# **CT NEWSLETTER** No. 09/2004

PATENT COOPERATION Treaty



World INTELLECTUAL PROPERTY ORGANIZATION

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#### September 2004

#### PCT Rule 49.6: Withdrawal of Notification of Incompatibility

#### Serbia and Montenegro

Further to its notification of the incompatibility of PCT Rule 49.6. as in force since 1 January 2003, with its national law (see PCT Newsletter No. 02/2003, page 5), the Intellectual Property Office (Serbia and Montenegro), in its capacity as designated Office, has notified WIPO that it has withdrawn the said notification with effect from 10 July 2004. PCT Rule 49.6, concerning reinstatement of rights after failure to perform the acts referred to in PCT Article 22 (entry into the national or regional phase) therefore applies as from that date.

#### Office of the PCT goes On-line with Videoconferences

New videoconferencing equipment has been installed in the Office of the PCT (OPCT) at WIPO. This development allows easy, face-toface dialogue between the OPCT and users of the PCT system (patent attorneys, applicants, patent law associations and patent offices). OPCT asks any organization interested in arranging a videoconference to contact it with proposed discussion topics.

Requests for a videoconference should be addressed to:

Office of the PCT Ms. Rosemary Ribes Senior Administrative Officer **WIPO** 1211 Geneva 20 Switzerland

telephone: (41-22) 338 92 00

(41-22) 338 82 50 fax:

e-mail: rosemary.ribes@wipo.int

#### Modification of the Technical Standard for the Electronic Filing and Processing of International Applications

Further to the modifications to Annex F of the Administrative Instructions under the PCT and its Appendix I that were announced in PCT Newsletter No. 08/2004, on page 3, and after consultation with the interested Offices and Authorities, some modifications concerning SSL authentication (section 5.1.2.1 of Annex F) have also been made, with effect from 1 January 2005.

The text of the modifications of Annex F has been published as document PCT/AI/ANF/1 Rev.2 on the PCT website at:

www.wipo.int/pct/en/texts/ index.htm

It is recalled that the present text of the Administrative Instructions is set out in the Special Issue of the PCT Gazette, No. S-04/2004,

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Tear-out sheets: provisional sheet for the PCT Applicant's Guide, Vol. I/B, Annex C(OA)



#### Selection of PCT Materials on the Internet (www.wipo.int/pct/en/)

Home page, with links to what's new on the site PCT Information Service

- PCT filing
- Basic Facts about the PCT
- Forms
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- PCT Applicant's Guide
- Fees

PCT-SAFE

- PCT legal texts and guidelines
- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions
- PCT Receiving Office Guidelines
- PCT International Search and International Preliminary Examination Guidelines
- PCT Contracting States
- Reservations and incompatibilities
- Useful tables: time limits for entering national/ regional phase; types of protection; power of attorney waivers
- PCT legal text index

#### PCT Gazette

- PCT news (including the *PCT Newsletter,* PCT-related Press Releases and Updates and PCT statistics) Seminar calendar and seminar materials
- PCT meetings
- PCT Assembly and various committees PCT Reform

The History of the PCT Regulations

#### **PCT Information Service**

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## The *PCT Newsletter* is published by The World Intellectual Property Organization

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The *PCT Newsletter* is also available on the Internet at:

www.wipo.int/pct/en/newslett/index.htm

dated 1 July 2004, the present text of Annex F of the Administrative Instructions is set out in document PCT/AI/ANF/1, dated 17 June 2004, and the present text of Appendix I of Annex F of the Administrative Instructions is set out in document PCT/AI/DTD/1, dated 17 June 2004. The texts of the other modifications to Annex F and to its Appendix I that will enter into force on 1 January 2005 are set out in documents PCT/AI/ANF/1 Rev.1 and PCT/AI/DTD/1 Rev.1, respectively, both dated 2 August 2004. All these documents may also be downloaded from the PCT website at the above-mentioned address.

### **Power of Attorney Waivers**

## Notifications under PCT Rules 90.4(d) and 90.5(c) by PCT Offices/Authorities

For background information on the abovementioned notifications, see *PCT Newsletter* No. 01/2004, page 2.

The Intellectual Property Office (Serbia and Montenegro), in its capacity as receiving Office, has informed the International Bureau that it waives the requirement under PCT Rules 90.4(b) and 90.5(a)(ii) to submit a separate power of attorney and/or a copy of a general power of attorney. Particular instances in which a separate power of attorney or a copy of a general power of attorney is required are as follows:

where an agent or a common representative who is not indicated in the Request

#### Reminder

The national Offices of the following States do not yet apply the 30-month time limit for entering the national phase under Chapter I as fixed in PCT Article 22(1) (as modified with effect from 1 April 2002). Note, however, that in respect of the **regional** designation of all those States, the time limit under PCT Article 22(3) of 31 months applies.

- CH Switzerland
- FI Finland
- LU Luxembourg
- SE Sweden
- TZ United Republic of Tanzania
- UG Uganda
- ZM Zambia

A list of time limits applicable for each designated/ elected Office for entering the national phase under Chapters I and II of the PCT is available at: www.wipo.int/pct/en/access/legal\_text.htm Form at the time of filing performs any action after filing; or where it is unclear that an agent or common representative has power to act on behalf of the applicant; or for changes under PCT Rule 92*bis* 

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (YU) and of the table published in *PCT Newsletter* No. 07/2004)

## **PCT Information Update**

#### OA African Intellectual Property Organization (information on receiving Office)

Information on the African Intellectual Property Organization as receiving Office is included in this issue on provisional sheets for the *PCT Applicant's Guide*, Vol. I/B, Annex C (OA).

### SK Slovakia (translation requirements)

The Industrial Property Office (Slovakia), in its capacity as designated (or elected) Office, has notified WIPO under PCT Rules 44*bis*.3(a) and 72.1, that where either an international preliminary report on patentability (Chapter I of the Patent Cooperation Treaty) or an international preliminary report on patentability (Chapter II of the Patent Cooperation Treaty) has been issued in a language other than one of its official languages, the Office will require a translation of the report into English. According to PCT Rule 44*bis*.3(b) and PCT Article 36(2)(b), either translation will be prepared by, or under the responsibility of, the International Bureau.

## TR Turkey (location and mailing address; telephone and fax numbers)

The location and mailing address, as well as the telephone and fax numbers, of the Turkish Patent Institute have changed, as follows:

location and mailing address:

Hipodrom Caddesi No. 115 06330 Yenimahalle Ankara, Turkey

telephone: (90–312) 303 10 00 fax: (90–312) 303 10 63 (90–312) 303 12 20

(Patent Dept.)

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (TR))

### ZA South Africa (fees)

As from 1 October 2004, there will be a change in the equivalent amounts of the following fees payable in ZAR to the Companies and Intellectual Property Registration Office (South Africa) as receiving Office, as well as a change in the equivalent amount in ZAR of the reduction under item 3 of the Schedule of Fees where the PCT-SAFE software (operating in "PCT-EASY" mode") is used, as follows:

international filing fee, fee per sheet in excess of 30 and PCT-EASY fee reduction: see Table I(a) and footnotes

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (ZA))

#### Search fee (Australian Patent Office, Canadian Intellectual Property Office)

As from 1 October 2004, there will be a change in the equivalent amount payable in ZAR for an international search carried out by the Australian Patent Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AU))

For the purposes of the payment of fees to the International Bureau as receiving Office, equivalent amounts in CHF, EUR and USD have been established for an international search carried out by the Canadian Intellectual Property Office, as indicated in Table I(b).

## Handling fee (Canadian Intellectual Property Office)

The equivalent amount of the handling fee, payable in CAD to the Canadian Intellectual Property Office as International Preliminary Examining Authority, has been established, as indicated in Table II.

## **Budapest Treaty**

List of States party to the Budapest Treaty; list of intergovernmental industrial property organizations having filed a declaration of acceptance under Article 9(1)(a) of that Treaty

It is recalled that the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure, which was concluded in 1977, permits the disclosure of an invention involving a microorganism or the use of a microorganism by effecting a deposit of a sample of the microorganism with a specialized institution. It thus eliminates, before the national patent Offices of the Contracting States of the Budapest Treaty and the regional Offices that have filed a declaration of acceptance under Article 9(1)(a) of the Treaty, the need to deposit such a sample in each country in which protection is sought.

One State has become party to the Budapest Treaty since the publication, in *PCT Newsletter* No. 09/2003, of a table setting out the States party to that Treaty and the intergovernmental industrial property organizations which have filed a declaration of acceptance under Article 9(1)(a) of the Treaty. An update of the table appears below.

# New/Updated PCT Materials on the Internet

(PCT homepage: www.wipo.int/pct/en/)

#### PCT Gazette, Section IV, collection

It is recalled that Section IV of the *PCT Gazette* contains bilingual (English and French) notices and information of a general character relating to PCT Contracting States and PCT Offices and Authorities. It is now possible to search the whole year's collection of Section IV publications, as well as the archives for the years 1998 to 2003. The collection is available at:

www.wipo.int/pct/en/gazette/section\_iv/ index.htm

We hope that this additional feature will be useful to PCT users.

AL	Albania	HU	Hungary	NO	Norway
AT	Austria	IE	Ireland	PH	Philippines
AU	Australia	IL	Israel	PL	Poland
ΑZ	Azerbaijan	IN	India	PT	Portugal
ΒE	Belgium	IS	Iceland	RO	Romania
ΒG	Bulgaria	IT	Italy	RU	Russian Federation
ΒY	Belarus	JP	Japan	SE	Sweden
CA	Canada	KG	Kyrgyzstan	SG	Singapore
СН	Switzerland	KP	Democratic People's Republic	SI	Slovenia
CN	China		of Korea	SK	Slovakia
CU	Cuba	KR	Republic of Korea	ТJ	Tajikistan
CZ	Czech Republic	KZ	Kazakhstan		Tunisia <sup>1</sup>
DE	Germany	LI	Liechtenstein	TR	Turkey
DK	Denmark	LT	Lithuania	ΤT	Trinidad and Tobago
EE	Estonia	LV	Latvia	UA	
ES	Spain	MC	Monaco	US	
FI	Finland	MD	Republic of Moldova	UZ	
FR	France	MK	The former Yugoslav Republic	YU	0
GΒ	United Kingdom		of Macedonia	ZA	South Africa
GR	Greece		Mexico		
HR	Croatia	NL	Netherlands	(Tot	al: 59 States)
Ir			property organizations ha r Article 9(1)(a) of the Buc		
AP	African Regional Indust	rial Propert	y Organization (ARIPO)		
EA	Eurasian Patent Organi				
EP	European Patent Organ	-	-		

#### Table of reservations and incompatibilities

The table containing all reservations and incompatibilities was updated on 5 August 2004, in English, French, German and Spanish, and is available at, respectively:

www.wipo.int/pct/en/texts/reservations/ res\_incomp.pdf

www.wipo.int/pct/fr/texts/reservations/ res\_incomp.pdf

www.wipo.int/pct/de/texts/reservations/ res\_incomp.pdf

www.wipo.int/pct/es/texts/reservations/ res\_incomp.pdf

### **Practical Advice**

# PCT Forms issued in connection with the transmittal of copies of the published PCT application (PCT pamphlet)

Q: I am the agent for a number of international applications which have recently been published. Usually, Form PCT/IB/308, which notifies us that the application has been forwarded to the various designated Offices, is attached to the PCT pamphlet. However, in the case of the PCT pamphlets concerned, a different form was attached to them which I have never seen before, namely, Form PCT/IB/311 "Notification concerning transmittal of copy of international application as published or republished." Could you explain why I have received this form, why I did not receive Form PCT/IB/308, and also confirm that the copies of the PCT pamphlets concerned were nevertheless forwarded to those designated Offices which should normally receive them.

A: Form PCT/IB/308 is no longer issued together with the PCT pamphlet in respect of international applications filed on or after 1 January 2004. Instead, you have received the PCT pamphlet together with Form PCT/IB/311, a new and simple PCT form which acts merely as a cover sheet stating the nature of the attachment.

Nevertheless, you will continue to receive, separately, Form PCT/IB/308, which informs the applicant of the Offices to which the communication under PCT Article 20 (that is, a copy of the published international application, the international search report and, where applicable, Article 19 amendments) has been effected, and the date of that communication. The use of this form is provided for under PCT Rule 47.1(c), as amended with effect from 1 January 2004. You will receive two different versions of the form in respect of international applications filed on or after 1 January 2004, as follows:

• a first notice, sent promptly after the expiration of **19 months** from the priority date, which informs applicants of the communication of the international application to designated Offices which **do not apply** the 30-month time limit under PCT Article 22(1);

• a second and supplementary notice, sent promptly after the expiration of **28 months** from the priority date, which informs applicants of the communication of the international application to designated Offices which **do apply** the 30-month time limit under PCT Article 22(1).

Note that, should the situation arise whereby, at the expiration of 19 months from the priority date, the designations of all States that do not apply the 30-month time limit have been withdrawn, Form PCT/IB/308 (first notice) will not be sent and applicants will receive only Form PCT/IB/308 (second and supplementary notice).

Note also that the first notice is currently being sent in accordance with a transitional arrangement (see Note 3 of the transitional arrangements annexed to the Regulations under the PCT) only until such time as all Offices apply the 30-month time limit. When this happens, Form PCT/IB/308 will only be sent once, promptly after the expiration of 28 months from the priority date.

Form PCT/IB/308, in accordance with new Rule 47.1(c-*bis*), will serve as conclusive evidence either that:

• the communication under PCT Article 20 has been effected (in the case of designated Offices which have requested that the communication provided for in PCT Article 20 be effected under PCT Rule 93*bis*.1 at the time the form is issued); or that

• the designated Offices do not require the furnishing by the applicant, under PCT Ar-

ticle 22, of a copy of the international application when entering the national phase (in the case of designated Offices which have not requested that the communication provided for in PCT Article 20 be effected under PCT Rule 93*bis*.1 at the time the form is issued).

In the latter case, once an applicant has entered the national phase before an Office, that Office should always ask the International Bureau (IB) (and not the applicant) for a copy of the international application, which will be sent to them in the form of the PCT pamphlet. In either case, once you have received Form PCT/IB/308, you can rest assured that you will not have to furnish a copy of the international application to the designated Offices. If a designated Office does request the applicant to furnish a copy of an international application, the applicant should remind that Office that the copy should be requested from the IB. In case of any problems in this respect, you may contact the IB, which will take the matter up with the designated Office concerned.

The forms discussed above can be viewed on the PCT website at:

www.wipo.int/pct/en/forms/ib/index.htm

(If you encounter any problems viewing the forms, please contact the PCT Information Service (for contact details, see page 2)).

	PCT Seminar Calendar										
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers								
7–8 October 2004 Minneapolis (US)	English	PCT update in the framework of the meeting of the Midwest Intellectual Property Institute WIPO speaker: Mr. Maassel Other speakers: Ms. Chasser (USPTO), Mr. Godici (USPTO), Ms. Peters (US Copyright Office)	Midwest Intellectual Property Institute Tel: (1–800) 759 88 40 Fax: (1–651) 227 62 62 (addressed to "Registrar") E-mail: registrar@minncle.org								
12 October 2004 Frankfurt (DE)	German	PCT update seminar WIPO speaker: Mr. H.G. Bartels	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de								
24–26 October 2004 Indian Wells, California (US)	English	Advanced PCT seminar WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1–434) 974 17 00 Fax: (1–434) 975 20 66								
28–30 October 2004 Indian Wells, California (US)	English	Basic PCT seminar WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1–434) 974 17 00 Fax: (1–434) 975 20 66								
4 November 2004 Nuremberg (DE)	English	Presentation on recent developments in the PCT system at the VPP Autumn Conference WIPO speaker: Mr. Erstling	VPP (Association of Intellectual Property Experts) (Mr. Wolfgang Stern) Tel: (49–361) 551 17 00 Fax: (49–361) 551 17 09 e-mail: vpp.stern@vpp-patent.de								

[continued on next page]

PCT Seminar Calendar [continued]										
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com							
4–5 November 2004 San Francisco (US)	English	Advanced PCT seminar WIPO speakers: Mr. Reischle and Mr. Maassel								
8–9 November 2004 Chicago (US)	English	Advanced training course on the PCT, including PCT-SAFE training (which was previously indicated for 10 November 2004) WIPO speakers: Mr. Reischle and Mr. Maassel	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu							
17–18 November 2004 Paris (FR)	French	Seminar on PCT procedures WIPO speakers: Mr. Genin and Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 Fax: (33–1) 42 93 63 52							
17–18 November 2004 Dedham, Massachusetts (US)	English	PCT seminar WIPO speaker: Mr. Maassel Other speakers: Ms. Bidwell (USPTO) and Mr. Reed (The Procter & Gamble Company (US))	Boston Patent Law Association Ms. Christine O'Day Tel: (1–617) 517 55 58 Fax: (1–617) 439 41 70 E-mail: coday@edwardsangell.com Internet: www.bpla.org							
19 November 2004 London (GB)	English	PCT presentation at the Annual Senior Patent Administrators' Conference WIPO speaker: Mr. Reischle	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk							
25–26 November 2004 Frankfurt (DE)	German	Advanced PCT seminar WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de							
9–10 December 2004 London (GB)	English	Advanced PCT formalities seminar WIPO speaker: to be announced	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk							
9–10 March 2005 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33–4) 78 33 07 08 Fax: (33–4) 78 33 58 96							

#### PCT Fee Tables (amounts on 1 September 2004, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100 under certain circumstances where the request is prepared using the PCT-EASY features of the PCT-SAFE software or by CHF 200 or 300 where the international application is filed in electronic form, as prescribed; see footnotes 2 and 5 for details. A 75% reduction in the international filing fee (including the fee per sheet over 30) and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 3 and 11. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 75% reduction are applicable, the 75% reduction is calculated *after* the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

#### Key to abbreviations used in fee tables:

ney u	o appreviations used in	Tiee la	ables.						
eq	equivalent of –	BGL	Bulgarian lev	GBP	Pound sterling	LSM	Lesotho loti	SEK	Swedish krona
IPEA	International Preliminary	BRR	Brazilian real	GEL	Georgian lari	LTL	Lithuanian litas	SGD	Singapore dollar
	Examining Authority	BYR	Belarussian rouble	GHC	Ghanaian cedi	LVL	Latvian lat	SIT	Slovenian tolar
ISA	International Searching	BZD	Belize dollar	HRK	Croatian kuna	MAD	Moroccan dirham	SKK	Slovak koruna
	Authority	CAD	Canadian dollar	HUF	Hungarian forint	MDL	Moldovan leu	TJS	Tajik somoni
na	not applicable	CHF	Swiss franc	IDR	Indonesian rupiah	MKD	Macedonian denar	TND	Tunisian dinar
RO	receiving Office	CNY	Yuan renminbi	ILS	New Israel shekel	MWK	Malawian kwacha	TTD	Trinidad and Tobago
		COP	Colombian peso	INR	Indian rupee	MXP	Mexican peso		dollar
Currer	ncies:	CUP	Cuban convertible peso	ISK	Icelandic krona	NOK	Norwegian krone	UAH	Ukrainian hryvnia
AED	United Arab Emirates	CYP	Cyprus pound	JPY	Japanese yen	NZD	New Zealand dollar	USD	US dollar
	dirham	CZK	Czech koruna	KES	Kenyan shilling	PHP	Philippine peso	VND	Vietnamese dong
ALL	Albanian lek	DKK	Danish krone	KGS	Kyrgyz som	PLZ	Polish zloty	XAF	CFA franc BEAC
AMD	Armenian dram	DZD	Algerian dinar	KPW	KP won	ROL	Romanian leu	YUD	Yugoslavian dinar
AUD	Australian dollar	EEK	Estonian kroon	KRW	KR won	RUR	Russian rouble	ZAR	South African rand
AZM	Azerbaijani manat	EUR	Euro	KZT	Kazakh tenge	SDP	Sudanese pound	ZWD	Zimbabwe dollar

#### Table I(a) — Transmittal and international filing fees

(amounts on 1 September 2004, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>		f	iternational iling fee <sup>1,2,3</sup> CHF 1,400)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	PCT-EASY reduction <sup>2,5</sup> (CHF 100)	Competent ISA(s) <sup>6</sup>
AE	AED <sup>7</sup>	_	AED	eq <b>CHF</b> 1,400	15	n a	AT AU
AG	Information	not yet availat	ole				
AL	ALL	9,000	CHF	1,400	15	n a	EP
AM	AMD	32,000	USD	1,134	12	81	EP RU
AP	USD (or eq in loca	50 al currency)	USD	1,134	12	81	AT EP SE
AT	EUR	50	EUR	902	10	64	EP
AU	AUD	100	AUD	1,525	16	109	AU
AZ	AZM	55,000	USD	1,134	12	n a	EP RU
BA	EUR	25.56	EUR	902	10	64	EP
BE	EUR	40	EUR	902	10	64	EP
BG	BGL	60	BGL	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	EP RU
BR	BRR	236	BRR	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	AT EP SE US
BW	Information	not yet availat	ole				
BY	BYR eq US	<b>SD</b> 70	USD	1,134	12	n a	EP RU
BZ	BZD	220	USD	1,134	12	81	EP
CA	CAD	300	CAD	1,489	16	106	CA <sup>8</sup>
СН	CHF	100	CHF	1,400	15	100	EP
CN	CNY	500	CNY	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	CN
со	COP <sup>7</sup>	—	COP	eq <b>USD</b> 1,134	eq <b>USD</b> 12	eq <b>USD</b> 81	AT, EP, ES, RU
CR	USD	175	USD	1,134	12	81	EP ES
CU	USD (or ea	q <b>CUP</b> )200	USD(or	eq <b>CUP</b> ) 1,134	12	81	AT EP ES RU
CY	CYP	75	СҮР	526	6	n a	EP
cz	CZK	1,500	СZК	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	EP
DE	EUR	90	EUR	902	10	64	EP
DK	DKK	1,500	DKK	6,700	70	480	EP SE

[continued on next page]

	(amounts on 1 September 2004, unless otherwise indicated)							
RO	D Transmittal fee <sup>1</sup>		fi	iternational iling fee <sup>1,2,3</sup> CHF 1,400)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	PCT-EASY reduction <sup>2,5</sup> (CHF 100)	Competent ISA(s) <sup>6</sup>	
DM	Information	not yet availal	ble			11		
DZ	DZD	None	CHF	1,400	15	100	AT EP	
EA	RUR eq USD 50		USD	1,134	12	81	EP RU	
EC	USD <sup>7</sup>	_	USD	1,134	12	81	EPES	
EE	EEK	1,800	EEK	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	EP	
EG		not yet availal						
EP <sup>5</sup>	EUR	100	EUR	902	10	64	EP	
ES <sup>5</sup>	EUR	63.99	EUR	902	10	64	EP ES	
FI <sup>5</sup>	EUR	135	EUR	902	10	64	EP SE	
FR <sup>5</sup>	EUR	60	EUR	902	10	64	EP	
GB <sup>5</sup>	GBP	55	GBP	628	7	45	EP	
GD	-	not yet availal		020	,	10		
GE	GEL <sup>9</sup>	10 10	USD	1,134	12	81	EP RU	
GH	GHC <sup>10</sup>	2,500	USD	1,134	12	na	_	
	010	or 5,000	000	1,104	12	ii a	AT AU CN EP SE	
GR	EUR	115	EUR	902	10	64	EP	
HR	HRK	200	HRK	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	EP	
HU	HUF	10,000	HUF	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	EP RU	
IB⁵	CHF <sup>11</sup>	100	CHF	1,400	15	100	See footnote 12	
		64	or EUR	902	10	64		
	or USD	81	or USD	1,134	12	81		
ID	IDR	500,000	IDR	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	AU EP RU	
IE	EUR	76.00	EUR	902	10	64	EP	
IL	ILS	476	USD	1,134	12	81	EP US	
IN	INR (filing by inc	5,000	USD	1,134	12	81	AT AU CN EP SE US	
IS	ISK	6,500	ISK	80,000	900	5,700	EP SE	
IT	EUR	30.99	EUR	902	10	5,700 n a	EP	
JP <sup>5</sup>	JPY	13,000	JPY	123,200	1,300	8,800	EP JP	
KE	-	<b>S</b> equiv)250	USD	1,134	12	81	AT AU CN EP SE	
	plus cost of		000	1,104	12	01		
KG	KGS eq U	<b>ISD</b> 100	USD	1,134	12	81	EP RU	
KP	KPW eq C	<b>CHF</b> 50	KPW	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	AT RU	
KR <sup>5</sup>	KRW	45,000	KRW	1,329,000	14,000	95,000	AT AU JP <sup>13</sup> KR	
κz	<b>κΖΤ</b> <sup>7</sup>	_	USD	1,134	12	81	EP RU	
LR	USD	45	USD	1,134	12	n a	AT AU CN EP SE	
LS		_	LSM	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	n a	AT EP	
LT	LTL	320	EUR	902	10	64	EP RU	
LU	EUR	19	EUR	902	10	n a	EP	
LV	LVL	47.20	USD	1,134	12	81	EP RU	
MA		None	CHF	1,400	15	n a	AT EP RU SE	
мс	EUR	49 <sup>14</sup>	EUR	902	10	na	EP	
MD	MDL	180	USD	1,134	12	81	EP RU	
мк	MKD	2,750	MKD	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	EP	
MN		None	CHF	1,400	15	. 100	EP RU	
мw	MWK	6,000	MWK	111,100	1,200	7,900	EP	
мх	MXP <sup>3</sup> eq		МХР	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	EP ES SE US	
NA		not yet availal	ble			u		
NI	USD	200	USD	1,134	12	81	EP ES	
NL	EUR	50	EUR	902	10	64	EP	
NO	NOK	500	NOK	7,370	80	530	EP SE	
NZ	NZD	180 <sup>15</sup>	NZD	1,772	19	127	AU EP US	
				.,				

Table I(a) — Transmittal and international filing fees [continued] (amounts on 1 September 2004, unless otherwise indicated)

[continued on next page]

RO	Transmittal fee <sup>1</sup>		f	iternational iling fee <sup>1,2,3</sup> CHF 1,400)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	PCT-EASY reduction <sup>2,5</sup> (CHF 100)	Competent ISA(s) <sup>6</sup>	
OA	XAF	_	<b>XAF</b> eq <b>CHF</b> 1,400		eq <b>CHF</b> 15	na	AT EP RU SE	
ОМ	Information	n not yet availal	ble					
PG	Information	n not yet availal	ble					
PH	PHP	3,500	USD	1,134	12	81	AU EP JP KR US	
PL	PLZ	300	PLZ	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	n a	EP	
РТ	EUR	30.99	EUR	902	10	64	EP	
RO	ROL	300,000	CHF	1,400	15	100	AT EP RU	
RU	RUR	294	USD	1,134	12	81	EP RU	
SC	Information	n not yet availal	ble					
SD	SDP	50	SDP	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	n a	EP	
SE	SEK	1,200	SEK	8,140	90	580	EP SE	
SG	SGD	150	SGD	1,926	21	138	AT AU EP	
SI	SIT	22,000	SIT	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	EP	
SK	SKK	1,600	SKK	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	EP	
SY	Information	n not yet availal	ble					
ТJ	TJS <sup>7</sup>	—	USD	1,134	12	n a	EP RU	
тм		—	USD	1,134	12	81	EP RU	
ΤN	TND <sup>7</sup>	—	CHF	1,400	15	n a	EP	
TR	CHF	100	CHF	1,400	15	100	EP	
TT	TTD	750	USD	1,134	12	81	AT EP SE US	
UA	UAH	255	USD (or	eq <b>UAH</b> ) 1,134	12	n a	EP RU	
US	USD			81	EP US			
UZ	USD <sup>7</sup>	—	USD	1,134	12	81	EP RU	
VC	Information	n not yet availal	ble					
VN	VND eq l	<b>JSD</b> 150	VND	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	na	AT AU EP KR RU SE	
YU	YUD	3,000	YUD	eq <b>CHF</b> 1,400	eq <b>CHF</b> 15	eq <b>CHF</b> 100	EP	
ZA	ZAR	500	ZAR (from 1.1	7,490 0.04: 6,760)	80 (72)	530 <i>(480)</i>	AT AU EP US	
ZM	Information	n not yet availal	ble					
zw	ZWD	6,000	ZWD	eq <b>USD</b> 1,134	eq <b>USD</b> 12	eq <b>USD</b> 81	AT AU CN EP RU	

## Table I(a) — Transmittal and international filing fees [continued] (amounts on 1 September 2004, unless otherwise indicated)

 Table I(b) — Search fees

 (amounts on 1 September 2004, unless otherwise indicated)

ISA						Search	fee <sup>1</sup>					
AT	EUR	159	CHF	247	KRW	235,000	SGD	310	USD	182	ZAR	1,320
AU	AUD USD (from 1	1,200 865 . <i>10.04:</i>	CHF ZAR* <i>ZAR</i>	1,050 5,800 <i>5,300)</i>	EUR	684	KRW	1,014,000	NZD	1,370	SGD	1,490
CA	CAD	1,600	CHF	1,520	EUR	985	USD	1,195				
CN	CNY	1,500	CHF	245	EUR	158	USD	181				
EP <sup>16</sup>	EUR ISK SGD	1,550 138,000 3,130	CAD JPY USD	2,520 200,100 1,920	CHF MWK ZAR	2,432 194,000 12,000	CYP NOK	905 13,450	DKK NZD	11,520 2,884	GBP SEK	1,078 13,870
ES <sup>16</sup>	EUR	1,550	CHF	2,432	USD	1,920						
JP	JPY	97,000	CHF	1,097	EUR	752	KRW	1,066,000	USD	887		
KR	KRW	225,000	CHF	238	EUR	151	USD	194				
RU <sup>17</sup>	USD	300	CHF	406	EUR	262						
SE	SEK USD	13,870 1,920	CHF	2,432	DKK	11,520	EUR	1,550	ISK	138,000	NOK	13,450
US	USD ZAR*	1,000 6,600	300 <sup>18</sup> 2,000 <sup>18</sup>	CHF	1,318	395 <sup>18</sup>	EUR	787	254 <sup>18</sup>	NZD	1,570	470 <sup>18</sup>

IPEA		Prelimi	nary examination fee <sup>19</sup>		н	landling fo	ee <sup>3,19</sup> (CHF 200)
AT	EUR	159		EU	R	129	
AU	AUD	550	1,000 <sup>20</sup>	AU	D	218	
СА	CAD	800		CA	D	213	
CN	CNY	1,500		CN	Y eq CHF	200	
EP <sup>16</sup>	EUR	1,530		EU	R	129	
ES	EUR	502.97		EU	R	129	
JP	JPY	36,000		JP	Y	17,600	
KR	KRW	225,000		KR	W	190,000	
RU <sup>17</sup>	USD	200 <sup>21</sup>	300 <sup>22</sup>	US	D	162	
SE	SEK	5,000		SE	к	1,160	
US	USD	600	750 <sup>23</sup>	US	D	162	

#### Table II — Preliminary examination fees (amounts on 1 September 2004, unless otherwise indicated)

#### Footnotes to fee tables:

1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.

- 2 The international filing fee is reduced by CHF 100 where: (a) the request is presented as a computer print-out prepared using the PCT-EASY features of the PCT-SAFE software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on page 27 of PCT Newsletter No. 12/2003, or on the PCT website at: www.wipo.int/pct/en/fees/fee\_reduction.pdf
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the Administrative Instructions under the PCT, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the Administrative Instructions).
- 5 Where the international application is filed in electronic form in accordance with and to the extent provided for in Part 7 and Annex F of the Administrative Instructions and where the international application is filed with a receiving Office which has notified the International Bureau under Section 710 of the Administrative Instructions that it is prepared to receive international applications in electronic form, the international filing fee is reduced by CHF 200 if the text of the description, claims and abstract is not in character coded format, and by CHF 300 if the text of the description, claims and abstract is in character coded format. Only the following receiving Offices have sent such a notification so far: RO/EP, ES, FI, FR, GB, IB, JP and KR. The equivalent amounts of those reductions in the relevant currencies are as follows: CHF 200=EUR 129, GBP 90, KRW 190,000, USD 162; CHF 300=EUR 193, GBP 134, JPY 26,400, KRW 285,000, USD 243.
- 6 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 7 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 8 For international applications filed before 26 July 2004, the competent ISA is the European Patent Office. For international applications filed on or after 26 July 2004, the competent ISA is the Canadian Intellectual Property Office.
- 9 This fee is reduced by 80% where the applicant is a natural person.
- 10 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 11 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on page 27 of *PCT Newsletter* No. 12/2003, or on the PCT website at: www.wipo.int/pct/en/fees/fee\_reduction.pdf.
- 12 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 13 The Japan Patent Office is competent only for international applications in Japanese.
- 14 Plus EUR 1 for the preparation of additional copies, for each page and each copy.
- 15 Plus 12.5% GST (Goods and Services Tax) for New Zealand residents.
- 16 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in Item 4(a) of the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated in part 1 of the table on page 27 of *PCT Newsletter* No. 12/2003 or on the PCT website at: www.wipo.int/pct/en/fees/fee\_reduction.pdf, with the exception of Bulgaria, the Czech Republic, Estonia, Hungary, Poland, Romania, Slovakia and Turkey.
- 17 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 18 Payable when a corresponding prior US national application has been filed under 35 USC 111(a), the basic filing fee under 37 CFR 1.16(a) has been paid, and the prior US national application is identified by the application number if known, or if the application number is not known, by the filing date, title and name of applicant (and preferably by the application docket number), in the international application or accompanying the papers at the time of filing the international application.
- 19 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 20 Payable when the international search report was not issued by the Australian Patent Office.
- 21 Payable when the international search report was established by the Russian Patent Office.
- 22 In all cases where footnote 21 does not apply.
- 23 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C (US)).



#### PCT Contracting States and Two-letter Codes (123 on 1 September 2004)

2

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

#### Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated January 2004) or, if filing the request using the PCT-EASY features of the PCT-SAFE software, the latest version of that software (the latest version is dated January 2004). The request and demand forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the PCT Applicant's Guide (January 2004 update), and can be printed from the WIPO Internet site, in editable PDF format, at: www.wipo.int/pct/en/forms/index.htm, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. The PCT-SAFE software is available from the PCT-SAFE website at: www.wipo.int/pct-safe/en/index.htm

# C OA

## **Receiving Offices**

# C OA

## AFRICAN INTELLECTUAL PROPERTY ORGANIZATION (OAPI)

Competent receiving Office for nationals and residents of:	Benin, Burkina Faso, Cameroon, Central African Republic, Chad, Congo, Côte d'Ivoire, Equatorial Guinea, Gabon, Guinea, Guinea-Bissau, Mali, Mauritania, Niger, Senegal and Togo
Language in which international applications may be filed:	English or French
Langues in which the request may be filed:	English or French
Number of copies required by the receiving Office:	1
Does the receiving Office accept the filing of international applications with requests in PCT-EASY format? <sup>1</sup>	No
Competent International Searching Authority:	Austrian Patent Office, European Patent Office, Russian Patent Office or Swedish Patent Office
Competent International Preliminary Examining Authority:	Austrian Patent Office, European Patent Office, <sup>2</sup> Russian Patent Office or Swedish Patent Office
Fees payable to the receiving Office:	Currency: CFA franc BEAC (XAF)
Transmittal fee:	Information not yet available
International filing fee: <sup>3</sup>	Equivalent in XAF of Swiss francs 1,400
Supplement per sheet over 30: <sup>3</sup>	Equivalent in XAF of Swiss francs 15
Reductions (under Schedule of Fees, item 3)	None
Search fee:	Equivalent in XAF of the search fee payable to the International Searching Authority chosen by the applicant: see Annex D(AT), (EP), (RU) or (SE)
Fee for priority document (PCT Rule 17.1(b)):	XAF 60,000 plus XAF 15,000 per page in excess of 10 pages
Is an agent required by the receiving Office?	No, if the applicant resides in an OAPI Member State Yes, if the applicant does not reside in an OAPI Member State
Who can act as agent?	Any agent authorized to represent applicants before the OAPI

Provisional sheet issued with *PCT Newsletter* No. 09/2004

<sup>&</sup>lt;sup>1</sup> Where the request is filed in PCT-EASY format together with a PCT-EASY diskette and the receiving Office accepts such filings (see *PCT Gazette* No. 51/1998, pages 17330 and 17332, and No. 44/2003, page 24736, Schedule of Fees, item 3(a)), the total amount of the international fee is reduced.

<sup>&</sup>lt;sup>2</sup> The European Patent Office is competent only if the international search is or has been performed by that Office, the Austrian Patent Office or the Swedish Patent Office.

<sup>&</sup>lt;sup>3</sup> This fee is reduced by 75% if certain conditions apply (see corresponding footnote to Annex C(IB)). For further details, see *PCT Gazette* No. 44/2003, page 24736, Schedule of Fees, item 4.