

**MODEL INTERNATIONAL FORM No. 4**

**REQUEST FOR THE RECORDAL OF A CHANGE  
IN OWNERSHIP**

in respect of registration(s) and/or  
application(s) for registration of mark(s)

submitted to the Office of .....

FOR OFFICE USE ONLY

Reference number of holder  
and/or applicant<sup>1</sup>: .....

Reference number of representative<sup>1</sup>: .....

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**1. Request for Recordal**

The recordal of the change in ownership indicated in the present request is hereby requested.

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**2. Registration(s) and/or Application(s) Concerned**

The present request concerns the following registration(s) and/or application(s):

2.1 Registration number(s):

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<sup>1</sup> The reference number allotted by the holder and/or applicant and/or the reference number allotted by the representative to the present request may be indicated in this space.

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2.2 Application number(s)<sup>2</sup>:

2.3  If the spaces under 2.1 or 2.2 are not sufficient, check this box and provide the information on an additional sheet.

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**3. Goods and/or Services Affected by the Change**

3.1  Check this box where all the goods and/or services listed in the application(s) and/or registration(s) referred to in item 2 are affected by the change.

3.2  Check this box where item 2 mentions only one application or registration and where only some of the goods and/or services listed in that application or registration are affected by the change and indicate the goods and/or services that should appear in the application or registration of the new owner (in which case the goods and/or services not indicated will remain in the application or registration of the applicant or holder):

3.3  Check this box where item 2 mentions more than one application or registration and if in respect of at least one of them the change affects less than all the goods and/or services listed. In this case, indicate on an additional sheet, separately in respect of each application and/or registration, whether the change affects all the goods and/or services or only some of them. In respect of any application or registration where only some of the goods and/or services are affected by the change, make the indication in the way specified in item 3.2.

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<sup>2</sup> Where the application number of an application has not yet been issued or is not known to the applicant or its representative, that application may be identified by furnishing either: (i) the provisional application number, if any, given by the Office, or (ii) a copy of the application, or (iii) a representation of the mark, accompanied by an indication of the date on which, to the best knowledge of the applicant or its representative, the application was received by the Office and an identification number given to the application by the applicant or its representative.

**4. Basis for the Change in Ownership**

4.1  The change in ownership results from a contract.

One of the following documents is enclosed:

4.1.1  a copy, certified as being in conformity with the original, of the contract.

4.1.2  an extract, certified as being a true extract, of the contract.

4.1.3  a certificate of transfer.

4.1.4  a transfer document.

4.2  The change in ownership results from a merger.

A copy, certified as being in conformity with the original, of the following document, evidencing the merger, is enclosed:

4.2.1  extract from the register of commerce.

4.2.2  other document originating from the competent authority.

4.3  The change in ownership does not result from a contract or a merger.

4.3.1  A copy, certified as being in conformity with the original, of a document evidencing the change is enclosed.

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**5. Holder(s) and/or Applicant(s)**

5.1 If the holder and/or applicant is a natural person, the person's

(a) family or principal name<sup>3</sup>:

(b) given or secondary name(s)<sup>3</sup>:

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<sup>3</sup> The names to be indicated under (a) and (b) are those which were indicated in the application(s), or are recorded in respect of the registration(s), to which the present request relates.

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5.2 If the holder and/or applicant is a legal entity, the entity's full official designation:

5.3 Address (including postal code and country):

Telephone number(s):  
(with the area code)

Telefacsimile number(s):  
(with the area code)

E-mail address:

5.4  Check this box if there is more than one holder and/or applicant affected by the change; in that case, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 5.1 or 5.2 and 5.3.

5.5  Check this box if the holder and/or applicant, or one of the holders and/or applicants, has changed names and/or addresses without requesting the recordal of that change, and enclose a document evidencing that the person having transferred the ownership and the holder and/or applicant are the same person.

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**6. Representative of the Holder and/or Applicant**

6.1 Name:

6.2 Address (including postal code and country):

Telephone number(s):  
(with the area code)

Telefacsimile number(s):  
(with the area code)

E-mail address:

6.3 Serial number of the power of attorney<sup>4</sup>:

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**7. Address for Service of the Holder and/or Applicant**

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<sup>4</sup> To be left blank if the power of attorney has not, or has not yet, been allotted a serial number or if the serial number is not yet known to the holder and/or applicant or the representative.

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**8. New Owner(s)**

8.1 If the new owner is a natural person, the person's

(a) family or principal name<sup>5</sup>:

(b) given or secondary name(s)<sup>5</sup>:

8.2 If the new owner is a legal entity, the entity's full official designation:

8.3 Address (including postal code and country):

Telephone number(s):  
(with the area code)

Telefacsimile number(s):  
(with the area code)

E-mail address:

8.4 State of nationality:

State of domicile:

State of establishment<sup>6</sup>:

8.5 Where the new owner is a legal entity, indicate

- the legal nature of the legal entity:
- the State, and, where applicable, the territorial unit within that State, under the law of which the legal entity is organized:

8.6  Check this box if there is more than one new owner; in that case, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 8.1 or 8.2, 8.3, 8.4 and 8.5<sup>7</sup>.

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**9. Representative of the New Owner**

9.1  The new owner is not represented.

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<sup>5</sup> The names to be indicated under (a) and (b) are either the full names of the new owner or the names customarily used by the new owner.

<sup>6</sup> "Establishment" means a real and effective industrial or commercial establishment.

<sup>7</sup> Where several new owners are listed on the additional sheet with different addresses and there is no representative, the address for correspondence must be underlined on the additional sheet.

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9.2  The new owner is represented.

9.2.1 Identification of the representative

9.2.1.1 Name:

9.2.1.2 Address (including postal code and country):

Telephone number(s):  
(with the area code)

Telefacsimile number(s):  
(with the area code)

E-mail address:

9.2.2  The power of attorney is already in the possession of the Office.  
Serial number: .....<sup>8</sup>

9.2.3  The power of attorney is attached.

9.2.4  The power of attorney will be furnished at a later date.

9.2.5  No power of attorney is needed.

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**10. Address for Service of the New Owner<sup>9</sup>**

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**11. Signature or Seal**

11.1 Name of the natural person who signs or whose seal is used:

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<sup>8</sup> To be left blank if the power of attorney has not, or has not yet, been allotted a serial number or if the serial number is not yet known to the new owner or the representative.

<sup>9</sup> An address for service must be indicated in the space available under the title of item 10 where the new owner does not have or, if there is more than one new owner, where none of the new owners has a domicile or a real and effective industrial or commercial establishment on the territory of the Contracting Party whose Office is the Office named on the first page of the present request, except where a representative is indicated in item 9.

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11.2 Check the appropriate box according to whether the signature is given, or the seal is used, by or on behalf of the

11.2.1  holder and/or applicant.

11.2.2  new owner.

11.2.3  representative.

11.3 Date of signature or of sealing:

11.4 Signature or seal:

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**12. Fee**

12.1 Currency and amount of the fee paid in connection with the present request for the recordal of a change in ownership:

12.2 Method of payment:

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**13. Additional Sheets and Attachments**

Check this box if additional sheets and/or attachments are enclosed and indicate the total number of such sheets and/or attachments:

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