

# **Internal Oversight Division**

Reference: EVAL 2018-04

# **Evaluation Report**

Evaluation of WIPO's Partnerships

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#### **INFOGRAPHIC**

# **Evaluation of WIPO's Partnerships**

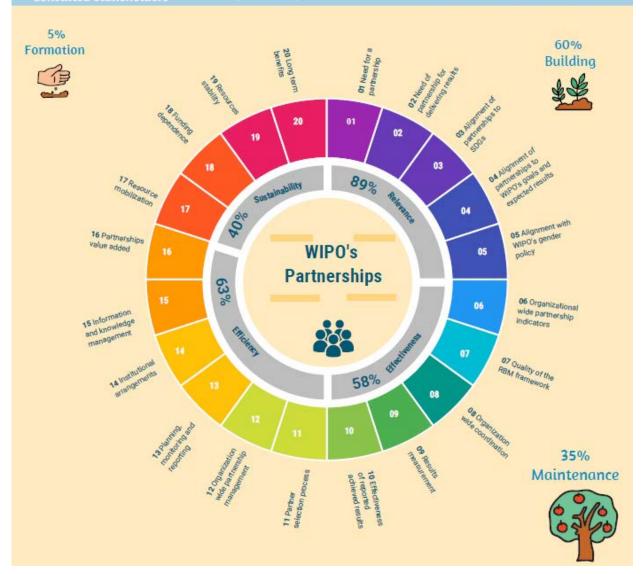




Consulted stakeholders

In depth analysis of 81 partnerships
Data base with 1,587 partnerships

Period: September to November 2018 Scope: 2015-2017 December 20, 2018 Evaluation Section



Recommendation 1 (a): The Sectors/Programs listed in the matrix at Annex V should put in place clear and realistic purpose, clear partnership arrangements including MoUs, contractual agreement and learning, monitoring and knowledge sharing of partnerships to meet their programs' needs.

Recommendation 1 (b): The Sectors/Programs with partnership responsibilities listed in Annex V should use the Enterprise Content Management (ECM) system, to foster learning and knowledge sharing by populating it with partnerships agreements and related documentation such as plans, Memoranda of Understandings, reports, performance data, realized benefits, and lessons learned.

To comply with the anonymity requirement of the data provided, each program concerned will receive the relevant information to implement the recommendation separately within the particular sector

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# LIST OF ACRONYMS

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ABC	Accessible Book Consortium		
ADRAD	Action on Disability Rights and Development		
ARDI	Access to Research for Development and Innovation		
ASPI	Access to Specialized Patent Information		
ATAC	Advanced technology applications		
CDIP	Committee on Development and Intellectual Property		
DAISY	Digital Accessible Information System		
ECM	Enterprise Content Management		
ERD	External Relations Divisions		
EU	European Union		
FITS	Funds-In-Trust		
GEW	Global Entrepreneurship Week		
GII	Global Innovation Index		
IAP	Inventors Assistance Program		
IATT	UN Interagency Task Team on Science, Technology and Innovation for the SDGs		
IGC	Inter-Governmental Committee for Intellectual Property and Genetic		
	Resources, Traditional Knowledge and Folklore		
IGO	Intergovernmental Organization		
ILO	International Labour Organization		
IOD	Internal Oversight Division		
IP	Intellectual Property		
IPO	Intellectual Property Office		
IRENA	International Renewable Energy Agency		
ITC	International Trade Center		
MoU	Memorandum of Understanding		
NGOs	Non-governmental Organizations		
NIPO	National Intellectual Property Office		
NTDs	Neglected Tropical Diseases		
OECD/DAC	Organization for Economic Co-operation and Development's		
	Development Assistance Committee		
Pat INFORMED	The Patent Information Initiative for Medicines		
P&B	Program and Budget		
PI	Performance Indicator		
PPPs	Private Public Partnerships		
RBF	Results-based Framework		
R&D	Research and Development		
SDGs	Sustainable Development Goals		
SG	Strategic Goal		
SMEs	Small and Medium-Sized Enterprises		
TFM	Technology Facilitation Mechanism		
TISCs	Technology Innovation Support Centers		
UN	United Nations		
UNCTAD	United Nations Conference on Trade and Development		
UNEG	United Nations Evaluation Group		
WHO	World Health Organization		
WIPO	World Intellectual Property Organization		
WTO	World Trade Organization		

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#### **EXECUTIVE SUMMARY**

1. As a United Nations (UN) specialized agency dedicated to developing a balanced and accessible international Intellectual Property (IP) system, partnerships are a central component of the World Intellectual Property Organization's (WIPO's) work in many different areas and core to the delivery of technical assistance and new areas of innovation.

- 2. This evaluation report presents a general overview of the partnerships at WIPO. The report has two distinctive parts: the first part includes a description and mapping of partnerships; the second part analyzes partnerships and goes more into depth to draw relevant conclusions and recommendations.
- 3. This evaluation report aims to provide an analysis on a crosscutting organizational issue of key importance for the Organization that merits attention.
- 4. The evaluation was conducted between September and November 2018. It included interviews with 50 staff members, internal and external surveys; desk reviews of documentation, which included an in depth assessment of 81 partnerships out of 1,587 compiled from information gathered from various sectors.
- 5. There is clear evidence of value added for WIPO and its partners, and of partnerships delivering results that the WIPO/partner could not achieve on its own, particularly in cases where a service is provided that did not previously exist.

#### **RELEVANCE**

6. There are indications that the partnerships are in alignment with WIPO's Strategic Goals (SGs) and Sustainable Development Goals (SDGs). Furthermore, partnerships at WIPO have grown in importance and number by engaging and working with a broad spectrum of partners including, the private sector, Non-governmental Organizations (NGOs), government institutions and Intergovernmental Organizations (IGOs) among others. The report notes the work of partnerships in promoting innovation through patent and technology services, addressing knowledge deficits, and capacity building in developing countries. The evaluation found that the 81 partnerships assessed in the sample are in alignment with WIPO's SGs

### **EFFECTIVENESS**

- 7. The evaluation notes the positive contributions made towards SG III, SG IV, and SG VII, especially in the Accessible Book Consortium (ABC), WIPO Green, WIPO ReSearch, Technology Innovation Support Centers (TISCs), and WIPO Academy.
- 8. The evaluation identified 23 expected results defined in the P&B document 2016/17 linked to partnerships. As per WIPO's Performance Report, out of 23 expected results, 14 were linked to WIPO's Performance Indicators (PI), and 67 per cent of those PIs were fully achieved.

#### **EFFICIENCY**

- 9. The overall view amongst interviewees regarding whether they had the right partners in place to make the partnership work was almost 100 per cent in agreement, as confirmed by survey results. There is clear evidence of value added for WIPO and its partners, and of partnerships delivering results that the WIPO/partner could not achieve on its own
- 10. The evaluation found that the information and knowledge management system for partnerships is in need of improvements for the majority of partnerships, which fall outside the Funds-in-Trust (FITs) category. The absence of a mechanism for strategically coordinating the work of the partnerships has potential implications on knowledge management, resulting in the loss of institutional memory. Moreover, there is limited guidance on partners' strategic selection

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process and information about Memoranda of Understanding (MoUs), results achieved and lessons learned from existing and past partnerships.

#### SUSTAINABILITY

11. The evaluation found that partners acknowledged the financial support provided, and its contribution towards sustaining the long-term benefits derived from these partnerships. However, in some cases, where interventions are dependent on the partner support, the absence of a sustainability plan or resources mobilization strategy could affect these long-term benefits.

12. Based on the above findings and conclusions, the evaluation makes the following recommendation:

## Recommendation

- 1. The Sectors/Programs listed in the matrix included in Annex VI should<sup>1</sup>:
  - (a) Develop or improve guidance, clear and realistic purpose, clear partnership arrangements (including MoUs), contractual agreement, and learning, monitoring and knowledge sharing of partnerships to meet their Programs' needs.
  - (b) Use the Enterprise Content Management (ECM) system, to foster learning and knowledge sharing by populating it with partnerships agreements and related documentation such as plans, Memoranda of Understandings, reports, performance data, realized benefits, and lessons learned.

(Importance: Medium)

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<sup>&</sup>lt;sup>1</sup> To comply with the anonymity requirement of the data provided, each program concerned will receive the relevant information to implement the recommendation separately within the particular sector.