregistrationinrespect ofa designatedContractingPartynotwithstandingthefactthatthemaximumperiodofprotectionfor industrialdesignsinthatContractingPartyhasexpired,paymentoftherequiredfees,including thestandarddesignationfeeortheindividualdesignati onfee,asthecasemaybe,forthat ContractingParty,shallbeaccompaniedbyastatementthattherenewaloftheinternational registrationistoberecordedintheInternationalRegisterinrespectofthatContractingParty.

- (c) Wheretheholderwishes torenewtheinternationalregistrationinrespectofa designatedContractingPartynotwithstandingthefactthatarefusalisrecordedintheInternational RegisterforthatContractingPartyinrespectofalltheindustrialdesignsconcerned,paymento f therequiredfees,includingthestandarddesignationfeeortheindividualdesignationfee,asthe casemaybe,forthatContractingParty,shallbeaccompaniedbyastatementspecifyingthatthe renewaloftheinternationalregistrationistoberecord edintheInternationalRegisterinrespectof thatContractingParty.
- (d) Theinternationalregistrationmaynotberenewedinrespectofanydesignated ContractingPartyinrespectofwhichaninvalidationhasbeenrecordedforalltheindustrial designsunderRule 20orinrespectofwhicharenunciationhasbeenrecordedunderRule 21. TheinternationalregistrationmaynotberenewedinrespectofanydesignatedContractingParty forthoseindustrialdesignsinrespectofwhichaninvalidationintha tContractingPartyhasbeen recordedunderRule 20orinrespectofwhichalimitationhasbeenrecordedunderRule 21.
- (3) [InsufficientFees] (a) Iftheamountofthefeesreceivedislessthantheamount requiredforrenewal,theInternationalBurea ushallpromptlynotifyatthesametimeboththe holderandtherepresentative,ifany,accordingly.Thenotificationshallspecifythemissing amount.
- (b) If the amount of the fees received is, on the expiry of the period of six months referred to inparagraph (1)(c), less than the amount required for renewal, the International Bureau shall not record the renewal, shall refund the amount received and shall not if yaccordingly the holder and the representative, if any.

Rule25

Recording of the Renewal; Certificate

- (1) [Recording and Effective Date of the Renewal] Renewal shall be recorded in the International Register with the date on which renewal was due, even if the fees required for renewal are paid within the period of gracere ferred to in Rule 24(1)(c).
- (2) [Certificate] TheInternationalBureaushallsendacertificateofrenewaltothe holder.

CHAPTER6

BULLETIN

Rule26

Bulletin

- (1) [InformationConcerningInternationalRegistrations] TheInternationalBureaushall publishintheB ulletinrelevantdataconcerning
 - (i) international registrations, in accordance with Rule 17;
- (ii) refusals recorded under Rule 18(5), with an indication as to whether there is a possibility of review or appeal, but without the grounds for refusal;
 - (iii) invalidations recorded under Rule 20(2);
- (iv) changesinownership, changes of name or address of the holder, renunciations and limitations recorded under Rule 21;
 - (v) correctionseffectedunderRule 22:
 - (vi) renewalsrecordedunderRule 25(1);
 - (vii) internationalregistrationswhichhavenotbeenrenewed.
- (2) [InformationConcerningDeclarations;OtherInformation] TheInternational BureaushallpublishintheBulletinanydeclarationmadebyaContractingPartyunderthe1999 Act,the1960 ActortheseRegulations,aswellasalistofthedaysonwhichtheInternational Bureauisnotscheduledtoopentothepublicduringthecurrentandthefollowingcalendaryear.
- (3) [ModeofPublishingtheBulletin]TheBulletinreferredtoinRule1 (1)(x)shallbe publishedonthewebsiteoftheOrganization.ThedateonwhicheachissueoftheBulletinis publishedonthatwebsiteshallbeelectronicallycommunicatedbytheInternationalBureautothe OfficeofeachContractingParty.Suchcommuni cationshallbedeemedtoreplacethesendingof theBulletinreferredtoinArticle10(3)(b)ofthe1999ActandArticle6(3)(b)ofthe1960Act, and,forthepurposesofArticle8(2)ofthe1960Act,theBulletinshallbedeemedtohavebeen receivedby eachOfficeconcernedalsoonthedateofthesaidcommunication.

CHAPTER7

FEES

Rule27

AmountsandPaymentofFees

- (1) [AmountsofFees] Theamountsoffeesdueunderthe1999Act,the1960Act,the 1934ActandtheseRegulations,otherthanind ividualdesignationfeesreferredtoin Rule 12(1)(a)(iii),shallbespecifiedintheScheduleofFeeswhichisannexedtothese Regulationsandformsanintegralpartthereof.
- (2) [Payment] (a) Subjecttosubparagraph (b)andRule 12(3)(c),thefeess hallbepaid directlytotheInternationalBureau.
- (b) Wheretheinternational application is filed through the Office of the applicant's Contracting Party, the feespayable inconnection with that application may be paid through that Office if it accepts to collect and forward such fees and the applicant or the holders owishes. Any Office which accepts to collect and forward such fees shall not if y that fact to the Director General.
- (3) [ModesofPayment] FeesshallbepaidtotheInternationalBureau inaccordance withtheAdministrativeInstructions.
- $(4) \quad [\textit{Indications} Accompanying the Payment \quad] \quad At the time of the payment of any feeto the International Bureau, an indication must be given,$
- (i) beforeinternational registration, of the name of the app licant, the industrial design concerned and the purpose of the payment;
- (ii) afterinternationalregistration, of the name of the holder, the number of the international registration concerned and the purpose of the payment.
- $(5) \quad [\textit{Date of Payment} \] \ (a) \ Subject to Rule \ 24(1)(d) and subparagraph \ (b), any feeshall be considered to have been paid to the International Bureau on the day on which the International Bureau receives the required amount.$
- (b) Wheretherequiredamountisavailableinanaccountop enedwiththe InternationalBureauandthatBureauhasreceivedinstructionsfromtheholderoftheaccountto debitit,thefeeshallbeconsideredtohavebeenpaidtotheInternationalBureauonthedayon whichtheInternationalBureaureceivesaninte rnationalapplication,arequestfortherecordingof achange,oraninstructiontorenewaninternationalregistration.

- (6) [ChangeintheAmountoftheFees] (a) Whereaninternationalapplicationisfiled throughtheOfficeoftheapplicant'sContra ctingPartyandtheamountofthefeespayablein respectofthefilingoftheinternationalapplicationischangedbetween,ontheonehand,thedate onwhichtheinternationalapplicationwasreceivedbythatOfficeand,ontheotherhand,thedate ofth ereceiptoftheinternationalapplicationbytheInternationalBureau,thefeethatwasvalidon thefirstdateshallbeapplicable.
- (b) Wheretheamountofthefeespayableinrespectoftherenewalofan internationalregistrationischangedbetweenth edateofpaymentandtheduedateoftherenewal, thefeethatwasvalidonthedateofpayment,oronthedateconsideredtobethedateofpayment underRule 24(1)(d),shallbeapplicable.Wherethepaymentismadeaftertheduedate,thefee thatwas validontheduedateshallbeapplicable.
- (c) Wheretheamountofanyfeeotherthanthefeesreferredtoin subparagraphs (a)and (b)ischanged,theamountvalidonthedateonwhichthefeewasreceived bytheInternationalBureaushallbeapplicable.

Rule28

CurrencyofPayments

- $(1) \quad [\textit{ObligationtoUseSwissCurrency} \quad] \quad \text{AllpaymentsmadeundertheseRegulationsto} \\ \text{theInternationalBureaushallbeinSwisscurrencyirrespectiveofthefactthat,} \\ \text{wherethefees are} \\ \text{paidthroughanOffice,} \\ \text{suchOffice} \quad \text{mayhavecollected those fees in an other currency.} \\ \end{aligned}$
- (2) [EstablishmentoftheAmountofIndividualDesignationFeesinSwiss Currency] (a) WhereaContractingPartymakesadeclarationunderArticle 7(2)ofthe1999Act orunderRule36(1) thatitwants toreceiveanindividualdesignationfee,theamountofthefee indicatedtotheInternationalBureaushallbeexpressedinthecurrencyusedbyitsOffice.
- (b) Wherethefeeisindicatedinthedeclarationreferredtoinsubparagraph (a)ina currencyo therthanSwisscurrency,theDirectorGeneralshall,afterconsultationwiththeOffice oftheContractingPartyconcerned,establishtheamountofthefeeinSwisscurrencyonthebasis oftheofficialexchangerateoftheUnitedNations.
- (c) Where, for more than three consecutive months, the official exchangerate of the United Nations between the Swiss currency and the currency in which the amount of an individual designation fee has been indicated by a Contracting Party is higher or lower by at least 5% than the last exchangerate applied to establish the amount of the fee in Swiss currency, the Office of that Contracting Party may ask the Director General to establish an ewamount of the fee in Swiss currency according to the official exchangerate of the United Nations prevailing on the day preceding the day on which the request is made. The Director General shall proceed accordingly. The new amount shall be applicable as from a date which shall be fixed by the Director General, provided that such a teis between one and two months after the date of the publication of the said amount in the Bulletin.

(d) Where,formorethanthreeconsecutivemonths,theofficialexchangerateof theUnitedNationsbetweentheSwisscurrencyandthecurrencyinwhi chtheamountofan individualdesignationfeehasbeenindicatedbyaContractingPartyislowerbyatleast10%than thelastexchangerateappliedtoestablishtheamountofthefeeinSwisscurrency,theDirector Generalshallestablishanewamountof thefeeinSwisscurrencyaccordingtothecurrentofficial exchangerateoftheUnitedNations.Thenewamountshallbeapplicableasfromadatewhich shallbefixedbytheDirectorGeneral,providedthatsuchdateisbetweenoneandtwomonths aftert hedateofthepublicationofthesaidamountintheBulletin.

Rule29

Crediting of Feest othe Accounts of the Contracting Parties Concerned

AnystandarddesignationfeeorindividualdesignationfeepaidtotheInternational BureauinrespectofaCo ntractingPartyshallbecreditedtotheaccountofthatContracting PartywiththeInternationalBureauwithinthemonthfollowingthemonthinthecourseof whichtherecordingoftheinternationalregistrationorrenewalforwhichthatfeehasbeen paidwaseffectedor,asregardsthesecondpartoftheindividualdesignationfee, immediatelyuponitsreceiptbytheInternationalBureau.

CHAPTER8

INTERNATIONALAPPLICATIONSGOVERNEDEXCLUSIVELYORPARTLYBYTHE 1934 ACTANDINTERNATIONALREGISTRATION SRESULTINGTHEREFROM

Rule30

Applicability of These Regulations to International Applications Governed Exclusively by the 1934 Act and International Registrations Resulting Therefrom

- (1) [GeneralPrinciple] Unlessotherwisespecified and subject top aragraph (2), these Regulations shall be applicable to international applications governed exclusively by the 1934 Act and to international registrations resulting therefrom.
- $(2) \quad [\textit{Exceptions}] (a) Notwith standing Rule 6, any international application gov erned exclusively by the 1934 Acts hall be in French. Any communication concerning that in ternational application or the international registration resulting therefore shall be in French. \\$
- (b) NotwithstandingRule7(5)(a),adescriptionofthereproductio norofthe characteristicfeaturesoftheindustrialdesignsandtheidentityofthecreatoroftheindustrial designsmaynotbeincludedinanapplicationgovernedexclusivelybythe1934 Act.
- (c) Notwith standing Rule 7(5)(e), deferment of publication may not be requested with respect to an international application governed exclusively by the 1934 Act.

- (d) NotwithstandingRule7(7),theindustrialdesignscontainedinaninternational applicationgovernedexclusivelybythe1934Actmaybelongtodiffe rentclassesofthe InternationalClassification.
- (e) NotwithstandingRule9(1), an international application governed exclusively by the 1934 Actmay, instead of containing reproductions, be accompanied by specimens.
- (f) NotwithstandingRule12(1)(a),a ninternationalapplicationgoverned exclusivelybythe1934Actshallbesubjectonlytothepaymentofthebasicfeereferredtoin Rule12(1)(a)(i).
- (g) NotwithstandingRule15(1),thereproductionsoftheindustrialdesigns containedinaninternation alapplicationgovernedexclusivelybythe1934Actshallnotbe registeredintheInternationalRegister.
- (h) NotwithstandingRule17(1),aninternationalregistrationresultingfroman internationalapplicationgovernedexclusivelybythe1934Actshall bepublishedimmediately afterregistration.
- (i) NotwithstandingRule17(2)(ii),thereproductionsofindustrialdesigns containedinaninternationalregistrationresultingfromaninternationalapplicationgoverned exclusivelybythe1934Actshallnot bepublishedintheBulletin.
- (j) NotwithstandingRule18,theeffectsofaninternationalregistrationresulting from an international application governed exclusively by the 1934 Act may not be the subject of anotification of refusal of protection.
- (k) Notwith standing Rule 21(3), a change in ownership may not be recorded in respect of a Contracting Party design at edunder the 1934 Actif, on the basis of the indications referred to in Rule 21(2)(iv), the 1934 Act would cease to be applicable in respect of that Contracting Party.
- (l) NotwithstandingRule24(1)(a),aninternationalregistrationresultingfroman internationalapplicationgovernedexclusivelybythe1934Actshallbereneweduponpayment onlyofthebasicfeereferredtoinRule24(1)(a)(i).
- (m) Notwith standing Rule 24(2)(b), an international registration resulting from an international application governed exclusively by the 1934 Act shall not be renewed where the duration of international protection of fifteen years referred to in Article 1934 Act has expired.
- (n) Therenewalofaninternationalregistrationresultingfromaninternational applicationgovernedexclusivelybythe1934Act,forthesecondperiodofprotectionoftenyears referredtoinArticle7ofthe1934Act, mayberequestedatthetimeoffilingtheinternational applicationconcerned.Insuchcase,thefeereferredtoinRule24(1)(a)(i)shallbepayableatthe timeoffilingofthesaidinternationalapplication,failingwhichtherequestforrenewalshal disregardedbytheInternationalBureau.

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- (3) [International Applications Under Sealed Cover] (a) An international application governed exclusively by the 1934 Actshall contain, in addition to the indications referred to in Rule 7(3), an indication as to whether such application is made under open or sealed cover.
- (b) Uponexpiryofthefirstperiodoffiveyearsofinternationalprotectionreferred toinArticle7ofthe1934Act,anyinternationalregistrationwhichisundersealedcovershallbe openedbytheInternationalBureauuponrenewalofthesaidregistration.

Rule31

 $Applicability of These Regulations to International Applications Governed Partly by the \\1934 Act and International Registrations Resulting Therefrom$

- (1) [GeneralPrincip le] These Regulations shall be applicable to international applications referred to in Rule 1(1)(xviii) to (xx), and to international registrations resulting therefrom, subject to paragraph (2).
- (2) [Exceptions](a)NotwithstandingRule7(5)(e),deferm entofpublicationmaynotbe requestedwithrespecttoaninternationalapplicationreferredtoinparagraph(1). Where defermentofpublicationhasbeenrequestedandoneofthedesignatedContractingPartiesinthe internationalapplicationhasbeende signatedunderthe1934Act,theInternationalBureaushall notifytheapplicantaccordingly;if,withintheperiodofonemonthfromthedateofthe notificationsentbytheInternationalBureau,theapplicantdoesnot,bynoticeinwritingtothe InternationalBureau,withdrawthedesignationofthesaidContractingParty,theInternational Bureaushalldisregardtherequestfordefermentofpublication.
- (b) NotwithstandingRule21(3),achangeinownershipmaynotberecordedin respectofadesignated ContractingPartyif,onthebasisoftheindicationsreferredtoin Rule 21(2)(iv),the1934Actwouldceasetobeapplicable,orwouldbecomeapplicable,inrespect ofthatContractingParty.
- (c) With respect to Contracting Parties design at edunder the 1934 Actinan international application referred to in paragraph (1), or in an international registration resulting therefrom,
 - (i) thefeesreferredtoinRule12(1)(a)(ii)to(iv)shallnotbepayable;
- (ii) the effects of the international registrat ion concerned may not be the subject of an otification of refusal of protection referred to in Rule 18;
- $(iii) \quad the renewal fees referred to in Rule 24(1)(a)(ii) and (iii) shall not be payable; \\$
- (iv) theinternational registration concerned shall not ber enewed where the duration of international protection of fifteen years referred to in Article 7 of the 1934 Act has expired, not with standing Rule 24(2)(b).

CHAPTER9

MISCELLANEOUS

Rule32

Extracts, Copies and Information Concerning Published International Registrations

- (1) [Modalities] Against payment of a feew hose amounts hall be fixed in the Schedule of Fees, any person may obtain from the International Bureau, in respect of any published international registration:
 - (i) extract@romtheIntern ationalRegister;
- $(ii) \quad certified copies of recording smade in the International Register \quad \quad or of items in the file of the international registration \quad ;$
- (iii) uncertifiedcopiesofrecordingsmadeintheInternationalRegister or ofitemsinthefileofthe internationalregistration;
- (iv) writteninformationonthecontentsoftheInternationalRegister orof thefileoftheinternationalregistration;
 - (v) aphotographofaspecimen.
- (2) [ExemptionfromAuthentication,LegalizationoranyOtherCerti fication]Inrespect ofadocumentreferredtoinparagraph(1)(i)and(ii),bearingthesealoftheInternationalBureau andthesignatureoftheDirectorGeneralorapersonactingonhisbehalf,noauthorityofany ContractingPartyshallrequireauthe ntication,legalizationoranyothercertificationofsuch document,sealorsignature,byanyotherpersonorauthority.Thepresentparagraphapplies mutatismutandis totheinternationalregistrationcertificatereferredtoinRule15(1).

Rule33

AmendmentofCertainRules

- (1) [RequirementofUnanimity] Amendmentofthefollowingprovisionsofthese Regulationsshallrequireunanimity oftheContractingPartiesboundbythe1999Act :
 - (i) Rule13(4);
 - (ii) Rule18(1).

- (2) [RequirementofFour -FifthsMajority] Amendmentofthefollowingprovisions of the Regulations and of paragraph (3) of the present Ruleshall require a four -fifthsmajority of the Contracting Parties bound by the 1999 Act :
 - (i) Rule7(7);
 - (ii) Rule 9(3)(b);
 - (iii) Rule16(1)(a);
 - (iv) Rule17(1)(iii).
- (3) [*Procedure*] Anyproposalforamendingaprovisionreferredtoinparagraph or (2)shallbesenttoallContractingPartiesatleasttwomonthspriortotheopeningofthe sessionoftheAssemblywhichiscalledupontom akeadecisionontheproposal. (1)

Rule34

AdministrativeInstructions

- (1) [EstablishmentofAdministrativeInstructions;MattersGovernedbyThem] (a) The DirectorGeneralshallestablishAdministrativeInstructions.TheDirectorGeneralmaymodify them.TheDirectorGeneralshallconsulttheOfficesoftheContractingPartieswithrespecttothe proposedAdministrativeInstructionsortheirproposedmodification.
- (b) The Administrative Instructions shall deal with matter sin respect of which these Regulations expressly refer to such Instructions and with details in respect of the application of these Regulations.
- (2) [ControlbytheAssembly] TheAssemblymayinvitetheDirectorGeneraltomodify anyprovisionoftheAdministrativeInstructions,a ndtheDirectorGeneralshallproceed accordingly.
- (3) [PublicationandEffectiveDate] (a) TheAdministrativeInstructionsandany modificationthereofshallbepublishedintheBulletin.
- (b) Eachpublicationshallspecifythedateonwhichthepublis hedprovisions becomeeffective. The dates may be different for different provisions, provided that no provision may be declared effective prior to its publication in the Bulletin.
- (4) [Conflictwiththe1999Act,the1960Act,the1934ActorTheseRegu lations] In the case of conflict between, on the one hand, any provision of the Administrative Instructions and, on the other hand, any provision of the 1999Act, the 1960Act, the 1934Actor of these Regulations, the latter shall prevail.

Rule35

Declarations Made by Contracting Parties to the 1999 Act

- (1) [MakingandComingintoEffectofDeclarations] Article 30(1) and (2) of the 1999 Actshallapply mutatismutandis to the making of any declaration under Rules 8(1),9(3)(a),13(4) or 18(1)(b) and to its coming into effect.
- (2) [WithdrawalofDeclarations] Anydeclarationreferredtoinparagraph (1)maybe withdrawnatanytimebynotificationaddressedtotheDirectorGeneral.Suchwithdrawalshall takeeffectuponreceiptbytheDirectorGene ralofthenotificationofwithdrawaloratanylater dateindicatedinthenotification.InthecaseofadeclarationmadeunderRule 18(1)(b),the withdrawalshallnotaffectaninternationalregistrationwhosedateisearlierthanthecominginto effectofthesaidwithdrawal.

Rule36

DeclarationsMadebyContractingPartiestothe1960Act

- (1) [IndividualDesignationFee]ForthepurposeofArticle15(1)2(b)ofthe1960Act, anyContractingPartytothe1960ActwhoseOfficeisanExaminingOffice may,inadeclaration, notifytheDirectorGeneralthat,inconnectionwithanyinternationalapplicationinwhichitis designatedunderthe1960Act,thestandarddesignationfeereferredtoinRule12(1)(a)(ii)shall bereplacedbyanindividualdesign ationfee,whoseamountshallbeindicatedinthedeclaration andcanbechangedinfurtherdeclarations. Thesaidamountmaynotbehigherthanthe equivalentoftheamountwhichtheOfficeofthatContractingPartywouldbeentitledtoreceive fromana pplicantforagrantofprotectionforanequivalentperiodtothesamenumberof industrialdesigns,thatamountbeingdiminishedbythesavingsresultingfromtheinternational procedure.
- (2) [MaximumDurationofProtection]EachContractingPartytot he1960Actshall,ina declaration,notifytheDirectorGeneralofthemaximumdurationofprotectionprovidedforbyits law.
- (3) [TimeatWhichDeclarationsMayBeMade]Anydeclarationunderparagraphs (1) and(2)maybemade
- (i) atthetimeofthe depositofaninstrumentreferredtoinArticle 26(2) ofthe1960Act,inwhichcaseitshallbecomeeffectiveonthedateonwhichtheStatehaving madethedeclarationbecomesboundbythisAct,or
- (ii) afterthedepositofaninstrumentreferredtoi nArticle 26(2)ofthe 1960Act,inwhichcaseitshallbecomeeffectiveonemonthafterthedateofitsreceiptbythe DirectorGeneraloratanylaterdateindicatedinthedeclarationbutshallapplyonlyin respectofanyinternationalregistrationwho sedateofinternationalregistrationisthesameas, orislaterthan,theeffectivedateofthedeclaration.

SCHEDULEOFFEES

(asinforceon[date])

 $I. \quad International Applications Governed Exclusively or Partly by the 1960 Actor by the 1999 Act$

II.

			Swissfrancs
1.	Basi	cfee	
	1.1 1.2	Foronedesign Foreachadditionaldesignincludedinthesameinternational application	397 19
2.	Publ	icationfee	19
2.	1 401	icationice .	
	2.1 2.2 2.3	Foreachreproductiontobepublishedinblackandwhite Foreachreproductiontobepubli shedincolor Foreachpage,inadditiontothefirst,onwhichoneormore	12 75
	2.3	reproductionsareshown(wherethereproductionsaresubmitted onpaper)	150
3.		itionalfeewherethedescriptionexceeds100words vordexceeding100words	2
4.	Stan	darddesignationfee	
	4.1 4.2	Foreachadditionaldesignincludedinthesameinternational application	42
5.		vidualdesignationfee(theamountoftheindividualdesignation fixedbyeachContractingPartyconcerned)	_
Inte	rnatio	nalApplicationsGovernedExclusivelybythe1934Act	
6.	Basi	cfee	
	6.1	Foronedesign	216
	6.2	Fortwoto50designsincludedinthesameinternational application	432
	6.3	For51to100designsincludedinthesameinternational application	638

Renewal of an International Registration Resulting From an International

	ApplicationGovernedExclusivelyorPartlybythe1960Actorbythe1999Act		
	7. Basicfee		
		 7.1 Foronedesign 7.2 Foreachadditionaldesignincludedinthesam einternational registration 	200 17
	8.	Standarddesignationfee	
		 8.1 Foronedesign 8.2 Foreachadditionaldesignincludedinthesameinternational registration 	21
	9.	Individualdesignationfee(theamountoftheindividual designationfeeisfixedby eachContractingPartyconcerned)	
	10.	Surcharge(periodofgrace)	**
IV.	App	ewalofanInternationalRegistrationResultingFromanInternational licationGovernedExclusivelybythe1934Act	
	11.	Basicfee	
		11.1 Foronedesign11.2 Fortwoto 50designsincludedinthesameinternational registration	422 844
		11.3 For51to100designsincludedinthesameinternational registration	1236
	12.	Surcharge(periodofgrace)	**
V.	Misa	cellaneousRecordings	
	13.	Changeinownership	144
	14.	Changeofn ameand/oraddressoftheholder	
		 14.1 Foroneinternationalregistration 14.2 Foreachadditionalinternationalregistrationofthe sameholderincludedinthesamerequest 	144 72

III.

^{** 50%} of the renewal basic fee.

	15.	Renunciation	144
	16.	Limitation	144
VI.	Info	$rmation Concerning Pub\ lished International Registrations$	
	17.	Supply of an extract from the International Register relating to a published international registration	144
	18.	Supplyofnon -certifiedcopiesoftheInternationalRegisterorof itemsinthefileofapublishedin ternationalregistration	
		 18.1 Forthefirstfivepages 18.2 Foreachadditionalpageafterthefifthifthecopiesarerequested atthesametimeandrelatetothesameinternationalregistration 	26
	19.	SupplyofcertifiedcopiesfromtheInternational Registerorofitems inthefileofapublishedinternationalregistration	
		 19.1 Forthefirstfivepages 19.2 Foreachadditionalpageafterthefifthifthecopiesarerequested atthesametimeandrelatetothesameinternationalregistration 	46
	20.	Supplyofaphotographofaspecimen	57
	21.	SupplyofwritteninformationonthecontentsoftheInternational Registerorofthefileofapublishedinternationalregistration	
		 21.1 Concerningoneinternationalregistration 21.2 Concerninganyadd itionalinternationalregistrationofthe sameholderifthesameinformationisrequestedatthesametime 	82 10
	22.	Searchinthelistofownersofinternationalregistrations	
		22.1 Persearchbythenameofagivenpersonorentity22.2 Foreachinter nationalregistrationfoundbeyondthefirstone	82 10
	23.	Surchargeforthecommunicationofextracts, copies, informationor search reports by telefacsimile (perpage)	4

[End of Annex II and of document]